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# Sono-electrodeposition transfer of micro-scale copper patterns on to A7 substrates using a mask-less method

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## **ABSTRACT**

A patterned anode tool was used to transfer electrodeposited microstructures on to an un-patterned A7 sized cathode by maintaining very narrow separation (300  $\mu\text{m}$ ) between the two electrodes and agitating the fluid in the inter-electrode gap by ultrasonic means. A non-acidic copper solution with a low content of metal ions and additives was used. Limiting current experiments were initially performed to demonstrate that improved and uniform agitation could be maintained within the inter-electrode gap at relatively low ultrasonic powers of 5 to 30  $\text{W L}^{-1}$ . The best pattern definition was obtained at a US power of 5  $\text{W L}^{-1}$  and a current density of 20  $\text{mA cm}^{-2}$ . Importantly, the results obtained were comparable to those obtained by conventional through-mask plating. A single anode tool could be used to pattern up to five substrates, substantially minimising the amount of lithographic processing required. These results suggest that the proposed technique is a useful mask-less microfabrication process for pattern transfer on to large substrates.

**Keywords:** Copper; electrodeposition; microfabrication; ultrasonic; mask-less

## 1. INTRODUCTION

Copper electrodeposition is a key fabrication technique in micro- and nano-fabrication and is routinely employed to manufacture microelectronic, optoelectronic and MEMS devices [1-4]. While thin films of copper can be deposited using a variety of ‘dry’ techniques such as PVD and CVD, ‘wet’ methods based on electrodeposition are generally preferred for most applications [5]. In microfabrication, the technique of through-mask plating [6] is typically employed to form patterned metal films on substrates. This typically involves coating the substrates with a photoresist and then patterning it using conventional photolithography. This photoresist then acts as a mould to define the areas of electroplating. Importantly, through mask plating is a batch processing technique where many microstructures are formed in parallel. More recently, techniques based on patterned electrodeposition using conformal masks have been developed [7,8] which allow more complex 3D structures to be formed.

A number of alternative techniques [9-11] employing direct-writing or mask-less methods have also been developed to electrodeposit micro-structures, and these have the advantage of reducing the costs and limitations associated with conventional lithography. However, while these approaches are capable of high resolution, they are serial fabrication methods and therefore less suitable for the volume production of micro-devices. The electrodeposition of nano-structures can also be performed via through-mask plating using e-beam lithography, but these are more conveniently prepared using various nano-templates [12]. However, in recent years, many strategies using soft-templates like micro-emulsions [13,14], assembly of micelles [15], self-assembled monolayers [16], have been proposed to fabricate nano-structures electrochemically, thereby avoiding the use of the classical hard-templating.

The development of alternative microfabrication technologies which allow batch processing but avoid or minimise repeated and direct patterning of the substrate is therefore of considerable interest [7, 8, 17]. The Electrochemical nano Fabrication using Chemistry and Engineering (EnFACE) technology is one such method, which has explored the possibility of performing selective deposition or etching on

a substrate [18-21]. As shown in **Figure 1**, the technique advocates the use of a patterned masked electrochemical tool which is positioned close to the substrate, and a current is then imposed to transfer the tool pattern on to the substrate [18-21]. The process does not avoid the use of a physical mask completely, but has the advantage that a single patterned tool can be used to electro-etch or electrodeposit many substrates. Compared to the standard technique of through-mask plating (**Figure 1**) where each substrate has to be individually patterned with a resist mask [4, 6] this results in significant reduction in material usage, processing time and cost.

The proposed technique does, however, require careful control of the reactor design, and a narrow inter-electrode gap of less than 500  $\mu\text{m}$  [17-20]. The thin gap between the electrodes can limit mass transport and anodic or cathodic gas evolution resulting in bubble formation and retention has also been recognised as issue [20-21] which could limit its potential use. In addition, it has been shown that an acceptable deposition rate requires high and uniform mass transport in the inter-electrode gap. Similarly, good feature definition which exhibit minimum current spreading requires the use of a narrow and repeatable gap and a low conductivity (i.e. acid-free) electrolyte [17-20]. Therefore, in order to fully exploit the potential of the technique, a method to provide adequate mass transfer between the two closely spaced electrodes is essential.

Previous researchers working with this method have proposed the use of ultrasonic (US) agitation as a strategy to achieve good mass transport in the narrow inter-electrode gap [22, 23]. They have also demonstrated that continuous or pulsed US agitation can significantly improve the mass transfer within a narrow electrode gap during copper deposition [22, 23]. Using this method, they have recently performed copper pattern transfers on to A7-sized electrodes at high US powers ( $> 30 \text{ W L}^{-1}$ ) demonstrating the feasibility of scaling up the process to large area substrates [24]. However, a number of potential issues were identified in this initial work. Firstly, the use of high ultrasonic powers was found to reduce the life of anode tool by damaging or de-laminating the photoresist mask. Cavitation induced pitting of the surface of the tool and substrate was also reported at the highest powers. A large degree of current spreading was also observed which results in the deposited features

having much wider feature than on the anode tool. A final concern is that the cavitation bubbles formed at these high powers can be retained in the gap and degrade the pattern definition.

In this paper we describe the electrodeposition of patterned copper on to A7 sized copper plates at relatively low ultrasonic power (typically 5 – 30 W L<sup>-1</sup>) in an attempt to minimise some of the adverse effects identified in earlier work [24]. In addition, a non-acidic bath with a low content of additives is used, which has previously been shown to produce copper deposits with characteristics compatible with the printed circuit board industry [25]. This is in contrast to the earlier study [24] which did not employ any additives and typically employed much higher ultrasonic powers. The results reported here are directly compared with these previous findings and also against those obtained using the classical through-mask plating process.

## **2. EXPERIMENTAL**

### **2.1. Chemicals and Materials Used**

It has been previously demonstrated [19] that the EnFACE process requires an acid-free bath and recently [25] it has been shown that low concentrations of commercial additives, which are normally used to plate printed circuits boards (Copper Gleam HS 200 – Dow Electronic Materials), can be used effectively with these electrolyte. The electrolyte solution used in experiments contained 0.1 M CuSO<sub>4</sub>, 5 mL L<sup>-1</sup> of Gleam HS-200B, 2.5 mL L<sup>-1</sup> of Gleam HS-200A and 35 μL L<sup>-1</sup> of HCl. The Gleam HS-200B additive is a large molecular weight polyoxy-alkyl compound which functions as a carrier/suppressor. Similarly, the HS-200A additive is an unidentified organosulfur species which acts as a brightener/accelerator. The electrolyte solutions were prepared with deionized water with a resistivity of 13.7 MΩ cm.

The A7-sized electrodes used in these experiments were high-conductivity, oxygen-free copper plates of 99% purity (Advent Research Materials). The cathode substrate and anode tool had the following dimensions: width 74 mm, height 105 mm and thickness 1.1 mm. Before each experiment, the

cathode plates were manually polished with #1200, #2000 and #4000 grit SiC paper. They were then cleaned in a Decon 90 solution, rinsed with deionised water and dried thoroughly using nitrogen.

## **2.2. Experimental Apparatus**

Electrochemical experiments were carried out in an 18 L ultrasonic tank reactor with transducers mounted on the sidewalls and bottom of the tank (**Figure 2**). Special PVC electrode holders were used to mount the large A7 size substrates and tools in the tank with a narrow and uniform inter-electrode gap. A detailed description of the tank, the PVC holders, and their methodology of loading and unloading them has been provided in detail in Reference 24, and is therefore not described here. A standard calorimetric method was used for measuring and calibrating the ultrasonic power prior to the copper deposition experiments [26]. The electrodeposition experiments were of two different varieties: (1) limiting current experiments to determine the degree of mass transport induced by US agitation, and (2) pattern transfer experiments to determine the thickness, surface roughness and feature dimensions of the electrodeposits. All the electrochemical experiments were carried out under galvanostatic conditions using a DC power supply (Thurlby Thandar PL320).

## **2.3. Limiting Current Experiments**

It is known that the application of ultrasonic irradiation during electrodeposition leads to chemical and physical effects such as mass transport enhancement and surface cleaning [28-30]. Mass transport enhancement effects can usually be assessed by measuring the limiting current in a conventional three-electrode system [31, 32]. However, when larger electrodes are used, and if the counter and working electrodes are in close proximity, the use of a third (reference) electrode is not possible [31]. Additionally, previous experimentation has shown [24] that limiting currents can be measured only if the inter-electrode gap is at least 1.5 mm, which is the value used in these experiments. Since agitation can vary at different locations within the inter-electrode gap, the limiting current was

measured at different positions. These are shown as regions B and F in **Figure 1S**. In the present work a power range of  $5 \text{ W L}^{-1}$  to  $30 \text{ W L}^{-1}$  is used.

For each experiment the A7-sized electrodes were initially loaded into Perspex holders (**Figure 1S**). The limiting current experiments at each US power were then carried out by applying current densities of  $j = 10 - 120 \text{ mA cm}^{-2}$  for 30 seconds and measuring the overall cell potential, E. This method of estimating the limiting current is often employed in industrial situations when the use of a reference electrode is impractical or impossible [33]. The limiting current density ( $j_{\text{lim}}$ ) was obtained directly from the plateau region of the j-E plots. Each limiting current experiment was repeated three times and carried out individually at different positions. During these experiments the remainder of the cathode substrate was masked with protective layer of spray-on photoresist. The anode in these experiments was an un-patterned A7 copper plate, and the inter-electrode gap was 1.5 mm.

It should be noted that the limiting current region can be affected by several factors such as the occurrence of secondary reactions, variations in area during the metal deposition, and the prevailing current and potential distributions [33]. Therefore, a clear and well-defined plateau is often not obtained. Recently, significant distortions in the polarisation data have been observed for narrow electrode gaps in a parallel plate configuration [31]. However, it has been shown [24] that a limiting current density can still be estimated from the experimental data, and this can then be used to assess the degree of agitation within the gap.

#### **2.4. Pattern Electrodeposition Experiments**

Electrodeposition of patterns was performed using the A7 copper substrates described in the previous sections. However, in these experiments an inter-electrode gap of 0.3 mm was used as it has been previously shown [19-21] that a gap smaller than 0.5 mm is necessary for good pattern transfer. Two different sets of features were patterned on the anode tool: (1)  $10 \times 10 \text{ mm}^2$  squares, and (2)  $210 \mu\text{m}$  wide linear features which were 78 mm long and separated by  $1000 \mu\text{m}$  of photoresist. The square features were fabricated using a spray-on liquid photoresist (**Figure 2S**) and a shadow mask. The



linear features were fabricated using a standard dry film photoresist and patterned using photolithography (**Figure 3S**).

The anode tool with the large square features was prepared according to **Figure 2S(b)**. Firstly, 10 x 10 mm<sup>2</sup> areas were masked off using adhesive copper tape. Next, a liquid film resist (PRP Positive Photoresist, Electrolube) was sprayed over the entire surface and cured with an air dryer for 10 minutes. The squares of adhesive copper tape were then removed and the photoresist cured again in an oven at 50 °C for 20 minutes. Finally, the plate was rinsed in deionized water and dried thoroughly with a N<sub>2</sub> gun.

The anode tool with linear micro-scale features was fabricated according to **Figure 3S**. Firstly, the copper plate was cleaned with isopropanol and dried thoroughly with N<sub>2</sub>. A 35 µm thick layer of a dry-film resist (E9230, Eternal Chemical Company Ltd.) was then laminated on to the copper plate at a temperature of 110 °C. A photo-mask with the desired linear pattern was then placed over the resist layer which was then exposed for 15 seconds in a UV exposure unit. The resist was then developed for 13 minutes at 30 °C in a standard K<sub>2</sub>CO<sub>3</sub> developer (Chestech) followed by rinsing in DI water and N<sub>2</sub> drying. Note that for the experiments employing conventional through-mask plating the dry film resist processing was applied directly to the cathode plate. It was observed that the electrolyte solution did not always penetrate the fine features of the dry-film resist, which can lead to un-plated areas. To minimise this effect, the substrates were immersed in a 0.5 wt% SDS surfactant solution just prior to each experiment.

