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1 Title: Development and psychometric testing of an instrument to measure safety climate  
2 perceptions in community pharmacy

3

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5

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17 Running Title: Safety climate questionnaire for community pharmacy

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24 Abstract

25

26 *Rationale*

27 A positive and strong safety culture underpins effective learning from patient safety incidents in  
28 health care, including the community pharmacy (CP) setting. To build this culture, perceptions of  
29 safety climate must be measured with context-specific and reliable instruments. No pre-existing  
30 instruments were specifically designed or suitable for CP within Scotland. We therefore aimed to  
31 develop a psychometrically sound instrument to measure perceptions of safety climate within  
32 Scottish CPs.

33

34 *Method*

35 The first stage, development of a preliminary instrument, comprised three steps: (i) a literature  
36 review; (ii) focus group feedback; and (iii) content validation. The second stage, psychometric  
37 testing, consisted of three further steps: (iv) a pilot survey; (v) a survey of all CP staff within a single  
38 health board in NHS Scotland; and (vi) application of statistical methods, including principal  
39 components analysis and calculation of Cronbach reliability coefficients, to derive the final  
40 instrument.

41

42 *Results*

43 The preliminary questionnaire was developed through a process of literature review and feedback.  
44 This questionnaire was completed by staff in 50 CPs from the 131 (38%) sampled. 250 completed  
45 questionnaires were suitable for analysis. Psychometric evaluation resulted in a 30-item instrument  
46 with five positively correlated safety climate factors: Leadership, Teamwork, Safety Systems,  
47 Communication and Working Conditions. Reliability coefficients were satisfactory for the safety  
48 climate factors ( $\alpha > 0.7$ ) and overall ( $\alpha = 0.93$ ).

49

50 *Conclusion*

51 The robust nature of the technical design and testing process has resulted in the development of an  
52 instrument with sufficient psychometric properties which can be implemented in the community  
53 pharmacy setting in NHS Scotland.

54

55

56

57

58 Introduction

59

60 It is now widely accepted that a significant minority of patients suffer unintentional harm during  
61 their interactions with healthcare [1, 2]. While there are many possible reasons for this unacceptable  
62 state of affairs, investigations of high-profile patient safety incidents (PSIs), such as that undertaken  
63 recently in the Mid Staffordshire hospitals in the United Kingdom (UK), have identified a lack of a  
64 strong, positive safety culture within organisations as one of the most important [3].

65

66 Safety culture is important because it is thought to shape the discretionary and safety-related  
67 behaviours of health care workers and determines whether they are able to learn lessons and make  
68 meaningful improvements in care systems to minimise recurrence of PSIs [4]. A positive safety  
69 culture is characterised by effective communication and trust between management and other staff  
70 groups; a shared understanding of the importance of safety; supportive leadership; and not  
71 automatically blaming and punishing individual health care professionals and staff in response to a  
72 PSI [5, 6]. A common definition of safety culture is simply ‘the way things are done around here’.  
73 Safety climate, on the other hand, provides ‘a snapshot’ of culture by examining its measurable  
74 aspects [7]. In practice, the terms culture and climate are often used interchangeably.

75

76 Initial efforts to measure and improve safety culture focused mainly on secondary care settings.  
77 However, approximately 90% of patient care in the UK is delivered in primary care with its own  
78 specific safety threats and recognized challenges to improvement [8]. It is therefore desirable to  
79 develop and validate specific instruments suitable for these settings and which reflects the health  
80 care workforce, service tasks performed as well as the workplace purpose, context and design. In  
81 response, instruments such as the Manchester Patient Safety Assessment Framework (MaPSaF)  
82 [6] and SafeQuest [9] were developed and validated to facilitate teams to collectively and consciously  
83 reflect on their workplace safety cultures and direct patient safety-related learning needs.

84

85 There is growing interest in measuring safety culture in diverse primary care settings. In justifying  
86 *why* this is desirable for CP in the UK, we can outline at least three specific reasons. The first reason  
87 is based around knowledge of patient safety. While the incidence of PSIs originating in community  
88 pharmacy is currently unknown, there is evidence to suggest errors with potential for serious patient  
89 harm occur, and not infrequently [10]. For example, dispensing error rates of 1.7% and 3.8% have  
90 been detected in recent CP studies in the UK and USA [11, 12] and it has been estimated that there  
91 are approximately four dispensing errors and 22 near misses for every 10 000 dispensed items in the

92 UK [13]. While incident reporting systems have been introduced recently for use within community  
93 pharmacies in the UK [14, 15], early findings suggest staff were unlikely to report adverse medication  
94 incidents because of their lack of trust in the anonymity of the system, while there was also a  
95 perceived 'blame' culture [16].

