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Parvalbumin interneurons are differentially connected to principal cells in inhibitory feedback microcircuits along the dorso-ventral axis of the medial entorhinal cortex

1 Abstract

2 The medial entorhinal cortex (mEC) shows a high degree of spatial tuning, predominantly

3 grid-cell activity, which is reliant on robust, dynamic inhibition provided by local interneurons

4 (INs). In fact, feedback inhibitory microcircuits involving fast-spiking parvalbumin (PV) basket

5 cells (BCs) are believed to contribute dominantly to the emergence of grid-field firing in

6 principal cells (PrCs). However, the strength of PV BC-mediated inhibition onto PrCs is not

7 uniform in this region, but high in the dorsal and weak in the ventral mEC. This is in good

8 correlation with divergent grid field sizes, but the underlying morphological and physiological

9 mechanisms remain unknown. In this study, we examined PV BCs in layer 2/3 of the mEC

10 characterizing their intrinsic physiology, morphology, and synaptic connectivity in the juvenile

11 rat. We show that while intrinsic physiology and morphology are broadly similar over the

12 dorso-ventral axis, PV BCs form more connections onto local PrCs in the dorsal mEC,

13 independent of target cell type. In turn, the major PrC subtypes, pyramidal (PC) and stellate

14 cells (SC), form connections onto PV BCs with lower, but equal probability. These data thus

15 identify inhibitory connectivity as source of the gradient of inhibition, plausibly explaining

16 divergent grid field formation along this dorso-ventral axis of the mEC.

17

18 Significance Statement

19 Inhibition by parvalbumin basket cells (PV BCs) is essential for the emergence of grid firing in

20 principal cells (PrCs) in the medial entorhinal cortex (mEC).The strength of PV BC-mediated

21 inhibition decreases along the dorso-ventral axis, in correlation with the grid field size of

22 spatially tuned PrCs. In this study, to identify underlying cellular mechanisms, we combined

23 electrophysiological recordings and neuroanatomical analysis investigating properties and

24 connectivity of PV BCs in layer 2/3 of the rat mEC. While morphological and physiological

25 properties were largely uniform, IN-PrC connectivity was higher in the dorsal than ventral

26 mEC. Thus, our results indentify a difference in PV BC connectivity as source of the

27 inhibitory gradient in the mEC with implications for grid size modulation.

1

Introduction

The hippocampal formation, comprising the entorhinal cortex and hippocampus as its central structures, is a key component of the mammalian spatial navigation system (O'Keefe and Nadel, 1978). The mEC acts as the primary entry point of spatial information to the hippocampus, with L2/3 neurons projecting to the dentate gyrus, as well as to the CA1 - CA3 areas (Varga et al., 2010; Witter et al., 2017). As such, spatially modulated neuronal activity has been described in essentially all areas of the formation, most notably as place cells in CA1 (O'Keefe, 1979), grid cells in layer 2/3 (L2/3) and layer 5 of the mEC (Boccara et al., 2010; Sargolini, 2006) and the dentate gyrus (Park et al., 2011). Grid cells are PrCs which display preferential action potential (AP) firing in hexagonally-arranged fields which overlay the 2-dimensional environment (Hafting et al., 2005). Grid cells have been identified in the entorhinal cortex of all mammals so far investigated (Hafting et al., 2008; Yartsev et al., 2011). PrCs of mEC L2/3 comprise reelin-containing SCs, which are the canonical, highly spatially modulated grid cells, and calbindin-containing PCs which also display spatial tuning (Sargolini, 2006; Tang et al., 2014; Tennant et al., 2018). The spatial tuning of PrCs is maintained along the dorso-ventral extent of the mEC (Ray et al., 2014), however, grid fields are not uniform, but show a gradient in the scale and size of grid fields. In the dorsal mEC, grid fields are small with higher spatial resolution, whereas in ventral mEC, grid fields are larger; perhaps corresponding to different roles in spatial navigation (Brun et al., 2008; Hafting et al., 2005).

Despite these known features of mEC neuronal activity, the cellular and network mechanisms leading to physiological divergence of grid field size along the dorso-ventral axis are not fully understood. Intrinsic physiology of L2/3 PrCs displays dorso-ventral asymmetry (Heys et al., 2010) tuning dorsal PrCs to higher theta frequencies (Pastoll et al., 2012), whereas gamma frequency activity is of higher power in the dorsal mEC (Beed et al., 2013). Although L2/3 PrCs themselves are interconnected (Winterer et al., 2017), they form a

sparse network which is overlain by a rich population of local GABAergic inhibitory INs (Berggaard et al., 2018; Buetfering et al., 2014; Couey et al., 2013). These local feedback inhibitory microcircuits, in particular fast-spiking PV BCs, have been proposed as a key component of grid cell organization, as both stable grid firing and spatial organization are dependent on IN activity (Miao et al., 2017; Pastoll et al., 2013). PV BCs produce fast-spiking trains of APs and mediate both feed-forward and feedback inhibition onto the perisomatic compartments of PrCs (Berggaard et al., 2018; Jones and Buhl, 1993). Their postsynaptic effects control the precise timing of APs in PrCs and contribute to the generation of coherent network oscillations (Bartos et al., 2007; Pouille, 2001). However, despite their well-established involvement in perisomatic inhibition in the mEC, little is known regarding their electrophysiological and neuroanatomical properties.

GABAergic inhibition in layer 2 of the mEC shows distinct properties along the dorso-ventral axis, in good correlation with the gradient of grid activity. Although the density of PV BCs is similar in the two subregions, they produce stronger postsynaptic effects and target PrCs over a wider area in the dorsal mEC compared to that in ventral domains (Beed et al., 2013), which may explain the difference in inhibitory strength along the dorso-ventral axis (Berggaard et al., 2018). Therefore, in this study we examined the morphology, intrinsic physiology and synaptic connectivity of PV BCs in the dorsal and the ventral mEC in a comparative manner, by performing whole-cell patch clamp recordings from single PV BCs as well as synaptically coupled pairs of INs and PrCs in acute rat brain slices, combined with *post hoc* visualization and morphological analysis.

