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Phylogenetic stability, tree shape, and character compatibility: a case study using early tetrapods

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Complete List of Authors:	Bernardi, Massimo; MUSE - Museo delle Scienze, Geology and Palaeontology Angielczyk, Kenneth; Field Museum of Natural History, Integrative Research Center Mitchell, Jonathan; University of Michigan Ruta, Marcello; University of Lincoln, School of Life Sciences
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1 **Phylogenetic stability, tree shape, and character compatibility: a case study using early**
2 **tetrapods**

3
4 Massimo Bernardi^{1,2}, Kenneth D. Angielczyk³, Jonathan S. Mitchell⁴, and Marcello Ruta⁵

5
6 ¹ *MuSe – Museo delle Scienze, Corso del Lavoro e della Scienza, 3, 38122 Trento, Italy.*

7 ² *School of Earth Sciences, University of Bristol, Wills Memorial Building, Queens Road, Bristol,*
8 *BS8 1RJ, United Kingdom.*

9 ³ *Integrative Research Center, Field Museum of Natural History, 1400 South Lake Shore Drive,*
10 *Chicago, IL 60605-2496, USA.*

11 ⁴ *Department of Ecology and Evolutionary Biology, University of Michigan, Ann Arbor, MI*
12 *48103, USA*

13 ⁵ *School of Life Sciences, Joseph Banks Laboratories, University of Lincoln, Green Lane,*
14 *Lincoln LN6 7DL, United Kingdom.*

15
16 * Corresponding author

17 Massimo Bernardi, MuSe – Museo delle Scienze, Corso del Lavoro e della Scienza, 3, 38122
18 Trento, Italy

19 Email: massimo.bernardi@muse.it

20 Phone: +39 0461 270344

21 **Abstract**

22 Phylogenetic tree shape varies as the evolutionary processes affecting a clade change over time.

23 In this study, we examined an empirical phylogeny of fossil tetrapods during several time
24 intervals, and studied how temporal constraints manifested in patterns of tree imbalance and
25 character change. The results indicate that the impact of temporal constraints on tree shape is
26 minimal and highlights the stability through time of the reference tetrapod phylogeny.

27 Unexpected values of imbalance for Mississippian and Pennsylvanian time slices strongly
28 support the hypothesis that the Carboniferous was a period of explosive tetrapod radiation.

29 Several significant diversification shifts (i.e., lineage multiplication events) take place in the
30 Mississippian and underpin increased terrestrialization among the earliest limbed vertebrates.

31 Character incompatibility is relatively high at the beginning of tetrapod history, but quickly
32 decreases to a relatively stable lower level, relative to a null distribution based on constant rates
33 of character change. This implies that basal tetrapods had high, but declining, rates of homoplasy
34 early in their evolutionary history, although the origin of Lissamphibia is an exception to this
35 trend. The time slice approach is a powerful method of phylogenetic analysis and a useful tool
36 for assessing the impact of combining extinct and extant taxa in phylogenetic analyses of large
37 and speciose clades.

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39 **Keywords:**

40 Character compatibility, Tree balance, Tree distance, Diversification shifts, Tetrapod

41 Terrestrialization, Paleozoic, Mesozoic

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43 Phylogeny reconstruction is a cardinal component of modern evolutionary biology because
44 it provides the fundamental framework for investigating the dynamics of evolutionary processes,
45 including tempo and mode of change and models of group diversification. Tree shape may be
46 substantially altered by different régimes of character and taxon inclusion/exclusion, and by
47 different character coding, ordering and weighting schemes. As a result, much interest surrounds
48 phylogenetic stability, namely “the tendency for clades that are resolved by an analysis to
49 continue to be resolved when either the data or the analytical method is altered” (Davis et al.
50 1993, p. 188). Numerous methods are now available for measuring cladistic stability (e.g.,
51 Felsenstein 1985; Bremer 1988; Goloboff 1991; Källersjö et al. 1992; Davis 1993; Faith and
52 Ballard 1994; Farris et al. 1996; Gatesy 2000), that is the amount of statistical support for tree
53 nodes. However, a particularly relevant aspect of stability in a paleontological context is the
54 impact of taxa from different time intervals on phylogenetic resolution. Because of such factors
55 as genetic saturation (e.g., Felsenstein 1978; Huelsenbeck and Hillis 1993) and morphological
56 exhaustion (Wagner 2000a), later-evolving taxa might erode phylogenetic signal among early-
57 evolving taxa. Thus, it is important to investigate whether phylogenetic stability (as defined
58 above) remains constant with the addition of later-evolving taxa, or whether it changes over
59 clade history. As a metaphor (Peter J. Wagner, personal communication, 2014), imagine a
60 systematist living in the Pennsylvanian. How accurately could they reconstruct the phylogeny of
61 tetrapods using just the taxa in that time period? Would the accuracy of their tree improve if they
62 included both “contemporaneous” taxa and, say, “fossil” taxa from an earlier interval (e.g.

63 Devonian)? What would a phylogeny look like from the standpoint of a systematist living in the
64 Permian, in terms of accuracy and stability? The significance of these questions goes beyond the
65 specific arrangement of taxa on the tree. Thus, factors such as the rate of character state changes
66 and the potential of later evolving characters to “erode” the signal of earlier evolving characters
67 should also be considered. Beginning with the work of the 'Woods Hole Group' of
68 paleontologists (Raup et al. 1973; Gould et al. 1977; Schopf 1979; see summaries by Slowinski
69 and Guyer 1989; Mooers and Heard 1997; Huss 2009), tree shape has been used to analyze the
70 tempo and mode of cladogenetic events (e.g., Savage 1983; Heard 1992; Guyer and Slowinski
71 1993; Mooers and Heard 1997; Chan and Moore 2002; Good-Avila et al. 2006; Heath et al.
72 2008). Despite the important initial role of paleontologists, some subsequent work has focused
73 on phylogenies of extant taxa only (although see Harcourt-Brown et al. 2001; Harcourt-Brown
74 2002). This “neontological bias” is reflected by the fact that some recent applications of
75 diversification shift analyses to paleontological trees (e.g., Ruta et al. 2007; Lloyd et al. 2008;
76 Botha-Brink and Angielczyk 2010) required modifications of available methods to fit better the
77 nature of fossil data (see also Tarver and Donoghue 2011; Brocklehurst et al. 2015), even though
78 the importance of fossil data has become widely recognized (e.g., time-calibrating trees: Stadler
79 2010; Parham et al. 2011; Didier et al. 2012). Harcourt-Brown (2002) suggested that analysis of
80 tree balance at different time intervals in a group's history could provide insight into
81 diversification patterns, but there has been little additional work on this topic. Here, we build on
82 Harcourt-Brown's (2002) study by examining changes in tree shape imparted by taxon addition

83 during successive time intervals, and discuss the implications of those changes. We focus on
84 three complementary aspects of tree shape: (1) stability, i.e., the retrieval of identical mutual
85 relationships among taxa when new taxa are added to an existing data matrix; (2) balance, i.e., a
86 measure of how symmetrical or asymmetrical a tree is; and (3) distribution of diversification
87 shifts, i.e., occurrences of significant changes in rates of lineage splitting through time. In
88 addition, we use character compatibility (e.g., Camin and Sokal 1965; Le Quesne 1969, 1982;
89 Estabrook et al. 1976a,b; Meacham and Estabrook 1985) to examine how the structure of the
90 data matrix yielding the trees of interest changes through successive time intervals. Empirical
91 work has shown that addition fossils may alter hypotheses of relationships based on extant taxa
92 only (e.g., Gauthier et al 1988; Cobbett et al. 2007), and simulation studies have revealed that
93 such altered relationships may improve phylogenetic estimates (e.g., Huelsenbeck 1991; Wagner
94 2000b; Wagner and Sidor 2000), a conclusion that has been backed up by real case studies (e.g.,
95 Cunningham et al. 1988). To build on the metaphor of systematists living at different times in the
96 past (see above), strictly extant taxa are simply one particular case of ‘contemporaneous’ taxa
97 (i.e., taxa from a single time slice). Fossil-based phylogenies allow us to look at different sets of
98 “contemporaneous” taxa, and permit comparisons between “contemporaneous only” vs.
99 “fossil+contemporaneous” taxon sets. For the present work, we chose Ruta and Coates’s (2007)
100 phylogeny of early tetrapods (the limbed vertebrates). The monophyly of tetrapods is well
101 established (Gaffney 1979; Panchen and Smithson 1987; Carroll 1991; Clack 2000, 2012). Early
102 tetrapods consist of those limbed vertebrate groups that branch from the tetrapod stem and from

103 the stems of each of the two major extant tetrapod radiations, the lissamphibians and the
104 amniotes. Our use of early tetrapods is justified by the fact that their fossil record is extensive
105 and diverse (Clack, 2012). Furthermore, there is renewed interest in the origin of limbed
106 vertebrates and the patterns and processes underpinning terrestrialization. Notably, the origin of
107 tetrapods represents the most recent of the major evolutionary transitions that led to the
108 establishment of a fundamentally novel animal body plan (Clack 2002a, 2012). We emphasize
109 that there is no agreement on the mutual relationships of various early tetrapod groups and on
110 their affinities with either lissamphibians or amniotes. Although the debate is ongoing (for recent
111 reviews and commentaries, see Anderson 2008 and Marjanović and Laurin 2013), it has little or
112 no relevance to this paper, because we are more concerned with the issues of tree stability and its
113 interpretation than we are with the specific implications of one hypothesized tetrapod phylogeny
114 or another. The present contribution offers a set of protocols that can be used to validate some or
115 all of the main conclusions presented here in light of future, more encompassing studies. In that
116 respect, our approach should be seen as purely exploratory and the results from our investigation
117 ought to be considered exclusively in light of the original findings in Ruta and Coates (2007). In
118 summary, we chose Ruta and Coates (2007) because the taxon sample in that study is large
119 enough to allow us to investigate clade stability over a relatively long time interval. We are
120 aware that the study in question is neither the sole hypothesis of tetrapod interrelationships nor
121 an exhaustive treatment of taxa. We also note that the lissamphibian radiation appears to be
122 conspicuous only in the Mesozoic, and remains modest at the beginning of that era (Marjanović

123 and Laurin 2014), so its impact is trivial for the case study presented here.

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METHODS

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Time Slicing and Phylogenetic Analyses

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Harcourt-Brown (2002) examined changes in tree shape over a 28 myr time period using a foraminiferan tree. The tree was divided into a series of 500,000 year intervals. For any given interval, the relationships of taxa were derived from the original tree based on the presence of only those taxa that were present in that interval, and after manually pruning taxa outside that interval. Our approach also considers taxa that occur in specific time intervals, but differs from Harcourt-Brown's (2002) study because we ran separate phylogenetic analyses for each interval. Specifically, we explored changes in tree shape, relative to the original tree topology, not only through manual taxon pruning, but also by subjecting the taxa present in a given interval to a parsimony analysis.

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The phylogenetic data set of Ruta and Coates (2007) includes 102 early tetrapod taxa coded for 339 characters (Nexus File #320 in the Paleobiology Database http://www.paleobiodb.org/cgi-bin/bridge.pl?a=viewNexusFile&nexusfile_no=320). Our reference topology is a relatively well-resolved strict consensus of 324 MPTs (1584 steps, CI = 0.22, RI = 0.67, RC = 0.15) resulting from a maximum parsimony analysis of all taxa. Taxa were assigned to five time intervals: Devonian (D), Mississippian (M), Pennsylvanian (P), Permian (R), and Mesozoic (Z) (see Fig. 1; Table 1). As early tetrapod diversity is unevenly distributed

143 through time, a finer temporal subdivision would have resulted in intervals with low or no
144 diversity, for which it would be difficult to construct a meaningful phylogeny, as well as
145 intervals with disproportionately high diversity. As an additional simplification, we did not take
146 into account differences in stratigraphic ranges within each time interval (e.g., Brocklehurst et al.
147 2015). The ranges of five taxa (*Edops*, *Chenoprosopus*, *Isodectes*, *Stegotretus*, *Diploceraspis*)
148 cross the boundary between two intervals (Pennsylvanian-Permian) either because of uncertain
149 age assignments or because of separate occurrences in adjacent intervals. Those taxa were treated
150 as belonging to both intervals (see Appendix 1 for stratigraphic ranges of all ingroup taxa).

151 Our time slicing procedure yielded five non-cumulative data sets (hereafter referred to as
152 “extant”), each consisting of taxa that occur solely in a specific interval (i.e., D, M, P, R, Z), as
153 well as four cumulative data sets (hereafter referred to as “fossil+extant”), each consisting of
154 taxa in any given interval plus all taxa occurring in preceding intervals (i.e., D+M, D+M+P,
155 D+M+P+R, D+M+P+R+Z). The 'extant' trees can be likened to neontological phylogenies.
156 Cumulative addition of intervals is likened to the total evidence practice of systematists who
157 consider both extant and fossil taxa simultaneously. We excluded all characters that were
158 uninformative in any given interval (both “extant” and “fossil+extant”), and we conducted
159 maximum parsimony analysis using PAUP* v. 4.0b10 (Swofford 2003) on each of the nine data
160 sets using the tree search protocol of Ruta et al. (2003a) (specifically, parsimony ratchet; see also
161 Quicke et al. 2001). Multistate characters were left unordered. Although ordering may be
162 recommendable in some cases, for instance when alternative states could plausibly be arranged

163 in a morphocline sequence (e.g., Grand et al. 2013), we decided to impose minimum constraints
164 on the relationships among states (i.e., the costs of transformations between non-adjacent states
165 were left identical and equiprobable). Following the phylogenetic analyses, we computed a strict
166 consensus topology for each interval. Finally, we compared the resulting nine consensus trees
167 (hereafter, “re-analyzed trees”) with reference consensus trees (hereafter, “pruned trees”). These
168 pruned trees were obtained by manually pruning the strict consensus of Ruta et al. (2007) in
169 MacClade v. 4.08 (Maddison and Maddison 2003), such that only taxa present in a given interval
170 were retained.

171 The rationale behind this approach is that the taxa present in the pruned trees have the same
172 mutual relationships as in the strict consensus. Conversely, the re-analyzed trees are built from
173 smaller matrices obtained after removal of taxa from the original matrix; these smaller matrices
174 may yield trees that differ from those obtained via the pruning procedure. Comparisons between
175 the pruned trees and the re-analyzed trees allow us to determine the impact of taxon pruning on
176 the topology of a “temporally driven” subsampled tree.