96

97 The second reason is related to the composition of available instruments. Typically these vary in  
98 numbers of questionnaire items; description of safety climate terms, constructs and factors; and the  
99 degree to which their findings can be generalized across different health care professions,  
100 geographical settings, workplace contexts and systems of care [7]. As a result, the direct  
101 transferability of existing surveys questionnaires and methods for CP to a Scottish setting is  
102 questionable.

103

104 The third reason is the evolving nature and responsibilities of CP within the Scottish context. CPs are  
105 independently contracted by the NHS to deliver four important health care services: (i) A Minor  
106 Ailment Service providing advice, treatment and referral of unselected patients; (ii) Acute  
107 Medication Service, e.g. dispensing 'one-off' prescriptions; (iii) Chronic Medication Service, including  
108 the management of long- term conditions; and (iv) Public Health Services. In addition, CPs are  
109 increasingly acquiring additional prescribing responsibilities with the expectation of delivering more  
110 and more complex patient care. The complex workload and responsibilities are forecast to only  
111 increase in the future as patients are advised or choose to access pharmacies as a first point of  
112 contact in preference to traditional ports of call. These services are typically delivered by  
113 multidisciplinary teams located in small, independently owned pharmacies (independents) or in  
114 increasingly complex and large chains of pharmacies (multiple). All are factors that are highly likely  
115 to impact on the quality and safety of patient care and the prevailing culture within and between  
116 these types of business service organisations.

117

118 The nascent patient safety agenda in CP, its service-delivery model of multidisciplinary teams  
119 comprising pharmacists, pharmacy technicians and pharmacy support staff, and geographical and  
120 professional contexts affords a complex environment in which to examine safety climate. We  
121 therefore aimed to develop, validate and test a survey instrument with adequate psychometric  
122 properties to measure perceptions of safety climate amongst CP team members in Scotland.

123

124 Method

125 *Underlying theoretical considerations*

126 Instrument development was guided by a small number of related theories (notably high reliability  
127 theory, attribution theory and the models described by Zohar and Gershon) that suggest that  
128 organisations and teams can make significant contributions towards minimising the risk of incidents  
129 and accidents by assessing and reflecting on safety climate perceptions. These also describe an inter-  
130 linked association between safety climate perceptions, individual safety behaviours and workplace  
131 safety outcomes [17].

132

### 133 *Study design*

134 Our two-stage study design was informed by Flin et al's recommendations for the development of a  
135 psychometrically sound safety climate metric [18] and the method previously used by de Wet et al  
136 [9]. The two stages, development of a preliminary instrument and psychometric testing to derive a  
137 final instrument, comprise six consecutive steps described as follows:

138

#### 139 **Stage I: Development of a preliminary instrument**

##### 140 *Step 1: Literature review to generate questionnaire items*

141

142 A literature review was undertaken of the Medline and EMBASE databases for the period 1996 –  
143 2012 using the following search terms: safety climate, acute care, primary care, community  
144 pharmacy, safety assessment. In addition, health care quality organisations websites and  
145 professional/regulatory pharmacy organisation websites were reviewed. Many of the questionnaire  
146 items were derived from two safety climate instruments judged to be of relevance to the CP setting,  
147 but which were considered as being limited for the Scottish CP context: SafeQuest[9](which was  
148 developed for use within General Practice) and the Pharmacy Safety Climate Questionnaire (PSCQ-4;  
149 developed within the English CP system and validated in five European countries' pharmacies) [19].  
150 In addition, the literature suggested the importance of work pressure and regular scheduled breaks  
151 to safety climate[20]. The relevant findings were discussed by the project steering team, comprising  
152 MB, AW, PB and DM, in order to generate the preliminary questionnaire items.

153

##### 154 *Step 2: Content validation*

155

156 In order to maximise recruitment, a convenience sample of pharmacists and staff engaged in  
157 medicine processes was identified by the project steering team and through existing CP employee  
158 education networks across Scotland. Participants were recruited from two community pharmacies, a  
159 training event for technicians and a pre-existing community pharmacists' group. Forty-two members

160 of staff were approached. The returned feedback form included a content validity index (CVI) for the  
161 questionnaire items, where questionnaire items were rated from 1 to 4 for relevance and clarity  
162 (where 1=not relevant/clear and 4 = very relevant/clear), and written feedback on the content of the  
163 introduction (which included the questionnaire’s 7-item rating scale identical to the one used in the  
164 original SafeQuest survey[9]) and demographic sections of the questionnaire. Instructions detailing  
165 how to complete the CVI and a worked-through example were included with the feedback form.  
166 Participants were asked to rate each item for clarity and the relevance of it to their day-to-day work.

