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1 Classification: BIOLOGICAL SCIENCES

2

3 **Delineating ecologically significant taxonomic units from global patterns of marine**
4 **picocyanobacteria**

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23

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25

26 \abstract

27 *Prochlorococcus* and *Synechococcus* are the two most abundant and widespread phytoplankton in
28 the global ocean. In order to better understand the factors controlling their biogeography, a
29 reference database of the high resolution taxonomic marker *petB*, encoding cytochrome *b₆*, was used
30 to recruit reads out of 109 metagenomes from the *Tara* Oceans expedition. An unsuspected novel
31 genetic diversity was unveiled within both genera, even for the most abundant and well-
32 characterized clades, and 136 divergent *petB* sequences were successfully assembled from
33 metagenomic reads, significantly enriching the reference database. We then defined Ecologically
34 Significant Taxonomic Units (ESTUs), i.e. organisms belonging to the same clade and occupying a
35 given oceanic niche. Three major ESTU assemblages were identified along the cruise transect for
36 *Prochlorococcus* and eight for *Synechococcus*. The picocyanobacterial population structure of the
37 Pacific Ocean proved to be quite different from other oceanic areas. While *Prochlorococcus* HLIIIA
38 and HLIVA ESTUs co-dominated in iron-depleted areas, CRD1 and the yet-to-be cultured EnvB were
39 the prevalent clades in Pacific *Synechococcus* populations, with three different CRD1 and EnvB ESTUs
40 occupying distinct ecological niches with regard to iron availability and temperature. Sharp
41 community shifts were also observed over short geographic distances, e.g. around the Marquesas
42 Islands or between southern Indian and Atlantic Oceans, pointing to a tight correlation between
43 ESTU assemblages and specific physico-chemical parameters. Together, this study demonstrates that
44 there is ecologically meaningful fine-scale diversity within currently defined picocyanobacterial
45 clades, bringing novel insights into the ecology, diversity and biology of the two most abundant
46 phototrophs on Earth.

47

48 **Significance**

49 Metagenomics has become an accessible approach to study complex microbial communities thanks
50 to the advent of high-throughput sequencing technologies. However, molecular ecology studies
51 often face interpretation issues, notably due to the lack of reliable reference databases for assigning
52 reads to the correct taxa and use of fixed cut-offs to delineate taxonomic groups. Here, we
53 considerably refined the phylogeography of marine picocyanobacteria, responsible for about 25% of
54 global marine productivity, by recruiting reads targeting a high resolution marker from *Tara* Oceans
55 metagenomes. By clustering lineages based on their distribution patterns, we showed that there is
56 significant diversity at a finer resolution than the currently defined 'ecotypes', which is tightly
57 controlled by environmental cues.

58 \body

59 **Introduction**

60 The ubiquitous marine picocyanobacteria *Prochlorococcus* and *Synechococcus* are major contributors
61 to global chlorophyll biomass, together accounting for a quarter of global carbon fixation in marine
62 ecosystems, a contribution predicted to further increase in the context of global change (1-3). Thus,
63 determining how environmental conditions control their global distribution patterns, particularly at a
64 fine taxonomic resolution (i.e., sufficient to identify lineages with distinct traits), is critical for
65 understanding how these organisms populate the oceans, and in turn contribute to global carbon
66 cycling. The availability of numerous strains in culture and sequenced genomes make
67 picocyanobacteria particularly well suited for cross-scale studies from genes to the global ocean (4).
68 Physiological studies of a range of *Prochlorococcus* strains isolated from various depths and
69 geographical regions, notably revealed the occurrence of genetically distinct populations exhibiting
70 different light or temperature growth optima and tolerance ranges (5, 6). These observations are
71 congruent on the one hand, with the well-known depth partitioning of genetically distinct
72 *Prochlorococcus* populations in the ocean, with high light-adapted (hereafter HL) populations in the
73 upper lit layer and low light-adapted (hereafter LL) populations located further down the water
74 column, and on the other hand, with the latitudinal partitioning between *Prochlorococcus* HLI and
75 HLII clades that are adapted to temperate and tropical waters, respectively (5, 7, 8). For
76 *Synechococcus*, although no clear depth partitioning (i.e., phototypes) has been observed so far, the
77 occurrence of different ‘thermotypes’ has been clearly demonstrated among strains isolated from
78 different latitudes (9, 10). This latter finding agrees well with biogeographical patterns of the most
79 abundant *Synechococcus* lineages, with members of clades I and IV restricted to cold and temperate
80 waters, while clade II populations are mostly found in warm, (sub)tropical areas (11-14). More
81 recently, several studies have shown that iron could also be an important parameter controlling the
82 composition of picocyanobacterial community structure since *Prochlorococcus* HLIII/IV ecotypes (15,
83 16) and *Synechococcus* clade CRD1 (17, 18) were shown to be dominant within high nutrient-low
84 chlorophyll (HLNC) areas, where iron is limiting. Most of these studies considered members of the
85 same clade —i.e. *Prochlorococcus* clades HLI-VI and LLI-VI or *Synechococcus* clades I-IX, which are
86 congruent between different genetic markers (14, 19-22)— as one ecotype, i.e. a group of
87 phylogenetically related organisms sharing the same ecological niche (4, 23). Yet the use of a high
88 taxonomic resolution marker, the core, single copy *petB* gene encoding cytochrome *b₆*, has revealed
89 different spatially structured populations (subclades) within the major *Synechococcus* clades that
90 were adapted to distinct niches (12), suggesting that the ‘clade’ level might not be the most
91 ecologically relevant taxonomic unit. Moreover, the systematic use of probes and/or PCR
92 amplification might have led to overlook some important genetic diversity, a drawback potentially

93 resulting in a poor assessment of the relative proportion of co-occurring populations at any given
94 station. In this context, the occurrence of a huge microdiversity within wild *Prochlorococcus*
95 populations was recently demonstrated by estimating the genomic diversity within coexisting
96 members of the HLII clade using a large-scale single-cell genomics approach (24). Still, the
97 congruency of phylogenies based on whole genome and internally transcribed spacer (ITS) suggests
98 that ITS ribotype clusters coincide, in most cases, with distinct genomic backbones that would have
99 diverged at least a few million years ago and the relative abundance of which vary through temporal
100 and local adjustments (24). Thus, approaches using a single marker gene remain valid but fine spatial,
101 temporal and taxonomic resolution is required to better understand how divergent
102 picocyanobacterial lineages have adapted to different niches in the global ocean.

103 Here, we analyzed 109 metagenomic samples collected during the 2.5-year *Tara* Oceans
104 circumnavigation (25, 26), a project surveying the diversity of marine plankton that produced nearly
105 eleven times more non-redundant sequences than the previous Global Ocean Survey (GOS)
106 expedition (15). In order to retrieve taxonomically relevant information for picocyanobacteria and to
107 avoid PCR-amplification biases, reads targeting the high resolution *petB* gene (12) were recruited
108 using a *mi*Tag approach (27). Even though this approach did not give us access to the rare biodiversity,
109 these analyses unveiled a previously unsuspected genetic diversity within both the *Prochlorococcus*
110 and *Synechococcus* genera. Clustering based on the distribution patterns of picocyanobacterial
111 communities allowed us to define Ecologically Significant Taxonomic Units (ESTUs), i.e., genetically
112 related subgroups within clades that co-occur in the field. Analyses of the biogeography of ESTU
113 assemblages showed that they were strongly correlated with specific environmental cues, allowing
114 us to define distinct realized environmental niches for the major ESTUs.

