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# Oxidation and Bio-decontamination Effects of Impulsive Discharges in Atmospheric Air

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**Abstract**— Chemical oxidation and the bactericidal capabilities of non-thermal plasma discharges can be used in different practical applications such as bio-decontamination and sterilisation of medical equipment, waste water treatment, syn-gas treatment and others. In this paper, the oxidation and bio-decontamination effects of impulsive plasma discharges which propagate across a liquid sample/air interface (surface discharges) and through the bulk of a liquid sample (direct discharges), have been investigated. The oxidising capability was analysed by measuring the degree of decolourisation of indigo carmine dye in water solutions. Gram-negative and Gram-positive bacteria, *E. coli* and *S. aureus*, respectively, were used as model microorganisms in the investigation of the biocidal effects of plasma discharges. Surface and direct plasma discharges were generated by high-voltage impulses of both polarities, with magnitudes of 20 kV, 24 kV and 28 kV, the chemical oxidation and bio-decontamination capabilities of such discharges have been obtained and analysed. It has been established that the defining factor in the chemical and biological effects of plasma discharges is the normalised delivered charge (dose). The results obtained in this study show that surface discharges have greater bio-decontamination capability as compared with direct transient plasma discharges. Also, it was shown that the decontamination rate of *E. coli* is more than double than that of *S. aureus*.

**Index Terms** — Non-thermal plasma discharges, OH-radicals, Bio-decontamination, Oxidation.

## I. INTRODUCTION

Non-thermal plasma discharges have attracted the attention of researchers and engineers who are working on the development of novel methods for oxidation and bio-decontamination. It has been shown that atmospheric pressure plasma discharges produce significant oxidation and bactericidal effects [1]. As a result, multiple practical applications are now being developed, including non-thermal plasma discharges for gas treatment, water purification, bio-decontamination and wound treatment [2-3]. However, the exact mechanisms of the chemical and microbiological effects of transient atmospheric plasma (TAP) discharges are still not fully understood. There are several factors which make a significant contribution to these processes: production of chemically-active oxygen and nitrogen species, emission of UV light and generation of a strong electric field. TAP discharges produce multiple chemically-active species including OH radicals, ozone, hydrogen peroxide, singlet oxygen, nitric

dioxide, peroxy nitrates and others [4-7]. OH radicals have the highest redox potential of 2.7 V, amongst all oxygen-based reactive species [8], while the redox potential of superoxide anions is 2.42 V, ozone is 2.07 V and hydrogen peroxide is 1.78 V [9]. In [10] and [11], it was shown that OH radicals have higher reaction rates than other species, including ozone: OH radicals are able to react with organic compounds significantly ( $10^6$ - $10^{12}$  times) faster than ozone. Therefore, chemical species with high oxidizing capability play an important role in the chemical and microbiological activity of plasma discharges [12-14]. For example, it was suggested in [15] that OH radicals together with ozone produced by an underwater air plasma jet play a major role in the decomposition of methylene blue dye in water solution. Possible mechanisms of OH production at the plasma-water interface are discussed in [9], [16]; amongst these mechanisms are the disassociation of water molecules by energetic electrons and dissociative attachment of electrons to water molecules. Plasma discharges in water can produce other reactive oxygen species (ROS) with high redox potential such as superoxide anions, ozone and hydrogen peroxide [16], [17].

Different types of TAP discharges can result in different rates of production of these chemically-active species and, thus, can result in a different degree of chemical or microbiological activity. For further development of practical applications of TAP discharges, it is important to establish the optimal discharge topologies and, therefore, it is necessary to investigate the oxidation and microbiological efficacy of different types of discharges and their dependency on different discharge parameters, such as the magnitude and polarity of the applied voltage, the charge delivered during the plasma treatment and the discharge propagation path.

In this paper, the chemical and biological effects of pulsed discharges in atmospheric air which propagate across the interface between the sample under test (water-based dye solution and water-based agar seeded with microorganisms) and air, or through the bulk of the sample under test, have been studied. This approach allowed comparison of the bio-decontamination and oxidation efficacy of surface and direct plasma discharges. The oxidation capability of TAP discharges was investigated using a water-based solution of blue dye (indigo carmine), the degree of decolourisation of this dye was obtained for different voltages, specific charges, and for different discharge propagation paths. Also, the bio-

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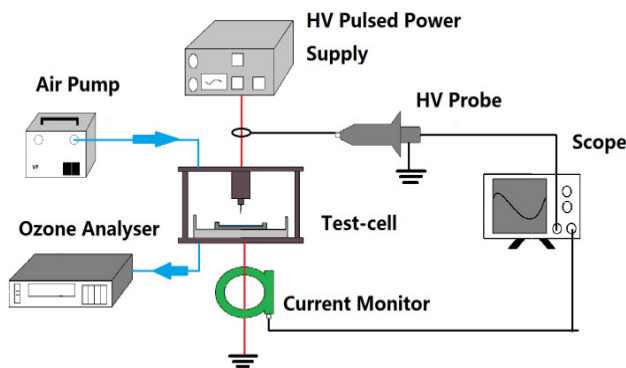
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1 inactivation capability of the TAP discharges was investigated  
 2 using the Gram-negative and Gram-positive microorganisms  
 3 *E. coli* and *S. aureus*, respectively. The results obtained in this  
 4 study confirm that TAP discharges produce significant  
 5 oxidation and bio-decontamination effects, which will aid in  
 6 further development and optimisation of atmospheric plasma  
 7 treatment systems for practical applications, including the use  
 8 of such plasma discharges in environmental and medical  
 9 technologies.

10 II. EXPERIMENTAL SYSTEM

11 The main aim of this study was to investigate the production  
 12 of OH radicals in water-based solutions, and the chemical  
 13 oxidation and microbiological decontamination capabilities of  
 14 two types of TAP discharges: surface discharges which  
 15 propagate along the sample/air interface, and direct discharges  
 16 which propagate through the bulk of the sample. To conduct  
 17 this study, a dedicated experimental system was designed and  
 18 developed. This system includes a pulsed-power supply to  
 19 generate transient plasma discharges, different test cells to hold  
 20 water solutions and microbiological samples, diagnostic  
 21 devices to monitor high-voltage and current waveforms, an air  
 22 pump with a gas distribution board, and an ozone analyzer.

23 A diagram of this experimental system is shown in Figure 1.  
 24 A TG-01 trigger generator (Samtech Ltd, Scotland) was used as  
 25 a pulsed-power source, and the output of the pulse generator  
 26 was connected to the high-voltage (HV) needle electrode  
 27 located inside the test cell. The trigger generator was capable of  
 28 producing positive and negative HV impulses with a peak  
 29 magnitude of 30 kV and a rise-time of ~60 μs[18]. The pulse  
 30 repetition rate used in the present study was 20 pulses per  
 31 second (pps).



