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1 **Selective conditions for a multidrug resistance plasmid depend on the**  
2 **sociality of antibiotic resistance**

3

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5

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8

9 Running heading: Social selection of a MDR plasmid

10

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12

13

14 **ABSTRACT**

15 Multidrug resistance (MDR) plasmids frequently encode antibiotic resistance  
16 genes conferring qualitatively different mechanisms of resistance. We show that  
17 the antibiotic concentrations selecting for the RK2 plasmid in *Escherichia coli*  
18 depend upon the sociality of the drug resistance: Selection for a selfish drug  
19 resistance (efflux-pump) occurred at very low drug concentrations, just 1.3% of  
20 the sensitive's MIC, whereas selection for a cooperative drug resistance  
21 (modifying-enzyme) occurred at drug concentrations exceeding the MIC of the  
22 plasmid-free strain.

23

24 TEXT

25 Antibiotics are critical to modern medicine, but their widespread use and misuse  
26 has lead to the evolution of resistant strains to most commonly used antibiotics  
27 (1, 2). Antibiotic resistance has become a major threat to global health, with  
28 multi-drug resistant (MDR) bacteria observed globally (3). Environmental  
29 antibiotic resistance genes (ARGs) are a major source of clinical resistance (4).  
30 ARGs can be selected for at very low concentrations of antibiotic, far below the  
31 minimum inhibitory concentration (MIC) of sensitive cells (5, 6), with antibiotic  
32 contamination at sub-MIC concentrations being proposed as the main driving  
33 force behind environmental selection for resistance (7–9). However, ARGs can  
34 encode qualitatively different forms of resistance ranging from selfish to  
35 cooperative. Selfish drug resistances only confer a benefit to the individual cell  
36 harbouring it, for example efflux pumps, reduced membrane permeability and  
37 alteration of antibiotic targets (10, 11). By contrast cooperative antibiotic  
38 resistances benefit both the resistant cell and surrounding cells whether they are  
39 resistant or not. For example, modifying enzymes such as  $\beta$ -lactamase inactivate  
40 the antibiotic through hydrolysis, decreasing its environmental concentration.  
41 Localisation of the  $\beta$ -lactamase enzyme in the periplasmic space may enhance  
42 the share of the benefit for the resistant cell, but nevertheless, the decrease in  
43 the overall environmental concentration of antibiotic will benefit both resistant and  
44 sensitive cells (12). We hypothesised that the sociality of drug resistance could  
45 alter the selective conditions for the spread of ARGs (13, 14). Specifically,  
46 because the benefits of selfish drug resistance are directed solely to the resistant

47 cell, whereas the benefits of cooperative drug resistance are shared between  
48 resistant and sensitive cells, we predict that selfish drug resistance should be  
49 selected at lower relative drug concentrations (i.e. % of the sensitive MIC) than  
50 cooperative resistance.

51

52 Multiple ARGs are frequently clustered together onto conjugative plasmids  
53 including combinations of selfish and cooperative drug resistances (15). How  
54 combinatorial antibiotic usage selects for MDR plasmids is not clear, especially  
55 for combinations of antibiotics requiring qualitatively different modes of drug  
56 resistance, such as selfish or cooperative drug resistances. Here we tested how  
57 the sociality of drug resistance, and single versus combined antibiotic treatment,  
58 altered the selective conditions for the MDR plasmid RK2 (16) in *Escherichia coli*  
59 MG1655. RK2 encodes both cooperative ampicillin resistance, mediated by a  $\beta$ -  
60 lactamase, and selfish tetracycline resistance, mediated by an efflux pump. We  
61 report that the selfish drug resistance is selected for at far lower relative antibiotic  
62 concentrations than the cooperative drug resistance, and that combined antibiotic  
63 selection is additive, showing no interaction.