Methods

All experiments were performed in accordance with the [Country Legal Guidelines] and institutional guidelines in the presence of permissions from local authorities [Local Authority License]. Recordings were performed on acute brain slices from 18 - 27 day-old male and female Wistar rats, expressing the yellow-shifted Venus fluorescent protein under the VGAT

1   promoter (Uematsu et al., 2008), housed on a 12 hr light/dark cycle with *ad libitum* food and

2   water.

3   *Electrophysiological recordings from acute brain slices:*

4   Acute brain slices were produced as described earlier [Reference]. Briefly, rats were

5   anesthetized (3% Isoflurane, Abbott, Wiesbaden, Germany) and then decapitated. Brains

6   were quickly removed and transferred to carbogenated (95% O_2 / 5% CO_2) ice-cold sucrose-

7   ACSF containing (in mM): 87 NaCl, 2.5 KCl, 25 $NaHCO_3$, 1.25 NaH_2PO_4, 25 glucose, 75

8   sucrose, 7 $MgCl_2$, 0.5 $CaCl_2$, 1 Na-pyruvate, 1 ascorbic acid. Horizontal brain slices (300 μm

9   thick) were cut using a Vibratome (VT1200 S, Leica, Wetzlar, Germany). Dorsal and ventral

10   mEC slices, corresponding to approximately 4.6 - 5.6 mm and 6.8 - 7.8 mm from the dorsal

11   surface of the brain, respectively (Paxinos, 1998), were separately collected (Figure 1A) and

12   placed in a submerged holding chamber filled with carbogenated sucrose ACSF at 32 - 34°C

13   for 30 minutes and then at room temperature until recording. Experiments were alternated

14   between dorsal and ventral slices to prevent bias due to slice condition.

15   For recording, slices were transferred to a submerged chamber and superfused with pre-

16   warmed, carbogenated ACSF containing (in mM): 125 NaCl, 2.5 KCl, 25 $NaHCO_3$, 1.25

17   NaH_2PO_4, 25 glucose, 1 $MgCl_2$, 2 $CaCl_2$, 1 Na-pyruvate, 1 ascorbic acid. The bath

18   temperature was set to 32–34°C with a perfusion rate of 12 - 13 ml/minute. Slices were

19   visualized using an upright microscope (BX-51WI; Olympus) equipped with infrared

20   differential inference contrast optics and a digital camera (Zyla CMOS, Andor, UK). PV BCs

21   were identified as Venus-positive large multipolar cells visualized by epifluorescent

22   illumination delivered via a fixed wavelength LED source (λ= 514 nm, OptoLED, Cairn

23   Research, UK). L2/3 PrCs were preselected for recording within the cortical layer as neurons

24   lacking Venus fluorescence. The boundary of mEC was identified based on previous

25   anatomical studies (Canto and Witter, 2012).

1   Whole-cell patch-clamp electrodes were produced from borosilicate glass capillaries (outer

2   diameter 2 mm, inner diameter 1 mm, Hilgenberg, Germany) using a horizontal puller (P-97,

3   Sutter Instruments, CA, USA) and filled with an intracellular solution consisting of (in mM): K-

4   gluconate 130, KCl 10, HEPES 10, EGTA 10, $MgCl_2$ 2, Na_2ATP 2, Na_2GTP 0.3, $Na_2Creatine$

5   1 and 0.1% biocytin (adjusted to pH 7.3 and 315 mOsm), giving a series resistance of 2.5 -

6   4 MΩ. All recordings were performed with an Axopatch 700B amplifier (Molecular Devices,

7   CA, USA), filtered online at 10 kHz with the built-in 2-pole Bessel filter, and digitized at

8   20 kHz (National Instruments, UK). Following breakthrough into whole-cell configuration, we

9   characterized resting membrane potential and intrinsic physiological properties in current-

10   clamp mode. The apparent membrane time constant and input resistance were measured in

11   averaged responses (30 traces) to a small hyperpolarizing current pulse (10 pA, 500 ms

12   duration). AP properties were determined from small depolarizing current steps to 10 pA

13   above rheobase (10 pA steps, 500 ms duration); AP and afterpotential amplitudes were

14   measured from threshold. Discharge frequency and sag response were characterized using

15   hyper- to depolarizing current pulses (a family of -500 pA to 500 pA in 100 pA steps, followed

16   by a single step to 1 nA, 500 ms duration for FS BCs, and a family of -250 pA to 250 pA in 50

17   pA steps, 500 ms duration for PrCs). Maximal discharge frequency of FS BCs was measured

18   in responses to 1 nA pulses. The voltage "sag" amplitude of PrCs was measured in averaged

19   responses to -250 pA pulses. We calculated the inter-spike interval (ISI), as the ratio

20   between the length of the first ISI and the second ISI in a pulse train elicited by a 250 pA

21   current pulse. The latency to the fist spike was taken as the time between the start of the

22   current pulse and the threshold crossing of to the first AP. For voltage-clamp recordings,

23   neurons were kept at a holding potential of -65 mV. Specific membrane capacitance was

24   measured with hyperpolarizing voltage pulses (-10 mV, 500 ms duration). Finally, the

25   spontaneous synaptic input onto PV BCs was characterized by recording excitatory post-

26   synaptic currents (EPSCs) for 1 minute. Cells were excluded if resting membrane potential

27   was more depolarized than -45 mV, initial series resistance >30 MΩ or >20% change

1   occurred in series resistance over the course of the recording. The liquid junction potential

2   was not corrected.

3   Paired recordings between PV BCs and L2/3 PrCs were performed to determine connectivity

4   and synaptic properties, as described earlier [Reference]. Briefly, a fast-spiking putative PV

5   BC was recorded in current-clamp mode and a postsynaptic Venus-negative cell was

6   patched in close proximity (<200 μm distal) and recorded in voltage clamp at a holding

7   potential of -60 mV to record outward GABAA receptor-mediated unitary IPSCs (estimated

8   reversal potential for Cl^-= -70 mV). The series-resistance was compensated to 80%. APs

9   were produced in the IN by short depolarizing pulses (2 nA amplitude, 2 ms duration) and the

10   postsynaptic cell recorded simultaneously. The reciprocal connectivity was then tested from

11   the PrC to the IN under the same conditions, albeit with the PrCs in current-clamp and the IN

12   in voltage clamp mode. Depending on the stability of the IN recording, multiple postsynaptic

13   principal cells (2 - 4) were recorded for each IN. Synaptic connectivity was characterized in

14   response to 50 APs evoked in the presynaptic neuron, with failures of transmission

15   determined as IPSC amplitudes less than twice the standard deviation of baseline

16   fluctuations measured within a 20 ms window prior to the AP. IPSCs were detected and their

17   amplitudes determined in a 10 ms window directly following the AP.

