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**Article:**

Bellasio, C., Beerling, D.J. and Griffiths, H. (2016) Deriving C4 photosynthetic parameters from combined gas exchange and chlorophyll fluorescence using an Excel tool: theory and practice. *Plant, Cell & Environment*, 39 (6). pp. 1164-1179. ISSN 0140-7791

<https://doi.org/10.1111/pce.12626>

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This is the peer reviewed version of the following article: Bellasio, C., Beerling, D.J. and Griffiths, H. (2016) Deriving C4 photosynthetic parameters from combined gas exchange and chlorophyll fluorescence using an Excel tool: theory and practice. *Plant, Cell & Environment.*, which has been published in final form at <http://dx.doi.org/10.1111/pce.12626>. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Self-Archiving (<http://olabout.wiley.com/WileyCDA/Section/id-820227.html>)

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# **Deriving C<sub>4</sub> photosynthetic parameters from combined gas exchange and chlorophyll fluorescence using an Excel tool: theory and practice**

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This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1111/pce.12626

## **Abstract:**

The higher photosynthetic potential of C<sub>4</sub> plants has led to extensive research over the past 50 years, including C<sub>4</sub>-dominated natural biomes, crops such as maize, or for evaluating the transfer of C<sub>4</sub> traits into C<sub>3</sub> lineages. Photosynthetic gas exchange can be measured in air or in a 2% Oxygen mixture using readily available commercial gas exchange and modulated PSII fluorescence systems. Interpretation of these data, however, requires an understanding (or the development) of various modelling approaches, which limit the use by non-specialists. In this paper we present an accessible summary of the theory behind the analysis and derivation of C<sub>4</sub> photosynthetic parameters, and provide a freely available Excel Fitting Tool (EFT), making rigorous C<sub>4</sub> data analysis accessible to a broader audience. Outputs include those defining C<sub>4</sub> photochemical and biochemical efficiency, the rate of photorespiration, bundle sheath conductance to CO<sub>2</sub> diffusion, and the in vivo biochemical constants for PEP carboxylase. The EFT compares several methodological variants proposed by different investigators, allowing users to choose the level of complexity required to interpret data. We provide a complete analysis of gas exchange data on maize (as a model C<sub>4</sub> organism and key global crop) to illustrate the approaches, their analysis and interpretation.

## **Keywords**

Modelling, quantum yield, respiration, compensation point, ATP production, photorespiration, PEP, PEPC, oxygenation, carboxylation, Rubisco, specificity, bundle sheath conductance, C<sub>BS</sub>.

## **Running title**

Analysis of C<sub>4</sub> gas exchange data

## Introduction

Although accounting for a relatively small number of species (c. 7500),  $C_4$  plants have disproportionate ecological, economic, and strategic importance. In fact, they dominate various biomes across the planet, contributing to 25% of the total terrestrial net productivity (Osborne & Beerling, 2006, Sage & Stata, 2015), while  $C_4$  crops such as maize, sugarcane, and sorghum lead the world grain, sugar, and biofuel production (faostat.fao.org).  $C_4$  photosynthesis has high production potential in warm climates and, consequently, considerable effort has been made to explore the possibility of transferring beneficial  $C_4$  traits to improve  $C_3$  crop productivity and yield over recent years (Hibberd et al., 2008, Long et al., 2015, Singh et al., 2014, von Caemmerer et al., 2012).  $C_4$  photosynthesis results from biochemical and anatomical modifications of the leaf parenchyma. External mesophyll (M; symbols and acronyms are listed in Table 1) cells and internal bundle sheath (BS) cells are coupled to operate a biochemical carbon concentrating mechanism (CCM).  $CO_2$  is initially fixed by phosphoenolpyruvate (PEP) carboxylase (PEPC) and converted into  $C_4$  (amino)acids. These diffuse to the BS where  $CO_2$  is released, a process that increases  $CO_2$  concentration in the BS, the cellular compartment where Rubisco is exclusively expressed. Despite a notable direct metabolic cost resulting from the ATP required to regenerate PEP, the CCM actively suppresses the oxygenase activity of Rubisco and consequently reduces the energy costs associated with photorespiratory metabolite recycling (Bellasio & Griffiths, 2014a).

Whether comparing natural vegetation or manipulated plants, it is essential to quantify the performance of  $C_4$  photosynthesis across contrasting decarboxylase subgroups or under controlled and natural environmental conditions. This generally involves gas exchange measurements and photosynthetic modelling. Leaf photosynthetic  $CO_2$  uptake (referred to as net assimilation, A), water vapour transpiration, and leaf-level fluorescence yield (F) can be measured with modern Portable Fluorescence–Gas Exchange systems (GES). GES software uses classical calculations (Genty et al., 1989, von Caemmerer & Farquhar, 1981) to derive stomatal conductance to  $H_2O$ , and then  $CO_2$  ( $g_s$ ), the  $CO_2$  concentration in the substomatal cavity ( $C_i$ ), and the photochemical yield of PSII (Y(II)). Gas exchange techniques can be augmented if a low  $O_2$  (2%) mixture is fed to the GES cuvette instead of air. GES outputs can be used iteratively to inform photosynthetic models using ‘curve fitting’ [recently reviewed in (Bellasio et al., 2015)], finding parameter values that best characterise the response of a given plant. These parameters are convenient proxies, which may mechanistically represent the underpinning biochemical traits or empirically summarise the dataset, and can be interrogated statistically to characterise differences between plants or experimental treatments.

We have recently developed such curve fitting and fast screening tools, (Bellasio et al., 2015, Bellasio et al., 2014a) based on the assumption that photosynthesis is limited by NADPH and, because the NADPH requirements are the same for all photosynthetic types, they are of general use for natural vegetation, cultivated varieties, or plants with engineered photosynthetic traits. By estimating the relative engagement of the reductive pentose phosphate (RPP) and photosynthetic carbon oxygenation (PCO) cycles (as the Rubisco rate of oxygenation vs carboxylation,  $V_O/V_C$ ), plants may be assigned to photosynthetic types ( $C_3$ ,  $C_3$ – $C_4$ ,  $C_2$ ,  $C_4$ ). For full  $C_4$  traits, we now refine the analysis of Bellasio et al. (2015), to derive quantities typical for  $C_4$  metabolism (e.g. the PEP carboxylation rate,  $V_P$ ), using a specific  $C_4$  model.

Several biochemical models of  $C_4$  photosynthesis have been proposed that define gas exchange characteristics of leaves and simulate the operation of the CCM (Berry and Farquhar, 1978; Laisk and Edwards, 2009; Laisk and Edwards, 2000; von Caemmerer, 2000). Earlier approaches were joined into the von Caemmerer (2000)  $C_4$  model (hereafter  $C_4$  model), which has two different formulations: 1) the enzyme–limited formulation, underpinned by the kinetics of PEPC and Rubisco; and 2) the light–limited formulation, based on the assumption that, under limiting light,  $C_4$  photosynthesis is solely limited by the total rate of ATP production ( $J_{ATP}$ ). Because of its complexity,  $C_4$  modelling has been traditionally confined to specialist literature, and there is a timely need to make data analysis modelling tools available to a broader audience.

Here we present an Excel fitting tool (EFT) which derives a suite of  $C_4$  photosynthetic parameters and predicts variables of the  $C_4$  model, describe the theory of  $C_4$  modelling and data analysis and succinctly demonstrate a range of applications with a worked example using maize. We have developed a  $C_4$  EFT using the same rationale as that for  $C_3$  plants (Bellasio et al., 2015): 1) the EFT and the example dataset are freely available to download from Supporting Materials; 2) the use of macros is avoided, allowing greater transparency and straight–forward modification; 3) the EFT accommodates a wide range of methodological variations for more advanced applications. Besides parameter fitting sub–routines, the EFT codes the equations for predicting the  $CO_2$  concentration in M and BS (and associated quantities), which can be used in isotopic modelling, but this is not discussed further in this paper (Bellasio & Griffiths, 2014b, Cernusak et al., 2013, Ubierna et al., 2011, von Caemmerer et al., 2014). The EFT calculates some basic biochemical quantities (e.g. rate of photorespiration), which can underpin more sophisticated stoichiometric derivation (Bellasio & Griffiths, 2014c). In this paper we detail the rationale of the different formulations of the  $C_4$  model with a step–by–step, logical approach. In the second part of this

paper, a worked analysis of gas exchange data measured on maize plants exemplifies how the outputs from the EFT allow a detailed characterisation of  $C_4$  photosynthesis.

### Theoretical underpinnings of the EFT

To take advantage of the full functionality of the EFT, light and  $A/C_i$  curves measured under ambient and low  $O_2$  are required for each plant. All four curves are measured sequentially on the same portion of the leaf (see details in the worked example below). When curves are measured on different leaves, or at different times, they have to be treated as independent. In this case, and if any of the four curves are unavailable, it is still possible to use the EFT, although with more limited functionality (see Partial datasets below). The rationale for repeating measurements under low  $O_2$  (2 – 5%) is to suppress photorespiration. Under these conditions a relationship between  $Y(II)$  and  $J_{ATP}$  can be assumed [(Bellasio & Griffiths, 2014b, Yin et al., 2011b), but see Discussion] and then used to estimate  $J_{ATP}$  under ambient  $O_2$ . The  $O_2$  level needs to be sufficient to drive mitochondrial respiration and to avoid overreduction of the plastoquinone pool, and mixtures with 2% or 5%  $O_2$  are generally regarded as an optimal compromise (Maroco et al., 1998).

We propose a logical protocol similar to that previously described (Bellasio et al., 2015) whereby data analysis is divided into 13 discrete steps (EFT sheets are numbered 1 – 13 accordingly) and each step extracts a new piece of information using parameters previously derived. The  $C_4$  equations implemented here were taken from (Bellasio & Griffiths, 2014b, Ubierna et al., 2011, von Caemmerer, 2000, Yin et al., 2011b), or originally derived for this current work (see detailed description of each step). Steps 1, 3, 4, and 5 are identical to Bellasio et al. (2015), however, to avoid confusion and for completeness, we include a brief description of these steps. The 13 steps are summarised as follows:

- 1 Data are entered into the EFT and limitations are manually selected.
- 2 Respiration in the light ( $R_{LIGHT}$ ) is derived using the initial light–limited portion of the fluorescence–light–curves (Yin et al., 2011b).
- 3 The initial yield of photosystem II ( $Y(II)_{LL}$ ) is extrapolated under zero PPFD by linear, quadratic, or exponential regression of  $Y(II)$  in the initial light–limited portion of the fluorescence–light–curves.
- 4 Gross assimilation (GA) is calculated by summing  $R_{LIGHT}$  plus A, and the PPFD dependence of GA is described empirically by a non–rectangular hyperbola. The maximum quantum yield for  $CO_2$  fixation ( $Y(CO_2)_{LL}$ ) and the light–saturated GA ( $GA_{SAT}$ ) are estimated by curve–fitting. The light compensation point (LCP) is calculated from the fitted curve.