115

116 **Results**

117 **Revealing novel picocyanobacterial diversity using *petB*-*mi*Tags and newly assembled sequences.** To
118 evaluate the taxonomic resolution potential of *petB* *mi*Tags, for assessing picocyanobacterial genetic
119 diversity, simulated 100 bp reads (i.e., the minimum size of the *Tara* Oceans merged metagenomic
120 reads) were generated by fragmenting sequences from our reference databases (**Datasets 1-3**). This
121 analysis showed that *petB* reads can be assigned reliably at the finest taxonomic level, i.e. subclade
122 (12), over most of the gene length (**Fig. S1**). The *petB*-*mi*Tags approach was therefore applied to the
123 whole *Tara* Oceans transect (66 stations, 109 metagenomes, 20.2 ± 9.9 Gb of metagenomic data per
124 sample). With the exception of the Southern Ocean and its vicinity (TARA_082 to TARA_085) for
125 which no *petB* reads were recruited, picocyanobacteria were present at all sampled *Tara* Oceans
126 stations. From 119 to 14,139 picocyanobacterial *petB* reads (average: 3,309; median: 2,545; **Dataset**
127 **4**) were recruited per sample using a non-redundant reference database of 585 high quality *petB*

128 sequences, representing most of the genetic diversity identified so far among *Prochlorococcus* and
129 *Synechococcus* isolates and environmental clone libraries (**Fig. 1**). Interestingly, most *petB* sequences
130 in our database recruited at least one read from the *Tara* Oceans metagenome as best hit, with the
131 notable exception of some sequences of the cold-water adapted *Synechococcus* clade I, likely due to
132 the limited sampling performed at high latitudes during the *Tara* Oceans expedition (28). This
133 suggests that most genotypes known so far are sufficiently well represented in the marine
134 environment to be detected by this approach. Still, we cannot exclude that this preliminary analysis
135 provides a somewhat biased picture of the diversity toward the ‘already known’, since most current
136 reference sequence databases are potentially skewed by culture isolation and/or amplification
137 biases.

138 To search for potential hidden genetic diversity within the *Tara* Oceans picocyanobacterial
139 communities, we examined the percent identity of recruited reads with regard to their best hit in the
140 *petB* database (**Figs. 2A-B and S2**). *Prochlorococcus* and *Synechococcus* *petB* sequences can be easily
141 differentiated from non-specific signal by selecting reads above 80 % identity to the closest reference
142 *petB* sequence. The diversity within the most abundant *Synechococcus* clades (I-IV) was generally
143 well covered by reference sequences since most reads displayed >94 % identity to their best-hit in
144 the database, a cut-off value previously shown to allow an optimal separation of *Synechococcus*
145 lineages displaying distinct distribution patterns (12). In contrast, for other clades, some of the
146 recruited reads were quite distantly related to reference sequences (i.e., between 80-94% identity),
147 indicating that the *in situ* diversity of these clades was not fully covered by the reference database
148 (**Fig. 2B**, top panels).

149 To have a more realistic and exhaustive view of this diversity, we assembled 136 distinct nearly
150 complete *petB* sequences from environmental reads (121 *Prochlorococcus* and 15 *Synechococcus*),
151 corresponding to the most divergent genotypes present in the whole *Tara* Oceans dataset. By adding
152 these novel sequences to the reference database (see **Dataset 1** and sequences in white in **Fig. 1**), we
153 significantly improved taxonomic assignments of *petB*-_{mi}Tags, since 80.3 % of the *Prochlorococcus*
154 and 90.2 % of the *Synechococcus* environmental *petB* reads were found to display >94 % identity with
155 their best hits in the enriched reference database, an increase of about 11 and 7 % compared to our
156 initial assessment, respectively (**Figs. 2B and S2**). Interestingly, quite a few highly divergent
157 sequences from *Prochlorococcus* HLIII, HLIV and LLI as well as *Synechococcus* CRD1 were assembled
158 from TARA_052, located East of Madagascar, a station exhibiting a picocyanobacterial community
159 atypical for this oceanic area (see below). Although most of these additional sequences fell into
160 known phylogenetic clades, they allowed us to better assess the extent of genetic diversity within
161 both *Prochlorococcus* and *Synechococcus* (**Fig. 1**). While only a few *petB* sequences, all coming from
162 cultured strains, were available for the *Prochlorococcus* HLI and LLI clades prior to this study, we

163 added 43 novel HLI sequences (within-clade nucleotide identity range: 87-99.6%), 29 LLI sequences
164 (within-clade identity range: 85.5-99.6%) as well as 11 sequences of the uncultured HLIII and IV
165 clades, some of which form distinct monophyletic branches comprised entirely of novel sequences
166 (**Fig. 1 and Dataset 1**). Although many HLII sequences were recently obtained by high throughput
167 single cell genomics focused on this clade (24), assembly of *Tara Oceans* reads allowed us to retrieve
168 several divergent HLII sequences (within-clade identity range: 86.2-99.8%) including a new, well-
169 supported group (corresponding to ESTU HLIIc, see below), located at the base of the HLII radiation.
170 Similarly for *Synechococcus*, newly assembled sequences allowed us to refine the taxonomy of
171 several taxa, notably for CRD1 and EnvB clades as well as subcluster 5.3, three ecologically important
172 but previously overlooked phylogenetic lineages.

173

174 **Using global picocyanobacterial distribution patterns to define ESTUs.** As expected from previous
175 literature (1, 2, 5, 29), *Prochlorococcus* was the dominant picocyanobacterium at the global scale,
176 representing ~91% of all *petB* reads from the bacterial size fraction, compared to 9% for
177 *Synechococcus* (**Fig. S3A**). These percentages compare fairly well with the global contribution of
178 *Prochlorococcus* and *Synechococcus* estimated from flow cytometry data as 80.6% ($2.9 \pm 0.1 \times$
179 10^{27} cells) and 19.4 % ($7.0 \pm 0.3 \times 10^{26}$ cells), respectively (1). The apparent lower contribution of
180 *Synechococcus* in our dataset might be due to the fact that the *Tara Oceans* sampling was not made
181 at random in the ocean, since most stations were located in the inter-tropical zone and/or selected
182 for displaying specific traits of interest (e.g., upwelling, fronts, island proximity, etc.), while
183 Flombaum and coworkers' dataset included many data from temperate stations, where
184 *Synechococcus* is abundant.