18   All electrophysiological data was acquired online using the open-source WinWCP software

19   package (courtesy of J. Dempster, Strathclyde University, Glasgow, UK;

20   http://spider.science.strath.ac.uk/sipbs/software_ses.htm) and off-line analysis was

21   performed using the Stimfit software (courtesy of C. Schmidt-Hieber; http://stimfit.org,

22   (Schlögl et al., 2013)).

23   PrCs were classified as PCs or SCs by principal component analysis following the approach

24   by (Fuchs et al., 2016), using three physiological parameters: depolarizing afterpotential

25   (dAP), latency and inter-spike interval (ISI), calculated previously (indicated with a double

26   asterisk in Table 3), as well as the presence or absence of an apical dendrite. For the

27   clustering itself, we standardized the parameters and ran the principal component function

28   "princomp" in R (https://rstudio.com) using a custom script. We grouped the resulting data on

the first two principal components, which were responsible for >90% of the samples variance

as determined by the elbow method, and clustered it using the "ward" hierarchical clustering

approach using custom written scripts in python 3.7.

Neuronal visualization and immunohistochemistry.

Following recording, cells were resealed by obtaining outside-out patches and slices

immersion fixed in 4% paraformaldehyde (PFA) in 0.1 M phosphate buffer (PB, pH 7.4) at

4°C for 24 - 48 hours. Slices were then transferred to fresh PB and stored for a maximum of

3 weeks. Prior to immunohistochemical processing, slices were rinsed in PB, followed by PB

buffered saline (PBS, 0.9% NaCl) and blocked in PBS containing 10% normal goat serum

(NGS), 0.3% TritonX-100 and 0.05% NaN_3 for 1 hour. Slices were then incubated with a

monoclonal mouse antibody raised against PV (1:5000, PV-235, Swant, Switzerland) in PBS

containing 5% NGS, 0.3% TritonX-100 and 0.05% NaN_3 for 72 hours at 4°C. Slices were

then rinsed in PBS and a fluorescent-conjugated secondary antibody was applied (goat anti-

mouse IgG, Alexa Fluor-405, 1:1000, Invitrogen, UK) in combination with fluorescent-

conjugated streptavidin (Alexa Fluor-647, 1:1000, Invitrogen, UK), in a PBS solution

containing 3% NGS, 0.1% TritonX-100 and 0.05% NaN_3 for 24 hours at 4°C. Slices were

rinsed in PBS and then desalted in PB before being mounted in on glass slides

(Fluoromount-G, Southern Biotech) with a 300 μm thick agar spacer, cover-slipped, sealed,

and stored at 4°C prior to imaging.

Confocal imaging and reconstruction.

Recorded cells and pairs of cells were imaged on a laser scanning confocal microscope

(FV1000, Olympus, Japan). First, a low magnification (4x air immersion, Olympus, Japan)

overview image was taken to confirm the cellular localization to the mEC, then high

resolution z-stacks were made with a 30x silicone immersion lens (N.A. 1.05, UPlanSApo,

Olympus) over the whole extent of the cell (1 μm axial steps). To confirm PV neurochemical

identity of the recorded cells, a high-magnification image (60x objective, N.A. 1.2, Olympus,

Japan) was taken over the soma and proximal dendrites and axon collaterals. Cells were deemed to be PV BCs if the soma, proximal dendrites, or axon terminals showed labeling for PV and presented axonal baskets characteristic of BC morphology (Figure 1B, C). Axo-axonic neurons presenting typical vertical axon cartridges (Defelipe et al., 1985; Somogyi et al., 1982) were excluded from the analysis.

Images were analyzed offline using the FIJI software package (http://fiji.sc/wiki/index.php/FIJI). Image stacks were stitched, the cells reconstructed and volume filled using the Simple Neurite Tracer plug-in (Longair et al., 2011). Image scaling and measurement of morphological parameters were performed using a custom script within the NEURON environment (Hines and Carnevale, 1997). Comparative morphology was assessed by overlaying dendritic and axonal arborizations from reconstructed cells from either dorsal or ventral mEC in MATLAB (2013b, Matworks, USA). Superimposed images were smoothed using a Gaussian filter, based on a standard deviation of seven and presented on a logarithmic scale. The 2D correlation indices were calculated utilizing the 'corr2' MATLAB function. Axonal bouton density was determined in a subset of cells, randomized and presented for blind counting. The number of boutons was counted on 8 - 10 straight, 25 - 70 μm long axon segments from each cell and the corresponding bouton density along the axon was calculated. The total number of boutons was estimated from the length of the axon and the bouton density calculated for the respective cells.

Statistics and Analysis.

Data is shown as the mean ± standard error of the mean (SEM) unless indicated otherwise. Data was tested for normality (Shapiro-Wilk test) and, where normally distributed, assessed with Student's t-test for statistical significance. Non-normal data was tested using the Mann-Whitney U non-parametric test. Fisher's exact test was used for analysis of connectivity to compare contingencies and two-way ANOVA for testing significance between three and more groups. For the reciprocal connectivity ratios shown in Figure 4D, standard error values were obtained from the variance calculated from the first order Taylor expansion of the

1   samples (Stuart and Ord, 2010). For all tests significant differences were assumed if $p < 0.05$

2   and significance level was set to * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$.