- 5 An empirical non-rectangular hyperbola is fitted to the  $A/C_i$  curves under ambient and low  $O_2$  to estimate the maximal carboxylating efficiency (CE), the  $C_i$ - $A$  compensation point ( $\Gamma$ ), and  $CO_2$ -saturated  $A$  ( $A_{SAT}$ ). Stomatal limitation ( $L_S$ ) is assessed using the fitted curve in analogy to the graphical method (Farquhar & Sharkey, 1982).
- 6 A calibration factor to calculate  $J_{ATP}$  is derived using two different approaches: the approach of Yin (Yin et al., 2011b) (output as a quantity called  $s'$ ) and an approach originally derived in this work by analogy to that of Valentini (Valentini et al., 1995) (output as a quantity called  $k'$ ).
- 7 With  $Y(II)_{LL}$  and either  $s'$  or  $k'$ , the initial quantum yield for ATP production ( $Y(J_{ATP})_{LL}$ , the conversion efficiency of PPFD into  $J_{ATP}$ ) is calculated.
- 8  $J_{ATP}$  is calculated using PPFD,  $Y(II)$ , and  $s'$  or  $k'$  derived in Step 7, or with a point-to-point approach directly from GA (Bellasio & Griffiths, 2014b).
- 9 The light-dependence of  $J_{ATP}$  under ambient  $O_2$  is described by an empirical non-rectangular hyperbola. With  $Y(J_{ATP})_{LL}$  (derived in Step 7) defining the initial slope, the curvature ( $\theta$ ) and light-saturated  $J_{ATPSAT}$  are estimated by curve-fitting.
- 10  $J_{ATP}$  is modelled ( $J_{ATPMOD}$ ) upon measured  $A$  and  $C_i$ , and  $R_{LIGHT}$  derived in Step 2, using the light limited equations of  $C_4$  photosynthesis (Ubierna et al., 2013). Bundle sheath conductance to  $CO_2$  diffusion ( $g_{BS}$ ) is estimated by fitting  $J_{ATPMOD}$  to empirical values of  $J_{ATP}$  (calculated in Step 8) in the light-limited part of light-curves and  $A/C_i$  curves [this curve fitting is referred to as the 'J/J' approach (Bellasio & Griffiths, 2014b), calculation variants are available].
- 11 With  $g_{BS}$  derived in Step 10, assimilation is modelled ( $A_{MOD}$ ) in the enzyme-limited part of the  $A/C_i$  curve. In vivo  $V_{P_{MAX}}$  (PEPC  $CO_2$  saturated rate) and  $K_P$  (PEPC Michaelis-Menten constant for  $CO_2$ ) are estimated by fitting  $A_{MOD}$  to  $A$ , in the enzyme-limited portion of  $A/C_i$  curves (calculation variants are available, including the possibility to fit low  $O_2$   $A/C_i$  curves).
- 12 With  $A$ ,  $C_i$ ,  $g_{BS}$  (derived in Step 10), and  $J_{ATP}$  (calculated in Step 8), the Rubisco rate of carboxylation ( $V_C$ ), Rubisco rate of oxygenation ( $V_O$ ), and PEPC rate of carboxylation ( $V_P$ ) are calculated.
- 13 The  $CO_2$  leak rate  $L$ , leakiness ( $\phi$ ), the  $CO_2$  concentration in M ( $C_M$ ), the  $CO_2$  concentration in BS ( $C_{BS}$ ), and the  $O_2$  concentration in BS ( $O_{BS}$ ) are estimated for each point of the  $A/C_i$  and light curves using the equations of the  $C_4$  model (von Caemmerer, 2000) (calculation variants are available).



For clarity, we note that here we used a purely biochemical notation, but often anatomical notation is used to qualify biochemical variables (e.g. ‘m’ to identify PEP regeneration or ‘s’, for BS, to identify PCO and RPP cycles) and may lead to some ambiguity. Note that the  $C_4$  model does not provide information on where processes occur and, in order to acquire information on biochemical compartmentalisation, a more complex modelling approach is required (Bellasio & Griffiths, 2014c, McQualter et al., 2015, Wang et al., 2014). Next we describe the practical use of the EFT, together with theory and possible alternatives following the step-by-step procedure.

## 1. Data entry and selection of limitations

For each datapoint of the four response curves, PPFD, A,  $C_i$ , and Y(II) are entered in Sheet 1 as the outputs from GES software, corrected for leaf cuvette gasket  $CO_2$  diffusion when appropriate (Bellasio et al., 2015). The datasets are automatically plotted graphically below the tables. A colour code is maintained throughout the EFT: brown is used to indicate ambient  $O_2$  conditions, while blue refers to low  $O_2$ . Modelled functions appear as continuous lines, modelled points appear as crosses, grey cells contain general output and white cells require data input. The data entered in Sheet 1 will be automatically transferred to subsequent sheets in cells with a light-shaded background: for the sake of flexibility these cells can be overwritten by the user (see also Partial datasets below).

Along with each datapoint, a limitation code (1, 2 or 3) is required, which identifies the datapoints to be used in subsequent analyses and manipulations. For light-curves, ‘1’ is assigned to the initial light-limited points, ‘2’ to the light-limited points, and ‘3’ to the remainder of the points. For  $A/C_i$  curves ‘1’ is assigned to the initial PEPC-limited part of the curve, ‘2’ to the PEPC-limited part of the curve, and ‘3’ to the light-limited part of the curve (a worked example is provided in the second part of this paper). Each fitting step is largely independent of the others, meaning that limitations can be adjusted between one step and the next and individual datapoints can be excluded from further analysis (see instructions in Sheet 1).

## 2. Estimating respiration in the light ( $R_{LIGHT}$ )

The definition and importance of  $R_{LIGHT}$ , and the available methods for  $R_{LIGHT}$  estimation have been reviewed previously (Bellasio et al., 2015). Methods based on  $A/C_i$  curve analysis such as the Laisk method and the method of Brooks and Farquhar (Brooks & Farquhar, 1985) cannot be used for  $C_4$  plants (Yin et al., 2011a). Here we implemented the  $C_4$  variant of the fluorescence-light curve method proposed by Yin (Yin et al., 2011b). Assimilation is plotted



against  $1/3 Y(II) PPF D$  yielding a linear relationship, and  $R_{LIGHT}$  is independently estimated under low and ambient  $O_2$  as the y-intercept of the fitted line:

$$A = s' 1/3 Y(II) PPF D - R_{LIGHT},$$

1

where  $s'$  is a lumped conversion coefficient (see Step 6).

This gas exchange–chlorophyll fluorescence method has been experimentally validated for  $C_4$  plants (Bellasio & Griffiths, 2014b, Yin et al., 2011b). Note that the estimate for  $R_{LIGHT}$  is obtained under low PPF D and the independence of  $R_{LIGHT}$  from PPF D is assumed. The derivation of  $R_{LIGHT}$  in Sheet 2 was separated from the derivation of  $s'$  in Sheet 6a to allow additional features in Sheet 2, including the possibility to add additional data to the regressions (the light–limited part of the  $A/C_i$  curve and  $R_{DARK}$ , measured under ambient and/or low  $O_2$ ), and the possibility of a single value for  $R_{LIGHT}$ –fitted to pooled ambient and low  $O_2$  data, since in practical terms, any  $O_2$  effect may be considered negligible (Yin et al., 2009).

### 3. Initial photochemical yield of PSII ( $Y(II)_{LL}$ )

$Y(II)_{LL}$  represents the initial (and maximal) photochemical yield of PSII obtained under conditions of steady state illumination and accounts for conversion losses occurring under operational conditions. Based on the observation that  $Y(II)$  increases monotonically at decreasing PPF D (Yin et al., 2014), Sheet 3 calculates  $Y(II)_{LL}$  as the y-intercept of a function fitted to  $Y(II)$  plotted against PPF D. Alongside linear fitting, additional features in Sheet 3 allow comparison with quadratic and exponential functions, fitted to several combinations of datapoints.

### 4. Light dependence of gross assimilation (GA), light–saturated gross assimilation ( $GA_{SAT}$ ), initial quantum yield for $CO_2$ fixation ( $Y(CO_2)_{LL}$ ), and light compensation point (LCP)

The dependence of GA on PPF D can be modelled empirically as:

$$GA_{MOD} = \frac{Y(CO_2)_{LL} PPF D + GA_{SAT} - \sqrt{(Y(CO_2)_{LL} PPF D + GA_{SAT})^2 - 4 m Y(CO_2)_{LL} PPF D GA_{SAT}}}{2 m}$$

2

Eqn 2 is a non-rectangular hyperbola parameterised by  $G_{A_{SAT}}$ ,  $Y(CO_2)_{LL}$ , and  $m$ , an empirical factor ( $0 \leq m \leq 1$ ) defining the curvature.  $G_{A_{SAT}}$  defines the horizontal asymptote ( $GA = G_{A_{SAT}}$ ) and represents the light-saturated rate of GA under the  $CO_2$  concentration used for measurements.  $Y(CO_2)_{LL}$  corresponds to the maximal quantum yield for  $CO_2$  fixation ( $Y(CO_2)$ ) i.e. the conversion efficiency of PPFD into fixed  $CO_2$ , often referred to as  $\Phi_{CO_2}$ ) and defines the inclined asymptote ( $GA = Y(CO_2)_{LL} \text{ PPFD}$ ). To facilitate the physiological interpretation of  $m$ , Sheet 4 calculates the PPFD which half saturates GA ( $PPFD_{50}$ ), analogous to a  $K_{1/2}$  kinetic parameter. The values of  $Y(CO_2)_{LL}$ ,  $m$ , and  $G_{A_{SAT}}$  are found by iterative fitting of  $G_{A_{MOD}}$  to GA. These parameters can readily be used to highlight phenotypic variations. A recently proposed linear alternative for the derivation of  $Y(CO_2)_{LL}$  (Yin et al., 2014) can be compared in the additional features of Sheet 6a. From Sheet 4a onwards, we have included the possibility to log-transform residuals. By partially correcting for proportionality between residuals and modelled quantity (e.g. GA), this feature increases the weight of initial datapoints (e.g. low PPFD) in determining the characteristics of the fitted curve. The opportunity to log-transform depends on the characteristics of the dataset and the structure of error and should be considered on a case-by case basis.

The fitted hyperbola is used to calculate the PPFD-A compensation point, LCP [the importance of which has been reviewed in (Bellasio et al., 2015)] by solving Eqn 2 for PPFD under the condition of  $A=0$ , i.e.  $GA = R_{LIGHT}$ . A linear alternative to derive LCP from the initial region of the light-response curve can be compared in the additional features of Sheet 3.

5.  $CO_2$  dependence of assimilation ( $A$ ),  $CO_2$ -saturated assimilation ( $A_{SAT}$ ), initial carboxylating efficiency for  $CO_2$  fixation ( $CE$ ),  $C_i$ -A compensation point ( $\Gamma$ ), and stomatal limitation ( $L_S$ )

The relationship between  $A$  and  $C_i$  can be modelled mechanistically to derive important PEPC kinetic parameters (Step 11), however, important information can also be acquired by empirical modelling without the need for any particular physiological constraint (Bellasio et al., 2015). Assimilation can be modelled in terms of  $C_i$  through a non-rectangular hyperbola (analogous to Eqn 2):

$$A_{MOD} = \frac{CE (C_i - \Gamma) + A_{SAT} - \sqrt{(CE (C_i - \Gamma) + A_{SAT})^2 - 4 \omega CE (C_i - \Gamma) A_{SAT}}}{2 \omega}$$

3

Eqn 3 is calculated in sheets 5a and 5b and is parameterised by  $A_{SAT}$ ,  $CE$ ,  $\Gamma$ , and  $\omega$ .  $A_{SAT}$  represents the  $CO_2$ -saturated rate of  $A$  under the PPFD of the measurement, and is the

horizontal asymptote ( $A=A_{SAT}$ ). CE is known as maximal carboxylating efficiency for  $CO_2$  fixation (CE), and defines the inclined asymptote, which has the equation  $A=CE (C_i-\Gamma)$ , i.e. the asymptote equation corresponds to the linear equation of (Farquhar & Sharkey, 1982).  $\omega$  is an empirical factor ( $0 \leq \omega \leq 1$ ) defining curvature. To facilitate the physiological interpretation of  $\omega$ , sheets 5a and 5b calculate the  $C_i$  which half saturates  $A$  ( $C_{i50}$ ) – analogous to a  $K_{1/2}$  kinetic parameter. With  $R_{LIGHT}$  derived in Step 2, the values of CE,  $\omega$ ,  $\Gamma$ , and  $A_{SAT}$  are found by iterative fitting of  $A_{MOD}$  to measured  $A$ .

