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1 **Investigation of an 11mm diameter Twin Screw Granulator: Screw Element**
2 **Performance and In-line Monitoring via Image Analysis**

3
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20

21 **ABSTRACT**

22 As twin screw granulation (TSG) provides one with many screw element options,
23 characterization of each screw element is crucial in optimizing the screw configuration in order

24 to obtain desired granule attributes. In this study, the performance of two different screw
25 elements - distributive feed screws and kneading elements - was studied in an 11mm TSG at
26 different liquid-to-solid (L/S) ratios. The kneading element configuration was found to break
27 large granules more efficiently, leading to narrower granule size distributions. While
28 pharmaceutical industry shifts towards continuous manufacturing, inline monitoring and process
29 control are gaining importance. Granules from an 11mm TSG were analysed using the
30 EyeconTM, a real-time high speed direct imaging system, which has been used to capture accurate
31 particle size distribution and particle count. The size parameters and particle count were then
32 assessed in terms of their ability to be a suitable control measure using the Shewhart control
33 charts. d_{10} and particle count were found to be good indicators of the change in L/S ratio.
34 However, d_{50} and d_{90} did not reflect the change, due to their inherent variability even when the
35 process is at steady state.

36

37 **Keywords:** Twin Screw Granulation, In-line Image Analysis, Shewhart Control Charts,
38 Continuous Pharmaceutical Manufacturing, Process Analytical Technology

39

40 **1. Introduction**

41 Nowadays, there is an imminent necessity for the pharmaceutical industry to deliver
42 pharmaceutical products that comply with the highest quality standards. Regulatory authorities
43 such as the US Food and Drug Administration (FDA) agency and the European Medicines
44 Agency (EMA) are focusing their efforts towards the implementation of the new ICH Q10
45 “Pharmaceutical Quality System” guidelines that enable industrial manufacturers to put in place
46 better controlled development and manufacturing practices (ICH Q10, 2008). One of the current

47 challenges requires that pharmaceutical industries fully understand the relation between the
48 manufacturing processing parameters or process performance and the critical quality attributes
49 (CQA) of the final product. Therefore, the introduction of process analytical technologies (PAT)
50 for continuous in-line monitoring of manufacturing processes is crucial to assure product quality
51 throughout all the manufacturing stages. In this context, the interest towards the development of
52 continuous manufacturing platforms for the production of pharmaceuticals has increasingly
53 emerged.

54
55 One of the main areas that can be applied within a continuous manufacturing environment
56 comprises the initial stages of development and production of pharmaceuticals, where twin-
57 screw granulation (TSG) is being applied as an alternative to traditional batch manufacturing
58 processes. TSG provides flexibility during manufacturing of commercial products as well as time
59 and economic cost reduction that are currently important issues in the pharmaceutical arena.
60 Moreover, the capability offered by TSG processes where it is possible to optimise the
61 processing parameters to achieve high quality attributes of the end product is still being studied
62 and this is where the application of in-line characterisation techniques plays a key role. Recently,
63 Seem et al. (2015) reviewed literature related to twin screw granulation, where they emphasized
64 the need for further process understanding and optimization. Screw element configuration is of
65 crucial importance in determining the resulting granule attributes from a twin screw granulator
66 (Djuric and Kleinebudde, 2008; Thompson and Sun, 2010) and its effects on resulting granule
67 properties were extensively studied in literature using conveying elements (CE) (Thompson and
68 Sun, 2010; Dhenge et al., 2012), kneading elements (KE) (Thompson and Sun, 2010; Mu and
69 Thompson, 2012; El Hagrasy and Litster, 2013; Lee et al., 2012; Melkebeke et al., 2008;

70 Vercruyssen et al., 2012, 2014, 2015; Kumar et al., 2014), distributive mixing elements (DME)
71 (Thompson and Sun, 2010; Sayin et al., 2015; Vercruyssen et al., 2015), distributive feed screw
72 (DFS) (Vercruyssen et al., 2015), and cutters (Vercruyssen et al., 2015). The first attempt to
73 elucidate the effect of screw configuration on granule and tablet properties was made by Djuric
74 and Kleinebudde (2008), using a Leistritz Micro 27GL/28D. In their study, Djuric and
75 Kleinebudde (2008) studied CE, KE, and DFS under the name of combing mixer elements. DFS
76 was found to produce higher yield (granules in the range: 125 μm – 1250 μm) when compared to
77 the same pitch CE, as well as less lumps (granules larger than 1250 μm). KE configurations with
78 30° reverse and 90° (neutral) advance angles gave the least porous granules among the screw
79 configurations studied. Thompson and Sun (2010) studied distributive mixing elements (DME)
80 in addition to CEs and the kneading blocks using an American Leistritz (Model ZSE-27 HP)
81 twin screw extruder with no die. They suggest that intermeshing region of KEs is the key region
82 in granule formation and the advance angle is of minor importance. Shah (2005) used 34 and
83 50mm twin screw extruders with no die to study CE, KE, and DFS under the name of chopper
84 element. Further studies on the effect of screw configuration include use of a 16 mm Thermo
85 Fisher twin-screw granulator to produce and characterise granule attributes by the inclusion of
86 different screw elements such as conveying elements, kneading elements and distributive mixing
87 elements (El Hagrasy and Litster, 2013; Sayin et al., 2015). Recently, an 11mm TSG has become
88 available, and there are advantages for early stages of new product development due to the
89 smaller amount of formulation that is required compared to 16 or 24mm TSGs. However, there
90 are no reported studies on the use of the 11mm TSG and its performance as a granulator has not
91 been assessed. In particular, the 11mm TSG offers a new screw element design, the distributive

92 feed screw, whose performance has not been evaluated using a Thermo Fisher twin screw
93 granulator.

94

95 Various PAT techniques for in-line measurement of continuous wet granulation processes have
96 recently been studied. Soppela et al. (2011) compared the application of a 3D-imaging technique
97 (FS3D) and a spatial filtering technique (SFT or also called Parsum) identifying good correlation
98 values in the characterisation of granule particle size distribution and flowability properties.

