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Seismic fragility analysis of shear-critical concrete columns considering corrosion induced deterioration effects

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15 Abstract:

Shear-critical reinforced concrete structures such as older columns with insufficient transverse reinforcement 16 details or short columns are found to be vulnerable to earthquake loading. Meanwhile, in the aggressive 17 environment, RC structures tend to be more vulnerable to earthquake since corrosion of reinforcements will cause 18 deterioration of the material properties. In the present study, a new framework is proposed for seismic fragility 19 analysis of shear-critical structures with the consideration of corrosion effects. A new model for corroded concrete 20 columns is proposed which can account for shear performance deterioration due to corrosion and the seismic 21 22 flexure-shear interaction (FSI) behaviors. The modified Ibarra-Medina-Krawinkler deterioration model is adopted to simulate the shear response in order to capture shear strength and stiffness deterioration as well as pinching 23 behavior of corroded shear-critical columns. The deteriorating material properties are determined based on 24 25 corrosion modeling methods, and the corrosion level differences between transverse and longitudinal reinforcement are addressed. Furthermore, the proposed framework adopts time-variant structural capacities as 26 obtained from the proposed numerical model in the fragility analysis. The developed framework is demonstrated 27 28 with a shear-critical bridge column. The results clearly indicate the adverse effects of corrosion on seismic fragility of shear-critical columns, especially at severer damage states. Using flexure model and time-invariant capacity 29 index will underestimate seismic fragility compared with the results obtained using the proposed method. 30

31 Keywords: Seismic fragility; reinforced concrete column; shear failure; flexure-shear interaction; corrosion effect

32

33 **1. Introduction**

Recent earthquakes have shown that the older reinforced concrete (RC) structures, such as buildings and bridges 34 are vulnerable to earthquake actions [1-3]. These structures were either designed only considering gravity loads 35 36 or not in accordance with the current generation of seismic codes as a consequence they are usually characterised by inadequate reinforcement details, such as insufficient transverse reinforcement for the columns. The 37 insufficient detailing is particularly critical because it can potentially lead to premature shear failure of columns 38 under seismic loadings. For example, many bridge columns designed with insufficient transverse reinforcement 39 were found to fail in a shear manner which resulted in total collapse of the bridge structure during the 1971 San 40 Fernando earthquake [2]. 41

42 Shear failure has also been observed in short RC columns during earthquakes [2]. The post field investigations 43 after the 2008 Wenchuan earthquake and the 2010 Yushu earthquake in China identified heavy damage being 44 sustained by short columns in RC frames [4]. The poor seismic performance of concrete columns designed in

accordance with the older generation of codes or short columns could be partially attributed to the complex

46 flexure-shear interaction (FSI) behaviors under seismic cyclic loading conditions. The insufficient shear capacity

and high shear demand of these columns usually lead to shear failure with limited ductility development. The

48 failure of these shear-critical structures under earthquakes is generally more unexpected and catastrophic

49 compared with flexure-dominated structures. As there are still many existing buildings or bridges located in high

seismic areas [5, 6], which were not designed in accordance to current code specification, the development of a

51 more reliable seismic performance evaluation method of these structures is imperative.