177

178 *Measures of Tree Distance*

179 To assess clade stability after applying time slicing, we examined the congruence between
180 the pruned trees and the re-analyzed trees for each interval. Congruence between trees was
181 assessed with two Tree Distance Metrics (TDMs): the *Partition Metric* (PM) and the *Triplets*
182 *Based Distance Metric* (δ_{TMS}) (Page 1993) using Do not Conflict (DC) and Explicitly Agree (EA)

183 distance criteria (Estabrook et al. 1985). These metrics represent trees as sets of simpler
 184 structures (e.g. partitions; triplets) and use different metrics to assess the similarity of those
 185 structures. EA only considers partitions that are both resolved and of the same type in order to
 186 represent similarities between trees, whereas DC also includes partitions that do not explicitly
 187 represent conflicts (Estabrook et al., 1985). The calculation of these metrics is easy compared to
 188 other metrics, such as transformation metrics (Boorman and Oliver 1973) and was carried out in
 189 Component Lite v. 0.1 (Page 1997; see Janzen et al. 2002; Pisani et al. 2007; Wollenberg et al.
 190 2007 for recent similar studies). In addition, these metrics offer the advantage of being fairly
 191 intuitive and are appropriate for comparisons among tree topologies generated using a variety of
 192 methods, such as parsimony and manual pruning, as expounded above (but see Grand et al. 2013
 193 for novel methods of tree shape comparisons). Because the various time slice trees have differing
 194 numbers of taxa, we followed Pisani's (2002) recommendations in applying normalized variants
 195 of the δ_{PM} and δ_{TMS} values, using two normalizing factors:

$$196 \quad \varphi_r(\delta_{PM}) = 2^{n-4} \quad (1)$$

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$$198 \quad \varphi_r(\delta_{TMS}) = \frac{[n(n-1)(n-2)]}{6} \quad (2)$$

199 where n is the number of taxa in a given time slice. Normalized values vary between 0 and
 200 1, and all of the trees we examined were rooted. We also subtracted normalized δ_{PM} and δ_{TMS}
 201 values from 1 to obtain indices of congruence relative to the 'true' target topology of Ruta and

202 Coates (2007). We used randomization tests to assess the statistical significance of the observed
203 TDMs. The distribution of random simulated trees followed the Equal-Rates Markov (ERM)
204 model (Simberloff et al. 1981; also see below), and we generated the null distribution by
205 sampling all possible binary trees at random with 100 replications.

206 Finally, we used three parsimony-based tests to examine whether the re-analyzed trees fit
207 the time sliced data sets better than the topologies generated by pruning the Ruta and Coates
208 (2007) tree: the Kishino-Hasegawa test (Kishino and Hasegawa 1989), Templeton's (1983)
209 implementation of the Wilcoxon signed-ranks test, and the Winning-sites (sign) test (Prager and
210 Wilson 1988). In brief, the Kishino-Hasegawa test asks whether the steps from trees A and B
211 represent two different normal distributions; Templeton's test examines whether the ranked steps
212 from trees A and B represent two different distributions; and the Winning-sites test asks whether
213 significantly more than half of the characters favor one tree. All three tests are implemented in
214 PAUP* v. 4.0b10 (Swofford 2003), and we set the level of significance (α) at 0.05. The use of
215 these tests in parsimony-based analyses has been criticized on the basis of circularity and
216 violation of the null hypothesis (Goldman et al. 2000; Smith 2010) because the trees compared
217 should be specified prior to the phylogenetic analysis, not after (as is usually the case). However,
218 we consider them to be useful heuristic tools to examine the differences in tree length of various
219 topologies given the data at hand, even if they lack true statistical rigor.

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Analysis of Balance

222 Two parameters that are frequently used to describe the shape of a cladogram are balance –
 223 i.e. the degree of symmetry – and branch length – i.e. the expected amount of change between
 224 branching events, usually expressed in terms of number of character-state changes (Sanderson
 225 and Donoghue 1996). Here, we focus on tree balance (but see Hey 1992; Brown 1994). Balance
 226 is intuitive and easily interpreted (Harcourt-Brown 2001), and numerous indices have been
 227 proposed to measure it (Sackin 1972; Colless 1982; Shao and Sokal 1990; Heard 1992;
 228 Kirkpatrick and Slatkin 1993; Fusco and Cronk 1995; Rogers 1996; Mooers and Heard 1997;
 229 McKenzie and Steel 2000; Purvis et al. 2002). Here, we use Colless' index (I_c), as modified by
 230 Heard (1992), to measure balance. I_c is defined as:

$$231 \quad I_c = \frac{\sum_{\text{all internal nodes}} |T_R - T_L|}{[(n-1)(n-2)/2]} \quad (3)$$

232 In a tree of n taxa, for every interior node the number of terminal taxa subtended by the right
 233 hand branch (T_R) and the number subtended by the left hand branch (T_L) are counted (Heard
 234 1992). I_c is then calculated using (3): the normalizing factor bounds the values so they range
 235 from 0 (in the case of perfect balance) to 1 (in the case of complete imbalance). I_c is easy to
 236 calculate, its behavior is well known, and it gives normalized results that are comparable across
 237 all trees. Ideally, I_c should rely on a complete set of taxa (e.g., all taxa known to belong to a
 238 clade). As our case study phylogeny includes only a subset of taxa, the I_c values should be
 239 considered as if calculated on a whole-taxon topology, i.e. the 102-taxon sample in Ruta and
 240 Coates (2007) would represent the 'total' target topology.

241 We calculated I_c after the polytomies in strict consensus trees were resolved using the
242 software SymmeTREE (Moore and Chan 2005). In SymmeTREE, the range of most and least
243 symmetric dichotomous outcomes is approximated through the random resolution of polytomies
244 using different underlying branching models. We used the taxon-size sensitive (TSS) equal-rates
245 Markov algorithm because it is most conservative with respect to the null hypothesis that there
246 was no significant diversification rate variation leading to unbalanced phylogenies (see Chan and
247 Moore 2005 for further discussion), with 100,000 random resolutions generated for each tree.
248 Because SymmeTREE assumes all polytomies to be soft, any genuine hard polytomies will be
249 resolved (Chan and Moore 2005). We estimated I_c for the series of randomly resolved
250 phylogenies as the arithmetic mean of the confidence intervals with upper (U_b) and lower bounds
251 (L_b) corresponding to the tail probabilities for the 0.025 and 0.975 frequentiles, respectively.

252 We compared the observed indices with those associated with the equal-rates Markov
253 (ERM) null model (Yule 1924). This model is based on a pure-birth (Markovian) branching
254 process (usually bifurcation instead of budding cladogenesis) in which speciation and extinction
255 rates are equally likely across all lineages (Simberloff et al. 1981; see Kirkpatrick and Slatkin
256 1993, Rogers 1994, Rogers 1996, Heard 1996). The ERM model as originally proposed is now
257 often labeled as ERM-TS (equal-rates Markov time slice) model in order to distinguish it from
258 the ERM-TI (equal-rates Markov time-inclusive) model proposed by Harcourt-Brown et al.
259 (2001). Under the ERM-TS model, all branches have an equal chance of splitting at any time,
260 and no probability of extinction is considered (Slowinski and Guyer 1989; Mooers and Heard

261 1997; Harcourt-Brown et al. 2001). Conversely, lineages under the ERM-TI model have an equal
262 probability of splitting or extinction in each time step (Harcourt-Brown et al. 2001). Rogers
263 (1994, 1996) calculated expected values of I_c for trees of varying taxon number under the ERM-
264 TS model by growing trees by random branching and artificially terminating them after a given
265 number of branching events in order to simulate the clade at a given time slice. Harcourt-Brown
266 et al. (2001) demonstrated that the ERM-TS model is in fact relevant only to taxa from a single
267 time slice (i.e., equivalent to neontological trees), and it is not applicable to cases where taxa
268 have been selected from different time intervals, as in paleontological phylogenies. In order to
269 deal with trees including taxa from multiple time slices, Harcourt Brown et al. (2001) introduced
270 the ERM-TI model and they showed that the balance distribution of paleontological phylogenies
271 fits the ERM-TI model extremely well. For both ERM models, as the number of terminal taxa
272 increase, both the expected value of I_c and its standard deviation decrease very rapidly (Fig. 2).
273 This is because the addition of taxa to the tree will, on average, increase balance because the
274 proportion of completely imbalanced topologies will be much lower (Rogers 1996).

275 Given the different proprieties of ERM-TS and ERM-TI null models, we carried out two
276 different kinds of comparisons of our tree balance data: 1) single time slices were treated in the
277 same fashion as neontological phylogenies. Following Harcourt-Brown et al. (2001), we
278 compared the value of I_c for these time slices to that expected from the ERM-TS model. 2)
279 cumulatively added time slices were treated in the same fashion as paleontological phylogenies;
280 we compared the value of I_c for these time slices to that expected from the ERM-TI model.

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Diversification shifts

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Although a number of non-biological factors can affect tree balance (Guyer and Slowinski 1991; Minelli et al. 1991; Fusco and Cronk 1995; Mooers 1995; Mooers et al. 1995; Heard and Mooers 1996; Huelsenbeck and Kirkpatrick 1996; Rannala et al. 1998; Pybus and Harvey 2000; Purvis and Agapow 2002; Huelsenbeck and Lander 2003), the analysis of balance is of intrinsic interest because it can provide insight into macroevolutionary patterns (Farris 1976; Slowinski and Guyer 1989; Heard 1992). Thus, asymmetric phylogenies are expected in cases where sister lineages diversify at different rates, whereas symmetric ones are expected when diversification rates are roughly equal across lineages (Kirkpatrick and Slatkin 1993). Based on these expectations, methods have been developed that use tree shape to infer shifts in diversification rates (Chan and Moore 2002, 2005; Moore et al. 2004), and these topology-based methods have been used in several contexts (e.g., McKenna and Farrell 2006; Ruta et al. 2007; Lloyd et al. 2008; Botha-Brink and Angielczyk 2010). Because the nature of the speciation process has been shown to be intrinsically stochastic (e.g., Raup et al. 1973; Gould et al. 1977), it is necessary to distinguish between chance variation in cladogram shape from variation which requires deterministic explanation when using topology-based methods for identifying diversification shifts (Chan and Moore 2002; see Mooers and Heard 1997 for a review), so the methods compare observed results to those obtained from a null model of random speciation.

Our analysis of diversification shifts focused on the pruned trees, particularly those showing

301 cumulative addition of taxa over the five time slices. We carried out the tests with SymmeTREE
302 (Moore and Chan 2005), which uses the equal-rates Markov (ERM) random-branching model
303 (Yule 1924) as null model. This software performs several whole-tree tests on the relative
304 diversity of all internal nodes of a given tree generalizing individual ERM nodal probabilities P
305 (4) as:

$$306 \quad P = \frac{2l}{N-1} \quad (4)$$

307 where N is the number of species from two sister groups, each consisting of l and r species, and
308 where l is the number of species in the less diverse sister group (Chan and Moore 2002). P thus
309 corresponds to the probabilities of having nodes with the observed level of asymmetry in the
310 descendent lineages.

311 We also investigated temporal distribution of the diversification shift statistic (Δ_1 values in
312 the SymmeTREE output), and of statistically significant ($p \leq 0.05$) and informative ($0.05 < p <$
313 0.1) shifts ($p \Delta_1$ values in the SymmeTREE output) across time slices. This statistic measures the
314 difference in likelihood ratios between the inclusive and the nested node of a three-taxon
315 statement under homogeneous and heterogeneous diversification models (for calculations, see
316 Moore et al. 2004). We used ghost lineages and range extensions from the complete tetrapod
317 phylogeny to date nodes in the time slice trees based on the following two rules. First, the
318 minimum age of a node is taken to coincide with the age of the oldest taxon in the group
319 subtended by that node. Second, if a taxon is present in a more recent time slice than the time
320 slice considered, and if it forms the sister group to an older species or clade, then the range

321 extension of that taxon in the time slice considered was taken to represent an occurrence *de facto*
322 (i.e., the taxon was considered as if it was present).

323 After assigning ages to each internal node, we grouped Δ_1 values according to their ages,
324 and we then compared Δ_1 value clusters within each time slice (e.g., Devonian values compared
325 with Mississippian values within the D+M time slice) and across cumulatively added time slices
326 (e.g., Devonian values in the D+M time slices compared with Devonian values in the D+M+P
327 time slice) to determine whether diversification rates were significantly higher in particular time
328 slices. We used one-way analysis of variance (ANOVA) to determine whether there was
329 significant variation in diversification rates. In cases where significant variation was present we
330 conducted pairwise comparisons between slices using Tukey's Honestly Significant Differences
331 (HSD) test on pairwise comparisons of time slices to determine which time slices had
332 significantly different rates. Since the distribution of our samples was unknown, we also ran non-
333 parametric Wilcoxon Two-Sample tests on pairwise comparisons of time slices.

334

335 *Character Compatibility*

336 The previous tests focus on the topological effects of conducting phylogenetic analyses
337 using taxa in single time slices or several time slices, but they do not provide information on
338 potential changes in the structure of the underlying data matrices that presumably are responsible
339 for those effects. Here we use character compatibility to determine how the structure of the
340 character matrix changes from time slice to time slice, and with the cumulative addition of time

341 slices. Two characters are compatible if a cladogram exists on which they can be optimized
342 without homoplasy (Camin and Sokal 1965; Le Quesne 1969), and methods for deducing
343 compatibility based on character state distributions without examining trees are available for
344 several types of data, including binary and ordered multistate characters (Estabrook and
345 Landrum 1975; McMorris 1975; Estabrook et al. 1976a, 1976b, Estabrook and McMorris 1980;
346 Day et al. 1998). Compatibility has been used for several purposes in the context of phylogenetic
347 studies (Meacham and Estabrook 1985; Wilkinson 2001). Our interest in compatibility stems
348 from the fact that it can provide insight into the amount of homoplasy and hierarchical structure
349 present in a given data set (Alroy 1994; Day et al. 1998), particularly because characters that
350 change relatively infrequently tend to have higher compatibilities than those that change more
351 frequently (O'Keefe and Wagner 2001).

352 We analysed compatibility on our “extant” and “fossil+extant” trees for each period using R
353 (<https://cran.r-project.org/>; see Dryad repository for code and data). We excluded polymorphic
354 codings from each of the “extant” and “fossil+extant” data sets and all invariant characters. With
355 these modifications, the analyzed data sets ranged in size from six to 102 taxa and from 78 to
356 318 characters.

357 To put the incompatibilities in context, we simulated a null distribution for each period using
358 the following procedure. First, we time-calibrated a complete tree using the cal3 method of Bapst
359 (2013), where rates were arbitrarily chosen to keep the root age in the Devonian. Second, we
360 randomly placed 1584 character changes along this phylogeny with the constraint that each

361 character in the data matrix changed at least once; the probability of a character changing on a
362 particular branch was proportional to the length of that branch. Third, we segmented this random
363 tree into the different time slices (D, M, P, R, Z, D+M, D+M+P, D+M+P+R, D+M+P+R+Z) and
364 computed the number of incompatible characters for each. Finally, we repeated this entire
365 procedure 100 times to generate null distributions of incompatibility counts for each time bin.

