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## Potential of genomic technologies to improve disease resistance in molluscan aquaculture

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### Abstract

Molluscan aquaculture is a major contributor to global seafood production, but is hampered by infectious disease outbreaks which can cause serious economic losses. Selective breeding has been widely used to improve disease resistance in major agricultural and aquaculture species, and has clear potential in molluscs, albeit its commercial application remains at a formative stage. Advances in genomic technologies, especially development of cost-efficient genomic selection, have potential to accelerate genetic improvement. However, tailored approaches are required due to the distinctive reproductive and lifecycle characteristics of molluscan species. Transgenesis and genome editing, in particular CRISPR/Cas systems, have been successfully trialled in molluscs, and may further understanding and improvement of genetic resistance to disease through targeted changes to the host genome. Whole organism genome editing is achievable on a much greater scale compared to other farmed species, making genome-wide CRISPR screening approaches plausible. This review discusses the current state and future potential of selective breeding, genomic tools, and genome editing approaches to understand and improve host resistance to infectious disease in molluscs.

**Key words:** Mollusc, selective breeding, gene editing, disease resistance, transgenesis, CRISPR/Cas9

## Introduction

Aquaculture plays a major role in global food security, producing 80.1 million tonnes of food in 2016. Growth in the aquaculture sector is continuing and has become an increasingly important source of seafood as capture fisheries have remained relatively stable since 1990 [1, 2]. Molluscs, including bivalves, gastropods and cephalopods represent 21.4 % of all aquaculture globally, but avenues for further development remain due to their fundamentally different biological features compared to finfish aquaculture species [1]. Their extremely high fecundity, tolerance to diverse environments, and minimal input requirements means molluscan aquaculture has major potential for efficient animal protein production [2, 3]. Bivalves such as oysters, clams, mussels and scallops provide the bulk of molluscan aquaculture, with gastropods such as abalone and freshwater snails also making a significant contribution. Cephalopod aquaculture currently has very little commercial significance, possibly due to lack of culture expertise [4] unknown optimal nutritional requirements, and difficulties associated with animal reproduction in captivity [5]. The distribution of molluscan aquaculture is mostly focused in Asia, especially China where mollusc production accounts for more than 66 % of total aquaculture output by live weight (Figure 1) [6, 7].

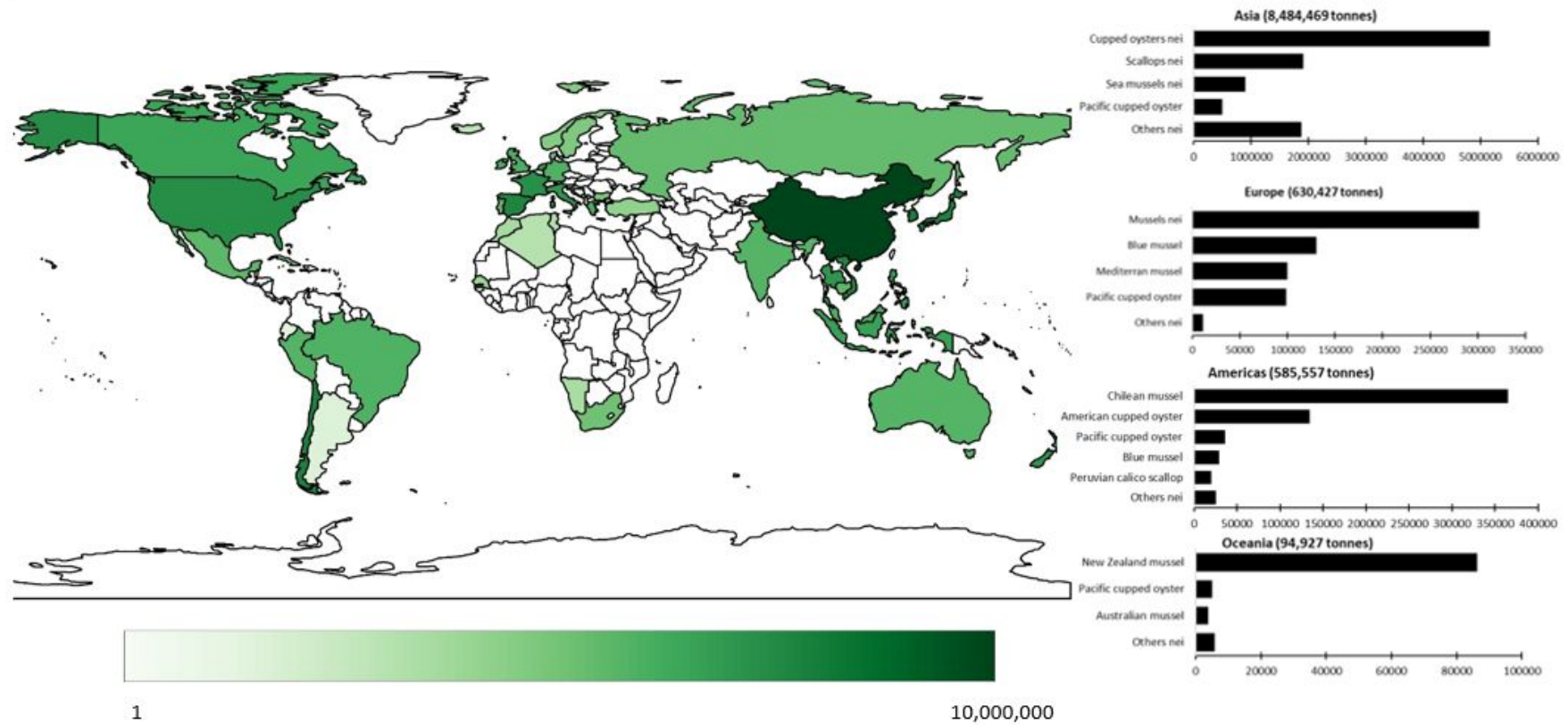


Figure 1) Global mollusc production in 2018 using FAO data (live weight tonnes log<sub>10</sub>). Bar charts show major production focal species across Americas, Europe, Asia and Oceania according to FAO. nei = not elsewhere included

