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Autier, Philippe and Boniol, Mathieu and Smans, Michel and Sullivan, Richard and Boyle, Peter (2015) Statistical analyses in Swedish randomised trials on mammography screening and in other randomised trials on cancer screening : a systematic review. Journal of the Royal Society of Medicine, 108 (11). pp. 440-450. ISSN 0141-0768 , <http://dx.doi.org/10.1177/0141076815593403>

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10 **Statistical analyses in Swedish randomized trials on mammography screening**

11 **and a comparison with statistical analyses of in other randomized trials on cancer**

12 **screening: a systematic review**

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16 Short title: Revisiting Swedish mammography trials

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40 **Competing interests:** Authors have no conflict of interest to disclose.

41
42 **Funding:** This research received no specific grant from any funding agency in the public,

43
44 commercial, or not-for-profit sectors.

45
46 **Ethical approval:** Not needed

47
48 **Guarantor:** Philippe Autier.

49
50 Philippe Autier accepts full responsibility for the work and/or the conduct of the study, had access

51
52 to the data, and controlled the decision to publish.

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Contributorship: Philippe Autier (PA, Mathieu Boniol (MB), Michel Smans (MS), Richard Sullivan (RS), Peter Boyle (PB)

PA and MS undertook the literature search. PA, MB and MS were responsible for the figures and data extraction. PA was responsible for the study design and study coordination. The data analysis was done by PA and MB. All co-authors participated in the data interpretation.

~~Finally, PA wrote the first version of the article and~~ PA, RS and PB ~~wrote the the~~ article submitted to the journal.

Acknowledgements: No acknowledgements

Keywords: Breast cancer; screening; randomized trials; statistical analyses

Under Review

Abstract

Objectives: ~~Mammography screening seems not to play a major role in breast cancer mortality reductions observed in many populations. However the overview of Swedish trials of 2002 reported relative risks of 0.79 (95% CI: 0.70; 0.89) for the risk of breast cancer death associated with mammography screening.~~ We compared ~~investigated how~~ calculations of relative risks of cancer death ~~made~~ in Swedish mammography trials and compared to ~~calculation~~ in other cancer screening trials.

Setting: Randomized trials on cancer screening.

Design: For each trial, ~~Within the follow-up period of each trial,~~ we identified the intervention period, when screening was offered to screening groups and not to control groups, and the post-intervention period, when screening (or absence of screening) was the same in screening and control groups. We then examined which cancer deaths had been used for the computation of relative risk of cancer death.

Main outcome measures: Relative risk of cancer death.

Results: In 17 non-breast screening trials, deaths due to cancer diagnosed during the follow-up periods were used for relative risk calculations. In the 5 Swedish trials, relative risk calculations used deaths due to breast cancers found during intervention periods, but deaths due to breast cancer found at first screening of control groups were added to these groups. After re-allocation of the added breast cancer deaths to post-intervention periods of control groups, relative risks of 0.86 (0.76; 0.97) were obtained for cancers found during intervention periods and 0.83 (0.71; 0.97) for cancers found during post intervention periods, indicating constant reduction in the risk of breast cancer death during follow-up, irrespective of screening.

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7 **Conclusions:** The use of unconventional statistical methods in Swedish trials has led to over-
8 estimation of risk reduction in breast cancer death attributable to mammography screening.
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10 The constant risk reduction observed in screening groups was probably due to the trial
11 design that optimized awareness and medical management of women allocated to screening
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13 groups ~~compared to women allocated to control groups.~~
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Under Review

Introduction

Between 1977 and 1996, five randomized trials on mammography screening were conducted in Sweden, including women aged 40-74 at trial start. An overview of these trials published in 2002 reported that that 2 to 4 rounds of mammography screening could decrease breast cancer risk by 21 % (relative risk of 0.79; 95% CI: 0.70; 0.89)(1). This meta-analysis is considered the strongest evidence proving the efficacy of periodic mammography screening².

Mammography screening works through finding non-clinically detectable breast cancer before progression into advanced cancer with metastatic spread in lymph nodes and distant organs. Since reduction in cancer deaths due to reduction in the incidence of advanced cancer is not influenced by treatment efficacy, it was concluded from Swedish trials that decreases in the incidence of advanced breast cancer after screening introduction would provide the best indication that mammography screening reduces breast cancer mortality (2).

However, in communities where screening participation was high for more than ten years, only modest or no declines in the incidence of advanced breast cancer were observed (3-12).

This e-situation of breast cancer screening is in sharp contrast with that of colorectal and cervical cancer screening because in communities where screening for cervical and colorectal cancers is widespread, marked declines in the incidence of these types of cancers at an advanced stage have been observed, which indicates a substantial contribution of these screening modalities (13, 14) because . Randomized trials have shown that screening for the latter two cancers reduced the risk of advanced cancer and of cancer death^{16,17} in communities where screening for cervical and

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7 colorectal cancers is widespread, marked declines in the incidence of these types of cancers
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9 at an advanced stage have been observed, which indicates a substantial contribution of
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11 these screening modalities (13, 14).

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13 Breast screening trials were initiated at a time (1980's) when there was limited experience
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15 for designing, conducting and analyzing cancer screening trials (15). We therefore postulate
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17 that the contrasts between breast and cervical or colorectal cancers could be due to
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19 differences in the way randomized trials were conducted and analyzed. In this study, we re-
20
21 examine the mortality data used and the way risks of breast cancer death were computed in
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23 Swedish trials in the light of study design and statistical analyses performed in screening
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25 trials on cancers other than breast cancer.

26 27 **Designs of randomized trials for the evaluation of cancer screening tests**

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29 These trials are typically composed of two successive periods (Figure 1a): the intervention
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31 period that extends from randomization to termination of the last screening round in the
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33 screening group, and the post-intervention period that extends from the end of the last
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35 screening round in the screening group to the date of last check of vital status of subjects
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37 that were included in the trial. The follow-up period is the total of the intervention and the
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39 post-intervention periods. Depending on the number of screening rounds and follow-up
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41 extent, intervention and post-intervention periods may be of variable duration. Randomized
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43 trials evaluating cancer screening methods may consist of a single intervention of short
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45 duration including invitation to screening, the screening test itself and possible work up
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47 procedures in case of suspicious screening result. In other trials, the intervention period lasts
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49 for several years because the screening test is repeated every year or every two years. After
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51 the last screening round in the screening group, screening may be interrupted. Alternatively,
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screening may be pursued in the screening group and implemented in the control group, when for instance, decision is taken to launch a population screening program.

Relative risks of cancer death associated with screening are computed by dividing the cancer death rate in the screening group by the cancer death rate in the control group (Box). Cancer death rates can be calculated using deaths due to cancers found during the follow-up period as numerator (follow-up method), or using deaths due to cancers found during the intervention period as numerator (evaluation method). Denominators are the same in both methods. If in a trial, there is no post-intervention period, then the evaluation and follow-up periods coincides. During post-intervention periods, because screening (or absence of screening) activities are similar in the screening and in the control group, cancer detection rates in the two groups (i.e., D_{sp}/N_s and D_{cp}/N_c in Box) are also similar. In the follow-up method, growing numbers of deaths due to cancers found during steadily longer post-intervention periods will progressively narrow (or dilute) the difference in cancer death rates between the two groups. In this regard, reduction in the risk of cancer death calculated according to the follow-up method may be smaller than when calculated according to the evaluation method.