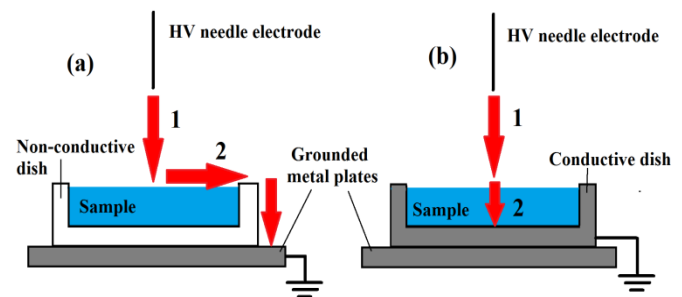
33 Fig. 1. Diagram of the experimental system used for decolorisation and micro  
 34 biological inactivation.

35 The transient voltage waveforms associated with the  
 36 discharges generated were monitored by a Tektronix P6015A  
 37 HV probe (1000:1 division ratio, 75 MHz bandwidth). The  
 38 discharge current was monitored by a Pearson 6585 current  
 39 monitor (250 MHz bandwidth). The HV probe and the current  
 40 monitor were connected to the high-impedance inputs of  
 41 a Tektronix TDS 2024 digitizing oscilloscope (200 MHz  
 42 bandwidth, 2 GSamples/s sampling rate). A 50-Ω coaxial cable

was used to connect the Pearson monitor and the oscilloscope,  
 this cable was terminated by a 50-Ω resistive load.

A test cell, designed to house water-based dye solution and  
 microbiological agar samples, was made of a Perspex cylinder  
 (80-mm high) with an outer diameter of 150 mm. The ends of  
 the cylinder were covered by two PVC flanges. Inside this  
 cylinder, a gramophone needle with a tip radius of ~36 μm was  
 placed in a vertical holder fixed on the upper PVC flange,  
 forming the HV electrode. The grounded electrode (an  
 aluminium plate) was located on the lower PVC flange, inside  
 the Perspex cylinder.

Liquid and microbiological (agar) samples were placed in  
 two different types of sample holder, as shown in Figure 2.  
 These sample holders were located on the grounded aluminium  
 plate inside the Perspex container and subjected to HV  
 discharges. Transparent, non-conductive, plastic plates (55 mm  
 diameter) were used for generation of interfacial discharges  
 (Figure 2(a)); the same plates were lined with aluminium foil  
 (Figure 2(b)) and used to generate discharges through the bulk  
 of liquid samples. The volume of each liquid sample was 6 ml,  
 therefore the depth of liquid in the sample holders was only ~2.4  
 mm. Agar samples were ~2.5 mm thick.



69 Fig. 2. Cross-sectional diagram of the sample dish held within the test cell (not  
 70 to scale). (a) Non-conductive plastic dish; (b) plastic dish lined with aluminium  
 71 foil (conductive dish). The arrows indicate the paths followed by the generated  
 72 transient discharges: (1) vertical path through the air towards the sample  
 73 surface; (2) interfacial path in the case of non-conductive sample holder (a), and  
 74 path through the bulk of liquid sample in the case of the conductive sample  
 75 holder (b).

When the non-conductive plastic dish was used, Figure 2(a),  
 the discharge initiated at the tip of the needle HV electrode  
 propagates vertically down towards the surface of the sample  
 (path 1). The discharge continues its development across the  
 sample/air interface towards the edge of the sample holder (path  
 2), before reaching the grounded metallic plate. In the case of  
 conductive sample holders, Figure 2 (b), the transient discharge  
 produced at the tip of the HV needle propagates vertically down  
 towards the sample surface (path 1), and the ionic current closes  
 the circuit by flowing through the bulk of the sample towards  
 the grounded aluminium foil. Therefore, in the case of the non-  
 conductive dish, a shorter path length is required to achieve the  
 same breakdown voltage as in the case of the conductive sample  
 holder, where the surface of the sample acts as a virtual ground.

During the tests, an air pump (VP 1HV, KNF Neuberger  
 Ltd.) was used to supply a gentle air flow (flow rate was 5 l/min)  
 through the test cell, and the gas leaving the test cell was sent  
 to an ozone analyzer. The air delivered to the test cell was

laboratory air at ambient temperature and humidity ( $\sim 20^\circ\text{C}$ ,  $\sim 40\%$  relative humidity).

The pH of the liquid and the agar samples was measured before and after plasma treatment, using a pH meter (Hanna Instruments PH 210) for the liquid samples, and using pH indicator strips (Johnson Universal pH 1-14) for the agar samples. The conductivity of the liquid samples was measured using a conductivity meter (Hanna Instruments HI 933000), the conductivity of the agar samples was measured in the test cell with two parallel electrodes using an AVOMeter model 8 Mk 70

The presence of transient discharges in the test cell was detected by the collapse of the voltage waveform. The maximum voltage before the voltage collapse is called the breakdown voltage in this study. Figure 3 shows the voltage and current waveforms in the cases of non-conductive and conductive sample holders for water solution samples (similar waveforms were obtained for agar samples). It can be seen that in the case of non-conductive dishes (Figure 3(a) and (b)), double voltage collapse and two current peaks were observed for both polarities. This is indicative of the two-stage discharge propagation process discussed above: vertical transient discharges propagating towards the sample surface (path 1 in Figure 2(a)), and surface discharges (path 2 in Figure 2(a)). However, in the case of the conductive sample holder, only single voltage collapse event and a single current impulse were observed, Figure 3(c) and (d). These processes correspond to the direct vertical discharge propagation path shown in Figure 2(b), the current then dissipating via ionic conduction through the bulk of the sample.

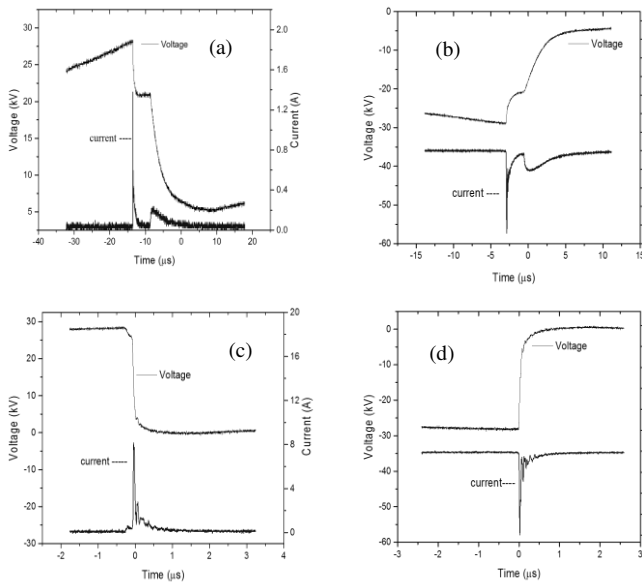


Fig. 3. Voltage and current waveforms for 2 different sample holders: (a) +28 kV non-conductive dish; (b) -28 kV non-conductive dish; (c) +28 kV foil-lined conductive dish; (d), -28 kV foil-lined conductive dish.