99 Further investigations regarding solid state transformations during continuous twin-screw wet
100 granulation have been studied using Raman and Near-infrared (NIR) spectroscopy (Fonteyne et
101 al., 2013). Moreover, Kumar et al., (2014) applied a near infrared chemical imaging system
102 within residence time distribution (RTD) studies in a continuous TSG process, showing that
103 variations in screw speed, material throughput, screw configuration, number and geometry of
104 kneading elements have an impact on granule RTD and axial mixing degree achieved. Similar
105 RTD studies on a TSG were performed by Lee et al., (2012) applying Positron Emission Particle
106 Tracking (PEPT) technique where barrel design modifications were required. Moreover,
107 previous granule characterisation studies performed by El Hagrasy and Litster (2013) showed a
108 relation between the granulation rate processes involved in granule growth such as breakage or
109 layering with granule shape by applying different screw configurations, kneading element
110 advance angles and angle direction. Introduction of a high-speed imaging camera, such as the
111 EyeconTM particle characteriser was reported (El Hagrasy et al., 2013) as a successful non-
112 contact technique for in-line characterisation of TSG processes. Assessment of granule particle
113 size distribution as well as granule shape enabled evaluation of granule growth based on
114 parameter changes with variations in liquid to solid (L/S) ratios (El Hagrasy et al., 2013).

115

116 This study aims at characterizing the distributive feed screw and assessing capability of a high-
117 speed imaging technique for the in-line control of granule size parameters produced by an 11mm
118 TSG. Particle attributes such as particle size and liquid distributions are presented from offline
119 analyses. The measurement of particle attributes using an in-line method provides a better
120 understanding of real-time product characteristics providing a design of space network for
121 continuous manufacturing applications. The TSG process comprising a distributive feed screw
122 (DFS) as main granulation element is introduced and characterised in a Thermo Fisher twin
123 screw granulator for the first time. In-line characterisation of granule size parameters are
124 obtained using a high-speed imaging camera attached to a Thermo Scientific® Process 11 twin-
125 screw granulator. Offline particle size and liquid distributions obtained using DFS are also
126 compared to values achieved using a kneading element (KE) configuration comprised of 7
127 kneading elements with 90-degree advance angle. Further analytical procedures included data
128 processing and elaboration of Shewhart control charts to evaluate the applicability of particle
129 size parameters such as d_{10} , d_{50} , d_{90} and particle count to monitor the influence of small process
130 variations in L/S ratio values.

131

132 **2. Materials and Methods**

133 2.1 Granulation Experiments

134 In this study, a placebo formulation composed of α -lactose monohydrate (Pharmatose 200M,
135 73.5%), microcrystalline cellulose (Avicel PH101, 20%), hydroxypropylmethyl cellulose
136 (Hypromellose, 5%) and croscarmellose sodium (Ac-Di-Sol, 1.5%) was used. These dry
137 ingredients were pre-mixed using a Turbula® T2F mixer (Glen Mills Inc., New Jersey, United

138 States) in batches of 500 g of blend for 20 min. A volumetric feeder (DDSR20, Brabender
139 Technologie GmbH, Duisburg, Germany) was used to feed the blend into the 11mm TSG
140 (Process 11, 40:1 L/D, Thermo Fisher Scientific, Karlsruhe, Germany) operating at 482 rpm. The
141 powder feed rate was adjusted to 1.11 kg h^{-1} . A 0.1% (w/w) aqueous solution of nigrosin black
142 dye (Sigma Aldrich Corp., St. Louis, MO) was used as the granulation liquid. The liquid was fed
143 into the TSG using a peristaltic pump (Thermo Fisher Scientific, Karlsruhe, Germany) at
144 different rates to achieve liquid to solid (L/S) ratios of 0.15, 0.20, 0.25, and 0.30.

145
146 Two screw configurations were used. Distributive feed screw (DFS) and kneading elements (KE)
147 were the screws of interest in these configurations, as DFS is expected to improve GSD when
148 compared to conveying elements and KEs were found to break lumps without causing shear
149 elongation by El Hagrasy and Litster (2013). In both cases, four 1-D conveying elements were
150 placed in the downstream of screws of interest. Conveying elements were used in the upstream
151 of the screws of interest to convey the mixture towards these screw elements. A schematic of the
152 screw configurations is provided in Figure 1.

153
154 Figure 1 shows the liquid and powder feed zones, which are the second and third zones of the
155 granulator, respectively. SoI refers to screw element of interest. In the first screw design, one
156 pair of DFS was used as the screw of interest. In the second design, SoI was a kneading block
157 consisting of 7 kneading elements with 90-degree advance angle. In a recent study, El Hagrasy
158 and Litster (2013) showed that using seven KEs instead of three or five improves the liquid
159 distribution. Pictures of DFS and KEs are provided in Figure 2.

160

161 2.2 Offline Granule Size Analysis

162 The granules collected from each experiment were spread on a tray and dried at room
163 temperature for 48 hours. They were then split using a Laborette 27 rotary cone sample divider
164 (Fritsch GmbH, Idar-Oberstein, Germany) to obtain representative samples. Granule size
165 distribution was measured via sieve analysis using a $\sqrt{2}$ series of sieves from 63 μm to 8 mm.
166 The normalized mass frequency with respect to the logarithm of particle size was plotted
167 according to equation 1 (Allen, 2003):

168

169
$$f_i(\ln x) = \frac{y_i}{\ln(x_i/x_{i-1})} \quad \text{Eq. 1}$$

170

171 where, y_i is the mass fraction in size interval i and x_i is the upper limit of the size interval i .

172

173 2.3 Liquid Distribution

174 The liquid distribution (LD) method used is similar to the one reported by Smirani-Khayati et al.
175 (2009) and has been presented in El Hagrasy and Litster (2013) in detail. Briefly, after
176 completing the sieve analysis, three granule samples from each sieve fraction were dissolved in
177 water separately and sonicated for 1 h. The sonicated samples were further diluted and
178 centrifuged. The supernatant nigrosin dye concentration was measured using a UV/Vis
179 spectrophotometer (Cary UV Vis 300, Agilent, Wilmington, DE) at $\lambda_{max} = 574 \text{ nm}$.

180

181 2.4 Granule Porosity

182 A helium pycnometer (AccuPyc, Micromeritics) was used to measure the true density of the
183 granules. The granule envelope density measurement was then performed using an envelope

184 density pycnometer (Geopyc, Micromeritics). Granules in the size fraction 1.0–1.4 mm were
185 used for the measurements. The following equation was then used to calculate granule porosity
186 ($\varepsilon_{granules}$).

$$187 \quad \varepsilon_{granules} = 1 - \frac{\rho_g}{\rho_s} \quad \text{Eq. 2}$$

188 where ρ_g and ρ_s are the envelope and true density of the granules, respectively.