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5 The grow-out phase for the majority of molluscan aquaculture occurs in the open ocean  
6 environment, where animals are exposed to a wide range of viruses, bacteria, fungi, protozoans  
7 and metazoans that can cause infectious disease outbreaks [8-10]. Such disease issues can  
8 seriously constrain the sustainability and expansion of molluscan aquaculture. While assessing  
9 the impact of specific pathogens on molluscan aquaculture is challenging, one pertinent  
10 example is the devastating impact of the ostreid herpesvirus (OsHV) which is wide spread and  
11 impacts most oyster producing countries, particularly the microvariant OsHV-1  $\mu$ var [11].  
12 Multi-faceted approaches are required to prevent and mitigate the impact of such outbreaks,  
13 especially considering potential increases in disease risk due to climate change [12]. Due to its  
14 economic and animal welfare importance, disease resistance is arguably the most important  
15 target trait in the breeding goals of advanced aquaculture breeding programmes [13-16].  
16 Furthermore, in many cases alternative means of disease prevention are lacking, and traditional  
17 vaccination approaches are impossible in molluscan aquaculture due to open culture systems  
18 and the lack of an adaptive immune system [17].

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38 Genetic improvement of disease resistance via selective breeding without negative  
39 consequences of reduced genetic diversity requires a well-organised breeding programme,  
40 including routine trait recording and tracking of pedigree [18]. There has been significant  
41 research effort and a number of such breeding programmes for molluscs, but the majority of  
42 molluscan aquaculture is still based on wild-sourced seed [18, 19]. Therefore, as with finfish  
43 species, there is significant untapped potential to improve production via well-managed  
44 selective breeding for genetic improvement of target traits [1, 20], which has been successfully  
45 demonstrated in molluscs [21-25]. An increasing number of genomic tools have been  
46 developed, including high quality reference genomes and single nucleotide polymorphism  
47 (SNP) arrays. These have led to new approaches to map loci affecting disease resistance, and  
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3 studies demonstrate the potential of marker assisted selection and particularly genomic  
4 selection to expedite genetic gain for target traits [18]. Genetic engineering and genome editing  
5 approaches (such as CRISPR/Cas9) have further potential to improve disease resistance, but  
6 have not yet been widely tested or applied in molluscs. Certain aspects of molluscan biology  
7 and life history traits present unique challenges and potential for genetic improvement with  
8 exciting opportunities to understand and improve disease resistance. This review will focus on  
9 the developments and applications of selective breeding and genome editing technologies to  
10 date, and discuss future innovations that are likely to have significant impacts on prevention of  
11 disease and improvement of molluscan aquaculture production in the future.  
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### 25 **Disease resistance traits in molluscs**

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27 To improve disease resistance via genetic and genomic approaches, it is important to define  
28 and understand the definition of the target traits. Disease resistance is an encompassing term  
29 that from a biological perspective can describe a spectrum ranging from increased tolerance to  
30 complete protection. Both of these outcomes are beneficial to production and can be targets for  
31 improvement using selective breeding [26]. For molluscs, disease resistance in the broad sense  
32 is typically measured as the survival of animals in response to a challenge, either an  
33 experimental challenge via immersion or injection of a pathogen, or a 'field' challenge where  
34 survivors of a particular outbreak are sampled and considered as resistant. In some cases,  
35 including where the pathogen or parasite does not kill the host, counts of the pathogen per  
36 animal provide an appropriate trait to measure, with low pathogen burden considered as more  
37 resistant [27, 28].  
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53 While these measures of disease resistance are likely to be practically effective, as has been  
54 shown for finfish aquaculture species [29], understanding the molecular mechanisms  
55 underlying the resistance traits is more challenging. This is particularly the case for molluscan  
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3 species, as the mechanisms of invertebrate immunity are not as well understood as for  
4 vertebrates [30], especially given the range of host species and pathogens that impact  
5 aquaculture production. Molluscs lack adaptive immunity and instead primarily utilise non-  
6 specific responses to pathogens [17, 30]. Autophagy, phagocytosis, and other generalised  
7 defence mechanisms play a key role in the response to infection in several mollusc species  
8 [31]. Furthermore, anti-viral priming can provide an innate immunity upon reinfection, which  
9 has been demonstrated in oysters [32, 33]. However, while the cellular mechanisms of immune  
10 response and disease resistance require further research, the rapidly expanding genomic  
11 toolbox will assist in meeting this challenge (see ‘Towards functional mechanisms  
12 underpinning genetic resistance in molluscs’ below).

