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9 **Finite element analysis of sliding distance and contact mechanics of hip implant under dynamic**
10 **walking conditions**
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14 Yongchang Gao¹, Zhongmin Jin^{1,2}, Ling Wang^{1,*}, and Manyi Wang
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16
17 ¹State Key Laboratory for Manufacturing System Engineering, School of Mechanical Engineering, Xi'an Jiaotong
18 University, 710054, Xi'an, Shaanxi, China;
19

20
21 ²Institute of Medical and Biological Engineering, School of Mechanical Engineering, University of Leeds, LS2 9JT,
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34 * Corresponding author:
35

36 Associate Professor, Ling Wang
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38
39 State Key Laboratory for Manufacturing System Engineering, School of Mechanical Engineering, Xi'an Jiaotong
40 University
41
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43
44 Tel.: +0-86-029-83395187
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47 E-mail: menlwang@mail.xjtu.edu.cn
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10 1 **Abstract:** An explicit finite element method was developed to predict the dynamic behavior of the contact mechanics
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12 2 for a hip implant under normal walking conditions. Two key parameters of mesh sensitivity and time steps were
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14 3 examined to balance the accuracy and computational cost. Both of the maximum contact pressure and accumulated
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16 4 sliding distance showed good agreement with those in the previous studies using the implicit finite element analysis
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18 5 and analytical methods. Therefore, the explicit finite element method could be used to predict the contact pressure
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20 6 and accumulated sliding distance for an artificial hip joint simultaneously in dynamic manner.
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26 7 **Keywords:** explicit finite element method, kinematics, contact mechanics, artificial hip joint, rotation vector
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28 8 **1. Introduction**

29
30 9 Although metal-on-ultra-high molecular weight polyethylene(UHMWPE) hip implants have been widely
31
32 10 used in orthopedics to treat severe hip joint diseases, aseptic loosening resulting from the UHMWPE wear
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34 11 debris is still a key factor limiting their longevity¹⁻³. The contact mechanics, including contact pressure
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36 12 and sliding distance, are of great importance to the wear performance of the UHMWPE cup, and
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38 13 numerous studies on this issue have been carried out⁴⁻⁶. Implicit finite element(FE) method has been
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40 14 widely used to predict the contact pressure for artificial hip joints. Both Maxian⁴ and Liu⁶ used this
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42 15 method to calculate the contact pressure of the UHMWPE cup. Hua^{7,8} investigated the contact pressure
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44 16 using this method under different conditions such as edge loading and cup inclination. Bevill⁹ also used
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46 17 the implicit FE method to calculate the contact pressure of a hip implant and retrieved the relative sliding
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10 18 distance on the cup surface from the FE result. However, most previous studies using the implicit FE
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12 19 method do not obtain sliding distance through the FE result, and the sliding distance is generally
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14 20 calculated using the Euler rotation method^{10, 11}. Saikko and Caloni¹⁰ developed this numerical method
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16
17 21 to estimate the slide track between the femoral head and the acetabular cup of an artificial hip joint, and
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19 22 later Kang¹¹ used similar method to predict the accumulated sliding distance on the cup.
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21
22 23 Most of the previous studies have calculated the contact pressure and accumulated distance separately
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24 24 using different methods. Besides, the numerical method for accumulated sliding distance could only
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26
27 25 calculate the relative sliding distance of a point from its current position to a new position without
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29 26 considering whether contact occurs at this point. In fact, if no contact occurs at a point, the relative sliding
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32 27 distance is meaningless. Thus, it needs additional process to judge the contact situation of a node before
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34 28 calculating its relative sliding distance. In addition, there are instants when contact and relative motion
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37 29 occur simultaneously and under this condition, Euler rotation method is not applicable. On the other hand,
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39 30 an explicit FE method has been introduced since 1970s and used more recently to predict both the contact
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42 31 mechanics and the kinematics of artificial knee joints¹² simultaneously. However, to the best of authors'
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44 32 knowledge, there are still no reports that the explicit FE method has been used for artificial hip joints.
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47 33 Therefore, this study focused on the application of an alternative dynamic explicit finite element method
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49 34 to predict the contact pressure and accumulated sliding distance of an artificial hip joint simultaneously.
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52 **2. Materials and methods**
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9 36 2.1 Geometric modeling