For instance, in the fecal-occult-blood-test (FOBT) trial in England, the relative risk of colorectal cancer death after 7.7 years of follow-up (6.7 years of intervention and 1 year of post-intervention) was 0.85 (95% CI: 0.74;0.94) and 0.91 (95% CI: 0.84;0.98) after 20 years of follow-up (6.7 years of intervention and 13.3 years of post-intervention)⁽¹⁶⁾~~For instance, in the fecal-occult-blood-test (FOBT) trial in England, the relative risk of colorectal cancer death after 7.7 years of follow-up (6.7 years of intervention and 1 year of post-intervention) was 0.85 (95% CI: 0.74;0.94)²⁰. After 20 years of follow-up (6.7 years of intervention and 13.3 years of post-intervention) the relative risk was 0.91 (95% CI: 0.84;0.98)¹⁹.~~

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7 **Cause of death assessment and statistical analysis in trials on screening for cancer other**
8 **than breast cancer**
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10 We retrieved publications on 17 cancer screening trials other than breast cancer in which
11 main trial results were presented (see eTable 1 in the Supplement). In 14 trials, cause of
12 death assessment was done by committees unaware of the screening status of subjects that
13 decided on likely causes of death using all available information. In all 17 trials, the relative
14 risk of cancer-specific death associated with screening was calculated using deaths due to
15 target cancers found during follow-up periods (follow-up method).
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23 **Cause of death assessment and statistical analysis in breast cancer screening trials**
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25 Committees for cause of death assessment independent of trial conduct and blinded as to
26 the screening status of deceased women were implemented in the HIP (17) and in the
27 Canadian trials (18-20)(Table 1)(1, 18, 21-23). The Two-County trial used causes of death
28 established by local endpoint committees or a Joint Review Committee, both of which
29 included trial investigators (24). Swedish trials included in the overview of 2002 and in the
30 Age trial used causes of death reported on death certificates (1, 23).
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37 ~~To avoid dilution of risk reductions caused by breast cancer deaths of cancers found after~~
38 ~~the intervention period, main results in all breast screening trials were based on the~~
39 ~~evaluation method. All B breast screening trials conducted in the USA, Canada and England~~
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43 calculated relative risks of breast cancer death associated with screening using deaths due to
44 breast cancers found during the intervention period of the screening and of the control
45 groups (Evaluation method)(Table 1). ~~In contrast, tHowever, the Swedish trials and their he~~
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48 overview ~~of Swedish trials~~ used a different selection of breast cancer deaths ~~s for control~~
49 ~~goups~~, as one sentence in the statistical section ~~of the 2002 overview~~ makes clear, "The
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evaluation [method] ignores breast cancer deaths among women whose breast cancer

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diagnosis was made after the first screening round of the control group was completed”(1).

This means that the breast cancer deaths in the control group that were used for calculating the relative risk included breast cancer deaths related to cancer cases found at first

screening of this group (R_{C1} in Figure 1b). ~~In the Two-County, Malmö and in the Stockholm~~

~~trials,~~ this first screening of the control group generally took place in years following the

last screening round in the screening group (25-27). ~~In the Goteborg trial, about half of first~~

~~screening was done at the time of the last screening round of the screening group, and~~

~~about half was done 3 to 8 months after the last screening round~~ (28). Hence, ~~most breast~~

~~cancers found at first screening of the control group were in fact part of the post-~~

~~intervention period, and~~ if screening of the control group had not taken place, these cancers

would have been diagnosed during the post-intervention period. ~~Thus,~~ this incorporation

approach was thus equivalent to transferring to the intervention period a number of cancers

and associated deaths that were part of the post-intervention period. It is important to note

that this approach was applied to the control group only. As a consequence, publications

reported more cancers per women in control groups than per women in screening groups

(28-30). Translating this incorporation approach in equations displayed in Box gives:

$RR_{EM/ST} = (D_{SI}/N_S)/[(D_{CI} + D_{RC1})/N_C]$, where $RR_{EM/ST}$ stands for the evaluation method specific

to Swedish trials. D_{RC1} are deaths due to breast cancers found at first screening of the control

group that pertain to the post-intervention period, (i.e., D_{CP} in Box) and not to the

intervention period, (i.e., D_{CI} in Box)

The Two-County and the Stockholm trials reported numbers and stage of cancers found at

first screening of control groups, showing that the incorporation approach resulted in adding

72 advanced (i.e., 20 mm size or more) cancers to the 434 advanced cancers diagnosed in

the control group during the intervention period of the Two-County trial(25) and 30

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7 advanced cancers (i.e., stage 2 or more) to the 173 advanced cancers diagnosed in the
8 control group during the intervention period of the Stockholm trial(31). Because of their high
9 fatality rate, these extra advanced cancers led to a substantial number of extra cancer
10 deaths i.e., D_{RC1} . Thus the greater the value of D_{RC1} , the smaller the value of $RR_{EM/ST}$ and thus
11 the greater the apparent reduction in the risk of breast cancer death associated with
12 mammography screening.
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18 **Alternative calculation of results of Swedish trials**

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20 We estimated a relative risk according to the evaluation method that would not incorporate
21 deaths due to cancers found at first screening of control groups, that is, we estimated D_{CI}
22 and D_{RC1} of the $RR_{EM/ST}$ equation. In Swedish trials, the ratio between breast cancer mortality
23 rates in the screening and control groups remained relatively equivalent after 10 to 12 years
24 of follow-up (1, 22). Furthermore, the Two-County trial reported that after 29 years of
25 follow-up, 10% of breast cancer deaths in the control group were associated with cancers
26 found during the first screening of control women (22). The 10% figure is plausible because
27 follow-up of the additional cancers was shorter than for cancers found during intervention
28 periods. We thus inferred that 10% represented a valid estimate of the proportion of extra
29 deaths added to intervention periods of control groups in the overview of 2002.
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41 ~~Table 2 displays the main results of the overview of 2002¹.~~

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43 The evaluation method specific to Swedish trials found a relative risk of 0.79 while the
44 follow-up method found a relative risk of 0.85, reflecting dilution of effect over time (Table
45 2). ~~Reduction of the risk of breast cancer death is smaller with the follow-up method~~
46 ~~because of the dilution by the addition of breast cancer deaths related to breast cancers~~
47 ~~found during the post-intervention period, when screening activities in both groups were~~
48 ~~identical.~~
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7 Trial-specific data on breast cancer deaths are displayed in Table 3.

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9 In the central column of ~~this~~ Table 3, we estimated breast cancer deaths linked to cases
10 found at first screening of control women by multiplying by 10% the number of breast
11 cancer deaths in control groups of the Östergötland, Goteborg, and Stockholm trials. ~~The~~
12 ~~10% hypothesis was probably excessive for the Malmö I trial because first screening of~~
13 ~~control group concerned women born in 1923-32, and not women born in 1908-22³⁶, i.e.,~~
14 ~~about 45% of the total number of control women included in the trial³⁷. We thus set the~~
15 estimate to 4.5% for Malmö I ~~because first screening of control group concerned about 45%~~
16 ~~of the total number of control women included in the trial(32). In Malmö II, we set estimates~~
17 ~~to 7.5% because the follow-up period lasted 9.1 years(1), i.e., about three-quarter of 12~~
18 ~~years. Therefore, we set estimates to 7.5% for Malmö II.~~ We obtained an estimate of 46
19 breast cancer deaths related to breast cancers found at first screening of control groups. In
20 the two right-hand columns, we re-allocated to post-intervention periods the 46 breast
21 cancer deaths associated with cases found during first screening of control groups.