The waveforms shown in Figure 3 are similar to transient spark discharge waveforms [19], [20]. A transient spark discharge is characterised by the development of an initial streamer and its transformation into a transient spark which manifests itself via the appearance of a high-current impulse. In

the case of transient sparks, the non-equilibrium plasma has a gas temperature in the range 500 K-1500 K [19], [20]. Thus, transient spark discharges differ from typical spark discharges in which significantly hotter plasma can be close to its local thermodynamic equilibrium. The plasma of transient spark discharges is highly reactive, such discharges producing OH radicals, ozone, excited ions, and atomic radicals and molecules [20], with application in chemical oxidation [21], and biodecontamination treatment [22].

The distance between the needle electrode and the sample surface was adjustable, and was used to obtain three different breakdown voltages: 20 kV, 24 kV and 30 kV. The distance between the HV needle electrode and the sample surface and corresponding breakdown voltages are shown in Table I.

TABLE I  
DISTANCES FROM THE TIP OF THE HV NEEDLE ELECTRODE TO THE SAMPLE SURFACE AND CORRESPONDING BREAKDOWN VOLTAGES

Breakdown voltage, kV	Non-conductive sample holder, mm		Conductive sample holder, mm	
	Positive	Negative	Positive	Negative
+20	0.5	0.7	4.9	1.7
+24	5.1	1.4	7.7	3.3
+28	7.3	3.7	11.1	6.0

As shown in Table I, the distance from the HV needle electrode to the sample surface to achieve the same breakdown voltage is much shorter for the non-conductive sample holders as compared with the conductive sample holders. This is due to the longer total discharge path in the case of the non-conductive sample holders as compared with the conductive dishes. Also, Table I shows that a shorter distance from the negatively-energised HV electrode to the sample surface is required in order to achieve the same breakdown voltage as for positive impulses. This reduction in the distance is required to compensate for the higher breakdown voltage of atmospheric air in the case of a negatively-energised sharp HV electrode, which is due to the electronegativity of air.

### III. OXIDATION CAPABILITY OF TRANSIENT DISCHARGES

The oxidation capability of the impulsive atmospheric discharges generated was studied using indigo carmine dye ( $\text{C}_{16}\text{H}_8\text{N}_2\text{Na}_2\text{O}_8\text{S}_2$ , Sigma Aldrich Ltd) as a chemical probe. Samples of aqueous indigo carmine solution were treated in non-conductive and conductive sample holders, and their optical transmittance was measured. The chemical species produced by the transient discharges can react with the dye and can convert indigo carmine molecules into isatin-5-sulfonic acid, resulting in the decolourisation effect. The difference in the optical transmittances of the treated and untreated samples allows a reduction in the dye concentration to be obtained. This reduction is an indicator of the chemical oxidation capability of the transient discharges, as the change in transmittance is a result of disintegration of chromogenic bonds in indigo carmine dye [23]. The optical transmittance of the dye solutions was obtained using a UV-Visible spectrophotometer (Biomate, Thermo-Spectronics Europe). Section III-A presents the results of the investigation into the oxidation effects of the surface

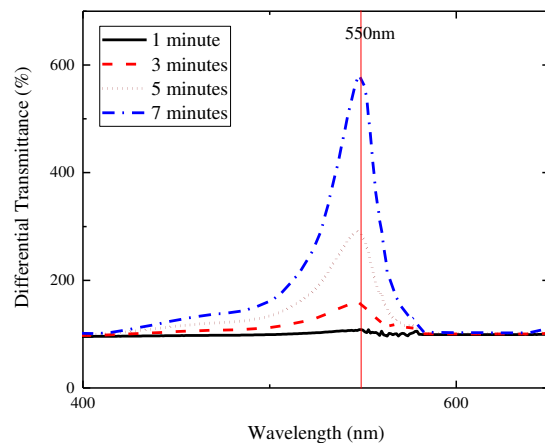
1 transient discharges which propagate along the sample/air  
2 interface (samples were treated in the non-conductive sample  
3 holders), and Section III-B presents the oxidation results  
4 obtained in the case of the direct discharges (treatment in the  
5 conductive sample holders).

6 A. Oxidation capability of the surface transient discharges

7 An indigo carmine aqueous solution with a dye concentration  
8 of 0.25 g/l was prepared using distilled water. A 5-ml sample  
9 of this solution was transferred to the non-conductive sample  
10 holder using a pipette. The sample holder was placed on the top  
11 of the metallic grounded plate inside the Perspex container and  
12 exposed to plasma discharges for four different treatment times  
13 (1, 3, 5 and 7 min) and for impulses of both polarities, all at 20  
14 pps. During the treatment, the Perspex container was flushed  
15 with ambient air, which then passed through the ozone analyser.  
16 The ozone levels in all tests were lower than 1 ppm. After each  
17 exposure, the optical transmittance of the sample was measured  
18 along with that of an unexposed control sample. The differential  
19 transmittance,  $T$ , at 550 nm was calculated using (1):

$$T = \frac{T_b}{T_a} \cdot 100\% \quad (1)$$

21 where  $T_a$  is the transmittance of the unexposed sample, and  $T_b$   
22 is the transmittance of treated sample. Examples of the  
23 differential transmittance spectra for the dye samples treated for  
24 different time intervals are shown in Figure 4.



26  
27  
28 Fig. 4. Differential optical transmittance of indigo carmine aqueous solutions  
29 treated with surface discharges at +28 kV and untreated (control) solutions.

30  
31 Using the differential optical transmittance and the Beer  
32 Lambert law, the concentration of indigo carmine in water was  
33 obtained. The results of this analysis are shown in Figure 5; this  
34 graph represents a normalised concentration of the dye in water  
35 as a function of the total charge delivered during the plasma  
36 treatment, normalised by the surface area of the sample holder.

37 The normalised charge (dose) was selected in this study to  
38 represent the oxidation capability of the transient plasma  
39 discharges. In the present study, the water-dye solutions and  
40 agar samples were treated with direct positive and negative  
41 plasma discharges in air. Therefore, the liquid and agar samples  
42 were subjected to the action of both charged and neutral chemical

species generated by the transient plasma discharges. Such  
direct exposure is considered to be more efficient for bio-  
decontamination as compared with exposure of bio-samples to  
the plasma afterglow by locating these samples outside the  
direct discharge zone [24]. It is known that the charged particles  
produced by the plasma discharges are responsible for the  
generation of chemically-active neutral species and direct  
chemical oxidation [13], [25].

Generation of charged particles (electrons, ions and clusters)  
in the discharge leads to the appearance of electric current in  
the circuit. The electrons and ions are involved in the formation  
of neutral chemical species, therefore it can be assumed that the  
current is a parameter which provides information not only on  
the presence of charged particles, but also correlating with the  
total amount of newly-developed chemical species. The  
charged, chemically-active species include nitrites, nitrates and  
oxygen anions which are negatively charged; positively-  
charged species include protons, oxygen ions and positively-  
charged  $\text{NO}_x$  species. The neutral activated species include both  
reactive oxygen species (ROS) and reactive nitrogen species  
(RNS). Amongst the neutral ROS are singlet oxygen, ozone,  
hydrogen peroxide and hydroxyl radicals; neutral RNS include  
nitric oxide and nitrogen dioxide. A detailed description of the  
chemical processes involved in formation of neutral and  
charged species can be found in [24] and [25].