3

4

5   **Results**

6   To investigate the anatomical and physiological diversity among PV BCs at the cellular level,

7   which could underlie differences in inhibitory output along the dorso-ventral axis of the mEC

8   (Beed et al., 2013), we performed whole-cell patch-clamp recordings from the dorsal and the

9   ventral mEC in acute brain slices (Figure 1A). PV BCs were selected in L2/3 based on their

10   expression of Venus-YFP and multipolar appearance of their cell bodies, when observed

11   under the epifluorescent microscope. During the recordings, we identified 98 fast-spiking INs

12   (45 cells in the dorsal and 53 cells in the ventral mEC from 40 rats), out of which 89 were

13   subsequently confirmed to be immunoreactive for PV with morphological features of basket

14   cells (Figure 1B, C, see further detail below).

15

16   ***Similar intrinsic physiological properties and excitability of L2/3 PV BCs in dorsal and***

17   ***ventral mEC***

18   A possible explanation for the differences in lateral inhibition between dorsal and ventral

19   mEC (Beed et al., 2013) may arise from INs possessing divergent intrinsic responses to

20   depolarizing currents. To investigate this possibility, we characterized both the passive and

21   active properties of the recorded INs. PV BCs showed no difference in any of their passive

22   properties between dorsal and ventral mEC: their resting membrane potential, membrane

23   resistance, time-constant and cell capacitance (Table 1). In response to suprathreshold

24   depolarizing current pulses, PV BCs consistently fired non-accommodating trains of APs at

25   high frequency in both dorsal and ventral mEC; Figure 1D). There was no apparent

26   difference in the excitability of PV BCs between the two subregions in terms of the voltage

27   threshold, rheobase or their maximum firing frequency at 1 nA either (Table 1). Finally, given

28   that the excitability of a given neuron is directly related to its synaptic input, we asked

1 whether the spontaneous excitatory postsynaptic currents (EPSCs) arriving onto PV BCs in

2 the dorsal mEC were stronger than those arriving in the ventral mEC (Figure 1E). We

3 observed no difference in either amplitude or frequency of spontaneous EPSCs between the

4 two IN groups (Figure 1E-G). Together, these data show that PV BCs display comparable

5 intrinsic excitability and spontaneous excitatory synaptic inputs, independent of their location

6 along the dorso-ventral axis of the mEC.

7

8 ***Dorsal and ventral PV BCs show similar dendritic and axonal morphologies***

9 Another plausible explanation for dorso-ventral differences in lateral inhibition might be a

10 divergence in PV BC morphology; allowing individual neurons to receive and transmit

11 information to narrower *vs.* wider fields of the mEC. Therefore, we next analyzed the

12 morphology of the recorded and visualized neurons (Figure 1B and C). PV BCs from both

13 dorsal and ventral mEC had 3 - 9 aspiny or sparsely spiny primary dendrites emerging from

14 the soma, spanning layers L3 to L1, often with a dendritic tuft reaching the pial surface. The

15 axon emerged typically from the soma and formed a dense local arbor in L2/3 around the cell

16 bodies of neighboring neurons, consistent with the INs being basket cells (Figure 1B and C).

17 While we observed differences in their morphology, including the extent of the axon, this

18 variability was similarly present in both dorsal and ventral mEC.

19 To determine if the distributions of dendritic or axonal arbors were different between dorsal

20 and ventral mEC, we reconstructed a subset of the INs and performed morphometric

21 analysis (Figure 2). PV BCs from the dorsal mEC had a total dendritic length of 4.8 ± 0.3 mm

22 (22 PV BCs from 18 rats), comparable to those in the ventral mEC with 4.4 ± 0.3 mm (21 PV

23 BCs from 17 rats; p= 0.29, Mann-Whitney U test; Figure 2A). Sholl analysis revealed a higher

24 number of segments in proximal dendrites of dorsal PV BCs at 100-200 μm of the soma

25 compared to ventral INs (Figure 2B, F= 22.4, two-way ANOVA; Figure 2B). To examine the

26 extent, symmetry, and distribution of dendrites, we next analyzed the dendritic volume

27 density (Figure 2C). Superimposing PV BC dendritic arbors with the somata as reference

28 point, we calculated the mean volume density for the reconstructed neurons. This analysis

revealed no discernible differences in the distribution pattern between the two groups for the transverse and vertical axes (Figure 2C Insets) confirming that dendrites in dorsal and ventral PV BCs had very similar density distributions. A quantitative comparison of the volume density maps revealed that dendrites of reconstructed neurons in dorsal and ventral regions are highly similar (r= 0.75, 2D correlation coefficient).

We next performed the same analysis of the axonal distribution of PV BCs along the dorso-ventral axis of the mEC. The mean axon length in dorsal PV BCs was 12.9 ± 1.7 mm (22 INs from 18 rats) and of ventral cells was 16.3 ± 2.4 mm (19 INs from 14 rats), which was not statistically different (p= 0.35, Mann-Whitney U test; Figure 2D). Sholl analysis (Figure 2E) and volume density plots of the axonal distributions (Figure 2F) showed very similar spatial structure and distribution of the axons. This was further reflected by a high correlation between the volume density maps for dorsal and ventral INs (r= 0.91, 2D correlation coefficient) as well as strongly overlapping transverse and vertical projections of the density distributions (Figure 2F Insets).

As the length and distribution of the axon were not different between the two subregions, we next examined the density of boutons along the axon of PV BCs, as an indicator for the number of synapses formed. In the dorsal mEC, we found a high density of putative inhibitory boutons on PV BC axons (mean density: 0.41 ± 0.01 μm^{-1}, 87 axon segments from 10 cells from 9 rats), 41% higher than that in the ventral mEC (mean density: 0.30 ± 0.01 μm^{-1}, 85 axon segments from 10 PV BCs from 10 rats; p < 0.0001, Student's t-test on cell averages; Figure 2G and H). As such, a higher number of synapses may be formed by the axon of dorsal PV BCs. Indeed, estimates using the obtained density values of putative synaptic boutons and the corresponding axonal lengths of the INs indicated that the number of potential synaptic contacts made by dorsal INs was 7512 ± 51, in ventral PV BCs this estimate was lower at 5127 ± 39 (p= 0.023, Student's t-test).

Taken together, these data demonstrate that the dendritic distribution of PV BCs show minor regional specific differences along the dorso-ventral axis of the mEC. Despite the axon of PV

1   BCs displaying a comparable length and broadly similar lateral distribution in both mEC

2   subfileds, the number of putative synapses formed by this axon is greater in the dorsal mEC.