366 Another set of experiments was devised to assess which two taxa are most incompatible in
367 the data set, and was introduced to make sense of the particularly unstable position of one
368 terminal taxon, *Lethiscus*, and one pair of sister taxa, *Adelospondyli* + *Acherontiscus*. For this
369 experiment, we computed all of the possible pairs of taxa and removed them from the dataset,
370 then compared the number of incompatibilities in the resulting datasets. This allowed us to
371 compare all pairs with *Lethiscus* to all pairs without *Lethiscus*, so that we could assess whether
372 *Lethiscus* had an unusually strong effect on incompatibility.

373

374

RESULTS

375

Phylogenetic Analyses and Measures of Tree Distance

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Parameters of the re-analyzed trees can be found in Appendix 2. Results from comparisons using the Partition Metric (δ_{PM}) and the Triplets Based Distance Metrics (δ_{TMS}), as well as the results of the randomization tests, are summarized in Table 2. DC and EA δ_{TMS} returned nearly identical results, with only the comparisons between the Permian and Mesozoic time slices producing noteworthy differences (Permian: $DC_{normalized} = 0.12$, $EA_{normalized} = 0.33$; Mesozoic:

381 $DC_{\text{normalized}} = 0.00$, $EA_{\text{normalized}} = 0.23$). Because the DC and EA values generally agree, we
382 calculated their means and focus on those in the following discussion and plots. Results of
383 comparisons between trees obtained by cumulative addition of time slices are plotted in Figure 3,
384 with stability quantified as an index of congruence ($1 - \delta_{\text{PM}}$ normalized values) plotted against
385 time. Comparisons between single time slices are shown in Figure 4. Sample analyses in which
386 we arbitrarily assigned the five taxa that cross the Pennsylvanian-Permian boundary to one of the
387 two time slices did not show significantly different results.

388 The addition of taxa to the data set by means of cumulative addition of time slices results in
389 a sigmoidal pattern for δ_{PM} (Fig. 3A), with an increase in congruence in the Mississippian, a
390 plateau in the Pennsylvanian, an increase again in the Permian, and a new plateau in the
391 Mesozoic. δ_{TMs} show a smoother pattern, with little difference between topologies through time
392 (Fig. 3B). We also obtained different results for the two TDMs when we compared single time
393 slices, with δ_{PM} distances emphasizing differences between trees. Devonian time slices were
394 identical using both TDMs. No clear correlation links single time slice comparisons to the
395 pattern of growth shown by the cumulative addition of time slices through time (Figs. 3-4).

396 Results of the three parsimony-based analyses conducted on the various sub-sets of the data
397 matrix are shown in Table 3. In all cases but the Permian, both the re-analyzed “extant” trees and
398 the re-analyzed “fossil+extant” trees fit the data significantly better than the pruned trees in all
399 tests. However, very few taxa were relocated in the D+M (Fig. 5) and D+M+P (Fig. 6)
400 phylogenies relative to the original consensus trees for the entire data matrix: the aïstopod

401 *Lethiscus* and the Adelospondyli + *Acherontiscus* clade were particularly unstable, and the
402 position of the Pennsylvanian temnospondyl *Capetus* was resolved within other temnospondyls
403 in the D+M+P tree. The phylogeny for the D+M+P+R data set (Fig. 7) was also nearly identical
404 to the pruned tree, implying only a minor change very close to the tips of the tree (specifically,
405 the positions of *Eoscopus* and *Platyrhinops* appear resolved within temnospondyls).

406

407 *Analysis of Balance*

408 Comparisons between *Ic* values generated under the null models and mean *Ic* values for the
409 “extant” and the “fossil+extant” phylogenies (following random resolution of polytomies) are
410 presented in Figures 8 and 9. *Ic* values for “fossil+extant” phylogenies (Fig. 8) all fall within the
411 95% confidence interval of the expected values under the ERM-TI model, but all are more
412 imbalanced than expected. The phylogenies for the D+M and D+M+P data sets are more
413 imbalanced than those of the successive cumulative data sets, with the balance of the
414 D+M+P+R+Z phylogeny ($Ic = 0.31$) being the closest to the balance expected from the null
415 model ($Ic = 0.25$).

416 The distribution of *Ic* values for the “extant” phylogenies (Fig. 9) show three different
417 patterns through time when compared with values expected from the ERM-TS model. The
418 Devonian phylogeny (i.e., 6 taxa, fully pectinate topology, $Ic = 1$) falls within the 95%
419 confidence interval derived from the null model. However, we urge caution in interpreting these
420 results because, with so few Devonian taxa in the phylogeny, it would be impossible to detect

421 shifts, no matter how heavily reshuffled the taxa are. Both Carboniferous phylogenies fall
422 outside the 95% confidence interval, being more imbalanced. The Permian and Mesozoic
423 phylogenies fall well within the confidence interval.

424

425 *Diversification Shifts*

426 Table 3 shows the distribution of diversification shifts through time. No diversification shift
427 was observed in the Devonian, but when successive time slices were cumulatively added,
428 diversification shifts occurred at nodes dating to the Devonian, Mississippian and Pennsylvanian.
429 No diversification shift was found among Permian and Mesozoic nodes, regardless of whether
430 “extant” or “fossil+extant” intervals were considered. All shifts found in one time slice were
431 retrieved for corresponding nodes when successive (i.e., more recent) time slices were added
432 (Supplementary Data). Results of ANOVAs on variation in diversification shifts within each
433 time slice are also presented in Table 3: *p* values indicate that the distribution of diversification
434 shifts is not uniform through time (except for the D+M interval, but see discussion of shifts
435 below).

436 The post hoc Tukey's HSD test did not find significant differences in any pairwise
437 comparison, though this may be due to small variance differences between samples. Results of
438 the Wilcoxon Two-Sample test are shown in Table 4. Pairwise comparisons found statistically
439 significant differences in the rates of diversification between Devonian and Pennsylvanian, and
440 between Mississippian and Pennsylvanian, in all the time slices where shifts of those ages were

441 detected. There were no differences in diversification rate between Devonian and Mississippian
442 in any interval (but see discussion of diversification shifts below).

443 Several statistically significant and informative $p \Delta_1$ values were found in the analysis
444 (Table 4, Fig. 1), and all shifts were recovered in the same locations when successive time slices
445 were added. No shifts were found when only the Devonian time slice was analyzed. Simulation
446 of one branching event at random within this set of taxa did not lead to retrieval of significant
447 shift within this tree. Note, however, that shifts along pectinate trees are more likely to occur
448 with increasing numbers of taxa. In short, the tree might have to attain a certain threshold size
449 before a shift can be recognized. Shifts D and C (letters correspond to labels in Fig. 1) show
450 informative $p \Delta_1$ values when recovered for the first time during cumulative addition time slices
451 D+M and D+M+P, respectively. Successive addition of time slices increased the statistical
452 support for the shifts at nodes D and C ($p \Delta_1$ values < 0.05). Nine out of ten significant and
453 informative shifts are located in the Carboniferous, seven of which are observed in the
454 Mississippian. One shift is located at the boundary between the Devonian and Carboniferous.

455

456 *Character Compatibility*

457 Results from the character compatibility analysis are shown in Table 5 and Fig. 10. The total
458 number of incompatibilities increases through time, because of novel taxon additions as
459 progressively more recent time slices are added. Addition of more recent taxa are expected to
460 increase incompatibility, e.g. due to introduction of conflicting states (e.g., reversals; losses)

461 compared with earlier taxa. For the “extant” trees, the observed incompatibilities within each
462 interval fall well within the null distribution that is expected given random character changes
463 along the tree. However, for the “fossil+extant” trees, the observed incompatibilities are greater
464 than the null distribution for the early bins (D+M and D+M+P) and substantially less than the
465 null distribution for the latest time bins (D+M+P+R, D+M+P+R+Z). The fact that the observed
466 incompatibility is higher than expected early on suggests rapid and sustained exhaustion of
467 character states, with the later decrease suggesting introduction of new characters that are less
468 homoplastic. The single bin results imply that, for those data sets, incompatibility does not
469 increase more quickly (or slowly) than expected for the size of the datasets. We interpret the
470 asymptotic shape of the increase as being due to the size of the datasets (i.e., in terms of number
471 of taxa).

472 Experiments of removal of all taxon pairs from the matrix revealed that the stem frog
473 *Triadobatrachus* and putative stem amniote *Caerorhachis* are the pair that, when removed,
474 produce the most compatible overall dataset. *Triadobatrachus* shares several “absence”
475 characters with various groups of early tetrapods. *Caerorhachis* shows a mosaic of primitive and
476 derived characters, and its position relative to the dichotomy between amphibians and amniotes
477 is particularly unstable (Clack 2012). Both taxa also receive a large number of unknown scores
478 for several characters, due to inapplicable and unknown conditions. These results bear on our
479 discussion of the unstable placements of *Lethiscus* and the Adelospondyli + *Acherontiscus* clade
480 (see below; Figure 11).

481

482

DISCUSSION

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Phylogenetic Analyses and Measures of Tree Distance

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The Partition Metric analysis and the parsimony based tests highlighted important differences between time slices, which might indicated that our ability to reconstruct early tetrapod phylogeny changed over time. However, a detailed comparison between time slices and the results of the Triplets Based Distance Metrics showed that only minor topological changes occur through time and between single time slices. In general, most clades are extremely stable through time, with only two particularly unstable taxa (*Lethiscus* and the clade Adelospondyli + *Acherontiscus*) causing the observed differences. Therefore, the unstable placement of some tetrapods in Ruta and Coates' (2007) phylogeny in the re-analyzed trees may be better explained as a result of matrix properties and particular features of the taxa in question than a significant change in our ability to accurately reconstruct phylogeny at different points in the clade's history.

Lethiscus is a highly specialized long-bodied tetrapod without traces of limbs or girdles, and with a highly fenestrated skull that has lost most of the dermal cover and cheek bones (Milner 1994). Because of this unusual body plan, *Lethiscus* was coded with 222 out of 339 (65.5%) inapplicable (or unknown) entries in Ruta and Coates' (2007) data matrix. Coded characters concentrate in the skull table; in the postcranial skeleton, only few vertebral characters were coded, mostly concerning ornamental features. *Lethiscus* occupies a fairly derived position among D+M tetrapods in the pruned tree (Fig. 5a). However, in the tree resulting from re-

501 analysis of D+M taxa only, *Lethiscus* appears on the tetrapod stem, in close proximity to a clade
502 including (Adelospondyli + *Acherontiscus*) and the colosteid *Greererpeton* (note that
503 *Acherontiscus* has been suggested to be an immature or pedomorphic adelospondyl; Ruta et al.
504 2003a, and references therein). From the D+M+P slice onward, *Lethiscus* clusters invariably
505 with aïstopods; in Ruta and Coates' (2007) original analysis it is the most basal aïstopod, a
506 position corroborated by several other analyses (e.g., Anderson 2001; Anderson et al. 2003; Ruta
507 et al. 2003a). The joining of *Lethiscus* and Adelospondyli in the D+M tree likely reflects the fact
508 that adelospondyls, like aïstopods, have elongated bodies, highly modified skulls with orbits
509 placed far anteriorly on the skull (Clack 2002a) and no limbs (Ruta et al. 2003a). Unlike
510 *Lethiscus* and other aïstopods, however, adelospondyls retained putative primitive characters
511 such as a sculptured dermal skull roof and holospondylous vertebrae (Carroll 2001). Therefore,
512 the unstable position of *Lethiscus* probably stems from a combination of missing data and
513 homoplasy. It also emphasizes the potential impact of inadequate taxonomic sampling on
514 phylogeny reconstruction (e.g., Cantino 1992; Wheeler 1992; Wheeler et al. 1993; Wiens 1998;
515 Prendini 2001), and indicates that this can result from analyzing taxa from only a single time
516 slice (such as would be the case for an extant taxa only analysis).

517 The other unstable clade is Adelospondyli + *Acherontiscus*. When we analyzed the time
518 slice D+M+P, the Mississippian clade encompassing the adelogyrinids *Adelospondylus*,
519 *Adelogyrinus*, and *Dolichopareias*, and the acherontiscid *Acherontiscus* moved from a stem
520 group tetrapod position (where it is retrieved in all other time slices) to a total group amniote

521 position as sister group of Nectridea (Fig. 6). This change presumably highlights the paucity of
522 characters of adelospondyls that are uniquely shared with one or more specific tetrapod groups,
523 as well as the highly divergent morphology of these animals. Adelospondyls display a mixture of
524 (suggested) primitive and derived characters such as a temporal notch, relatively simple ribs,
525 large dermal bones, and skull features reminiscent of those of Colosteidae (see Panchen and
526 Smithson 1987). In other respects, such as the vertebral construction, they resemble lepospondyls
527 such as microsaur and lysorophids (Clack 2002a; Ruta et al. 2003a), and Ruta et al. (2003a)
528 reconstructed adelospondyls nested within lepospondyls.

529 In this context, the Partition Metric distances and the results of the parsimony-based tests
530 appear to sharpen what are in fact small differences between the pruned and re-analyzed time
531 slice trees, creating a spurious pattern of conflict. In contrast, the use of the δ_{TM_S} portrayed the
532 phylogeny as very stable through time. Poor performance of δ_{PM} was previously noted by Penny
533 and Hendy (1985), who showed that under certain conditions the Partition Metric can portray
534 two trees differing solely in the position of few or even one taxon as maximally different. Our
535 results for the parsimony based tests can be explained by the fact that the changes to the trees in
536 question cause a great reshuffling of character states depending on the number of times features
537 related to an elongate, limbless body plan are hypothesized to have evolved, despite the overall
538 similarity of the rest of the topologies.

539 The time slice approach also may provide useful insight for helping resolve relationships
540 among taxa in the face of saturation/character state exhaustion. For example, consider the

541 Pennsylvanian temnospondyl *Capetus* (Fig. 6), which possesses primitive features that are
542 ubiquitous among other temnospondyls and autapomorphic characters of its own. Recent
543 analyses have provided some improvement over the incertae sedis taxonomic status originally
544 assigned to *Capetus* by Sequeira and Milner (1993) (e.g., Carroll 2001; Ruta et al. 2003a, b;
545 Laurin and Soler-Gijón 2006; Ruta et al. 2007). Ruta and Coates' (2007) consensus tree placed
546 *Capetus* in a polytomy within Temnospondyli. When we analyzed the D+M+P time slice, which
547 includes only contemporaries of *Capetus* and older taxa, the position of *Capetus* was well-
548 resolved: however, *Capetus* is positioned closer to amphibamids than to cochleosaurids, a result
549 which is obviously at odds with our current understanding of this taxon. In succeeding time
550 slices, this resolution is lost because new taxa with superficially similar but likely homoplastic
551 morphologies are added to the analysis. This type of signal loss likely accounts for the
552 unresolved position of Embolomeri + Eoherpetontidae among total group amniotes in the
553 D+M+P time slice (Fig. 6).

