



## Abstract

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29 Due to its commercial value and status as a research model there is an extensive body of knowledge  
30 concerning *Saccharomyces cerevisiae*'s cell biology and genetics. Investigations into *S. cerevisiae*'s  
31 ecology are comparatively lacking, and are mostly focussed on the behaviour of this species in high  
32 sugar, fruit-based environments; however, fruit is ephemeral and presumably *S. cerevisiae* has  
33 evolved a strategy to survive when this niche is not available. Among other places, *S. cerevisiae* has  
34 been isolated from soil which, in contrast to fruit, is a permanent habitat. We hypothesise that *S.*  
35 *cerevisiae* employs a life history strategy targeted at self-preservation rather than growth outside of  
36 the fruit niche, and resides in forest niches, such as soil, in a dormant and resistant sporulated state,  
37 returning to fruit via vectors such as insects. One crucial aspect of this hypothesis is that *S.*  
38 *cerevisiae* must be able to sporulate in the 'forest' environment. Here we provide the first evidence  
39 for a natural environment (soil) where *S. cerevisiae* sporulates. While there are further aspects of  
40 this hypothesis that require experimental verification, this is the first step towards an inclusive  
41 understanding of the more cryptic aspects of *S. cerevisiae*'s ecology.

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## 49 **Introduction**

50 *Saccharomyces cerevisiae* is arguably one of the world's most important microbes due to its use in  
51 beer, wine and bread production, various biotechnological applications, and its premier research  
52 model status (Chambers and Pretorius 2010, Dujon 2010, Gray and Goddard 2012, Hittinger 2013,  
53 Hyma and Fay 2013). Despite the vast amount of information concerning *S. cerevisiae*'s molecular  
54 biology, comparatively little is known about its ecology, which is not only a worthy pursuit in its  
55 own right, but also imperative to help put the swathes of genetic and molecular information gained  
56 from this species into context. While the genetic and laboratory conditions under which *S.*  
57 *cerevisiae* sporulates are extremely well described, we are unaware of any report describing the  
58 environments that might promote sporulation in nature (Neiman 2011). Here we provide the first  
59 report of this and show that soil promotes sporulation in *S. cerevisiae*.

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61 To begin to understand the ecology of this budding yeast, it is important to appreciate its life-cycle,  
62 which has been exclusively determined by observation in the laboratory. In nutrient rich  
63 environments diploid cells replicate vegetatively via budding. Populations of yeasts may be  
64 propagated mitotically for thousands of generations, at least in the lab where nutrients are plentiful  
65 (Buckling, et al. 2009). When nitrogen and fermentable carbon sources such as glucose are absent,  
66 and a non-fermentable carbon source such as acetate is present, diploid cells containing both *MATa*  
67 and *MAT $\alpha$*  mating types undergo sporulation: this comprises a meiotic division, with recombination,  
68 to produce four haploid spores, two of each mating type, encased in an ascus, which is known as a  
69 tetrad (Esposito and Klapholz 1981, Honigberg and Purnapatre 2003, Neiman 2005, Neiman 2011,  
70 Piccirillo and Honigberg 2010). When spores encounter sufficient nutrients they germinate and  
71 diploid cells are formed by the fusion of two haploid cells of opposite mating type. If a haploid  
72 germinated spore fails to encounter another haploid of the opposite mating type, then after a couple

73 of divisions the mother cell may switch mating type (homothallism), and mate with a daughter cell  
74 to produce an entirely homozygous diploid. If this mate type switching system is non-functional  
75 (heterothallism), haploid cells divide mitotically until a spore of the opposite mating type is  
76 encountered.

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## 78 **How *S. cerevisiae*'s life cycle fits its ecology**

79 It is not yet clear how *S. cerevisiae*'s laboratory inferred life cycle fits with its ecology in natural  
80 environments. The fermentation of fruits, principally those gathered by humans, is currently the  
81 only habitat from which *S. cerevisiae* has been isolated without the need for enrichment (Goddard  
82 and Greig 2015). *S. cerevisiae* is well documented to actively grow and infest fruit juice, and is  
83 capable of dominating the microbial community once fruit is gathered and crushed via more rapid  
84 growth and the ecosystem engineering effects of fermentation (Goddard 2008, Goddard and Greig  
85 2015, Merico, et al. 2007, Pfeiffer, et al. 2001). However, *S. cerevisiae* is very rare on fruits prior to  
86 them being gathered and crushed by humans, and metagenomic analyses of fruit epiphytes show  
87 *Saccharomyces* is just ~1:20 000 of the fungal community (Taylor, et al. 2014). Since fruits are  
88 present for only a fraction of the year, presumably a mechanism has evolved to ensure *S.*  
89 *cerevisiae*'s survival when sugar rich fruit is not available. However, the locations of other habitats,  
90 what form *S. cerevisiae* takes within them, and how it survives generally until the next season of  
91 fruit, are not clear (Goddard and Greig 2015).