- Aging and deterioration can also threat RC structural performance [7, 8]. Chloride-induced corrosion of reinforcement has been recognized as one of the main deterioration mechanisms affecting RC structural performance. For structures located in aggressive environment, the chloride ions penetration can induce corrosion of steel reinforcement, causing reduced effective sectional area and mechanical properties of steel bars, as well as the deterioration of the bond performance between reinforcing bars and concrete, the rust of steel corrosion can also induce concrete cracking and spalling of cover concrete. Therefore, the overall structural performance can be
- significantly affected by corrosion.
- The joint effects of corrosion and seismic hazard on RC structures have been subject of much research interest in more recent years. Many studies have been conducted to develop suitable methods towards life-cycle seismic fragility analysis or reliability assessment of RC structures considering corrosion induced deterioration effects [9-15]. The research conducted by Choe et al. [9, 10] highlighted the adverse effects of corrosion on seismic fragility and reliability of a typical single-bent bridge. The work of Ghosh and Padgett [16] dealt with the aging effects on bridge system seismic fragility. For frame structures, relevant studies [7, 15, 17-19] have also indicated that corrosion could detrimentally affect the structural seismic performance.
- However, most of the existing studies regarding the combined effects of corrosion and seismic hazard on RC 66 structural performance only focused on structures of which the behaviour was dominated by flexure failure mode, 67 68 while limited attention has been paid on corroded structures with shear-critical components which could be particularly vulnerable to earthquake loading [20, 21]. On the other hand, a reliable seismic analysis of corroded 69 shear-critical structures requires an efficient analytical model capable of realistically capturing shear performance 70 deterioration due to corrosion and the complex flexure-shear interaction behaviors observed under seismic actions. 71 72 Although there are many studies aiming to develop modeling methods for uncorroded concrete columns that could 73 consider FSI behaviors [22-26], very few studies accounted for shear capacity deterioration and seismic FSI 74 behaviors of corroded concrete columns [27]. A very recent study conducted by Zhang et al. [21] has developed a modeling approach for corroded shear-critical columns. The approach is based on the method proposed by 75 Elwood [28] for uncorroded columns and the use of modified material properties to incorporate corrosion 76 77 deterioration effects; however, the model cannot account for the complex hysteric behaviors such as basic cyclic strength deterioration as well as pinching since the hysteric model in their approach is fixed [29]. 78
- In view of the life-cycle context of seismic performance assessment of RC structures, appropriate consideration 79 80 of the corrosion process on structural performance is important. In reality, the transverse and longitudinal reinforcements will suffer different corrosion levels over time [30, 31], due to their different distance to the cover 81 82 concrete surface (exposure surface) and the smaller diameter of transverse reinforcements with respect to longitudinal reinforcements. This can result in variant deterioration rates of shear capacity and flexure 83 performance which have not been appropriately considered in existing studies. In fact, some studies assign the 84 same corrosion levels on transverse and longitudinal reinforcement [21], and this may result in bias results of 85 seismic performance assessment when shear performance and/or FSI behavior is considered. On the other hand, 86 research investigating the seismic fragility assessment of corroded structures has found that the structural capacity 87 is time-variant and should be considered since using original capacity index will generally underestimate structural 88 89 seismic fragility [15, 16, 32]. Although some studies have investigated the variance of the structural capacity 90 index and incorporated them into time-dependent seismic fragility analysis of structures with flexure-dominated behaviour [16, 32], few studies have incorporated the time-dependent structural capacity into seismic fragility 91 analysis of shear-critical structures considering corrosion induced deterioration effects. 92
- 93 Addressing the above drawbacks, a new framework is proposed herein to conduct time-dependent seismic fragility analysis for shear-critical RC structures considering corrosion induced deterioration effects. A new numerical 94 modeling methodology for corroded RC columns is developed which could account for shear capacity 95 deterioration and FSI behaviors. The model is developed in OpenSees [33] using a macro-element modeling 96 concept. The flexural response is modelled by a fiber-based beam-column element, while the slip response is 97 modelled by a zero-length fiber section element. A new zero-length spring element is introduced to account for 98 99 the shear response. The hysteretic behavior of the shear element is modelled by the modified Ibarra-Medina-Krawinkler deterioration model [34, 35] in order to capture shear strength and stiffness deterioration as well as 100 pinching behavior of corroded columns. The proposed model is validated by comparing the simulation results 101 102 with the experimental data for several shear-critical columns. The framework also comprises a corrosion modeling part which aims to compute the time-dependent material properties and especially accounts for the corrosion level 103 differences of transverse and longitudinal reinforcements over time. The time-variant structural capacity is defined 104

based on the proposed numerical model and then incorporated into the seismic fragility analysis. A shear-critical

bridge column is selected to demonstrate the proposed fragility analysis framework. The effects of corrosion on

seismic fragility curves are discussed. Finally, the influences of the modeling method and the time-variant capacity

108 on analysis results are also discussed.