189 2.5 Granulation Experiments for image analysis

190 For the image analysis using the DFS configuration, a screw speed of 724 rpm and a powder feed
191 rate of 3.9 kg h⁻¹ were used. A screw speed of 482 rpm was used for the 7KE90 configuration
192 with a powder feed rate of 0.66 kg h⁻¹. In both cases, the experiments were run at four L/S ratios
193 namely, 0.15, 0.20, 0.25, and 0.30. The same powder blend and granulation liquid were used as
194 in the case of granulation experiments, for both screw configurations. Temperature was not
195 controlled during the experiments since temperature control requires the die to be assembled to
196 the TSG. This is because the TSG used was originally built as an extruder and was modified to
197 be used as a granulator. When performing experiments using the 7KE90 configuration, the metal
198 chute was heated via a thin metal coil attached to it from outside, which prevented the un-
199 granulated powder sticking onto the chute.

200

201 2.6 In-line Image Analysis & Experimental Setup

202 The EyeconTM Particle Characterizer was used for the in-line granule size analysis. Granule
203 images were recorded while running the TSG and collecting the granule samples. Figure 3 shows
204 the experimental setup with the integrated TSG-camera system.

205

206 The metal chute presented by El Hagrasy et al. (2013) was attached to the exit of the TSG in
207 order to provide a representative sample to the camera. With its narrowing design, the chute
208 directs the granules into the focus of the camera. Its inclination allows the granules to flow
209 freely, allowing random orientation of the granules to be captured. El Hagrasy et al. (2013) has
210 described the working principles of Eyecon™ camera in detail. In brief, the camera emits red-
211 green-blue (RGB) light onto the sample, creating 3D images of the particles. It can detect
212 particles between 50 and 3000 microns flowing with a speed up to 10 m s⁻¹. It collects size (e.g.
213 d₁₀, d₅₀, d₉₀) and shape (e.g. average aspect ratio) information in two seconds per image and uses
214 a 30 sec moving window to calculate the average parameter values. In this study, the camera
215 measures the size of wet granules immediately after they exit the granulator, being a non-
216 destructive method. It measures the minimum and maximum diameters of a particle, by fitting an
217 ellipse. The software then takes the average of these two diameters and calculates the volume of
218 the particle assuming that it is a sphere of this average diameter. It assumes that all the particles
219 have the same density and calculates the size parameters. Due to the RGB light, it can detect the
220 boundaries of each particle and differentiate the ones that are overlapping or partially in the area
221 of view. Those particles can then be excluded from the calculations, resulting in the values
222 obtained using only the particles that are completely within the field of view.

223

224 **3. Results and Discussion**

225 3.1 DFS characterization and comparison to 7KE90 screw configuration

226 Granule size distributions obtained via sieve analysis at four different L/S ratios using the DFS
227 and KE configurations are presented in Figure 4.

228

229 In Figure 4a, the granule size distributions obtained using the two configurations are both
230 bimodal. Bimodality of the 7KE90 configuration has been reported previously by El Hagrasy and
231 Litster (2013) using a 16mm TSG. Additionally, both size distributions have similar spans, the
232 one from the DFS configuration being a little larger. As the L/S ratio increases, the amount of
233 coarse granules (larger than 1 mm) increase and the amount of un-granulated fines decrease since
234 there is more liquid to form nuclei and for powder layering. In Figures 4b and 4c the two
235 configurations give similar size distributions with 7KE90 configuration having more breakage of
236 the coarse granules. In Figure 4d, the difference between the GSDs increased due to DFS
237 configuration having more large granules. This shows that the kneading element configuration
238 breaks the large granules more efficiently, resulting in a narrower size distribution. The DFS
239 configuration is not as good in breaking the large granules that are formed at high L/S ratios.

240

241 Figure 5 presents the amounts of fines (granules smaller than 125 μm) and coarse granules
242 (larger than 1 mm) as a function of L/S for both configurations. This analysis is important since
243 both fines and coarse granules are undesirable in the downstream processes.

244

245 In Figure 5a, the fraction of fines decreases with increasing L/S ratio for both screw
246 configurations, with the decrease in DFS being a little steeper. In Figure 5c, the increase in L/S
247 ratio brings the increase in the coarse granules for both configurations. The fraction of coarse
248 granules in 7KE90 configuration is less than that of DFS at all L/S values, indicating a better
249 breakage process in the case of 7KE90. In Figure 5b, 7KE90 configuration produces a higher
250 fraction of granules that are in the range between 125 μm and 1 mm, due to its lower fraction of
251 coarse granules. The fraction of granules in the desired range goes through a maximum at the

252 L/S ratio of 0.25. To better understand mixing and breakage behaviour, liquid distribution
253 analysis was performed. Figure 6 presents the analysis results for both screw configurations.

254
255 Figure 6 shows that 7KE90 configuration distributes the liquid better than the DFS configuration
256 due to more efficient breakage of large granules, indicated by the more horizontal curve. In case
257 of the 7KE90 configuration, large granules have a liquid content that is close to the liquid to
258 solid ratio, suggesting that layering is taking place. This is in accordance with El Hagrasy and
259 Lister's (2013) findings, where they elucidated granulation rate processes taking place in the
260 kneading section of TSG. In case of the DFS configuration however, liquid content is a strong
261 function of granule size, where large granules have more liquid per mass than smaller granules.
262 Liquid distribution is an important factor, whether the binder is introduced in liquid or powder
263 form, in obtaining granules with similar attributes such as strength.

264
265 Granule porosity results are provided in Figure 7.

266
267 The 7KE90 configuration results in granules that are less porous than the DFS configuration,
268 indicating more consolidation taking place in 7KE90. This is in accordance with the study of
269 Djuric and Kleinebudde (2008), where two different lengths of KEs with 90° advance angle were
270 used as well as two different pitches of DFS of different length. In Figure 7, there's a decreasing
271 trend in porosity with increasing L/S ratio for both screw configurations except for DFS at the
272 highest L/S ratio, where a slight increase is observed. The two screw configurations differ also in
273 terms of practicality. The minimum and maximum torque values recorded during the
274 experiments are provided in Table 1, as well as maximum temperatures observed.

275

276 In Table 1, the maximum torque values are the highest values that were observed during the
277 experiments. In most of the cases, the torque values fluctuated and were not stationary at the
278 maximum level for more than a few seconds. The 7KE90 configuration results in much higher
279 temperatures and torque values when compared to DFS, accompanied by a loud noise. These
280 maximum temperature and torque values were observed to drop significantly when a much lower
281 powder feed rate was used, keeping all other parameters the same. These agree with the findings
282 of Shah (2005), where surging was observed when KEs with 90° advance angle were used,
283 which was reduced with the use of DFS (mentioned as chopper element in their study), after the
284 removal of KEs.