92

93 A number of studies have isolated *S. cerevisiae* from a variety of habitats, but other than active  
94 ferments, the only habitats from which this species has been consistently isolated appears to be oak  
95 bark and soil (Goddard and Greig 2015). However, soil and tree bark may not represent a niche to  
96 which *S. cerevisiae* is adapted, but might simply reflect yeast ecologists sampling preferences

97 (Goddard and Greig 2015). A recent report shows that *S. cerevisiae* is present at reasonable  
98 abundance and can survive in the nests of overwintering social wasps (Stefanini, et al. 2012). In  
99 addition, *S. cerevisiae* is associated with *Drosophila* and other insects (Buser, et al. 2014, Goddard,  
100 et al. 2010). However, isolation from all niches other than fruit juice that has been artificially  
101 concentrated by humans requires enrichment as *S. cerevisiae* is in such low abundances generally in  
102 the environment (Mortimer and Polsinelli 1999, Serjeant, et al. 2008). This has led to a neutral  
103 nomad hypothesis for *S. cerevisiae*: that it is not necessarily a fruit specialist, but a generalist that  
104 exists at low frequencies in many niches (Goddard and Greig 2015).

105

106 Whether *S. cerevisiae* exists as spores or vegetative cells in habitats other than ferments is masked  
107 by the enrichment procedure that is necessary to isolate it, as this causes both the growth of  
108 vegetative cells and germination and growth of spores in original samples. As far as we are aware  
109 no environment outside the laboratory has been assayed for its ability to induce sporulation. Among  
110 the niches from which *S. cerevisiae* has been isolated, the conditions where sporulation is more  
111 likely to be induced are those where nutrients are comparatively low. Thus, one obvious hypothesis  
112 is that cells transition into a sporulated state when the fruit season ends and nutrients are depleted.  
113 Selection is predicted to have operated on an increased propensity to sporulate under these  
114 conditions as it provides cells with increased protection against harsh and relatively poor nutrient  
115 conditions experienced over winter. Since *S. cerevisiae* does not demonstrate any growth in a  
116 sporulated state, selection is coarse in that it will only act to determine whether spores survive or  
117 not and will be impotent in any more subtle manipulations of the genetic variance in this species.

118

119 While the genetic determinants of sporulation have been extremely well characterised, the function  
120 of sporulation is still not clear. Stationary phase diploids cells are reasonably tough and while

121 spores are more resistant to a range of chemical and physical insults in the laboratory (such as ether  
122 and heat) it is not clear how or even if these reflect natural conditions (Neiman 2011). Spores are no  
123 more resistant to more ‘natural environment’ like conditions such as freeze-thaw and desiccation  
124 than stationary phase cells (Coluccio, et al. 2008). One significant observation is that spores are  
125 more resistant to mild acid and alkali conditions and to digestive enzymes, and this fits nicely with  
126 the observation that spores are better at surviving passage through *Drosophila melanogaster*  
127 digestive tracks (Coluccio, et al. 2008, Reuter, et al. 2007). Recent work has substantiated old  
128 observations that *S. cerevisiae* is not only associated with but actively attracts *Drosophila* with  
129 volatile metabolites (Buser, et al. 2014, Christiaens, et al. 2014, Palanca, et al. 2013); however, we  
130 are aware of no evidence that passage through insect guts promotes sporulation – indeed vegetative  
131 cells mostly die (Reuter, et al. 2007). Thus, presumably cells must have sporulated prior to  
132 consumption if they are to survive. While this provides potential evidence for a function of spore  
133 formation (to survive insect ingestion), it does not necessarily mean that is the function for which  
134 sporulation was selected and thus primarily adapted. Sporulation efficiencies among strains are  
135 known to vary greatly, and few if any are able to achieve 100 %. There are very few inferences of *S.*  
136 *cerevisiae*’s frequency of meiosis in the natural environment (Magwene, et al. 2011, Ruderfer, et al.  
137 2006), and no direct estimates that we are aware of, but the consensus is that it is ‘rare’ but still  
138 plays an important role in the genetic structure and evolution of the species. Experimental evolution  
139 shows some *S. cerevisiae* decline in their ability to sporulate when propagated mitotically (Zeyl et  
140 al 2005). That, to date, most cells found in nature have been diploid and capable of sporulating  
141 suggests selection has been strong enough to maintain this trait, but again the ecological conditions  
142 that promote sporulation are elusive. Overall, these observations do not explain why sporulation  
143 need be associated with meiosis (sex). Experiments that have used *S. cerevisiae* to test the  
144 fundamental question of why sex is maintained support Weismann’s original idea that sex’s  
145 advantage lay in the fact that it increases genetic variance, and thus rates of adaptation (Burt 2000,