Deposited features on the A7 copper plates were initially characterised using a confocal microscope. A 3D optical surface metrology system (Leica DCM 3D) was used to determine the thickness and roughness of the transferred copper patterns. Surface roughness is reported as R<sub>a</sub> (arithmetical mean of the absolute values of the average 2D profile departures) or S<sub>a</sub> (arithmetic mean height of the 3D surface profile).

### 3. RESULTS AND DISCUSSION

### 3.1. Limiting current experiments:

The current-potential data gathered for the limiting current experiments is shown in **Figure 3**. As can be seen in the figure, there is no clear plateau, and this affects the estimation of the limiting current. However, the data are similar to those observed previously for sono-electrodeposition, and it was shown [22-24, 31] that that limiting current could still be determined in the pseudo-plateau region. Adopting the same procedure used previously [31] the limiting current densities at lower power are shown in **Table 1**. The limiting current for the two positions (F and B) ranges from 40 – 70 mA cm<sup>-2</sup> which compares to a value of 25 mA cm<sup>-2</sup> in the absence of US agitation. According to the literature [30-32] such an enhancement in the limiting current density with increasing ultrasonic power is expected.

The diffusion layer thickness,  $\delta$ , can be calculated from the limiting current as follows:

$$\delta = \frac{n \cdot F \cdot D \cdot c_b}{j_{lim}} \quad (1)$$

where  $F$  is the Faraday constant,  $n$  is the charge on the electroactive species,  $D$  is its diffusion coefficient and  $c_b$  is its bulk concentration. The values of  $\delta$  shown in Table 1 are calculated from equation 1 assuming a value of  $D = 7.07 \times 10^{-6} \text{ cm}^2 \text{ s}^{-1}$  for copper ions [34]. As can be seen in Table 1, increasing the ultrasonic power increases the limiting current, which can be attributed to the cavitation and/or micro and macro-streaming effects [30]. Notably, the limiting current at the two different locations (B and F) are nearly identical, which means that the material transfer to these regions is similar during sono-electrodeposition.

Comparing this data to the results found previously [24] in the absence of additives, the limiting current data for 30 W L<sup>-1</sup> is found to be nearly identical (within 5%). This indicates that the inclusion of low levels of electrolyte additives does not significantly influence the limiting current density. When the low US powers obtained in this study are compared against those obtained at higher values,

one finds that mass transfer is indeed enhanced at higher powers. For example, the diffusion layer thickness for 40 W L<sup>-1</sup> and 60 W L<sup>-1</sup> were 17 and 15 μm, respectively [24]. This can be compared to values of 34 μm (5 W L<sup>-1</sup>) and 27 μm (10 W L<sup>-1</sup>) in the current study.

The above data reveal that even relatively low ultrasonic powers can provide sufficient agitation to attain the required transport to and from the electrode surface, even in the central part of an A7 plate in a narrow electrode-gap configuration. However, the value of limiting current will be lower when the inter-electrode gap is 0.3 mm as versus 1.5 mm. For the former case, the onset of limiting current can only be inferred indirectly by a drop in current efficiency and/or increase in surface roughness. The recommended current density for copper plating in industry using these additives is typically 10 - 20 mA cm<sup>-2</sup> [25]. The current findings therefore support that these values are achievable for sono-electrodeposition on A7 substrates with a narrow inter-electrode gap.

### 3.2. Transfer of Centimetre Features

Sono-electrodeposition experiments employing the maskless technique were initially carried out using a patterned tool containing an array of 10 x 10 mm squares. The process was then characterised by analysing the current efficiency, feature size, deposit uniformity and roughness. Before this was performed, an analysis of the surface roughness of the manually polished A7 substrates was obtained as a baseline (**Figure 4**). These measurements indicated that, prior to electrodeposition, the polished copper substrates typically had an average surface roughness of  $R_a = 160$  nm and  $S_a = 216$  nm.

Sono-electrodeposition was then carried out at a current density of 20 mA cm<sup>-2</sup> for a time of 600 seconds at US powers of 5, 10 and 30 W L<sup>-1</sup>. The aim was to transfer the 10 x 10 mm<sup>2</sup> square patterns located in the corners and the middle parts of the A7 anode tool (see **Figure 2S**). The anode tool was fabricated as described in section 2.4. **Figure 4S** show the A7 cathode plates with the electrodeposited square features at different US powers. As can be seen in **Figure 4S** improved feature definition was obtained at the lower powers (5 and 10 W L<sup>-1</sup>) compared to the higher power (30 W L<sup>-1</sup>).

The results in **Table 2** indicate that the total surface area,  $A_f$ , and average lengths,  $L_f$ , of the plated features on the cathode were generally larger than the anode feature area. This arises from the current spreading effect and reflects both variations in the electrode gap across the substrate (typically  $\pm 40 \mu\text{m}$ ) and also the prevailing current distribution between the electrodes [19-21]. The amount of spread observed at  $5 \text{ W L}^{-1}$  and  $10 \text{ W L}^{-1}$  was 0% and 10%, respectively. This is significantly lower than value of 14% observed earlier for deposition at  $30 \text{ W L}^{-1}$  [24]. The increase in feature dimensions with increasing US power was observed in both this study and the earlier study. This may reflect an ultrasonic enhancement of current spread, but increased damage/delamination of the photoresist on the anode tool at higher powers which increases the effective feature size cannot be ruled out [24].

The current efficiency,  $\varepsilon$ , for copper electrodeposition is also shown in **Table 2**. This was estimated using the expression:

$$\varepsilon = \frac{d \cdot n \cdot F \cdot \rho \cdot A_f}{A_p \cdot j \cdot t \cdot M} \quad (2)$$

where  $d$  is the measured average thickness,  $n$  is the number of electrons,  $F$  is the Faraday constant,  $\rho$  is the density of copper,  $A_f$  is the actual plated area on cathode,  $A_p$  is the exposed area on the anode tool,  $j$  the current density,  $t$  is the deposition time and  $M$  is the atomic weight of copper. **Figure 5a** indicates that the average thicknesses of the deposits were approximately  $d = 2.6 - 4.4 \mu\text{m}$  (**Table 2**), resulting in a current efficiencies between 85% and 96%. In an earlier study [24] current efficiencies approaching unity were observed at the same current density but with higher US powers and without additives. However, the difficulties in estimating the exact dimensions of the electrodeposited pattern results in errors in the current efficiency of 10 – 20% [24] so these differences may not be significant. More recent work [25] performed with a similar concentration of additives but without US agitation resulted in current efficiencies of 85 - 94% which are in good agreement with the present results.

The thickness data in **Table 2** also indicates that, at all US power, the deposited squares at the edges of the cathode were thicker than those transferred in the middle. A similar trend in the centre to edge

thickness distribution was observed in the earlier study at higher US powers [24]. This is due to the prevailing current distribution between the electrodes, but may also reflect variations in the inter-electrode gap which change the amount of current spread. Finally, the thickness variation across the substrate tended to be lower at the lowest US powers.

As can be seen in **Figure 5b** the average surface roughness ( $S_a$ ) is generally higher at the corners than at the centre at all US powers. In part this may be due to the increased deposit thickness at the edges, and an earlier study [20] without US agitation showed a strong development in copper roughness with deposit thickness. Additionally, there appears to be a small reduction in deposit roughness as the US power increases but the trend is relatively weak. In a previous study [24] performed at higher US power (with no additives) there was a similar decrease in roughness as the power was increased from  $30 \text{ W L}^{-1}$  to  $40 \text{ W L}^{-1}$ . At  $60 \text{ W L}^{-1}$  the roughness increased again due to cavitation induced pitting.

Some of the observed effects may be due to the presence of additives. The low concentrations of these additives mean that their brightening/levelling action depends on the prevailing mass transport conditions [4,6]. Therefore, it is plausible that there is a relationship between US power and surface roughness. It is also possible that the use of high US powers can remove the additives from the surface. However, the present results appear to indicate that, at low powers the action of the additives is not significantly impaired and deposits of low roughness can be obtained.

### **3.3. Transfer of Micrometre Pattern Features:**

Once it was determined that the most appropriate US power for pattern transfer was  $5 \text{ W L}^{-1}$ , sono-electrodeposition experiments employing the EnFACE technique were carried out using a A7 anode tool patterned with micro-scale linear features. The current density employed was  $20 \text{ mA cm}^{-2}$  although some experiments were performed at  $30 \text{ mA cm}^{-2}$  to determine if higher current densities could be applied without affecting the deposit quality or reducing the current efficiency. The line

widths of the exposed copper on the anode tool were measured at different locations (A-G) and typically ranged between 200  $\mu\text{m}$  and 240  $\mu\text{m}$ .

Some representative examples of linear features deposited on the A7-sized cathode at 20  $\text{mA cm}^{-2}$  for 720 seconds are shown in **Figure 6a**. The figure shows relatively well-defined lines with widths of 230 - 290  $\mu\text{m}$ . This can be compared to the anode tool line-widths of 200 - 240  $\mu\text{m}$  which indicates that current spread is limited to 15% and does not appear to increase with the current density. This is a large improvement on earlier experiments at higher US powers using the same linear patterned anode tool which typically resulted in 250% current spreading [24]. In addition, the pattern is transferred over the entire area of the A7 substrate with good definition. This demonstrates the feasibility of transferring patterns using this technique on to large substrates at low US powers.

In order to further assess the performance of the method, a thickness and roughness analysis was also performed. The thickness data is presented in **Figures 7, 8** and **Table 3** and also in **Figures 5S, 6S and 7S**. The average thickness of the lines was measured over two lines that corresponded to the locations of the centimetre-scale square features. The thickness data summarised in Figure 8 indicates excellent uniformity over the entire substrate at both current densities. The average surface roughness amplitude ( $S_a$ ) in **Figures 9, 10** and **8S** is marginally lower in the centre than at the edges, and increases with current density. In the latter case, this may be due to the limiting current being approached with a corresponding increase in roughness. The low values for the current efficiencies in **Table 3** are more difficult to interpret. Possibly they arise because the limiting current has been exceeded but, interestingly, the through-mask data performed under similar mass transport conditions has a much higher current efficiency.

There are several additional issues which need to be addressed when assessing the performance of this maskless technique. The first relates to how many substrates can be processed before the tool deteriorates and has to be replaced. As the main strength of the technique is the ability to process many substrates with a single tool, it is important to demonstrate this point. The evolution of the anode and cathode during the processing of ten cathode substrates is shown **Figure 11** as a series of

optical micrographs. The gradual degradation of the resist pattern on the anode tool is clearly visible with repeated use (**Figure 11a**). The damage begins to become clearer after five uses, where the isotropically etched copper has significantly undercut the resist pattern (black lines). After six to seven uses the resist begins to delaminate and undercutting continues. After the ninth experiment, the tool becomes unusable due to resist lamination.

**Figures 11b** and **11c** show the optical micrographs and profiles of the copper lines transferred to the cathode for the first and seventh use, respectively. The results in **Figure 11** indicate that it is possible to re-use the tool at least five times in the presence of low US power. In the earlier study [24] performed at higher US powers with the same linear pattern dimensions, delamination of the resist were observed after a single experiment. Although the tool life is limited, this still represents an 80% reduction in the amount of lithography processing required compared to conventional through-mask plating. In comparison, earlier experiments employing smaller substrate sizes (1 cm) and positive and negative-tone liquid photoresists typically allowed ten pattern transfers to be obtained from a single tool [20]. Clearly, ultrasonic power has the potential to damage the dry-film photoresist but the previous results indicate that the use of liquid photoresists with improved adhesion could further increase the life-time of the tool. These are difficult to apply to large area rectangular substrate using conventional spin-coating techniques, but spray coating is also feasible [35].