185 To study the global distribution of these organisms at a finer taxonomic resolution, we then
186 examined whether *Prochlorococcus* and *Synechococcus* clades and/or subclades were ecologically
187 meaningful. To do this, we analyzed the distribution patterns along the *Tara Oceans* transect of
188 within-clade Operational Taxonomic Units (OTUs), as defined using a cut-off at 94% nucleotide
189 identity (**Figs. 2C and S4 and Dataset 5**). Although for some clades, OTUs displayed a homogeneous
190 pattern over their geographical distribution area (e.g., *Prochlorococcus* HLIII and IV, **Fig. S4**) or were
191 too scarce to reliably distinguish ESTUs (*Synechococcus* subcluster 5.2 and clades I, V-VIII, WPC1,
192 EnvA, IX, XVI, XX, UC-A, *Prochlorococcus* clades LLII-IV), most of the prevalent clades encompassed
193 several coherent OTU clusters displaying distinct distribution patterns (and thus likely occupying
194 distinct ecological niches) that were gathered into independent ESTUs (**Fig. 2C, Fig. S4**). For instance,
195 OTUs within *Synechococcus* clade CRD1 can be split into 3 ESTUs (CRD1A-C) based on clustering of
196 their abundance per station. Some of these ESTUs correspond to previously described clades (e.g.,
197 *Prochlorococcus* HLIIIA and HLIIVA) or subclades (e.g., *Synechococcus* IVC), while others gather

198 subclades having similar distribution patterns. For instance, *Synechococcus* ESTU IIA encompasses
199 subclades Ila-d and IIf and ESTU IIB gathers subclades IIE and IIh, as previously defined by Mazard et
200 al. (12). Thus, although most previous field diversity studies on picocyanobacteria focused on clades
201 (5, 14, 18, 21, 22), which were generally considered as distinct ‘ecotypes’ (*sensu* (19)), our data
202 indicate that ESTUs provide a finer estimate of *Prochlorococcus* and *Synechococcus* ecotypes than do
203 clades. This approach was then used to study the biogeography of marine picocyanobacteria along
204 the *Tara* Oceans transect and stations exhibiting similar ESTU assemblages were clustered together
205 **(Figs. 3A and 4A).**

206

207 **Biogeographical analyses of *Prochlorococcus* reveals the occurrence of minor ESTUs with**
208 **unexpected distribution patterns.** Most major *Prochlorococcus* clades (HLI, HLII and LLI) could be
209 split into several ESTUs, though for the former two, one ESTU was clearly predominant (**Figs. 3A and**
210 **S5**). Only three major ESTU assemblages were identified in surface samples: i) dominance of HLIA
211 ESTU in temperate waters (above 35°N and 32°S), ii) dominance of HLIIA in warm and iron-replete
212 waters between 30°S and 30°N, with mixed HLIA-HLIIA profiles at intermediate latitudes and iii) co-
213 occurrence of HLIIIA and IVA at a ratio of ca. 1:2.6 (± 0.7) in warm, high nutrient-low chlorophyll
214 (HNLC) areas. The low abundance of LLII-IV clades in the whole *Tara* Oceans dataset (Fig. S6A-C) is
215 likely due to the fact that they usually thrive below the DCM (5, 30), i.e. at depths not sampled during
216 the expedition. In contrast, most LLI ESTUs were very abundant in subsurface waters (**Figs. S3 and**
217 **S5b**) and sometimes even reached the surface (e.g., at TARA_066-070, **Fig. 3A**), as expected from
218 the ability of members of the LLI clade to tolerate a strong mixing rate and short-term exposure to
219 high light (5, 8, 30, 31).

220 HLIIIA and HLIIA ESTUs altogether contributed to 15.5% of the *Prochlorococcus* community in *Tara*
221 Oceans samples, i.e. about as much as HLI (17%) or LLI (15.2%; **Fig. S3A**). This value is slightly higher
222 than the 9% that were previously estimated for HLIII-IV clades from the analysis of GOS samples (11).
223 Consistent with previous studies (11, 16, 32, 33), we show here that their distribution covers most of
224 the warm (>25°C), low-Fe equatorial Pacific zone from 13°S (TARA_100) to 14°N (TARA_137), where
225 they constitute the vast majority of the *Prochlorococcus* community in surface waters. In the Indian
226 Ocean, we only observed them at two stations near the northern coast of Madagascar (TARA_052
227 and TARA_056), in agreement with a previous report that found them at two sites located further
228 east (32), all these sites likely being influenced by the Indonesian throughflow originating from the
229 tropical Pacific Ocean (34). Thus, HLIII/IV seemingly occurs over a much thinner latitudinal band
230 (centered around 15°S) in the Indian compared to the Pacific Ocean, and they are apparently very
231 scarce in the part of the Atlantic Ocean explored by the *Tara* schooner, even though the area around
232 stations TARA_072 and TARA_070 is known to be iron-depleted (see Fig. S1 in (18)). Altogether, the

233 distribution patterns of the dominant *Prochlorococcus* HL ESTUs seem to be mainly driven by
234 temperature and iron availability, as confirmed by non-metric multidimensional scaling (NMDS)
235 analyses (**Fig. 3C**). These results are globally consistent with previous reports that analyzed
236 *Prochlorococcus* clades (5, 8, 16, 30, 32), indicating that the latter studies actually targeted the
237 dominant ESTUs.

238 In contrast, a number of minor ESTUs were found to display distribution patterns very different from
239 the major ESTU of the same clade. For instance, the relative contribution of the above mentioned
240 novel HLIIC ESTU was highest at the DCM in the equatorial Indian Ocean (TARA_041-042; **Fig. S5b**),
241 suggesting that members of this ESTU are adapted to mid-depth waters, much like members of the
242 LLI clade (5, 30). Similarly, ESTUs HLIB and D can sometimes take over the prevalent HLIA populations
243 and become abundant in surface waters at specific locations (e.g., at TARA_093 and TARA_094,
244 respectively). In contrast, HLIC, which comprises a complex microdiversity (10 OTUs; **Fig. S4**), was
245 found to exhibit a particularly large niche, co-occurring with HLIA at high latitude but also being
246 present as the major HLI population in warm oligotrophic waters, where HLIA dominated the
247 *Prochlorococcus* community (e.g., in the Indian Ocean, **Fig. S6A**). This suggests that members of the
248 HLIC ESTU might have a larger tolerance to temperature than the globally dominant HLIA. It is also
249 worth noting that among the four ESTUs defined within the LLI clade, LLIB, which is entirely
250 comprised of newly assembled *petB* sequences, dominates the LLI population in surface iron-limited
251 HNLC areas in both the equatorial/tropical Pacific (TARA_110 to 128) and Indian Ocean (TARA_052,
252 **Fig. S6B**). Thus, adaptation to low iron conditions in *Prochlorococcus* might not be an exclusive trait
253 of HLIIIA and HLIVA.