167

168 [Insert Table 1 near here]

169

170 A modified Delphi technique was used whereby the generated questionnaire items, previously  
171 refined through the CVI and focus groups undertaken by the CP employees, were presented for  
172 review by experts. Although differing from a traditional Delphi process, which would generate the  
173 initial questionnaire items, this is a common modification[21]. A group (n=21) of ‘experts’ in the  
174 fields of pharmacy, organisational psychology, human factors, and safety science were identified  
175 from the literature and existing professional networks within the UK. These included (among others)  
176 academics, senior pharmacists within Scotland, and a human factors consultant. Items were retained  
177 if sufficient experts scored a 3/4 for relevance to establish content validity beyond 80% agreement  
178 [22]. Based on the first round of feedback received, the questionnaire was revised and re-circulated  
179 to the experts for further review and feedback.

180

### 181 *Step 3: Feedback from pharmacy staff groups*

182

183 Twenty-one pharmacy workers who returned the CVI took part in four focus groups, with between 4  
184 and 6 participants in each group. Three of the focus groups were held on community pharmacy  
185 premises and one was held in a hired venue used for continuing education for technicians. All focus  
186 groups were conducted by DM. The purpose of the focus groups was to record any suggested  
187 changes or points for clarification that were not captured by the CVI responses. The participants  
188 discussed the acceptability, relevance and phrasing of the potential questionnaire items; the key  
189 points raised were recorded in field notes taken during the session and later collated by DM and  
190 presented back to the project team. In light of the feedback, the project team refined the  
191 questionnaire items, the introductory section and demographic information requested of potential  
192 participants.

193

194 **Stage II. Psychometric testing to derive a final instrument**

195 *Step 4. Pre-test pilot*

196

197 The preliminary instrument was piloted with multiple members of staff from a single CP (outwith the  
198 Board used for the final survey) to establish the approximate time required to complete the  
199 questionnaire and to check the feasibility of the data collection methods. This ensured that the  
200 guidelines provided were understandable and resulted in no change to the survey or supporting  
201 documents.

202

203 *Step 5: Survey of CP staff*

204

205 Setting and sample

206 In order to obtain a heterogeneous sample of employees from different work settings but who  
207 shared the same local practice frameworks and regulations within which the pharmacies ran, all  
208 community pharmacies (n=131) from a single NHS Scotland health board were invited to participate  
209 in the survey. The sample therefore included multiples and independents, and rural and urban  
210 pharmacies. The minimum sample size of 195 respondents was calculated on a subjects-to-variables  
211 ratio of 5. In other words, the 39 preliminary questionnaire items multiplied by five[23]. Adequacy of  
212 the sample size was measured by calculating the Kaiser-Meyer-Olkin (KMO) coefficient. This  
213 coefficient ranges from 0 to 1 and values  $\geq 0.6$  are considered sufficient to allow factor analysis [24].

214

215 Data collection

216 CPs were invited by the health board's Pharmacy and Medicine's Directorate to participate in the  
217 study via email, which included a study information sheet giving background information about the  
218 study, to each pharmacy's manager/owner . All pharmacies were then sent a pack of 10  
219 questionnaires, 10 small envelopes, a large pre-paid envelope for return to NHS Scotland and an  
220 information sheet detailing how they should proceed. Respondents were instructed to rate the  
221 questionnaire items according to how well each statement applies to or describes the community  
222 pharmacy in which they work on a 7-item scale, from 1 (not at all) to 7 (to a very great extent).  
223 Questionnaires were completed anonymously by individual members of staff and sealed in the small  
224 envelopes and then collated for the pharmacy premises as a whole, and returned to NHS Education  
225 for Scotland in large prepaid envelopes. All members of staff engaged in medicines processes  
226 (including pharmacists, pharmacy technicians, dispensers, counter staff, van drivers) were eligible to  
227 return the questionnaire. Reminder emails were sent at 3 and 7 week intervals, with a phone-call to



228 non-returning pharmacies at week 5. Some pharmacies requested further copies of the  
229 questionnaire, which were duly sent. A further follow up phone call to these pharmacies was made  
230 at the time of the second reminder email. Returned questionnaires were excluded from the final  
231 sample if: more than 3 items were unanswered, or all responses were given as '1' or '7'.