146 Goddard, et al. 2005). Directional selection (adaptation) is likely stronger in novel environments,  
147 and this links with dispersal as yeasts have no control over the habitats they are dispersed too, and  
148 from this perspective it makes sense that sporulation is linked with dispersal.

149

### 150 **The fruit forest-reservoir hypothesis**

151 By combining the experimental data and observations outlined above, and building on the ideas  
152 presented by Goddard et al. (2010), we introduce the ‘fruit forest-reservoir hypothesis’ (Figure 1).  
153 The proposed cycle begins with the concept that *S. cerevisiae* exists as a diffuse low abundance  
154 reservoir in various forest niches such as soil and tree bark in a sporulated state. There is good  
155 evidence showing that *S. cerevisiae* is present in forest niches, including insect nests, at low  
156 frequencies (Goddard, et al. 2010, Hyma and Fay 2013, Knight and Goddard 2015, Sampaio and  
157 Gonçalves 2008, Sniegowski, et al. 2002, Zhang, et al. 2010). Isolates from non-fruit niches  
158 typically tend to be homozygous, where those from fruit ferments tend to be more heterozygous  
159 (Diezmann and Dietrich 2009, Goddard, et al. 2010, Knight and Goddard 2015, Magwene, et al.  
160 2011). This observation is in line with the idea that enrichment procedures may have caused rare  
161 spores to germinate and achieve a homozygous diploid state after mate-type switching (Goddard, et  
162 al. 2010). Such observations provide only weak correlational support for this idea though.  
163 Experimental evidence that *S. cerevisiae* exists as spores in forest-associated niches does not exist.  
164 We hypothesise that some fraction of this low abundance but diffuse forest-reservoir is transferred  
165 to fruits when they come into season, potentially by insects (Buser, et al. 2014, Christiaens, et al.  
166 2014, Mortimer and Polsinelli 1999, Palanca, et al. 2013, Reuter, et al. 2007, Stefanini, et al. 2012).  
167 Some of these initially rare insect-vectored *S. cerevisiae* are deposited on/in fruit, infect them once  
168 ripe and damaged, and eventually come to dominate and achieve large populations. While many  
169 studies have shown *S. cerevisiae* may invade homogenised fruit juices gathered by humans and  
170 transported to wineries, and come to dominate from initially being rare (Goddard 2008, Mortimer

171 and Polsinelli 1999, Xufre, et al. 2006), evidence that the same occurs in and on fruit in natural  
172 ecosystems is lacking. Recent work shows that some volatiles produced by growing *S. cerevisiae*  
173 attract *Drosophila*, and this is one vehicle by which *S. cerevisiae* might escape from ephemeral  
174 fruits (Buser, et al. 2014, Christiaens, et al. 2014, Palanca, et al. 2013). Finally, at the end of the  
175 fruiting season, some fraction of the population are returned and contribute to the forest-reservoir  
176 population, potentially with the fruit as it drops, where they sporulate and await the next, or some  
177 subsequent season of fruit for the cycle to commence turning.

178

179 While some aspects of the ‘fruit forest-reservoir hypothesis’ appear supported by previous  
180 experimental observations, there are many components that are elusive and require proper  
181 evaluation. One crucial aspect relies on determining the environments in which *S. cerevisiae*  
182 sporulates. We test how the presence of soil nutrients affects sporulation efficiency in twelve  
183 genetically diverse genotypes of *S. cerevisiae* isolated from both vineyard soil and the ferment of  
184 fruits (Knight and Goddard 2015) with the aim of taking steps forward in our understanding of the  
185 more cryptic aspects of *S. cerevisiae*’s ecology.