254

255 **CRD1 and EnvB ESTUs are the dominant *Synechococcus* lineages in the Pacific Ocean.**
256 *Synechococcus* assemblages were much more diverse than *Prochlorococcus* with 8 distinct ESTU
257 clusters observed along the *Tara* Oceans transect (**Fig. 4A-B**). None of these assemblages were
258 specific of a given oceanic region, though cluster 2 was mainly found in the Mediterranean Sea.
259 ESTUs IA and IVA, IVB and/or IVC dominated at most stations within clusters 4, 5 and 8 that were
260 typical of cold, coastal or mixed open ocean waters at high latitude, in agreement with previous
261 reports on the distribution of clades I and IV (11, 12, 14, 18). In contrast, ESTU IIA, dominated by a
262 single OTU (OTU003; **Fig. 2C**), was by far the major component of cluster 1, an assemblage
263 characteristic of most warm, mesotrophic and oligotrophic iron replete waters that encompass the
264 vast majority of the Atlantic and Indian Oceans (**Fig. 4B**). Consistently, NMDS analysis showed that
265 the occurrence of clusters 4, 5, 8 on the one hand, and cluster 1 on the other hand, were associated
266 both with temperature and Chl α , but in opposite ways (**Figs. 4C and S7**). Interestingly, while ESTU IIA

267 was typical of warm waters, the minor ESTU IIB was found to be restricted to fairly cold (14.1 to
268 17.5°C), mixed waters and to co-occur with IVA-B (**Fig. 4**).

269 Several other salient features arose from analyses of the *Tara* Oceans metagenomes. First, ESTU IIIA,
270 the major contributor of cluster 2, was found only in the Mediterranean Sea (TARA_007 to 030) and
271 the Gulf of Mexico (TARA_142; **Fig. 4A-B**). Both areas are known to be P-depleted (35, 36), suggesting
272 that the dominance of this ESTU could be linked to a specific adaptation to P limitation, as confirmed
273 by the inverse correlation of cluster 2 with P concentrations (**Fig. 4C**) and correlation analyses
274 between IIIA and individual physico-chemical parameters (**Fig. S7**). The differential availability of this
275 nutrient on both sides of the Suez Canal is therefore probably responsible for the strong community
276 shift from a IIIA- to a IIA-dominated assemblage between the Mediterranean and Red Sea (**Fig. S5a**),
277 although one cannot exclude that other specific characteristics of the Mediterranean Sea, such as the
278 presence in the eastern basin of copper, a trace metal toxic to a number of phytoplankton species
279 (37), might also be involved. While the dominance of clade III in the Mediterranean Sea is consistent
280 with previous studies (14, 38), it was also reported in fair abundance along a N-S transect in the
281 northern Atlantic Ocean in fall 2004 (AMT15) as well as in sub-tropical waters of the Pacific and
282 Atlantic oceans (12, 14), whereas we found it only as a minor component of the *Synechococcus*
283 community in these areas. It is possible that the relative contribution of clade III might have been
284 overestimated using PCR-based or dot-blot hybridization approaches. A more likely explanation is
285 that this clade is subject to seasonality, as suggested by a year-round survey in the Red Sea, showing
286 that clade III abundance peaks occur during summer, stratified conditions, and remains at low
287 concentrations over the rest of the year (20, 39). In this context, it is important to note that during
288 *Tara* Oceans, the north and south Atlantic as well as the southern Indian Ocean were all sampled
289 during winter or early spring, while the Mediterranean Sea was sampled in fall (**Dataset 4**). Hence,
290 this warrants future global metagenomic studies at various seasons as well as finer-scale studies
291 looking at seasonal variations in community structure.

292 Also unexpected was the large global abundance (6% of total *Synechococcus* reads, Fig. S3) of
293 subcluster 5.3 (formerly clade X; (40)). Members of ESTU 5.3A (mostly co-occurring with ESTU IIIA)
294 were found mostly along the transect from Panama to Bermuda (TARA_140-149), in the
295 Mozambique Channel (TARA_057 and TARA_062) as well as at all stations of the Red Sea and
296 Mediterranean Sea, where they contributed up to ca. 30 % of the local *Synechococcus* community,
297 e.g., at the Gibraltar strait (TARA_007, Fig. 4A-B). In contrast, ESTU 5.3B (co-occurring with ESTU IIA)
298 was always present in low relative abundance. Members of subcluster 5.3 have only been
299 sporadically detected in previous studies mostly in open-ocean habitats in the northwestern Atlantic
300 and Pacific Ocean and in the Mediterranean Sea (11, 12, 14, 17, 21, 38), reaching significant
301 abundances only in transitional waters, such as the Amazon plume or the Benguela upwelling (18).

302 These specific localizations might explain why only a few sequences of this subcluster were
303 previously detected in the GOS database (11).

304 Another striking result of this study was the strong global contribution of the co-occurring clades
305 CRD1 and EnvB (8.4% and 5.4% of total *Synechococcus* reads, respectively; **Fig. S3D-E**). Recently, low
306 Fe regions of the western equatorial Pacific (5°S-10°N) and southeastern Atlantic Oceans (15-20°S)
307 were shown to be dominated by CRD1 (17, 18), a clade that was previously thought to be specific to
308 the Costa Rica dome, where *Synechococcus* cell densities are known to be the highest worldwide (41,
309 42). Here, we show that CRD1 and EnvB ESTUs actually co-dominate the *Synechococcus* community
310 over most of the Pacific Ocean from 33°S to 35°N and can also be prevalent in both the South
311 (TARA_068-072) and North Atlantic (TARA_150-152) as well as in the Indian Ocean (TARA_052) but
312 are virtually absent from the Mediterranean Sea (**Fig. 4A-B**). So, it seems that, in contrast to
313 *Prochlorococcus* HLIII/IV, the distribution of CRD1 in the Pacific Ocean extends way beyond HNLC
314 areas. Furthermore, we show here that both the CRD1 and EnvB clades actually encompassed 3
315 distinct ESTUs, displaying partially overlapping niches and falling into five clusters (3, 5-8; **Fig. 4A**)
316 that were also split far apart by NMDS analyses (**Fig. 4C**). CRD1B and EnvBB were restricted to high
317 latitude, cold, mixed waters (cluster 8), where they systematically co-dominated with ESTU IA, IVA
318 and IVC. This includes TARA_093 located in the Chilean upwelling, TARA_152 in North Atlantic as well
319 as TARA_068 in South Atlantic corresponding to a young Agulhas ring (43). In contrast, CRD1C and
320 EnvBC preferentially thrived in warm HNLC regions (cluster 3 and the warmest stations of cluster 6),
321 with CRD1C largely dominating the *Synechococcus* population in the Pacific inter-tropical area as well
322 as at the Indian Ocean station TARA_052. Comparatively, CRD1A and EnvBA that were found in both
323 kinds of environments, appear to be much more ubiquitous and to tolerate a much wider
324 temperature range, not only than other CRD1 and EnvB ESTUs, but also more generally than all other
325 *Synechococcus* strains characterized so far in culture (9, 10). Several previous studies also reported
326 the presence of CRD2, co-occurring with CRD1 mainly in the Costa Rica dome area and in equatorial
327 waters and generally constituting around 10-15 % of the total *Synechococcus* surface population (17,
328 18). It is tempting to speculate that the *petB*-defined EnvB clade, which had so far only been reported
329 at one station in the middle of the North Atlantic basin (12), corresponds to the ITS-defined CRD2
330 clade. However, the different proportions of EnvB and CRD2 relative to CRD1 strongly suggests that
331 the qPCR primers used in these studies targeted only a fraction of the CRD2/EnvB population,
332 possibly corresponding to EnvBC, which like CRD2, is positively correlated with temperature ((18) and
333 **Fig. S7**). Alternatively, seasonal variations might also explain the differences observed between these
334 two datasets.