3

4   ***PV BCs along the dorso-ventral axis of the mEC are differentially connected to PrCs.***

5   Given these anatomical differences, particularly in putative synapse number, we next asked

6   whether the functional connectivity of PV BCs and PrCs was divergent between the dorsal

7   and ventral mEC. To address this, we performed paired recordings from identified PV BCs

8   and neighboring PrCs from both subregions (Figure 3A). APs elicited in the presynaptic IN

9   resulted in short-latency unitary IPSCs in 59% of the simultaneously recorded PrCs

10   at -60 mV holding potential (47 connections from 81 tested pairs from 23 rats; Figure 3B).

11   Bath application of the competitive $GABA_A$ agonist Gabazine (10 μ) reduced these fast

12   outward synaptic currents by 96% (peak amplitude 1.5 ± 0.1 pA *vs.* 39.8 ± 22.7 pA under

13   control conditions, 10 BC-PrC pairs, p= 0.026, Student's t-test), confirming that they were

14   meditated by ionotropic GABA receptors.

15   When we divided the synaptically-coupled pairs between subregions of the mEC, we found

16   that the probability of a unitary connection from dorsal PV BCs onto local PrCs was very high

17   at 76% (29 coupled of 38 tested pairs from 16 rats). In contrast, the connectivity of ventral

18   mEC PV BCs onto PrCs was substantially lower at 41% among the tested pairs (18 coupled

19   of 44 tested pairs from 14 rats; p= 0.0001, Fisher's exact test; Figure 3C).

20   The amplitudes from unitary IPSCs produced by PV BCs showed a log-normal distribution,

21   with the majority of the amplitudes occurring between 5 and 100 pA and a long tail that

22   reached up to 250 pA. However, despite the marked differences in connectivity between

23   dorsal and ventral mEC, the average amplitudes of unitary IPSCs with 30.6 ± 5.1 pA (29

24   pairs from 19 rats) in the dorsal mEC and 65.4 ± 8.6 pA in the ventral mEC (18 pairs from 10

25   rats, p= 0.16, Mann-Whitney U test, Figure 3D) were not sufficiently different to reach

26   statistical significance due to the high variability in both samples. The apparent failure rate of

27   transmission at synapses between PV BCs and PrCs in dorsal (17 ± 4%, 29 pairs) and

28   ventral mEC (10 ± 3%, 18 pairs) was comparable (p= 0.11, Mann-Whitney U test). In

1   addition, the IPSC kinetics from both regions were also similar with respect to onset latency

2   (Dorsal: 2.2 ± 0.2 ms, 29 pairs; Ventral: 2.1 ± 0.1 ms, 18 pairs; p= 0.85, Student's t-test), 20-

3   80% rise-time (Dorsal: 0.65 ± 0.1 ms, 29 pairs; Ventral: 0.60 ± 0.1 ms, 18 pairs; p= 0.47,

4   Mann-Whitney U test), and decay time-constant (Dorsal: 5.2 ± 0.5 ms, 29 pairs; Ventral:

5   5.3 ± 0.6 ms, 18 pairs; p= 0.33, Student's t-test). Finally, no difference was found in short-

6   term plasticity in terms of paired-pulse depression (Dorsal: 0.81 ± 0.03, 29 pairs; Ventral:

7   0.72 ± 0.04, 18 pairs; p= 0.11, Student's t-test). Taken together, our paired recording results

8   converge with the morphological data demonstrating that functional synaptic connectivity is

9   higher in the dorsal than in the ventral mEC.

10 In 8 of the simultaneously recorded pairs (5 in the dorsal and 3 in the ventral mEC), we

11 observed that connectivity between BCs and PrCs was reciprocal (Figure 4A, B). These

12 reciprocally connected pairs had a smaller mean unitary IPSCs (24.6 ± 15.6 pA) than the

13 non-reciprocally connected BC-PrC pairs (51.7 ± 57.5 pA, 39 pairs, p= 0.015, Student's t-test

14 Welch's corrected; Figure 4A, C). We observed no statistically significant difference in EPSC

15 amplitude of reciprocally connected BC-PrC pairs (-110.8 ± 91.9 pA) compared to non-

16 reciprocal BC-PrC pairs (-55.6 ± 47.6 pA, 6 pairs, p= 0.21, Mann-Whitney U). Consequently,

17 the ratio of excitation *vs.* inhibition for reciprocal connections with 4.5 ± 4.6 was considerably

18 higher than the ratio of EPSC and IPSC amplitudes for non-reciprocally connected neurons

19 (1.1 ± 1.4, p= 0.01; Figure 4D). These results suggest that mEC PV BC microcircuits might

20 be wired for competitive interactions whereby selected PrCs involved in reciprocally coupling

21 with BCs are able to effectively recruit perisomatic inhibition to suppress neighboring PrCs.

22

23 ***Connectivity onto identified PCs and SCs***

24 It has previously been shown that different PrC subtypes, PCs and SCs, receive differential

25 input from PV BCs in the mouse (Fuchs et al., 2016). Therefore, we next asked whether we

26 observed similar differences in target cell specificity in our sample of PV BC-PrC pairs in

27 juvenile rats. Simultaneously recorded PrCs were classified, grouping them based on their

28 morphology and physiological properties (Figure 5A; Table 3) by applying a cluster analysis

as previously described (Fuchs et al., 2016) (Figure 5B). In good agreement with clearly identifiable examples of PCs and SCs among our reconstructed PrCs (Figure 5C, E), we observed two well-defined clusters emerging in this analysis, which corresponded to PCs (35 cells) and SCs (40 cells; Figure 5B). In 7 PrCs, there was insufficient data to perform the cluster analysis and these cells were excluded from further analysis. We did not observe clustering corresponding to intermediate cell types as previously reported in mice (Fuchs et al., 2016).