● The fitted equation can be useful to assess stomatal limitation ( $L_S$ ) imposed by stomatal conductance ( $g_s$ ) analogous to previous graphical methods (Farquhar & Sharkey, 1982, Long & Bernacchi, 2003). Stomatal limitation  $L_S$  is generally assessed by comparing a value of assimilation rate  $A'$  measured under ambient  $CO_2$  concentration (i.e. when  $C_i = C_a - \frac{A}{g_s}$ ) with the hypothetical  $A''$  that would be obtained if the mesophyll had free access to the  $CO_2$  in the ambient air (i.e. when  $C_i=C_a$ ). In Sheet 5a, by specifying  $C_a$  and  $C_i$ , stomatal limitation can be calculated under any  $CO_2$  concentration, this may be useful when comparing plants grown under contrasting  $CO_2$  concentrations. Sheet 5a calculates  $L_S$  as:

$$L_S = \frac{A''-A'}{A''}, \quad 4$$

where  $A'$  is calculated by solving Eqn 3 for the specified  $C_i$  and  $A''$  is calculated by solving Eqn 3 for the specified  $C_a$ .

#### 6. A calibration factor to calculate $J_{ATP}$

A calibration factor to calculate  $J_{ATP}$  is derived for each individual plant using the data obtained under low  $O_2$  conditions (Bellasio et al., 2015), where the ATP cost of GA can be assumed (see steps 7 and 8, and Discussion). In the EFT we implemented two approaches: the approach of Yin et al. (2011b) and an approach modified from Valentini et al. (1995).

The Yin approach is based on Eqn 1, and the y-intercept,  $R_{LIGHT}$ , was derived in Sheet 2. The slope  $s'$  is derived in Sheet 6a.  $s'$  is a conversion coefficient lumping the fraction of PPFd harvested by PSII with several other difficult to measure quantities (Yin & Struik, 2012), such as leaf absorptance, PSII optical cross-section, stoichiometry of the ATP synthase, engagement of cyclic electron flow, and alternative electron pathways (Yin et al., 2004).

Alternatively, in Sheet 6b, modified from the approach of Valentini, an empirical linear relationship between  $Y(\text{CO}_2)$  and  $Y(\text{II})$  is fitted:

$$Y(\text{II}) = k' Y(\text{CO}_2) + b, \quad 5$$

where  $Y(\text{II})$  is measured directly and  $Y(\text{CO}_2)$  is calculated as  $\frac{GA}{PPFD}$ ,  $k'$  is the slope and  $b$  is the intercept of the fitted line.  $b$  represents the fraction of  $Y(\text{II})$  not used by  $\text{C}_4$ , RPP and PCO cycles.

#### 7. Initial quantum yield for ATP production ( $Y(\text{J}_{\text{ATP}})_{\text{LL}}$ )

The initial quantum yield for ATP production ( $Y(\text{J}_{\text{ATP}})_{\text{LL}}$ ) is the maximal conversion efficiency of incident light into ATP, mathematically extrapolated to  $PPFD=0$ . In Sheet 6a, with the calibration of  $Y_{\text{in}}$ ,  $Y(\text{J}_{\text{ATP}})_{\text{LL}}$  is calculated as:

$$Y(\text{J}_{\text{ATP}})_{\text{LL}} = \frac{s' Y(\text{II})_{\text{LL}}}{1-x}, \quad 6$$

where  $Y(\text{II})_{\text{LL}}$  was derived by linear, quadratic, or exponential fits in Step3 and  $x$  is the fraction of  $\text{J}_{\text{ATP}}$  used for PEP regeneration under low  $\text{O}_2$  [generally assumed 0.4, e.g. (Ubierna et al., 2013)].

In Sheet 6b  $Y(\text{II})_{\text{LL}}$  is calculated modified from the Valentini approach:

$$Y(\text{J}_{\text{ATP}})_{\text{LL}} = \frac{5}{k'} (Y(\text{II})_{\text{LL}} - b), \quad 7$$

where 5 is the ATP requirement for GA under low  $\text{O}_2$  (different values can be specified in the EFT, see Discussion), and can be related to the approach of  $Y_{\text{in}}$  as  $5 = \frac{3}{1-x}$  (Eqn 1 and 7).

#### 8. Rate of ATP production ( $\text{J}_{\text{ATP}}$ )

$\text{J}_{\text{ATP}}$  is the total ATP production rate used by photosynthetic processes (PEP regeneration, RPP and PCO cycles) and does not include alternative ATP sinks. These are excluded for consistency with the assumptions in subsequent derivations (i.e. rates of PEP carboxylation and rates of RuBP oxygenation and carboxylation, see Eqn 15, 17, 18). Accuracy in

estimating  $J_{ATP}$  is critical, especially for  $g_{BS}$  fitting, which is based on the additional  $J_{ATP}$  demand brought about by the PCO cycle under ambient  $O_2$  (which, of course, is minimal as the  $C_4$  CCM suppresses photorespiration). We propose three approaches to calculate  $J_{ATP}$  that can be selected depending on the particular modelling requirements.

Firstly, following the approach of Yin, sheets 8, 9, 10, and 12 calculate  $J_{ATP}$  as:

$$J_{ATP} = \frac{s' Y(II) PPF D}{1 - x} \quad 8$$

Alternatively, following Valentini, sheets 8, 9, 10, and 12 calculate  $J_{ATP}$  as:

$$J_{ATP} = \frac{5}{k'} (Y(II) - b) PPF D \quad 9$$

Where relevant quantities have been previously defined. Eqn 8 and 9 differ by the parameter  $b$  which is the fraction of  $Y(II)$  not used by  $C_4$ , RPP, and PCO cycles. The difference is negligible under limiting PPF D, but becomes appreciable under moderate or high PPF D. Eqns 8 and 9 are underpinned by three assumptions: 1)  $R_{LIGHT}$  does not vary with light level; 2)  $s'$ ,  $k'$  and  $b$  are constant, that is, the degree of engagement of alternative sinks and cyclic electron flow do not vary with PPF D or  $C_i$ ; 3) ATP partitioning between  $C_4$  and  $C_3$  activity is constant. Deviations from linearity may arise from differential engagement of alternative sinks or experimental biases introduced by sub-saturating flash intensities (Harbinson, 2013), or also vertical differences in  $Y(II)$  quenching down the leaf profile (Bellasio et al., 2015, Evans, 2009). To account for non-linearity, we implemented the simple approach presented by Bellasio (Bellasio & Griffiths, 2014b). Sheets 8, 9, 10, and 12 calculate  $J_{ATP}$  for each point of the light and  $A/C_i$  curves as:

$$J_{ATP} = 5 GA_{LOW} \frac{Y(II)_{AMB}}{Y(II)_{LOW}}, \quad 10$$

where  $Y(II)_{AMB}$  and  $Y(II)_{LOW}$  are the values of  $Y(II)$  measured under ambient and low  $O_2$ , respectively. 5 represents the ATP cost of GA under low  $O_2$  (the value can be modified in the

EFT). Eqn 10 relies on assumption (1), it does not rely on assumption (2), and only partially relies on assumption (3), in the sense that the ATP partitioning between  $C_4$  and  $C_3$  activity is assumed constant only across  $O_2$  levels but can vary between PPFD and  $C_i$  levels.

## 9. PPFD dependence of $J_{ATP}$

The process of photophosphorylation is driven by light and displays a saturating response to increasing PPFD which can be described empirically by a non-rectangular hyperbola (Farquhar & Wong, 1984) analogous to Eqn 2 and implemented in Sheet 9:

$$J_{ATP\text{MOD Emp}} = \frac{Y(J_{ATP})_{LL} PPFD + J_{ATPSAT} - \sqrt{(Y(J_{ATP})_{LL} PPFD + J_{ATPSAT})^2 - 4\theta J_{ATPSAT} Y(J_{ATP})_{LL} PPFD}}{2\theta} \quad 11$$

Eqn 11 describes the relationship between  $J_{ATP\text{MOD Emp}}$  and PPFD in terms of  $J_{ATPSAT}$ ,  $Y(J_{ATP})_{LL}$ , and  $\theta$ .  $J_{ATPSAT}$  represents the value of  $J_{ATP}$  under infinite PPFD and defines the horizontal asymptote ( $J_{ATP\text{MOD}} = J_{ATPSAT}$ ).  $Y(J_{ATP})_{LL}$  represents the initial (and maximal) quantum yield for ATP production, defining the inclined asymptote ( $J_{ATP\text{MOD}} = Y(J_{ATP})_{LL} PPFD$ ).  $\theta$  is an empirical factor ( $0 \leq \theta \leq 1$ ) defining the curvature. To facilitate the physiological interpretation of  $\theta$ , Sheet 9 calculates the PPFD which half saturates  $J_{ATP\text{MOD}}$  ( $PPFD_{50}$ ) (analogous to  $K_{1/2}$ ). With  $Y(J_{ATP})_{LL}$  found in Step 7,  $J_{ATPSAT}$  and  $\theta$  are derived in Sheet 9 by fitting  $J_{ATP\text{MOD}}$  (Eqn 12) to empirical values of  $J_{ATP}$  (Eqn 8, 9 or 10) calculated at each PPFD. This fitting is limited to ambient  $O_2$ , if  $J_{ATP\text{MOD}}$ ,  $Y(J_{ATP})_{LL}$ , and  $J_{ATPSAT}$  are desired under low  $O_2$ , because of the assumption of non-photorespiratory conditions, they can be calculated from quantities derived in Sheet 4b as:  $J_{ATP\text{MOD}} \approx 5 GA_{\text{MOD}}$ ,  $Y(J_{ATP})_{LL} \approx 5 Y(CO_2)_{LL}$ ,  $J_{ATPSAT} \approx 5 GA_{\text{SAT}}$ .

## 10. Bundle sheath conductance to $CO_2$ diffusion ( $g_{BS}$ )

The  $C_4$  (amino)acids diffuse through plasmodesmata from external M cells to an internal layer of cells, the BS, and are decarboxylated to supply  $CO_2$  for Rubisco. For this CCM to work, the BS has to be partially isolated from the surrounding M, and the  $CO_2$  permeability at the BS/M interface, known as the bundle sheath conductance to  $CO_2$  ( $g_{BS}$ ) has to be finely regulated (Bellasio & Griffiths, 2014b, Kromdijk et al., 2014). It is widely accepted that  $g_{BS}$  varies between different species and environmental conditions, however, resolving  $g_{BS}$  has challenged  $C_4$  physiologists. For instance,  $g_{BS}$  has been resolved by fitting a 'modelled' isotopic discrimination to observed, on-line isotopic discrimination (Ubierna et al., 2011). Recent theoretical developments, coupled with refinements in gas exchange data analysis,

have allowed  $g_{BS}$  to be resolved from combined fluorescence–gas exchange datasets (Bellasio & Griffiths, 2014b, Yin et al., 2011b). With this approach, known as ‘J/J’, the  $C_4$  photosynthesis model is rearranged (Ubierna et al., 2013) to express  $J_{ATPMOD}$  as:

$$J_{ATPMOD\ Mech.} = \frac{-y + \sqrt{y^2 - 4wz}}{2w}, \quad 12$$

where:

$$w = \frac{x - x^2}{6A};$$

$$y = \frac{1-x}{3} \left[ \frac{g_{BS}}{A} + \left( C_M - \frac{R_M}{g_{BS}} - \gamma^* O_M \right) - 1 - \frac{\alpha\gamma^*}{0.047} \right] - \frac{x}{2} \left( 1 + \frac{R_{LIGHT}}{A} \right);$$

$$z = \left( 1 + \frac{R_{LIGHT}}{A} \right) \left( R_M - g_{BS} C_M - \frac{7g_{BS}\gamma^*O_M}{3} \right) + (R_{LIGHT} + A) \left( 1 - \frac{7\alpha\gamma^*}{3 \cdot 0.047} \right);$$

$\alpha$  is the fraction of PSII activity in BS cells;  $\gamma^*$  is half the reciprocal Rubisco  $CO_2/O_2$  specificity;  $O_M$  is the oxygen concentration in M;  $R_M$  is the M fraction of  $R_{LIGHT}$  (generally 0.5  $R_{LIGHT}$ ), and other variables were previously defined (Table 1).  $g_{BS}$  is found by iterative fitting  $J_{ATPMOD}$  to experimental values of  $J_{ATP}$  (Eqn 8, 9, or 10) in the light–limited region of the light curve (as a variant, the EFT allows the user to include the light–limited region of the  $A/C_i$  curve).