22
23 We then re-worked results of the overview of 2002 (1) in Table 2 using numbers of breast
24 cancer deaths in control groups we estimated in Table 3. The relative risk of breast cancer
25 death over the follow-up period remained unchanged, but the relative risk of breast cancer
26 death for the evaluation method was 0.86 instead of 0.79. For breast cancers diagnosed
27 during the post-intervention period, the relative risk of breast cancer death dropped to 0.83.
28 Sensitivity analysis using 8 or 12% for re-working numbers of breast cancer deaths in control
29 groups of the Östergötland, Goteborg, and Stockholm trials did not change much the
30 corrected relative risk estimates (data not shown).

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32 So, proper allocation of breast cancer deaths to the intervention and post-intervention
33 periods led to an equalization of relative risks found for the intervention, post-intervention,
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7 and follow-up periods, with a risk of breast cancer death that remained about 15% lower in
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9 the screening group throughout the entire trial duration.

10 Discussion

11 Computations performed by the overview of Swedish mammography trials incorporated
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13 deaths of breast cancers found at first screening of the control group as if these cancers
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15 were part of intervention periods (1). The consequence of this incorporation approach was
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17 the overestimation of rates of breast cancer death in the control groups, which ended up in
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19 the overestimation of the protection conferred by mammography screening against breast
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21 cancer death. Other authors raised similar concerns, estimating that the evaluation method
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23 adopted by Swedish trials resulted in including in the control groups many cancers that
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25 would not have been found in the screening group, which biased results in favor of screening
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29 (33).

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31 Non-Swedish breast screening trials and trials on screening for cancer other than breast
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33 cancer never used the incorporation approach ~~and -In contrast, whenever possible, Swedish~~
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35 ~~trials had recourse to the incorporation approach. But~~ we found practically no
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37 methodological justification for this approach. ~~The second publication on Swedish trials~~
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39 ~~overview just provided an ethical justification~~³⁹. ~~The 2002 overview~~¹ ~~did not comment on~~
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41 ~~the incorporation approach.~~ The Goteborg trial investigators argued that there was a need
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43 to compensate for the extra number of cancer found by screening that are included for
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45 follow-up to death in the screening group (28, 34). However all extra screen-detected
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47 invasive cancers in screening groups were early cancers, i.e., tumors less than 20 mm
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49 diameter or stage 1 (25, 29, 31, 32). Hence, the conceivable need to compensate for screen
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51 detection of extra numbers of early cancer could not justify the transfer to intervention
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53 periods of substantial numbers of advanced cancers found at first screening of control
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7 groups. Substantial numbers of extra cancers were also found in screening groups of trials of
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9 prostate and lung cancer. However, none of these trials resorted to screening the control
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11 group after termination of the intervention and to transfer these cancers to the intervention
12
13 period. The compensation argument invoked by Swedish trial investigators (28, 34) is thus
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15 not tenable.

16
17 Our re-calculations of Swedish trial revealed that risks of breast cancer death were similar
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19 for cancers found during the intervention and the post-intervention periods, indicating that
20
21 reductions in the risk of breast cancer death also applied to cancer cases diagnosed when
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23 screening (or absence of screening) was the same in both screening and control groups. Such
24
25 result is compatible with an effect of being allocated to the screening or to the control group
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27 on the risk of breast cancer death (allocation effect), but not with an effect of
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29 mammography screening (screening effect) on that risk.

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31 Two reasons could explain a lower risk of breast cancer deaths independent of
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33 mammography screening. First, the HIP (21), Age (23) and all Swedish trials (1, 22, 28, 30, 32,
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35 35) that found decreased risk of breast cancer death associated with mammography
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37 screening adopted a "left-to-nature" design. Typically, parallel group randomized trials first
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39 recruit a group of eligible subjects that are informed on trial objectives, on potential health
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41 benefits and probable side effects. Subjects agreeing to participate must first sign an
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43 informed consent form after which they are randomized in an intervention or in a control
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45 group. In left-to-nature trials, only women invited to participate in breast screening knew
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47 they were part of a clinical trial. Women allocated to control groups were never contacted,
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49 did not sign an informed consent and were completely ignorant they were part of a trial.
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51 Health professionals knew or could detect which women were invited to screening but did
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53 not know which women were allocated to control groups. Imbalance between the two
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7 groups probably led to increased awareness and better information (e.g., on early breast
8 symptoms) and medical management of women in screening groups. Women invited to
9 screening had probably quicker access to specialized care than women in control groups.
10
11 The Two-County trial provides the best evidence for factors other than mammography
12 screening influencing breast cancer mortality. Besides mammography screening, the
13 intervention also encompassed enhancing breast cancer awareness, breast self-examination,
14 and rapid referral of women presenting at screening with breast symptoms, all factors that
15 would have, according to investigators, reduced patient delay and led to earlier detection of
16 interval cancers and their treatment (36). In addition, the Two-County trial randomized
17 women by geographical cluster, each cluster comprising about 2,700 women in Dalarna
18 (Kopparberg) county and about 3,200 women in Östergötland county (25, 37). This large
19 cluster randomization scheme is likely to have exacerbated differences between screening
20 and control groups with respect to information, awareness and medical management.
21
22 Finally, some data indicate different management of breast cancer patients according to
23 randomization group: the histological grade of cancers found during the Two-County trial
24 was unknown for 19% of patients in the control group vs. 10% in the screening group
25 ($p < 0.0001$)(25). Lymph node status was missing for 5.0% of patients in the screening group
26 and 7.3% of patients in the control group ($p = 0.0396$)(25).

27
28
29 It seems likely that Swedish mammography screening trials have departed from the "*ceteris*
30 *paribus*" principle by which an experiment evaluating the effect of one action must make
31 sure that all other things remain equal and will not interfere with study results.
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34 In contrast, the Canadian trials that found no reduction in the risk of breast cancer death
35 associated with mammography screening, adopted the typical parallel group randomized
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trial design. All enrolled women were volunteers who signed an informed consent form before randomization and received the same information and medical attention (18-20).

A second reason for the persistent lower risk of breast cancer death for cancers found in the intervention and post-intervention periods could be biased attribution of causes of death. Of the 8 major breast screening trials, only the HIP and the Canadian trial implemented endpoint committees unaware of the screening status of deceased women. In left-to-nature trials, health professionals completing death certificates of being part of local endpoint committees may have known or guessed which women have been invited to screening but had no idea regarding women allocated to control groups. To circumvent this problem, the overview of 2002 used death certificates for cause of death assessment because the overview of 1993 found that causes reported on certificates correlated well with causes established by an independent endpoint committee that had access to all medical and necropsy information(1, 38). However, in the 2002 overview, there were nearly twice as many breast cancer deaths for the Malmö, Östergötland, Stockholm and Goteborg trials than in the 1993 overview (39) and it is unknown up to which point the reliability of death certificates was maintained over time.