554

555 *Analysis of Balance*

556 The cumulative time slice trees in our data set are all more imbalanced than expected under
557 the null model. Many previous studies have found that published phylogenies reconstructed from
558 empirical data are more imbalanced than predicted under the ERM model (Guyer and Slowinski
559 1991; Heard 1992; Mooers 1995; Purvis and Agapow 2002; Holman 2005; Blum and François
560 2006; Heath et al. 2008), but all these studies used the ERM-TS as their null. According to

561 Harcourt-Brown et al.'s (2001, p. 199) ERM-TI model, "the range of balances generated by [the
562 model] is so great that it is unlikely that any tree will fall outside two standard deviations of the
563 expected value, even if significant evolutionary processes that affect tree shape occurred".
564 Therefore, the fact that two cumulative time slices (i.e., D+M and D+M+P; see Fig. 8) deviate
565 strongly from the null expectation is surprising. This result appears to stem from the Devonian
566 taxa included in the analysis. Although we did not compare the Devonian time slice to the ERM-
567 TI null model (since single time slices were compared with the ERM-TS model), the Devonian
568 taxa are noteworthy because they occupy a very basal position on the tree and appear in a fully
569 pectinate topology. They also form a relatively large proportion of included taxa in the D+M and
570 D+M+P time slices, causing the phylogenies for these slices to be relatively imbalanced. The
571 addition of more recent taxa in subsequent time slices increases the balance of the cladogram,
572 bringing the Ic closer to that predicted by the ERM-TI model. The fact that the Devonian portion
573 of the tree is so imbalanced is certainly due to a sampling artifact (i.e., paucity of taxa), and a
574 meaningful assessment of the contribution of these taxa to tree shape must await inclusion of
575 new Devonian tetrapods.

576 Ic values for 'extant' time slices differ from those of the 'fossil+extant' time slices because
577 the tree shape for each time slice is independent from those of preceding time slices. As
578 explained above, 'extant' time slices are also more similar to neontological phylogenies, making
579 comparison to the ERM-TS model appropriate. Previous studies suggested that neontological
580 phylogenies are, on average, more imbalanced than expected under ERM-TS model (see Mooers

581 and Heard 1997 for a detailed discussion). However, we found that only the two Carboniferous
582 time slices (i.e., Mississippian and Pennsylvanian) fall outside the 95% confidence interval of the
583 expected value (Fig. 9). This difference implies that diversification rates, extinction rates, or
584 preservation potential were variable among tetrapod lineages at the time, such that relatively
585 imbalanced trees are obtained when preceding and succeeding taxa are excluded from
586 consideration.

587 Uneven diversification rates and preservation potentials among Carboniferous tetrapod
588 lineages would not be surprising. The Carboniferous Period saw the first expansion of tetrapods
589 into fully terrestrial habitats, as well as their radiation into a wealth of body forms and ecologies
590 (Clack 2002a). Two subsequent major episodes of diversification within these tetrapods (a stem-
591 lissamphibian radiation, and a stem-amniote radiation; Ruta et al. 2006) also probably occurred
592 during this time span (although uncertainty exists related to different proposed hypotheses of
593 relationships among early tetrapods). Numerous Carboniferous forms retained aquatic
594 adaptations but also possessed characters associated with increased terrestriality (Holmes 1980;
595 Clack 2002b), perhaps related to the acquisition of progressively more terrestrial habitats (Ruta
596 et al. 2003a). Morphological variation was demonstrated to have been unusually high in the early
597 history of tetrapods (e.g., Shubin et al. 2004), and the frequencies of character change also
598 appear to have been high (Ruta et al. 2006). Finally, new food resources for early tetrapods were
599 represented by the increasing diversity of land plants and various groups of invertebrates,
600 arthropods in particular (DiMichele and Hook 1992; Shear and Selden 2001).

601

602

Diversification shifts

603 Cumulative addition of time slices did not affect the relative locations of the significant and
604 informative diversification shifts found in the reference cladogram. This behavior is interpreted,
605 in the context of testing the impact of temporal constraints on phylogenetic analysis, as
606 highlighting a point of stability in the reference phylogeny.

607 Most of the shifts are Mississippian in age, and W -statistics and Δ_1 values for these nodes
608 are consistent as taxa from succeeding time slices are added. We found no shifts in the Permian
609 and Mesozoic, although few nodes of these ages are present (e.g., the temnospondyl sample in
610 Ruta and Coates (2007) includes some of the best-known Permian and Carboniferous members
611 of the clade but omits for practical reasons the large, mostly Mesozoic stereospondyl radiation).
612 There were also no shifts in diversification when only the Devonian time slice was analyzed,
613 which is not surprising because the topology of this tree is fully pectinate and the number of taxa
614 is small. When successive time slices are added, we found six Devonian shifts in diversification,
615 one for each internal Devonian node, all of which are located at the very base of the tree. Shifts
616 at these nodes are the result of a tree topology in which a few taxa arranged in a pectinate fashion
617 join a more complex topology with dozens of branching lineages, and may be spurious because of
618 low taxon sampling among the basal lineages. Even though the Δ_1 values for the Devonian nodes
619 are very low, and far from being statistically significant ($p = 0.48$), they cause the Wilcoxon Two
620 Sample Test to fail in identifying a statistically significant difference between Devonian and

621 Mississippian diversification shifts. Once again, this may reflect paucity of finds from these time
622 intervals as well as inclusion of only the best documented taxa from relevant groups. Even when
623 the test was conducted with $\Delta_1 = 0$ for the Devonian nodes, it yielded a statistically informative
624 ($W = 375, p = 0.0672$) difference between Devonian and Mississippian diversification shifts. An
625 ANOVA test (that for two samples is a simple Student's t -test) also did not identify a significant
626 difference ($F = 0.8526, p = 0.3600$). Although these results call into question the reality of the
627 Devonian diversification shifts, we suggest that this hypothesis be tested in the future by adding
628 other basal taxa, such as osteolepiform fish, to the analysis.

629 Of the remaining diversification shifts, Shift A (Fig. 1) captures the initial post-Devonian
630 radiation of early tetrapods. Notably, this event appears to separate two different faunas because
631 Devonian and Carboniferous taxa are not interleaved on the tree. The Carboniferous radiation
632 appears to have been a unique episode in early tetrapod evolutionary history, with Ruta and
633 Coates (2007) suggesting that all post-Devonian tetrapods may have evolved from a single taxon.
634 The unusual nature of this diversification event is supported by our results because it is found in
635 all time slices and has the largest magnitude of all identified. Various schemes of tetrapod
636 phylogeny – beginning with Coates (2006) – have presented the Devonian *Tulerpeton* as the
637 sister taxon to all post-Devonian tetrapods. However, this scenario has been challenged
638 repeatedly (e.g. Ruta and Bolt 2006), and *Tulerpeton* has been placed at times in a basal position
639 relative to whatcheeriids, a primarily Carboniferous clade (e.g. Lombard and Bolt 1995; Clack
640 and Finney 2005; Warren 2007).

641 Shift B occurs within stem tetrapods. This shift is of particular interest because it highlights
642 an increase in speciation rate among forms that are still primarily aquatic, although it is close to
643 the first primarily terrestrial radiation represented by *Whatcheeria* and *Pederpes* (Ruta and Clack
644 2006).

645 Shift C is placed after *Eucritta*, a taxon showing a mixture of characters found in baphetids,
646 temnospondyls and anthracosaurids that subtends the origin of the total groups Amphibia and
647 Amniota. This shift began the radiation among early tetrapods that eventually led to the
648 emergence of the modern tetrapod fauna (i.e., true amniotes and amphibians, and their closest
649 relatives).

650 Shift D is associated with the origin of total group amniotes. Its position is important
651 because it is soon after their divergence from total group amphibians. This radiation is also
652 strictly related to the invasion of land: basal amniotes show a pattern of character acquisition that
653 reflects progressive, rapid adaptation to life on land (Ruta and Clack 2006), even if certain
654 amniote lineages returned to the aquatic environment in the group's early history (e.g., some
655 seymouriamorphs, microsaur, nectrideans) (e.g. see Bossy and Milner 1998).

656 Shifts E, F and G characterize radiations among stem amniotes that are associated with
657 important skeletal modifications for terrestrial life (see below). Shifts E and F are close to the
658 base of crown amniotes, and subtend the origin of very terrestrially adapted groups such as the
659 Seymouriamorpha and Diadectomorpha. Notably, diadectomorphs include some of the earliest
660 high-fiber tetrapod herbivores (Hotton et al. 1996; Sues and Reisz 1998; Reisz and Sues 2000;

661 Reisz, 2006). Shift G characterizes the radiation of Lepospondyli, a highly diverse and
662 heterogeneous clade showing extreme variability in features such as the number of vertebrae,
663 pattern and/or number of cranial bones, and presence/absence of limbs.

664 Shift H pinpoints a diversification that takes place within Nectridea, between the basal
665 scincosaurid *Scincosaurus* and the holospondyls. Scincosaurids previously were interpreted as
666 showing primitive conditions within the nectrideans, especially with respect to many cranial
667 features (Bossy and Milner 1998). Their box-like skulls are very different from the diversity of
668 shapes that evolved within the other members of the clade, and may represent the basic shape
669 that gave rise to the urocordylids, aïstopods and the more derived nectrideans. *Scincosaurus* was
670 probably amphibious (Milner 1980), with the subsequent diversification producing both aquatic
671 (e.g., urocordylideans) and semi-aquatic/terrestrial forms (e.g., aïstopods) (Laurin et al. 2004).

672 Shifts I and J are well within the total groups Amphibia and Amniota, and highlight
673 radiations among two important groups of early tetrapods: Temnospondyli (the most abundant
674 and diverse of all groups of basal tetrapods; Ruta et al. 2003a) and Microsauria (an extremely
675 diverse group that reached a position of dominance during the Carboniferous; Carroll and
676 Gaskill, 1978). Interestingly, shift I subtends the radiation of many amphibamid temnospondyls,
677 a successful group of miniaturized dissorophoids showing predominantly terrestrial adaptations
678 (Laurin et al. 2004). Since these shifts are both in derived positions within the tree it will be
679 important to test if they are retrieved in the same positions when taxonomic sampling is
680 increased.

681 Results of the diversification shift analysis presented here strongly support the view that the
682 Mississippian, particularly the early Mississippian, was a time of extensive radiation among
683 basal tetrapods, with all of the major lineages originating at this time and accompanied by
684 increasing morphological disparity (Garcia et al. 2006). However, the 30-million-year hiatus
685 ('Romer's Gap') at the base of the Carboniferous fossil record hinders our understanding of how
686 this event unfolded (Coates and Clack 1995). Similarly, all of the Mississippian localities
687 represent aquatic environments only (with the notable exception of the hot spring volcanoclastic
688 sediments of East Kirkton; Rolfe et al. 1994) increasing the unevenness of our knowledge.
689 Although new discoveries are slowly filling this gap in the history of early tetrapods (e.g., Clack
690 2002b) indirect analyses such as our diversification shift analysis still have a key role to play in
691 developing hypotheses about this diversification that can be tested as new fossil data are
692 collected.

693 *Character Compatibility*

694 The results of our character compatibility analysis show that levels of character
695 compatibility (and therefore homoplasy) did not differ significantly from the amounts expected
696 by random character state changes distributed across the trees. We suspect that this is an artifact
697 of the exclusion of many taxa from the individual time slices. The resulting loss of information
698 makes it difficult to accurately assess when apparent compatibilities between characters are
699 genuine versus when spurious compatibilities arise because the number and polarities of
700 character state changes in various lineages are obscured by inadequate taxon sampling. In the

701 cumulative trees, however, incompatibility (and thus homoplasy) was high relative to null
702 expectations early in the history of tetrapods, but much lower later in their history. Our null
703 distributions assume an equal rate of character change through time, so these offsets could be
704 explained by declining rates of morphological evolution. High early rates produce higher-than-
705 expected levels of incompatibility, possibly reflective of character state exhaustion (i.e., new taxa
706 are more likely to possess novel combinations of existing character states than genuinely new
707 states; see also Wagner, 2000a), whereas lower rates produce lower-than-expected levels of
708 incompatibility. Angielczyk and Ruta (2012) found that skull shape disparity was strongly
709 correlated with diversity in Permo-Carboniferous temnospondyls, implying that newly evolved
710 species possessed distinctive new skull shapes, perhaps reflecting a similar underlying process
711 for generating morphological novelty.

712 The change in sampling through time may also generate a signal of lower-than-expected
713 homoplasy later in the history of tetrapods. Radiations such as the lepospondyls and
714 lissamphibians are poorly sampled in our phylogeny. If these tetrapod subclades underwent their
715 own dramatic diversifications with high rates of character change, but have fewer characters
716 scored in our dataset, the lower rates of homoplasy later could be due to declining rates only in
717 the overall backbone of the phylogeny.

718

719

CONCLUSIONS

720 Analysis of Ruta and Coates' (2007) early tetrapod phylogeny using a time slice approach

721 found that our ability to reconstruct relationships among basal tetrapod clades remains almost
722 unchanged through time. A few shifts in the position of some taxa and clades through time are
723 explained in terms of matrix properties and particular features of the considered taxa.

724 The time slice approach (i.e., the dissection of the phylogenetic analysis into time bins)
725 permits a better understanding of the relationships of a group at particular intervals in its
726 evolutionary history, and shows considerable potential for exploring the behavior of phylogenies
727 when taxa are added in the chronological order in which they appear in the fossil record. A
728 particularly significant case in the application of this method is represented by the addition of
729 fossils to data sets of extant organisms. Studies of the mutual positions of taxa at specific time
730 intervals may thus be of interest to paleontologists working on groups with long evolutionary
731 histories, and to neontologists studying clades with extensive fossil records. Changes in the
732 position of taxa through time may be due to the effects imparted by the subsequent history of a
733 group (e.g., convergent evolution of similar character suites), although methodological problems
734 (i.e., matrix properties) cannot be ruled out. The methodology highlights the importance of
735 comprehensive taxon and character inclusion in phylogenetic analysis, as well as detailed
736 consideration of how particular taxa and characters influence the results of the phylogenetic
737 analysis. However, it is important to note that such analyses will need to consider the
738 idiosyncracies of the underlying data set(s), so the extrapolation of general observations may be
739 difficult. As suggested by Harcourt-Brown (2002), the time-slice approach provides a valuable
740 quantitative method for identifying non-stochastic evolutionary processes, with the unique

741 possibility of pinpointing particular times in a clade's history during which taxa underwent non-
742 random speciation events. Cumulative addition of time slices and comparisons of resulting
743 topologies with those generated by an ERM-TI model extends this technique and may provide
744 important clues about the macroevolutionary history of a clade. However, the wide 95%
745 confidence interval around tree balance index values prevent statistical support for non-
746 randomness in most topologies. For this reason, non-stochastic distributions of balance through
747 time slices are considered to be informative and have clearly interpretable meanings in our
748 example of tetrapod phylogeny.