11. PEPC kinetics – In vivo maximum carboxylation rate ( $V_{P_{MAX}}$ ) and in vivo effective Michaelis–Menten constant for  $CO_2$  ( $K_P$ )

In conditions of high PPFD and low  $C_i$ , assimilation is limited by enzyme capacity (von Caemmerer, 2000). In particular, the initial part of the  $A/C_i$  curve is determined by PEPC activity and can be described with a Michaelis–Menten response [Eqn 4.26 in (von Caemmerer, 2000)] as:

$$GA = \frac{C_M V_{P_{MAX}}}{C_M + K_P}, \quad 13$$

where  $C_M$  is the  $CO_2$  concentration in M,  $V_{P_{MAX}}$  is the PEPC  $CO_2$  saturated rate,  $K_P$  is PEPC Michaelis–Menten for  $CO_2$ . Eqn 13 is a mathematical approximation of a quadratic equation [Eqn 4.21 in (von Caemmerer, 2000)]:



$$A_c = \frac{-p - \sqrt{q^2 - 4pr}}{2p},$$

where:

$$p = 1 - \frac{aK_C}{0.047K_O};$$

$$q = -\left[V_P - R_M + g_{BS} C_M + V_{C_{MAX}} - R_{LIGHT} + g_{BS} K_C \left(1 + \frac{O_M}{K_O}\right) + \frac{a}{0.047} \left(\gamma^* V_{C_{MAX}} + R_{LIGHT} \frac{K_C}{K_O}\right)\right];$$

$$r = (V_{C_{MAX}} - R_{LIGHT})(V_P - R_M + g_{BS} C_M) - V_{C_{MAX}} g_{BS} \gamma^* O_M + R_{LIGHT} g_{BS} K_C \left(1 + \frac{O_M}{K_O}\right);$$

0.047 is a coefficient scaling O<sub>2</sub> and CO<sub>2</sub> diffusivity (von Caemmerer, 2000);  $\gamma^*$  is half the reciprocal Rubisco specificity and it is often taken from in vitro studies (e.g. 0.000193); C<sub>M</sub> is calculated with Eqn 19, O<sub>M</sub> is the O<sub>2</sub> concentration in M, generally assumed to equal the atmospheric O<sub>2</sub> concentration, V<sub>C<sub>MAX</sub></sub> is the Rubisco CO<sub>2</sub>-saturated rate of carboxylation; K<sub>C</sub> is the Rubisco Michaelis–Menten constant for CO<sub>2</sub>; K<sub>O</sub> is the Rubisco Michaelis–Menten constant for O<sub>2</sub>; and other quantities were previously defined. In Sheet 11, Eqn 14 is fitted to the initial part of the A/C<sub>i</sub> curve (limitation ‘1’ and ‘2’) to estimate V<sub>P<sub>MAX</sub></sub> and K<sub>P</sub> in a single fitting step. Alternatively, if an in vitro value for K<sub>P</sub> is used, only V<sub>P<sub>MAX</sub></sub> can be fitted. In Sheet 11b, Eqn 14 is fitted to the low O<sub>2</sub> A/C<sub>i</sub> curve and, additionally, ambient and low O<sub>2</sub> A/C<sub>i</sub> curves can be fitted concurrently (see instructions in Sheet 11b).

Although V<sub>C<sub>MAX</sub></sub>, K<sub>C</sub>, and K<sub>O</sub> appear in Eqn 14, they cannot be reliably estimated by curve fitting, and are preferably taken from in vitro studies. In fact, as seen above, under low C<sub>i</sub> Eqn 14 is approximated by Eqn 13 whose behaviour is independent of V<sub>C<sub>MAX</sub></sub>, K<sub>C</sub>, and K<sub>O</sub>. Under higher C<sub>i</sub>, CO<sub>2</sub> assimilation rate is no-longer enzyme-limited, and consequently cannot be modelled using enzyme kinetic equations (Eqn 13 and 14). Moreover, a very poor correlation with in vitro Rubisco CO<sub>2</sub> saturated carboxylation rate was found with attempts to estimate V<sub>C<sub>MAX</sub></sub> by fitting Eqn 14 to A/C<sub>i</sub> data (Pinto et al., 2014).

## 12. PEP carboxylation rate (V<sub>P</sub>), Rubisco rate of Carboxylation (V<sub>C</sub>) and Oxygenation (V<sub>O</sub>)

V<sub>P</sub>, V<sub>O</sub>, and V<sub>C</sub> cannot be measured directly by gas exchange, but they can be estimated using the light-limited equations of the C<sub>4</sub> model (von Caemmerer, 2000). The fraction of J<sub>ATP</sub> partitioned to PEP regeneration can be calculated through an assumed partitioning factor called x (see also Step 7). Knowing that PEP synthesis requires 2 ATP, V<sub>P</sub> can be calculated as:

$$V_P = \frac{x J_{ATP}}{2}.$$

The complement  $(1-x)J_{ATP}$  represents the fraction of  $J_{ATP}$  partitioned to the RPP and PCO cycles. Knowing that each Rubisco carboxylase catalytic event requires 3 ATP, while each Rubisco oxygenase catalytic event requires 3.5 ATP, it can be written:

$$(1 - x) J_{ATP} = 3V_c + 3.5V_o \quad 16$$

Further, the leaf CO<sub>2</sub> balance can be formulated as:

$$GA = V_c - \frac{1}{2}V_o. \quad 17$$

When Eqn 17 is substituted in Eqn 16,  $V_o$  can be solved as:

$$V_o = \frac{(1-x) J_{ATP} - 3GA}{5}. \quad 18$$

The rate of photorespiratory CO<sub>2</sub> release can be calculated as  $F=1/2V_o$  and  $V_c$  can be solved from Eqn 16.  $J_{ATP}$  in Eqns 15–18 is calculated after Yin (Eqn 8), Valentini (Eqn 9) or Bellasio (Eqn 10). The Yin calibration is based on the initial light-limited portion of the light curves and is preferably used only in this narrow interval. The Valentini calibration is based on all light-limited datapoints, and should not be used outwith these. The Bellasio calibration can be used flexibly to calculate any datapoint. In fact, although Eqns 15–18 assume light (and ATP) limitations, they may be valid not only when ATP is actually limiting, but also when the ATP demand for PEP regeneration, RPP, and PCO cycles fully feedback to the electron transport chain. This condition is generally satisfied, as thylakoid reactions are tightly regulated by ATP and NADPH demand (Kramer & Evans, 2011), although, the regulation of thylakoid reactions may differ under different limitations (see Discussion). For this reason, although Sheet 12 calculates Eqns 15–18 for all datapoints, enzyme-limited datapoints are highlighted in red and results should be taken with care. Values can be compared with the enzyme-limited formulation in additional features in Sheet 12.

13. CO<sub>2</sub> concentration in M ( $C_M$ ), CO<sub>2</sub> and O<sub>2</sub> concentration in BS ( $C_{BS}$  and  $O_{BS}$ ), Leak rate (L), and bundle sheath leakiness ( $\phi$ )

The process of CO<sub>2</sub> diffusion in C<sub>4</sub> parenchyma consists of several steps. Starting from the intercellular air spaces, CO<sub>2</sub> diffuses into the liquid phase through the cell walls, the plasmalemma, and the cytosol, where CO<sub>2</sub> is hydrated to HCO<sub>3</sub><sup>-</sup>, the substrate of PEPC. The overall ability to conduct CO<sub>2</sub> through this path is mathematically expressed as the mesophyll conductance (g<sub>M</sub>) and the CO<sub>2</sub> concentration in M can be expressed as:

$$C_M = C_i - \frac{A}{g_M}. \quad 19$$

Because the C<sub>4</sub> diffusion path is shorter than that for C<sub>3</sub> plants, C<sub>4</sub> g<sub>M</sub> is larger than C<sub>3</sub> g<sub>M</sub>. However, C<sub>4</sub> g<sub>M</sub> values are still subject to debate [because of numerous experimental limitations, see (Ubierna et al., 2011) for review].

CO<sub>2</sub> is more concentrated in BS than M (see Step 10 above), and because BS and M are connected by plasmodesmata, some CO<sub>2</sub> retrodiffuses. This ‘leakage’ is an inherent process of the CCM. The rate of CO<sub>2</sub> retrodiffusion is called leak rate (L), and the law of diffusion can be written as:

$$C_{BS} = C_M + \frac{L}{g_{BS}}. \quad 20$$

Of the quantities in Eqn 20, g<sub>BS</sub> was derived by curve fitting in Step 10 while C<sub>BS</sub> and L are yet to be determined. A first approach to resolve C<sub>BS</sub> and L, which we call ‘mass balance’ determines L from M mass balance as:

$$L = V_P - R_M - A \quad 21$$

Eqn 21 can be solved with V<sub>P</sub> (calculated with Eqn 15), measured A, and R<sub>LIGHT</sub> (the fraction R<sub>M</sub>/R<sub>LIGHT</sub> is generally assumed, Table 1). C<sub>BS</sub> can then be solved from Eqn 20.

A second approach, which we call ‘Rubisco specificity’, estimates C<sub>BS</sub> from the Rubisco oxygenation vs carboxylation ratio (V<sub>O</sub>/V<sub>C</sub>, Eqn 16 and 18), given a certain Rubisco specificity and O<sub>2</sub> concentration in BS, or in the equivalent notation of (von Caemmerer, 2000):

$$C_{BS} = \frac{(\gamma^* O_{BS}) \left[ \frac{7}{3} GA + \frac{(1-x) J_{ATP}}{3} \right]}{\frac{(1-x) J_{ATP}}{3} - GA}, \quad 22$$

where  $O_{BS}$ , the  $O_2$  concentration in BS, is calculated as:

$$O_{BS} = \frac{\alpha A}{0.047 g_{BS}} + O_M, \quad 23$$

where terms are defined in Table 1. Finally,  $L$  can be solved from Eqn 20 using  $g_{BS}$  derived in Step 10. Note that the logic and parameter requirements of the mass balance and Rubisco specificity approaches are different. The mass balance approach depends on  $J_{ATP}$  and  $x$ , whereas the Rubisco specificity approach is mathematically independent of  $J_{ATP}$  and  $x$  if consistency is maintained between Eqn 8, 9, or 10 and Eqn 16 and 18 (see also Discussion).

A useful term in  $C_4$  physiology is leakiness ( $\phi$ ), defined as the leak rate relative to the PEP carboxylation rate ( $\phi = L/V_P$ ). Since Rubisco  $CO_2$  fixation (in BS) is complementary to leakage (out of BS),  $\phi$  can be used as a proxy for the coordination between the CCM and  $C_3$  assimilatory activity. Further, under conditions of non-limiting light, when leaking  $CO_2$  is entirely re-fixed by PEPC,  $\phi$  can be used as a proxy of biochemical operating efficiency [see exceptions and references in (Bellasio & Griffiths, 2014a)]. Leakiness is believed to be tightly regulated to optimise  $C_4$  operating efficiency (Bellasio & Griffiths, 2014b, Kromdijk et al., 2014). The EFT calculates  $\phi$  with both the mass balance (Eqn 13, 18, and 19) and Rubisco specificity (Eqn 18, 20, and 21) approaches.