It was established in [27] that in the case of corona discharges  
in ambient air, the “electrical” parameters which control the  
sample’s treatment area and the flux of neutral activated species  
are the voltage, the current and the exposure time. Moreover, it  
was found that in the case of fixed electrical parameters, the pH  
of water treated with corona discharges generated by a HV  
electrode located above the water surface in air is a linear  
function of the exposure time [27].

The results obtained in this study, Figure 5, demonstrate that  
the variation in voltage does not significantly affect the bio-  
inactivation and oxidation processes. Also, in this study, the  
distance between the HV electrode and the sample surface was  
variable, therefore different proportions of energy may be  
dissipated in the plasma above the sample. Therefore, it is  
reasonable to introduce the total normalised charge (dose) as a  
parameter which can be used for description of the kinetics of  
the plasma treatment process. It is expected that the dose-  
dependent kinetic relationships will depend upon the discharge  
regime. The total charge in the present tests was calculated by  
integration of the experimentally-obtained current waveforms,  
and the dose was obtained by dividing the total charge by the  
surface area of the sample plate. This normalisation was done  
for both cases, surface and direct discharges. Although in the  
case of direct discharge treatment the actual cross-section of  
plasma interaction with the sample surface is smaller than in the  
case of surface discharges, this normalisation procedure helps  
to compare the efficacy of both types of plasma discharge in the  
present experimental conditions.



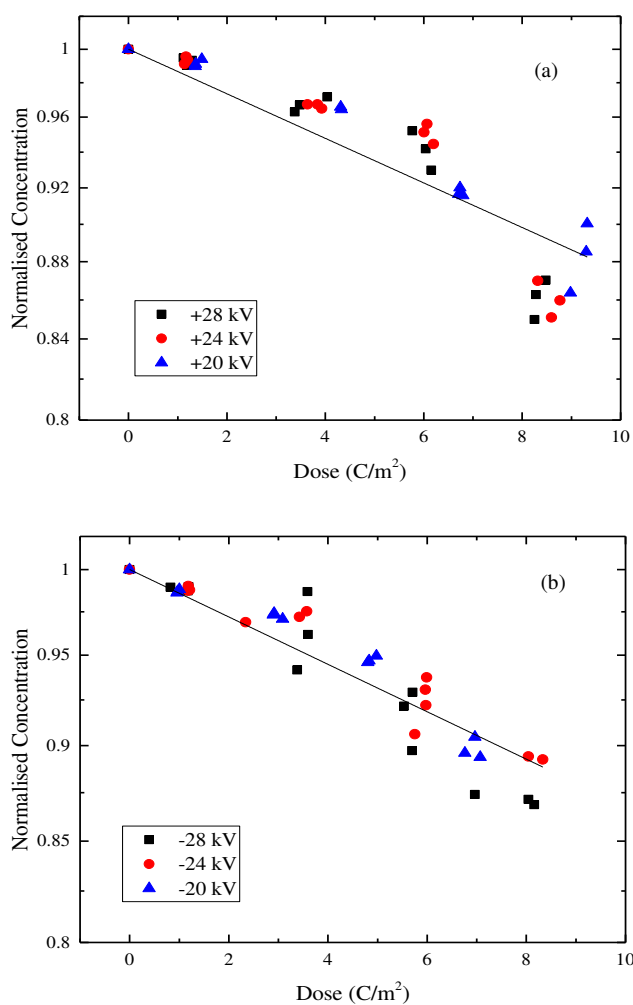


Fig. 5. Concentration of indigo carmine as a function of the dose after treatment with: (a) positive surface discharges; (b) negative surface discharges. Solid lines, fitting by (3): (a)  $\mu = 0.013 \text{ m}^2/\text{C}$  and (b)  $\mu = 0.014 \text{ m}^2/\text{C}$ .

The normalised dose-dependent concentration,  $K(D)$ , was obtained by (2):

$$K(D) = C(D)/C_0 \quad (2)$$

where  $C(D)$  is the actual concentration of the dye in water ( $\text{mg}/\ell$ ),  $C_0$  is the initial concentration of the dye ( $250 \text{ mg}/\ell$  in the present study), and  $D$  is the dose ( $\text{C}/\text{m}^2$ ).

It is known from literature that time of plasma exposure can be used as an independent parameter for description of the decolorisation kinetics and a time-dependent (pseudo) first order kinetic process was used in the analysis of the plasma decolorisation rates of different water-soluble dyes subjected to pulsed dielectric barrier discharges [28], spark discharges [29] and glow discharges [30]. However, in the case of transient plasma regimes, it is important to consider not only time but also the total delivered charge. The area-normalised charge was therefore selected as an independent parameter for the kinetic analysis in the present paper.

Figure 5 shows the normalised concentration of the dye as a function of the dose for positive and negative discharges (for all tested voltages). It was found that the concentration of indigo

carmine in water reduces with an increase in the dose. The dye concentration is a function of the dose only and does not depend on the breakdown voltage. The change in the normalised concentration of the dye is relatively small,  $\sim 15\%$ , and it is problematic to establish the exact functional behavior of  $K(D)$ : for example, several different functions can be used to fit the experimental data in Figure 5. To provide a quantitative comparison of the oxidation capability of the discharges, an exponential fitting function (3) was used, and this approach is consistent with the description of the decolourisation kinetic processes provided in [28], [30]:

$$K(D) = \exp(-\mu D) \quad (3)$$

where  $\mu$  is the dose-dependence of the decolourisation process ( $\text{m}^2/\text{C}$ ). Values for  $\mu$  have been obtained using the fitting procedure in Origin Pro 8 graphing software package, and found to be  $0.013 \text{ m}^2/\text{C}$  and  $0.014 \text{ m}^2/\text{C}$  for the positive and negative discharges, respectively. The analytical fitting lines were plotted using the obtained values of  $\mu$ , and these analytical lines are shown in Figure 5. Note that the normalised concentration axes in Figs. 5 and 6 are logarithmic. Thus, this analysis shows that the oxidation capability of surface discharges is similar for both positive and negative polarities.