335

336 Discussion

337 The comprehensive nature of the *Tara* Oceans dataset, analyzed here at high taxonomic resolution,
338 has markedly improved our current knowledge of the global phylogeography of marine
339 picocyanobacteria, and highlighted the key role of environmental parameters in shaping their
340 distribution patterns. Indeed, by assigning *petB*-miTags recruited for each clade to narrow OTUs, then
341 clustering those sharing a similar ecological distribution into the same ESTU, we showed that despite
342 a wide genetic diversity, *Prochlorococcus* and *Synechococcus* communities can be split into a fairly
343 limited number of characteristic ESTU assemblages, often dominated by one or two major
344 ESTU(s). This includes the co-dominating *Prochlorococcus* HLIIIA-HLIVA, which co-dominated at a fairly
345 constant ratio (1:2.6) all over low Fe regions (Fig. 3A), *Synechococcus* IIIA that was abundant all over
346 the Mediterranean Sea or CRD1 and EnvB ESTUs, co-dominating the *Synechococcus* community in
347 vast expanses of the Pacific Ocean (Fig. 4A). Interestingly, we also showed that most
348 picocyanobacterial clades encompass minor ESTUs that occupy niches distinct from dominant ones.
349 This indicates that there is ecologically meaningful fine-scale diversity within currently defined
350 *Synechococcus* or *Prochlorococcus* clades, even though the latter have often been referred to as
351 'ecotypes' (5, 30). In this context, it is important to note that the *Prochlorococcus* genus is thought to
352 have occurred concomitantly to the major diversification event that also led to the splitting of
353 *Synechococcus* subcluster 5.1 into about fifteen distinct clades (21, 44, 45), suggesting that, from a
354 phylogenetic point of view, the whole *Prochlorococcus* genus is actually equivalent to a single
355 *Synechococcus* clade, explaining why linking clades to a given ecological niche is trickier for the latter
356 genus. In *Prochlorococcus*, several physico-chemical parameters have seemingly played a decisive
357 role in the genetic diversification of this genus, at distinct periods of its evolutionary history, starting
358 with light (split between LL and HL lineages), then iron availability (HLIII/IV vs. other HL) and
359 temperature (HLI vs. HLII; (19, 22, 46)). In contrast, nitrogen and phosphorus availability influenced
360 genetic diversification only in the 'leaves' of the *Prochlorococcus* radiation, through lateral transfers
361 of gene cassettes conferring on populations the ability to adapt to local N or P-depleted niches (47,
362 48). Despite this apparent solid relationship between *Prochlorococcus* phylogeny and community
363 structure, a recent study looking at the genomic diversity of individual *Prochlorococcus* cells in a
364 single water sample highlighted a huge microdiversity within the HLII clade (24). This microdiversity
365 seemingly allows cells to adapt to slightly different selective pressures, such as biotic factors (phages,
366 grazing, etc). Here, we also observed a large microdiversity within the HLII lineage, with 25 OTUs
367 comprising 4 ESTUs, but in agreement with a recent study (49), there were only subtle differences
368 between the distribution patterns of these intra-clade groups (except for ESTU HLIIC, represented by
369 a single OTU; **Fig. 2C**), confirming that abiotic factors have only marginally affected the genetic
370 diversification within this clade. In contrast, the microdiversity that we identified within HLI and LLI

371 has seemingly allowed members of these clades to colonize ecological niches clearly different from
372 that of the dominant ESTUs, extending the global niche occupied by these lineages. This includes
373 LLIB, which seems to be adapted to Fe-limited surface waters, much like HLIIIA-IVA, as well as HLIC,
374 which thrives not only in cold temperate waters, as do the more typical HLIA, but also in warm sub-
375 tropical waters, where it co-occurs with the dominant HLIIA (**Fig. S6**). This is consistent with the
376 recent finding that HLI sub-clades are driven by distinct environmental traits (49) and that even in
377 HLII-dominated waters, HLI is never competed to extinction (7).

378 Similarly, splitting *Synechococcus* clades into ESTUs revealed that this genus comprises a number of
379 specialists, mostly characterized by their respective temperature and Fe requirements (**Fig. 5**). While
380 CRD1B/EnvBB, CRD1A/EnvBA/EnvAA and CRD1C/EnvBC were found in cold, intermediate and warm
381 waters respectively with various degrees of Fe limitation, other ESTUs preferentially thrive in regions
382 where this nutrient is not limiting in either cold (IA, IVA, IIB), intermediate (IIIA, 5.3A) or warm (IIA)
383 waters. The third most discriminating parameter appears to be P-limitation that only ESTUs IIIA and
384 5.3A can stand, but only in Fe-replete conditions. It is also worth noting that several ESTUs, such as
385 those classified as ‘temperature intermediate’, display a larger tolerance range with regard to
386 temperature than their ‘cold’ and ‘warm’ counterparts (**Fig. 5**). Altogether, these results temper the
387 paradigm of *Synechococcus* being a generalist and physiologically more plastic than *Prochlorococcus*,
388 which mainly relied on the ability of the former to colonize much wider ecological niches than the
389 latter and on the apparent absence of genome streamlining in *Synechococcus* compared to
390 *Prochlorococcus* (19, 50-52). Thus, our results demonstrate that the observed ubiquity of the
391 *Synechococcus* genus as a whole (1, 2) in fact rests on a complex suite of specialists adapted to fairly
392 narrow niches, as is the case for *Prochlorococcus*.

393 Focusing on shifts in community composition associated to changes in local environmental conditions
394 or to physical barriers (**Fig. S5a-b**) provided additional insights into this global picture and revealed
395 that some ESTUs behave as opportunists. For instance, this is the case off the Marquesas Islands,
396 where the proximity of the coast induced an iron enrichment at TARA_123 and 124 as compared to a
397 typical HNLC situation at TARA_122 and TARA-128. While CRD1-C dominated at the latter stations,
398 ESTU IIA took over this local population in these iron-replete patches (with an intermediate situation
399 at TARA_125; **Fig S5a**). By comparison, the *Prochlorococcus* abundance drastically dropped at
400 TARA_123 but without any significant change in the community structure, suggesting that the minor
401 HLIIA component of this assemblage was not responsive enough to local iron enrichment to
402 outcompete the dominant HLIIIA/IVA population. Another abrupt shift in community composition
403 occurred at the Agulhas choke point off the southern tip of Africa, where huge anticyclonic rings (i.e.,
404 Agulhas rings) are formed in the Indian Ocean and then drift across the South Atlantic (43, 53). The
405 strong drop in temperature, occurring within the youngest ring (TARA_068), was likely responsible

406 for a large part in the shift from a typical subtropical ESTU assemblage in the Indian Ocean,
407 dominated by *Prochlorococcus* HLIIA-C and *Synechococcus* IIA (TARA_064-065), to a cold water ESTU
408 assemblage (HLIA-C, LLIA and C, CRD1A, EnvBA and IVA-B) at TARA_068 (**Fig. S5a**), suggesting that the
409 latter ESTUs might also have an opportunistic behavior with regard to their warm waters
410 counterparts. Although these two examples correspond to biogeochemical processes likely occurring
411 at different time scales, the observed ESTU assemblage changes likely result from differences in the
412 intrinsic dynamics of ESTUs within both genera, the most adapted one outcompeting others in
413 favorable ecological conditions, with *Synechococcus* displaying a more opportunistic behavior than
414 *Prochlorococcus*.