Analysis of the synaptic connectivity between PV BCs and PrCs on the basis of this classification demonstrated that the connection probability was independent of whether the postsynaptic target was a PC (Figure 5C, D) or a SC (Figure 5E, F). The average connection probability onto PCs was 55% (18 coupled of 35 tested pairs from 20 rats) and onto SCs it was comparable at 60%. (24 coupled of 40 tested pairs from 17 rats; p= 0.66, Fisher's exact test; Figure 5G). In contrast, the connection probability from PrCs onto PV BCs was substantially lower at 17% for PCs (6 coupled of 35 tested pairs) and similarly low at 20% for SCs (8 coupled of 40 tested pairs, p= 0.78, Fisher's exact test; Figure 5G).

Finally, we examined whether the connectivity and synaptic properties of PV BCs onto PrC subtypes was different between dorsal and ventral mEC. From dorsal PV BCs we found connection probabilities of 77% onto PCs (10 coupled of 13 tested pairs from 8 rats) and 78% onto SCs (14 coupled of 18 tested pairs from 11 rats), which was not different between the two target cell types (p= 1.0, Fisher's exact test). The connection probability of ventral PV BCs was 36% onto PCs (8 coupled of 22 tested pairs from 12 rats) and 46% (10 coupled of 22 tested pairs from 11 rats) onto SCs, consistent with the overall lower probability of connectivity in this region (see above), but with no significant difference between the two PrC subtypes (p= 0.54, Fisher's exact test).

We observed no overt differences in any underlying property of the unitary synaptic responses recorded in classified PrC subtypes, as the amplitude of IPSCs recorded in SCs was 55.2 ± 12.8 pA and in PCs was 37.7 ± 11.8 pA (p= 0.35, Student's t-test). Similarly, the amplitude of EPSCs in PV BCs was similar whether they resulted from inputs from SCs (-

1   83.4 ± 22.8 pA) or from PCs (-108.7 ± 56.8 pA; p= 0.83, Mann-Whitney U test; Figure 5H).

2   Finally, there was no observed statistical difference in the IPSC paired-pulse ratio produced

3   by INs (IN-SC: 0.74 ± 0.03, IN-PC: 0.81 ± 0.07, p= 0.59) or EPSCs (SC-IN: 0.69 ± 0.06, PC-

4   IN: 0.44 ± 0.13, p= 0.09, Mann-Whitney U test; Figure 5I).

5   Together, these data show that that the reciprocal connectivity between PV BCs and PrCs is

6   independent of PrC type in the juvenile rat. However, the observed differences in the

7   connection probability of PV BCs onto PrCs along the dorso-ventral axis may enable these

8   INs to produce more robust lateral inhibition in dorsal mEC microcircuits.

9

10   **Discussion**

11   In this study we provide a comprehensive characterization of L2/3 PV BCs along the dorso-

12   ventral axis of the mEC; with respect to their intrinsic physiology, morphology, and

13   connectivity. While we observed minimal differences in PV BC intrinsic physiology or

14   dendritic and axonal morphology along this axis, PV BCs were more synaptically coupled to

15   the local microcircuit in the dorsal compared to ventral mEC, plausibly explaining divergent

16   lateral inhibition observed in previous studies (Beed et al., 2013).

17

18   ***Morphology and intrinsic physiology of PV BCs does not account for divergent mEC***

19   ***inhibition***

20   INs comprise ~20% of all neurons in the mEC, of which fast-spiking PV BCs represent 50%,

21   and as such exert powerful control over local network activity in L2/3 (Beed et al., 2013;

22   Jones and Buhl, 1993; Pastoll et al., 2013). In the neocortex, fast-spiking PV BCs have been

23   described physiologically (Gupta, 2000; Martin et al., 1983; Wang et al., 2002),

24   morphologically (Kisvárday et al., 1985; Thomson et al., 1996) and with respect to their

25   connectivity and network functions (Cunningham et al., 2004; Dantzker and Callaway, 2000;

26   White, 1989). By contrast, in the mEC, PV BCs have not been thoroughly studied. Here, we

27   describe the intrinsic physiological and morphological characteristics of INs in L2/3 in both

28   the dorsal and ventral mEC, which were all putatively identified as BCs based on their

1   immunoreactivity, axonal distribution and formation of boutons around neighboring neuronal

2   somata. Despite heterogeneity in their morphology, there were no major systematic

3   differences in their properties along the mEC dorso-ventral axis that would account for

4   divergent lateral inhibition (Beed et al., 2013). In cortical areas, at least 5 subpopulations of

5   PV INs have been described: large BCs, narrow BCs, nest BCs, clutch cells, and axo-axonic

6   cells which each possess different physiological and morphological properties (Buetfering et

7   al., 2014; Dantzker and Callaway, 2000; Jones and Buhl, 1993; Kawaguchi, 1997; Kisvárday

8   et al., 1985; Pastoll et al., 2013; Somogyi et al., 1983). We excluded putative axo-axonic cell,

9   and did not attempt to characterize PV BCs based on these subgroups, which may contribute

10   to some morphological heterogeneity in our data. Despite this, the absence of distinct

11   morphological divergence along the dorso-ventral mEC suggests that the increased lateral

12   inhibition and PV immunolabeling observed in the dorsal mEC (Beed et al., 2013) must arise

13   from an alternative mechanism, such as the divergent axon bouton density and connectivity

14   that we describe here.

15

16   ***Differential connectivity of mEC PV BCs along the dorso-ventral axis***

17   Our data reveal that PV BCs in the supragranular layers of the mEC innervate local PrCs to a

18   greater extent in the dorsal, as compared to ventral mEC. This higher inhibitory connectivity

19   formed by PV BCs plausibly leads to more robust inhibition in PrCs at dorsal levels. Indeed,

20   (Beed et al., 2013) showed that while the maximum distance of inhibitory input points is

21   broadly similar (~500 µm), the relative strength over the entire local region is ~50% higher in

22   dorsal than ventral SCs. This finding fits well to our data, showing that, the axonal arbors of

23   PV INs spread up to 300 - 500 µm from the soma in both subregions; however, the

24   connection probability is approximately 50% higher in dorsal environs. This higher

25   connection probability correlates with a higher density of boutons along axon collaterals. A

26   complementary factor which might further underlie higher connection probabilities is that

27   dorsal PrC somata have been shown to be larger, and thus might be contacted by more PV

28   terminals than ventral somata (Berggaard et al., 2018). The higher density of varicosities

along BC axon is in good agreement with previous findings that the volume density of PV axon terminals is decreasing along the dorso-ventral axis, whereas the density of PV somata shows only a moderate decline (Beed et al., 2013), also suggesting a higher number of presynaptic terminals formed by dorsal *vs.* ventral PV BCs. However, other studies found a steeper decline in the number of PV somata in ventral than in dorsal sections of mEC in the rat (Kobro-Flatmoen and Witter, 2019) and in mice (Fujimaru and Kosaka, 1996). Thus, a lower divergence of the synaptic output of PV BCs, a lower convergence onto the target PrCs and a lower number of the INs may lead to weaker inhibition in the ventral compared to the dorsal mEC subregion.