In conclusion, unconventional computation of the relative risk of breast cancer death impacted on the reported results of the Swedish trials on mammography screening. This led to an intrinsic bias in favor of screening. If calculations of relative risks had been carried out using similar methodological approaches to other cancer screening trials conducted in the more recent era, the Swedish trials would not have found a 20% reduction of breast cancer death due to mammography screening. This conclusion can be verified through a re-analysis of Swedish trial original data according to methods used in other cancer screening trials.

Supplementary materials:

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Declaration of conflicting interests

The Authors declare that there is no conflict of interest.

Funding

This research received no specific grant from any funding agency in the public, commercial, or not-for-profit sectors.

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CAPTIONS

Figure 1 – Design of randomized trials for the evaluation of cancer screening methods

(R : screening round). Intervention periods are the continuous lines and the post-intervention periods are the dashed lines. (a) Typical design; (b) design specific to Swedish trials on breast cancer screening.

Box – Computation of relative risk (RR) of cancer death in randomized trials on cancer screening

Table 1 - Data used for relative risks calculation in randomized trials on breast cancer screening

Table 2 - Breast cancer deaths in the Swedish trials included in the 2002 overview

Table 3 - Breast cancer deaths in Swedish mammography trials

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3 **Revised version**
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7 **Statistical analyses in Swedish randomized trials on mammography screening and in other**
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10 **randomized trials on cancer screening: a systematic review**
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12 Short title: Revisiting Swedish mammography trials
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37
38 **Competing interests:** Authors have no conflict of interest to disclose.
39

40 **Funding:** This research was funded by the International Prevention Research Institute (iPRI),
41
42 Lyon, France. The research did not receive specific grant from any funding agency in the
43
44 public, commercial, or not-for-profit sectors.
45
46

47 **Ethical approval:** Not needed because of the use of data extracted from published articles.
48

49 **Guarantor:** Philippe Autier.
50

51
52 Philippe Autier accepts full responsibility for the work and/or the conduct of the study, had access
53
54 to the data, and controlled the decision to publish.
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3 **Contributorship:** Philippe Autier (PA), Mathieu Boniol (MB), Michel Smans (MS), Richard
4
5 Sullivan (RS), Peter Boyle (PB)
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7
8 PA was responsible for the study design and study coordination. PA and MS undertook the
9
10 literature search. PA, MB and MS were responsible for the figures and data extraction. The
11
12 data analysis was done by PA and MB. All co-authors participated in the data interpretation.
13
14
15 PA wrote the first version of the article and PA, RS and PB finalized the article submitted to
16
17 the journal.
18

19 **Acknowledgements:** No acknowledgements
20

21 **Keywords:** Breast cancer; screening; randomized trials; statistical analyses
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24 **Supplementary materials:** Etable - Data used for relative risks calculation in randomized
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26 trials on cancer screening other than breast cancer screening
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Abstract

Objectives: We compared calculations of relative risks of cancer death in Swedish mammography trials and in other cancer screening trials.

Setting: Randomized trials on cancer screening.

Design: For each trial, we identified the intervention period, when screening was offered to screening groups and not to control groups, and the post-intervention period, when screening (or absence of screening) was the same in screening and control groups. We then examined which cancer deaths had been used for the computation of relative risk of cancer death.

Main outcome measures: Relative risk of cancer death.

Results: In 17 non-breast screening trials, deaths due to cancers diagnosed during the follow-up periods were used for relative risk calculations. In the 5 Swedish trials, relative risk calculations used deaths due to breast cancers found during intervention periods, but deaths due to breast cancer found at first screening of control groups were added to these groups. After re-allocation of the added breast cancer deaths to post-intervention periods of control groups, relative risks of 0.86 (0.76; 0.97) were obtained for cancers found during intervention periods and 0.83 (0.71; 0.97) for cancers found during post intervention periods, indicating constant reduction in the risk of breast cancer death during follow-up, irrespective of screening.

Conclusions: The use of unconventional statistical methods in Swedish trials has led to over-estimation of risk reduction in breast cancer death attributable to mammography screening. The constant risk reduction observed in screening groups was probably due to the trial

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3 design that optimized awareness and medical management of women allocated to screening
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5 groups.
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Under Review

Introduction

Between 1977 and 1996, five randomized trials on mammography screening were conducted in Sweden. An overview of these trials published in 2002 reported that that 2 to 4 rounds of mammography screening could decrease breast cancer risk by 21 % (1).

Mammography screening works through finding non-clinically detectable breast cancer before progression into advanced cancer with metastatic spread in lymph nodes and distant organs. Since reduction in cancer deaths due to reduction in the incidence of advanced cancer is not influenced by treatment efficacy, it was concluded from Swedish trials that decreases in the incidence of advanced breast cancer after screening introduction would provide the best indication that mammography screening reduces breast cancer mortality (2).

However, in communities where screening participation was high for more than ten years, only modest or no declines in the incidence of advanced breast cancer were observed (3-5).

This situation is in sharp contrast with that of colorectal and cervical cancer screening, because in communities where screening for cervical and colorectal cancers is widespread, marked declines in the incidence of these types of cancers at an advanced stage have been observed, which indicates a substantial contribution of these screening modalities (6, 7).

Breast screening trials were initiated at a time when there was limited experience for designing, conducting and analyzing cancer screening trials. We therefore postulate that the contrasts between breast and cervical or colorectal cancers could be due to differences in the way randomized trials were conducted and analyzed. In this study, we re-examine the mortality data used and the way risks of breast cancer death were computed in Swedish trials in the light of study design and statistical analyses performed in screening trials on cancers other than breast cancer.

Designs of randomized trials for the evaluation of cancer screening tests

These trials are typically composed of two successive periods (Figure 1a): the intervention period that extends from randomization to termination of the last screening round in the screening group, and the post-intervention period that extends from the end of the last screening round in the screening group to the date of last check of vital status of subjects that were included in the trial. The follow-up period is the total of the intervention and the post-intervention periods. Depending on the number of screening rounds and follow-up extent, intervention and post-intervention periods may be of variable duration. Randomized trials evaluating cancer screening methods may consist of a single intervention of short duration including invitation to screening, the screening test itself and possible work up procedures in case of suspicious screening result. In other trials, the intervention period lasts for several years because the screening test is repeated every year or every two years. After the last screening round in the screening group, screening may be interrupted. Alternatively, screening may be pursued in the screening group and implemented in the control group, when for instance, decision is taken to launch a population screening program.