109

110 **2. Corrosion induced deterioration modeling**

For RC structures located in an aggressive environment, chloride ions can ingress the concrete cover, depassivate the steel reinforcement and initiate corrosion after a certain time. Subsequently, the mechanical properties of the steel reinforcement bars and the concrete will degrade over time and as a result, structural performance will deteriorate. In this section, the method adopted for modeling corrosion initiation and propagation will be discussed, and the determination of the deteriorating material properties with time is also presented.

116 **2.1 Initiation Phase**

Generally, corrosion initiates when the chloride concentration around the steel reinforcement exceeds a critical threshold value. In the present study, the probabilistic model proposed by Choe et al. [10] is adopted for simulating the corrosion initiation time T_0 (year), which is expressed as:

120
$$T_0 = X_I \left\{ \frac{x_c^2}{4k_e k_t k_c D_0 t_0^n} \left[\text{erf}^{-1} \left(\frac{C_s - C_{cr}}{C_s} \right) \right]^{-2} \right\}^{\frac{1}{1-n}}$$
(1)

where X_i is the model uncertainty factor, and taken as 1.0; x_c is the concrete depth and will be taken differently for transverse and longitudinal reinforcement based on the actual reinforcement configuration of the column; k_e , k_i and k_c are environment factor, test factor and curing factor, respectively; D_0 is the diffusion coefficient; t_0 is the reference time; *n* is the aging factor; C_s and C_{cr} are the equilibrium chloride concentration and the critical chloride concentration at the concrete surface, respectively; $erf^{-1}(\cdot)$ is the Gaussian error function. Detailed

values of the above parameters will be given in the case study section later.

127 **2.2 Propagation Phase**

Following the corrosion initiation, the propagation phase should be simulated in order to determine the actual level of corrosion characterising the steel reinforcement bars. The corrosion rate model proposed by [9] is adopted for uniform corrosion cases under consideration:

131
$$r_{cr}(t) = \frac{32.13(1 - w/c)^{-1.64}}{x_c} (t - T_0)^{-0.29}$$
(2)

¹³² where $r_{cr}(t)$ is the corrosion rate at time t; w/c is the water to cement ratio.

¹³³ Based on this time-dependent corrosion rate model, the erosion depth $e_{cor}(t)$ can be calculated through ¹³⁴ integrating the corrosion rate:

135
$$e_{cor}(t) = 0.0116 \int_{T_0}^{t} r_{cr}(t) dt = \frac{0.5254(1 - w/c)^{-1.64}}{x_c} (t - T_0)^{0.71}$$
(3)

¹³⁶ Because of the corrosion, the cross-sectional area of steel reinforcement reduce over time. After corrosion
¹³⁷ initiation (
$$t \ge T_0$$
), the time-dependent diameter d_{cor} of steel reinforcement can be calculated as:

138
$$d_{cor}(t) = d_0 - 2e_{cor}(t)$$
(4)

¹³⁹ where d_0 is the diameter of the original uncorroded steel reinforcement. Therefore, the corrosion level of steel ¹⁴⁰ reinforcement at time *t* can be calculated as:

$$X_{cor}(t) = \frac{d_0^2 - d_{cor}^2(t)}{d_0^2} \times 100\%$$
(5)

¹⁴² where X_{cor} is the corrosion level determined in terms of percentage of mass loss.