232

### 233 *Step 6: Application of statistical methods*

234 Data were coded and entered into a Microsoft Excel spread sheet by two coders. The response  
235 scales of negatively phrased items were reversed for consistency, so that for all responses "1"  
236 implied a negative response and "7" a positive response. To check the accuracy of coding, a sub-  
237 sample of returned questionnaires (10%, n=26, 1222 data points) were re-entered by a third coder.  
238 Three errors were found to have been made by the original coders and these were altered in the  
239 main data set. The accuracy rate was calculated as 99.75% and the project steering group's  
240 pragmatic decision was that this was acceptable. Data were imported and analysed in SPSS v17.0. All  
241 items were considered to have equal weighting and anonymity meant that non-respondents could  
242 not be identified or accounted for by weighting.

243

244 Principal Components Analysis (PCA) was used to reduce data dimensionality and as a measure of  
245 construct validity. The original factors were extracted using PCA with a promax rotation (because of  
246 the assumption that questionnaire items are correlated) and Kaiser normalization. Factor loadings  
247  $\leq 0.4$  are considered weak and are not reported to aid interpretation of the results section. The final  
248 number of retained safety climate factors was determined in three ways: (i) a visual inspection of the  
249 Scree plot to identify, as per convention, the number of factors to the left of the 'elbow' of the  
250 curve; (ii) the minimum Eigenvalue, e.g. the percentage of variance that a given factor accounts for,  
251 of retained factors were greater than 1.0 [24] and; (iii) to be retained a factor had to have at least  
252 four questionnaire items 'loading' to it. Items were deleted in a step-wise manner if their omission  
253 improved validity and reliability until only the minimum number of items that still represented the  
254 data with consistent results remained.

255

256 Cronbach's alpha ( $\alpha$ ) coefficient was used as a measure of the instrument's internal reliability and  
257 we considered  $\geq 0.7$  adequate. Finally, Pearson's product-moment correlation coefficients were  
258 calculated as a measure of the degree of linear correlation (dependence) between extracted factors.  
259 The value of coefficients vary from  $-1$  through  $0$  to  $+1$ , indicating a perfect negative, no linear  
260 correlation or perfect positive correlation between factors.

261

262 Results

263

264 **Stage 1: Development of a preliminary instrument**

265 Initially, 58 potential questionnaire items were developed by the project steering team following the  
266 literature review. Of the 42 pharmacy workers approached, 26 returned a feedback form but only  
267 23(54.8%, see Table 1 for sample details) were suitable for inclusion in the database. In light of CVI  
268 scores for relevance, and if the items were agreed to be repetitive (due to the two, pre-existing tools  
269 being merged), the project steering team refined the questionnaire through discussion. Items which  
270 rated poorly for clarity were altered to read more clearly. Ultimately this resulted in 40 items being  
271 retained; slight modifications were made to the introduction and demographic sections. For the  
272 modified Delphi, 18 of the 21 experts approached returned the form but CVI scale was not  
273 completed. Seventeen experts therefore provided the CVI for the items and suggested changes  
274 regarding wording and overall content. The three experts who did not return the CVI supplied  
275 feedback outwith the form. Items were retained if at least 14/17 experts scored a 3/4 for relevance  
276 to establish content validity beyond 80% agreement[22]. The CVI results indicated that one item was  
277 rated as either a 3 or 4 by only 12 experts and this item was therefore excluded. This process  
278 resulted in the generation of a 39-item questionnaire grouped into five safety climate factors:  
279 Leadership; Communication; Teamwork; Safety Systems and Learning; and Working Conditions

280 **Stage 2: Psychometric testing to derive a final instrument**

281 The pilot identified that the time required to complete the form was approximately 10 to 12 minutes  
282 and the format of the questionnaire was acceptable. In total, 131 CPs were approached for inclusion  
283 in the study. A total of 256 questionnaires were returned. Six questionnaires were subsequently  
284 excluded due to the aforementioned exclusion criteria. The final sample therefore comprised 250  
285 questionnaires, with <1% of missing data. Of these, 4 questionnaire's origin sites could not be  
286 identified but the remaining questionnaires came from 50 sites out of the 131 sampled (38%). CP  
287 teams returned between 1 and 9 questionnaires. The characteristics of the respondents are  
288 summarized in Table 2. The KMO coefficient was 0.912.

289 [Insert Table 2 near here]

290

291 *Factor analysis, reliability and item reduction*

292 Visual inspection of the Scree plot (Figure 1) and application of our criteria resulted in five safety  
293 climate factors being retained - Leadership; Teamwork; Safety Systems and Learning;  
294 Communication; and Working Conditions. Safety Systems and Learning was renamed as 'Safety

295 Systems' at this point as this better reflected the retained items. All five factors have eigenvalues  
296 greater than 1.3. Of the original 39 items, 30 items were retained. Items were deleted because they  
297 did not load strongly (factor loading <0.4) onto a single factor (6 items). One factor, 'safety systems  
298 and learning', had 10 items loading to it, so three of these with the lowest factor loadings were  
299 deleted without decreasing the instrument's reliability or significantly affecting the instrument's  
300 structure. The factor loadings of the retained items are shown in Table 3. The final instrument's  
301 overall Cronbach  $\alpha$  was 0.93 and the five safety climate factors were >0.7, suggesting good internal  
302 reliability.