Relative risks of cancer death associated with screening are computed by dividing the cancer death rate in the screening group by the cancer death rate in the control group (Box). Cancer death rates can be calculated using deaths due to cancers found during the follow-up period as numerator (follow-up method), or using deaths due to cancers found during the intervention period as numerator (evaluation method). Denominators are the same in both methods. If in a trial, there is no post-intervention period, then the evaluation and follow-up periods coincides. During post-intervention periods, because screening (or absence of screening) activities are similar in the screening and in the control group, cancer detection rates in the two groups (i.e., D_{sp}/N_s and D_{cp}/N_c in Box) are also similar. In the follow-up

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3 method, growing numbers of deaths due to cancers found during steadily longer post-
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5 intervention periods will progressively narrow (or dilute) the difference in cancer death rates
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7 between the two groups. In this regard, reduction in the risk of cancer death calculated
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9 according to the follow-up method may be smaller than when calculated according to the
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11 evaluation method. For instance, in the fecal-occult-blood-test (FOBT) trial in England, the
12
13 relative risk of colorectal cancer death after 7.7 years of follow-up (6.7 years of intervention
14
15 and 1 year of post-intervention) was 0.85 (95% CI: 0.74;0.94) and 0.91 (95% CI: 0.84;0.98)
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17 after 20 years of follow-up (6.7 years of intervention and 13.3 years of post-intervention)(8).
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22 **Cause of death assessment and statistical analysis in trials on screening for cancer other**
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24 **than breast cancer**

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26 We retrieved publications on 17 cancer screening trials other than breast cancer in which
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28 main trial results were presented (see eTable in the Supplement). In 14 trials, cause of death
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30 assessment was done by committees unaware of the screening status of subjects that
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32 decided on likely causes of death using all available information. In all 17 trials, the relative
33
34 risk of cancer-specific death associated with screening was calculated using deaths due to
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36 target cancers found during follow-up periods (follow-up method).
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41 **Cause of death assessment and statistical analysis in breast cancer screening trials**

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43 Committees for cause of death assessment independent of trial conduct and blinded as to
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45 the screening status of deceased women were implemented in the HIP (9) and in the
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47 Canadian trials (10)(Table 1). The Two-County trial used causes of death established by local
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49 endpoint committees or a Joint Review Committee, both of which included trial investigators
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51 (11). Swedish trials included in the overview of 2002 and in the Age trial used causes of
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53 death reported on death certificates (1, 12).
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3 All breast screening trials calculated relative risks of breast cancer death associated with
4 screening using deaths due to breast cancers found during the intervention period of the
5 screening and of the control groups (Evaluation method)(Table 1). However, the Swedish
6 trials and their overview used a different selection of breast cancer deaths for control
7 groups, as one sentence in the statistical section of the 2002 overview makes clear, “The
8 evaluation [method] ignores breast cancer deaths among women whose breast cancer
9 diagnosis was made after the first screening round of the control group was completed”(1).
10 This means that the breast cancer deaths in the control group that were used for calculating
11 the relative risk included breast cancer deaths related to cancer cases found at first
12 screening of this group (R_{C1} in Figure 1b). This first screening of the control group generally
13 took place in years following the last screening round in the screening group (13-16). Hence,
14 if screening of the control group had not taken place, these cancers would have been
15 diagnosed during the post-intervention period. This incorporation approach was thus
16 equivalent to transferring to the intervention period a number of cancers and associated
17 deaths that were part of the post-intervention period. It is important to note that this
18 approach was applied to the control group only. As a consequence, publications reported
19 more cancers per women in control groups than per women in screening groups (16-18).
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43 Translating this incorporation approach in equations displayed in Box gives:

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45 $RR_{EM/ST} = (D_{SI}/N_S)/[(D_{CI} + D_{RC1})/N_C]$, where $RR_{EM/ST}$ stands for the evaluation method specific
46 to Swedish trials. D_{RC1} are deaths due to breast cancers found at first screening of the control
47 group that pertain to the post-intervention period, (i.e., D_{CP} in Box) and not to the
48 intervention period, (i.e., D_{CI} in Box)
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55 The Two-County and the Stockholm trials reported numbers and stage of cancers found at
56 first screening of control groups, showing that the incorporation approach resulted in adding
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3 72 advanced (i.e., 20 mm size or more) cancers to the 434 advanced cancers diagnosed in
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5 the control group during the intervention period of the Two-County trial (13) and 30
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7 advanced cancers (i.e., stage 2 or more) to the 173 advanced cancers diagnosed in the
8
9 control group during the intervention period of the Stockholm trial (19). Because of their
10
11 high fatality rate, these extra advanced cancers led to a substantial number of extra cancer
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13 deaths i.e., D_{RC1} . Thus the greater the value of D_{RC1} , the smaller the value of $RR_{EM/ST}$ and thus
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15 the greater the apparent reduction in the risk of breast cancer death associated with
16
17 mammography screening.
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20 21 22 **Alternative calculation of results of Swedish trials**

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24 We estimated a relative risk according to the evaluation method that would not incorporate
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26 deaths due to cancers found at first screening of control groups, that is, we estimated D_{CI}
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28 and D_{RC1} of the $RR_{EM/ST}$ equation. In Swedish trials, the ratio between breast cancer mortality
29
30 rates in the screening and control groups remained relatively equivalent after 10 to 12 years
31
32 of follow-up (1, 20). Furthermore, the Two-County trial reported that after 29 years of
33
34 follow-up, 10% of breast cancer deaths in the control group were associated with cancers
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36 found during the first screening of control women (20). The 10% figure is plausible because
37
38 follow-up of the additional cancers was shorter than for cancers found during intervention
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40 periods. We thus inferred that 10% represented a valid estimate of the proportion of extra
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42 deaths added to intervention periods of control groups in the overview of 2002.
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47 The evaluation method specific to Swedish trials found a relative risk of 0.79 while the
48
49 follow-up method found a relative risk of 0.85, reflecting dilution of effect over time (Table
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55 In the central column of Table 3, we estimated breast cancer deaths linked to cases found at
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57 first screening of control women by multiplying by 10% the number of breast cancer deaths
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3 in control groups of the Östergötland, Goteborg, and Stockholm trials. We set the estimate
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5 to 4.5% for Malmö I because first screening of control group concerned about 45% of the
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7 total number of control women included in the trial (21). In Malmö II, we set estimates to
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9 7.5% because the follow-up period lasted 9.1 years (1) We obtained an estimate of 46 breast
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11 cancer deaths related to breast cancers found at first screening of control groups. In the two
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13 right-hand columns, we re-allocated to post-intervention periods the 46 breast cancer
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15 deaths associated with cases found during first screening of control groups.
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19 We then re-worked results of the overview of 2002 (22) in Table 2 using numbers of breast
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21 cancer deaths in control groups we estimated in Table 3. The relative risk of breast cancer
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23 death over the follow-up period remained unchanged, but the relative risk of breast cancer
24
25 death for the evaluation method was 0.86 instead of 0.79. For breast cancers diagnosed
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27 during the post-intervention period, the relative risk of breast cancer death dropped to 0.83.
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29 Sensitivity analysis using 8 or 12% for re-working numbers of breast cancer deaths in control
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31 groups of the Östergötland, Goteborg, and Stockholm trials did not change much the
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33 corrected relative risk estimates (data not shown).
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38 So, proper allocation of breast cancer deaths to the intervention and post-intervention
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40 periods led to an equalization of relative risks found for the intervention, post-intervention,
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42 and follow-up periods, with a risk of breast cancer death that remained about 15% lower in
43
44 the screening group throughout the entire trial duration.
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47 **Discussion**

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49 Computations performed by the overview of Swedish mammography trials incorporated
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51 deaths of breast cancers found at first screening of the control group as if these cancers
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53 were part of intervention periods (1). The consequence of this incorporation approach was
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55 the overestimation of rates of breast cancer death in the control groups, which ended up in
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3 the overestimation of the protection conferred by mammography screening against breast
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5 cancer death. Other authors raised similar concerns, estimating that the evaluation method
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7 adopted by Swedish trials resulted in including in the control groups many cancers that
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9 would not have been found in the screening group, which biased results in favor of screening
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11 (23).