285

286 Caution needs to be exercised in comparing these results for DFS and KE configurations in the
287 11mm TSG with experimental data from 16mm TSG in the literature. We do expect the breakage
288 rates of granules to vary with the change in geometry as the diameter of the TSG is increased.
289 Thus direct comparison of granule size distributions is not advised until scaling rules have been
290 developed and validated.

291

292 3.2 In-line size monitoring of the granules via Eyecon™ camera

293 Eyecon™ camera software outputs a CSV. file containing granule size parameter measurements
294 (e.g. d_{10} , d_{50}) from each image with 2-3 sec. intervals. Figure 8 shows the granule size parameter
295 results from the DFS configuration at four L/S ratios. This figure was constructed by combining
296 a one-minute section from each experimental data set at different L/S ratios.

297 In Figure 8, the granule size parameter and particle count values are similar for the first three L/S
298 ratios. This can partly be attributed to inherent variance in data that may prevent observation of a
299 slight increase. At the highest L/S ratio, there's an increase in the granule size parameters and
300 decrease in count. At the L/S of 0.15, the number of particles captured by Eyecon™ in each
301 image is around 200. This low number can be attributed to relatively small window of the
302 imaging technique used, where it can be increased by improving sample presentation. Here,
303 relatively small window of operation is in the direction perpendicular to the lens plane. As the
304 flowing granules cover a three-dimensional space, camera focus adjustment becomes of key
305 importance in capturing a representative sample of those granules. The fluctuation in size
306 parameters can be attributed to fluctuations inherent in the process, originating from the powder
307 and liquid feeding methods. The variation in the data increase as one goes from particle count
308 and d_{10} to d_{50} and d_{90} , which is in accordance with El Hagrasy et al. (2013) work.

309
310 3.3 Shewhart control charts for the Eyecon™ data for size parameters (d_{10} , d_{50} , d_{90} and particle
311 count)

312 In-line process control has become of key importance for continuous processes. In the case of
313 continuous granulation, granule size is a crucial attribute to be maintained due to its effect on
314 downstream material properties such as tabletability. El Hagrasy et al. (2013) studied sensitivity
315 of Eyecon™ camera using five kneading elements with an advance angle of 60° in the forward
316 direction (clockwise) in a 16mm TSG, where they made use of the Shewhart control charts
317 (Oakland, 2003) to see the appropriate measures to be used for control purposes. The same
318 technique was used in this study to assess the ability of different measures to reflect the changes
319 in L/S ratio. As the use of control charts requires absence of autocorrelation in the data points in

320 time series, the Durbin-Watson statistic was used to test the autocorrelation in the data from each
321 experiment. Durbin-Watson statistic values were found to be higher than the corresponding
322 upper significance limits at five percent level of significance, indicating that no autocorrelation
323 exists in the data. To construct the control charts, the centerline (CL), upper control limit (UCL),
324 and lower control limit (LCL) were calculated using equations 3 – 5:

325

$$326 \quad CL = \mu \quad \text{Eq. 3}$$

$$327 \quad UCL = \mu + 3 \frac{\sigma}{\sqrt{n}} \quad \text{Eq. 4}$$

$$328 \quad LCL = \mu - 3 \frac{\sigma}{\sqrt{n}} \quad \text{Eq. 5}$$

329

330 where, μ and σ are the estimated mean and standard deviation and n is the sample size, which
331 was taken as five.

332

333 When control charts are used, variability of the data under control is measured and the control
334 limits beyond which the system will be treated to be out of control are determined to be a factor
335 times this variability above and below the centerline. To obtain the sensitivity of EyeconTM
336 measurements to changes in L/S ratio, a four-minute section is taken from experiments with
337 different L/S ratios and plotted in succession. The mean control charts for size parameters and
338 particle count using DFS and 7KE90 configurations are provided in Figures 9 and 10,
339 respectively. In Figure 9, the control limits were set using the data at the L/S ratio of 0.30 and
340 compared against that at 0.20. In Figure 10 however, the limits were set at the L/S ratio of 0.15
341 and tested using another experiment at 0.25 L/S ratio.

342

343 In Figures 9a and 9b, d_{50} and d_{90} have such high inherent variation when the system is at steady
344 state that most of the time those parameters seem to be under control even after the L/S ratio has
345 changed. This makes the two size parameters not suitable as control measures. This agrees with
346 the results obtained by El Hagrasy et al. (2013), where a 16mm TSG was used with a
347 configuration consisting of CEs and 5 KEs with 60° advance angle in the forward direction. In
348 Figure 10a, d_{50} reflects the increase in L/S ratio. However, most of the d_{90} values are within the
349 control limits even after the L/S is changed. On the other hand, as d_{10} and particle count have
350 relatively less variation when compared to d_{50} and d_{90} , they are more sensitive to changes in L/S
351 in case of both screw configurations and fall out of the control limits most of the time when L/S
352 ratio is changed. Figure 11 shows representative images captured via Eyecon™ camera during
353 experiments using 7KE90 configuration at three L/S values.

354

355 Figure 11a corresponds to the results in Figure 10, using a L/S ratio of 0.15 and Figure 11b
356 corresponds to those using a L/S ratio of 0.25. Figure 11 shows that larger granules are obtained
357 at higher L/S ratios. Also, as the L/S ratio increases, amount of fines decrease, as well as total
358 number of granules, where these results are in accordance with El Hagrasy et al. work (2013).

359

360 **4. Conclusions**

361 Distributive feed screw may improve the size distribution when compared to regular conveying
362 elements. However, the DFS configuration is not as efficient in breaking the large granules when
363 compared to 7KE90 configuration, as shown by granule size and liquid distributions.
364 Nevertheless, 7KE90 configuration causes an increase in the temperature and torque,

365 accompanied with a loud noise at relatively high powder feed rates. This was not observed while
366 running the experiments with the DFS configuration. It indicates that DFS will be able to give a
367 broader design space than the 7KE90 configuration. In terms of the use of in-line imaging for
368 control of TSG, EyeconTM camera was able to detect the increase in size and decrease in count
369 when the L/S ratio was changed. Four parameters were investigated for their potential use in
370 process control with EyeconTM camera. d50 and d90 were measured at different L/S ratios and
371 found not to be good measures for control purposes due to their inherent variability. On the other
372 hand, d10 and particle count were sensitive to changes in L/S ratio and shown to be good
373 measures for process control, in an 11mm TSG. Once the inherent variation in the granule
374 properties at steady state are known, EyeconTM camera can be used as a part of the control
375 mechanism.

376

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380

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435 **Figure captions**

436 Figure 1: Schematic of the screw configurations used.

437 Figure 2: Picture of a) a DFS and b) KEs.

438 Figure 3: Experimental setup showing the 11mm TSG (A), Powder feeder (B), Peristaltic pump
439 (C), Computer screen showing real time images from Eyecon™ camera (D), Eyecon™ camera
440 (E), and the Metal chute presenting the sample (F).