415 Our results also raise several questions that can only be addressed in the laboratory or *in silico*. From
416 a physiological point of view, the fact that some ESTUs seemingly get counter-selected in response to
417 nutrient enrichment (e.g., iron in the case of CRD1C) suggests that their growth capacity in nutrient
418 replete conditions is lower than that of opportunistic ESTUs (e.g. IIA) and this could be checked by
419 comparing representative strains of these two lifestyles in single or co-cultures. It is also unclear yet
420 whether differences between these two behaviors is due to the loss of genes costly to maintain for
421 the cells, to a better affinity of core enzymes (e.g., for nutrient scavenging) and/or to the acquisition
422 of specific gene sets by lateral gene transfer, as reported for *Prochlorococcus* regarding phosphate
423 and nitrogen uptake and assimilation (47, 48). Adaptation to low iron is particularly striking in this
424 context since our study showed that this ability, previously thought to be specific to *Prochlorococcus*
425 HLIII and IV (16, 32), seems to have appeared several times during evolution in quite distantly related
426 ESTUs, namely *Prochlorococcus* HLIIIA/HLIVA —that likely occurred via a single diversification event—
427 and LLIB as well as *Synechococcus* CRD1A, CRD1C, EnvBA, EnvBC and EnvAA (**Fig. 5**). Although no
428 *Prochlorococcus* isolates of HLIIIA/IVA are available in culture yet, sequencing of single amplified
429 genomes suggested that these organisms have adapted to iron-limited environments by lowering
430 their cellular iron requirement through loss of genes encoding iron-rich proteins and by acquiring
431 siderophore transporters for efficient scavenging of organic-bound forms of this element (32, 33).
432 Genomic comparison of *Synechococcus* strains, including representatives of the different CRD1
433 ESTUs, as well as whole genome recruitment of metagenomic data should allow to check whether a
434 similar adaptation process has occurred in this genus.

435 In conclusion, although very few studies have so far combined information from high resolution
436 phylogenetic markers and geographical distribution to detect ecologically coherent taxonomic groups
437 (e.g., (49, 54)), we show here that this approach can bring invaluable insights for deciphering the
438 links between genetic diversity and niche occupancy. Indeed, the definition of within-clade ESTUs
439 using a reference *petB* database enriched with ecologically relevant and distantly related sequences
440 assembled from *Tara* Oceans reads, has allowed us to obtain clear-cut spatial distribution patterns

441 for taxa within both *Prochlorococcus* and *Synechococcus* genera, indicating that we explored the
442 diversity of the picocyanobacterial community at the right taxonomic resolution. Additionally, in
443 contrast to other phytoplankton groups, such as diatoms (55), these biogeographical patterns were
444 found to be tightly controlled by environmental factors. Besides helping to refine models of
445 picocyanobacterial distributions and predicting their behavior in response to ongoing climate change,
446 knowledge of the oceanic areas where poorly characterized ESTUs predominate, will also guide
447 future strain isolation (e.g., for the yet uncultured EnvA and EnvB) and sequencing efforts.
448 Characterizing and comparing such ecologically representative strains will help further unveil the
449 basis of niche partitioning.

450 **Materials and methods**

451 **Genomic material.** This study focused on 109 *Tara* Oceans metagenomes corresponding to 66
452 stations along the *Tara* Oceans transect for which a 'bacterial size fraction' was available (i.e. 0.2-1.6
453 μm for TARA_004 to TARA_052 and 0.2-3 μm for TARA_056 to TARA_152). Water samples were
454 collected at two depths, surface (SUR) and deep chlorophyll maximum (DCM), the latter sample
455 sometimes being merely collected in the upper mixed layer, when the DCM was not clearly
456 delineated (**Dataset 4**). Metagenomes were sequenced using the Illumina® technology as overlapping
457 paired reads of $\sim 100/108$ bp with various sequencing depths, ranging from 16×10^6 to 258×10^6
458 reads after quality control, corresponding to an average 20.2 ± 9.9 Gb of sequence data per sample.
459 Reads were merged using FLASH v1.2.7 with default parameters (56) and cleaned based on quality
460 using CLC QualityTrim v4.10.86742 (CLC Bio, Aarhus, Denmark), resulting in 100 to 215 bp fragments.
461 **Dataset 4** describes all metagenomic samples with location and sequencing effort. All metagenomes
462 and corresponding environmental parameters measured during the *Tara* Oceans expedition are
463 available at www.pangea.de, except for the iron and ammonium data that were simulated with the
464 ECCO2-Darwin model and the iron limitation index Φ_{sat} (57) and are available in Dataset 4.

465 **Building of the PetB-DB database.** To recruit and taxonomically assign metagenomics reads targeting
466 the high resolution *petB* gene marker, we analyzed 1,091 sequences of the *petB* gene from cultured
467 isolates and environmental samples and built a reference database including all non-redundant high
468 quality sequences of this marker available for the marine picocyanobacteria *Prochlorococcus* (69
469 sequences covering 7 clades) and *Synechococcus* (399 sequences covering 3 subclusters, 22 clades
470 and 30 subclades). The dataset also includes outgroup sequences from publicly available
471 cyanobacteria, including marine (13 sequences) and freshwater isolates (40 sequences), as well as
472 representatives of the main marine eukaryotic phytoplankton taxa and eukaryotic cyanobionts (64
473 plastid *petB* sequences), raising the number of *petB* sequences to 585 (**Tables S1 and S2**). To avoid
474 differential alignment effects at the edge of the reference sequences, all sequences were aligned and
475 trimmed to 557 bp. This database was secondarily complemented by 136 *petB* sequences assembled
476 from selected *Tara* Oceans stations and displaying less than 94 % identity with previously known
477 *petB* sequences (yet some of these new sequences could exhibit more than 94 % identity with one
478 another).

479 **Read recruitments.** Targeted *petB* fragment recruitments were performed using a two-step protocol.
480 In order to maximize the diversity while reducing the weight of the resulting tabulated files,
481 translated sequences of the non-redundant *petB* database were used to recruit candidate *petB* gene
482 fragments by BLASTX (v2.2.28+) using default parameters but by limiting the results to 1 target

483 sequence. These *petB* candidates were then compared to the full reference *petB* database using
484 BLASTN (v2.2.28+) with sensitive configuration (`-task blastn -gapopen 8 -gapextend 6 -reward 5 -`
485 `penalty -4 -word_size 8`) and cut-offs to reduce the weight of resulting tabulated files (`-perc_identity`
486 `50 -evalue 0.0001`).