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14 Non-Swedish breast screening trials and trials on screening for cancer other than breast
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16 cancer never used the incorporation approach and we found practically no methodological
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18 justification for this approach. The Goteborg trial investigators argued that there was a need
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20 to compensate for the extra number of cancer found by screening that are included for
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22 follow-up to death in the screening group (16, 24). However all extra screen-detected
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24 invasive cancers in screening groups were early cancers, i.e., tumors less than 20 mm
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26 diameter or stage 1 (13, 17, 19, 25). Hence, the conceivable need to compensate for screen
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28 detection of extra numbers of early cancer could not justify the transfer to intervention
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30 periods of substantial numbers of advanced cancers found at first screening of control
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32 groups. Substantial numbers of extra cancers were also found in screening groups of trials of
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34 prostate and lung cancer. However, none of these trials resorted to screening the control
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36 group after termination of the intervention and to transfer these cancers to the intervention
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38 period. The compensation argument invoked by Swedish trial investigators (16, 24) is thus
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40 not tenable.
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45 Our re-calculations of Swedish trial revealed that risks of breast cancer death were similar
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47 for cancers found during the intervention and the post-intervention periods, indicating that
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49 reductions in the risk of breast cancer death also applied to cancer cases diagnosed when
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51 screening (or absence of screening) was the same in both screening and control groups. Such
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53 result is compatible with an effect of being allocated to the screening or to the control group
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3 on the risk of breast cancer death (allocation effect), but not with an effect of
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5 mammography screening (screening effect) on that risk.
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8 Two reasons could explain a lower risk of breast cancer deaths independent of
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10 mammography screening. First, the HIP (26), Age (12) and all Swedish trials (1, 16, 18, 20, 25,
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12 27) that found decreased risk of breast cancer death associated with mammography
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14 screening adopted a “left-to-nature” design. Typically, parallel group randomized trials first
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16 recruit a group of eligible subjects that are informed on trial objectives, on potential health
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18 benefits and probable side effects. Subjects agreeing to participate must first sign an
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20 informed consent form after which they are randomized in an intervention or in a control
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22 group. In left-to-nature trials, only women invited to participate in breast screening knew
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24 they were part of a clinical trial. Women allocated to control groups were never contacted,
25
26 did not sign an informed consent and were completely ignorant they were part of a trial.
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28 Health professionals knew or could detect which women were invited to screening but did
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30 not know which women were allocated to control groups. Imbalance between the two
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32 groups probably led to increased awareness and better information (e.g., on early breast
33
34 symptoms) and medical management of women in screening groups. Women invited to
35
36 screening had probably quicker access to specialized care than women in control groups.
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38 The Two-County trial provides the best evidence that factors other than mammography
39
40 screening influenced breast cancer mortality. Besides mammography screening, the
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42 intervention also encompassed enhancing breast cancer awareness, breast self-examination,
43
44 and rapid referral of women presenting at screening with breast symptoms, all factors that
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46 would have, according to investigators, reduced patient delay and led to earlier detection of
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48 interval cancers and their treatment (28). In addition, the Two-County trial randomized
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50 women by geographical cluster, each cluster comprising about 2,700 women in Dalarna
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3 (Kopparberg) county and about 3,200 women in Östergötland county (13). This large cluster
4
5 randomization scheme is likely to have exacerbated differences between screening and
6
7 control groups with respect to information, awareness and medical management. Finally,
8
9 some data indicate different management of breast cancer patients according to
10
11 randomization group: the histological grade of cancers found during the Two-County trial
12
13 was unknown for 19% of patients in the control group vs. 10% in the screening group
14
15 ($p < 0.0001$)(13). Lymph node status was missing for 5.0% of patients in the screening group
16
17 and 7.3% of patients in the control group ($p = 0.0396$)(13).

18
19 It seems likely that Swedish trials have departed from the "*ceteris paribus*" principle by
20
21 which an experiment evaluating the effect of one action must make sure that all other things
22
23 remain equal and will not interfere with study results.
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26
27 In contrast, the Canadian trials that found no reduction in the risk of breast cancer death
28
29 associated with mammography screening, adopted the typical parallel group randomized
30
31 trial design. All enrolled women were volunteers who signed an informed consent form
32
33 before randomization and received the same information and medical attention (10).
34
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36
37 A second reason for the persistent lower risk of breast cancer death for cancers found in the
38
39 intervention and post-intervention periods could be biased attribution of causes of death. Of
40
41 the 8 major breast screening trials, only the HIP and the Canadian trial implemented
42
43 endpoint committees unaware of the screening status of deceased women. In left-to-nature
44
45 trials, health professionals completing death certificates of being part of local endpoint
46
47 committees may have known or guessed which women have been invited to screening but
48
49 had no idea regarding women allocated to control groups. To circumvent this problem, the
50
51 overview of 2002 used death certificates for cause of death assessment because the
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53 overview of 1993 found that causes reported on certificates correlated well with causes
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3 established by an independent endpoint committee that had access to all medical and
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5 necropsy information (1). However, in the 2002 overview, there were nearly twice as many
6
7 breast cancer deaths for the Malmö, Östergötland, Stockholm and Goteborg trials than in
8
9 the 1993 overview (29) and it is unknown up to which point the reliability of death
10
11 certificates was maintained over time.
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15 In conclusion, unconventional computation of the relative risk of breast cancer death
16
17 impacted on the reported results of the Swedish trials on mammography screening. This led
18
19 to an intrinsic bias in favor of screening. If calculations of relative risks had been carried out
20
21 using similar methodological approaches to other cancer screening trials conducted in the
22
23 more recent era, the Swedish trials would not have found a 20% reduction of breast cancer
24
25 death due to mammography screening. This conclusion can be verified through a re-analysis
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27 of Swedish trial original data according to methods used in other cancer screening trials.
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CAPTIONS**Figure 1 – Design of randomized trials for the evaluation of cancer screening methods**

(R : screening round). Intervention periods are the continuous lines and the post-intervention periods are the dashed lines. (a) Typical design; (b) design specific to Swedish trials on breast cancer screening.

Box – Computation of relative risk (RR) of cancer death in randomized trials on cancer screening**Table 1 - Data used for relative risks calculation in randomized trials on breast cancer screening****Table 2 - Breast cancer deaths in the Swedish trials included in the 2002 overview****Table 3 - Breast cancer deaths in Swedish mammography trials**

Table 1 - Data used for relative risks calculation in randomized trials on breast cancer screening