441 Figure 4: GSDs from DFS and 7KE90 configurations at L/S ratio of 0.15 (a), 0.20 (b), 0.25 (c),
442 and 0.30 (d).

443 Figure 5: Granule size parameters d10 (a), d50 (b), and d90 (c) as a function of L/S ratio for DFS
444 and 7KE90 configurations.

445 Figure 6: Liquid distribution results for both screw configurations.

446 Figure 7: Per cent porosity of granules as a function of L/S ratio.

447 Figure 8: Granule size parameters (d10, d50, and d90) and particle count at different L/S ratios.

448 Figure 9: Shewhart control charts for the size parameters d10 (a), d50 (b), d90 (c), and particle
449 count (d) using DFS configuration.

450 Figure 10: Shewhart control charts for the size parameters d10 (a), d50 (b), d90 (c), and particle
451 count (d) using KE configuration.

452 Figure 11. Representative images captured during experiments using 7KE90 configuration at L/S
453 ratio of 0.15 (a), 0.25 (b), 0.30 (c).

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455 Table 1. Min. and max.Torque and max. temperature values observed during the experiments

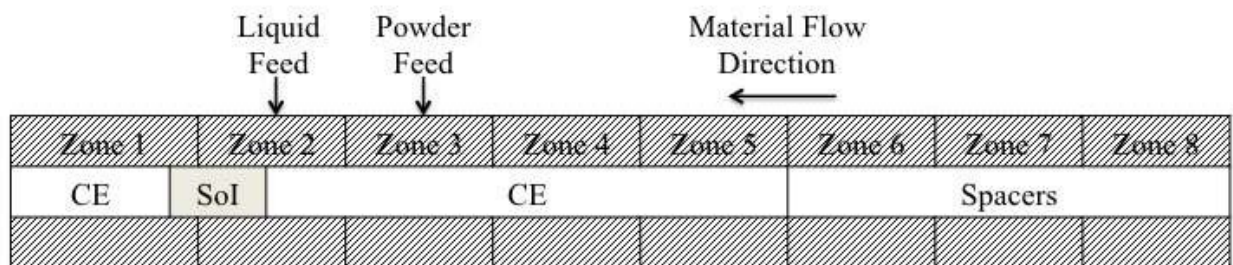
| L/S Ratio | DFS | | | KE | | |
|-----------|-----------------|-----------------|--------|-----------------|-----------------|--------|
| | Min Torque (Nm) | Max Torque (Nm) | T (°C) | Min Torque (Nm) | Max Torque (Nm) | T (°C) |
| 0.15 | 0.7 | 0.9 | 29 | 1 | 3 | 60 |
| 0.20 | 0.8 | 0.9 | 28 | 0.9 | 3.4 | 59 |
| 0.25 | 0.8 | 0.8 | 33 | 1.2 | 3.9 | 56 |
| 0.30 | 0.8 | 0.9 | 34 | 0.9 | 3 | 57 |

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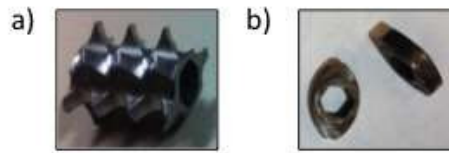
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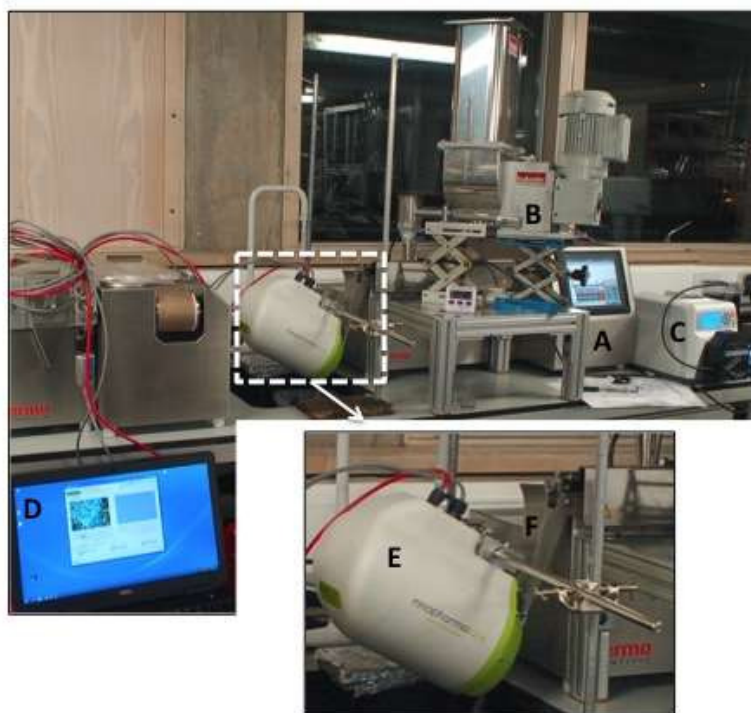
457 Table 1 shows the minimum and maximum torque values and maximum temperatures observed
458 during the experiments using both screw configurations.