143 **2.3 Material properties deterioration due to corrosion**

144 **2.3.1 Steel reinforcements**

Based on available experimental results [36, 37], the mechanical properties of corroded steel reinforcements will degrade in terms of yielding strength, elastic modulus, ultimate strength and strain, as shown in Fig. 1. The present study adopts the empirical formulae proposed by [38] for corroded steel reinforcement properties evaluation:

148

141

$$f_{y,cor}(t) = f_{y0}(1 - \alpha_1 X_{cor}(t))$$
(6)

(10)

 $f_{u,cor}(t) = f_{u0}(1 - \alpha_1 X_{cor}(t))$ ⁽⁷⁾

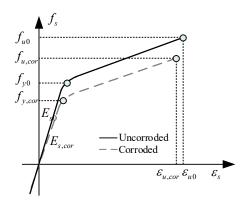
where $f_{y,cor}$ and $f_{u,cor}$ are the yielding and ultimate strength of corroded steel reinforcements, respectively; f_{y0} and f_{u0} are the yielding and ultimate strength of the uncorroded steel reinforcements, respectively; α_1 is the empirical parameter and taken as 0.005 based on [37].

153 Similarly, the elastic modulus and ultimate strain can be calculated as [38]:

154
$$E_{s,cor}(t) = E_{s0}(1 - \alpha_2 X_{cor}(t))$$
(8)

155
$$\varepsilon_{u,cor}(t) = \varepsilon_{u0}(1 - \alpha_3 X_{cor}(t))$$
(9)

where $E_{s,cor}$ and $\varepsilon_{u,cor}$ are the elastic modulus and ultimate strain of corroded steel reinforcements, respectively; E_{s0} and ε_{u0} are the elastic modulus and ultimate strain of uncorroded steel reinforcements, respectively; α_2 and α_3 are the empirical parameters and taken as 0.01 and 0.05 [36], respectively.



159 160

Fig. 1. Mechanical properties of steel reinforcements.

161 **2.3.2 Concrete properties**

162 Effects of corrosion on concrete properties are evaluated for cover unconfined concrete and confined core concrete 163 separately, as shown in Fig. 2. For the cover unconfined concrete, steel rust due to corrosion will cause volumetric 164 expansion and develop splitting stresses in concrete, as a result the concrete strength will degrade. The reduced 165 cover concrete strength can be calculated as:

166 $f_{c \text{ cor}} = \zeta f_{c0}$

where $f_{c,cor}$ and f_{c0} are the compressive strength of corroded and uncorroded concrete, respectively; ζ is the softening coefficient which can be calculated by [39]:

169
$$\zeta = \frac{0.9}{\sqrt{1+600\varepsilon}} \tag{11}$$

$$\varepsilon_{cr} = \sum w_{cr} / b_0 \tag{12}$$

171 where ε_{cr} is the average tensile strain in cracked concrete; b_0 is the initial width of the concrete cross-section; 172 w_{cr} is the crack width induced by corrosion of each reinforcement, which is calculated as:

173
$$w_{cr} = 2\pi (v_{cr} - 1)e_{cor}(t)$$
(13)

where v_{cr} is the ratio of the volumetric expansion due to steel corrosion, and is taken as 2.0 in this study [40].

For the core confined concrete, the confinement effect of transverse reinforcement will deteriorate because of corrosion. In this study, the modified Kent-Park confined concrete model [41] is adopted for simulating the confined concrete properties:

178
$$K = 1 + \frac{\rho_{st,v} f_{yt,cor}}{f_{st}}$$
(14)

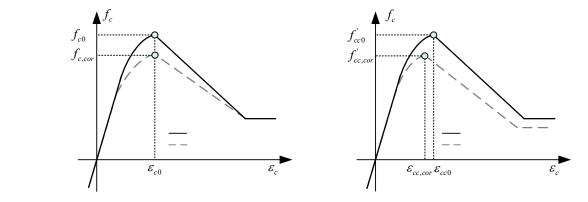
$$f'_{cc,cor} = Kf_{c0} \tag{15}$$

 $\varepsilon_{cc,cor} = K\varepsilon_{c0} \tag{16}$

where K is the confinement ratio; $\rho_{st,v}$ is the volume ratio of the corroded transverse reinforcement; $f_{yt,cor}$ is the

¹⁸² yielding strength of the corroded transverse reinforcement; $f_{cc,cor}$ is the compressive strength of the core confined

¹⁸³ concrete; $\varepsilon_{cc,cor}$ is the peak strain corresponding to the concrete strength.