303 [Insert Figure 1 near here]

304 [Insert Table 3 near here]

305

306 The five factors are positively correlated (Table 4), with the 'working conditions' and 'teamwork'  
307 factors the least correlated (0.15) and 'leadership' and 'teamwork' factors the most highly correlated  
308 (0.54). The factors' correlations account for between 2.34% and 29.16% of the observed variance in  
309 the data and suggest that the factors assess different, albeit related, dimensions of patient safety.

310 [Insert Table 4 near here]

311

## 312 Discussion

313 We developed, validated and tested a safety climate assessment questionnaire for use in community  
314 pharmacies in NHS Scotland, henceforth referred to as the SafeQuest-CP. The final instrument  
315 comprised 30 items grouped into five factors: Leadership; Teamwork; Safety Systems;  
316 Communication; and Working Conditions. It has adequate psychometric properties with acceptable  
317 reliability and a robust factor structure, with all the retained items loading to one factor only.

318

319 Our questionnaire's structure is comparable to SafeQuest's, although the questionnaires' items are  
320 tailored to the pharmacy setting. One of the main differences is the factor "working conditions"  
321 rather than SafeQuest's "workload", as this better reflected the items. This suggests that the same  
322 areas are important both in community pharmacies and general practice within Scotland when  
323 assessing safety climate in primary care but that language is important for participants. This  
324 emphasises the importance of the context within which safety culture evolves when seeking to  
325 generalise from one area of primary care to another.

326

327 The factors retained in SafeQuest-CP reflect aspects of the four measures within the PSCQ-4 and  
328 their related six dimensions from the original PSCQ [19, 25], from which the PSCQ-4 is derived,

329 although the items and structure differ. The comparison does not reveal a perfect match between  
330 factors, nor would it be expected to due to the perceived importance of context and hierarchical  
331 effects [5, 7, 26]. The PSCQ was developed in England using community pharmacists only, while the  
332 factorial testing for the PSCQ-4 was conducted in five European countries' CPs. CP is not  
333 homogeneous internationally and, therefore, it may be that the same safety climate areas are  
334 relevant between countries (and health care areas) but how these factors interplay within a cultural  
335 context differs, resulting in differing factorial structures.

336

337 The correlation matrix indicated that the factors were inter-related to varying degrees, which is  
338 comparable with other questionnaires' development findings [19, 25]. The strongest relationships  
339 were between leadership, team work and communication. Although the direction of causality  
340 cannot be inferred without further empirical research, intuitively these relationships are logical  
341 when assessing safety climate; for example, good leadership would be related to positive team  
342 working, of which an essential part might be effective, two-way communication. Within a CP  
343 environment, leadership may be particularly important due to variations in staffing strategies  
344 between multiple and independent pharmacies. Speculatively, it may be that the use of locums and  
345 transient staff is a specific area of importance within CPs leading to a less positive safety climate  
346 than in more stable staff group.

347

348 *Strengths and limitations.*

349

350 Effective assessment of safety climate is dependent on the methods used to develop a safety climate  
351 questionnaire. These should be robust and include consultation with the target audience and  
352 adequate psychometric evaluation [9, 18, 27, 28]. While the original items were based on the  
353 literature review and developed, these were refined through an iterative process of questionnaire-  
354 based feedback and focus groups. The participants involved in this process were reflective of the  
355 general area of CP and safety climate research in general comprising both recognised experts and a  
356 broad range of staff who worked with medicines. The items included in the piloted questionnaire  
357 therefore had a high degree of face validity prior to the statistical testing.

358

359 The technique used here followed Flin at al's suggested 'best practice' development method[18],  
360 while achieving minimum test numbers. Additionally, a range of pharmacies were sampled which  
361 varied in size from small, independent pharmacies to members of a large chain, with just under a  
362 quarter of our respondents from large chains. This resulted in a heterogeneous sample of employees

363 from different work settings but, by recruiting from a single health board, the local practice  
364 frameworks and regulations within which the pharmacies ran were kept constant.

365

366 Reliability and validity could be further examined through additional psychometric testing such as  
367 test-retest for reliability or convergent/discriminative or predictive validity. Ideally, confirmatory  
368 factor analysis could be carried out to test the proposed factor solution. Additionally, further work  
369 examining how SafeQuest and SafeQuest-CP correlate to each other would be beneficial for  
370 example.