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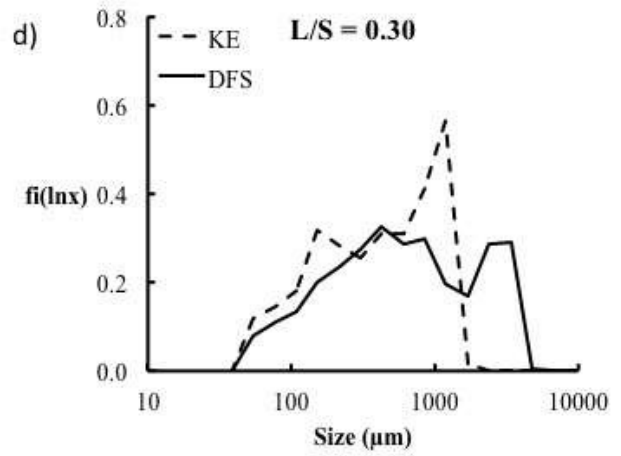
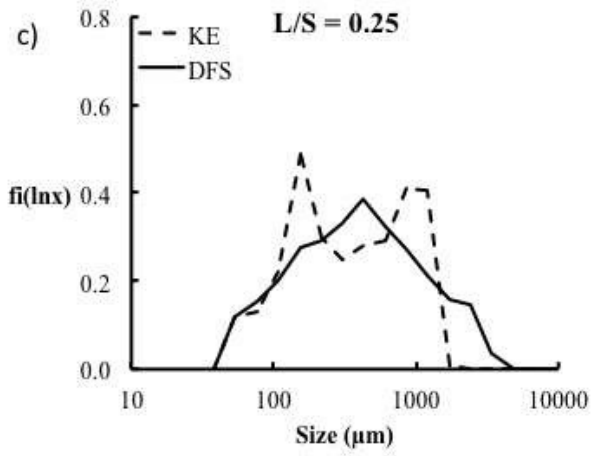
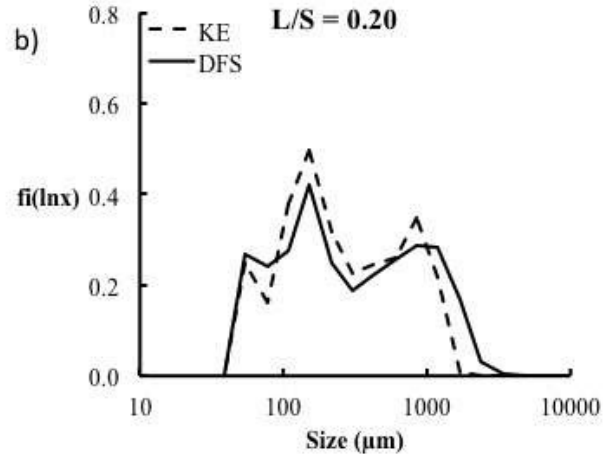
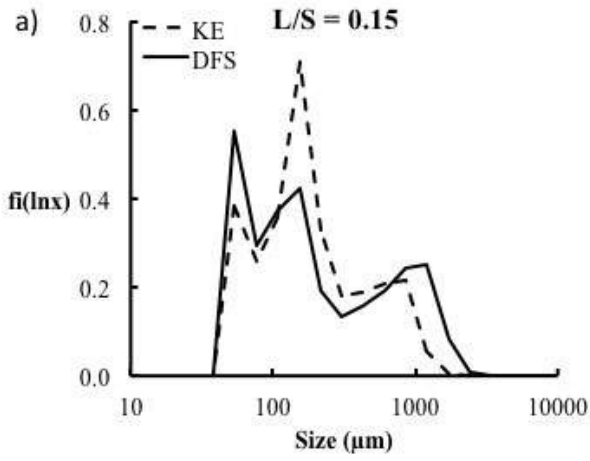


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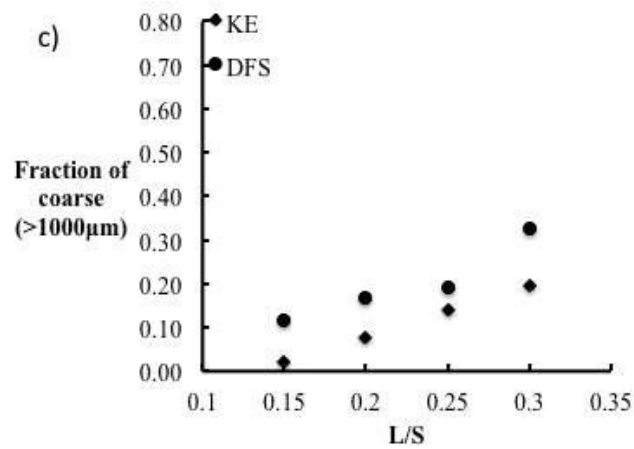
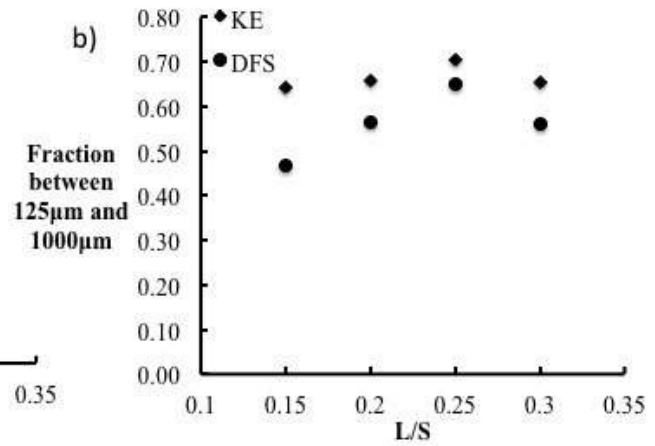
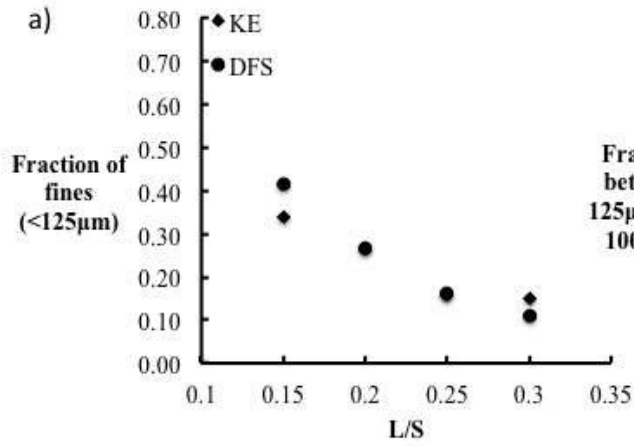