186

## 187 **Methods**

### 188 **Genotype selection and preparing cultures**

189 Six genotypes isolated from vineyard soil and six isolated from spontaneous *Vitis vinifera* var.  
190 Sauvignon Blanc ferments were selected for analysis from those described in (Knight and Goddard  
191 2015). These genotypes were selected on the basis of maximal genetic differentiation as ascertained  
192 by microsatellite genotyping at eight loci (Knight and Goddard 2015). None of the genotypes are  
193 genetically similar to a diverse set of international isolates (Liti, et al. 2009) or to commonly used  
194 commercial strains and are therefore considered to be derived from the New Zealand population.



195 However, from analyses using previously isolated NZ strains isolated from vineyard soil, bark and  
196 flowers, the New Zealand population appears reasonably closely related to the wine/European  
197 group (Cromie, et al. 2013). All isolates were stored at -80 °C in 15 % glycerol and were revived in  
198 10 mL liquid YPD (1 % yeast extract, 2 % peptone, 2 % glucose) at 25 °C. Once each culture  
199 reached an optical density of 0.6 at a wavelength of 600 nm (about the point where the cells are mid  
200 exponential phase) it was centrifuged at 3000 rpm for three minutes and washed twice with 10 mL  
201 of sterile water, centrifuging to pellet the cells between each wash. The cells were re-suspended in 1  
202 mL of sterile water, ready for plating.

203

#### 204 **Soil agar**

205 We attempted to observe cells directly in soil with standard microscopy, but were unable to  
206 differentiate deliberately inoculated cells from soil particles, other debris, and other microbes  
207 naturally present. Thus we developed a soil agar media designed to emulate the natural conditions  
208 in soil while still permitting the observation of cells. The composition and analytical parameters of  
209 the soil used are provided in Supplementary Table 1. 50 - 200 g of dry soil from Mate's Vineyard at  
210 Kumeu Wine Estate (West Auckland, New Zealand) was placed in 1 L of distilled water, rocked at  
211 room temperature for six hours and settled over night at 4 °C. The supernatant was poured off to  
212 separate it from the larger soil particles and then filtered with a 40 µm cell strainer. Dimethyl  
213 dicarbonate (DMDC) was used to sterilise the 'soil tea' in two doses: first at a concentration of 200  
214 µL L<sup>-1</sup> with stirring for six hours, then at 400 µL L<sup>-1</sup> with stirring overnight. The sterilised soil 'tea'  
215 was subsequently mixed with an autoclaved agar solution to create soil agar plates with a final agar  
216 concentration of 1.5 %.

217

#### 218 **Initial sporulation study**

219 100  $\mu$ L of exponential-phase cell solutions of each genotype were plated in triplicate on synthetic  
220 grape juice media (SGM) agar (recipe provided in Supplementary Table 2 with the addition of 1.5  
221 % agar to solidify, Harsch, et al. 2009), sporulation agar (1 % potassium acetate, 0.1 % yeast extract  
222 and 0.05 % glucose, 1.5 % agar), plain agar (1.5 %), and soil agar (final concentration of 25  $\text{gL}^{-1}$   
223 soil tea and 1.5 % agar) and incubated at 25  $^{\circ}\text{C}$ . After two days and two weeks, the proportion of  
224 sporulated cells in each population was calculated by scraping the surface of the agar with a sterile  
225 tooth pick, re-suspending in sterile water, visualising with a light microscope and scoring at least  
226 100 cells for each sample. Each cell was scored as either sporulated or not sporulated. Ambiguously  
227 sporulated cells were not included in the count.

228

### 229 **Time course study**

230 100  $\mu$ L of exponential-phase cell solutions of each genotype were plated in triplicate on plain and  
231 soil agar (final concentration of 100  $\text{gL}^{-1}$  soil tea and 1.5 % agar) and incubated at 25  $^{\circ}\text{C}$ . Due to  
232 observations of two-spored asci in the first experiment, the number of unsporulated cells, four-  
233 spored asci (tetrads) and two-spored asci (dyads) were counted each day for eight days by scoring  
234 over 150 cells from each plate (as above, ambiguously sporulated cells were not counted).