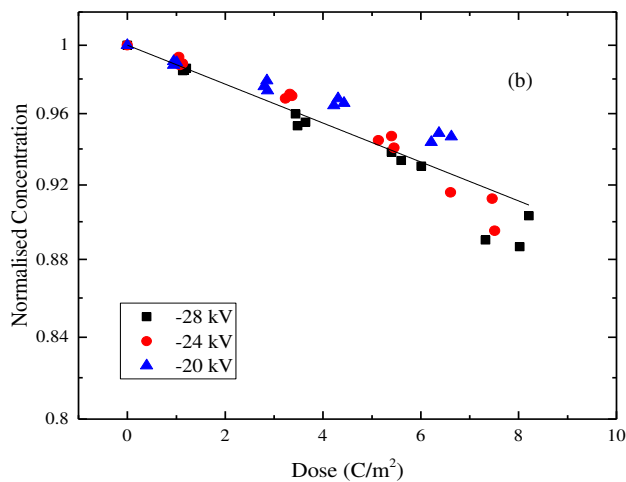
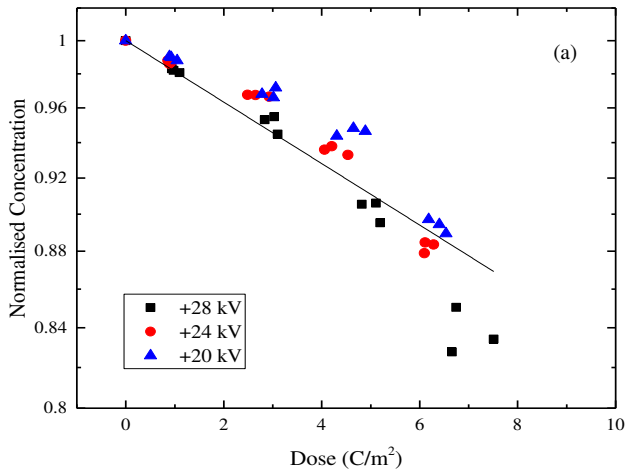
### B. Oxidation capability of direct discharges

The oxidation effects of direct transient discharges that propagate through the bulk of the sample were investigated. As with the surface discharges, a 6-ml sample of indigo carmine aqueous solution was placed into a conductive sample holder which was located on the top of the grounded aluminum electrode. The samples were again treated with impulsive discharges, under the same experimental conditions described in Section III-A. The differential transmittance of the treated and control samples obtained at  $550 \text{ nm}$  was used for calculation of the dye concentration. The results of this analysis are shown in Figure 6, which represents the normalised dye concentration obtained by (2) as a function of the dose,  $D$ , for direct positive and negative discharges.

The electrical conductivity and pH of the water-dye solutions were measured before and after plasma treatment. For both types of treatment, surface discharge and direct discharge treatment, an increase in the electrical conductivity of the solutions was observed. However, this increase was not significant, the initial conductivity being  $\sim 0.11 \text{ mS}/\text{m}$ , and the largest change observed being for negative direct streamer discharge treatment, where the conductivity increased to  $\sim 0.15 \text{ mS}/\text{m}$ . In all treatment cases, a decrease in pH of the water-dye solutions was observed, the pH decreasing from  $\sim 5.5$  to a minimum value of  $\sim 3.5$  for surface discharge treatment, and to  $3.5 - 4$  for direct discharge treatment. A decrease in pH of water-dye solutions after plasma treatment was also observed in [16].

As in the case of surface discharges, the dye concentration is a dose-dependent parameter only, this concentration decreases with an increase in the dose. The decolourisation process can be described by function (3). Values of  $\mu$  have been obtained using the fitting procedure in Origin Pro 8 graphing software package, and found to be  $0.019 \text{ m}^2/\text{C}$  and  $0.012 \text{ m}^2/\text{C}$  for the

1 positive and negative discharges, respectively. Thus, the  
 2 positive direct discharges resulted in a higher decolourisation  
 3 rate as compared with the negative discharges, also this rate is  
 4 higher than the decolourisation rate achieved by the surface  
 5 discharges.



10 Fig. 6. Concentration of indigo carmine as a function of the dose after treatment  
 11 with: (a) positive direct discharges; (b) negative direct discharges. Solid lines,  
 12 fitting by (3): (a)  $\mu = 0.019 \text{ m}^2/\text{C}$  and (b)  $\mu = 0.012 \text{ m}^2/\text{C}$ .

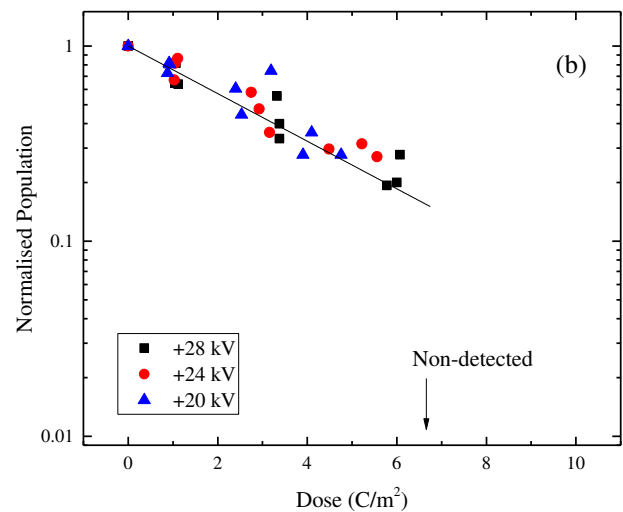
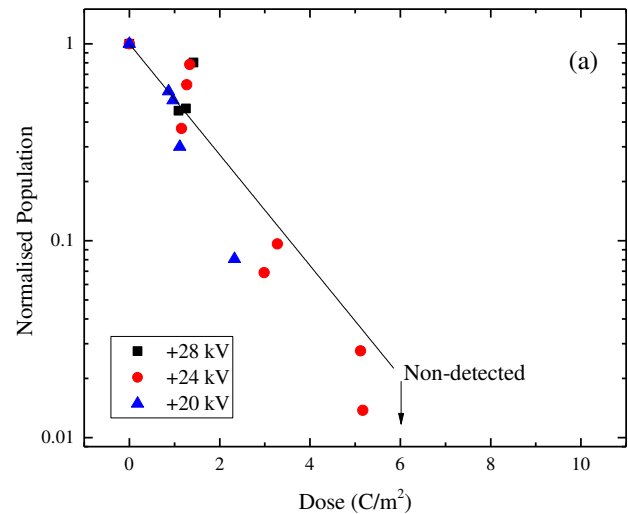
#### 14 IV. BIO-DECONTAMINATION EFFECTS OF TRANSIENT 15 DISCHARGES

16 This section is focused on investigation of the bio-  
 17 decontamination effects of the atmospheric plasma discharges  
 18 which propagate across the sample/air interface and through the  
 19 bulk of the samples. Again, two different sample holders (non-  
 20 conductive and conductive) were used to produce the surface  
 21 and direct discharges. These sample holders were filled with  
 22 nutrient agar and microorganisms were seeded onto this water-  
 23 based agar. The agar-filled bio-contaminated plates were  
 24 located in the test cell under the HV needle electrode and treated  
 25 with impulsive discharges of both polarities. The breakdown  
 26 voltages, treatment time intervals and the pulse repetition rate  
 27 used in this study were the same as in Section III. Two types of  
 28 bacteria were selected for the bio-decontamination study  
 29 Gram-negative *E. coli* and Gram-positive *S. aureus*. These

microorganisms were grown in 100-ml nutrient broth and  
 incubated under rotary conditions (120rpm) at 37°C for 18  
 hours. Bacterial cultures were then centrifuged (3939×g for 10  
 min) and cells resuspended and serially diluted in phosphate  
 buffered saline (PBS) to make bacteria suspensions with a  
 population density of  $10^3$  colony forming units (CFU) per ml.  
 Agar was prepared in non-conductive and conductive sample  
 holders, 100  $\mu\text{l}$  of bacteria suspension was evenly spread on the  
 agar surface using an L-shaped spreader, providing a seeding  
 population on the agar surface of 100–200 CFU/plate. After  
 exposure to the positive or negative discharges for 1, 3, 5 and 7  
 min (20 pps pulse repetition rate), the exposed samples were  
 incubated at 37 °C for 24 h and then enumerated.