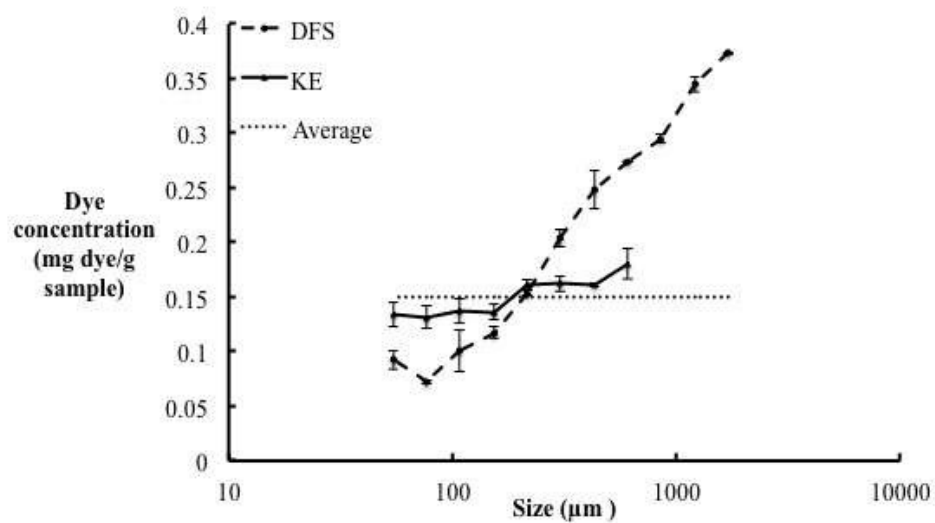
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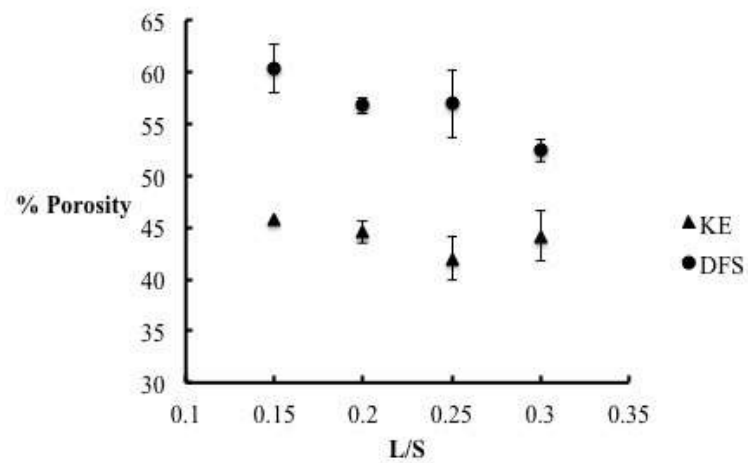
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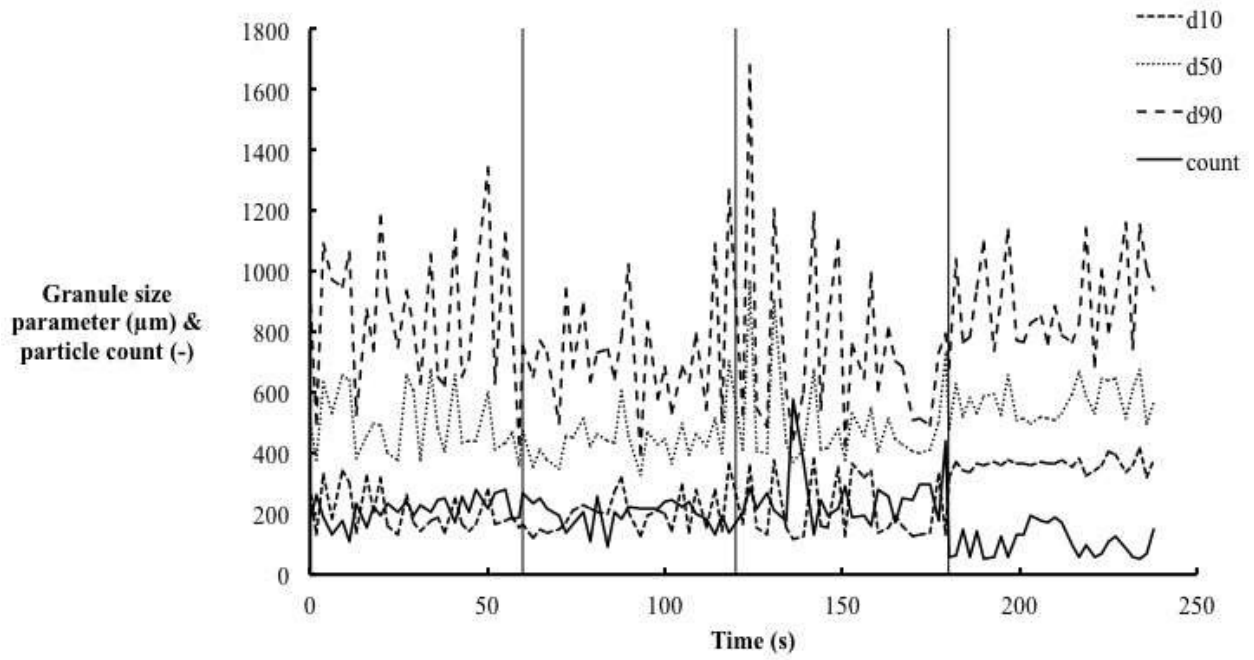
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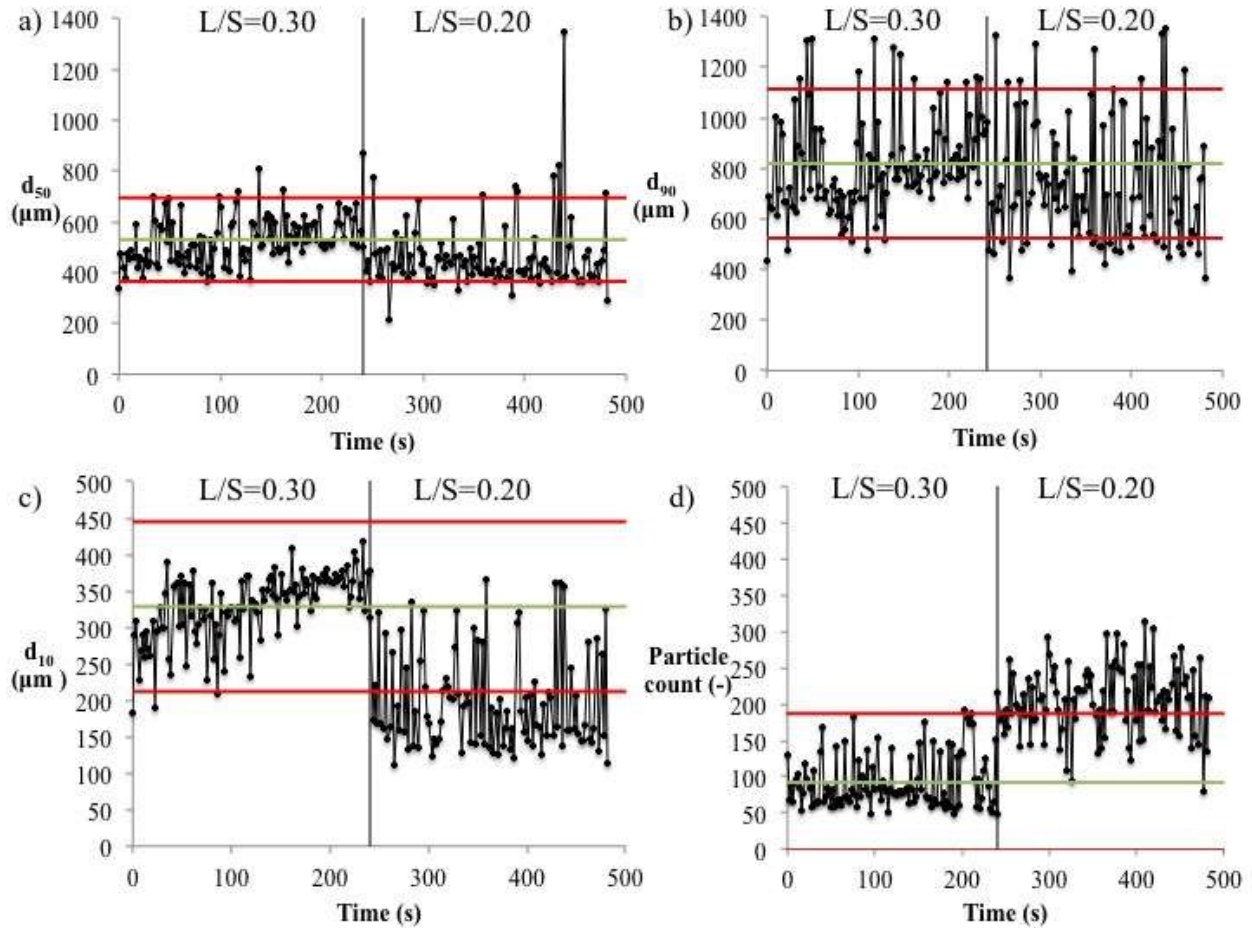
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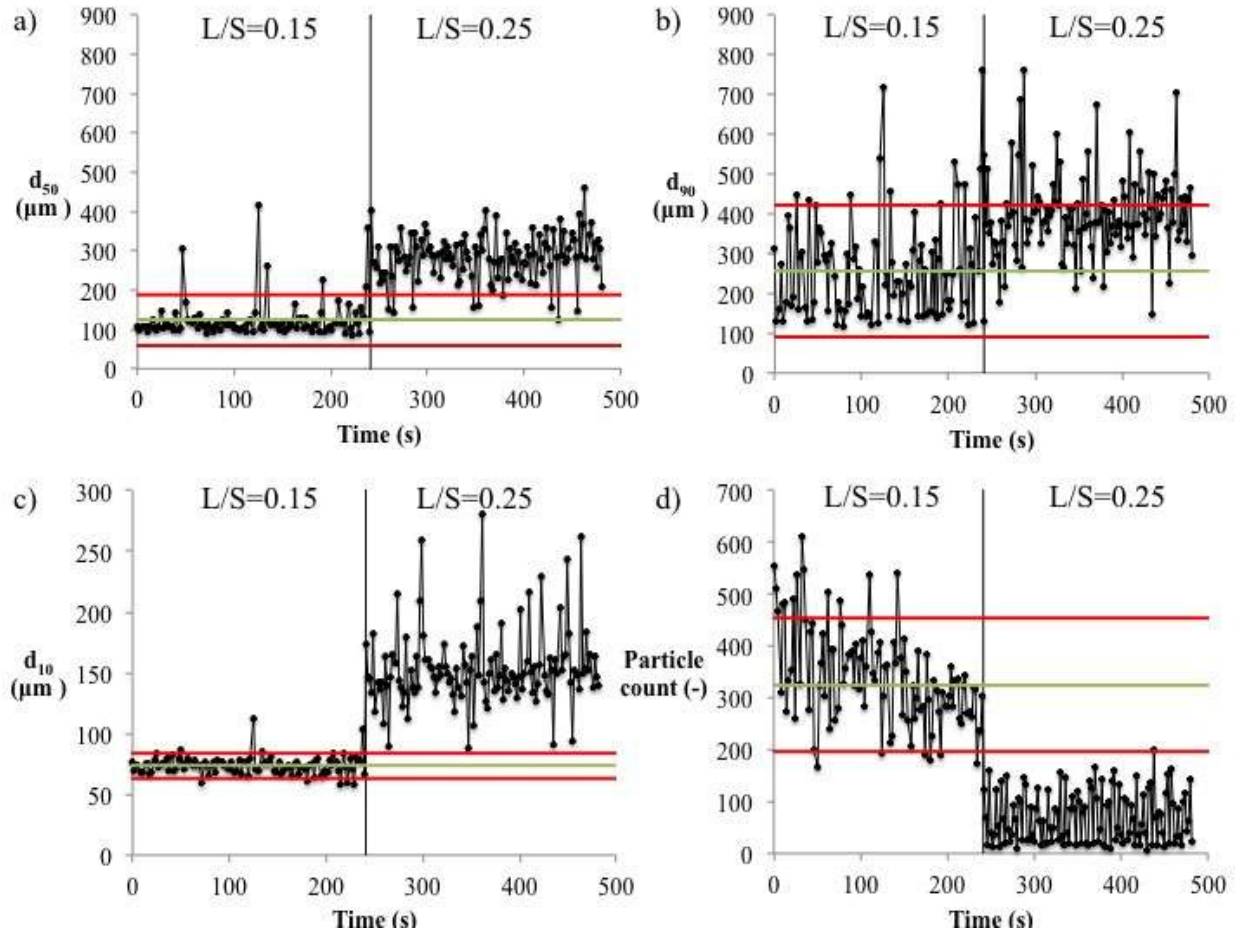