### Applying the EFT to primary data from *Zea mays* L.: a worked example

Genetically identical maize plants (F1 Hybrid PR31N27, Pioneer Hi-bred, Cremona, Italy) were grown in controlled environment growth rooms (BDW 40 Conviron Ltd, Winnipeg, Canada) set at 14h day length, PPFD =  $350 \mu\text{mol m}^{-2} \text{s}^{-1}$ , temperature of  $27^\circ\text{C} / 18^\circ\text{C}$ , and 50% / 70% relative humidity (day / night). Plants were manually watered daily, with particular care to avoid overwatering. The apical part of the youngest fully expanded leaf was subject to combined gas exchange and fluorescence analysis.

A portable gas exchange system (GES, LI6400XT, LI-Cor, USA), was factory-modified to control at low  $CO_2$  concentrations (a webinar is available on the LI-COR website). The GES was fitted with a  $6 \text{ cm}^2$  ‘sun+sky’ cuvette, upper and lower black neoprene gaskets, and with a LI-COR 6400-18 RGB light source, positioned to uniformly illuminate the leaf. The

aluminium casing of the cuvette was perforated to fit the light sensor removed from the RGB light source, which was calibrated using a factory-calibrated Li-250 light sensor (LI-Cor, USA) according to the manufacturer's instructions (Doug Lynch, personal communication), and a fibre probe ( $\varnothing$  1.5 mm) fitted at  $45^\circ$  and c. 1mm distance from the leaf. The fibre probe was connected to a Junior PAM (Heinz Walz GmbH, Effeltrich, D). Pulse width was set to 0.4 s, pulse intensity was set to level 9, enough to saturate P signal (which occurred between level 6 and 8). Mass flow leaks (Boesgaard et al., 2013) were monitored with a gas flow meter as detailed in (Bellasio et al., 2015), but no sealant was necessary. A  $R_{\text{DARK}}/C_a$  response curve was measured by setting reference  $\text{CO}_2$  at 0, 400, 800 and  $1200 \mu\text{mol mol}^{-1}$ , flow set at  $400 \text{ mmol min}^{-1}$ . After stabilising at each level, the GES was matched and assimilation was measured every 5s for c. 60s (and then averaged). A diffusion correction term 'k' (Walker & Ort, 2015) and  $R_{\text{DARK}}$  were determined by linear curve fit, taking  $400 \mu\text{mol mol}^{-1}$  as the lab  $\text{CO}_2$  concentration (an example is provided in Supporting Information).

Light was set at a PPFD of  $30 \mu\text{mol m}^{-2} \text{ s}^{-1}$ ; after 10 min acclimation the GES was matched and assimilation was measured every 5s for c. 60s (and then averaged), and a saturating pulse was applied to determine Y(II). The background gas was switched to 2%  $\text{O}_2$ , after six minutes, measurements were taken again. The background gas was switched to air and the routine was repeated to measure at PPFD of 50, 75, 100, 150, 300, 600 and  $1200 \mu\text{mol m}^{-2} \text{ s}^{-1}$ . Flow was set at  $150 \text{ mmol min}^{-1}$  (first 5 points) and then increased to  $400 \text{ mmol min}^{-1}$  for the rest of the measurements (Bellasio et al., 2015). The  $A/C_i$  curves were measured at PPFD level of  $1200 \mu\text{mol m}^{-2} \text{ s}^{-1}$ . Reference  $\text{CO}_2$  was set at  $500 \mu\text{mol mol}^{-1}$  and the background gas was switched to air, after six minutes' acclimation the GES was matched and assimilation was measured every 5s for c. 30s (and then averaged) and a saturating pulse was applied to determine Y(II). The background gas was switched to 2%  $\text{O}_2$ , after six minutes' acclimation the GES was matched and measurements were taken again. The routine was repeated to measure at a reference  $\text{CO}_2$  of 400, 300, 200, 100, 60, 40, 20,  $10 \mu\text{mol m}^{-2} \text{ s}^{-1}$ . Upon switching background gas, the  $\text{O}_2$  concentration was specified in the GES software. This protocol took c. 8h, and was repeated on  $n=3$  plants. Experimental practicalities are discussed in Discussion.

Primary data were corrected for  $\text{CO}_2$  diffusion through the gaskets as:

$$A = \text{Photo} + \frac{k(400 - C_a)}{100 \text{ Area}},$$

21

where Photo is the uncorrected assimilation as calculated by the LI-COR software, 400 is the CO<sub>2</sub> concentration outside the cuvette, C<sub>a</sub> is the CO<sub>2</sub> concentration in the cuvette (CO<sub>2</sub>S in the LI-COR notation) and Area is the leaf area (6 cm<sup>2</sup> in this example), k was derived by linear fit as detailed above. C<sub>i</sub> was recalculated using the LI-COR equations inputting A calculated with Eqn 21. Diffusion-corrected data are shown in Figure 1.

Because of the low O<sub>2</sub> susceptibility of C<sub>4</sub> physiology, differences in net assimilation between ambient and low O<sub>2</sub> were small but consistent (c. 0.3 μmol m<sup>-2</sup> s<sup>-1</sup>) for both the light and A/C<sub>i</sub> curves. Y(II) was lower under low O<sub>2</sub> (dotted line) reflecting the smaller ATP demand under non-photorespiratory conditions. Data were analysed using the 13-step approach of the EFT, summarised below.

1. Thresholds used to assign datapoints were, for light-curves: '1' PPFD < 300 μmol m<sup>-2</sup> s<sup>-1</sup>; '2' remainder of datapoints. For A/C<sub>i</sub> curves: '1' C<sub>i</sub> ≤ 20 μmol mol<sup>-1</sup>; '2' 20 < C<sub>i</sub> < 40 μmol mol<sup>-1</sup>; '2.5' 40 < C<sub>i</sub> < 70 μmol mol<sup>-1</sup> (these datapoints were excluded from V<sub>PMAX</sub> fitting, Step 11), and '3' C<sub>i</sub> > 70 μmol mol<sup>-1</sup>.

2. R<sub>LIGHT</sub> was derived under ambient and low O<sub>2</sub> using linear regressions (Eqn 1).

3. Y(II)<sub>LL</sub> was derived with linear regression.

4. GA was calculated under ambient and low O<sub>2</sub> using the values of R<sub>LIGHT</sub> derived in Step 2.

The PPFD dependence of GA was modelled to derive GA<sub>SAT</sub>, PPFD<sub>50</sub>, and Y(CO<sub>2</sub>)<sub>LL</sub>.

Residuals were log-transformed to correct for proportionality between residuals and GA, thus providing a better fit in the initial (low PPFD) region of the curve. The LCP was slightly higher under ambient O<sub>2</sub> reflecting the additional light requirements for operating the PCO cycle. GA<sub>SAT</sub> was slightly higher under low O<sub>2</sub> because of the additional ATP and NADPH availability for CO<sub>2</sub> assimilation. Y(CO<sub>2</sub>)<sub>LL</sub> was slightly higher under low O<sub>2</sub> reflecting the higher conversion efficiency of light into fixed CO<sub>2</sub>.

5. The C<sub>i</sub> dependence of A was modelled under ambient and low O<sub>2</sub> to derive CE, A<sub>SAT</sub>, C<sub>i50</sub>, and Γ. Residuals were log-transformed to improve fit in the initial (low C<sub>i</sub>) region of the curve. Parameters reflect a low O<sub>2</sub> susceptibility, however L<sub>S</sub> was slightly higher under low O<sub>2</sub>.

6a. The Yin calibration was performed with standard settings.

6b. The Valentini calibration was performed using R<sub>LIGHT</sub> estimated in Step 2 under low O<sub>2</sub>, and limiting the regression to light-limited datapoints taken from the light curve (limitation '1' and '2') and A/C<sub>i</sub> curve (limitation '3'). The fit was good R<sup>2</sup> c. 0.99, but in this case the calibration is valid only for light-limited datapoints. The parameter, b, which is responsible

for differences between the Valentini and Yin  $J_{ATP}$  derivation (see 9), was substantially different from 0.

7.  $Y(J_{ATP})_{LL}$  was unaffected by the  $O_2$  level, as expected.

8.  $J_{ATP}$  was calculated using the Valentini calibration. Values are shown in Figure 2A (light curves) and 2B ( $A/C_i$  curves) only for light-limited datapoints. If values for  $J_{ATP}$  are desired for other datapoints the calibration of Bellasio can be used instead (but see Discussion).

9. The PPFD response of  $J_{ATP}$  was modelled to derive  $J_{ATPSAT}$ ,  $\theta$ , and  $PPFD_{50}$ .

10.  $g_{BS}$  was estimated by fitting data pooled from the light and  $A/C_i$  curves (only the three points at the highest  $C_i$ ), using  $R_{LIGHT}$  derived under ambient  $O_2$  in Sheet 2 and  $J_{ATP}$  shown in Figure 2A and 2B. Assumed values for  $O_M$ ,  $\alpha$ ,  $g_M$ ,  $m$ ,  $\gamma^*$ , and  $x$  are listed in Table 1.

$R_M/R_{LIGHT}$  was assumed to be 0.5. Residuals were log-transformed to correct for proportionality between residuals and  $J_{ATP}$ .

11.  $V_{P_{MAX}}$  was estimated using  $R_{LIGHT}$  derived in Step 2 and  $g_{BS}$  derived in Step 10, by curve fitting to the enzyme-limited region of the  $A/C_i$  curve (limitations '1' and '2'). Assumed values for  $O_M$ ,  $\alpha$ ,  $g_M$ ,  $\gamma^*$ ,  $x$ , and Rubisco kinetic constants  $K_C$ ,  $K_O$ , and  $V_{C_{MAX}}$  are listed in Table 1.  $R_M/R_{LIGHT}$  was assumed to be 0.5. Although  $K_P$  could be fitted concurrently to  $V_{P_{MAX}}$ , in this example it was assumed to be 80  $\mu$ bar (von Caemmerer, 2000) to increase constraint.

12. The rates of Rubisco carboxylation, oxygenation, and photorespiratory  $CO_2$  release were calculated for each datapoint, using  $J_{ATP}$  values shown in Figure 2A and 2B.  $V_O/V_C$  ratios are shown in Figure 2C (light curve) and 2D ( $A/C_i$  curve).

13.  $CO_2$  concentration in M, BS,  $CO_2$  leak rate and leakiness were calculated with the mass balance approach, using  $g_{BS}$  derived in Step 10 and the values of  $J_{ATP}$  derived in Step 8 with the Valentini calibration. Assumed values for  $O_M$ ,  $\alpha$ ,  $g_M$ ,  $\gamma^*$ ,  $x$ , are listed in Table 1.

$R_M/R_{LIGHT}$  was assumed 0.5. Figure 2E and G (light curve) and 2F and H ( $A/C_i$  curve) shows the calculated values for  $C_{BS}$  and leakiness ( $\phi$ ) respectively. These display the expected trend at low light intensity and are within the physiological limits for the light-limited points of  $A/C_i$  curve.

## Discussion

We have developed a tool for the analysis of gas exchange data embedded with a model of  $C_4$  photosynthesis. The key output from the data analysis is the ATP production rate  $J_{ATP}$ , which is inputted to the  $C_4$  model to derive detailed information on  $C_4$  photosynthesis such as  $V_p$ ,  $C_{BS}$  and  $L$ . Because these approaches are integrated, some uncertainties of model



parameterisation are avoided. Further, the step-by-step logic allows inputs based on various independent model sources to be compared, and model fitting to the data is straightforward and easily modified. Some sources of error associated with model assumptions or uncertain parameterisation, however, remain. These are now briefly reviewed, together with sources of experimental error which, although not strictly related to data analyses, could affect the quality of results.