749 Specifically, tree balance in Carboniferous time slices was found to differ from expected
750 values, emphasizing the remarkable diversification that tetrapods underwent at this time (Clack
751 2012).

752 The overall stability of early tetrapod phylogeny is supported by the analysis of shifts in
753 diversification; addition of successive (i.e., more recent) time slices does not affect the
754 distribution of diversification shifts. The Mississippian was found to be a time of explosive
755 radiation in early tetrapod evolution, corroborating previous analyses. The importance of the
756 Early Carboniferous likely stems from ecological and environmental factors, especially
757 increasing terrestrialization of the first limbed vertebrates.

758 Character incompatibility was high in the early history of tetrapods, but declined to be
759 much lower than expected relative to a null distribution based on a single rate of character
760 change. This result is consistent with models of evolutionary radiations where clades have higher

761 rates early in their history, producing greater than expected homoplasy. Subsequent radiations,
762 such as the origin of lissamphibians in the Triassic, may “reset” the rates and produce new, novel
763 forms with higher rates in new regions of character space. Removing *Triadobatrachus* and
764 *Caerorhacis* from the data matrix produced the largest decrease in the total number of
765 incompatibilities (compared to all other pairs of taxa).

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1115 **Appendix 1: Taxonomic sample (from Ruta and Coates 2007)**

Taxa	Period/s	
Unranked basal taxa	<i>Acanthostega gunnari</i> Jarvik 1952	D
	<i>Ichthyostega stensioei</i> Säve-Söderbergh 1932	D
	<i>Tulerpeton curtum</i> Lebedev 1984	D
	<i>Ventastega curonica</i> Ahlberg et al. 1994	D
	<i>Caerorhachis bairdi</i> Holmes and Carroll 1977	M
	<i>Crassigyrinus scoticus</i> Watson 1929	M
	<i>Eucritta melanolimnetes</i> Clack 1998.	M
	<i>Ossinodus pueri</i> (Warren and Turner 2004)	M
	<i>Pederpes finneyae</i> (Clack 2002)	M
	<i>Westlothiana lizziae</i> Smithson and Rolfe 1990	M
	<i>Whatcheeria deltae</i> Lombard and Bolt 1995	M
Acherontiscidae	Acherontiscidae	
	<i>Acherontiscus caledoniae</i> Carroll 1969b	M
Adelospondyli	Adelogyrinidae	
	<i>Adelospondylus watsoni</i> Carroll 1967	M
	<i>Adelogyrinus simorhynchus</i> Watson 1929	M
	<i>Dolichopareias disjunctus</i> Watson 1929	M
Aïstopoda	Lethiscidae	
	<i>Lethiscus stocki</i> Wellstead 1982	M
	Ophiderpetontidae	
	<i>Oestocephalus amphiuminum</i> Cope 1868	P
	Phlegethontiidae	
	<i>Phlegethontia linearis</i> Cope 1871	P
Baphetidae	Baphetidae	
	<i>Baphetes kirkbyi</i> Watson 1929	P
	<i>Megalocephalus pachycephalus</i> (Barkas 1873)	P
Colosteidae	Colosteidae	
	<i>Colosteus scutellatus</i> (Newberry 1856)	P
	<i>Greererpeton burkemorani</i> Romer 1969	M
Diadectomorpha	Diadectidae	
	<i>Diadectes absitus</i> Berman et al. 1998	R
	<i>Orobates pabsti</i> (Berman et al. 2004)	R
	<i>Tseajaia campi</i> (Moss 1972)	R
	Limnoscelidae	
	<i>Limnoscelis paludis</i> Williston 1911	R
	<i>Solenodonsaurus janenschi</i> Broili 1924	P
Embolomeri	Anthracosauridae	
	<i>Anthracosaurus russelli</i> Huxley 1863	P
	<i>Silvanerpeton miripedes</i> (Clack 1994)	M

	Archeriidae		
	<i>Archeria crassidisca</i> (Cope 1884)	R	
	Eogyrinidae		
	<i>Pholiderpeton attheyi</i> (Watson 1926)	P	
	<i>Pholiderpeton scutigerum</i> Huxley 1869	P	
	Eoherpetontidae		
Gephyrostegidae	<i>Eoherpeton watsoni</i> Panchen 1975	M	
	<i>Proterogyrinus scheelei</i> Romer 1970	M	
	Gephyrostegidae		
Lysorophia	<i>Brukererpeton fiebigi</i> Boy and Bandel 1973	P	
	<i>Gephyrostegus bohemicus</i> Jaekel 1902	P	
	Cocytinidae		
Microsauria	<i>Brachydectes elongatus</i> Wellstead 1991	P	
	<i>Brachydectes newberryi</i> Cope 1868		
	Brachystelechidae		
	<i>Batropetes fritschia</i> (Geinitz and Deichmüller 1882)	R	
	Hapsidopareiontidae		
	<i>Hapsidopareion lepton</i> Daly 1973	R	
	<i>Saxonerpeton geinitzi</i> (Credner 1890)	R	
	Goniorhynchidae		
	<i>Rhynchonkos stovalli</i> (Olson 1970).	R	
	Gymnarthridae		
	<i>Cardiocephalus sternbergi</i> Broili 1904a	R	
	<i>Euryodus primus</i> Olson 1939	R	
	Hyloplesiontidae		
	<i>Hyloplesion longicostatum</i> Fritsch 1876	P	
	Microbrachidae		
	<i>Microbrachis pelikani</i> Fritsch 1876	P	
	Odonterpetontidae		
	<i>Odonterpeton triangulare</i> Moodie 1909	P	
	Ostodolepidae		
	<i>Micraroter erythrogeios</i> Daly 1973	R	
	<i>Pelodosotis elongatum</i> Carroll and Gaskill 1978	R	
	Pantylidae		
	<i>Pantylus cordatus</i> Cope 1871	R	
	<i>Stegotretus agyrus</i> Berman et al. 1988	P-R	
	Tuditanidae		
	<i>Asaphestera intermedia</i> (Dawson 1894)	P	
	<i>Tuditanus punctulatus</i> Cope 1874	P	
	Nectridea	Diplocaulidae	
		<i>Batrachiderpeton reticulatum</i> (Hancock and Atthey 1869)	R
		<i>Diceratosaurus brevirostris</i> (Cope 1875)	P
		<i>Diplocaulus magnicornis</i> Cope 1882	R
		<i>Diploceraspis burkei</i> Romer 1952	R
		<i>Keraterpeton galvani</i> Wright and Huxley 1866	P
Scincosauridae			
<i>Scincosaurus crassus</i> Fritsch 1876		P	
Urocordylidae			

	<i>Ptyonius marshii</i> Cope 1875	R	
	<i>Sauropleura</i> Cope 1868	P	
	<i>Urocordylus wandesfordii</i> Wright and Huxley 1866	P	
Seymouriamorpha	Discosauriscidae		
	<i>Ariekanerpeton sigalovi</i> (Ivakhnenko 1981)	R	
	<i>Discosauriscus austriacus</i> (Makowsky 1876)	R	
	<i>Utegenia shpinari</i> (Kuznetsov and Ivakhnenko 1981)	R	
	Kotlassiidae		
	<i>Kotlassia prima</i> Amalitsky 1921	R	
	Leptorophidae		
	<i>Leptorophia talonophora</i> (Tchudinov 1955)	R	
	<i>Microphon exiguous</i> (Ivakhnenko 1983)	R	
	Seymouriidae		
	<i>Seymouria</i> Broili 1904b	R	
	Temnospondyli	Amphibamidae	
<i>Amphibamus grandiceps</i> Cope 1865		P	
<i>Doleserpeton annectens</i> Bolt 1969		R	
<i>Eoscopus lockardi</i> Daly 1994		P	
<i>Platyrhinops lyelli</i> (Wyman 1858)		P	
Branchiosauridae			
<i>Apateon pedestris</i> Meyer 1844		R	
<i>Leptorophus tener</i> (Schönfeld 1911)		R	
<i>Schoenfelderpeton prescheri</i> Boy 1986		R	
Cochleosauridae			
<i>Chenoprosopus lewisi</i> Hook 1993		P-R	
<i>Cochleosaurus florensis</i> Rieppel 1980		P	
Dendrerpetontidae			
<i>Dendrerpeton acadianum</i> Owen 1853		P	
Dissorophidae			
<i>Broiliellus brevis</i> Carroll 1964		R	
<i>Ecolsonia cutlerensis</i> Vaughn 1969		R	
Edopidae			
<i>Edops craigi</i> Romer 1935		R	
Eobrachyopidae			
<i>Isodectes obtusus</i> (Cope 1868)		R	
Eryopidae			
<i>Eryops megacephalus</i> Cope 1877		R	
Micromelerpetontidae			
<i>Micromelerpeton credneri</i> Bulman and Whittard 1926		R	
Trematopidae			
<i>Phonerpeton pricei</i> (Olson 1941)		R	
Trimerorhachidae			
<i>Neldasaurus wrightae</i> Chase, 1965		R	
<i>Trimerorhachis</i> cfr. <i>insignis</i> Case, 1935		R	
Family incertae sedis		<i>Balanerpeton woodi</i> Milner and Sequeira 1994	M
		<i>Capetus palustris</i> (Sequeira and Milner 1993)	P
Crown-group Lissamphibia		<i>Albanerpeton inexpectatum</i> Estes and Hoffstetter 1976	M
	<i>Eocaecilia micropoda</i> Jenkins and Walsh 1993	M	

	<i>Karaurus sharovi</i> Ivakhnenko 1978	M ¹¹¹⁶
	<i>Triadobatrachus massinoti</i> (Piveteau 1936)	M
	<i>Valdotriton gracilis</i> Evans and Milner 1996	M ¹¹¹⁷
	<i>Notobatrachus degiustoi</i> Reig 1955	M
	<i>Vieraella herbsti</i> (Bàez and Basso 1996)	M
Crown-group Amniota	Captorhinidae	1118
	<i>Captorhinus aguti</i> Cope 1882	R
	“Protothyrididae”	P ¹¹¹⁹
	<i>Paleothyris acadiana</i> Carroll 1969a	P
	Araeoscelidia	
	<i>Petrolacosaurus kansensis</i> Lane 1945	P ¹¹²⁰

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1122 **APPENDIX 2: PAUP* ANALYSES**

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1124 Maximum parsimony analysis in PAUP* v. 4.0b10 (Swofford 2003) for single and cumulative
1125 time slices gave the following results:

1126 Devonian: 1 tree found, 106 steps long, with Consistency Index (CI) = 0.85 (excluding
1127 uninformative characters), Retention Index (RI) = 0.82 and Rescaled Consistency Index (RC) =
1128 0.78.

1129 Mississippian: 3 trees found, 316 steps long, with Consistency Index (CI) = 0.52 (excluding
1130 uninformative characters), Retention Index (RI) = 0.57 and Rescaled Consistency Index (RC) =
1131 0.35.

1132 Pennsylvanian: 12 trees found, 616 steps long, with Consistency Index (CI) = 0.39 (excluding
1133 uninformative characters), Retention Index (RI) = 0.64 and Rescaled Consistency Index (RC) =
1134 0.27.

1135 Permian: 177 trees found, 698 steps long, with Consistency Index (CI) = 0.34 (excluding
1136 uninformative characters), Retention Index (RI) = 0.66 and Rescaled Consistency Index (RC) =
1137 0.25.

1138 Mesozoic: 2 trees found, 102 steps long, with Consistency Index (CI) = 0.69 (excluding
1139 uninformative characters), Retention Index (RI) = 0.64 and Rescaled Consistency Index (RC) =
1140 0.52.

1141 Devonian+Mississippian: 3 trees found, 457 steps long, with Consistency Index (CI) = 0.48

1142 (excluding uninformative characters), Retention Index (RI) = 0.61 and Rescaled Consistency
1143 Index (RC) = 0.32.

1144 Devonian+Mississippian+Pennsylvanian: 192 trees found, 986 steps long, with Consistency
1145 Index (CI) = 0.32 (excluding uninformative characters), Retention Index (RI) = 0.64 and
1146 Rescaled Consistency Index (RC) = 0.22.

1147 Devonian+Mississippian+Pennsylvanian+Permian: 54 trees found, 1450 steps long, with
1148 Consistency Index (CI) = 0.24 (excluding uninformative characters), Retention Index (RI) = 0.67
1149 and Rescaled Consistency Index (RC) = 0.17.

1150 Devonian+Mississippian+Pennsylvanian+Permian+Mesozoic: 324 trees, 1584 steps long, with
1151 Consistency Index (CI) = 0.22 (excluding uninformative characters), Retention Index (RI) = 0.67
1152 and Rescaled Consistency Index (RC) = 0.15 (note that this is a re-analysis of the whole Ruta
1153 and Coates' (2007) matrix; the same number of MPTs and the same parameters were found).

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1155 **Table legends**

1156 **TABLE 1.** The five time slices, their ages, and the number of taxa which have their range in those
1157 slices. The number of taxa considered in this study is 102: five taxa cross the boundary between
1158 two time slices, and have been considered as belonging to both the time slices (ages from Cohen
1159 et al. 2013).

1160 **TABLE 2.** Comparison between manually pruned and computer re-run time slice trees performed
1161 using Partition Metric (δ_{PM}) and two Triplets Based Distance Metrics (δ_{TMs}) measures of
1162 distance: DCs (do not conflict) and EA (explicitly agree). Results for the randomization tests
1163 (100 replications) and for the three different parametric tests are given in p values. D =
1164 Devonian, M = Mississippian, P = Pennsylvanian, R = Permian, Z = Mesozoic.

1165 **TABLE 3.** Distribution of Δ_1 values (i.e., number of shifts per age) across cumulative time slices
1166 and results of ANOVA test, and Wilcoxon Two Sample Test's results of pairwise comparisons of
1167 Δ_1 values across time slices. In brackets Δ_1 values $\neq 0$. Statistical significant values highlighted
1168 in bold. Dashes identify no node of that age present. Devonian time slice excluded since all
1169 nodes are of the same age (i.e., Devonian), and no shifts in diversification was found. D =
1170 Devonian, M = Mississippian, P = Pennsylvanian, R = Permian.