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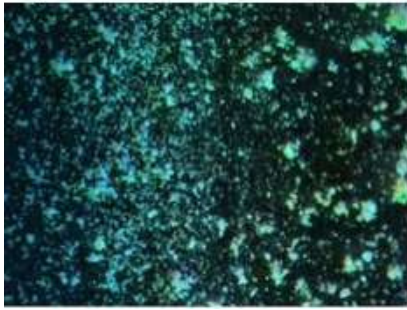


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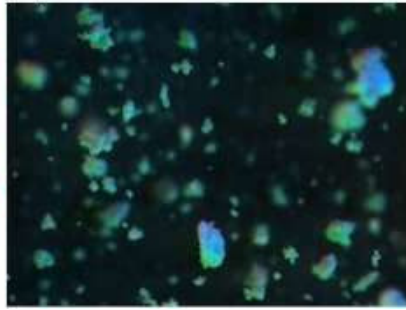


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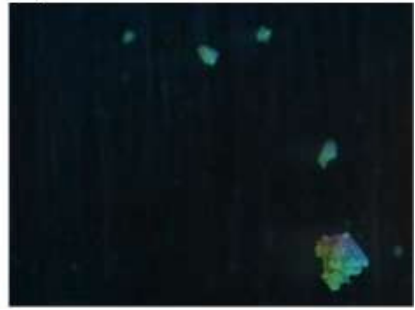
a)



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c)



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