### 26 **Selective breeding for disease resistance**

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29 Disease resistance traits have been demonstrated in several studies to be heritable, and selective  
30 breeding via family selection and mass selection has been successful in improving resistance  
31 in several oyster species (Table 1) [23]. The traits have been improved via a mix of  
32 experimental challenges and survival data collected from field outbreaks, with reasonably high  
33 genetic correlations observed between the traits measured in the two disparate environments  
34 [34], which bodes well for the use of experimental challenge models to reflect disease  
35 resistance in commercial settings. The focus of the diseases and pathogens for such studies  
36 have largely been those that cause high levels of mortalities in commercial farming, such as  
37 OsHV-1, *Bonamia spp.* (Bonamiosis), *Haplosporidium nelsoni* (MSX), and *Perkinsus marinus*  
38 (Dermo) [35-41], which can have additional negative impacts via restrictions on movement of  
39 stocks.  
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**Table 1)** Heritability estimates for disease resistance in molluscs since 2015 (see Degremont et al., 2015 [23])

Host species	Pathogen	Type	Organisation / Location	Method	Heritability estimate (range)	References
<i>Crassostrea gigas</i> / Pacific oyster	OsHV	Industrial	MPI and Cawthron - New Zealand	Field survival and laboratory virus challenge survival of hatchery broodstock	0.33 - 0.56	[34]
<i>Crassostrea gigas</i> / Pacific oyster	OsHV	Experimental	Oregon State University - Tomales bay, USA	Field survival and laboratory virus challenge survival of hatchery broodstock imported from France	0.22 - 0.81	[42]
<i>Crassostrea gigas</i> / Pacific oyster	OsHV	Experimental	Cefas and Roslin Institute - UK	Laboratory virus challenge survival of hatchery broodstock	0.08 - 0.25	[25]
<i>Haliotis rufescens</i> / red abalone	Candidatus Xenohaliotis californiensis	Experimental	CEAZA and Universidad Católica del Norte - Chile	Measurement of disease intensity in hatchery reared from commercial stock	0.21 - 0.36	[27]
<i>Crassostrea gigas</i> / Pacific oyster	<i>Vibrio aestuarianus</i>	Experimental	IFREMER - France	Laboratory bacterial challenge of hatchery broodstock	0 - 1.05	[43]
<i>Pinctada fucata</i> / Pearl oyster	<i>Polydora ciliata</i>	Industrial	Chinese Academy of Fishery Sciences	Field challenge of hatchery broodstock	0.21 - 0.47	[44]
<i>Crassostrea gigas</i> / Pacific oyster	OsHV and <i>Vibrio aestuarianus</i>	Experimental	IFREMER - France	Field survival and laboratory challenge of hatchery broodstock at different life stages	0 - 2.58	[45]
<i>Crassostrea gigas</i> / Pacific oyster	OsHV	Experimental	IFREMER - France	Field survival and laboratory virus challenge survival of hatchery broodstock	0.49 - 0.75	[24]

The significant heritability estimates for disease resistance traits highlights the potential to improve resistance in farmed mollusc stocks via breeding programmes. Indeed, a survey of mollusc breeding programmes by Hollenbeck and Johnston (2018) highlighted that various family and mass selection approaches are used across the globe, and strikingly high responses to selection have been observed for disease resistance in oysters (~16 % per generation) [18]. These results are comparable with or higher than the typical selection



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3 responses observed for performance traits in finfish breeding, and substantially higher than  
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5 for terrestrial livestock breeding [46]. There are two main potential reasons for this; firstly the  
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7 high fecundity of mollusc species can facilitate a high selection intensity, and secondly the  
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9 proximity of mollusc species to wild counterparts which implies significant standing genetic  
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11 variation for traits important in the farmed environment. The success of both experimental  
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13 and early-stage commercial breeding programmes for bivalves highlights the potential to  
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15 make rapid and cumulative genetic gains for target traits [18, 22, 23].  
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### 20 **Breeding programme design**

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23 Breeding programmes in molluscs must account for unique aspects of their biology and life  
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25 history, which are strikingly different to livestock and finfish. The extreme fecundity of  
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27 individual molluscs (up to millions of offspring per cross) provides single cross population  
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29 sizes that are well in excess of what can be achieved in finfish or agricultural breeding  
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31 programmes, providing potential for high selection intensity and genetic gain. Mass selection  
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33 is therefore potentially a viable strategy for improving disease resistance, demonstrated by  
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35 breeding from survivors of OsHV challenges that resulted in 62% higher survival after four  
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37 generations of Pacific oysters [47]. Encouragingly, this improved survival was observed both  
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39 in experimental challenges and in field environments, which highlights the relevance of the  
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41 experimental challenge model [47]. However, mass selection is unlikely to be a sustainable  
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43 approach for commercial mollusc breeding. Firstly, it is well established that mass selection  
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45 can result in inbreeding, particularly in highly fecund species, and this has already been shown  
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47 in oyster, abalone and scallop species [18]. Secondly, mass selection drastically limits the scope  
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49 of a breeding programme to primarily focus on one specific trait of interest, losing potentially  
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51 valuable genetic variation for other traits. Finally, for disease resistance in particular,  
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53 challenging the candidate broodstock animals with pathogens may be a biosecurity risk, as  
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3 hatcheries should be disease free if possible, and survivors may carry and transmit the  
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5 pathogen.  
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8 For most aquaculture species, it is generally considered that the cumulative genetic gains and  
9 control of inbreeding offered by family selection is the optimal approach to selective breeding  
10 [1]. For disease resistance traits in particular, tracking of individuals and families allows for  
11 experimental or 'field' challenges on siblings of the selection candidates, which enables the  
12 calculation of breeding values for those candidates without exposing them to the pathogen(s)  
13 [48, 49]. This approach is also known as sib-testing (short for sibling-testing) and harnesses  
14 the high fecundity of aquaculture species to perform disease challenges of full siblings of  
15 candidates. However, tracking individual molluscs can be challenging due to their small size  
16 and low value, particularly in the larval stages where survival rates also tend to be low. One  
17 approach is to keep families separate during early life stages, although this can confound early-  
18 life common environment with genetic effects. Genotyping approaches can be applied for  
19 parentage assignment in mixed family environments, albeit the cost of the assay needs to be  
20 low and the throughput high to be cost-effective.  
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39 While family-based selection offers the cumulative and sustainable genetic gain for production  
40 traits of interest, the use of cross-breeding to capitalise on heterosis may also be valuable.  
41 Heterosis, or hybrid vigour, has been demonstrated in *Crassostrea gigas* [50], and hybrids of  
42 different *Crassostrea* species have been experimentally demonstrated to have beneficial  
43 production values compared to single species [51]. One possible reason for the success of cross-  
44 breeding in bivalves is that broodstock lines can be relatively inbred, which may result in gains  
45 higher than would be otherwise expected [18]. Although the success of experimental heterosis  
46 suggests that underlying genetic variation in oysters harbours important traits for culture, the  
47 uptake in commercial settings has been limited [52].  
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3 Furthermore, there is currently limited evidence for the application of heterosis for traits related  
4 to disease resistance in molluscs, albeit higher general survival has been shown in some cases  
5 [53-55]. While substantial knowledge gaps remain, there may be potential to harness the  
6 beneficial effects of heterosis within the context of family-based breeding programmes, for  
7 example via the breeding and maintenance of specialist lines for cross-breeding, as commonly  
8 occurs in the pig breeding sector [56].  
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### 17 **Practical applications of breeding for disease resistance**