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11 37 An artificial hip joint was modeled in order to develop an explicit finite element method(FEM) to
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13 38 investigate its dynamic contact mechanics. Fig.1 (a) shows the geometries of this model. The key
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15 39 geometric parameters of this model, taken from Kang¹¹, are listed in Table 1. The cup was anatomically
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17 40 positioned at 45° inclination and fully constrained at its outer surface, and a fixed coordinate system(x, y,
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19 41 z) was placed at the center of the head(Fig.1(a)), the positive x-axis was pointed medially, the positive
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21 42 y-axis was oriented posterior and perpendicular to the x-axis, the positive z-axis was pointed upwards).
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24 43 Only normal walking was considered in the simulation. Three-dimensional forces(three forces lie in the
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26 44 three axis of the fixed coordinated system) from Paul¹³ were applied at the head center. The original
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28 45 movement waveforms including flexion-extension(FE), abduction-adduction(AA) as well as
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30 46 internal-external rotation(IER), taken from Johnston and Smidt¹⁴, were transformed into incremental
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32 47 rotation vectors(in the section 2.2) and then applied at the center of the head. The orientations of both the
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34 48 forces and motions were adjusted according to the fixed coordinate system. The stem was given three
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36 49 initial angles(FE:-24.9° , AA: 1.5° , IER:0°) to correspond to the initial position of the walking gait.
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44 50 2.2 Incremental rotation vector calculation

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47 51 The original FE, AA and IER angles at a time instant were represented through the Euler rotation angles
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49 52 to enable the stem to rotate continuously from the beginning position to a new position. A moving
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51 53 coordinate system XYZ was fixed to and located at the centre of the femoral head. This moving
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10 54 coordinate system was rotated with the head during a gait cycle, and its initial orientation was in
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12 55 accordance with the fixed coordinate system in section 2.1. The Euler rotation started from the FE around
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14 56 the X-axis, and then followed by the AA and the IER about Y-axis and Z-axis of the moving coordinate
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17 57 system respectively¹¹. Incremental rotation vectors were therefore calculated, according to the static
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19 58 movement waveforms. The movement waveforms in section 2.1 were divided into N instants. For
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21
22 59 arbitrary two adjacent instants i and $i+1$, both Euler rotation matrix R_i and R_{i+1} were calculated according
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24 60 to Saiko and Calonius¹⁰, and then the incremental rotation vector between these two instants was obtained
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27 61 from the known R_i and R_{i+1} (Craig¹⁵). Then inverse Euler rotation R_i^{-1} was applied to both R_i and R_{i+1} , the
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29 62 incremental rotation vector between R_i and R_{i+1} was converted to a new incremental rotation vector
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31
32 63 corresponding to the fixed coordinate systems. In this way, all incremental rotation vectors corresponding
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34 64 to the fixed coordinate system(Fig. 1(a)) were calculated, which were used to represent the continuous
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37 65 rotation for the hip implant model in Abaqus¹⁶.

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39 66 Three different numbers of the discrete instants of 21, 41 and 81 were used to represent one gait cycle and
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42 67 check the accuracy of the predicted sliding distance and yet balance the computational time at the same
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44 68 time. The number of 41 discrete instants was found to be adequate, with an error of the predicted
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47 69 accumulated sliding distance less than 5%.