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Fig. 2. Mechanical properties of concrete: (a) cover concrete; (b) core concrete.

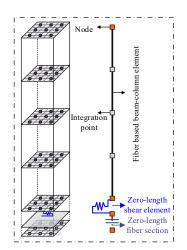


187 **3. Proposed modeling methodology for corroded shear-critical columns**

A two-dimensional (2D) nonlinear FE model is developed in OpenSees [33] for simulating seismic behavior of corroded reinforced concrete columns. As illustrated in Fig. 3, a fiber based beam-column element is used for flexure response simulation; a zero-length spring element is used for shear response simulation and a zero-length

191 fiber section element is used for rotational slip response simulation. In this way, the flexure response, shear

192 response are all well considered and coupled at the element level.



210

194 Fig. 3. Proposed modeling concept for corroded columns considering flexure-shear interaction (FSI).

195 **3.1 Flexure response**

The flexure response of the corroded column is modelled with a beam-column element assigned with a fiber section. The fiber section is divided into concrete fibers and steel fibers with unique constitutive stress-strain relationship. However, as discussed before, because of the corrosion of steel reinforcement, the mechanical properties of steel and concrete will deteriorate. Thus, the time-dependent deteriorated constitutive stress-strain relationship of steel and concrete fibers are used for corroded concrete column. Besides, the material *Steel02* and *Concrete01* in OpenSees are adopted for simulating the steel reinforcements and concrete fibers, respectively.

202 **3.2 Rotational slip response**

Because of the strain penetration or bond slip of the longitudinal reinforcing bars anchored into the column footing, additional column end rotation, and hence lateral displacement, could be generated due to rigid body rotation. This phenomenon could be more significant for corroded columns as corrosion will also reduce bond strength of longitudinal bars [42, 43]. In order to capture this behaviour, a zero-length fiber section element is added at the column-footing interface for slip response simulation. This element adopts the same fiber configuration with the flexure beam-column element but with different stress-strain relationship for steel fibers. The *Bond_SP01* strain penetration model proposed by Zhao and Sritharan [44] is adopted and modified for steel fibers:

$$S_{y}(\text{mm}) = 2.54 \left[\frac{d_{l,cor}(\text{mm})}{8437} \frac{f_{yl,cor}(\text{MPa})}{\sqrt{f_{c0}}(\text{MPa})} (2\alpha + 1) \right]^{1/\alpha} + 0.34$$
(17)

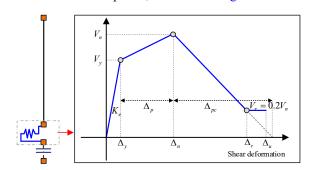
where $d_{l,cor}$ and $f_{yl,cor}$ are the diameter and yielding strength of corroded longitudinal rebar, respectively; f_{c0} is the concrete strength; α is a parameter and taken as 0.4. In case of ultimate slip S_u , it is taken as $35S_y$ for all corrosion situations for simplicity [45]. Especially, in order to maintain compatibility between the beam-column element and the slip section element, the ultimate strain of concrete fibers is multiplied by a scale factor F_k , more details can be found in [46].

216 **3.3 Shear response**

A zero-length shear spring element is added at the end of the column for shear response simulation. Corroded shear-critical columns may experience shear failure under seismic loading, leading to significant deterioration in terms of strength, unloading and reloading stiffness, as well as pinching. Thus, the shear spring element should have the ability to represent the complex degradation behaviors of the corroded columns.

- ²²¹ In this investigation, the modified Ibarra-Medina-Krawinkler deterioration model (IMK) [34, 35] is used to
- ²²² simulate the shear response of the corroded columns. Fig. 4 shows the skeleton curve of the IMK deterioration
- ²²³ model. The skeleton curve has three characteristic points: yielding shear strength V_y and deformation Δ_y ; peak
- shear strength V_n and deformation Δ_n ; residual shear strength V_r ($V_r = 0.2V_n$) and deformation Δ_r . Two

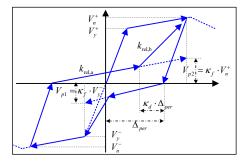
additional deformation parameters, namely pre-peak plastic deformation Δ_p and post-peak deformation Δ_{pc} , can be calculated from the three basic characteristic points, as shown in Fig. 4.