20 The majority of studies into the genetic basis of disease resistance in molluscs have been  
21 focused on the rock oysters *Crassostrea*, which is arguably the most important molluscan  
22 aquaculture genus globally (Table 1). Selective breeding for resistance to OsHV-1 in  
23 *Crassostrea gigas* conducted by IFREMER in France resulted in consistent improvement  
24 across 4 generations in multiple lines, with final survival gains ranging from 43.9 % to 80.6 %  
25 compared to control stocks [47]. Progeny derived from these selective breeding programmes  
26 have subsequently been used for commercial farming in various European countries [23].  
27 Successes in developing resistance to OsHV are now being applied for resistance to *Vibrio*  
28 bacteria, which have been implicated in summer mortality [57]. This work has demonstrated  
29 that *Vibrio* resistance can be enhanced by genetic improvement, although the heritability was  
30 lower (0.09 – 0.33) than has been reported for OsHV resistance (Table 1). Additionally, little  
31 correlation between resistance to *Vibrio* and OsHV was detected which suggests that stocks  
32 selectively bred for OsHV resistance maintain potential for improvement to bacterial resistance  
33 [45]. Host age was a much more significant factor affecting resistance to either pathogen, which  
34 has been suggested previously [52, 58]. It may therefore be important to use animals of a  
35 specific age for disease challenges depending on the pathogen.  
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3 Breeding programmes for Pacific oyster on the west coast of the USA are targeting  
4 improvement in OsHV resistance/survival via family selection [42]. The federally funded  
5 Molluscan Broodstock program (MBP) has developed OsHV resistance in oysters collected  
6 from the wild in 1996. In the latest field challenges, survival varied from <10 % to >75 %  
7 depending on the specific MBP strain, demonstrating that significant gains have been made but  
8 there is still potential for improvement [59].  
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12 In Australia, a breeding programme instigated by government funded research and now  
13 overseen by Australian Seafood Industries (ASI) focuses on genetic improvement of Pacific  
14 oysters, and particularly resistance to Pacific oyster mortality syndrome (POMS) caused by  
15 OsHV-1. In this programme, 40 to 50 families of oysters are produced each year which are  
16 then subjected to both between and within-family selection, in order to maximise genetic gains  
17 whilst minimising the impact of inbreeding [60]. Finally, in New Zealand, the Cawthron  
18 Institute has run a selective breeding programme for Pacific oysters since 1999. Outbreaks of  
19 OsHV in 2010 lead to production of 49 full-sib families in 2013, which descended from  
20 survivors to OsHV-1 exposure in the natural environment. These families have formed the  
21 basis for the ongoing breeding programme to develop disease resistance in very young spat  
22 [22, 61]. In this case, breeding from survivors of family-tracked material has been highly  
23 effective, and will capture both within and between family genetic variation in resistance.  
24 However, this may not be possible in some breeding programmes where avoiding exposure of  
25 broodstock to pathogens is desirable for biosecurity reasons, as is common for aquaculture  
26 breeding [13, 15, 48].  
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52 While OsHV-1 has been the primary disease resistance target of practical selective breeding  
53 programmes to date, resistance to other pathogens and parasites has been targeted for other  
54 bivalve species. Dermo and MSX (caused by waterborne parasites *Perkinsus marinus* and  
55 *Haplosporidium nelsoni*, respectively) are persistent and highly damaging diseases impacting  
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3 Eastern oyster (*Crassostrea virginica*) production in the USA, where there have been long  
4 standing programmes to improve resistance [62]. Survivors of natural outbreaks were used to  
5 generate lines of locally resistant oysters, but as individuals were likely exposed to multiple  
6 pathogens, it is unclear to what degree resistance to each specific pathogen has been achieved.  
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8 The resulting lines have been experimentally tested across the range of eastern oyster  
9 production and survivorship was generally superior compared to wild oysters, although oysters  
10 had greater survival in areas where they were selectively bred making comparison more  
11 difficult [63, 64]. Laboratory challenge of oysters with *Perkinsus marinus* revealed that there  
12 was a 54.1 % difference in survival between two families in 2014, but no significant family  
13 effect was observed when the challenge was repeated in 2015 [65]. This demonstrates that  
14 whilst the breeding programmes based on mass selection can achieve significant results, it is  
15 more difficult to develop understanding of disease resistance as environmental factors are  
16 highly variable.  
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33 Beyond *Crassostrea*, promising results from other molluscan aquaculture species include study  
34 of genetic variation in resistance and predicted selection response for withering syndrome  
35 disease (*Candidatus Xenohaliotis californiensis*) resistance in abalone *Haliotis rufescens* [27],  
36 *Listonella anguillarum* resistance in Zhikong scallop *Chlamys farreri* [66], resistance to  
37 multiple *Vibrio* species in clam *Meretrix meretrix* [67], and identification of resistance  
38 biomarkers for winter mortality/QX protozoan disease caused by *Martelia sydneyi* in  
39 *Saccostrea glomerata* [68, 69]. To date, disease resistance is not a major target for mussel  
40 breeding, when compared with other molluscs, with no published examples of genetic  
41 improvement programmes targeting disease resistance.  
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54 While the aforementioned examples of family based breeding programmes have been  
55 successful for improving disease resistance in marine molluscs, genomic tools offer many  
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3 opportunities for improving breeding programmes, including increased genetic gain. However,  
4 this requires a suitable suite of genomic tools to support these activities.  
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### 8 **Genomic tools and features**