487 Reads with more than 90 % of their sequence aligned and with more than 80 % sequence identity to
488 their best-hit (see result section for the determination of this cut-off) were selected as genuine
489 picocyanobacterial *petB*, taxonomically assigned to their best-hit and subsequently used to build per-
490 strain read counts tables. Counts were then aggregated by clade or ESTU and subsequently used to
491 build pie charts or community structure profiles.

492 **Phylogenetic and statistical analyses.** Phylogenetic reconstructions were based on multiple
493 alignments of *petB* nucleotide sequences generated using MAFFT v7.164b with default parameters
494 (58). A maximum likelihood tree was inferred using PHYML v3.0 – 20120412, (59) with the HKY + G
495 substitution model, as determined using jModeltest v2.1.4 (60), and the estimation of the gamma
496 distribution parameter of the substitution rates among sites and of the proportion of invariables
497 sites. Confidence of branch points was determined by performing bootstrap analyses including 1000
498 replicate data sets. Phylogenetic trees were edited using the Archaeopteryx v0.9901 beta program
499 (61) and drawn using iTOL (<http://itol.embl.de>; (62)). Operational taxonomical units (OTUs) for the
500 *petB* reference data set at 94% were defined by nucleotide identity using Mothur v1.34.4 (63).

501 In each clade, ESTUs were defined using a type 3 SIMPROF approach (54) by considering: i) for
502 *Prochlorococcus*, stations with more than 100 reads and OTUs recruiting more than 150 reads and ii)
503 for *Synechococcus*, stations with more than 20 reads and OTUs recruiting more than 25 reads.
504 Hierarchical clustering was performed on the remaining stations and OTUs using the Bray-Curtis
505 distance between relative abundance profiles using *heatmap.3* function in GMD v0.3.1.1 R package
506 (ward algorithm; (64)). Statistical significance of the difference between clusters was first assessed by
507 a permutation analysis using the *clustsig* v1.1 R package (alpha=0.05, Bray-Curtis distance, otherwise
508 default parameters). ESTU delineation was then manually refined, e.g. ESTUs were sometimes
509 defined from single OTUs if the Bray-Curtis distance was >0.65 or if pairs of OTUs were not defined as
510 coherent groups because all OTUs within a clade were equally distant from each other. In contrast,
511 some potential ESTUs were not considered as reliable, e.g. if high Bray-Curtis distances were due to
512 differences in abundance and not in distribution.

513 Hierarchical clustering and NMDS analyses of stations were performed using R packages *cluster*
514 v1.14.4 (65) and *MASS* v7.3-29 (66), respectively. *petB*-_{mi}Tag contingency tables aggregated at the
515 ESTU level were filtered as above and normalized using Hellinger transformation that gives lower
516 rates to rare ESTUs. Bray-Curtis distance was then used for both clustering (*agnes* function, default

517 parameters) and ordination (*isoMDS* function, $\text{maxit}=100$, $k=2$). All displayed clusters were significant
518 ($p < 0.01$, permutation tests). Fitting of environmental parameters on NMDS ordination was
519 performed with function *envfit* in *vegan* v2.2-1 package and p-value based on 999 permutations was
520 used to assess the significance of the fit and only environmental parameters showing a p-value below
521 0.05 were used.

522 **Visualization of realized environmental niches.** In order to visualize the tolerance range of each
523 ESTU with regard to physico-chemical parameters, values were scaled and reduced before analysis.
524 For each ESTU, *Tara* Oceans stations were sorted by order of abundance, and stations gathering 80%
525 of all reads of the given ESTU were kept. A boxplot was then computed for each parameter taking
526 into account the values of this parameter in the kept stations.

527

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542

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698 **Figure Legends**

699

700 **Figure 1. Maximum likelihood tree of *Synechococcus* and *Prochlorococcus* lineages based on *petB***
701 **gene sequences from both isolates and environmental sequences.** Diamonds at nodes indicate
702 bootstrap support over 70%. Taxonomic assignments are given by the color codes at clade level for
703 *Prochlorococcus* (top left) and clade (e.g. V, 5.2a) or subclade (e.g. VIIa) for *Synechococcus* (bottom
704 right). Sequences were named after ID_subcluster_clade_subclade_ESTU for *Synechococcus* ID_LL or
705 HL_clade_ESTU for *Prochlorococcus*. The outer pink ring indicates that the corresponding sequence in
706 the tree was the best-hit of at least one *Tara* Oceans picocyanobacterial read and the inner blue bar
707 plot shows the \log_2 of the number of metagenomic reads recruited for this sequence (range: 1-
708 10.84). Sequences in black letters correspond to the initial reference database and those in white or
709 light grey letters to newly assembled *petB* sequences from *Tara* Oceans metagenome reads. The
710 scale bar represents the number of substitutions per nucleotide position. For improved readability,
711 the length of three *Prochlorococcus* branches was reduced, as indicated by double slashes.
712 *Prochlorococcus* clade assignment is as in (67), while for *Synechococcus* subcluster 5.1, subclade
713 assignments are as in (68) for WPC1 and WPC2 and as in (12) for all other clades

714

715 **Figure 2. Percent identity of *Tara* Oceans *petB*_{mi} tags vs. sequences of the reference database and**
716 **abundance at different stations along the transect of operational taxonomic units (OTUs) clustered**
717 **into ESTUs. (A)** Distribution of the percent identity of best-hits of all *petB* candidate reads recruited
718 from the *Tara* Oceans bacterial-size fraction metagenomes against the *petB* reference database.
719 Populations 1 and 2 correspond respectively to genuine *petB* reads and to non-specific signal, due
720 either to *petB* reads from organisms not included in the reference database or to *petB*-related genes.
721 The grey part in population 1 corresponds to *petB* reads attributable to photosynthetic organisms of
722 the reference database other than *Prochlorococcus* and *Synechococcus*. The red arrow shows the
723 80% cut-off used to separate the *petB* signal from noise. The top and bottom panels correspond to
724 recruitments made before and after addition of the 136 newly assembled environmental *petB*
725 sequences, respectively. **(B)** Same as above but for some selected *Synechococcus* taxa (see **Fig. S2** for
726 all other picocyanobacterial taxa). **(C)** Determination of ESTUs based on the distribution patterns of
727 within-clade 94% OTUs. At each station, the number of reads assigned to a given OTU is normalized
728 by the total number of reads assigned to the clade in this station. Stations and OTUs are filtered
729 based on the number of reads recruited and hierarchically clustered (Bray-Curtis distance) according
730 to distribution pattern. Only *Synechococcus* clades split into different ESTUs are shown (see Fig. S4

731 for *Prochlorococcus*). Stars indicate nodes supported by p-value < 0.05 (test not applicable to pair
732 comparisons).

733

734 **Figure 3. Biogeography of *Prochlorococcus* ESTUs in surface *Tara* Oceans metagenomes and**
735 **relation to physico-chemical parameters. (A)** Histograms of the relative abundance of
736 *Prochlorococcus* ESTUs at each station sorted by similarity, as determined by hierarchical clustering
737 (Bray-Curtis distance). Left panel indicates seawater temperature (°C) at each station. **(B)** Distribution
738 of the ESTU assemblages, color-coded as in A, along the *Tara* Oceans transect. **(C)** NMDS analysis of
739 stations according to Bray-Curtis dissimilarity between *Prochlorococcus* assemblages, with fitted
740 statistically significant physico-chemical parameters. Samples that belong to the same ESTU
741 assemblage have been colored according to the color-code defined in A and contours of the same
742 color gather all samples comprised within each cluster. NMDS stress value: 9.852.