227 228

Fig. 4. Skeleton curve of the IMK hysteretic model.

In order to represent the pinching phenomenon of the columns, the IMK model with pinched response is selected in this study. Fig. 5 shows the basic hysteretic rule of the IMK model with pinched hysteretic response. The parameter $\kappa_{f,d}$ defines the ratio of the load at which reloading begins to the load that corresponds to the maximum historic deformation demand, while the pinching related parameter a_p (*APinch* in OpenSees) defines the ratio of reloading stiffness. A smaller a_p value indicates more significant pinching behavior. More details of the model can be found in [34, 35].



235

236

- Fig. 5. Basic hysteretic model rules with pinched response (adapted from Ibarra et al. [34]).
- 237

4. Development of IMK-based shear hysteretic model

239 4.1 Pre-peak behaviour

The modified compression field theory (MCFT) developed by Vecchio and Collins [47] has been used by many 240 studies [48-51] to simulate the shear response of reinforced concrete columns, and the results indicated good 241 predictions compared with experimental test results. Thus in this study, the MCFT is adopted for determining the 242 243 pre-peak modeling parameters on the curve of the IMK model. The MCFT has been implemented in the software 244 Response-2000 [52] which can be easily used for shear response calculation. However, it is difficult to represent the deteriorated cover concrete by using different concrete materials for cover concrete and confined concrete, 245 thus, instead of using different concrete strength, the thickness of cover concrete of corroded columns is modified 246 247 as:

$$\frac{t_c}{t_c} = \frac{f_{c,cor}}{f_{c0}} = \zeta \tag{18}$$

where t_c and t'_c are cover concrete depth for uncorroded and corroded columns, respectively. Fig. 6 illustrates the reduced section of corroded column.

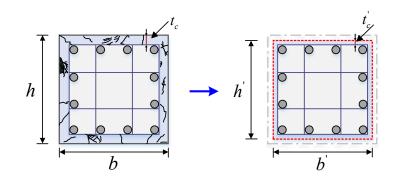




Fig. 6. Section dimension reduction for corroded columns.

The shear response of column section will change due to the varation of the bending moment along the column length. In order to consider this flexure-shear interaction effect on column shear response, the method proposed by Xu and Zhang [50] is aopted in this study. The column is divided into several elements and the shear response is calculated individually for each element based on the average moment to shear ratio corresponding to each element. The overall shear force-shear displacement is obtained by interagting the shear response along the column length. More detalis can be referred to [50].

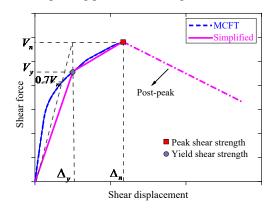
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260 The calculated pre-peak shear force-shear displacement response from MCFT is then simplified into two linear

segments, so as to correspond to the IMK model. The two characteristic points of the simplified relationship are yield shear strength and peak shear strength as shown in Fig. 7. Referring to Sezen and Moehle [53], the yield

shear strength is defined as the intersection point of the line corresponding to the secant stiffness at 70% of the

264 peak shear force and the horizontal line passing peak shear strength at the skeleton curve.





266

Fig. 7. Determination of skeleton curves of shear response.

267

4.2 Post-peak negative stiffness

Although the MCFT can calculate the pre-peak shear response of columns with good accuracy, it cannot obtain stable post-peak response due to its force-based approach [50]. Thus some studies assumed zero tangential stiffness response for post-peak stage [49, 50]. Although with this simplification the reloading stiffness deterioration can be simulated, the post-peak strength deterioration behavior cannot be represented. In this paper, the post-peak stiffness is defined by the model proposed by Baradaran Shoraka [54], in order to generate a composed skeleton curve including post-peak deterioration stage as shown in Fig. 7.