#### 44 A. Inactivation by surface transient discharges

45 Microbiological samples were exposed to the positive and  
 46 negative discharges in the non-conductive sample holders,  
 47 which ensure their interfacial propagation path across the  
 48 agar/air interface. Following enumeration of the surviving  
 49 bacteria, inactivation curves were plotted: the normalised  
 50 population,  $S(D)$ , is presented as a function of the dose,  $D$ .



52 Fig. 7. Normalised surviving population of (a) *E. coli* and (b) *S. aureus*, after  
 53 exposure to positive surface discharges. Solid lines, fitting by (5): (a)  
 54  $\lambda = 0.648 \text{ m}^2/\text{C}$  and (b)  $\lambda = 0.281 \text{ m}^2/\text{C}$ .

1  
2 The dose-dependent population of microorganisms was  
3 obtained using (4) for each test:

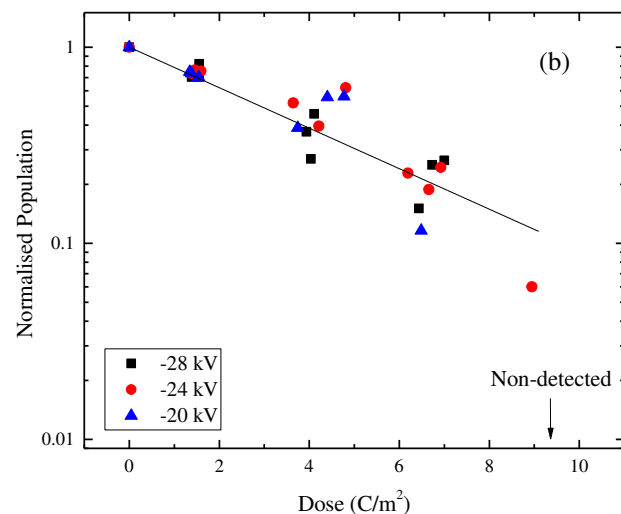
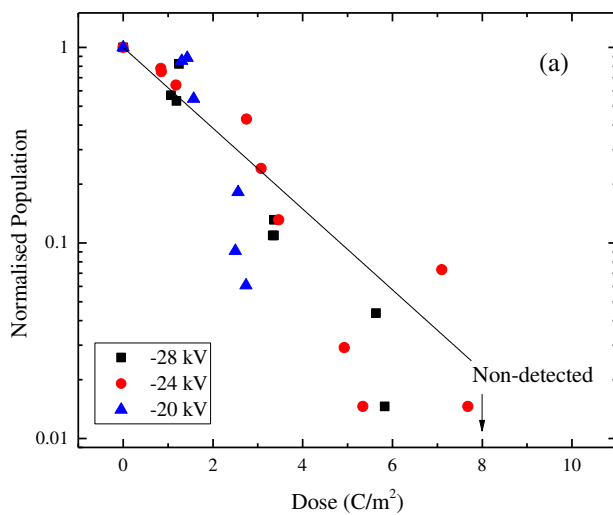
$$S(D) = P(D)/P_0 \quad (4)$$

4  
5  
6 where  $P(D)$  is the actual surviving bacterial population,  $P_0$  is  
7 the initial population of bacteria and  $D$  is the dose ( $C/m^2$ ).  
8

9 The normalised population as a function of the dose can be  
10 fitted with a pseudo first-order kinetic function (5) for all tested  
11 voltages and for both types of microorganisms:

$$S(D) = \exp(-\lambda D) \quad (5)$$

12  
13 where  $\lambda$  is the dose-dependence of the inactivation process  
14 ( $m^2/C$ ).  
15  
16  
17



20  
21 Fig. 8. Normalised surviving population of (a) E. coli and (b) S. aureus, after  
22 exposure to negative surface discharges. Solid lines, fitting by (5): (a)  
23  $\lambda = 0.476 m^2/C$  and (b)  $\lambda = 0.238 m^2/C$ .

24 Figure 7 shows the normalised surviving fraction,  $S(D)$ , of  
25 E. coli and S. aureus treated by surface discharges as a function  
26 of the dose. As the normalised population in this figure is shown  
27 in a semi-log scale, the vertical lines labeled “non-detected”

28 indicate doses at which no CFU was detected in the majority of  
29 sample dishes after the treatment. The fitting procedure was  
30 implemented in Origin Pro 8 graphing software and the  
31 analytical fitting lines are shown in Figure 7. Values of  $\lambda$  were  
32 found to be  $0.648 m^2/C$  and  $0.281 m^2/C$  for E. coli and S. aureus,  
33 respectively. These inactivation rates confirm that E. coli is  
34 substantially more sensitive to the plasma treatment than  
35 S. aureus.

36 Figure 8 shows the normalised population,  $S(D)$ , of E. coli  
37 and S. aureus as a function of the dose in the case of negative  
38 surface-discharge treatment. As in the case of positive surface-  
39 discharge treatment (Figure 7), Figure 8 demonstrates that  
40 inactivation by negative surface discharges depends only on the  
41 dose, and is almost independent of the breakdown voltage. The  
42 experimental data in Figure 8 were fitted with the exponential  
43 function (5), and this fitting confirms that the inactivation  
44 process can be described by a pseudo first-order kinetic. The  
45 fitting procedure was implemented using Origin Pro 8 graphing  
46 software and the rates of inactivation,  $\lambda$ , were found to be  $0.476$   
47  $m^2/C$  for E. coli and  $0.238 m^2/C$  for S. aureus. Again, these  
48 results confirm that E. coli is more sensitive to the plasma  
49 discharge treatment than S. aureus.

#### 51 B. Inactivation by direct transient discharges

52 The inactivation kinetics of E. coli and S. aureus were also  
53 studied using direct transient discharges: discharges which  
54 propagate through the bulk of the agar sample. To provide such  
55 a discharge path, aluminium foil lined sample holders were  
56 used. The results of this study allow for a comparison between  
57 the inactivation capabilities of surface and direct transient  
58 discharges to be made.

59 Microorganisms were seeded onto agar which was placed on  
60 the conductive sample holders and exposed to the transeint  
61 discharges generated by the same voltages as in Section IV-A.  
62 In the case of the direct discharges, the cross-sectional contact  
63 area between the direct plasma channel and surface of the  
64 sample is (visually) small. However, the activated species  
65 produced by the transient plasma on and above the agar surface  
66 can move across the surface of the agar and reach the periphery  
67 of the plate, in the present tests the effects of the discharge on  
68 the bacteria were observed at the edges of the sample holders.  
69 After the direct discharge treatment, enumeration of the  
70 surviving microorganisms was conducted.