1171 **TABLE 4.** Significant ($p \leq 0.05$) and informative ($0.05 < p < 0.1$) Δ_1 values found in each
1172 cumulatively added time slice. Shifts are labeled with a capital letter as in Fig. 1 and in the text.
1173 Age of the shifts is also given. Values corrected for multiple testing (FDR, Benjamini and
1174 Hochbert 1995) are provided in italics. Dashes identifies no shift present. D = Devonian, M =

1175 Mississippian, P = Pennsylvanian, R = Permian.

1176 **TABLE 5.** Character compatibility analysis for both single and cumulative time slices described

1177 by Incompatibility count.

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1178 **TABLE 1**

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Time bin	Age (Ma)	N of taxa
Devonian	419.2 – 358.9	6
Mississippian	358.9 – 323.2	17
Pennsylvanian	323.2 – 298.9	34
Permian	298.9 – 252.2	39
Mesozoic	252.2 – 66.0	7

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1187 **TABLE 2**

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Time slices	N of taxa/time slice	δ PM	δ PM normalized	1- δ PM normalized	δ PM randomization	δ TMs		δ TMs normalized		1 - δ TMs normalized		δ TMs randomization		Templeton test	Winning-sites test	Kishino-Hasegawa test
						DC	EA	DC	EA	DC	EA	DC	EA			
D	6	0	0.00	1.00	0.01	0	0	0.00	0.00	1.00	1.00	0.01	0.01	1	1	1
M	17	18	0.60	0.40	0.01	102	102	0.15	0.15	0.85	0.85	0.01	0.01	<0.05	0.052	<0.05
P	36	17	0.25	0.75	0.01	71	142	0.01	0.02	0.99	0.98	0.01	0.01	<0.05	<0.05	<0.05
R	41	29	0.37	0.63	0.01	1279	3518	0.12	0.33	0.88	0.67	0.01	0.01	0.210	0.101	0.201
Z	7	1	0.10	0.90	0.01	0	8	0.00	0.23	1.00	0.77	0.01	0.01	<0.05	0.125	<0.05
D+M	23	18	0.43	0.57	0.01	106	106	0.06	0.06	0.94	0.94	0.01	0.01	<0.05	0.087	<0.05
D+M+P	59	43	0.37	0.62	0.01	4226	4876	0.13	0.15	0.87	0.85	0.01	0.01	<0.05	<0.05	<0.05
D+M+P+R	95	2	0.01	0.99	0.01	0	0	0.00	0.00	1.00	1.00	0.01	0.01	<0.05	<0.05	<0.05

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1194 **TABLE 3**

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Time slices	D+M	D+M+P	D+M+P+R	Whole
Shifts per age				
Devonian	12 (6)	12 (6)	12 (6)	12 (6)
Mississippian	73 (14)	63 (19)	65 (21)	61 (21)
Pennsylvanian	-	79 (0)	75 (7)	76 (7)
Permian	-	-	33 (0)	32 (0)
Mesozoic	-	-	-	12 (0)
ANOVA test				
F statistic	0.0862	8.297	5.969	5.147
p value	0.809	0.000381	0.000671	0.000588
Pairwise comparison				
Devonian - Mississippian	W = 615.0 p = 0.209	W = 483.5 p = 0.686	W = 473.5 p = 0.7249	W = 464.5 p = 0.7546
Devonian - Pennsylvanian		no Pennsylvanian Δ_1 values $\neq 0$	W = 697.0 p = 0.0369	W = 706.0 p = 0.0359
Mississippian - Pennsylvanian		no Pennsylvanian Δ_1 values $\neq 0$	W = 4625.0 p = 0.0175	W = 4680.5 p = 0.0147

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1203 **TABLE 4**

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Shift	Age of the shift	D+M	D+M+P	D+M+P+R	Whole
A	Devonian-Mississippian	Significant (0.04) (0.05)	Significant (0.03) (0.05)	Significant (0.03) (0.05)	Significant (0.03) (0.05)
B	Mississippian	Informative (0.07) (0.07)	Informative (0.07) (0.07)	Informative (0.07) (0.07)	Informative (0.07) (0.07)
D	Mississippian	Informative (0.07) (0.07)	Informative (0.06) (0.07)	Significant (0.05) (0.06)	Significant (0.04) (0.05)
C	Mississippian	-	Significant (0.03) (0.05)	Significant (0.03) (0.05)	Significant (0.03) (0.05)
F	Mississippian	-	Significant (0.04) (0.05)	Significant (0.04) (0.05)	Significant (0.04) (0.05)
G	Mississippian	-	Significant (0.03) (0.05)	Significant (0.04) (0.05)	Significant (0.04) (0.05)
H	Mississippian	-	Significant (0.04) (0.05)	Significant (0.01) (0.05)	Significant (0.01) (0.05)
E	Mississippian	-	-	Significant (0.04) (0.05)	Significant (0.04) (0.05)
J	Pennsylvanian	-	-	Significant (0.04) (0.05)	Significant (0.04) (0.05)
I	Pennsylvanian	-	-	-	Informative (0.08) (0.08)

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1209 **TABLE 5.**

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Data set	Incompatibility count
Devonian	35
Mississippian	1296
Pennsylvanian	4744
Permian	5523
Mesozoic	116
D+M	2997
D+M+P	9498
D+M+P+R	13940
Whole	15503

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1217 **FIGURE CAPTIONS**

1218 **FIGURE 1.** Chronogram of early tetrapod relationships, proposed by Ruta and Coates (2007),
1219 showing stratigraphic distribution, regarded as the reference tree for this study. Taxa whose
1220 ranges cross the boundary between two time slices are figured twice. In bold, branches along
1221 which significant diversification rate shifts supported by $p \Delta_1$ values were found; shifts are also
1222 labeled with capital letters (see text for discussion). Grey brackets at the top highlight the three
1223 main groups of tetrapods represented in the cladogram.

1224 **FIGURE 2.** Comparison between the expected distributions of the ERM-TS and ERM-TI models.
1225 The continuous line represents the expected values of I_c under the ERM-TS model. The dotted
1226 lines represents lower and upper bounds (two standard deviations) of the expected value. The
1227 short dashed line represents the expected values of I_c under the ERM-TI model. The two long
1228 dashed lines represent two standard deviations above and below the expected value. Data for the
1229 ERM-TS model from Rogers (1994); data for the ERM-TI model thanks to Dr J. E. Tarver.

1230 **FIGURE 3.** 'Growth' of the Ruta and Coates' (2007) early tetrapod phylogeny as obtained by
1231 comparison between cumulatively added re-run time slice trees and manually pruned time slices.
1232 Comparisons obtained using the Partition Metric (δ_{PM}) are shown in A; comparisons obtained
1233 using the Triplet Based Distance Metrics (δ_{TMs}) are shown in B. D = Devonian, M =
1234 Mississippian, P = Pennsylvanian, R = Permian.

1235 **FIGURE 4.** Single time slices comparison as obtained by comparison between re-run consecutive
1236 time slice trees and pruned time slices from Ruta and Coates' (2007) early tetrapod phylogeny.

1237 Comparisons obtained using the Partition Metric (δ_{PM}) are shown in a; comparisons obtained
1238 using the Triplet Based Distance Metrics (δ_{TMs}) are shown in b. D = Devonian, M =
1239 Mississippian, P = Pennsylvanian, R = Permian, Z = Mesozoic.

1240 **FIGURE 5.** Comparison between Devonian + Mississippian (D+M) time slices: pruned (A) and
1241 re-run tree (B). Relocated taxa highlighted in bold; note *Lethiscus* in particular (see text for
1242 discussion).

1243 **FIGURE 6.** Comparison between Devonian + Mississippian + Pennsylvanian (D+M+P) time
1244 slices: pruned (A) and re-run tree (B). Relocated taxa highlighted in bold; note (Adelospondyli +
1245 *Acheronthiscus*) in particular; see also the position of *Capetus* among Temnospondyli (see text
1246 for discussion).

1247 **FIGURE 7.** Comparison between Devonian + Mississippian + Pennsylvanian + Permian
1248 (D+M+P+R) time slices: pruned (A) and re-run tree (B). Relocated taxa highlighted in bold.
1249 *Eoscopus* and *Platyrhinops* polytomy becomes resolved; they become more
1250 closely related to the other Amphibamidae than to the Branchiosauridae (see text for discussion).

1251 **FIGURE 8.** Comparison between I_c for cumulatively added time slices and those expected from
1252 the ERM-TI model. Continuous line represent values for early tetrapod time slices. Dotted line
1253 represent expected values under the null model with dashed lines being two standard deviations
1254 from that value. D = Devonian, M = Mississippian, P = Pennsylvanian, R = Permian.

1255 **FIGURE 9.** Comparison between I_c for single, consecutive time slices and those expected from
1256 the ERM-TS model. Continuous line represent values for early tetrapods time slices. Dashed

1257 lines represent two standard deviations from the value expected under the null model. D =
1258 Devonian, M = Mississippian, P = Pennsylvanian, R = Permian, Z = Mesozoic.

1259 **FIGURE 10.** Comparison of the observed incompatibilities (gray) to the null distributions of
1260 character incompatibilities generated after evolving 318 characters along 100 randomly time
1261 scaled phylogenies (width of black bars corresponds to the number of simulated trees with that
1262 an incompatibility count falling into each bin; all spindles are based on 100 different trees). Each
1263 character was constrained to change at least once, and the total number of changes was set to be
1264 1584 steps (the length of the maximum parsimony tree). The null distributions were generated by
1265 taking each time period and slicing the tree there to produce extant (A) and fossil+extant (B)
1266 trees, then analysing the simulated incompatibilities.

1267 **FIGURE 11.** Distribution of incompatibilities when each of the possible taxon pairs are removed.
1268 Bars in gray represent the overall distribution, while bars in black represent those pairs without
1269 *Lethiscus*. The extreme left value (indicated by the arrow) represents the number of
1270 incompatibilities when *Triadobatrachus* and *Caerhachis* are removed.

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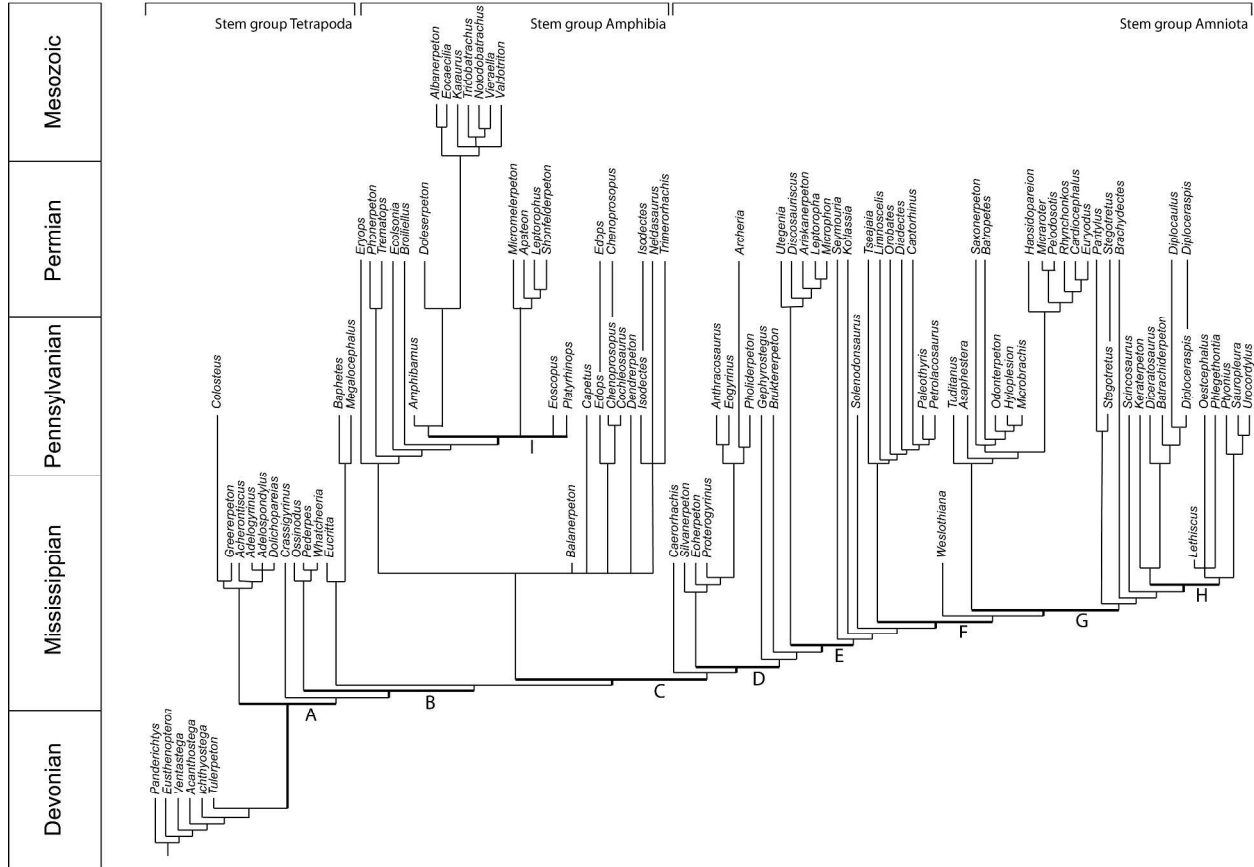
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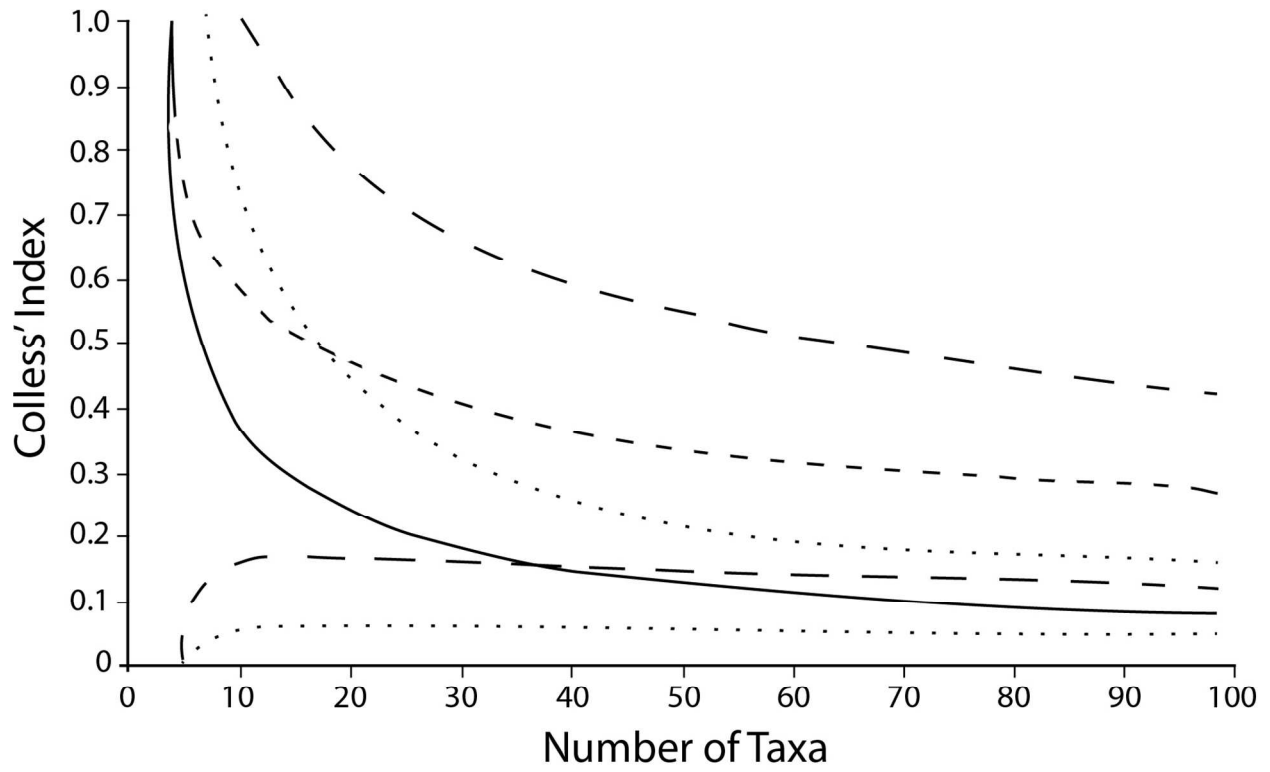
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1276 **FIGURE 1**