64

65 Conventionally, ARGs are thought to be positively selected at antibiotic  
66 concentrations exceeding the MIC of sensitive cells in monoculture (17) (i.e. the  
67 conventional selective window, Fig 1). To determine whether the sociality of  
68 resistance affected the selection window for the RK2 MDR plasmid, we estimated  
69 the relative fitness of plasmid bearing versus isogenic plasmid free cells by direct

70 competition following standard methodology (see supplementary material). In the  
71 absence of antibiotics the plasmid imposed a significant cost of carriage,  
72 decreasing the fitness of *E. coli* by 19% (Fig. 1A/B, t test,  $p < 0.001$ ,  $t = -9.8674$ ,  
73  $df = 23$ ). An intrinsic cost is often associated with plasmid carriage when  
74 accessory traits are not under positive selection due to cellular disruption and  
75 increase transcriptional load (18). Cooperative ampicillin resistance was  
76 positively selected at ampicillin concentrations exceeding the MIC of sensitive *E.*  
77 *coli* (Fig. 2A). Importantly, sensitive cells were able to maintain positive growth in  
78 mixed cultures at ampicillin concentrations that completely inhibited their growth  
79 in monoculture ( $>8\mu\text{g/ml}$ ; cf. Fig. 1A & Fig. S4), justifying the assignment of  
80 ampicillin resistance as cooperative. Thus cooperative resistance permits  
81 persistence of a sensitive subpopulation beyond the sensitive MIC due to the  
82 inactivation of the antibiotic, potentially allowing reinvasion by sensitive cells  
83 once the antibiotic concentration is sufficiently reduced by the action of resistant  
84 cells.

85

86 In contrast, selfish tetracycline resistance was positively selected at tetracycline  
87 concentrations of just 1.3% of the MIC of sensitive *E. coli* (Fig. 2B). Indeed, at  
88 concentrations of tetracycline above 10% of the MIC of sensitive *E. coli*, the  
89 resistant plasmid bearers competitively excluded the plasmid-free bacteria, with  
90 no plasmid-free cells observable (Fig. S1). This is despite the fact that plasmid-  
91 free *E. coli* could survive at these tetracycline concentrations when grown alone  
92 (Fig. 1B). Our data suggest that selfish tetracycline resistance is positively

93 selected in the sub-MIC selective window at very low tetracycline concentrations,  
94 similar to those observed in the natural environment (19).

95

96 When ampicillin and tetracycline were applied in combination there was no  
97 significant interaction ( $F_{1,68} = 0.2395$ ,  $p = 0.6261$ ) indicating that when these two  
98 antibiotics were used in combination their selective effects were independent and  
99 additive (Fig. 2C). This means that very low concentrations of tetracycline were  
100 sufficient to completely mask the population-level effects of cooperative ampicillin  
101 resistance. With increasing tetracycline concentrations, the ampicillin  
102 concentration positively selecting for the MDR plasmid shifted to lower and lower  
103 sub MIC levels, reducing the window of selective conditions where sensitive cells  
104 could persist (Fig. 2D).

105

106 Residues of multiple antibiotics are commonly found contaminating the same  
107 environments at low concentrations (19, 20). These combinations, and  
108 particularly the presence in the environment of antibiotics like tetracycline  
109 targeted by selfish efflux-mediated resistance, will select for the spread of MDR  
110 plasmids and competitive exclusion of sensitive cells. This is despite being  
111 present at concentrations far below the level required to positively select  
112 resistance individually. This adds further evidence that ARGs, whether  
113 chromosomal or plasmid encoded, can be positively selected at antibiotic  
114 concentrations far below the MIC of sensitive strains (5, 6, 9).

115

116 Our study has a number of possible limitations: First, it is possible that other  
117 factors, in addition to sociality, may have contributed to differences in the fitness  
118 reaction norms of the antibiotics, including the contrasting effects of sub-MIC  
119 concentrations on monoculture densities and the fact that ampicillin is  
120 bacteriocidal whereas tetracycline is bacteriostatic. Second, we use exemplars of  
121 cooperative and selfish resistance but more research will be required to test the  
122 importance of sociality on the selective conditions for other resistance  
123 mechanisms.