#### Experimental sources of error.

Because  $C_4$  photosynthesis suppresses photorespiration, the difference in photosynthetic rates between ambient and low  $O_2$  are minimal (as low as 1%), and so are the difference between  $Y(II)_{LOW}$  and  $Y(II)_{AMB}$ . These differences are used to calculate  $J_{ATP}$  and are translated into  $V_O/V_C$ , which, in  $C_4$  plants is as low as 3–5%. Distinguishing these small differences is an experimental challenge, hence high quality data, in terms of precision and accuracy, are essential [for theory of error see (Bellasio et al., 2014b) and references therein]. We briefly mention the important experimental practicalities of gas exchange measurements, for details see Supporting Information in Bellasio et al. (2015).  $CO_2$  diffusion through the gaskets is a well-known source of error of GES (Flexas et al., 2007) which becomes substantial when the experiment is undertaken using small chambers (Pons et al., 2009). As compared to the tobacco example in Bellasio et al. (2015), where a  $2\text{ cm}^2$  chamber was used, here we preferred a  $6\text{ cm}^2$  chamber, with two black neoprene gaskets. It was recently pointed out that mass-flow leaks resulting from a poor seal between the gasket and the leaf alter diffusion (Boesgaard et al., 2013). Mass flow leaks were monitored with a flowmeter as detailed in Bellasio et al. (2015) and for these measurements it was not necessary to apply additional sealant around the main vein. To correctly account for diffusion we derived a measurement-specific coefficient of diffusion ‘k’ by linear regression (example provided in Supporting Information) of  $R_{DARK}/C_a$  curves (Walker & Ort, 2015). In agreement with Walker and Ort (2015) we found that the mean k did not differ from the suggested value of 0.4, however we noted some variability so there may be scope for calibrating each replicate leaf.

It is well-known that sub-saturating light pulses will artificially lower  $Y(II)$  (Earl & Ennahli, 2004). This issue arises particularly when using whole-chamber fluorometers, which generally have a lower saturating pulse intensity than fibre probe fluorometers. Although the method proposed here recalibrates the relationship between  $Y(II)$  and  $J_{ATP}$  for each individual plant, and therefore minimises any effect of systematic error, we used a fluorometer working on a small fibre probe. We found this solution very reliable, particularly for the possibility of reaching the vicinity of the leaf without shading and regulating the

saturating pulse intensity (which was determined in a pilot experiment) so as to saturate the P signal (Harbinson, 2013, Loriaux et al., 2013).

Light intensity levels were chosen bearing in mind that high resolution between 30 and 150  $\mu\text{mol m}^{-2} \text{s}^{-1}$  is required when obtaining light–curves for fitting respiration in the light ( $R_{\text{LIGHT}}$ ) and to calibrate  $s'$  according to Yin, while relatively fewer points are required at high PPFD to fit the light–saturated rate of ATP production  $J_{\text{ATP SAT}}$ . Here we preferred not to use saturating PPFDs so that the points at high PPFD could be used in the fitting of  $g_{\text{BS}}$ , which works under the assumption of light–limitation. Similarly, the light intensity under which  $A/C_i$  curves were measured was intermediate so that datapoints obtained under ambient  $C_a$  were light–limited and used for  $g_{\text{BS}}$  fitting. To provide a sufficient number of datapoints under low  $C_i$  to fit  $V_{\text{P MAX}}$  we had the GES factory–modified to reach very low  $\text{CO}_2$  concentrations. With this particular experimental routine, when the enzyme–limited datapoints were plotted for the Valentini calibration, they had a different slope and intercept than the light–limited datapoints. This behaviour is generally attributed to the existence of alternative electron sinks. Here it may be due to: a difference in regulation of PSII under light–limitation, rather than under enzyme–limitation; to a changing profile of PSII quenching through the thickness of the leaf (Evans, 2009, Kaiser et al., 2014); or because BS and M are spatially separated to a different partitioning of thylakoid reactions between BS and M. These considerations are beyond the scope of this review and we refer the reader to specialised literature (Bellasio & Griffiths, 2014c, Kramer & Evans, 2011, Yin & Struik, 2012). To avoid any issue of non–linearity we limited the application of the light–limited model to light–limited datapoints in the Valentini calibration (Sheet 6b), in the derivation of  $g_{\text{BS}}$  (Sheet 10) and in the subsequent parameterisation of the  $C_4$  model (Sheet 12–13). It has been noted, however, that light–limited equations may be applied beyond the strictly light–limited datapoints (Archontoulis et al., 2012). For this reason the model output was calculated for all datapoints regardless of the limitation. Further, we included the Bellasio calibration, which is point–to–point based and can be used more flexibly than the Valentini or Yin calibrations, and we also included the enzyme–limited formulation in additional features of Sheet 12–13 in order to provide a useful comparison. Because of these technical difficulties it may be productive to concentrate on a smaller dataset, and opt for data quality over quantity (see also Partial datasets, below). For instance, the light–limited part of the light curve is ideal to estimate  $g_{\text{BS}}$  (Bellasio & Griffiths, 2014b), while the enzyme–limited part of the  $A/C_i$  curve can highlight any effect on PEPC activity (Pinto et al., 2014).

Finally, the  $\text{O}_2$  concentration in the background gas modifies the infra–red absorption of  $\text{H}_2\text{O}$  (Bunce, 2002), and will affect the estimate for  $[\text{H}_2\text{O}]$ , and hence transpiration,  $g_s$  and  $C_i$ . LI–COR, for example, has built the ability to specify gas mixtures different from air into the

GES software (see LI-COR manual for details). If this correction cannot be implemented (e.g. reanalysing an existing dataset or working with a different GES), the EFT can still be used, avoiding sheets 5b, and 11b, which rely on [H<sub>2</sub>O] measured under low O<sub>2</sub>. All other sheets are valid, as based on [CO<sub>2</sub>] and [H<sub>2</sub>O] measured under ambient O<sub>2</sub>, and on [CO<sub>2</sub>] measured under low O<sub>2</sub>.

## Validity and Applicability

The EFT developed previously by Bellasio et al. (2015) is based on NADPH-limited equations, which are valid for any photosynthetic type, but do not allow for V<sub>p</sub>, C<sub>BS</sub> and L to be derived. Here we developed the ATP-limited equations, which allow such derivations, but necessitate assumption of the ATP cost of gross assimilation under low O<sub>2</sub>,  $\frac{ATP}{GA_{LOW}}$ , and the value of a partitioning factor called x, which specifies the fraction of ATP consumed by PEP regeneration. These assumptions introduce uncertainty. We will now distinguish two cases, when  $\frac{ATP}{GA_{LOW}}$  and x are known with a reasonable degree of confidence, and when  $\frac{ATP}{GA_{LOW}}$  and x are unknown. First is the case of C<sub>4</sub> photosynthesis where x was predicted to have limited variability across a range of conditions (Kromdijk et al., 2010, von Caemmerer, 2000).

$\frac{ATP}{GA_{LOW}}$  was proposed to be determined by x as  $\frac{ATP}{GA_{LOW}} = \frac{3}{1-x}$  (Tazoe et al., 2008, von Caemmerer, 2000, Yin et al., 2011b), i.e.  $\frac{ATP}{GA_{LOW}} \approx 5$ . There are assumptions within this equation that need to be carefully considered: 1) respiratory ATP and NADPH are assumed to be entirely consumed by basal metabolism; 2) respiration is assumed to be supplied by old assimilates (Stutz et al., 2014), thus respiratory PGA consumption is neglected; 3) PEP carboxykinase (PEPCK) activity is neglected; 4) starch synthesis and sucrose loading have no ATP cost. A metabolic model can be used to study the influence of each of these variables on  $\frac{ATP}{GA_{LOW}}$ , and a freely available version is provided in the supporting information of

McQualter et al. (2015). Because PEPCK catalytic cycle requires half the ATP of PPDK, a moderate PEPCK can compensate for the ATP cost of carbohydrate synthesis, resulting in

$\frac{ATP}{GA_{LOW}} \approx 5$ . Complete PEPCK engagement would result in  $\frac{ATP}{GA_{LOW}} < 5$ , but as the PEPCK reaction may not be fast enough to sustain high decarboxylation rates, such a situation is unlikely. In these conditions part of the newly synthesized PEP may be necessarily hydrolysed to drive the PEPCK reaction (Richard Leegood, personal communication),

allowing  $\frac{ATP}{GA_{LOW}} \approx 5$ . Even within these confidence limits the C<sub>4</sub> model would be highly

sensitive to any uncertainty in  $\frac{ATP}{GA_{LOW}}$  and x, but the error would be small relative to the

experimental error discussed above. The application to  $C_3$  photosynthesis, which emerges as a special case when  $x=0$  and  $\frac{ATP}{GA_{LOW}} = 3$ , would also be well constrained. In this condition the EFT would code the ATP-limited model of  $C_3$  photosynthesis. Sheets 1–10 and 11–12 would also be valid, and can be inputted  $x=0$  and  $\frac{ATP}{GA_{LOW}} = 3$  (Sheets, cells: 6a, T15; 6b, U13; 8–9, H3; 10, J8; 12–13, Q4). Sheet 10 would be operating similarly to the derivation of  $C_3 g_M$ , but based on ATP requirements, while the derivation of  $V_{P_{MAX}}$  would be invalid.

Secondly, when  $\frac{ATP}{GA_{LOW}}$  and  $x$  are not known, the EFT can still be used, but different steps need to be taken. This scenario could allow disrupted  $C_4$  photosynthesis to be studied, with variable PEPC engagement, and Rubisco entirely located in BS. In this case  $J_{ATP}$  (Eqn 8, 9 and 10),  $V_P$  (Eqn 15), and the mass balance approach to estimate  $C_{BS}$  would not be resolved. Because similar multipliers are used when calculating Eqn 8, 9 or 10 and Eqn 16 and 18,  $V_O/V_C$ , are mathematically independent of the value of  $\frac{ATP}{GA_{LOW}}$  and  $x$ , as long as they satisfy  $\frac{ATP}{GA_{LOW}} = \frac{3}{1-x}$ . Test values for  $\frac{ATP}{GA_{LOW}}$  and  $x$  could be entered in the aforementioned cells,  $C_{BS}$  could then be determined from  $V_O/V_C$  with the Rubisco specificity approach, and then used to calculate  $L$  through Eqn 20 and  $V_P$  through Eqn 21. Using this reverse logic  $x$  and  $\frac{ATP}{GA}$  in a dysfunctional  $C_4$  plant could, in principle, be estimated. Alternatively,  $V_O/V_C$  could be determined with the NADPH-limited equations in the previous EFT (Bellasio et al., 2015) and then follow the same logic ( $V_O/V_C \rightarrow C_{BS} \rightarrow L \rightarrow V_P$ ). This model cannot be used when Rubisco activity is shared between BS and M, which requires the intermediate model of  $C_3$ – $C_4$  assimilation (von Caemmerer, 2000).

#### Adjusting for temperature and pressure

Consistency between the temperature of validity for input parameters (e.g.  $\gamma^*$ ,  $g_M$ ,  $V_{C_{MAX}}$ ,  $K_C$ ) and the temperature at which the response curves are measured is essential. Parameters can be temperature-adjusted using exponential equations (Bernacchi et al., 2003, Bernacchi et al., 2002, Bernacchi et al., 2001, June et al., 2004, Scafaro et al., 2011, Yamori & von Caemmerer, 2009). Because empirical constants for temperature adjustment are available for only a limited number of parameters and species, they could not be implemented as a general tool in the EFT.

The EFT was developed to allow (diffusion corrected) gas exchange data to be inputted directly, whereby  $CO_2$  levels are normally expressed as concentration ( $\mu\text{mol mol}^{-1}$ ). This way of expressing  $CO_2$  is convenient as it is independent of pressure, however, it is a simplification valid only at the pressure of  $10^5$  Pa. In fact, enzyme reaction rates depend on

the chemical activity of CO<sub>2</sub> expressed as fugacity. When CO<sub>2</sub> behaves as an ideal gas, fugacity is proportional to the partial pressure of the gas in equilibrium with the air above the liquid, in conditions outwith these limits fugacity should be used instead of concentration (Sharkey et al., 2007).