235

### 236 **Statistical analyses**

237 As proportion data have heterogeneous variance, all data underwent arcsine transformation prior to  
238 analyses (Sokal and Rohlf 1995). A linear mixed effects model with niche of isolation and  
239 sporulation environment as fixed effects and genotype as a random effect was employed to evaluate  
240 individual time points using JMP (version 11). Non-linear asymptotic exponential two and three  
241 parameter growth models, and generalised linear models with logit transformation, were employed  
242 to evaluate sporulation dynamics. The 3-parameter model used was  $y = a - b e^{-cx}$ , where  $x$  and  $y$  are

243 time and proportion sporulated, and  $a$ ,  $b$  and  $c$  the three parameters. Model fitting and comparisons  
244 were conducted in R (v3.3.2, R Core Team 2015) using least squares and maximum likelihood  
245 methods, and the “anova()” command for model comparisons which implement a chi-squared test,  
246 following Crawley (2007).

247

## 248 **Results**

249 The first experiment evaluated if there was any effect of soil extract on the propensity of *S.*  
250 *cerevisiae* cells to undergo sporulation. Three controls were used in this analysis including standard  
251 laboratory sporulation media as a positive control, plain agar to account for any effect that agar  
252 alone might have, and a synthetic grape juice media (SGM) as a proxy for a nutrient rich fruit  
253 environment. A total of 288 sporulation estimates were gathered across two time points for 12  
254 genotypes in four environments and these are available in Supplementary Dataset 1. No sporulation  
255 was observed by any strain at either time point for any the 7,300 cells scored in the SGM  
256 environment. Statistical analyses revealed a significant effect of environment on sporulation in the  
257 remaining three environments at days 2 and 14 ( $F_{2,92} = 39.8$  and  $28.5$  respectively; both  $P <$   
258  $0.0001$ ). The niche from which strains were originally isolated had no significant effect on  
259 sporulation at either time point ( $F_{1,10} = 0.81$  and  $0.60$ ;  $P = 0.39$  and  $0.46$ ), nor was there a significant  
260 interaction between sporulation environment and the original niche of isolation ( $F_{3,92} = 0.52$  and  $0.97$ ;  
261  $P = 0.59$  and  $0.38$ ). The average proportion of cells sporulated for each time point and environment  
262 (except SGM as no sporulation was observed for any genotype on this media) can be seen in Figure  
263 2, and histograms showing variance in sporulation by both sporulation environment and strain  
264 origin are shown in Supplementary Figures 1 and 2. Subsequent Tukey HSD ( $\alpha = 0.05$ ) analysis  
265 shows that all environments are significantly different from each other in terms of the extent of  
266 sporulation they elicit, with the standard laboratory sporulation media inducing the greatest

267 sporulation, followed by soil agar, plain agar and lastly the synthetic grape juice media which did  
268 not induce sporulation at all.

269

270 The second time course study tested sporulation dynamics over eight days for all genotypes in just  
271 soil agar and plain agar environments, and comprised 576 sporulation estimates (Supplementary  
272 Dataset 2). All genotypes in both sporulation environments exhibited reasonable degrees of  
273 sporulation after eight days of incubation. Analyses of the final proportions with a mixed effects  
274 linear model show significant differences in the extent of sporulation between soil and plain agar  
275 environments ( $F_{1,59} = 26.116$ ,  $P < 0.0001$ ; Figure 3; Supplementary Figure 3; Supplementary  
276 Dataset 2). However, the more comprehensive analyses evaluates sporulation dynamics – analyses  
277 across time, and we chose to use non-linear asymptotic exponential growth models as these  
278 encapsulate population change processes that provide biological insight into the rate and extent of  
279 sporulation. We determined a 3-parameter model was a significantly better fit than a 2-parameter  
280 one ( $P = 1.3 \times 10^{-9}$ ) to the data overall. The three parameters estimate the ‘lag’ until start of  
281 sporulation, the rate of sporulation, and the final extent of sporulation (the asymptote). While a  
282 three-parameter model adequately describes sporulation dynamics in both environments, the values  
283 of all three parameters significantly differ between models fit to each environment individually ( $P <$   
284  $0.0001$ ). This analysis reveals that sporulation on soil agar has a shorter lag and a greater rate and  
285 final extent of sporulation. The fitted models and their standard errors are shown in Figure 3 along  
286 with the mean proportion of sporulation in each environment. In addition, as an alternative  
287 approach, we conducted logistic regression on the proportion data using a generalised linear model  
288 employing logit transformation with binomial errors drawn from the quasibinomial distribution, as  
289 is appropriate for proportions (Crawley 2009). This analysis also reports a significant effect of  
290 environment on sporulation dynamics ( $P = 0.00858$ ). Together these analyses show that soil induces

291 more rapid sporulation and that a greater proportion of cells are sporulated by day eight compared  
292 to plain agar.