As a final benchmark, the EnFACE technique needs to be compared against standard through-mask plating technology. To allow for this comparison, cathode plates were laminated with the dry film resist and patterned using the linear feature mask. These were then electrodeposited using an un-patterned anode at a current density of  $20 \text{ mA cm}^{-2}$  for 400 seconds. The resulting electrodeposited features are shown in **Figure 6b**, and typically have line-widths of 205 - 235  $\mu\text{m}$  (**Table 3**). Since in through-mask plating the photo-resist functions as a mould, current spreading is not observed and the observed line-widths simply reflects the natural variations in the resist line-widths (typically 200 – 240  $\mu\text{m}$ ) across the substrate. An average thickness of 2.8  $\mu\text{m}$  was observed which corresponds to a current efficiency of 87%. This is higher than for the EnFACE process but consistent with the data in

**Table 2.** Notably, the deposit uniformity obtained for the classical through-mask methodology is poorer than the maskless method, and there is a pronounced edge to centre thickness variation.

The main limitation of the proposed technique is the current spreading effect. This is largely unavoidable, and is mainly controlled by the electrode gap, resistivity of the electrolyte and flatness of the substrate [20, 37]. In the current work, large features (10 x 10 mm<sup>2</sup> squares) showed an increase in feature size of around 10% compared to the tool features. For the linear pattern (210 µm x 78 mm) there is an increase of 15% in width. In previous studies [20] using smaller substrates without US agitation, current spreading was typically greater than 20% for 100 – 200 µm wide lines. This increase in feature size is generally not an issue as long as it is reproducible. Under these conditions, it is always possible to reduce the tool feature size to compensate for the current spreading effect, and such mask biasing is often used in conventional microfabrication [35]. Such strategies should enable the maskless technology to be a viable microfabrication process for large substrates. In all other aspects such as thickness, roughness and uniformity the process is comparable to conventional though mask plating.

## CONCLUSIONS

Copper pattern transfer experiments of centimetre squares and micrometre lines have been performed in a 18 L ultrasonic tank on to large area substrates (A7 sized copper plates) using the EnFACE technology. The purpose of these experiments was to test the scalability of this mask-less electrochemical patterning method, as previous experiments had been performed on relatively small substrates. A secondary aim was to determine if the process would work at relatively low US powers as earlier experiments had shown a number of issues at higher powers. The final objective was to determine if the additives typically used in copper plating would still function effectively in an acid-free bath with an unconventional agitation scheme.

Initial experiments were performed to determine the limiting current for copper deposition at various US power with an inter-electrode gap of 1.5 mm. This is the minimum gap at which reliable limiting



current data can be obtained. The results of this study indicated that, even at a relatively low US power of  $5 \text{ W L}^{-1}$ , limiting current densities of  $40 - 45 \text{ mA cm}^{-2}$  are obtainable. Limiting current at the centre and edges were similar which indicates that mass transport is relatively constant across the substrate. The observed limiting currents are sufficient to allow copper plating at a rate of  $20 \text{ mA cm}^{-2}$  which is comparable to current industry practice.

Pattern transfer at the centimetre scale using the maskless process was performed at various US powers with a fixed current density of  $20 \text{ mA cm}^{-2}$ . The degree of current spreading was found to increase with applied US power and the current efficiency was also lower at the highest powers. The dependence of the deposit roughness on ultrasonic power was harder to ascertain but there was a general tendency for it to be lowest at the highest powers. Collectively, these results indicated that good pattern definition could be achieved at a US power of  $5 \text{ W L}^{-1}$  which is much lower than had been used previously [24, 36]. All subsequent experiments were performed under these optimum conditions.

Pattern transfer at the micrometre scale using the process was then demonstrated at  $5 \text{ W L}^{-1}$  and at current densities of  $20 \text{ mA cm}^{-2}$  and  $30 \text{ mA cm}^{-2}$ . The degree of current spreading was about 15% which is comparable to that for the centimetre scale experiments (10%) under the same conditions. Increasing the current density to  $30 \text{ mA cm}^{-2}$  did not increase the degree of current spreading but it did reduce the current efficiency and increase the surface roughness. Therefore, the optimum conduction for the micrometre pattern transfer were  $5 \text{ W L}^{-1}$  and  $20 \text{ mA cm}^{-2}$ . A comparison was also made against the conventional through-mask plating technique, under the same deposition conditions. As expected through mask plating resulted in 0% current spreading, although the surface roughness was slightly higher than for the maskless one and the thickness uniformity worse. The current efficiency was, however, higher and close to the theoretical value for copper deposition below the limiting current.

Finally, an assessment of the anode tool life was performed. It was found that at least five substrates could be patterned using a single anode tool when low US powers were employed. This represents a

significant saving in resist processing compared to conventional through-mask plating. With additional improvements in resist technology it is likely that the tool life can be extended further. Collectively, these findings indicate that the technology is a promising electrochemical microfabrication process for large-scale substrates.

### **Acknowledgements**

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**Tables:**

<b>Power / W L<sup>-1</sup></b>	<b>Limiting Current / mA cm<sup>-2</sup></b>		<b>Diffusion Layer, <math>\delta</math> / <math>\mu\text{m}</math></b>	
	<b>B</b>	<b>F</b>	<b>B</b>	<b>F</b>
5	40	45	34	30
10	50	50	27	27
30	70	70	20	20

**Table 1:** Limiting current and diffusion layer thickness,  $\delta$ , at different ultrasonic powers. Values are shown for the corner (position B) and the centre (position F) of the A7 plate.

US Power W L <sup>-1</sup>	A <sub>f</sub> / cm <sup>2</sup>	L <sub>f</sub> / cm	Average Thickness (d) / μm			Average Roughness (S <sub>a</sub> ) / nm			ε / %
			Corners	Middle	All Areas	Corners	Middle	All Areas	
5	8.6 ± 0.2	1.0	4.6	4.2	4.4	220	140	180	96
10	9.7 ± 0.4	1.1	3.8	3.2	3.5	200	190	195	87
30	12.7 ± 0.2	1.3	2.8	2.4	2.6	140	120	130	85

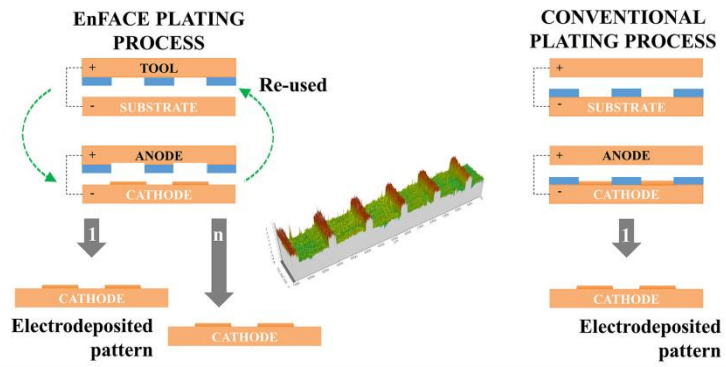
**Table 2:** Thickness, roughness, dimensions and current efficiencies of the square features at different locations on an A7 plate substrate using various US powers.



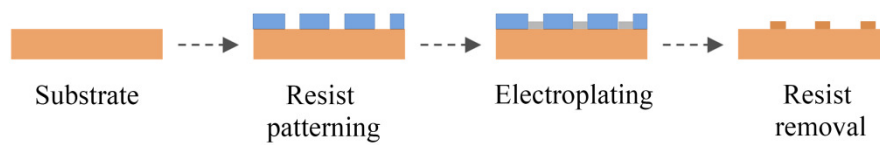
Current density / mA cm <sup>-2</sup>	Line widths / μm	Average Thickness (d) / μm			Average Roughness (S <sub>a</sub> ) / nm			ε / %
		Corners	Middle	All Areas	Corners	Middle	All Areas	
EnFACE methodology								
20	260 ± 30	2.1	2.3	2.2	420	214	315	60%
30	255 ± 25	1.8	2.3	2.0	521	355	438	55%
Though-mask plating methodology								
20	220 ± 15	3.2	2.4	2.8	630	249	440	87%

**Table 3:** Thickness, roughness, dimensions and current efficiencies of linear copper features at different locations on an A7 cathode substrate and using various US powers.