It has been previously shown that PrCs segregate into 4 defined subtypes with differential connectivity to local INs in the mouse (Fuchs et al., 2016). In our cluster analysis of mEC PrCs performed in rats using the same parameters, we only observed 2 distinct clusters corresponding to PC and SCs. Furthermore, connectivity analysis of the identified two PrC clusters did not reveal differential connectivity onto PCs *vs.* SCs from PV BCs in the rat. A plausible explanation for this divergence beyond a simple species difference could be a difference in the age of the animals: juvenile in this study and more mature mice in Fuchs et al., (2016), which will require further study.

With respect to the dorso-ventral differences among PrCs, it has been recently shown that the intrinsic physiology of SCs favors a greater voltage response of these neurons to depolarizing currents in the ventral mEC, with minimal divergence in the properties of PCs (Pastoll et al., 2020). How the interplay between higher AP discharge probabilities from ventral SCs affects the recruitment of PV BCs and the generation of feedback inhibition during grid field firing remains yet to be analyzed.

A role for PV BCs in the generation of divergent spatial tuning in dorsal and ventral mEC?

A key role which has been proposed for the superficial layers of the mEC is to encode spatial information, with most neurons showing some degree of spatial tuning in their activity (Couey

et al., 2013; Tennant et al., 2018). Most prominent examples of PrCs with such spatially-modulated discharge observed in this region are grid-cells (Hafting et al., 2005) and head-direction cells (Sargolini, 2006; Tang et al., 2014). Feedback inhibitory loops, involving fast-spiking PV BCs in interaction with PrCs, has been identified as a potential mechanism for the generation of spatially controlled PrC grid activity in *in vivo* electrophysiological and optogenetic experiments (Couey et al., 2013; Fyhn et al., 2007; Miao et al., 2017; Pastoll et al., 2013; Shipston-Sharman et al., 2016). Network simulations using attractor-based models further underlined the importance of feedback inhibition for the generation of grid-cell activity (Couey et al., 2013; Fyhn et al., 2007; Miao et al., 2017; Pastoll et al., 2013; Shipston-Sharman et al., 2016) despite the fact that PV BCs themselves appear to have only minimal spatial tuning (Buetfering et al., 2014). One major divergence in the phenomenology of coherent grid activity, as a proxy for spatial information processing, is the presence of a gradient of grid sizes, with smaller spatial fields observed in dorsal mEC and larger grids in ventral mEC (Naumann et al., 2016). Our data provides evidence for the involvement of PV BC-mediated inhibition in such a divergent grid cell firing, as high connectivity will provide stronger inhibition and tighter spatial tuning as observed in the dorsal mEC. Conversely, low connectivity would allow PrCs to discharge over a wider spatial field, or in response to reduced depolarizing currents (Pastoll et al., 2020), as observed in the ventral mEC. Convergently, gamma frequency oscillations (20 - 200 Hz) have been shown to be stronger in the dorsal mEC (Beed et al., 2013). Given the defined role of PV BCs in the generation of emergent gamma oscillations (Bartos et al., 2007, 2002; Tukker et al., 2007), the stronger inhibition provided by their higher connectivity in the dorsal mEC may also provide a cellular substrate for this difference in population activity.

In summary, we provide the first thorough description of the morphology, physiology, and connectivity of PV BCs in the rat mEC, with respect to the dorso-ventral axis. We show that while PV BC morphology and intrinsic physiology is similar along this axis, the connectivity of PV BCs is highest in dorsal and lowest in the ventral mEC, plausibly contributing to differences in spatial coding within these two regions.

1

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3

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9

10 **Figure Legends**

11 **Figure 1 - Morphology and physiological signature of fast-spiking PV BCs in the dorsal**

12 **and ventral mEC: (A)** Representative confocal images from the slices of the dorsal (left

13 panel) and ventral mEC (right panel) immunostained for PV (in green pseudocolor) and

14 counterstained by DAPI (grayscale). Higher magnification images illustrate the superficial

15 layers (L1-3) of the mEC corresponding to the white rectangles in the overview images in the

16 separate channels. **(B, C)** Reconstructions of biocytin-filled fast-spiking PV BCs recorded in

17 L2/3 of the dorsal (B) and ventral mEC (C). Soma und dendrites of the INs are depicted in

18 black, axons in red; boundaries of the layers (L1-L3) are indicated by dotted lines. Insets on

19 the right illustrate the PV immunoreactivity (in green) in the biocytin filled somata of the INs

20 (Bioc, grayscale). **(D, E)** Voltage responses of the two visualized INs to hyperpolarizing (-500

21 to -100 pA) and depolarizing current pulses (500 and 1000 pA, 500 ms duration, see inset in

22 the middle). Note the fast-spiking non-accommodating AP discharge pattern in response to

23 the strong depolarizing current pulse in both INs. **(F,G)** Summary bar charts of the amplitude

24 (F) and frequency of spontaneous EPSPs in dorsal ("D", red bars) and ventral PV BCs ("V",

25 blue bars). Data from individual neurons are superimposed as open circles; numbers of

26 recorded neurons are indicated in parenthesis under the bars.