Trial No.	First author, year of publication*	Country, Study acronym	Screening method (as compared to the control group)	Follow-up period (years)		Cause of death assessment	Cancer-specific deaths used for calculation of the main relative risk associated with screening	RR	95% CI
				Intervention period	Post-intervention period				
1	Shapiro et al., 1997 ²⁵	USA, Greater New-York Health Insurance Plan (HIP)	MMS+BCE every 12 months, 4 rounds	5	13	0	Cancer-specific deaths of cancers found during the intervention period	0.77	NR
2	Tabar et al., 2011 ²⁶	Sweden, Two-County trial †	MMS, 2 to 4 rounds	7	22	Local committee	Cancer-specific deaths of cancers found during the intervention period plus, for the control group, incorporation of cancer-specific deaths of cancers found at first screening of this group	0.69	0.56;0.85
	id.	id.	id.	id.	id.	Joint review committee ‡	Cancer-specific deaths of cancers found during the intervention period plus, for the control group, incorporation of cancer-specific deaths of cancers found at first screening of this group	0.73	0.59;0.89
2	Nyström et al., 2002 # ¹	Sweden, Ostergotland §	MMS, 2 to 4 rounds	7.7	9.7	Death certificates	Cancer-specific deaths of cancers found during the intervention period plus, for the control group, incorporation of cancer-specific deaths of cancers found at first screening of this group	0.90	0.73;1.11
3	Nyström et al., 2002 ¹	Sweden, Malmö I	MMS every 18-24 months, 6 to 8 rounds	15	5	Death certificates	Cancer-specific deaths of cancers found during the intervention period plus, for the control group, incorporation of cancer-specific deaths of cancers found at first screening of this group	0.82	0.67;1.00

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Trial No.	First author, year of publication*	Country, Study acronym	Screening method (as compared to the control group)	Follow-up period (years)		Cause of death assessment	Cancer-specific deaths used for calculation of the main relative risk associated with screening	RR	95% CI
				Intervention period	Post-intervention period				
4	Nyström et al., 2002 ¹	Sweden, Malmö II	MMS every 18-24 months, 1 to 7 rounds	5.8	3.3	Death certificates	Cancer-specific deaths of cancers found during the intervention period plus, for the control group, incorporation of cancer-specific deaths of cancers found at first screening of this group	0.64	0.39;1.06
5	Nyström et al., 2002 ¹	Sweden, Stockholm	MMS every 24-28 months, 2 rounds	4.4	10.5	Death certificates	Cancer-specific deaths of cancers found during the intervention period plus, for the control group, incorporation of cancer-specific deaths of cancers found at first screening of this group	0.91	0.65;1.27
6	Nyström et al., 2002 ¹	Sweden, Göteborg	MMS, 3 to 5 rounds	7 (women 39-49) and 5 (women 50-59)	7 (women 39-49) and 9 (women 50-59)	Death certificates	Cancer-specific deaths of cancers found during the intervention period plus, for the control group, incorporation of cancer-specific deaths of cancers found at first screening of this group	0.76	0.56;1.04
7	Miller et al., 2014 ²²	Canada, NBSS I and II	MMS every year, 4 to 5 rounds	5	20	Committee unaware of screening status	Cancer-specific deaths of cancers found during the intervention period	1.05	0.85;1.30
8	Moss et al., 2006 ²⁷	England, Age trial	MMS every 12 months, 4 to 6 rounds	5	6	Death certificates	Cancer-specific deaths of cancers found during the intervention period	0.83	0.66;1.04

BC: breast cancer; BCE: breast physical examination; CG: control group; IG: intervention group; MMS: mammography screening; RR: relative risk. NBSS: National Breast Screening Study; TCT: Two-County trial (Dalarna [formerly Kopparberg] and Ostergötland counties).
 * The most recent publication reporting on main trial results is displayed in the Table.
 † This trial was done in the counties of Dalarna (formerly Kopparberg) and Ostergötland.
 ‡ The Joint Review Committee included Two-County trial investigators (Holmberg et al., 2009²⁸) and has to be distinguished from the Independent Endpoint Committee set up by Swedish trial overviews (Nyström et al., 1993, 1995^{44,39})
 § The Ostergötland county trial was part of the Two-County trial, but results specific to the Ostergötland trial were published in Nyström et al., 2002.¹

Table 2 - Breast cancer deaths in the Swedish trials included in the 2002 overview *

Group	No. women 40-74 included in trials †	Person-years of follow-up (thousand) ‡	No. BC deaths related to:			RR (95% CI) of BC death for BCs detected:		
			BC found during the intervention period	BC found during the post-intervention period	BC found during the follow-up period	During the intervention period (evaluation model) §	During the post-intervention period §	During the follow-up period (follow-up model) §
As reported in the overview								
Screening	129750	1865	511	284	795	0.79	0.98	0.85
Control	117260	1688	584	263	847	(0.70; 0.89)	(0.83; 1.15)	(0.77; 0.94)
After re-allocation to the post-intervention period of 10% breast cancer deaths found at first screening of the control group #								
Screening	129750	1865	511	284	795	0.86	0.83	0.85
Control	117260	1688	538	309	847	(0.76; 0.97)	(0.71; 0.97)	(0.77; 0.94)

BC: breast cancer; PY: person-year; RR: relative risk.

* Nyström et al., 2002¹; trials included in the overview are listed in Table 3.

† Data from table 2 of Nyström et al., 2002¹

‡ Data from table 4 of Nyström et al., 2002¹

§ RR computed using No. of women 40-74 as denominator

See Table 3 for computation of BC deaths in the control group.

Table 3 - Breast cancer deaths in Swedish mammography trials

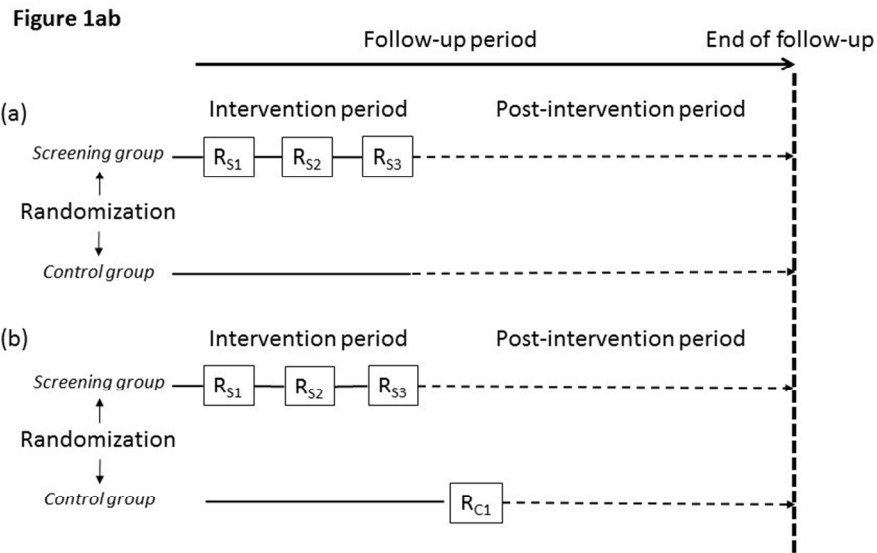
Breast cancer deaths of:	Screening group*	Control group*	BC deaths of BCs found at first screening of the control group (10% hypothesis†)	Re-allocation of BC deaths found at first screening of the control group	Corrected numbers of BC deaths in control groups
BC found during intervention periods					
Malmö I	161	198	9	198 - 9 =	189
Malmö II	29	33	2	33 - 2 =	31
Ostergötland	177	190	19	190 - 19 =	171
Stockholm	82	50	5	50 - 5 =	45
Göteborg	62	113	11	113 - 11 =	102
All five trials	511	584	46	584 - 46 =	538
BC found during post-intervention periods‡	284	263		263 + 46 =	309
BC found during follow-up periods	795	847			847

BC: breast cancer

* From table 4 of Nystrom et al., 2002¹

† For Malmö I, the hypothesis was 4.5% and for Malmö II, the hypothesis was 7.5%.