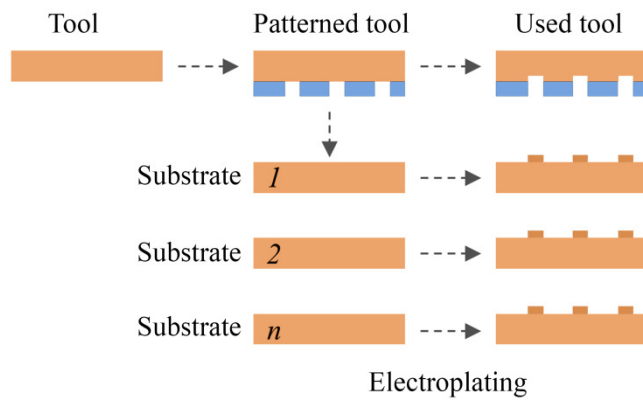
## Graphical Abstract



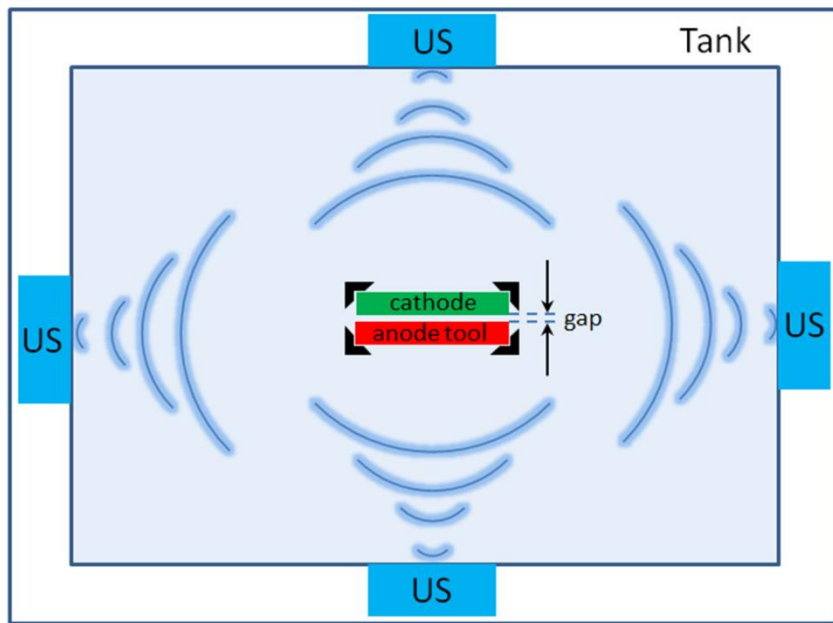
### Conventional Plating Process



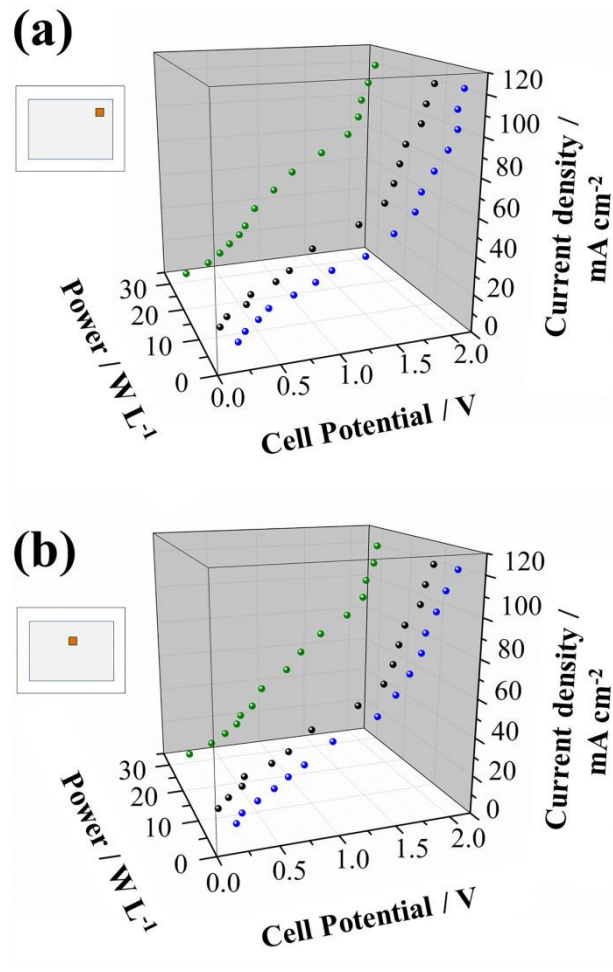
### EnFACE Plating Process



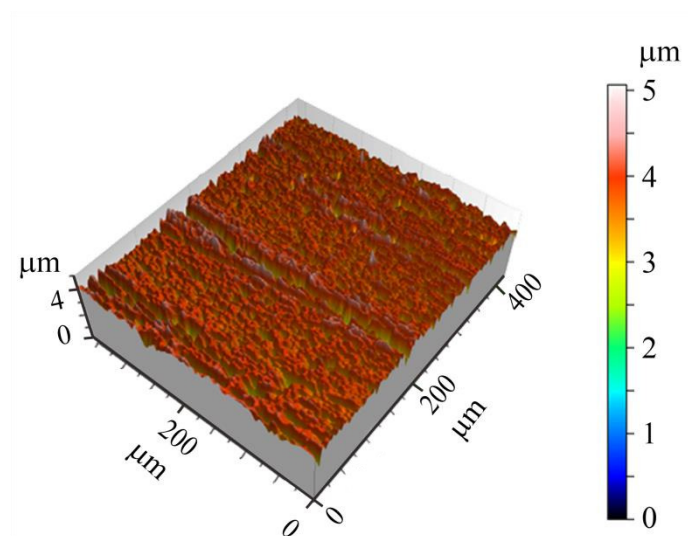
**Figure 1:** Schematic diagram of EnFACE patterning process versus the conventional through-mask plating methodology.



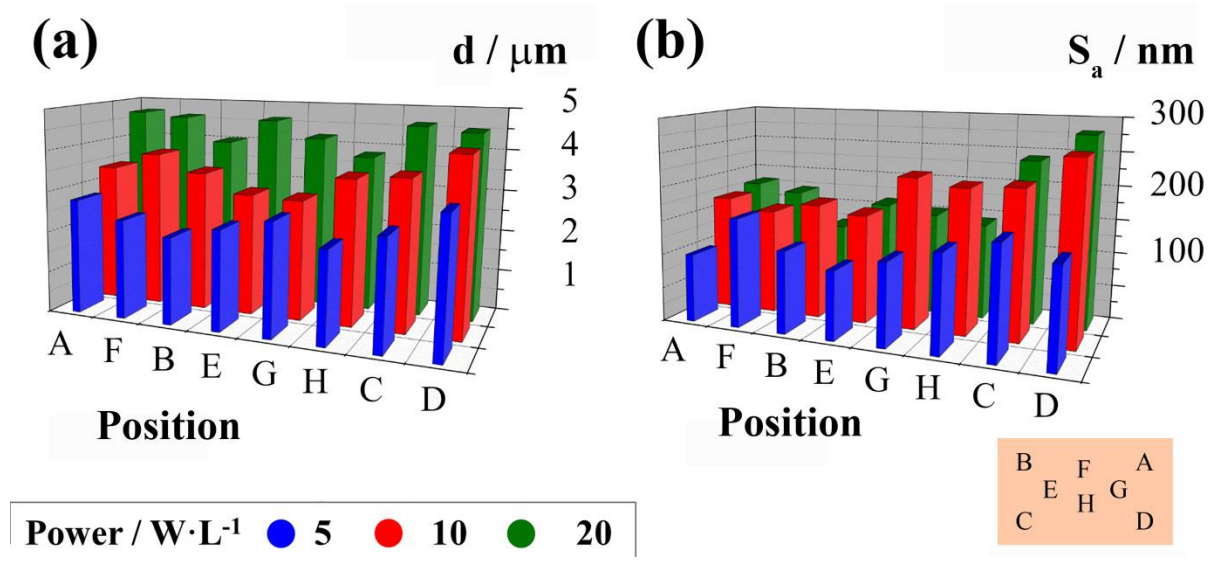
**Figure 2:** Top view schematic of the ultrasonic tank and electrode assembly. Note that only the transducers (US) on the sides of the tank are shown.



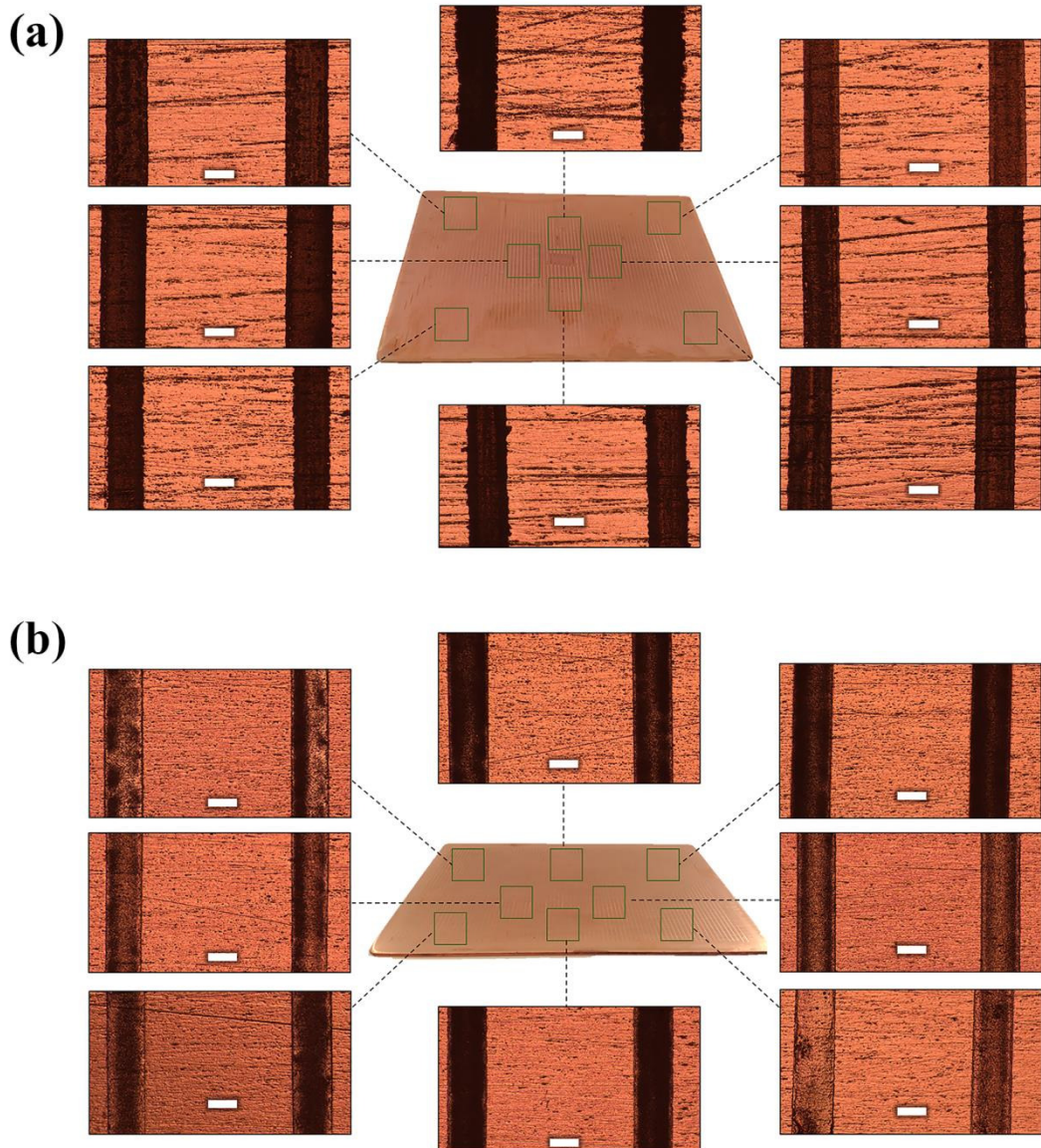
**Figure 3:** Limiting current experiments under DC conditions at different ultrasonic powers. (a) corner (position B) and (b) the centre (position F) of the A7 plate. Note that the cell potential refers to the potential between the cathode substrate and the anode tool.



**Figure 4:** Representative 2D surface profiles of a manually polished copper substrate before electrodeposition.

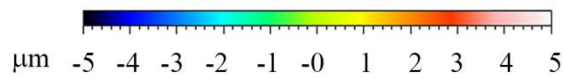
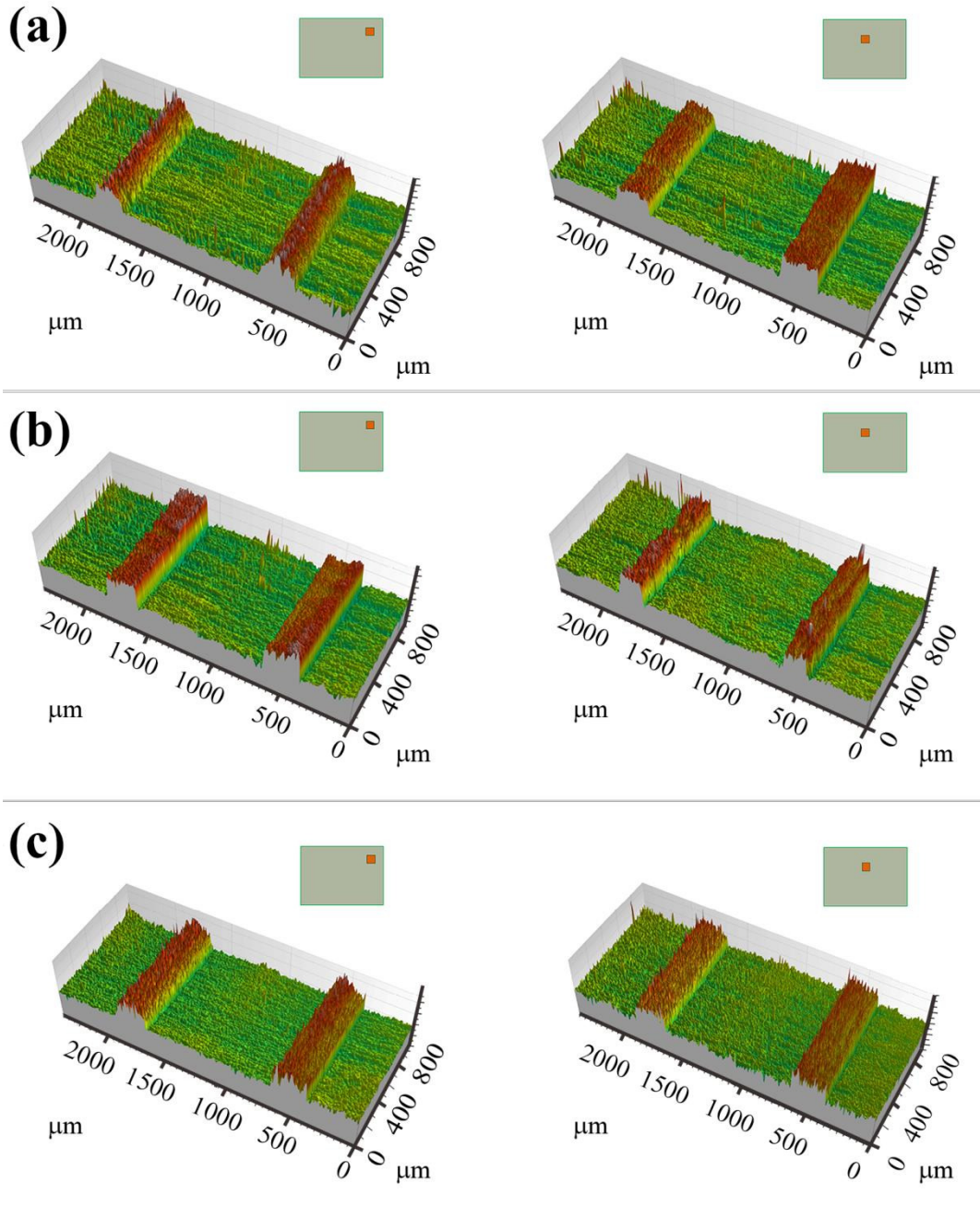


**Figure 5:** (a) Average thickness,  $d$ , and (b) average surface roughness,  $S_a$ , of the electrodeposited copper features. Experimental conditions are:  $j = 20 \text{ mA cm}^{-2}$ ,  $t = 600 \text{ sec}$  and US powers of 5, 10 or 20  $W L^{-1}$ .