371

372 A questionnaire method is ideal when conducting large scale studies as they are more economical  
373 than qualitative studies – both in time and money. However, questionnaires have limitations. They  
374 provide a snapshot at a single point of time. Additionally, the answers given are influenced by self-  
375 presentation effects and may serve a function (e.g. expressing discontentment with a working  
376 situation through giving low ratings). These qualifications do not imply that questionnaires are not  
377 useful, merely that they may not give a “true” depiction of the safety climate. That is to say,  
378 answering in a particular way may consistently predict behaviour – for example a general disregard  
379 for patient safety – rather than reflect the veracity of the item’s rating.

380

381 Finally, it is unclear what the relationship is between employees’ ratings on the questionnaire and  
382 physical measure of safety within pharmacies (for example medication errors or the reporting of  
383 minor incidents). Similarly research is required to ascertain the relationship between safety climate  
384 ratings generated using this survey instrument and other related variables (that are indicative of the  
385 prevailing safety culture in other high risk industries) for example, preparedness to report safety  
386 incidents, numbers of incidents reported, organisational performance measures, job satisfaction,  
387 work stress related illness, staff absenteeism and turnover, and internal staff grievances about  
388 supervision and management issues.

389

#### 390 *Further research and next steps*

391 Patient safety is a health policy priority in NHS Scotland, with a 2013 focus on the implementation of  
392 a national improvement initiative in primary care via the Scottish Patient Safety Programme (SPSP-  
393 PC). This reflects a policy move to a much more integrated primary care service through  
394 collaborative clinician partnerships across the multidisciplinary team [29, 30].

395

396 SafeQuest was included as a core component of the Scottish Patient Safety Programme for Primary  
397 Care (SPSP-PC) [31] in 2013. All general practice teams in Scotland (c1000) are also financially

398 incentivized through the Quality and Outcomes Framework [32]to use SafeQuest to measure and  
399 reflect on their safety culture. In CP, it is intended that SafeQuest-CP will form part of an  
400 intervention to improve the safety climate in CP as part of a general programme to promote the safe  
401 and effective use of medicines. At the national and macro-organisational level SafeQuest-CP offers a  
402 snapshot, cross- sectional measure of the prevailing safety climate. As with the GP equivalent, the  
403 survey results will provide feedback on team members' perceptions of safety climate within the  
404 pharmacy and how these compare against other pharmacies (a type of norm-referencing). This  
405 would inform and prioritise reflective discussion, analysis and action plans for improvement on  
406 climate issues perceived by the team as being of importance (e.g. communication within the practice  
407 or heavy workload levels which are reported as impacting on safe performance). In this way the  
408 survey can raise awareness of the importance of the safety climate construct in the workplace and  
409 direct related learning and improvement activities. At present, funding has been secured from the  
410 Health Foundation to use SafeQuest-CP within four NHS Scotland health boards. Critically, in the  
411 future there will be a need to tailor educational arrangements and/or regulations to enshrine  
412 positive safety culture within community pharmacies as a key component to improving patient care.

413

#### 414 **Funding**

415 NHS Education for Scotland

416

#### 417 **Ethics**

418 The development of the safety climate questionnaire was considered by the West of Scotland  
419 Research Ethics Service Office and deemed to not require ethical review under the terms of the  
420 Governance Arrangements for Research Ethics Committees in the UK.

421

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425 and gave feedback on the draft questionnaire. We are also grateful to the Pharmacy and Medical  
426 Directorate within the NHS Board concerned who kindly advised us on the best ways to approach  
427 the data collection and disseminated our emailed correspondence. Finally, we would like to thank  
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429 the instrument.