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11 Reference genomes are the basis of modern genomics, as they reveal the structure, organization  
12 and functional features of genes, and provide a foundation for molecular and genomic studies  
13 [70]. High-quality reference genomes are a useful resource to advance genomic techniques for  
14 the genetic improvement of a species, as they facilitate design of genotyping platforms,  
15 discovery of molecular genetic variants, and understanding of the genetic architecture of  
16 production traits via genome-wide association studies (GWAS). Furthermore, accurate and  
17 complete genomes are essential for accurate gene editing techniques (e.g. CRISPR/Cas9) to  
18 precisely target genomic loci and prevent off-target editing.  
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30 Among commercial bivalve species, draft genomes are currently available for the Pacific oyster  
31 *Crassostrea gigas* [71], the Pearl oyster *Pinctada fucata* [72, 73] [74], the Yesso scallop  
32 *Patinopecten yessoensis* [75], the Philippine horse mussel *Modiolus philippinarum* [76], the  
33 Mediterranean mussel *Mytilus galloprovincialis* [77], the Eastern oyster *Crassostrea virginica*  
34 (Genbank Accession Number GCA\_002022765.4), the Sydney Rock oyster *Saccostrea*  
35 *glomerata* [78], and the king scallop *Pecten maximus* [79].  
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44 In general, bivalve genomes are challenging to assemble because of their high levels of  
45 heterozygosity and repetitive DNA, particularly when short sequencing reads are being used.  
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47 The problem of assembling highly polymorphic genomes with short read data is that standard  
48 genome assemblers often fail to recover the different haplotypes at heterozygous regions,  
49 reporting them as alternative contigs instead [80]. As a result, the assemblies of species with  
50 highly heterozygous genomes tend to be highly fragmented and have an artificially inflated  
51 size [81, 82]. Furthermore, bivalve genomic architecture has revealed a high prevalence of  
52 active transposable elements, which has made assembling accurate mollusc genomes difficult  
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3 due to high levels of repetition [71, 83]. Inbred individuals have been used for genome  
4 sequencing to try to mitigate the issues caused by high levels of heterozygosity [75]. However,  
5 this has not always resulted in the desired reduction in heterozygosity [71], and, could  
6 potentially reduce the range of genetic variation captured by the reference genome. Therefore,  
7 approaches to create phased, haplotype-aware genome assemblies are likely to be particularly  
8 useful for molluscs.  
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19 Third generation long-read sequencing technologies such as PacBio, Nanopore and Omni-C  
20 present a promising opportunity to improve bivalve genome assemblies, as they will allow  
21 resolution of complex regions [84]. Increased read length can potentially span the entirety of  
22 repetitive regions, which can prevent issues where the number of repeats cannot be resolved  
23 by short reads. Indeed the quality of mollusc genomes and gene annotation is already benefiting  
24 from this improved technology [79]. Importantly, the application of these technologies in  
25 combination with diploid-aware software can enable haplotype-phased assemblies [85, 86].  
26 Resolving the diploid genome into haplotypes would provide a more accurate representation  
27 of the polymorphic landscape of bivalve genomes, facilitating downstream analysis. Mollusc  
28 genomes assembled with long read data currently include Snout Otter Clam *Lutraria*  
29 *rhynchaena* [87], black-shelled Pacific oyster *Crassostrea gigas* [88], the closely related  
30 *Crassostrea hongkongensis* [89], razor clam *Sinonovacula constricta* [90], blood clam  
31 *Scapharca broughtonii* [91], and Pacific oyster (Genbank Accession Number  
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51 Other key genomic tools underpinning advances in selective breeding are related to high-  
52 throughput generation of genome-wide genetic markers, particularly single nucleotide  
53 polymorphisms (SNPs). This is typically performed using SNP arrays or genotyping by  
54 sequencing (GBS) approaches, each of which has advantages and disadvantages [93]. While  
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3 SNP arrays are more amenable to high-throughput genotyping of large numbers of individuals,  
4 they require significant up-front investment which tends to only be available for species with  
5 large production value and associated research communities. To date, in marine molluscs, SNP  
6 arrays are only available for Pacific and European flat oysters (Gutierrez et al. 2017, Qi et al.  
7 2017). GBS approaches such as RAD-Seq or similar restriction enzyme-based approaches have  
8 been more broadly applied in molluscs to generate genome-wide SNP data [94], and this is  
9 partly due to its suitability for species for which very few genomic resources currently exist  
10 [95]. Both SNP arrays and GBS approaches are suitable for informing stock management,  
11 selection of animals to form base populations for selective breeding, and for assessment of the  
12 utility of genomic data in selective breeding programmes [20].  
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### 27 **Genomics-enabled selective breeding**