71 Figure 9 shows the normalised population of E. coli and  
72 S. aureus as a function of the dose,  $S(D)$ , after exposure to  
73 positive direct discharges. The experimental inactivation data  
74 presented in Figure 9 were fitted with the pseudo first order  
75 kinetic function (5). As in the case of the surface discharges,  
76 S. aureus demonstrated a higher degree of resistance to the  
77 plasma treatment; the rate of inactivation of E. coli ( $0.311 m^2/C$ )  
78 is more than double the rate of inactivation of S. aureus  
79 ( $0.140 m^2/C$ ).  
80



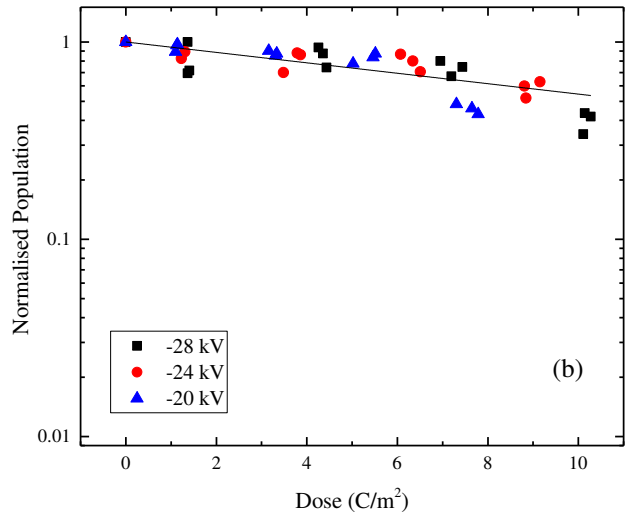
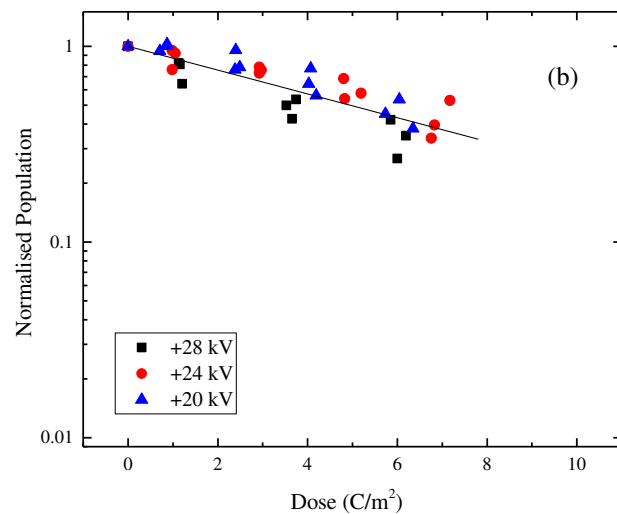
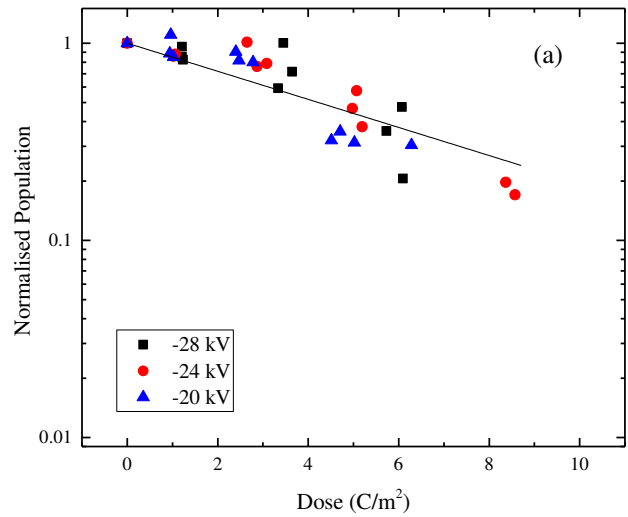
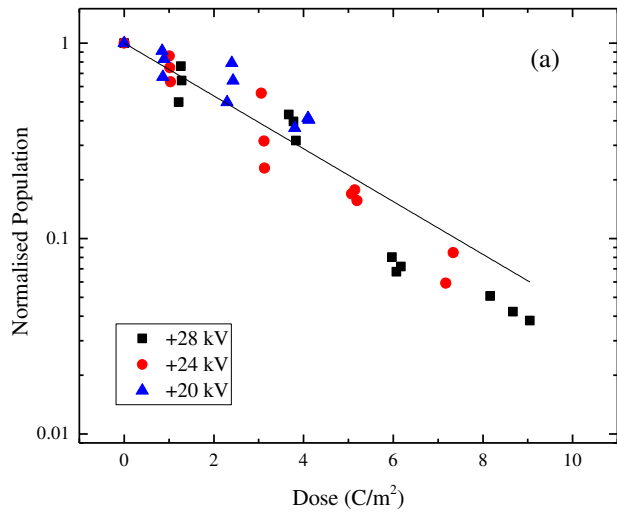


Fig. 9. Normalised surviving population of (a) *E. coli* and (b) *S. aureus*, after exposure to positive direct discharges. Solid lines, fitting by (5): (a)  $\lambda = 0.311 \text{ m}^2/\text{C}$  and (b)  $\lambda = 0.140 \text{ m}^2/\text{C}$ .

Fig. 10. Normalised surviving population of (a) *E. coli* and (b) *S. aureus*, after exposure to negative direct transient discharges. Solid lines, fitting by (5): (a)  $\lambda = 0.164 \text{ m}^2/\text{C}$ , and (b)  $\lambda = 0.061 \text{ m}^2/\text{C}$ .

The results of the inactivation tests using negative direct discharges are represented in Figure 10. This figure shows normalised surviving population as a function of the dose,  $S(D)$  and the experimental data were fitted with the pseudo first-order kinetic equation (5). The fitting procedure was implemented in Origin Pro 8 graphing software, and the inactivation rates for both microorganisms were obtained: again, the rate of inactivation of *E. coli* ( $0.16 \text{ m}^2/\text{C}$ ) was found to be more than 2-fold higher than the rate of inactivation of *S. aureus* ( $0.065 \text{ m}^2/\text{C}$ ).

It can be seen that the negative direct transient discharge demonstrated lower inactivation capability for both microorganisms as compared with the positive direct discharge

## V. DISCUSSION & CONCLUSIONS

The main objective of this paper was to investigate the oxidation and decontamination effects of surface and direct impulsive atmospheric discharges. This study helps to answer the important question: which type of transient plasma discharge (TAP) is more efficient for chemical oxidation and microbiological decontamination? The results of this study can be used in further optimisation of the energisation parameters of the impulsive discharges and of the topologies of plasma treatment reactors for different practical applications, as is now discussed. It has been confirmed in this study that impulsive transient discharges produce significant oxidation and decontamination effects, which is in line with previously-published results [22], [31], [32]. Transient discharges of both polarities, with different peak voltage levels, were able to reduce the concentration of the dye in water and to inactivate microorganisms on agar surfaces. It has been shown that although both, surface and direct transient discharges resulted in chemical oxidation and microbiological decontamination,

1 there was a noticeable difference in the rates of these processes  
 2 for these two types of discharge.