430

#### 431 **Competing Interests**

432 DM and RN were funded part-time by NES for the duration of the project.

433 AW and PB are currently employed by NES. CW was employed by NES at the time of the project.

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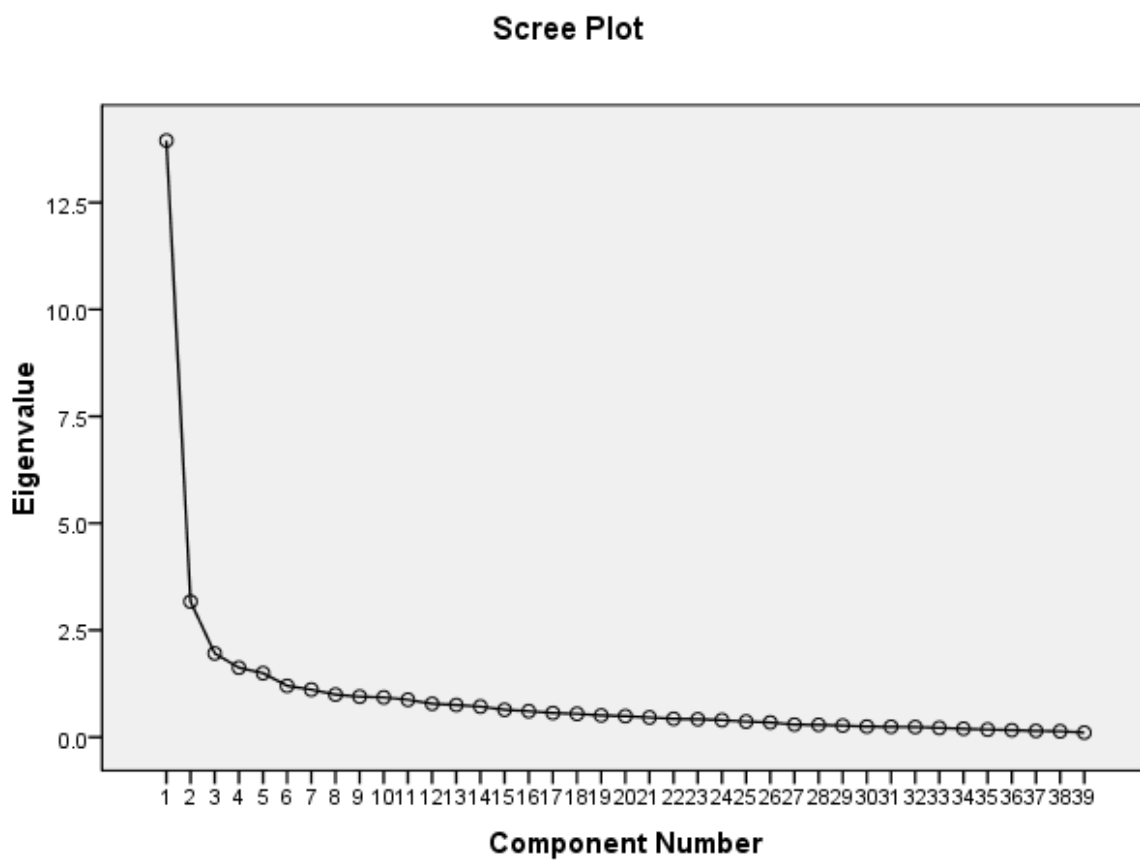
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523 **Figure Legend**

524 Figure 1: Scree plot with eigenvalues of the factors extracted from the preliminary 39-item  
525 instrument.



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527 **Table 1: Number and roles of respondent who completed feedback forms (n=23)**

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Job Title	Job Role	N
<b>Pharmacists (n =8, 34.8%)</b>		
Pharmacist proprietor/owner	Owner of small, independent community pharmacy	2
Pharmacist branch manager	Responsible pharmacist for single outlet of a community pharmacy business with multiple shops	1
Second pharmacist	A pharmacist who is not an owner or branch manager who works alongside another pharmacist	2
Relief pharmacist	Pharmacist providing work cover.	2
Pre-registration pharmacist	Pharmacist doing their training year after graduating from pharmacy degree course.	1
<b>Support Staff (n=15, 65. 2%)</b>		
Accredited Checking Technician	Worker who holds a professional qualification allowing them to check prescriptions.	5
Pharmacy technician	Work under the supervision of a pharmacist to supply medicines and products to patients.	1
Dispensary assistant	Help the pharmacist to assemble prescriptions and manage dispensary stock.	3
Medicines counter assistant	Support the supply of non-prescription medicines	5
Delivery driver	Staff member who delivers prescriptions	1

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536 **Table 2: Characteristics of survey respondents (n=250) and participating pharmacies**

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Characteristic	Category	Total	
		N*	%
Gender (n=247)	Male	24	9.6
	Female	223	89.2
Length of time worked in CP (n=249)	<1 year	20	8
	1--5 years	94	37.6
	6--10 years	51	20.4
	11--15 years	29	11.6
	16-20 years	14	5.6
	>20 years	41	16.4
Current job role (n=231)	Pharmacist proprietor/ owner	9	3.9
	Pharmacist branch manager	43	18.6
	Second pharmacist	13	5.6
	Technician	22	9.5
	Dispenser	61	26.4
	Medicines counter assistant	64	27.7
	Other	19	8.2
Size of CP (n=242)	Single independent pharmacy	35	14
	Member of small chain (2 to 4 pharmacies)	60	24
	Member of medium chain (5-30 pharmacies)	88	35.2
	Member of large chain (over 30 pharmacies)	59	23.6