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30 Genomic tools can improve genetic gain in molluscan selective breeding programmes via two  
31 main methods. The first is marker-assisted selection (MAS), whereby genetic markers linked  
32 to quantitative trait loci (QTL) affecting production traits are identified, and then subsequently  
33 genotyped to inform the choice of selection candidates. While this approach is likely to be  
34 successful for major-effect QTL, the majority of disease resistance traits tested in aquaculture  
35 species to date are underpinned by a polygenic architecture. The second approach is genomic  
36 selection, whereby the identification of specific QTL is less important, as genome-wide  
37 markers are used in the estimation of genomic breeding values (GEBVs) for selection  
38 candidates [96]. Initial studies testing genomic selection have shown promise in a multitude of  
39 aquaculture species (Zenger et al. 2018), including Pacific oysters [97, 98]. For example,  
40 genomic prediction of resistance to OSHV in Pacific oysters was substantially more accurate  
41 than using family or pedigree information alone [49]. Genomic selection can also be used in  
42 conjunction with so-called ‘sib-testing’ breeding designs, whereby full siblings of selection  
43 candidates are challenged with a pathogen, with mortalities and survivors being collected and  
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3 genotyped to inform on disease resistance. This allows accurate prediction of breeding values  
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5 without collection of trait data on selection candidates themselves, and as such can help ensure  
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7 biosecurity of the breeding nucleus as is commonplace in advanced finfish breeding  
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9 programmes [48]. A major issue with genomic selection in marine mollusc breeding is that  
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11 genotyping many individuals (selection candidates and reference populations) is expensive  
12  
13 relative to the value of the animals. Therefore, approaches to reducing costs of genotyping are  
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15 needed, such as genotype imputation whereby parents are genotyped at high density, and  
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17 offspring at low density, then having genotypes imputed to high density [99].  
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### 22 **Towards functional mechanisms underpinning genetic resistance in molluscs**

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25 While genomic selection does not require knowledge of the mechanisms underlying heritable  
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27 variation in resistance to disease, there are several reasons why identifying the causative genes  
28  
29 and variants is valuable in molluscs. Firstly, such genes and variants form potential targets for  
30  
31 genome editing approaches to alter and fix disease resistance alleles in breeding populations.  
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33 Secondly, they can help to inform alternative disease control strategies (e.g. immune priming,  
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35 biosecurity). Thirdly, they can be used to improve genomic selection via applying differential  
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37 weighting on functionally-relevant variants [100]. Application of SNP arrays and GBS for  
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39 understanding the genetics of disease resistance are underway [25], and the typical first stage  
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41 is identification of loci associated with the trait using genome-wide association studies  
42  
43 (GWAS) [18, 93]. While disease resistance traits tend to be relatively polygenic, interesting  
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45 QTL have been identified linked to genes in Pacific oyster that are relevant to viral adhesion  
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47 and replication, release of viral particles, and the interferon gamma signalling pathway [25].  
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53 The regions identified by GWAS can provide targets for downstream studies investigating  
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55 causative genes for disease resistance, but typically contain dozens of genes and thousands of  
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57 variants. It is notoriously difficult to select candidate genes based on known literature, and  
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3 especially so in mollusc where knowledge of host response to infection is limited in comparison  
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5 to better-studied farmed animals. Therefore, studies to shortlist potential functional genes and  
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7 variants within the QTL region are key. These studies may take the form of a comparison of  
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9 the host response to the pathogen in question between animals of alternate resistance  
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11 genotypes, for example using RNA-Sequencing to assess gene expression, as has been  
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13 performed for several diseases in oyster [101-103]. Overlap between differentially expressed  
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15 genes and the QTL region may point to functional candidates, and QTL with cis-acting effects  
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17 on gene expression (eQTL) can be used to further shortlist the candidate variants. Underpinning  
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19 such research is the availability of high quality and well-annotated reference genomes. While  
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21 the number of high quality mollusc genomes is increasingly rapidly, improvements in  
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23 functional annotation of non-coding regions (e.g. promoters, enhancers) will greatly assist with  
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25 identifying candidate functional variants [104, 105]. Finally, the rapid emergence of genome  
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27 editing technologies is providing new opportunities to functionally validate such targets, for  
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29 example via CRISPR/Cas gene knockout or modulation of gene expression.  
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### 36 **Genome editing and transgenesis in Molluscs**

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38 The CRISPR/Cas9 system is a bacterial immune response system that has been repurposed to  
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40 achieve highly accurate targeted editing of metazoan genomes via double strand break and  
41  
42 repair in DNA [106]. CRISPR based technologies are developing rapidly and have  
43  
44 demonstrated applications in gene knock-out, insertion of exogenous DNA and editing of  
45  
46 specific nucleotides [106, 107]. There are few examples of CRISPR editing in molluscs to date  
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48 (Table 2), but gene knockout via non-homologous end joining (described in Cong et al., 2013)  
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50 has been successfully demonstrated in the freshwater snail *Lymnaea stagnalis*, the squid  
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52 *Doryteuthis pealeii* and *C. gigas* [108-110]. Furthermore, exogenous DNA insertion via the  
53  
54 homology directed repair (HDR) pathway has been demonstrated in the marine snail *Crepidula*  
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56 *fornicata* [111]. These four studies are the only reported use of CRISPR in molluscs which  
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3 demonstrates that the CRISPR/Cas9 system can be successfully implemented, but knowledge  
4 of the optimal approaches for successful editing is limited. Cell lines are typically used at early  
5 stages of gene editing research, but there are no suitable cell lines for marine molluscs and use  
6 of primary culture has significant limitations [112].  
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13 Transgenesis, the process of introducing and expressing exogenous DNA from another  
14 organism, has also been successfully demonstrated in other molluscs (Table 2). Different  
15 approaches have been successfully demonstrated, including CRISPR/Cas9 HDR, plasmid and  
16 transposon mediated transfection (Table 2). Most of these studies have been for proof of  
17 concept, only focussing on expression of reporter proteins such as fluorescence. An increase in  
18 growth rate of abalone following introduction of fish growth hormone has been reported [113],  
19 but the mechanism of this response is yet to be clarified.  
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**Table 2)** Summary of previous studies involving molluscan transgenesis or editing