293

294 We noted the presence of dyads as well as tetrads in the first experiment and so differentiated  
295 between these in this second time-course experiment. The dynamics are more complicated – the  
296 proportion of tetrads peaks early and then drops because the formation of tetrads from the  
297 unsporulated fraction of the population is relatively faster than the formation of dyads. The slower  
298 accrual of dyads means the relative proportion of tetrads decreases with time and the number of  
299 dyads increases. Non-linear analyses make little biological sense, as the question of interest here is  
300 the relative difference in dyad versus tetrad formation in the two environments. This difference is  
301 shown as bars in Figure 3, and it can be seen that populations on soil agar contain significantly  
302 more tetrads than on plain agar at all time points after day 2 (all  $P < 0.0012$ ).

303

## 304 **Discussion**

305 Here we provide evidence that when *S. cerevisiae* is put in a soil environment the rate and extent of  
306 sporulation is promoted. The observation that sporulation is greater on soil agar compared to plain  
307 agar shows that it is not solely the lack of nutrients that are responsible for sporulation, but that  
308 some component of the soil tea itself increases the propensity for sporulation. Over half the cells  
309 assayed here sporulated after two weeks on soil agar, and this provides experimental evidence to  
310 suggest that a reasonable fraction of *S. cerevisiae* residing in the soil do so in a sporulated state. It  
311 will be interesting to see how these observations translate to soil with differing characteristics  
312 (crucially, different concentrations of organic matter). These data are in line with the hypothesis that  
313 a sporulation response promotes self-preservation when in soil; however, this does not show that *S.*  
314 *cerevisiae* is adapted (in the correct sense) to sporulate in soil. Sustained selection for sporulation

315 may have occurred in some other environment, and sporulation in soil may occur as a side-effect of  
316 this.

317

318 From laboratory observations, typically meiosis results in the formation four haploid spores encased  
319 in an ascus (a tetrad). However, meiosis may also result in the formation of only two spores – these  
320 are known as dyads. Mutations in a number of genes involved in meiosis are known to cause  
321 modifications to the spindle pole bodies or outer plaque formation and result in dyad formation  
322 (Reviewed in: Neiman 2005). Some mutations that affect spore formation can be dose dependent;  
323 for example, cells with two mutant alleles of *MPC70* only produce dyads, while heterozygous cells  
324 produce a mix of tetrads and dyads, and cells containing two functional alleles produce primarily  
325 tetrads (Wesp, et al. 2001). However, stressful environments are also known to affect spore  
326 formation with dyads being formed as a metabolic response to a depletion of carbon during meiosis  
327 (Davidow, et al. 1980, Neiman 2005, Taxis, et al. 2005). Rather than arresting meiosis due to a lack  
328 of nutrients, depletion of the carbon source (such as acetate) after commitment to sporulation  
329 triggers the cell to conserve the remaining available external energy and a switch from forming  
330 tetrads to less energy expensive dyads (Davidow, et al. 1980, Neiman 2005, Taxis, et al. 2005).  
331 These dyads are called non-sister dyads (NSDs) as they contain genetic information from  
332 homologous chromosomes rather than sister chromatids due to the meiosis II outer plaques only  
333 being formed by two of the four spindle pole bodies, one from each spindle (Davidow, et al. 1980,  
334 Neiman 2005, Neiman 2011, Taxis, et al. 2005). The formation of NSDs not only maintains genetic  
335 diversity, but ensures two spores of opposite mating type are made, leaving the possibility for sister  
336 spores to mate with one other upon germination. We observed both tetrad and dyad formation in all  
337 genotypes, and this suggests dyad formation here is not primarily genetically determined. The  
338 formation of tetrads occurs earlier in the time course and plateaus, while dyad formation continues  
339 to increase (Figure 3): the reduction in rate of tetrad formation is in line with the switch to greater

340 dyad formation being driven by decreasing nutrients. Thus, we speculate that dyad formation here is  
341 a metabolic response, and it is the greater nutrients offered by soil that allow more cells to become  
342 tetrads on soil compared to plain agar.