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**Table3: Mean scores with standard deviations (SD), factor loadings and reliability coefficients of the final questionnaire items (30), extracted factors (5) and overall safety climate perception.**

New Number	Item	Mean N=250	SD	Factor loadings*					Reliability		
				Leader	Teamwork	SS	Comm	Work	$\alpha$	$\alpha^{**}$	
	Overall	5.48	.854							.928	
	Leadership (Ldr)	5.78	1.11							.786	
1a	Staff frequently do not follow standard operating procedures (SOPs)	5.81	1.42	.627							.767
1b	The way this pharmacy is managed is a barrier to effective working	5.61	1.9	.589							.793
1c	When an incident is reported it feels like the person is being reported and not the incident	5.85	1.52	.727							.736
1d	Safety is not taken seriously until an actual safety incident occurs	6.05	1.43	.797							.740
1e	Managers in this pharmacy do not deal effectively with 'problem' members of staff (e.g. those with a poor attitude or who frequently makes mistakes etc.)	5.43	1.77	.485							.758
1f	Investigations into safety incidents aim to assign blame to individuals rather than identify causes	6.07	1.44	.743							.730
	Teamwork (Tm)	5.84	.93							.904	
2a	The responsibilities of each staff member are clearly understood	5.71	1.27		.632						.901
2b	Pharmacy staff treat each other with respect	6.13	1.01		.834						.888
2c	Disagreements between pharmacy staff are resolved appropriately	5.66	1.38		.663						.896
2d	Staff are generally satisfied with their jobs	5.43	1.19		.670						.887
2e	Team members recognize the importance of working together	6.06	1.01		1.004						.889
2f	This pharmacy is a good place to work	5.96	1.17		.566						.885
2g	Staff work well together at all levels within this pharmacy	5.89	1.11		.766						.878
	Safety systems and Learning (SS)	5.10	1.15							.873	
3a	All staff are encouraged to highlight safety incidents that happen in this pharmacy	5.72	1.17			.692					.856
3b	When a safety incident happens in this pharmacy an investigation is conducted to understand why it happened	5.45	1.3			.765					.850
3c	Safety incident investigations are seen as learning opportunities	5.60	1.22			.784					.854
3d	All staff are given the opportunity to participate in the analysis of safety incidents	4.93	1.57			.697					.849
3e	Pharmacy staff are involved in reviewing SOPs	4.64	1.94			.651					.886
3f	The pharmacy team routinely discuss ways to prevent safety incidents from happening	4.58	1.66			.739					.847
3g	The effectiveness of any changes made as a result of a safety incident are evaluated	4.81	1.47			.780					.843

Table 3 (continued)

\*Factor loadings  $\leq 0.4$  have been omitted from the table to aid clarity.

New Number	Item	Mean N=250	SD	Factor loadings*					Reliability	
				Leader	Teamwork	SS	Comm	Work	$\alpha$	$\alpha^{**}$
	Communication (Cm)	5.28	1.30						.890	
4a	Managers in this pharmacy seriously consider staff suggestions for improving safety	5.49	1.35				.530			.887
4b	Staff feel free to question the decisions of those with more authority	4.69	1.78				.867			.855
4c	Staff are comfortable in expressing concerns to the managers about the way things are done in this pharmacy	4.93	1.77				.848			.853
4d	There is open communication between staff members across all levels in this pharmacy	5.55	1.49				.734			.852
4e	Staff are encouraged to maintain and improve their knowledge and skills	5.76	1.39				.523			.876
	Working conditions (WC)	5.40	1.15						.748	
5a	There are adequate opportunities for staff to take the breaks that they are entitled to	4.99	1.82					.796		.708
5b	The level of staffing in this pharmacy is sufficient to manage the workload safely	4.87	1.7					.830		.655
5c	The performance of staff is impaired by excessive workload	4.81	1.74					.638		.713
5d	It is just by luck that more serious safety incidents don't happen in this pharmacy	5.91	1.6					.712		.703
5e	Staff in this pharmacy work longer hours than is safe for patient care	6.33	1.25					.867		.730

\*\*Item coefficients reflect the change in its factor's overall reliability if that item were to be omitted.

**Table 4: Correlation matrix of the five extracted safety climate factors**

Factor	Ldr	Tm	SSL	Cm	WC
Leadership (Ldr)	1.000	0.54	0.49	0.56	0.33
Teamwork (Tm)		1.000	0.34	0.51	0.15
Safety systems and Learning (SSL)			1.000	0.34	0.26
Communication (Cm)				1.000	0.38
Working conditions (WC)					1.000