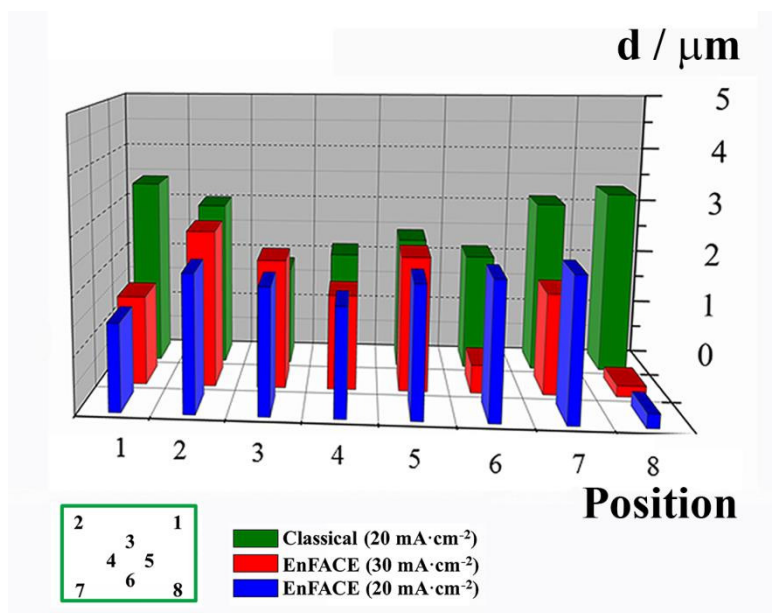


**Figure 6:** Optical micrographs of linear copper patterns transferred on to A7 cathode plates using US power of 5 W L<sup>-1</sup> at: (a) 20 mA cm<sup>-2</sup> with maskless process (b) 20 mA cm<sup>-2</sup> using through-mask plating. Bar scale: 200 μm.

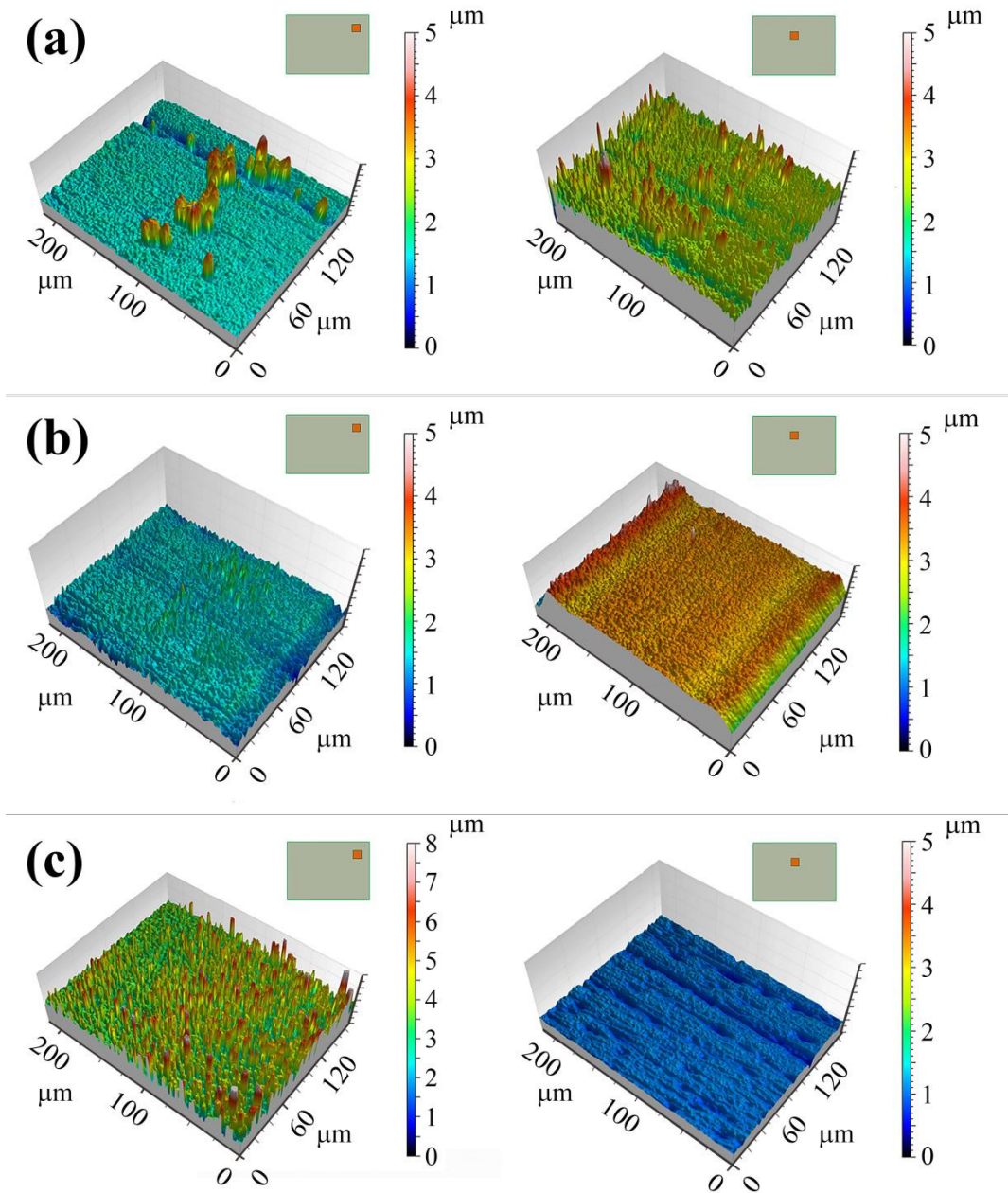




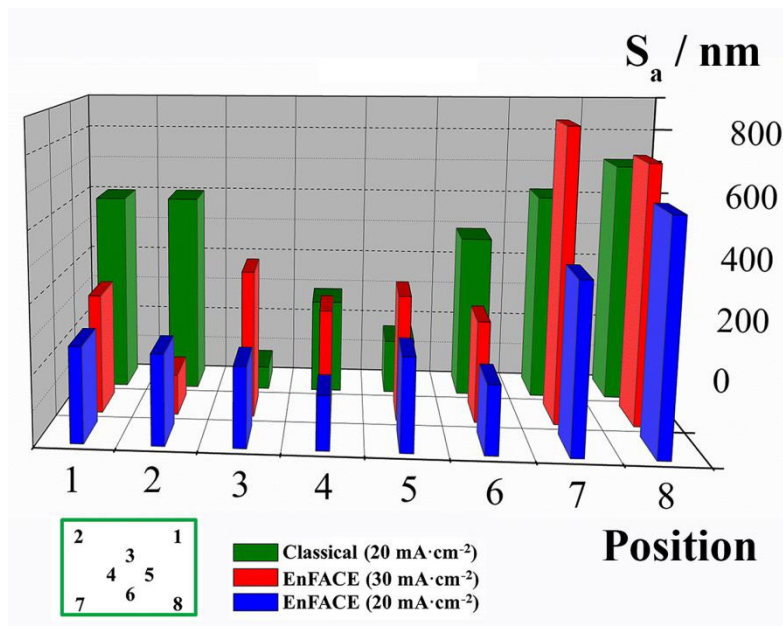
**Figure 7:** 3D thickness profiles of linear copper patterns at: (a)  $20 \text{ mA cm}^{-2}$  and (b)  $30 \text{ mA cm}^{-2}$  using the maskless method and (c) at  $20 \text{ mA cm}^{-2}$  using through-mask plating. All experiments were performed at a US power of  $5 \text{ W L}^{-1}$ .



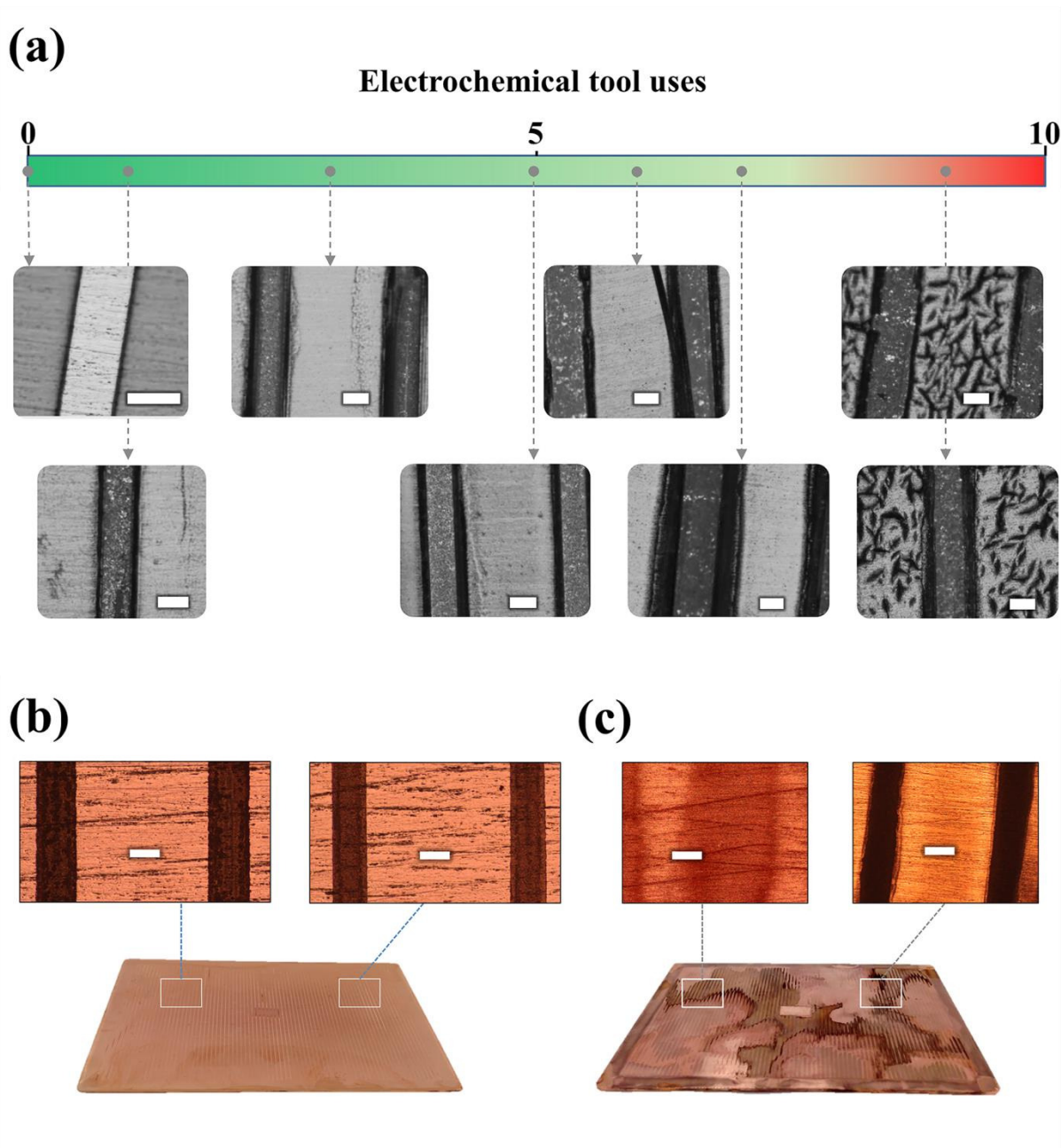
**Figure 8:** Average thickness,  $d$ , of linear patterns at various locations for the maskless process at  $20 \text{ mA cm}^{-2}$  and  $30 \text{ mA cm}^{-2}$ , and through-mask process at  $20 \text{ mA cm}^{-2}$ . All experiments were performed at a US power of  $5 \text{ W L}^{-1}$ .