50 2.3 Finite element(FE) modeling

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52 71 The conventional finite element software Abaqus version 6.12 was used; Abaqus/Explicit module was
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10 72 adopted to perform the FE analysis for the artificial hip joint model, specified in Section 2.1. As a
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12 73 comparison, Abaqus/Standard module was also used to perform the same FE analysis for this model. The
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14 74 Abaqus/Explicit module is based on the implementation of an explicit integration rule, which means the
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17 75 equations of motion for each body are integrated using an explicit central-difference integration rule and
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19 76 does not require iterations. However, the Abaqus/Standard module adopts an implicit integration rule,
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21 77 which means the operator matrix must be inverted and a set of simultaneous nonlinear dynamic
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23 78 equilibrium equations must be solved at each time increment, thus numerous iterations are needed for
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26 79 each time increment¹⁷.

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29 80 The FE model of the artificial hip joint is shown in Fig.1(b). The acetabular cup was UHMWPE, which
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32 81 was considered as a non-linear elastic-plastic material according to Fregly¹⁸ and Klues¹⁹. The head was
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34 82 cobalt-chromium-molybdenum (CoCrMo) and treated as homogeneous and linear elastic. The material
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37 83 parameters are listed in Table 1. Both the cup and the head were meshed by 8-node structured hexahedron
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39 84 elements. The head was treated as a rigid body since its elasticity modulus was two orders of magnitude
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42 85 higher than that of the UHMWPE and meshed by an element size of 0.4 mm to accurately represent its
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44 86 geometry(about 217000 elements). The femoral stem was titanium alloy and meshed by 4-node
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47 87 tetrahedron elements(about 25000 elements) with a coarse element size of 2.5 mm. The contact pair
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49 88 between the cup inner and head outer surfaces was established, with a friction coefficient of 0.05²⁰. Two
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52 89 key parameters of mesh sensitivity and time steps were examined for the explicit FE method. First, the
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10 90 mesh sensitivity of the cup was investigated with an element size of 2 mm, 1.5 mm and 1.25 mm
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12 91 respectively and 1.5 mm (about 4500 elements) was finally chosen (the differences of both the contact
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14 92 pressure and the sliding distance were less than 10%). Second, the time step for each interval in the
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17 93 simulation was carefully estimated to balance the model accuracy and the computational cost according to
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19 94 Abaqus Tutorials²¹. The walking process was considered slow enough (quasi-static) that it could be
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22 95 solved using the explicit solution method. For such a quasi-static process in explicit FE method, time
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24 96 intervals in a walking cycle and time steps with each interval (stable time increment) were important.
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27 97 Default time steps in Abaqus were chosen. Appropriate time intervals were chosen for a gait cycle. As
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29 98 mentioned in section 2.2, the whole walking gait cycle of 1 s was divided equally into 41 instants, to
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32 99 represent the time variation of both the load and the motion. From the consideration of the explicit FE
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35 100 analysis, the time interval was chosen to be about 10 times of the time period of the lowest vibration
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37 101 mode to ensure the accurate prediction²¹. The time period corresponding to the lowest vibration mode for
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39 102 the artificial hip joint model was 0.0023 s (corresponding frequency was 432 HZ). Therefore the time
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42 103 interval was chosen as 0.025 s, consistent with the 41 discretised instants in a gait cycle. Besides, the
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44 104 default damping coefficient of 0.06 was used to consider the damping associated with volumetric
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47 105 straining and to control high frequency oscillations²². As a comparison, the implicit FE method was also
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50 106 used to do the same FE analysis for the hip implant.
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52 107 For the artificial hip joint model, the total computational time using the explicit FE method at a fixed cup
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9 108 element size of 1.5 mm was about 5 hours(on a 3.5GHz personal computer), and about 4 hours for the
11 109 implicit FE method.

110 3. Results

111 The effects of different mesh sensitivity on the predicted accumulated sliding distance for the
112 conventional model are shown in Fig.2. It is clear that the maximum accumulated sliding distance varied
113 little with the element size and was consistent with the result of Kang et al.¹¹. The difference of the
114 predicted maximum contact pressure at any instants for different element sizes was less than 10%(the
115 results are not shown). Therefore, the cup element size of 1.5 mm was deemed to be adequate. Fig.3
116 shows the contours of the accumulated sliding distance at different walking instants under a fixed element
117 size of 1.5 mm for the artificial hip joint model. The accumulated sliding distance gradually increased and
118 reached a maximum value of 20.31 mm at the node A(shown in Fig.3 & 4) which was close to the center
119 of the cup inner surface at the end of the gait cycle.