#### Use of the EFT with partial datasets

It is still possible to use the EFT when only a limited number of datapoints is available, however, it is recommended that the minimum requirements listed in Table 2 are met, and to ensure that all datapoints and parameters used in the calculations are available. To ensure the maximum flexibility of the EFT, all automatically populated data, placed in cells with a light background, can be manually overwritten.

#### Conclusion

Using combined fluorescence–A/C<sub>i</sub> and fluorescence–light–response curves, measured under ambient and low O<sub>2</sub>, the Excel–based fitting tool (EFT) can be used to derive a comprehensive suite of C<sub>4</sub> physiological parameters. These are derived with a step–by–step logic to avoid many of the uncertainties associated with concurrent multi–model applications. All steps are implemented in a freely downloadable Excel workbook that can be modified easily by the user. The parameters derived by the EFT summarise the physiological traits of the plant(s) measured and can be used to compare different plants or to parameterise predictive models. Overall, the EFT integrates the latest developments in the theory of gas exchange, fluorescence and C<sub>4</sub> modelling.

#### Acknowledgments

We are grateful to Joe Quirk and Marjorie Lundgren for critical review. We gratefully acknowledge funding of CB through an ERC advanced grant (CDREG, 322998) awarded to DJB.

The Authors have no conflict of interest.

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**Table 1.** Acronyms, definitions, variables, and units used.

Symbol	Definition	Values / Units / References
A	Measured net assimilation	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$A_{\text{MOD}}$ , $A_{\text{C}}$	Net assimilation under ambient $\text{O}_2$ modelled through Eqn 3 and 14 respectively	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$A_{\text{SAT}}$	$\text{CO}_2$ -saturated A, under the PPFD of $A/C_i$ -curves	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$b$	$y$ -intercept of the linear fit of $Y(II)$ against $Y(\text{CO}_2)$ , it represent the fraction of $Y(II)$ not used for PEP regeneration, RPP and PCO cycles, i.e. the fraction of $Y(II)$ used by alternative ATP sinks	dimensionless (Valentini <i>et al.</i> , 1995)
BS	Bundle Sheath	
$C_a$	$\text{CO}_2$ concentration in the cuvette as measured by the GES	$\mu\text{mol mol}^{-1}$
$C_{\text{BS}}$	$\text{CO}_2$ concentration in the BS (Eqn 20 and 22)	$\mu\text{mol mol}^{-1}$
CCM	Carbon Concentrating Mechanism	
CE	Carboxylating efficiency, i.e. initial slope of the $A/C_i$ curve	$\text{mol m}^{-2} \text{s}^{-1}$
$C_i$	$\text{CO}_2$ concentration in the substomatal cavity as calculated by the GES	$\mu\text{mol mol}^{-1}$ (Eqn 1–18 in the LI-COR 6400 manual)
$C_M$	$\text{CO}_2$ concentration at the site of PEPC carboxylation $C_M = C_i - \frac{A}{g_M}$	$\mu\text{mol mol}^{-1}$
EFT	Excel based Fitting Tool	
F	Photorespiration rate, or rate of photorespiratory $\text{CO}_2$ evolution $F = 0.5 \cdot V_O$	$\mu\text{mol m}^{-2} \text{s}^{-1}$
F	Chlorophyll $a$ fluorescence signal (corresponding to fluorescence yield because normalized to measuring light)	dimensionless
GA	Gross assimilation $GA = A + R_{\text{LIGHT}}$ . GA represents the net biochemical $\text{CO}_2$ uptake $GA = V_C - F$	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$GA_{\text{MOD}}$	Gross assimilation under ambient or low $\text{O}_2$ modelled through Eqn 3	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$GA_{\text{SAT}}$	Light-saturated GA, under the $\text{CO}_2$ concentration of light-curves	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$g_{\text{BS}}$	BS conductance to $\text{CO}_2$ diffusion	$\text{mol m}^{-2} \text{s}^{-1}$
$g_M$	Mesophyll conductance to $\text{CO}_2$ diffusion	$\text{mol m}^{-2} \text{s}^{-1}$
GES	Portable Fluorescence–Gas Exchange systems	
$J_{\text{ATP}}$	ATP production rate used by PEP regeneration ( $C_4$ cycle), RPP and PCO cycles	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$J_{\text{ATPSAT}}$	Light-saturated ATP production rate, Eqn 11	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$J_{\text{ATPMOD}}$	Modelled $J_{\text{ATP}}$ , either empirically through Eqn 11 ( $J_{\text{ATPMOD Emp}}$ ), or mechanistically through Eqn 12 ( $J_{\text{ATPMOD Mech}}$ )	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$k$	GES cuvette diffusion correction parameter	mol/s
$k'$	Slope of the linear fit of $Y(II)$ against $Y(\text{CO}_2)$ , Eqn 5	dimensionless (Valentini <i>et al.</i> , 1995)
$K_C$	Rubisco Michaelis–Menten constant for $\text{CO}_2$	650 $\mu\text{bar}$ (von Caemmerer, 2000)
$K_O$	Rubisco Michaelis–Menten constant for $\text{O}_2$	450000 $\mu\text{bar}$ (von Caemmerer, 2000)
$K_P$	PEPC Michaelis–Menten constant for $\text{CO}_2$	80 $\mu\text{bar}$ or variable (von Caemmerer, 2000)
L	Leak rate, i.e. magnitude of $\text{CO}_2$ flux diffusing out of BS, Eqn 21	$\mu\text{mol m}^{-2} \text{s}^{-1}$
LCP	Light compensation point, i.e. PPFD when $A=0$ . At the LCP the rate of Rubisco carboxylation equals the rate of respiration + photorespiratory $\text{CO}_2$ release ( $V_C = R_{\text{LIGHT}} + F$ ). In non-photorespiratory conditions, when $V_C = R_{\text{LIGHT}}$ , the LCP is lower.	$\mu\text{mol m}^{-2} \text{s}^{-1}$
M	Mesophyll	
$O_M$ , $O_{\text{BS}}$	$\text{O}_2$ concentration in M cells (assumed to equal ambient) or BS cells (Eqn 23)	$O_M = 210000 \mu\text{mol mol}^{-1}$
PCO	Photosynthetic Carbon Oxygenation (cycle)	
PEP	Phosphoenolpyruvate	
PEPC	Phosphoenolpyruvate carboxylase	
PEPCK	Phosphoenolpyruvate carboxykinase	
PGA	3-phosphoglyceric acid	
PPFD	Photosynthetic Photon Flux Density	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$PPFD_{50}$	PPFD which half saturates either GA or J	$\mu\text{mol m}^{-2} \text{s}^{-1}$
PSII	Photosystem II	
$R_{\text{DARK}}$	Dark respiration	$R_{\text{DARK}} > 0 \mu\text{mol m}^{-2} \text{s}^{-1}$
$R_{\text{LIGHT}}$	Respiration in the light; also known as non-photorespiratory $\text{CO}_2$ release in the light, or respiration in the day	$R_{\text{LIGHT}} > 0 \mu\text{mol m}^{-2} \text{s}^{-1}$
$R_M$	M fraction of $R_{\text{LIGHT}}$	generally 0.5 $R_{\text{LIGHT}}$
RPP	Reductive pentose phosphate (cycle); also known as Calvin–Benson–Bassham cycle or photosynthetic carbon reduction cycle	
Rubisco	Ribulose biphosphate carboxylase oxygenase	
RuBP	Ribulose–1,5–biphosphate	
$s'$	A calibration factor to calculate $J_{\text{ATP}}$ according to Yin, it depends on leaf absorptance, PSII optical cross section, accounts for engagement of alternative electron sinks and cyclic electron flow, and the stoichiometry of ATP synthase	dimensionless (Yin <i>et al.</i> , 2004)
$V_C$	Rubisco carboxylation rate, Eqn 16	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$V_{\text{CMAX}}$	$\text{CO}_2$ -saturated Rubisco carboxylation rate	60 $\mu\text{mol m}^{-2} \text{s}^{-1}$ (von Caemmerer, 2000)
$V_{\text{PMAX}}$	PEPC carboxylation rate, Eqn 15	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$V_P$	$\text{CO}_2$ -saturated PEPC carboxylation rate	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$V_O$	Rubisco oxygenation rate (Eqn 18)	$\mu\text{mol m}^{-2} \text{s}^{-1}$
x	Factor partitioning $J_{\text{ATP}}$ between PEP regeneration ( $C_4$ activity) and RPP+PCO cycle ( $C_3$ activity), Eqn 15 and 16	generally 0.4 but can vary (Kromdijk <i>et al.</i> , 2010)
$Y(\text{CO}_2)$	Quantum yield for $\text{CO}_2$ fixation $Y(\text{CO}_2) = \frac{GA}{PPFD}$ ; also known as $\Phi_{\text{CO}_2}$	dimensionless
$Y(\text{CO}_2)_{\text{LL}}$	Initial (or maximum) quantum yield for $\text{CO}_2$ fixation, i.e. quanta required for each $\text{CO}_2$ assimilated; $\Phi_{\text{CO}_2\text{LL}}$ in the notation of Yin	dimensionless
$Y(II)$ , $Y(II)_{\text{AMB}}$	Yield of photosystem II, $Y(II) = \frac{F'_M - F_S}{F'_M}$ , also known as $\Phi_2$ or $\Phi_{\text{PS2}}$ , unspecified, under ambient or low $\text{O}_2$	dimensionless (Genty <i>et al.</i> , 1989)

$Y(II)_{LOW}$	respectively	
$Y(II)_{LL}$	Initial $Y(II)$ extrapolated to $PPFD=0$	dimensionless
$Y(J_{ATP})_{LL}$	Initial (or maximum) quantum yield for ATP production, i.e. conversion efficiency of $PPFD$ into $J_{ATP}$ (Eqn 6 and 7)	dimensionless
$\alpha$	Fraction of PSII active in BS	dimensionless
$\Gamma$	$C_i$ -A compensation point, i.e. $C_i$ at which $A=0$ and $V_c=R_{LIGHT}+F$	$\mu\text{mol mol}^{-1}$
$\gamma^*$	Half the reciprocal Rubisco specificity $\gamma^* = \frac{1}{2S_{C/O}}$	0.000193 (von Caemmerer, 2000)
$\theta$	Curvature of the non-rectangular hyperbola describing the $PPFD$ dependence of $J$ , Eqn 11	dimensionless
$\omega$	Curvature of the non-rectangular hyperbola describing the $C_i$ dependence of $A$ , Eqn 3	dimensionless
$m$	Curvature of the non-rectangular hyperbola describing the $PPFD$ dependence of $GA$ , Eqn 2	dimensionless
$\phi$	Leakiness, $\phi=L/V_p$	dimensionless

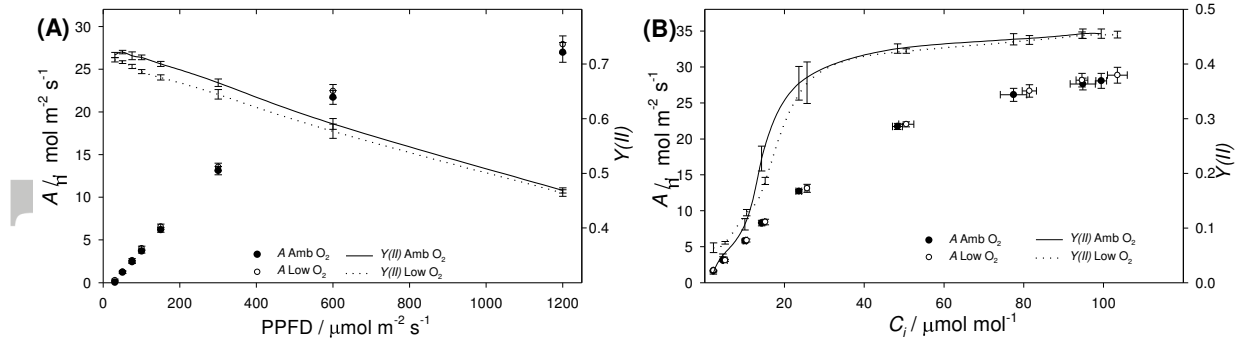
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**Table 2.** Minimum data required to obtain a desired output