Figure 2 - Neuroanatomical properties of PV BCs in the dorsal and ventral mEC: (A, B)
Summary bar charts of the length of dendrites (A) and axons (D) of dorsal ("D", red bars) and
ventral PV BCs ("V", blue bars). Data from individual neurons is superimposed as open
circles; numbers of analyzed neurons are indicated in parenthesis under the bars. **(B, E)**
Sholl analysis of the dendritic (B) and axonal arbors (E) of dorsal (in red) and ventral PV BCs
(in blue). Sholl radius was set to 25 µm and significance was tested using Fischer's exact
test; Asterisks indicate significant differences at the level of p= 0.05. **(C, F)** Cumulative heat
maps of the spatial densities of dendritic (C) and axonal distributions (F) for dorsal (left) and
ventral PV BCs (right). Individual INs viewed in the plane of the slices were aligned with their
somata to the middle of the plots. The color code for the density (in arbitrary units) is on the
left. One-dimensional density plots on the right and bottom illustrate the spatial integrals of
the densities along the X- and Y-axes, respectively, for dorsal (in red) and ventral INs (in
blue). **(G)** A confocal image of axon collaterals of intracellularly-filled PV BC displaying
varicosities in the dorsal (left) and ventral mEC (right). **(H)** Summary bar chart of the density
of varicosities along axon collaterals of PV BCs from the dorsal ("D", red bars) and ventral
mEC ("V", blue bars). Data from individual neurons are superimposed as open circles;
numbers of analyzed neurons are indicated in parenthesis under the bars. Statistical
significance: * $p < 0.05$ and *** $p < 0.001$.

**Figure 3 - Connectivity of recorded IN-PrC pairs shows greater coupling probability in
the dorsal mEC: (A)** Morphological reconstructions of a dorsal and a ventral synaptically-
coupled PV BC-PrC pair. PV BC somata and dendrites are in black, axons in red; for PrCs
only soma and dendrites are shown in cyan; boundaries of the layers 1 - 3 (L1 - L3) are
indicated by dotted lines. Insets on the bottom illustrate the PV immunoreactivity (in green
pseudocolor) in the biocytin filled somata of the BCs (Bioc, grayscale). **(B)** Representative
traces illustrate presynaptic APs evoked in PV BCs (upper traces) and short latency unitary
IPSCs in concurrently recorded synaptically coupled PrCs (lower traces, averaged trace in
black, individual IPSCs are superimposed in gray) in the dorsal (left) and ventral mEC (right).
(C) Summary bar chart of the connectivity of PV BCs onto PrCs in the dorsal ("D", red bars)

and ventral mEC ("V", blue bars). **(D)** Summary bar chart of the unitary IPSC amplitudes in the dorsal ("D", red bars) and ventral mEC ("V", blue bars). Individual amplitude data from the pairs are superimposed as open circles on the bars. Numbers of analyzed simultaneous BC-PrC recordings are indicated in parenthesis under the bars. Statistical significance: ** $p < 0.01$.

Figure 4 - Reciprocal IN-PrC pairs in the mEC show higher excitation and lower inhibition than non-reciprocal pairs: (A) Schemes and representative traces illustrating the observed connectivity patterns of BC-PrC pairs: a reciprocally connected pair (left panel), a unidirectionally connected pair (middle panel) displaying only a unitary EPSC in the BC (bottom left trace, in gray) and unidirectionally connected PrC-BC pair (right panel) displaying only unitary IPSC in the PrC (top right trace in cyan). The representative presynaptic APs and the evoked unitary synaptic responses (average of 10 traces) are illustrated side-by-side in the BCs (left traces, in gray) and PrC (right traces, in cyan). **(B)** Bar chart of the total number of connected PV BC and PrC pairs (reciprocal connections, in gray). **(C)** Summary bar chart of the unitary IPSC and EPSC amplitudes for reciprocal and non-reciprocal connections. Individual peak amplitude data from the pairs are superimposed as open circles on the bars. **(D)** Ratio of excitation *vs.* inhibition in non-reciprocal (NR, white bar) *vs.* reciprocal pairs (R, gray bar). Statistical significance: * $p < 0.05$.

Figure 5 - Properties of synaptic coupling of stellate and pyramidal cells to PV BCs: (A) Voltage responses of a pyramidal cell (PC, top) and a stellate cell (SC, bottom) to hyperpolarizing (-500 to -100 pA, 500 ms duration) and a suprathreshold depolarizing current pulses (500 pA). **(B)** Dendrogram illustrates the separation of two subpopulations of PrCs corresponding to PCs (in cyan) and SCs (in ocher) by cluster analysis. **(C, E)** Morphological reconstructions of a synaptically-coupled PV BC-PC (C) and a PV BC-SC pair (E). PV BC somata and dendrites are in black, axons in red; for the PrCs only soma and dendrites are shown (PC in cyan; SC in ocher); boundaries of layer 1 - 3 (L1 - L3) are indicated by dotted lines. Insets on the bottom illustrate the PV immunoreactivity (in green pseudocolor) in the

biocytin filled IN somata (Bioc, grayscale). **(D)** Electrophysiological data from a reciprocally

connected BC-PC pair. Representative trace illustrates presynaptic APs evoked in a PV BC

(top trace) and the short latency unitary IPSCs in the concurrently recorded PC (upper

middle trace, averaged response in black, individual IPSCs are superimposed in gray).

Similarly, presynaptic APs evoked in the PC (lower middle trace) were followed by short

latency unitary EPSCs in the concurrently recorded IN (bottom trace). **(F)**

Electrophysiological data from a reciprocally connected BC-SC pair as illustrated in (D). **(G)**

Summary bar chart of the connection probabilities between PV BCs and PCs and SCs in the

mEC. **(H, I)** Bar charts of the amplitudes (H) and paired pulse ratios (I) of unitary IPSCs and

EPSCs between PV BCs and synaptically coupled PCs and SCs. Individual values from the

pairs are superimposed as open circles on the bars.

Table Legends

Table 1 - Passive and active physiological properties of dorsal and ventral PV BCs.

Results shown as mean ± SEM; number of analyzed neurons are shown in parenthesis.

Table 2 - Anatomical properties of dorsal and ventral PV BCs. Results shown as mean ±

SEM; the numbers of analyzed neurons are shown in parenthesis.

Table 3 - Passive and active physiological properties of stellate and pyramidal PrCs.

Results shown as mean ± SEM, number of analyzed neurons shown in parenthesis.

Parameters utilized for the principal component analysis are highlighted with a double

asterisk.