743

744 **Figure 4. Same as Fig. 3 but for *Synechococcus*.** NMDS stress value: 13.694.

745

746 **Fig. 5: Realized environmental niche of the major *Synechococcus* ESTUs in surface waters.**

747 For each ESTU, stations were sorted by order of normalized abundance and only those stations
748 cumulating 80% of the total abundance were used to draw the graph. Boxplots represent the range
749 of each parameter (in relative units) tolerated by any given ESTU and the median is indicated by a
750 yellow line. ESTUs are organized according to their relative temperature range (cold, intermediate or
751 warm), tolerance to iron limitation (-Fe, +Fe) and tolerance to phosphate limitation (-PO₄). Please
752 note that the two proxies used to estimate Fe-limitation ([Fe] derived from the ECCO2-Darwin model
753 and the Φ_{sat} index; the red line indicates the 1.4 % value above which iron is considered limiting;
754 (57)) are sometimes contradictory e.g., for CRD1B and EnvBB.

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Supporting information

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Figure S1: Variation of the assignment ability of each individual 100 bp gene fragment along the sequence of *petB* gene using reference databases for *Prochlorococcus* (A) or *Synechococcus* (B). Simulated reads were generated by 100 bp sliding windows along the marker sequences and the lowest taxonomic level at which they could be assigned is shown by a different blue tone (as indicated in the insert; for *Prochlorococcus*, the subcluster level actually corresponds to a LL or HL assignment, while the clade level corresponds to HLI-IV and LLI-IV, the lowest taxonomic level available for this genus).

Figure S2a: Distribution of the percent identity of *petB*_{mi} tags recruited from the bacterial-size fraction of the *Tara* Oceans metagenomes with regard to their best-hits in the reference database for each *Prochlorococcus* clade (top 9 graphs) and *Synechococcus* subclade (bottom 18 graphs) before addition of the 136 newly assembled environmental *petB* sequences. Note that clade XX was formerly called EnvC (12) but the name was changed here because there is at least one representative isolate (i.e., strain CC9616).

Figure S2b: Same as Fig. S2a but after addition of the 136 newly assembled environmental *petB* sequences.

Figure S3: Global recruitments of marine picocyanobacteria *petB*_{mi} tags in the bacterial size fraction of the *Tara* Oceans metagenomes. (A) All picocyanobacterial clades at both sampled depths; (B-C) percentage of each *Prochlorococcus* clade in surface (B) and at the deep chlorophyll maximum (DCM; C). (D-E) percentage of each *Synechococcus* clade in surface (D) and at the DCM (E). Note that clade XX was formerly called EnvC (12) but the name was changed here because there is now at least one representative isolate (i.e., strain CC9616).

Figure S4: *Prochlorococcus* ESTUs based on the distribution patterns of within-clade 94% OTUs. At each station, the number of reads assigned to a given OTU is normalized by the total number of reads assigned to the clade in this station. Stations and OTUs are filtered based on the number of reads recruited. OTUs are hierarchically clustered (Bray-Curtis distance) according to their distribution pattern. Stars indicate nodes supported by p-value < 0.05 (test not applicable to pair comparisons).

790

791 **Figure S5a:** Marine picocyanobacteria community structure in *Tara* Oceans surface metagenomes
792 based on *petB*-_{mi}Tags recruitments. (A) Surface water temperature along the *Tara* Oceans transect.
793 (B) Relative abundances of *Prochlorococcus* and *Synechococcus* normalized to the total number of
794 reads at each station. (C-D) Relative abundances of *Prochlorococcus* and *Synechococcus* ESTUs,
795 respectively. White, grey and black dots indicate the number of reads used to build the profile, as
796 detailed in the insert. For readability, temperature for stations TARA_082 (7.3°C), TARA_084 (1.8°C)
797 and TARA_085 (0.7°C) are not shown on graph A. Abbreviations: IO, Indian Ocean; MS;
798 Mediterranean Sea; NAO: North Atlantic Ocean; NPO, North Pacific Ocean; RS, Red Sea; SAO, South
799 Atlantic Ocean; SO, Southern Ocean.

800

801 **Figure S5b:** Same as Fig. S5a but at the DCM. A depth profile along the *Tara* Oceans transect was
802 added. For readability, temperature for stations TARA_082 (7.0°C) and TARA_085 (-0.8°C) are not
803 shown on graph A, while temperatures for stations TARA_007 and TARA_084 are missing.

804

805 **Figure S6: Distribution of minor *Prochlorococcus* ESTUs with regard to major ESTUs in the *Tara***
806 **Oceans metagenomes.** Relative abundance normalized to the total number of reads per ESTU of (A)
807 ESTUs HLIA and HLIC with regard to HLIIA in surface waters and (B-C) ESTUs LLIA-C with regard to
808 HLIIIA in surface waters and the DCM, respectively. For graph A, stations were sorted from the lowest
809 to highest temperatures and for graph B by sampling date.

810

811 **Figure S7:** Correlation analysis between marine picocyanobacterial ESTUs and environmental
812 parameters measured along the *Tara* Oceans transect for all sampled depths. (A) *Prochlorococcus*
813 ESTUs, (B) *Synechococcus* ESTUs. The scale shows the degree of correlation (blue) or anti-correlation
814 (red) between the two sets of data. Correlations with p-value > 0.05 are indicated by grey crosses.
815 Abbreviations: Sal, salinity; Temp, temperature; fCDOM, fluorescence, colored dissolved organic
816 matter; MLD, mixed layer depth; DCM, deep chlorophyll maximum; Φ_{sat} , satellite-based NPQ-
817 corrected quantum yield of fluorescence.

818

819 **Dataset 1:** Summary data for picocyanobacterial *petB* reference sequences used in this study,
820 including newly assembled sequences. The table includes subclade designation based on (12).

821

822 **Dataset 2:** Summary data for *petB* reference sequences for photosynthetic organisms other than
823 marine picocyanobacteria used in this study.

824

825 **Dataset 3:** Summary data for 16S rRNA reference sequences used in this study.

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827 **Dataset 4:** *Tara* Oceans sample description including the number of recruited *petB* reads per station.

828 Iron and ammonium concentrations were simulated using the ECCO2-Darwin model and an

829 independent parameter to assess iron limitation (Φ_{sat}) was obtained using Behrenfeld et al.'s

830 formula (57) applied to monthly averaged satellite data (AMODIS chl_ocx, nflh and ipar) retrieved

831 from the NASA website (<http://oceandata.sci.gsfc.nasa.gov/>) for each station and corresponding

832 sampling date. Other environmental parameters measured during the *Tara* Oceans expedition and

833 the methods used to acquire them are available at www.pangea.de.

834

835 **Dataset 5:** Sequence names of the members of each Operational Taxonomical Unit (OTU) defined for

836 *petB* at 94% nucleotide sequence identity.