124

125 Here we show that the extent to which an ARG is positively selected at sub-MIC  
126 antibiotic concentrations depends upon the sociality of the mechanism of drug  
127 resistance. Cooperative ampicillin resistance is positively selected at ampicillin  
128 concentrations exceeding the MIC, whereas selfish tetracycline resistance is  
129 positively selected at 100-fold lower relative drug concentrations. This striking  
130 difference in the selective window for ARGs co-located on the same MDR  
131 plasmid probably arises because of the population-level effects of the ARGs:  
132 Cooperative ampicillin resistance allowed sensitive bacteria to survive past their  
133 MIC by reducing the ampicillin concentration and sharing the benefits of  
134 resistance, whereas, selfish tetracycline resistance drove complete competitive  
135 exclusion of sensitive cells at >10% MIC due to the exclusively individual benefits  
136 of efflux-mediated resistance. Combining the two antibiotics – at concentrations  
137 that would not normally select for resistance individually – selects for both  
138 resistances and spread of the MDR plasmid. Taken together these findings

139 suggest that selfish efflux-mediated drug resistances are likely to be especially  
140 important for the selective maintenance and spread of MDR plasmids.

141

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154

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- 219
- 220 FIG 1
- 221 Cell density (OD<sub>600</sub>) of sensitive plasmid free bacteria (green line) and resistant  
222 plasmid containing bacteria (blue line) as a function of **A** ampicillin concentration,  
223 **B** tetracycline concentration after 24 hours growth in monoculture. Error bars

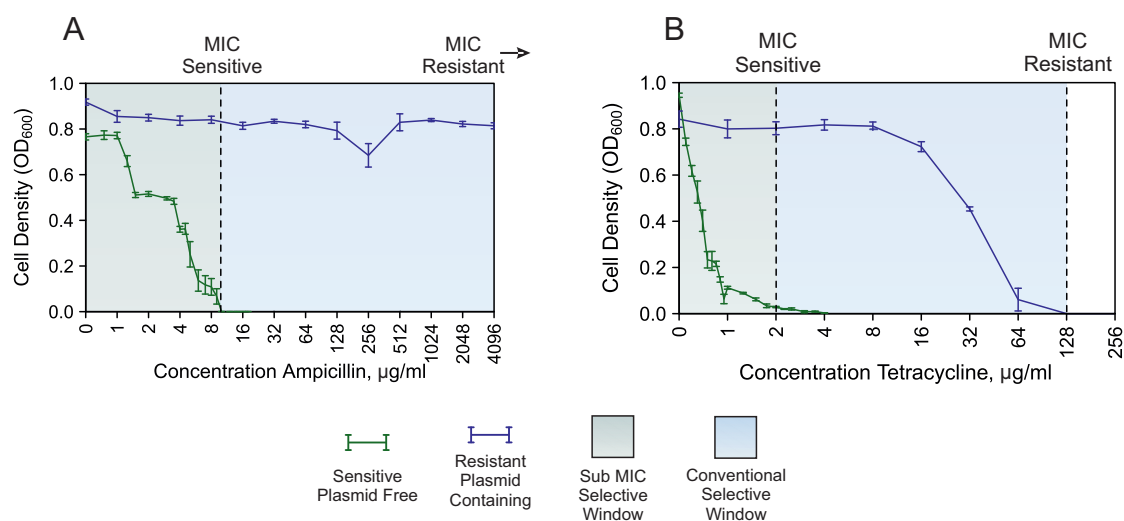
224 show SEM (n=6). Area shaded in green shows the sub-MIC selective window,  
225 and the area shaded in blue shows the selective window conventionally thought  
226 to select for resistance.