120 Fig.4(a) and (b) show the comparison of the contact pressure at different walking instants under a fixed
121 element size of 1.5 mm for the conventional cup between the implicit FE method and the explicit FE
122 method. The distributions of the contact pressure at the same instant were similar and the difference of the
123 maximum value at 65% gait cycle was less than 3%(13.04 MPa for the implicit FE method, 12.98 MPa
124 for the explicit FE method). Fig.5 further reveals the distributions of the contact pressure at different
125 walking instants between the two different solution modules.

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10 126 **4. Discussion**

11 127 The aim of this study was to develop an explicit FE method to simultaneously predict contact pressure
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13 128 and accumulated sliding distance of an artificial hip joint. The predicted maximum sliding distance, based
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16 129 on the explicit finite element method, was in good agreement with the result using the numerical method
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19 130 by Kang et al.¹¹. Although both the cup and head diameters in this study were the same as Kang et al.¹¹,
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21 131 the maximum value of the accumulated sliding distance was slightly different between the two studies.
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23 132 The maximum accumulated sliding distance of 20.31 mm(node A) using the explicit FE method was
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25 133 within the contact area at the end of a gait cycle, while the previous study by Kang et al. only considered
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27 134 the maximum accumulated sliding distance(23.38 mm) at the node B(shown in Fig.3& 4) which was
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29 135 almost out of the contact zone after 65% of a gait cycle.
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34 136 Both three-dimensional forces and multidirectional motions were considered in this simulation to predict
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36 137 the contact pressure of an artificial hip joint using the explicit FE method. The contact pressure using the
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38 138 explicit method was of overall good agreement with that using implicit FE method. Therefore, the explicit
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40 139 FE method had the advantage to predict the contact mechanics and kinematics of artificial hip joints
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42 140 simultaneously. For a conventional artificial hip joint considered in the current study where there was
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44 141 only one pair of contact surfaces, the predictions of both the contact pressure and accumulated sliding
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46 142 distance agreed well with those using the implicit FE and analytical method.
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52 143 Although the dynamic explicit FE method was applied for a conventional hip implant, it could be more
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10 144 effectively applied to other forms of hip implants where there are more than one contact pairs and the
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12 145 relative motions are not prescribed such as in a dual mobility hip implant. Such an implant has shown
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14 146 good stability and becomes widely used recently in clinics^{23,24}. Previous studies²⁵⁻²⁷ on dual mobility hip
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17 147 implants have mainly focused on retrievals analyses and experiments for their wear and motion
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19 148 performance, and both inner and outer relative sliding motions have been found in these studies. Because
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21 149 the motion of dual mobility hip implants is not prescribed, the dynamic explicit FE method probably is
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24 150 the best way to investigate their kinematics as well as the contact mechanics.

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27 151 Although the dynamic explicit FE method was shown to be able to accurately predict the kinematics and
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29 152 contact mechanics of hip implant, the current study has a few limitations. Only a fixed normal gait was
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32 153 considered and other gaits such as climbing stairs and sitting down will be investigated. Future studies
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34 154 will also focus on the application of the explicit method to the dual mobility hip implant and the
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37 155 experimental validation, particularly the relative motion at different contact surfaces .