Reference	Species	Method	Insert	Reporter	Functional Promoter(s)	Efficiency of transgenesis (maximum)	Purpose
[114]	<i>Mulinia lateralis</i>	Pantropic retroviral vector/electroporation	LSRNL-(VSV-G)	$\beta$ -galactosidase	RSV	33%	Proof of concept
[115]	<i>Crassostrea gigas</i>	Particle bombardment	pDrluc and pCMVL	Luciferase	Hsp70, CMV	0.1-0.5%	Proof of concept
[113]	<i>Haliotis diversicolor supertexta</i>	Electroporation of sperm	opAFP-GHc, opAFP-2000CAT	Genotyping by sequencing and southern blot analysis	SV40, AFP	20%	Increase growth rate
[116]	<i>Crassostrea gigas</i> cell cultures (heart/embryo)	Pantropic retroviral vector	LLRNL, LLRLL, LN-hsp70-lucL	Luciferase	RSV, Hsp70	0.5%	Proof of concept
[117]	<i>Crassostrea virginica</i>	Electroporation	Plasmid pS65T-C1	rsGFP	SV40, CMV	<1%	Proof of concept
[118]	<i>Mytilus galloprovincialis</i>	Electroporation/natural transfection	Plasmids pCMVlacZ and pMTbGH	Genotyping by sequencing	CMV, MT	Not reported (samples pooled for PCR)	Proof of concept
[119]	<i>Mytilus galloprovincialis</i> , <i>Mytilus chilensis</i> and <i>Chamelea gallina</i>	Natural transfection	p-GeneGrip	GFP	CMV	58.5 to 70.01%	Proof of concept
[120]	<i>Haliotis diversicolor supertexta</i>	Microinjection into testis	Plasmid pOBA-YPGHc	None	medaka promoter $\beta$ -actin	20%	Increase growth rate
[121]	<i>Crassostrea gigas</i>	Electroporation	phsp-BiT-RFP-dsHOXCG1	RFP	Drosophila TRE, CMV	HSP70, 61%	Repressible lethality
[111]	<i>Crepidula fornicata</i>	Microinjection of Cas9 RNA, gRNA and template for Homology directed repair	$\beta$ -catenin/ mCherry	mCherry	CMV	11%	Proof of concept
[122]	<i>Crassostrea gigas</i>	Electroporation of gametes/transposase	piggyBac Dual Promoter (with gGH)	GFP	CMV, EF1alpha	0.4%	Proof of concept
[109]	<i>Crassostrea gigas</i>	Microinjection of Cas9 RNA and gRNA targeting myostatin gene	N/A	Genotyping by sequencing	N/A	26.7%	Proof of concept
[106]	<i>Lymnaea stagnalis</i>	Microinjection of Cas9 RNA and gRNA targeting formin gene	N/A	Morphology and genotyping by sequencing	N/A	Up to 100%	Confirmation of formin gene function
[123]	<i>Haliotis discus hannai</i>	Microinjection of TALEN mRNA	N/A	Genotyping by sequencing	N/A	50%	Proof of concept
[124]	<i>Crassostrea gigas</i>	Microinjection of plasmids	Multiple fragments plasmid	EGFP	Endogenous factor1- $\alpha$	54%	Improve promotor
[125]	<i>Crassostrea virginica</i>	Chemical transfection of hemocytes	pCgVEGF-HA-IRES-GFP	GFP	CMV	0.13%	Proof of concept
[110]	<i>Doryteuthis pealeii</i>	Microinjection of Cas9 nuclease and CRISPR gRNAs	N/A	Visual inspection of natural pigmentation	N/A	>90%	Proof of concept

Abbreviations: CRISPR = clustered regularly interspaced short palindromic repeats, Cas = CRISPR associated protein, gRNA = guide RNA rsGFP = red shifted green fluorescent protein, GFP = green fluorescent protein, RFP = red fluorescent protein, RSV = rous sarcoma virus, Hsp70 = heat-shock protein 70, CMV = cytomegalovirus, SV40 = simian vacuolating virus 40, AFP = alpha-fetoprotein, MT = mouse metallothionein, TRE = tetracycline response element, EF1 = Human elongation factor-1, PCR = Polymerase chain reaction, TALEN = transcription activator-like effector nuclease

## Future perspectives on genome editing in marine molluscs

The increasing demand for reliable production of marine molluscs makes mitigating impacts of disease essential. This can be achieved by maintaining and expanding breeding programmes to include the latest technologies to expedite genetic improvement. However, genome editing has potential to both improve selective breeding via the identification of functional variants impacting traits of interest, and via direct editing of mollusc broodstock for enhanced disease resistance in progeny. As described above, genome editing can be applied as an experimental tool in mollusc species to understand the functional basis of genes relating to disease resistance. This provides a means to improve understanding fundamental molluscan biology, in addition to the potential practical downstream benefits for aquaculture. For example, knock out of formin gene in freshwater snail *Lymnaea stagnalis* was used as confirmation of role in chirality of shell formation [108]. This can be applied to aquaculture relevant species focusing on genes relevant for disease resistance identified by genomic approaches [25, 102].