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1278 **FIGURE 2**

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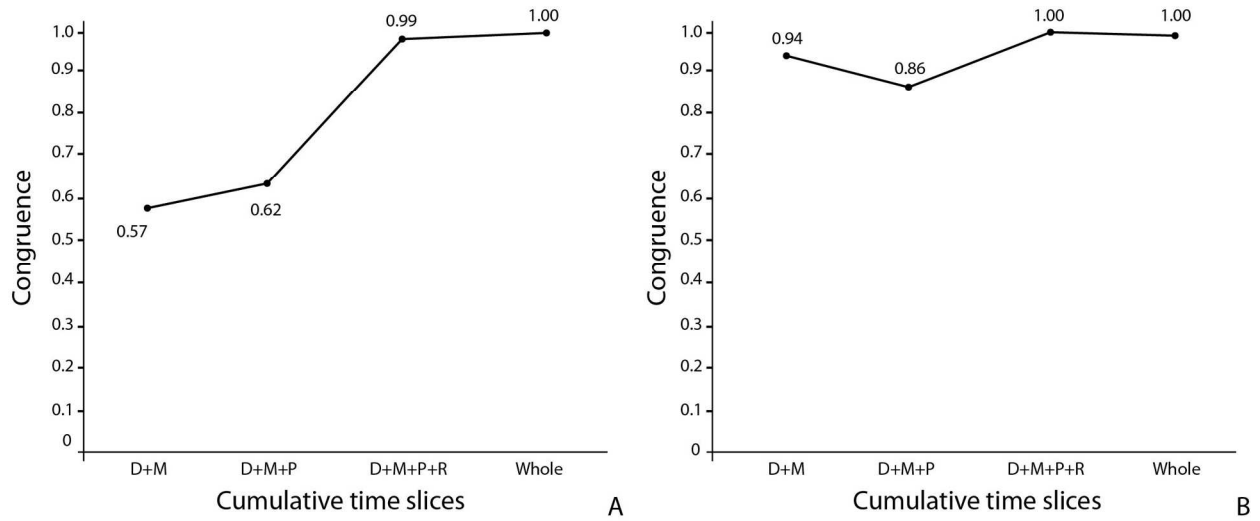
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1284 **FIGURE 3**



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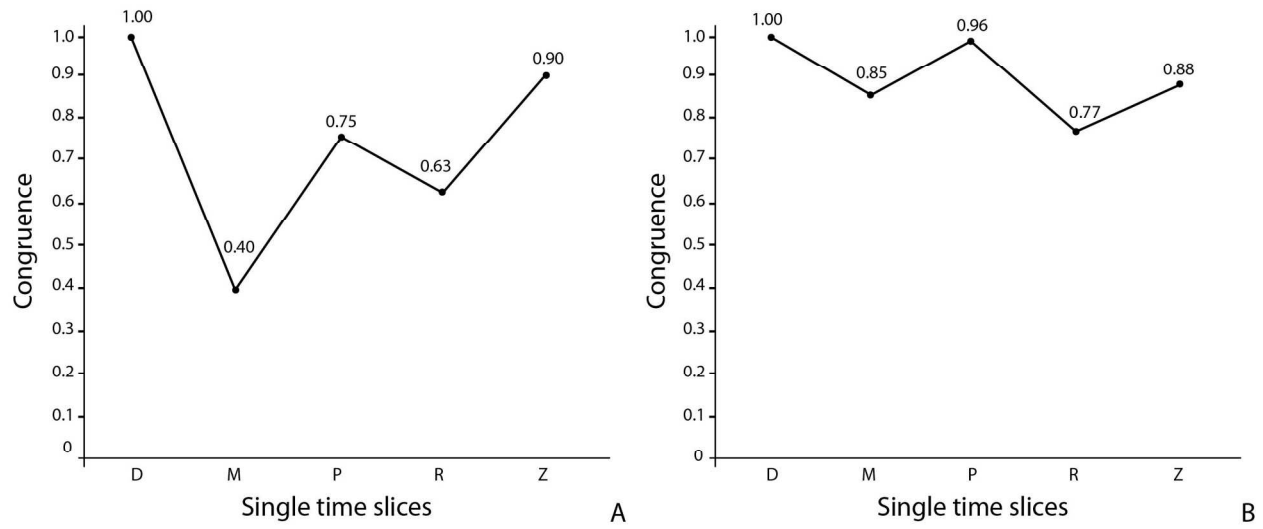
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1291 **FIGURE 4**

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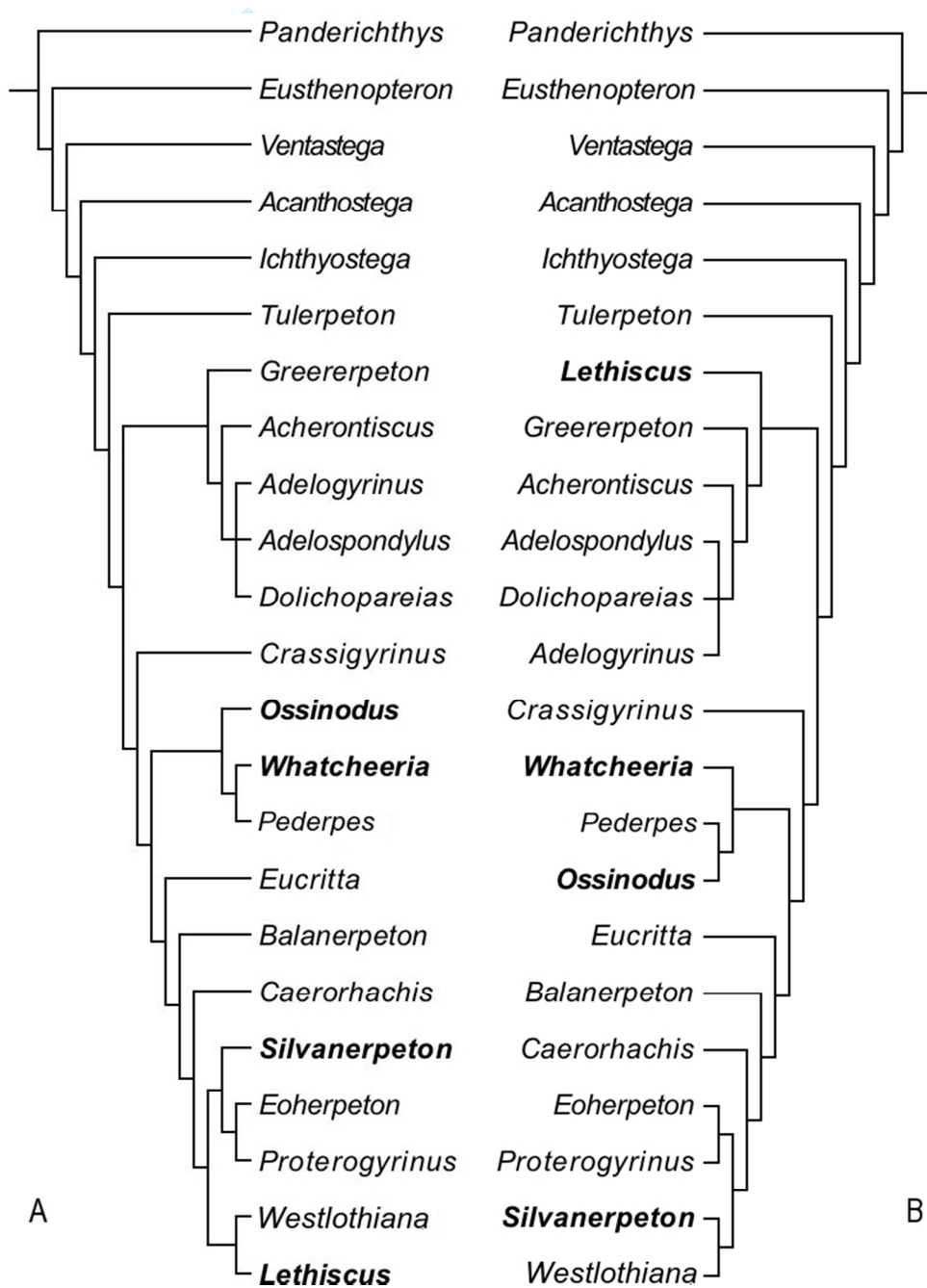
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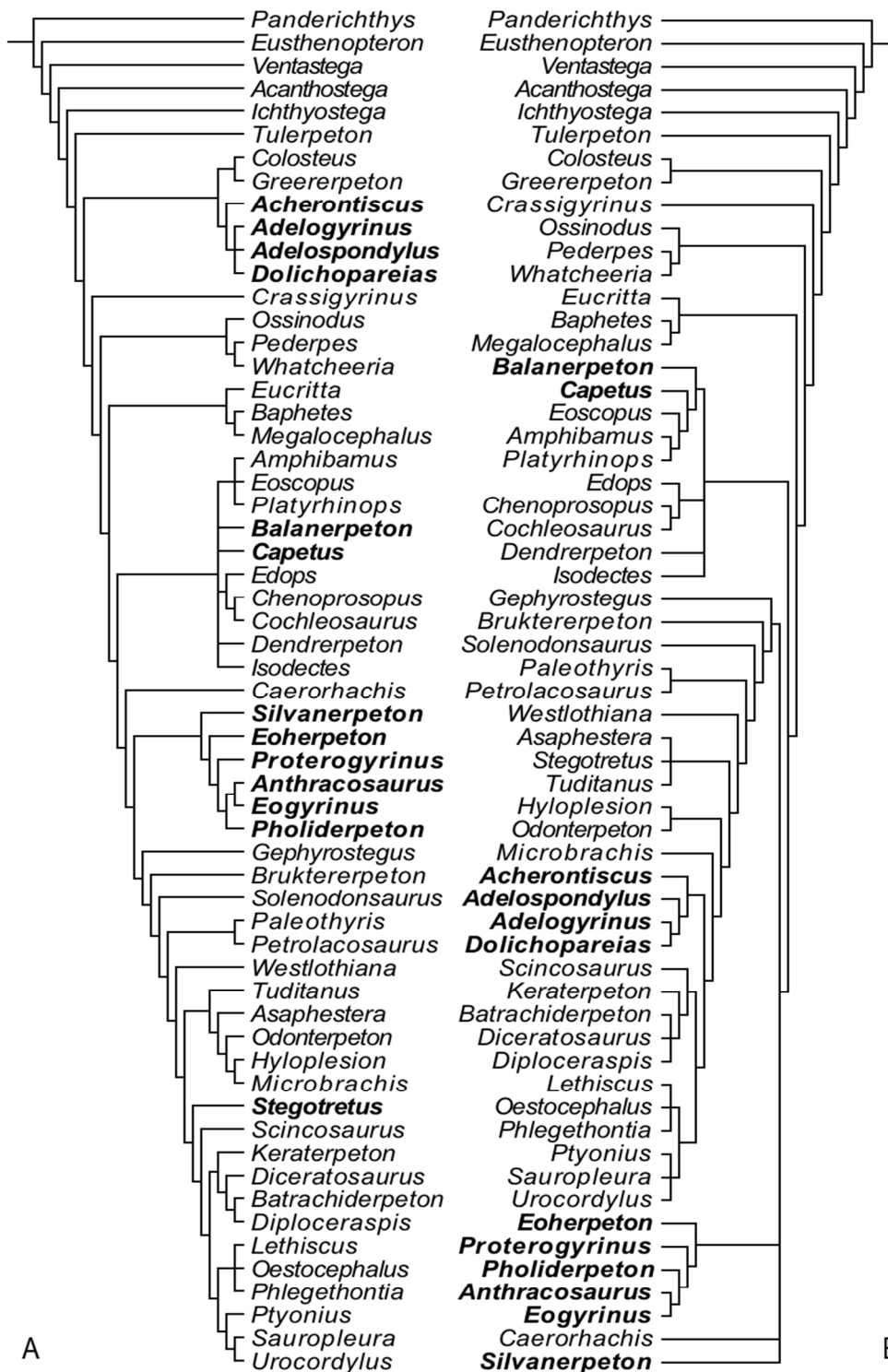
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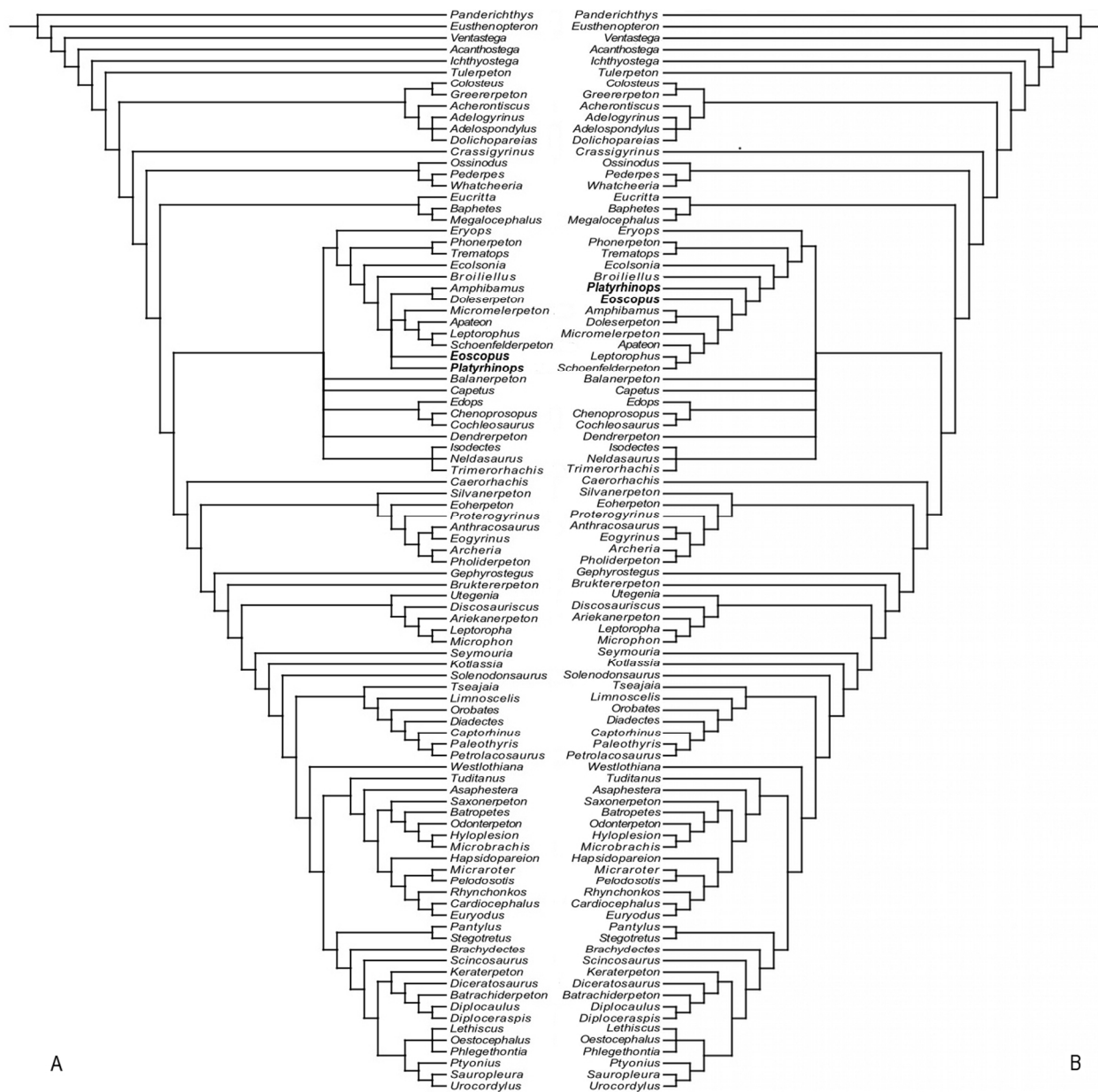