38 39 156 **5. Conclusions**

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42 157 A dynamic explicit finite element method was applied to predict the kinematics and contact mechanics of
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44 158 artificial hip joints. Comparison of contact pressure between this explicit method and implicit FE method
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47 159 was made for artificial hip joint. And comparison of accumulated sliding distance between this method
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49 160 and numerical method by Kang et al. was also made for artificial hip joint. The explicit finite element
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52 161 method was shown excellent ability to predict the kinematics and contact mechanics for artificial hip
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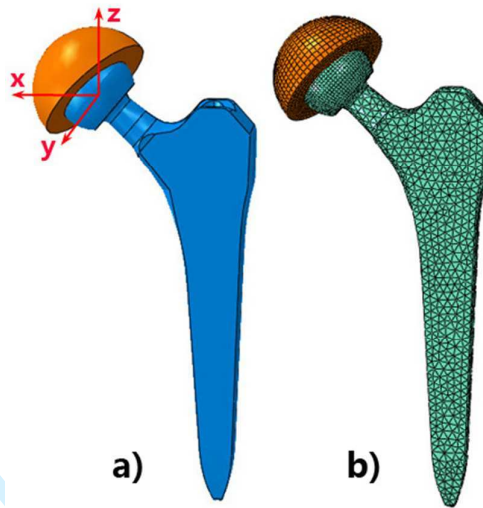


Fig.1 CAD (a) and FE models of conventional artificial hip joint (b)

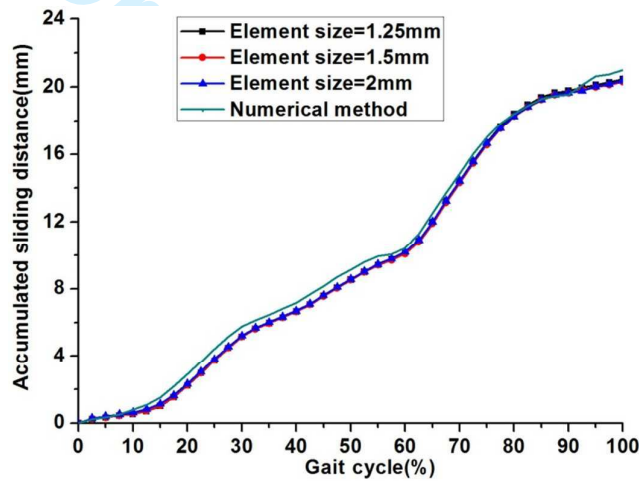


Fig.2 Effects of different element sizes on the maximum accumulated sliding distance of the cup as a function of the gait cycle for the conventional artificial hip model

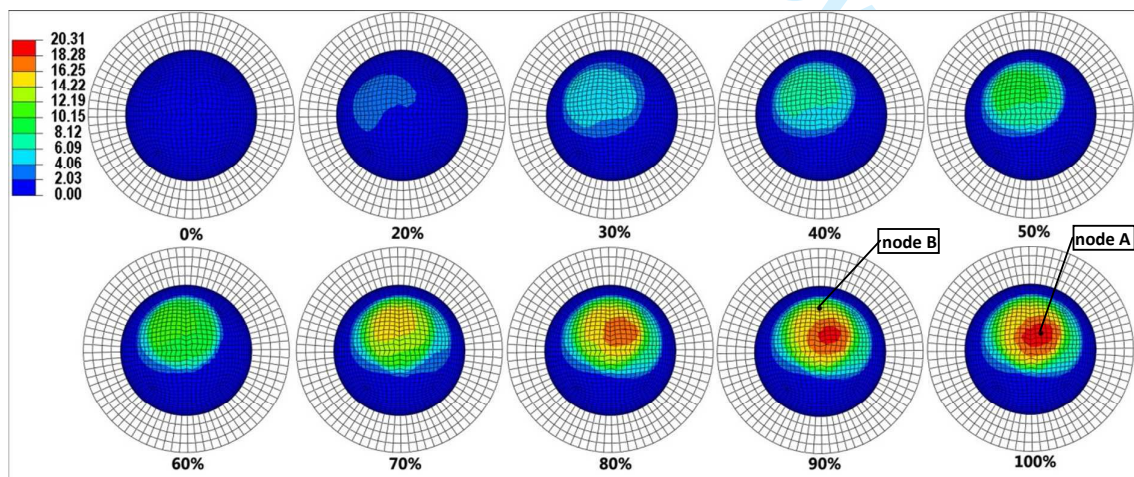


Fig.3 Contours of the cup inner accumulated sliding distance(mm) at different percentage of the gait cycle for the conventional artificial hip joint model predicted by the explicit FEM under a fixed element size of 1.5 mm