343

344 The observation that sporulation occurs on plain agar goes against the evidence that a non-  
345 fermentable carbon source is required for sporulation and suggests that either the agar itself contains  
346 the required nutrients to initiate sporulation, or that the genotypes tested here regulate sporulation in  
347 a manner different to that of closely studied lab strains. Agar is a polysaccharide complex often  
348 extracted from red algae and while it's composition is complex, it has been shown to contain  
349 galactose (Duckworth and Yaphe 1971). *S. cerevisiae* can ferment galactose, so perhaps the  
350 presence of a non-fermentable carbon source is not always necessary for sporulation.

351

352 The observation of sporulation in soil is in line with a life-history strategy favouring self-  
353 preservation and dormancy in unfavourable environments. This observation also provides  
354 experimental evidence for soil as one forest habitat harbouring a sporulated reservoir of this species.  
355 Experiments have also shown that wasps' nests are another over-wintering habitat for *S. cerevisiae*,  
356 but whether cells existed as spores was not determined (Stefanini, et al. 2012). *S. cerevisiae* is also  
357 well documented to be associated with fruit flies (e.g. Buser, et al. 2014, Christiaens, et al. 2014,  
358 Palanca, et al. 2013). Temperate species of fruit flies typically overwinter as diapausing pupae,  
359 entering the soil after leaving the fruit as winter approaches, emerging as adults the following  
360 summer (Bateman 1972). Therefore, if *S. cerevisiae* changes into a sporulated state when deposited  
361 in soil as the fruiting season ends, flies and wasps may potentially ingest *S. cerevisiae* as spores:  
362 these spores are more likely than vegetative cells to survive passage through the insect guts. Passage

363 through flies has been shown to promote outcrossed matings and thus insects may not only facilitate  
364 dispersal but also increased genetic variance (Reuter et al 2006).

365

366 If *S. cerevisiae* cycles between the fruit and soil/other forest niches, then contemporaneous  
367 populations occupying these niches should be connected. Population genetic studies investigating *S.*  
368 *cerevisiae* report no evidence for population differentiation between fruit associated and forest  
369 niches on small geographic scales in both the northern and southern hemisphere (Goddard, et al.  
370 2010, Hyma and Fay 2013, Knight and Goddard 2015). Here we also provide data to support  
371 connectivity between these contemporaneous populations by showing no difference in the  
372 phenotypic trait of sporulation efficiency between populations originally isolated from soil and the  
373 ferments of fruits from the same area at the same time. In contrast, previous findings suggested that  
374 genotypes isolated from oak trees were more efficient at sporulating and forming asci with  
375 predominantly four-spores compared to genotypes isolated from wine fermentations that formed  
376 large numbers of two- and three-spored asci (Gerke, et al. 2006). In addition, studies evaluating  
377 these same isolates, suggest populations from oak trees and vineyards are genetically different (e.g.  
378 Cromie et al. 2013, Liti et al. 2009). However, these genotypes were isolated from distant locations  
379 and different times, with the oak isolates originating solely from North America and the vineyard  
380 isolates mostly from wider Europe but also Australia, South Africa and California. Thus, these  
381 findings may be equally explained by the fact that they are drawn from populations with markedly  
382 different geographic origins, and they are genetically and thus phenotypically different (including in  
383 their sporulation ecology) because of a lack of gene-flow at large scales. In short, either differential  
384 selection and/or genetic drift may cause different sub-populations to diverge. The key to test this  
385 would be to isolate the corresponding contemporaneous oak/wild and vineyard/ferment isolates  
386 from each of these areas and test them. If the contemporaneous wild and wine populations in  
387 different discrete areas are genetically homogenous then this would tend to support the fruit forest-



388 reservoir hypothesis, if they are not then it would tend to reject it. However, it is clear that  
389 populations inhabiting different niches in New Zealand are connected, but it remains to be seen if  
390 other *S. cerevisiae* populations conform to a fruit forest-reservoir life cycle.