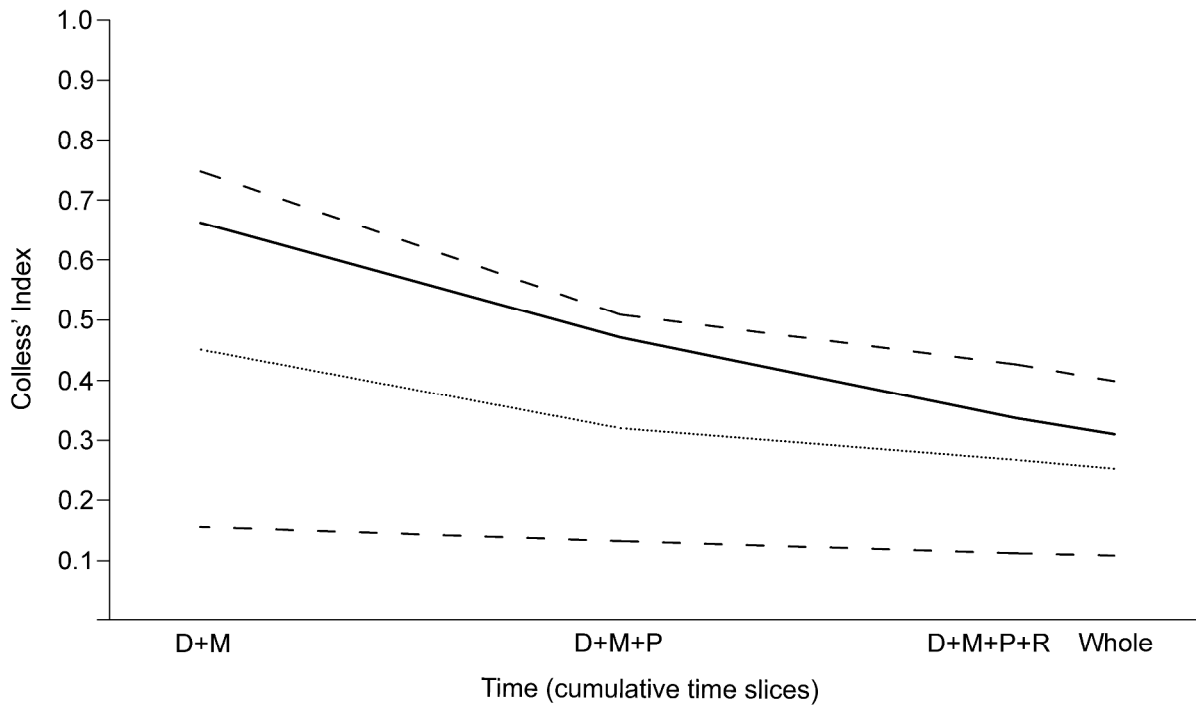
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1300 FIGURE 6



1301 **FIGURE 7**



1304 **FIGURE 8**

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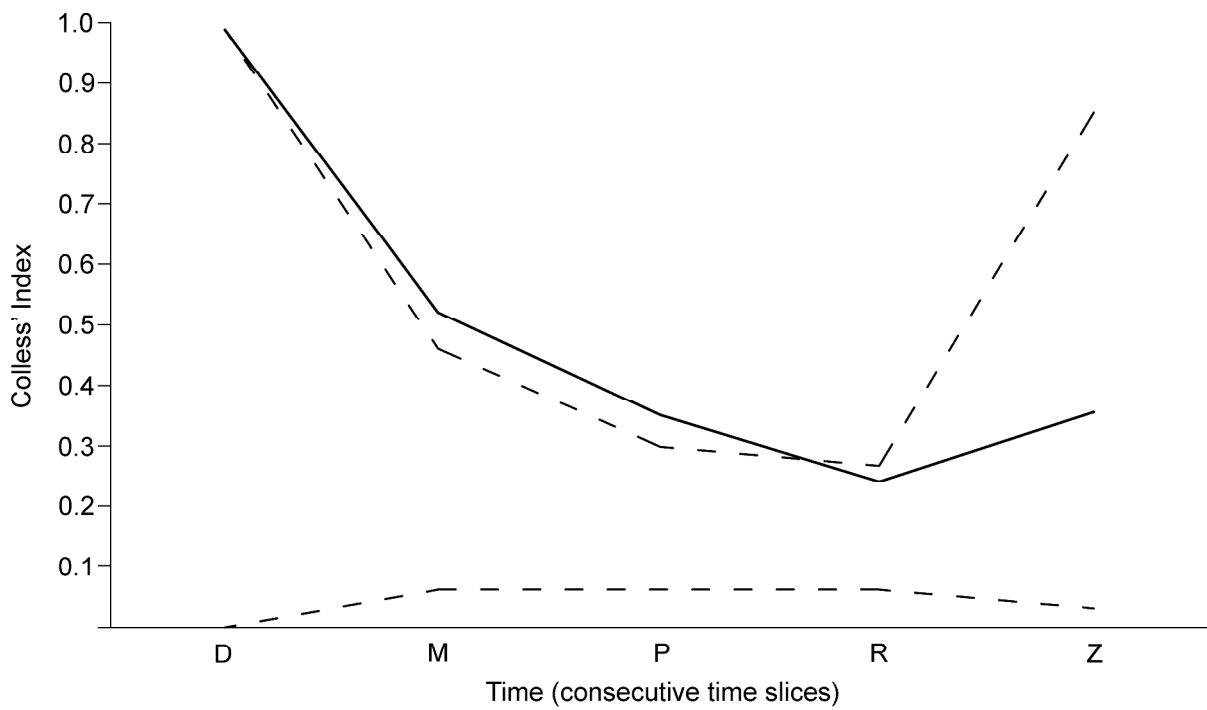
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1310 **FIGURE 9**



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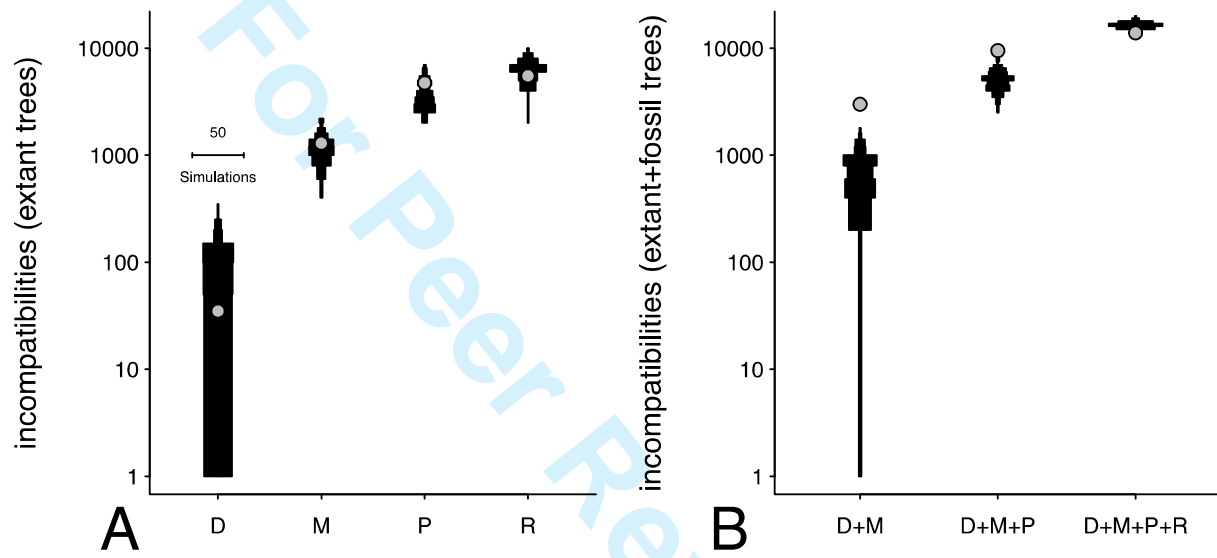
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1316 **FIGURE 10**

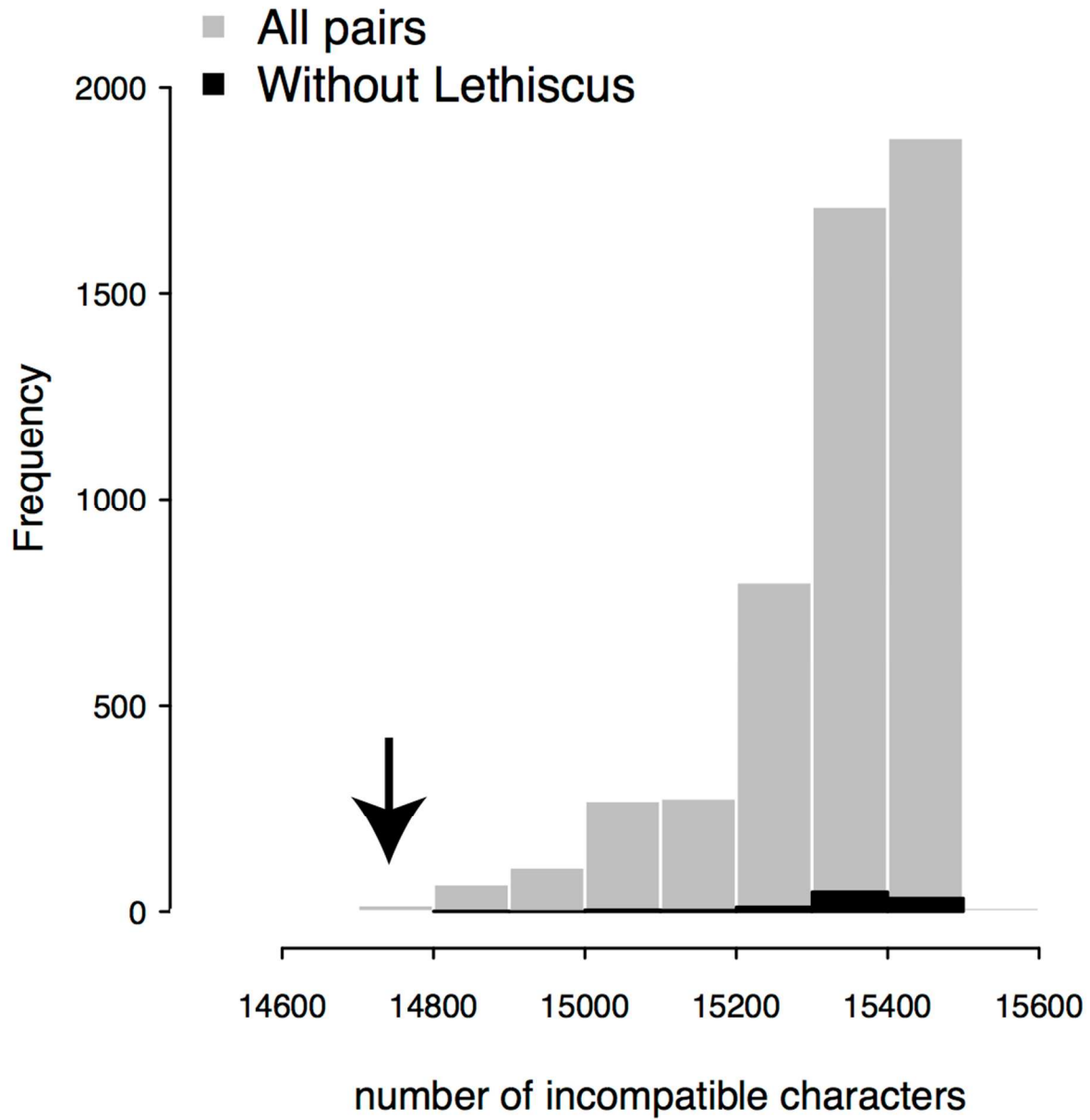
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1320 **FIGURE 11**



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