3 Table II summarises the decolourisation rates obtained in this  
 4 study: it can be seen that in the case of surface transient  
 5 discharges, the difference in the decolourisation rates for  
 6 positive and negative energisation is less than 10%. However,  
 7 positive direct discharges resulted in a higher decolourisation  
 8 efficacy as compared with negative direct discharges: the  
 9 difference between these two decolourisation rates is ~37%.

11 TABLE II.

12 DECOLORISATION RATE (m<sup>2</sup>/C) FOR SURFACE AND DIRECT DISCHARGES

Surface discharge		Direct discharge	
pos	neg	pos	neg
0.013	0.014	0.019	0.012
(0.012 - 0.014)	(0.013 - 0.015)	(0.018 - 0.20)	(0.011 - 0.013)

13 "Pos" for positive energisation, "neg" for negative energisation  
 14 Values in brackets indicate a 95% confidence interval.

15 The maximum energy efficiency of the decolourisation of the  
 16 indigo carmine dye obtained in the present work is ~5 μmol/kJ  
 17 for positive direct discharges. This value is higher than the  
 18 efficiency of decolourisation of the indigo carmine dye  
 19 achieved in [33], which is 3.7 μmol/kJ for the dye concentration  
 20 of 0.05 g/l. This concentration is 5-fold lower than the  
 21 concentration used in the present work, 0.25 g/l. It was also  
 22 shown that the efficiency of decolourisation of the indigo  
 23 carmine dye increases with an increase in the initial  
 24 concentration of the dye in water [33]. The initial  
 25 concentrations tested in [33] were in the range between 0.01 g/l  
 26 to 0.05 g/l. However, no experimental data is provided for  
 27 higher concentrations.

28 The biological inactivation capability of impulsive  
 29 discharges has been also investigated in this paper. E. coli and  
 30 S. aureus were used as model Gram-negative and Gram-  
 31 positive microorganisms, respectively. The inactivation results  
 32 demonstrated the strong bactericidal effects produced by both  
 33 surface and direct discharges. In the decontamination tests, all  
 34 surviving colony forming units on the whole plate surface were  
 35 counted in order to obtain the inactivation rate. Therefore, this  
 36 quantitative approach does not take into account non-  
 37 uniformities in decontamination on the plate surface, for  
 38 example the most significant decontamination effect on the agar  
 39 surface was obtained directly under the HV needle, however a  
 40 decontamination effect was also observed at the edges of the  
 41 plate surface. Table III summarises the inactivation rates  
 42 obtained in the present study.

43 TABLE III.

44 INACTIVATION RATE (m<sup>2</sup>/C) FOR SURFACE AND DIRECT DISCHARGES

Surface discharge				Direct discharge			
E.coli		S.aureus		E.coli		S.aureus	
pos	neg	pos	neg	pos	neg	pos	neg
0.648	0.476	0.281	0.238	0.311	0.164	0.140	0.061
(0.565	(0.416	(0.25	(0.216	(0.280	(0.141	(0.125	(0.053
-	-	6-	-	-	-	-	-
0.731)	0.536)	0.306	0.260)	0.342)	0.187)	0.155)	0.069)

45 "Pos" for positive energisation, "neg" for negative energisation  
 46 Values in brackets indicate a 95% confidence interval.

47 It was established that the inactivation capability of direct  
 48 discharges is substantially lower than that of surface discharges.  
 The inactivation rates associated with direct discharges are ~2-

fold lower than the inactivation rates of surface discharges, for  
 both microorganisms, and for both polarities. In the case of the  
 non-conductive sample holders, the surface discharges treat a  
 larger surface area as compared with the direct discharges  
 (treatment in the conductive sample holders). As  
 microorganisms were seeded onto agar surfaces, the treatment  
 with surface discharges resulted in a higher degree of  
 inactivation for the same dose as compared with the direct  
 transient discharges. Thus, the surface discharges demonstrated  
 substantially higher bio-decontamination rates. However, even  
 in the case of direct discharges, transient plasma result in a  
 notable reduction of the bacterial population on the agar  
 surfaces. As in the case of dye solutions, the electrical  
 conductivity and pH of agar was measured before and after  
 plasma treatment. It was found that, as in the case of dye  
 solutions, the conductivity of agar increased. However, this  
 increase was not negligible, the maximum change being  
 observed for negative surface treatment: the electrical  
 conductivity of agar before plasma treatment was ~1.1 mS/m,  
 and the conductivity after such plasma treatment increased up  
 to ~1.5 mS/m.

Using pH-sensitive strips, it was found that there was a  
 change in pH of the agar beneath the HV needle electrode. The  
 radius of the spot of the agar surface which differed from the  
 initial pH value was ~3 mm. However, no change in pH was  
 observed outside this localised spot on the agar surface (pH  
 value was ~7). This change in pH on the agar surface beneath  
 the point HV electrode depends on the polarity of the HV  
 impulses. For positive impulses, an increase in pH was  
 registered (up to ~8 based on analysis of the colour of the strip).  
 For negative impulses, a decrease in pH was registered, down  
 to ~5 based on analysis of the colour of the strips. This increase  
 in pH on the agar surface may be a result of the chemical action  
 of cations produced by positive discharges - this suggestion is  
 supported by the results obtained in [27], where it was found  
 that the cations produced by positive corona discharges in air  
 above the water surface resulted in an increase in the pH of  
 water. Therefore, the observed difference in pH tendencies may  
 help to explain the higher inactivation and decolourisation rates  
 for positive direct discharges obtained in the present study.  
 However, further investigation into pH variations due to  
 transient plasma discharges of both polarities is needed to  
 provide more detailed information on the role of pH changes in  
 plasma-induced inactivation and bio-decontamination  
 processes.

The higher decontamination efficiency for positive transient  
 spark discharges was reported in [22], where S. typhimurium in  
 water was treated with transient spark discharges, and it was  
 found that positive transient sparks provided higher  
 decontamination efficiency as compared with negative transient  
 sparks. However, in the case of chemical oxidation capability,  
 it was reported that the removal efficiency of cyclohexanone by  
 the transient plasma spark discharges was ~50% for both  
 polarities of transient spark discharges [19]. Further  
 investigation is required to enable a more-detailed analysis of  
 the bio-decontamination and chemical oxidation efficacies of  
 transient atmospheric plasma discharges.

Also, it was found that E. coli has a higher sensitivity to both  
 types of plasma discharge than S. aureus: the inactivation rates

1 obtained for E. coli are more than 2-fold higher than the  
 2 inactivation rates for S. aureus. This result can potentially be  
 3 explained by the structural difference between Gram-negative  
 4 and Gram-positive bacteria: the thicker peptidoglycan layer of  
 5 Gram-positive bacteria may help to protect their cells from the  
 6 lethal damage caused by transient discharges.  
 7 The results obtained in this study will help in the further  
 8 understanding of the oxidation effects and microbiological  
 9 inactivation capability of impulsive atmospheric discharges.  
 10 These results may be used in potential design and optimisation  
 11 of plasma treatment systems based on transient discharges in  
 12 atmospheric air.

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