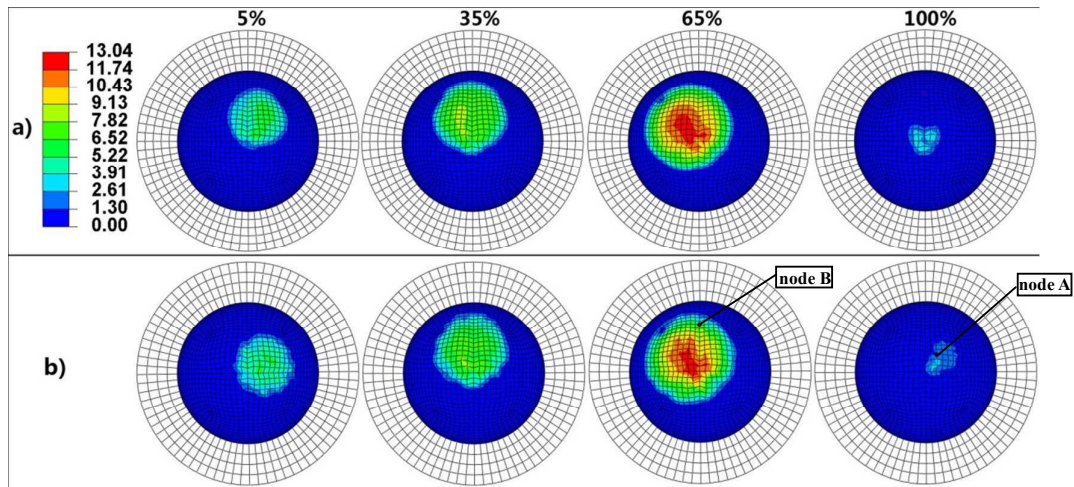


Fig.4 Comparison of the contours of the contact pressure(MPa) at different percentage of the gait cycle for the conventional artificial hip joint model between implicit FE method (a) and explicit FE method (b)

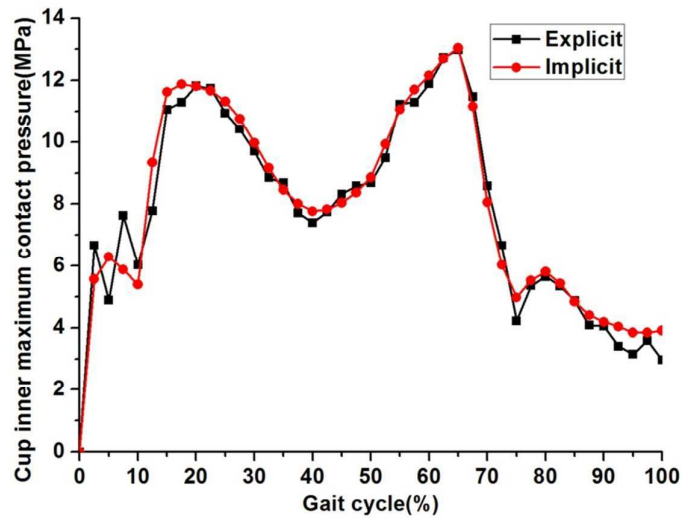


Fig.5 Comparison of the maximum contact pressure as a function of the gait cycle for different finite element analysis methods for the conventional artificial hip joint model

Table 1 CAD and FE model key parameters of conventional artificial hip joint model

	Inner radius(mm)	Outer radius(mm)	Materials	Density (g/mm³)	Elastic modulus (GPa)	Poisson's ratio	Yield strength(MPa)
Head	\	14.0	CoCrMo	7.61	217	0.30	\
Cup	14.1	22.1	UHMWPE	9.32e-1	1	0.45	23.56

For Peer Review