**Figure 9:** 3D roughness profiles of linear copper patterns at: (a)  $20 \text{ mA cm}^{-2}$  and (b)  $30 \text{ mA cm}^{-2}$  using the maskless technique and (c) at  $20 \text{ mA cm}^{-2}$  using through-mask plating. All experiments were performed at a US power of  $5 \text{ W L}^{-1}$ .



**Figure 10:** Average surface roughness,  $S_a$ , of linear features at various locations for the maskless process at  $20 \text{ mA cm}^{-2}$  and  $30 \text{ mA cm}^{-2}$ , and the through-mask process at  $20 \text{ mA cm}^{-2}$ . All experiments were performed at a US power of  $5 \text{ W L}^{-1}$ .



**Figure 11:** (a) Optical micrographs of the resist-patterned anode tool as a function of usage at a US power of  $5 \text{ W L}^{-1}$  and at  $20 \text{ mA cm}^{-2}$ . Optical micrographs of copper lines deposited on the cathode after: (b) one use (c) seven uses. Scale bar:  $200 \mu\text{m}$ .

**SUPPORTING INFORMATION:**

**Sono-electrodeposition transfer of micro-scale copper patterns on to A7 substrates using a mask-less method**

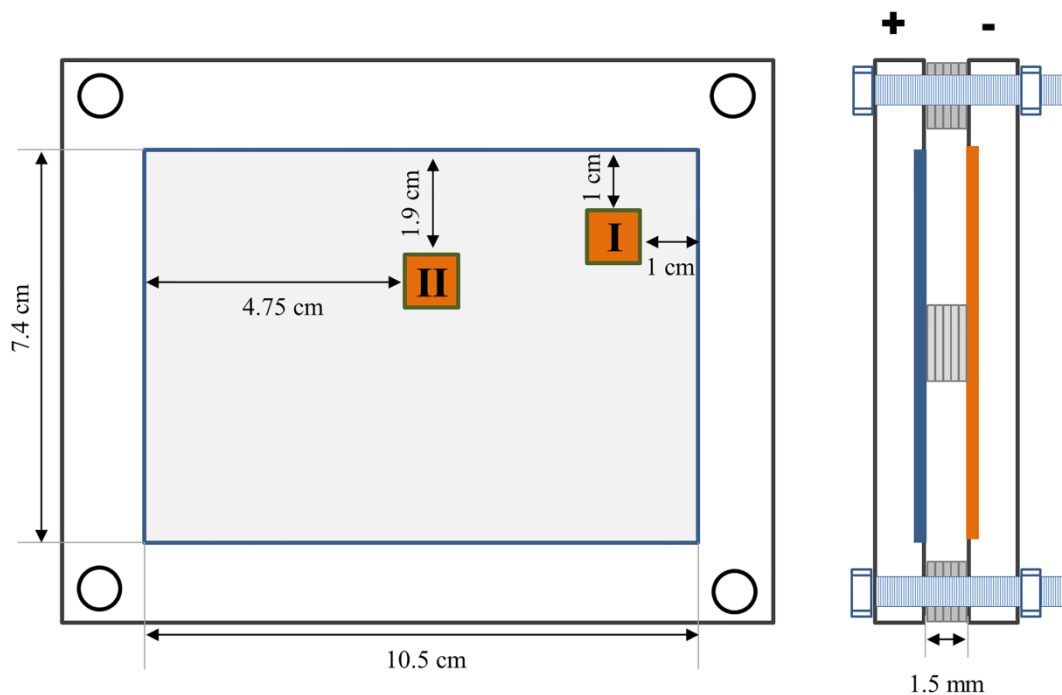
Albert Serra <sup>a,\*</sup>, Simon J. Coleman <sup>b</sup>, Elvira Gómez <sup>a</sup>, T.A. Green <sup>c</sup>, Elisa Vallés <sup>a</sup>, Joan Vilana <sup>a</sup>,  
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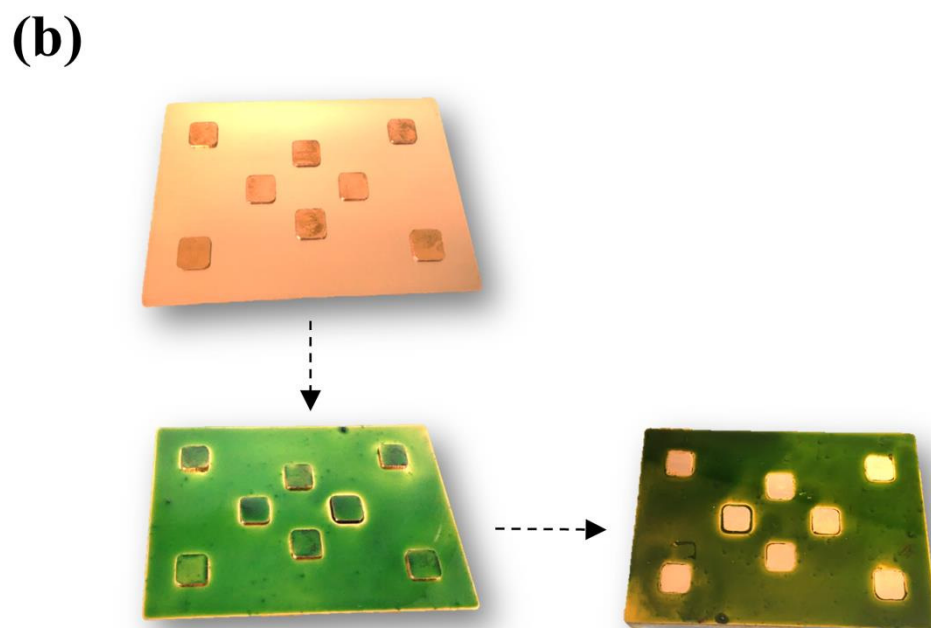
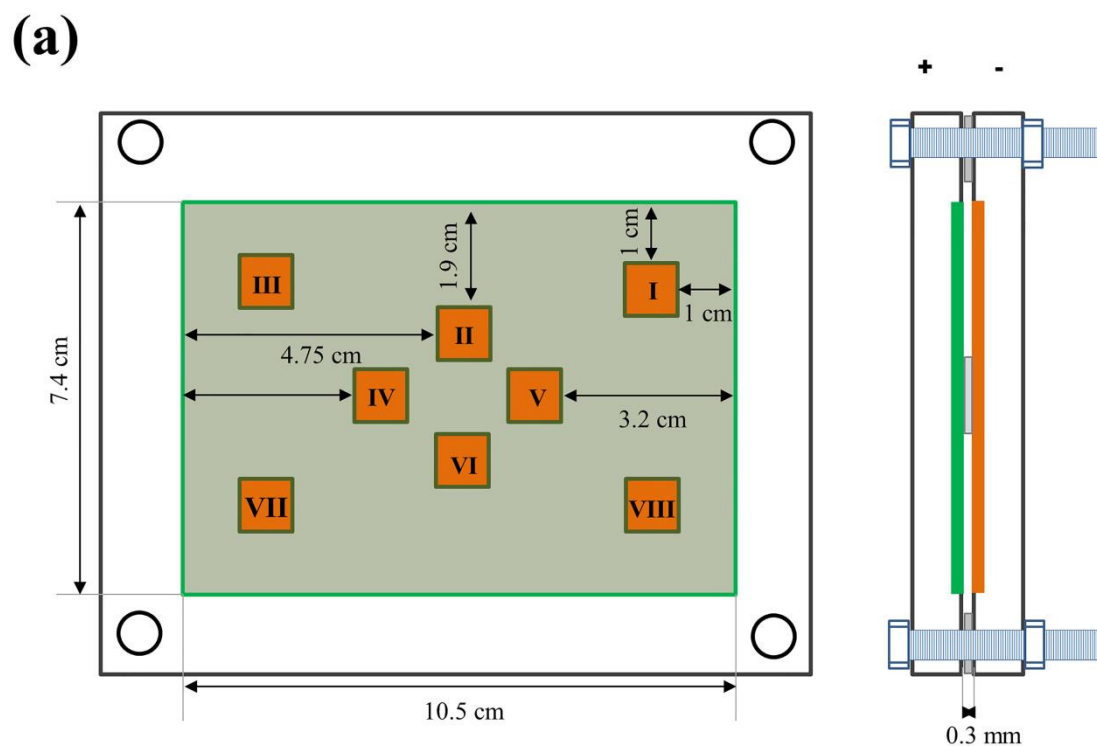
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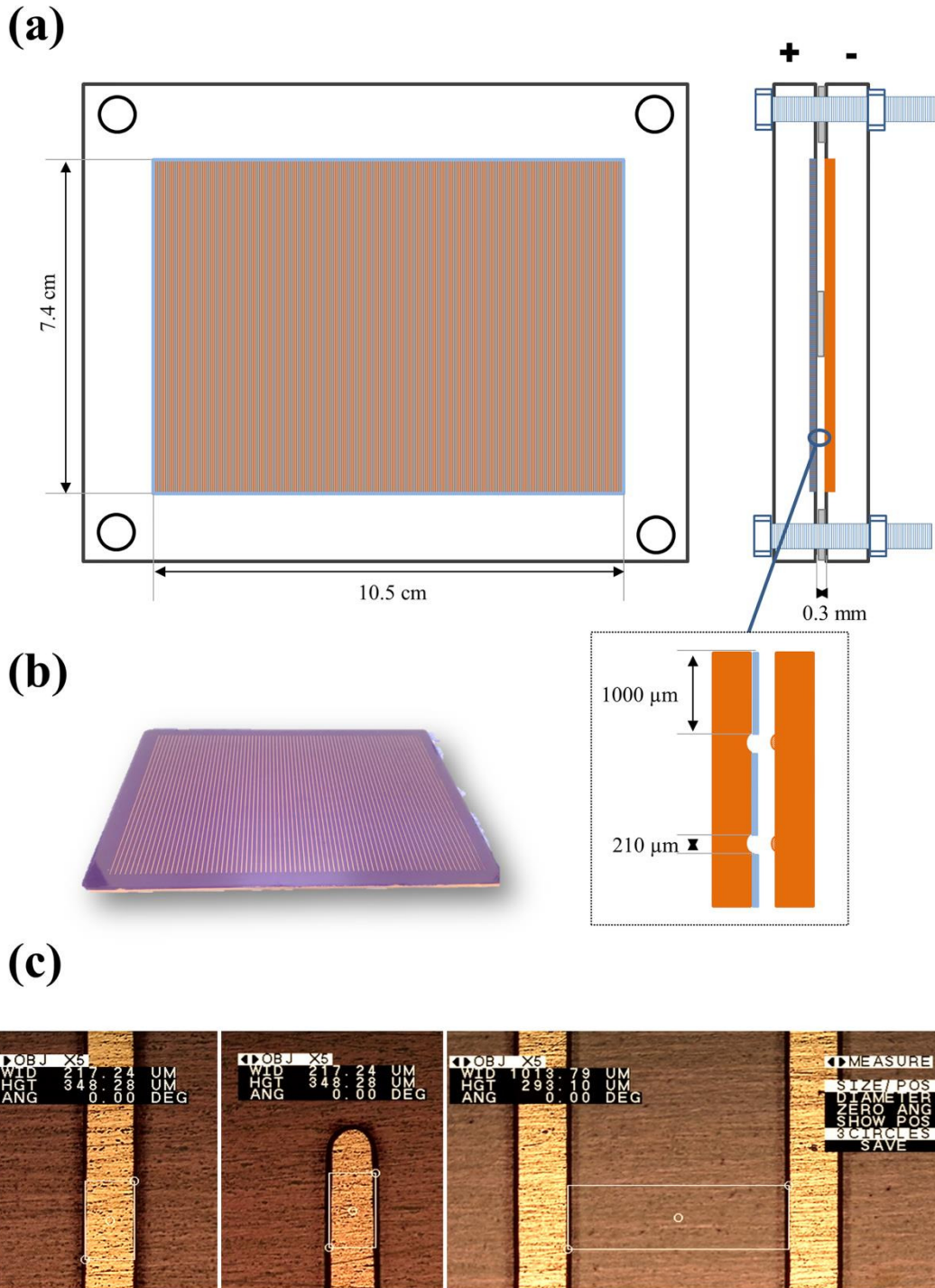