Desired output	Minimum data necessary	Notes
$s'$	Low $O_2$ fluorescence–light–response curve	
$k', b$	$R_{\text{LIGHT}}$ , low $O_2$ fluorescence– $A/C_i$ response curve or low $O_2$ fluorescence–light–response curve	If both curves are available they can be pooled
$Y(CO_2)_{\text{LL}}, LCP, GA_{\text{SAT}}, PPF_{50} (GA)$	Light–response curve, $R_{\text{LIGHT}}$	If $R_{\text{LIGHT}}$ is not available it can be derived in the same fitting
$J_{\text{ATPSAT}}, PPF_{50} (J_{\text{ATP}})$	Fluorescence–light–response curve, $s'$ or $k'$ and $b$	
$Y(II)_{\text{LL}}$	Fluorescence–light–response curve	
$Y(J_{\text{ATP}})_{\text{LL}}$	$Y(II)_{\text{LL}}, s'$ or $k'$ and $b$	
$K_p$ and $V_{\text{PMAX}}$	$A/C_i$ response curve, $R_{\text{LIGHT}}, g_{\text{BS}}$	Values for $O_M, \alpha, g_M, \gamma^*, x, R_M/R_{\text{LIGHT}}$ , Rubisco kinetic constants $K_C, K_O, V_{\text{CMAX}}$ are assumed (Table 1)
$\Gamma, CE, A_{\text{SAT}}, C_{i50}, L_S$	$A/C_i$ response curve	
$LCP$	Light–response curve	$R_{\text{LIGHT}}$ is preferably required if LCP is derived non–linearly (together with $GA_{\text{SAT}}$ )
$g_{\text{BS}}$	Fluorescence–light response curve, $R_{\text{LIGHT}}, s'$ or $k'$ and $b$	Values for $O_M, \alpha, g_M, \gamma^*, x, R_M/R_{\text{LIGHT}}$ are assumed
$R_{\text{LIGHT}}$	Fluorescence–light–response curve	If fluorescence data are not available $R_{\text{LIGHT}}$ can be estimated in Sheet 4 by non–linear curve fitting
$V_C, V_O, F$	$A$ and $Y(II)$ for each desired datapoint, $R_{\text{LIGHT}}, s'$ or $k'$ and $b$	
$C_M, C_{\text{BS}}, L, \Phi$	$A, C_i$ , and $Y(II)$ for each desired datapoint, $g_{\text{BS}}, s'$ or $k'$ and $b, R_{\text{LIGHT}}$	Values for $g_M, x, R_M/R_{\text{LIGHT}}$ (mass balance) and $O_M, \alpha, \gamma^*$ (Rubisco specificity) are assumed

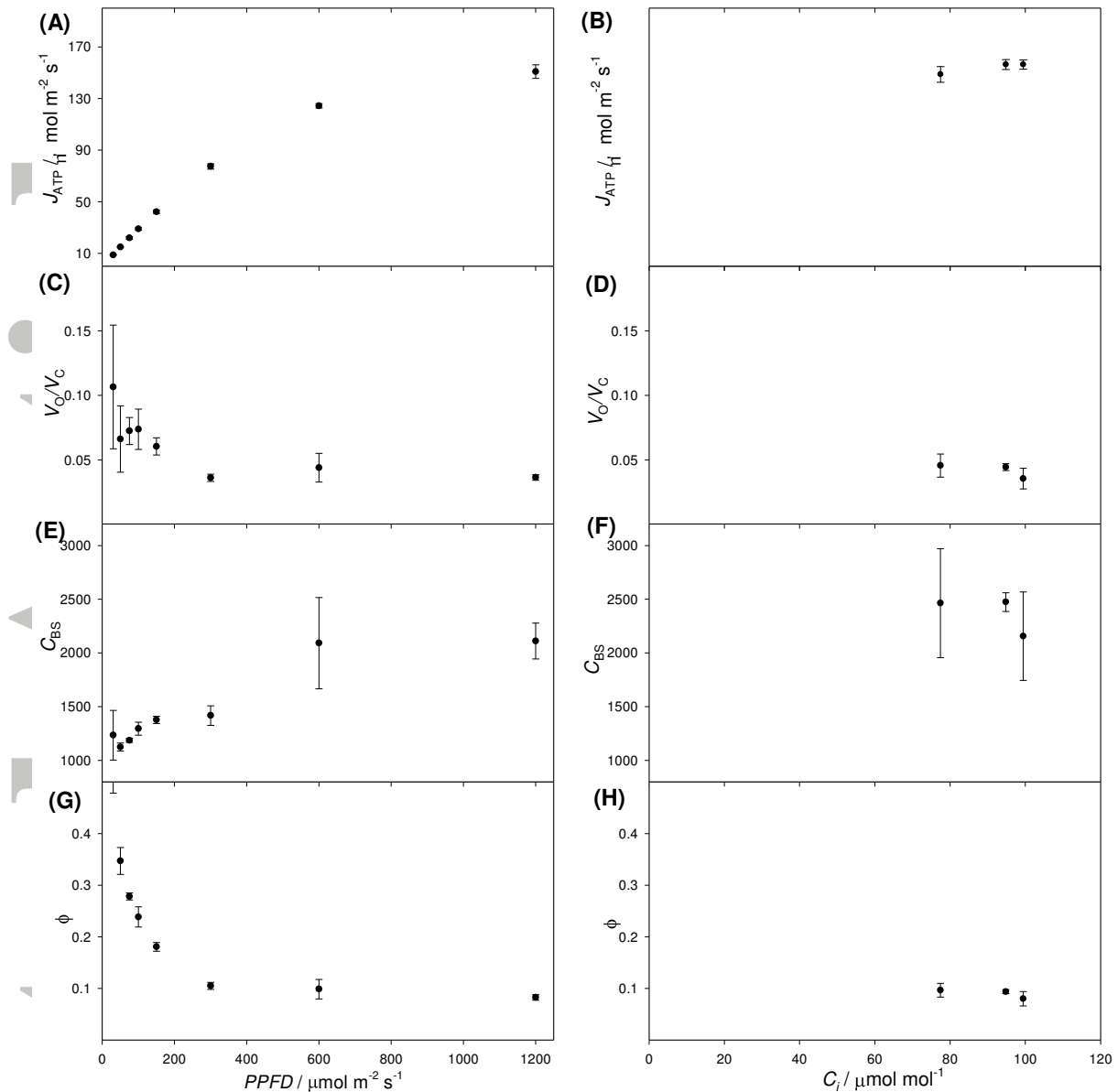
**Table 3.** Output obtained by analysing the primary responses of maize plants reported in Figure 1. n=3. †additional output, ‡methodological variants.

Logical Step	Output	Unit	Method	Ambient O <sub>2</sub>			Low O <sub>2</sub>		
				Mean	C.V. / %	EFT Location sheet, cell	Mean	C.V. / %	EFT Location sheet, cell
2	$R_{\text{LIGHT}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Fluorescence–Light (Yin)	1.45	11	2–3, N6	1.47	11	2–3, P6
3	$Y(I)_{\text{LL}}$	dimensionless	Linear	0.726	1	2–3, N7 (AR11)	0.716	1	2–3, P7 (AT11)
4	LCP	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Hyperbola	28.2	13	4a, G5	26.5	16	4b, G5
4	$GA_{\text{SAT}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Hyperbola	30.8	8	4a, M3	32.7	7	4b, M3
4	$Y(\text{CO}_2)_{\text{LL}}$	CO <sub>2</sub> /quanta	Hyperbola	0.0520	8	4a, M2	0.0562	6	4b, M2
4	$PPFD_{50}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Hyperbola	328	3	4a, G6	335	4	4b, G6
4	$m$	dimensionless	Hyperbola	0.889	6	4a, M4	0.849	6	4b, M4
5	$CE$	$\text{mol m}^{-2} \text{s}^{-1}$	Hyperbola	0.640	14	5a M2	0.602	8	5b M2
5	$A_{\text{SAT}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Hyperbola	34.4	17	5a M3	35.5	11	5b M3
5	$\omega$	dimensionless	Hyperbola	0.717	25	5a M4	0.737	14	5b M4
5	$\Gamma$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Hyperbola	0	–	5a M5	0	–	5b M5
5	$C_{\text{ISO}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Hyperbola	34.5	20	5a G3	37.3	14	5b G3
5	$L_s$	dimensionless	Hyperbola	0.161	41	5a Z15 <sup>†</sup>	0.179	27	5b Z15 <sup>†</sup>
6	$s'$	CO <sub>2</sub> /quanta	Yin	–	–	–	0.237	5	6a–7, M5
6	$k'$	quanta/CO <sub>2</sub>	Valentini	–	–	–	7.47	5	6b–7, G5
6	$b$	dimensionless	Valentini	–	–	–	0.281	5	6b–7, G6
7	$Y(J_{\text{ATP}})_{\text{LL}}$	ATP/quanta	Valentini	0.298	5	6b–7, G9 <sup>‡</sup>	0.292	6	6b–7, G10 <sup>‡</sup>
9	$J_{\text{ATPSAT}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Valentini	167	9	8–9, M2 <sup>†</sup>	–	–	–
9	$\theta$	dimensionless	Valentini	0.858	9	8–9, M3 <sup>†</sup>	–	–	–
9	$PPFD_{50}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Valentini	328	8	8–9, M6 <sup>†</sup>	–	–	–
10	$g_{\text{BS}}$	$\text{mol m}^{-2} \text{s}^{-1}$	$J_{\text{ATP}}$ from Valentini	0.00123	9	10, R7 <sup>†</sup>	–	–	–
11	$V_{\text{PMAX}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	$g_{\text{BS}}$ from $J_{\text{ATP}}$ Valentini	82.8	11	11a, Q7 <sup>†</sup>	76.7	8	11b, Q7 <sup>†</sup>



**Figure 1.** Example of fluorescence – gas exchange data obtained on maize plants. Panel **A**: light–response curves. Symbols show the response of  $A$  to increasing PPFD measured under ambient  $\text{O}_2$  (closed circles) or 2%  $\text{O}_2$  (open circles). Lines show the response of  $Y(\text{II})$  under ambient  $\text{O}_2$  (solid line) or 2%  $\text{O}_2$  (dotted line). Mean  $\pm$  SE. Panel **B**:  $A/C_i$  response curves. Symbols show mean  $A \pm$  SE plotted against mean  $C_i \pm$  SE measured under ambient  $\text{O}_2$  (closed circles) or 2%  $\text{O}_2$  (open circles). Lines show mean  $Y(\text{II}) \pm$  SE for the same datapoints.  $n=3$ .

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**Figure 2.** Example of output obtained on maize plants. Panel **A**:  $J_{\text{ATP}}$  calculated for light–response curves obtained with the Valentini calibration. Panel **B**:  $J_{\text{ATP}}$  calculated for  $A/C_i$  response curves. Because the Valentini calibration was performed on light–limited datapoints, only light limited datapoints are shown. Panel **C**:  $V_o/V_c$  calculated for light–response curves using the values of  $J_{\text{ATP}}$  shown in panel A. Panel **D**:  $V_o/V_c$  calculated for  $A/C_i$  response curves using the values of  $J_{\text{ATP}}$  shown in panel B.  $\text{CO}_2$  concentration in BS ( $C_{\text{BS}}$ ) calculated for light–response curves (Panel **E**) and for  $A/C_i$  response curves (Panel **F**) using the values of  $J_{\text{ATP}}$  shown in panel A and B. Bundle sheath leakiness  $\phi$  calculated for light–response curves (Panel **G**) and for  $A/C_i$  response curves (Panel **H**) using the values of  $J_{\text{ATP}}$  shown in panel A and B. Mean  $\pm$  SE;  $n=3$ .