391

392 This is one piece of the puzzle investigating the ecology of *S. cerevisiae*, and begins to address the  
393 more cryptic phase of its life-cycle. The fruit forest-reservoir is a straw-man hypothesis, and its  
394 function is to help us understand better the ecology of this species. It has recently been suggested  
395 that *S. cerevisiae* may not be adapted to any niche, but is a nomad that has evolved the ability to  
396 survive in many habitats (Goddard and Greig 2015): perhaps it does so by existing as spores in most  
397 of them.

398

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403

## 404 **Conflicts of interest**

405 The authors declare no conflicts of interest.

406

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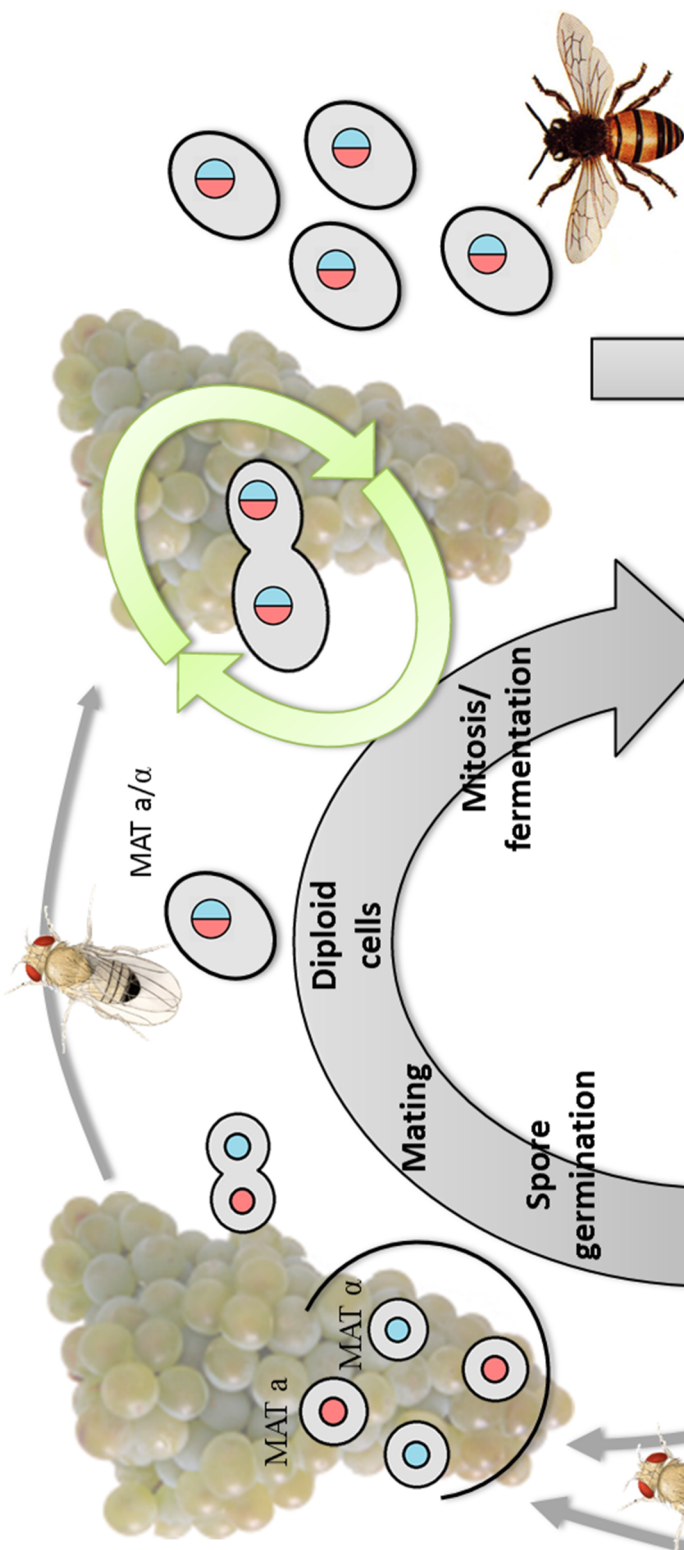
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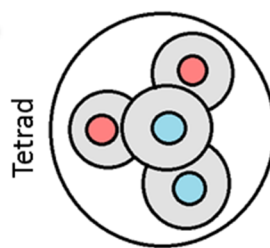
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512

# FRUIT



Fruit drop/insect vectors



# FOREST-RESERVOIR

Insect vectors