**Figure 1S:** Scheme of the anodic holder with the Perspex sheet (left) and inter-electrode gap separation (right) for limiting current experiments.

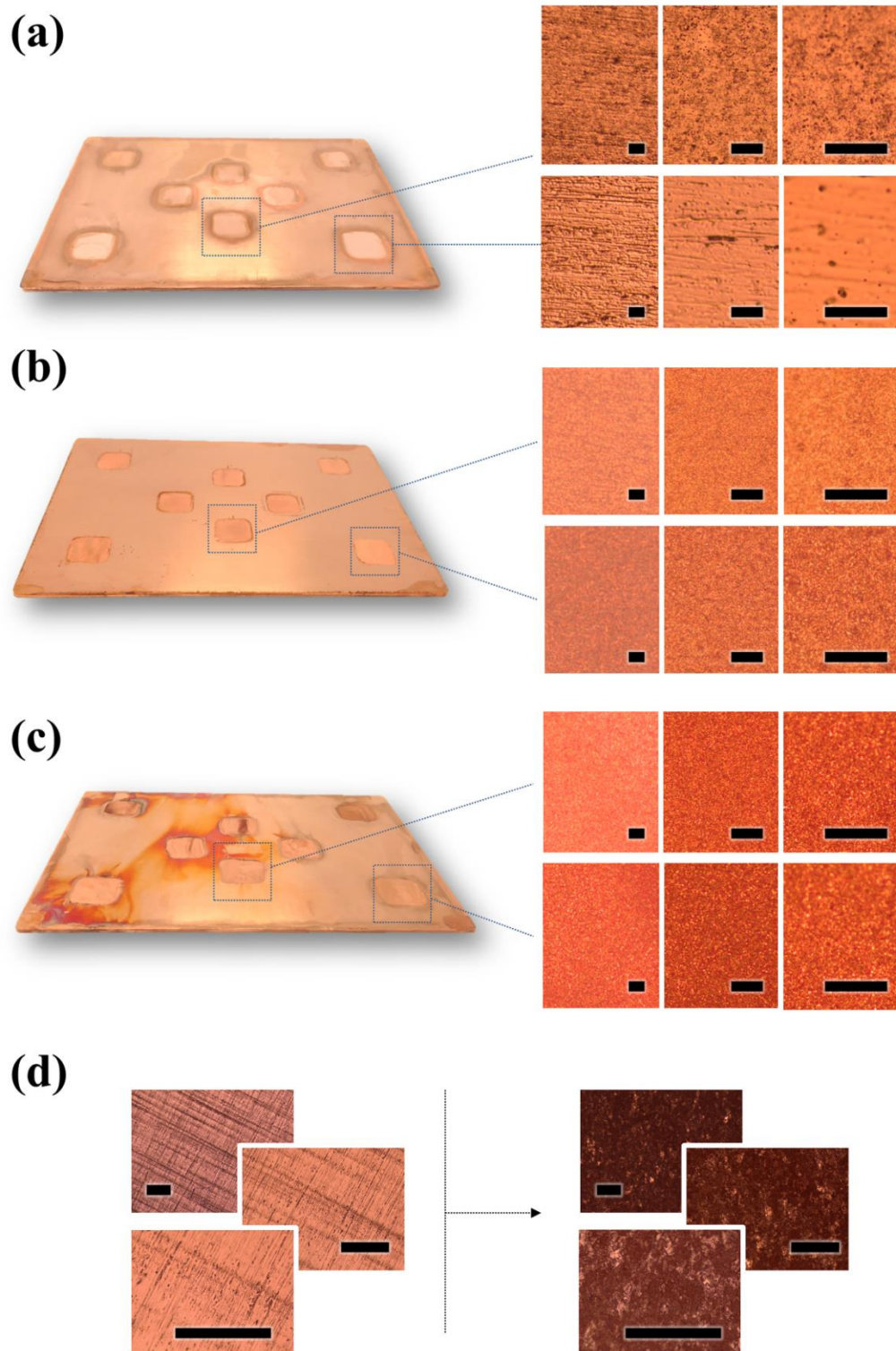


**Figure 2S:** (a) Scheme of the anodic holder with the Spray Resist Tool (left) and inter-electrode gap separation (right) for pattern electrodeposition with square; (b) Images of the spray resist tool preparation.