‡ Numbers of BCs in each trial during the post-intervention period were not provided.



Design of randomized trials for the evaluation of cancer screening methods (R : screening round). Intervention periods are the continuous lines and the post-intervention periods are the dashed lines. (a) Typical design; (b) design specific to Swedish trials on breast cancer screening.
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8 Computation of relative risk (RR) of cancer death in randomized trials on cancer
9 screening

10 For the screening group: D_{Sj} is the number of cancer deaths related to cancers found during the
11 intervention period, D_{Sp} is the number of cancer deaths related to cancers found during the post-
12 intervention period, and N_s is the number of subjects included in the screening group.

13 For the control group: D_{Cj} is the number of cancer deaths related to cancers found during the intervention
14 period, D_{Cp} is the number of cancer deaths related to cancers found during the post-intervention period,
15 and N_c is the number of subjects included in the control group.

16 Computations of RR of cancer death are:

17 Evaluation method: $RR_{EM} = (D_{Sj}/N_s)/(D_{Cj}/N_c)$

18 Follow-up method: $RR_{FUM} = [(D_{Sj}+D_{Sp})/N_s]/[(D_{Cj}+D_{Cp})/N_c]$

19 Note: Numbers of person-years of follow-up (i.e., years spent by each subject in the trial) may be used
20 instead of numbers of subjects. The two types of denominators provide similar results because in trials,
21 duration of follow-up of subjects in screening and in control groups is the same.
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26 Computation of relative risk (RR) of cancer death in randomized trials on cancer screening
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3 **Supplementary materials to:**

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5 **Statistical analyses in Swedish randomized trials on mammography screening and in other**
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7 **randomized trials on cancer screening: a systematic review**

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10 Running title: Revisiting Swedish mammography trials

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13 Philippe Autier* ^{1,2}; Mathieu Boniol ^{1,2}; Michel Smans ²; Richard Sullivan ³; Peter Boyle ^{1,2}
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Etable 1 - Data used for relative risks calculation in randomized trials on cancer screening other than breast cancer screening

Trial No.	First author, year of publication <small>reference</small>	Country, study acronym (if provided)	Target cancer	Screening method (as compared to the control group)	Follow-up period		Cause of death assessment	Cancer-specific deaths used for calculation of the main relative risk associated with screening
					Intervention period	Post-intervention period (years)		
1	Shaukat et al., 2013 (1)	USA, MCCCS	Colorectum	FOBT (rehydrated) every year or every two years	13 years	0 to 17	Committee unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
2	Scholefield et al., 2012 (2)	England	Colorectum	FOBT every two years	6.7 years	1 to 12.8	One to 3 investigators unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
3	Jorgensen et al., 2002 (3)	Denmark	Colorectum	FOBT every two years	10 to 13 years	0	Committee unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
4	Atkin et al., 2010 (4)	England	Colorectum	Once only flexible sigmoidoscopy	Few weeks	11.2 (median)	(i) Death certificates and (ii) cause of death assessed by independent coder unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
5	Segnan et al., 2011 (5)	Italy	Colorectum	Once only flexible sigmoidoscopy	Few weeks	11.4 (median)	Committee unaware of	Cancer-specific deaths of cancers found during

Trial No.	First author, year of publication reference	Country, study acronym (if provided)	Target cancer	Screening method (as compared to the control group)	Follow-up period		Cause of death assessment	Cancer-specific deaths used for calculation of the main relative risk associated with screening
					Intervention period	Post-intervention period (years)		
							screening status	the follow-up period
6	Schoen et al., 2012 (6)	USA, PLCO Cancer Screening Trial	Colorectum	Flexible sigmoidoscopy, two rounds	3 or 5 years	6.9 or 8.9	Committee unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
7	Thiis-Evensen et al., 2013 (7)	Norway, TPS	Colorectum	One round of flexible sigmoidoscopy at year 1 and one round of colonoscopy or simoidoscopy in the intervention and in the control group at year 14	14 years	12	Death certificates	Cancer-specific deaths of cancers found during the follow-up period
8	Holme et al., 2014 (8)	Norway	Colorectum	Once only flexible sigmoidoscopy with or without iFOBT	Few weeks	11.2 (median)	Death certificates	Cancer-specific deaths of cancers found during the follow-up period
9	Sankaranarayanan et al., 2007 (9)	India	Cervix	Once only visual inspection	Few weeks	7	Cancer registry staff unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
10	Sankaranarayanan et al., 2009 (10)	India	Cervix	Once only visual inspection or once only cytology, or once only HPV detection	Few weeks	8	Cancer registry staff unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period

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Trial No.	First author, year of publication reference	Country, study acronym (if provided)	Target cancer	Screening method (as compared to the control group)	Follow-up period		Cause of death assessment	Cancer-specific deaths used for calculation of the main relative risk associated with screening
					Intervention period	Post-intervention period (years)		
11	Andriole et al., 2009 (11)	USA, PLCO Cancer Screening Trial	Prostate	Digital rectal examination and serum PSA level every year	6	1 to 4	Committee unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
12	Schröder et al., 2014 (12)	Europe, ERSPC	Prostate	Serum PSA level every 4 years	8.8 to 13 years (average)	0	Causes of death were evaluated in a blinded fashion and according to a standard algorithm	Cancer-specific deaths of cancers found during the follow-up period
13	Oken et al., 2011 (13)	USA, PLCO Cancer Screening Trial	Lung	Chest X-ray every year	3 years	10	Committee unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
14	Pastorino et al., 2012 (14)	Italy	Lung	Low-dose CT-Scan every, annual or biennial	5 years	0	Vital status of participants was traced blindly, without knowing the random allocation	Cancer-specific deaths of cancers found during the follow-up period
15	Church et al., 2013 (15)	USA, NLST	Lung	Low-dose CT-Scan every year	2 years	4.5 (median);	Committee unaware of	Cancer-specific deaths of cancers found during

Trial No.	First author, year of publication reference	Country, study acronym (if provided)	Target cancer	Screening method (as compared to the control group)	Follow-up period		Cause of death assessment	Cancer-specific deaths used for calculation of the main relative risk associated with screening
					Intervention period	Post-intervention period (years)		
						7.4 (maximum)	screening status	the follow-up period
16	Sankaranarayanan et al., 2013 (16)	India, Kerala	Mouth	Three and 4 rounds of triennial visual inspection of the mouth	10	0 to 5	3 doctors unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period
17	Buys et al., 2011 (17)	USA, PLCO Cancer Screening Trial	Ovary	Annual serum CA 125 for 6 years and annual TVU for 4 years.	6	6.4 (median)	Committee unaware of screening status	Cancer-specific deaths of cancers found during the follow-up period

FOBT: fecal occult blood test based on guaiac reaction; iFOBT: immunological fecal occult blood test; RR: relative risk; TVU: transvaginal ultrasonography.

ERSPC: European Randomized Study of Screening for Prostate Cancer; MCCCS: Minnesota Colon Cancer Control Study; NLST: National Lung Screening Trial ; PLCO: Prostate, Lung, Colorectal and Ovarian; TPS: Telemark Polyp Study.

*The most recent publication reporting on main trial results is displayed in the Table.

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