Genetic engineering approaches also have application beyond elucidating gene function in molluscs, which have certain amenable features of reproductive biology. Extreme fecundity can be used to overcome issues of poor transgenesis efficiency which can be a major problem for transgenesis / editing studies. Editing extremely large numbers will require mass delivery of CRISPR/Cas9 via electroporation or natural transfection, as opposed to microinjection of gametes (Table 2). Furthermore, high fecundity facilitates the use of high throughput disease challenge of edited larvae for rapid phenotyping of edits. Editing gametes may reduce the issue of mosaicism, as cells are edited prior to any nuclear division, but resulting edited embryos will be heterozygous for the target edit unless both eggs and sperm are edited. It may also be plausible to use sperm as the delivery vehicle for the CRISPR/Cas9 complex to edit the eggs after fertilisation, an approach shown to be successful in chickens [126]. The abundance of active transposable elements in molluscan genomes could be adapted to allow insertion of

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3 exogenous DNA sequences, which has been successfully demonstrated in the pacific oyster to  
4 incorporate green fluorescing protein (GFP) [122]. Combined with the large number of  
5 gametes, low transgenesis and editing efficiency means there is a clear need for a high  
6 throughput screen to select for successfully edited / transgenic gametes / embryos. One possible  
7 option is to use a FACS (fluorescence activated cell sorting) based approach, where the cells (  
8 either gametes or embryos) contain a fluorescent protein, such as GFP. Incorporating  
9 fluorescent proteins has been successful in molluscs previously through CRISPR/Cas9 HDR,  
10 electroporation and natural transfection (Table 2). This approach would need to be optimised  
11 to account for issues such as delayed time until expression of fluorescent protein, and/or the  
12 relatively large size of embryos [127]. Alternatively, antibiotic selection using G418 has been  
13 demonstrated to be a potential approach for enrichment of transfected embryos in *Crassostrea*  
14 *virginica* [117].

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16 While early studies in aquaculture species have focussed on editing single genes [128], there  
17 is potential in highly multiplexed editing and screening experiments. Genome wide CRISPR  
18 knock-out (GeCKO) and synergistic activation mediator (SAM) are approaches to either  
19 disrupt or upregulate a single specific gene in one cell within a population of thousands of  
20 uniquely edited cells [129-131]. These screens involve the design of a library of tens of  
21 thousands of unique guide RNAs, typically aiming to target every gene in the organism. These  
22 gRNAs are packaged into a lentivirus vector, and transduced into cells (typically cell lines  
23 constitutively expressing Cas9) at a dose aiming for approximately one gRNA integration per  
24 cell. The edited cell line is then screened (e.g., using a pathogen challenge) and the selected  
25 cells (e.g. surviving cells or those expressing a fluorescent marker) are sequenced. The  
26 enrichment or depletion of gRNAs thereby informs on the putative role of the target genes in  
27 the phenotype under investigation. Such approaches could potentially be extended to in vivo  
28 studies in molluscs, with early life larval screens replacing the cell line. An effective high  
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3 throughput screening system combined with mass spawning of molluscs could enable  
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5 development of a high throughput whole-organism genetic editing system. Larval disease  
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7 challenges can be included as a high-throughput approach to identify disease resistance  
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9 candidate genes for downstream study, or editing for DNA associated with resistance [25] for  
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11 commercial production.  
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15 Finally, once putative disease resistance alleles have been detected or created, it may be  
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17 plausible to use genome editing of commercial broodstock to breed disease resistant molluscs  
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19 for aquaculture. This approach would need to be integrated within a well-managed breeding  
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21 programme to ensure that there was appropriate maintenance of genetic diversity, and focus on  
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23 multiple traits. Furthermore, substantial consideration would need to be given to both the  
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25 practicalities of commercial-scale application, and the public perception and regulatory  
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27 landscape surrounding genetic engineering and genome editing. An advantage of using  
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29 CRISPR/Cas editing (rather than introduction of exogenous DNA) is that resulting animals are  
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31 not transgenic (although they may be still be classified as genetically modified), and have no  
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33 foreign DNA in their genomes. This is a key consideration for animals that are intended for  
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35 possible production and consumption, as previously developed transgenic animals intended for  
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37 human consumption (e.g. AquAdvantage salmon from AquaBounty™) has been subject to a  
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39 lengthy and difficult regulatory progress [132]. However, the legal and regulatory landscape is  
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41 rapidly evolving and varies substantially according to geographical location. It is important  
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43 that engagement with regulators, public, and other stakeholders relating to the benefits and  
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45 risks of such approaches goes alongside the technical developments and their application to  
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47 mollusc aquaculture.  
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## 54 **Conclusions**

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3 Disease resistance in marine molluscs has been demonstrated to be heritable and amenable to  
4 improvement via selective breeding approaches. As uptake of breeding technology increases  
5 across mollusc aquaculture, genetic improvement could be expedited by the use of the rapidly  
6 expanding genomic toolbox, via cost-effective applications of genomic selection. Furthermore,  
7 as genome editing and transgenesis approaches develop, they will facilitate identification of  
8 functional disease resistance alleles, which will both improve our understanding of disease  
9 biology, and provide potential novel avenues for commercial application. These approaches  
10 together hold substantial potential to produce molluscs with resistance to existing and future  
11 pathogens for aquaculture.  
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