227

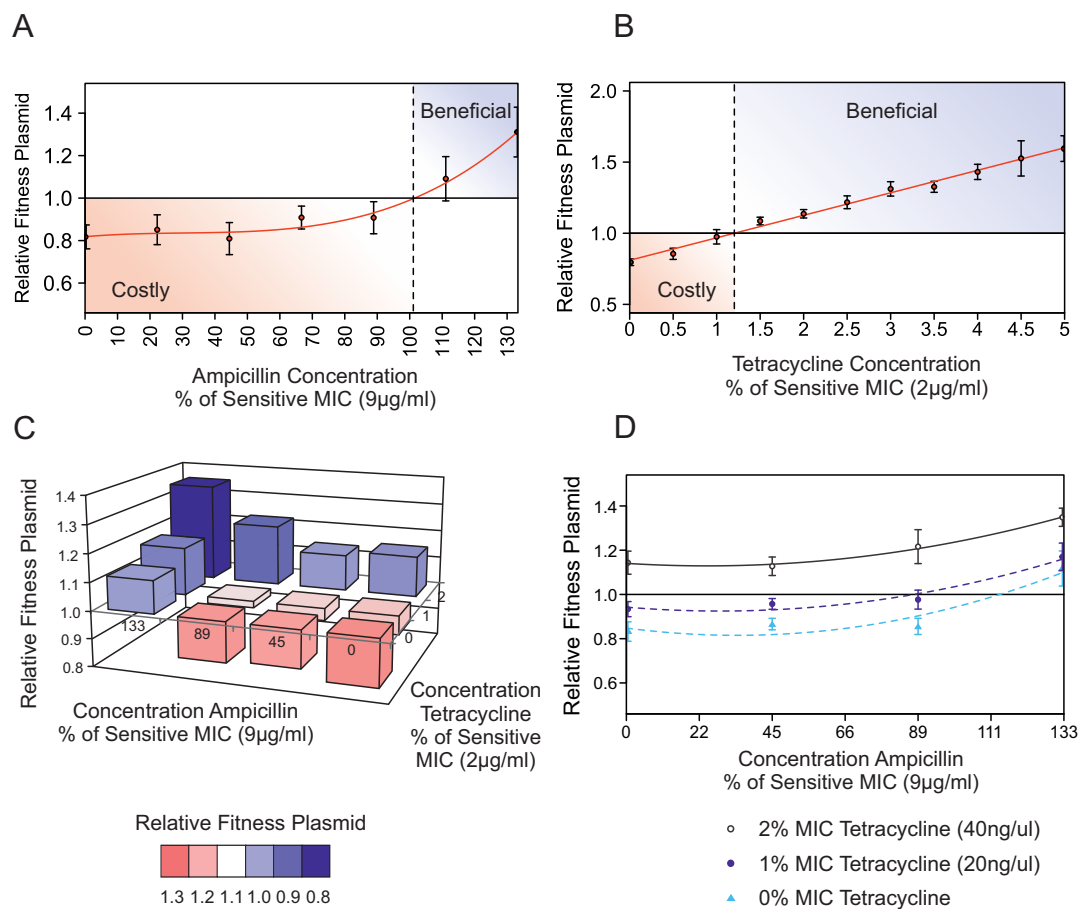
228 FIG 2

229 Fitness reaction norms as a function of antibiotic concentration during  
230 competition experiments between *E. coli* harboring the RK2 plasmid and isogenic  
231 plasmid free sensitive strains. Competitions in the presence of **A** ampicillin, **B**  
232 tetracycline, red lines show fitted regression. **C/D** Fitness reaction norms of  
233 combination treatments with both ampicillin and tetracycline during competition  
234 experiments between RK2 harboring and plasmid free strains. There is no  
235 significant interaction of antibiotic treatments upon the relative fitness ( $F_{1,68} =$   
236  $0.2395$ ,  $p = 0.6261$ ) indicating treatments were non-interacting and additive. Error  
237 bars show SEM (n=6), Antibiotic concentrations shown as percentages of  
238 sensitive MIC.

239



**FIG 1** Cell density (OD<sub>600</sub>) of sensitive plasmid free bacteria (green line) and resistant plasmid containing bacteria (blue line) as a function of A ampicillin concentration, B tetracycline concentration after 24 hours growth in monoculture. Error bars show SEM (n=6). Area shaded in green shows the sub-MIC selective window, and the area shaded in blue shows the selective window conventionally thought to select for resistance.



**FIG2** Fitness reaction norms as a function of antibiotic concentration during competition experiments between *E. coli* harboring the RK2 plasmid and isogenic plasmid free sensitive strains. Competitions in the presence of **A** ampicillin, **B** tetracycline, red lines show fitted regression. **C/D** Fitness reaction norms of combination treatments with both ampicillin and tetracycline during competition experiments between RK2 harboring and plasmid free strains. There is no significant interaction of antibiotic treatments upon the relative fitness ( $F_{1,68} = 0.2395$ ,  $p = 0.6261$ ) indicating treatments were non-interacting and additive. Error bars show SEM ( $n=6$ ), Antibiotic concentrations shown as percentages of sensitive MIC.