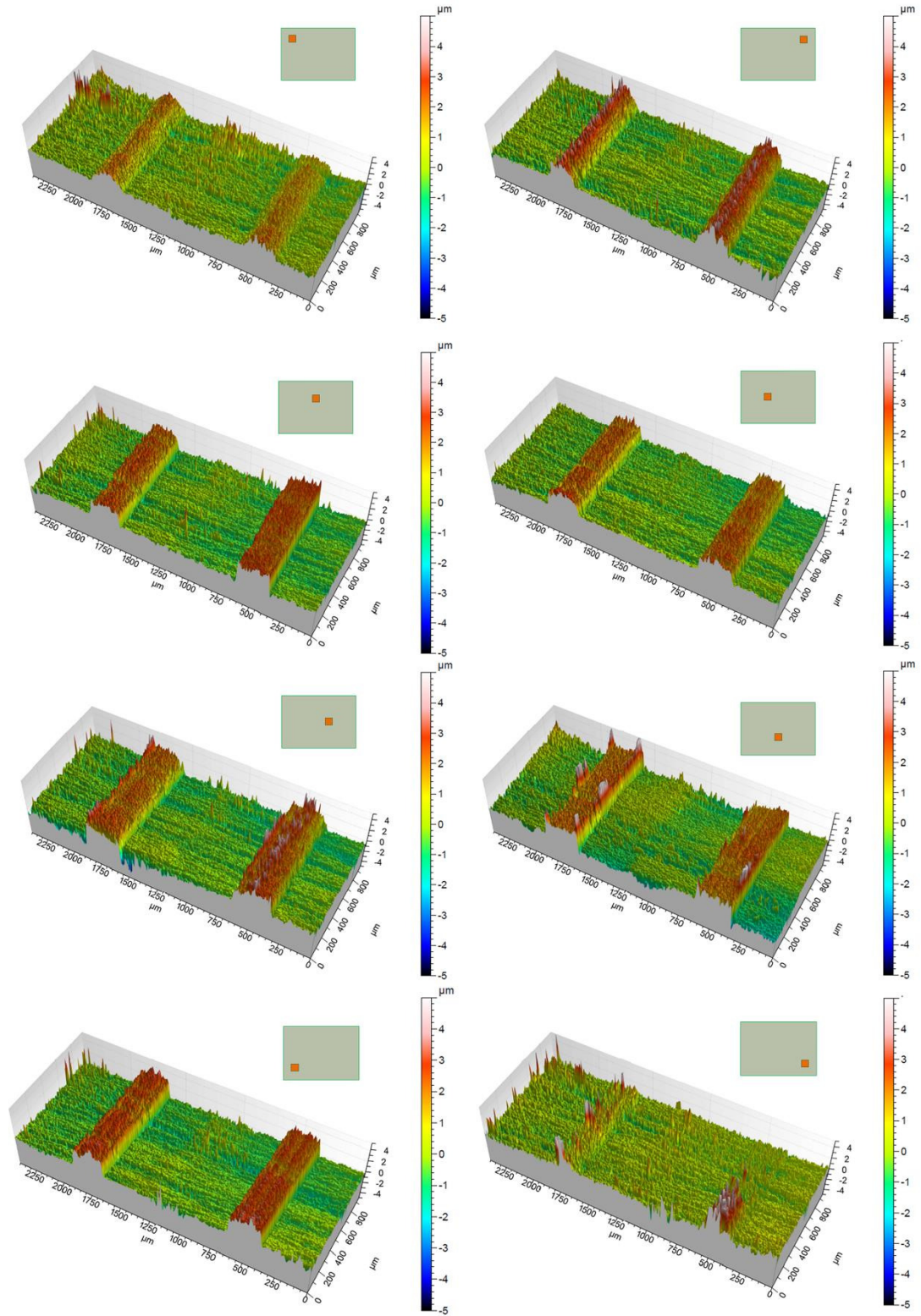




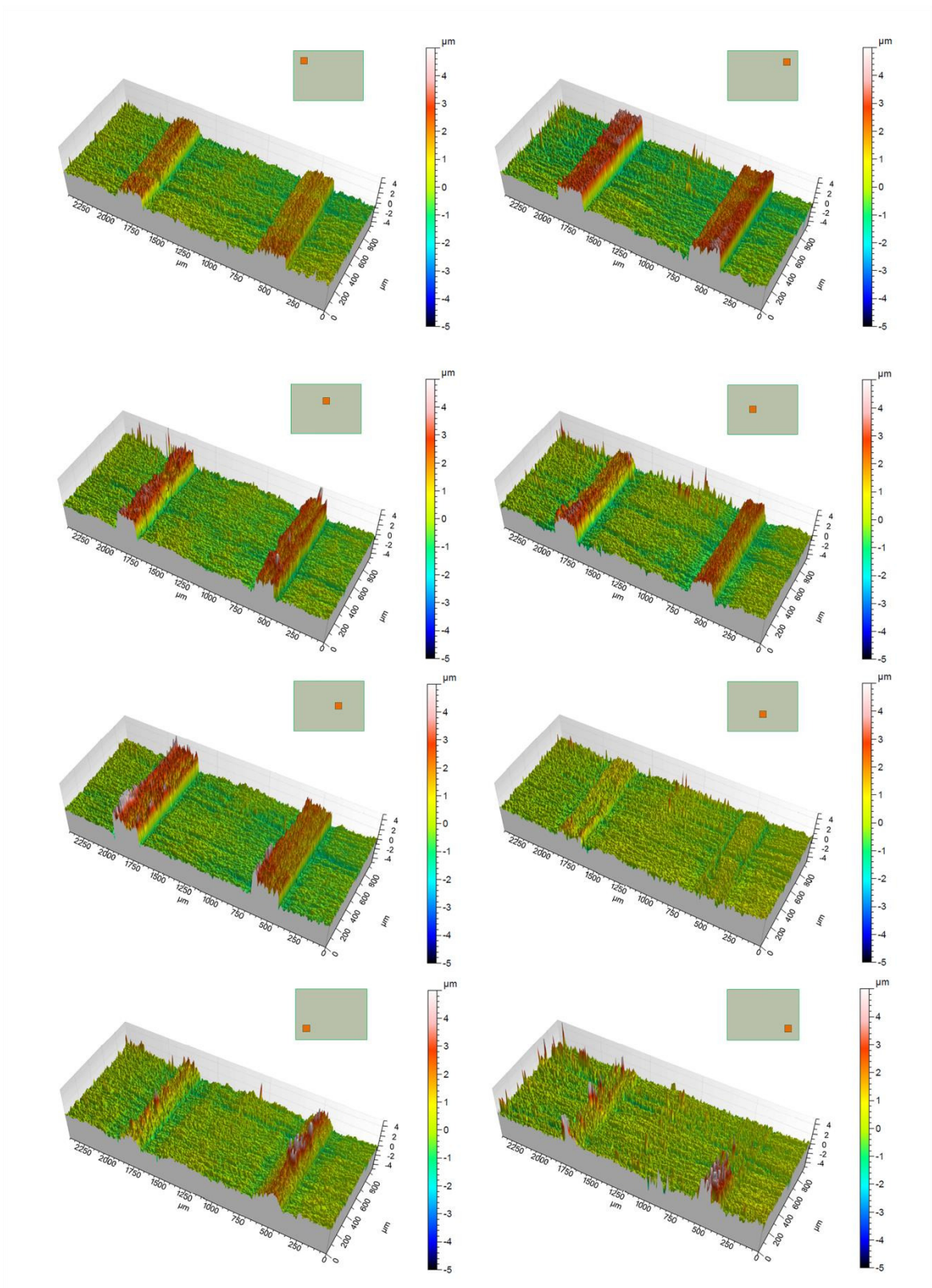
**Figure 3S:** (a) Scheme of the anodic holder with the photolithographed tool (left) and inter-electrode gap separation (right) for pattern electrodeposition with square; (b) Image of the photoresist tool; (c) optical images of the parallel lines of exposed copper surface with line widths around 210  $\mu\text{m}$  and a photoresist spacing of 1000  $\mu\text{m}$ .



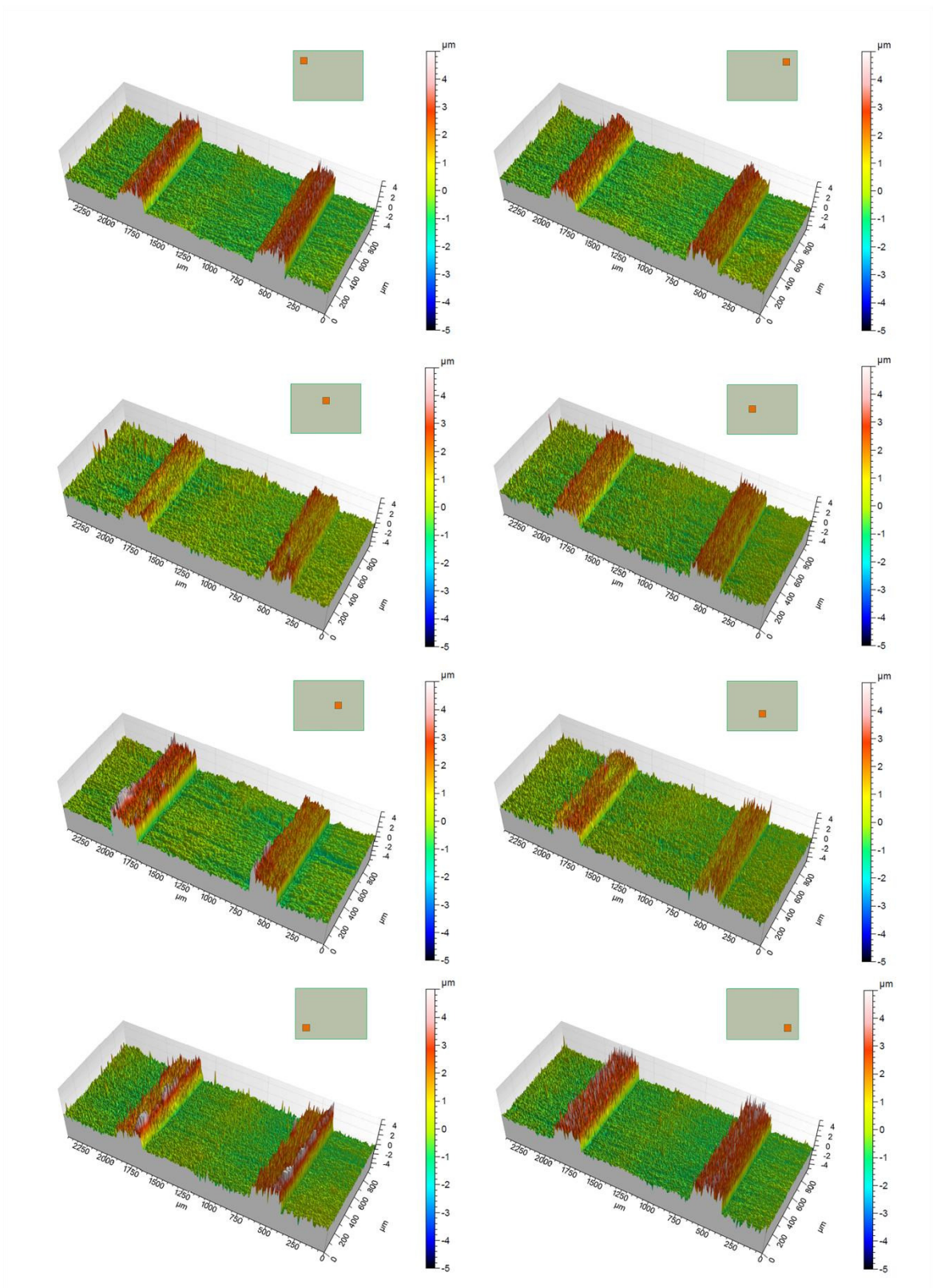
**Figure 4S:** Images and optical microscope micrographs of transferred pattern electrodeposited at  $20 \text{ mA} \cdot \text{cm}^{-2}$  under DC conditions at powers of (a) 5, (b) 10 and (c)  $30 \text{ W} \cdot \text{L}^{-1}$ ; (d) Optical micrographs of the polished anode before (left) and after (right) once use as a tool (Scale bar:  $50 \mu\text{m}$ ).



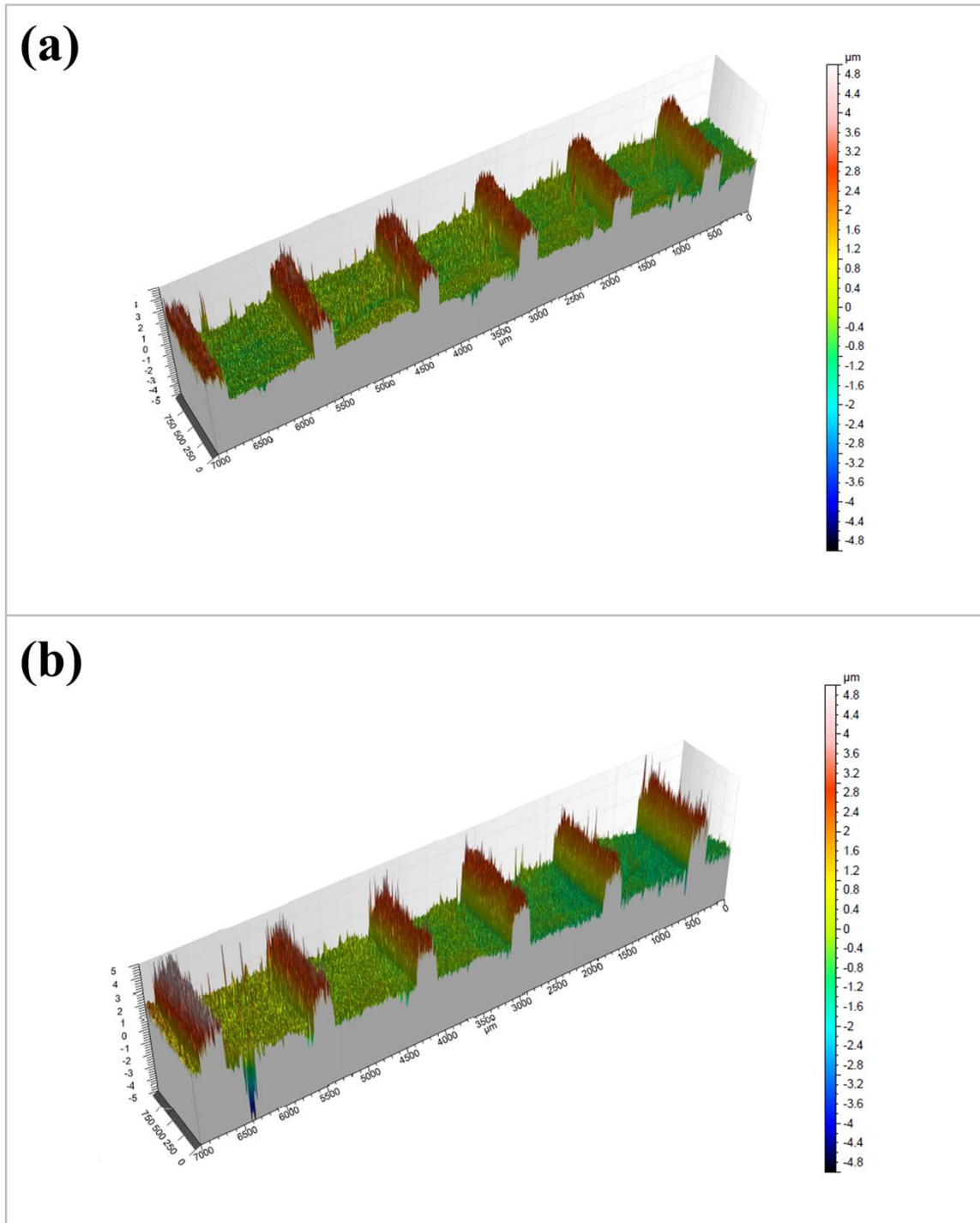
**Figure 5S:** 3D profile at various zones of linear electro-transferred patterns at  $20 \text{ mA}\cdot\text{cm}^{-2}$  under DC conditions at US power of  $5 \text{ W}\cdot\text{L}^{-1}$ .



**Figure 6S:** 3D profile at various zones of linear electro-transferred patterns at  $30 \text{ mA} \cdot \text{cm}^{-2}$  under DC conditions at US power of  $5 \text{ W} \cdot \text{L}^{-1}$ .



**Figure 7S:** 3D profile at various zones of linear electrodeposited patterns at  $20 \text{ mA}\cdot\text{cm}^{-2}$  under DC conditions at US power of  $5 \text{ W}\cdot\text{L}^{-1}$ .



**Figure 8S:** 3D profile at various linear patterns at  $20 \text{ mA} \cdot \text{cm}^{-2}$  under DC conditions at US power of  $5 \text{ W} \cdot \text{L}^{-1}$  using (a) EnFACE and (b) through-mask plating methodologies.