The total post-peak stiffness K'_{deg} of the column is defined by the shear-friction based mechanical model proposed by Baradaran Shoraka [54] as:

277
$$K_{deg}^{t} = -4.5P(4.6\frac{A_{st,cor}f_{yt,cor}d_{c}}{Ps} + 1)^{2} / L$$
(19)

where *P* is the axial load; $A_{st,cor}$ is the area of transverse reinforcement; d_c is the depth of the column core; *s* is the transverse reinforcement spacing and *L* is the column length.

280 Then the post-peak stiffness K_{deg} of the shear spring can be calculated with the method proposed by Elwood [28]:

$$\frac{1}{K_{deg}} = \frac{1}{K'_{deg}} - \frac{1}{K_{unload}}$$
(20)

where K_{unload} is the flexural unloading stiffness, which could be taken equal to the initial flexural stiffness.

283

281

284 **4.3 Hysteretic deterioration modeling**

The proposed procedure discussed above defines the skeleton curve of the shear response of corroded columns, which bounds the shear strength. However, cyclic loading could result in additional deterioration effects on shear response [55, 56], e.g., the in-plane cyclic strength deterioration phenomenon [57], which should be reasonably considered.

The IMK model uses the hysteretic energy based cyclic deterioration rules developed by Rahnama and Krawinkler [58] to define cyclic deterioration rates for strength and stiffness:

291
$$\beta_i = \left(\frac{E_i}{E_t - \sum_{j=1}^{i-1} E_j}\right)^c$$
(21)

where E_i is the dissipated energy of excursion *i*; *c* is an empirical parameter and can be taken as 1.0; E_t is the reference hysteretic energy dissipation capacity of the structural component:

$$E_t = \gamma F_v \Delta_v \tag{22}$$

295 Then the strength and/or stiffness deterioration rate can be calculated as:

296 $F_i = (1 - \beta_i) F_{i-1}$ (23)

297 where F_i , F_{i-1} are strength and/or stiffness before and after cyclic excursion *i*.

The parameter γ defines the hysteretic energy dissipation capacity of structural components and can be calibrated from experimental results. The value for this parameter can be set uniformly for different deterioration modes [34, 35]. In this paper, the empirical relationship proposed by Wang et al. [59] is adopted for defining γ :

301
$$\gamma = \begin{cases} 600 & a/d \le 2\\ 400a/d - 200 & 2 < a/d \le 3\\ 1000 & a/d > 3 \end{cases}$$
(24)

302 where a/d is the ratio of column shear span a to section depth d.

303

5. Validation of the proposed numerical modeling approach

In this section, the proposed modeling method will be validated through simulating three shear-critical columns under cyclic loading. Meanwhile, to further demonstrate the importance of incorporating FSI, the columns are also simulated with only flexure response considered. The selected columns include an uncorroded column and

³⁰⁸ two corroded columns which all failed in shear. Table 1 lists the basic information of the selected columns. Fig.

³⁰⁹ 8 shows the shear force-shear displacement response obtained from MCFT of the columns. Table 2 lists the IMK

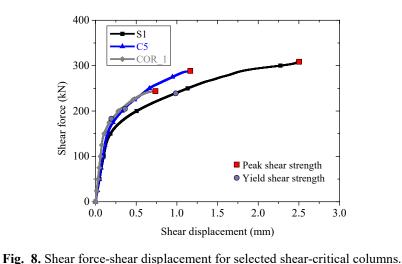
- ³¹⁰ modeling parameters of each column, where γ is defined from Eq. 24, a_p is set as 0.2 for all columns, $\kappa_{\rm f,d}$ is set
- as 0.5 for specimen S1 and COR_1, and 0.3 for C5 as this column shows significant pinching response.

313 **Table 1**

314 Basic information of selected columns.

Specimen	S1	C5	COR_1
Reference	Sezen and Moehle [60]	Vu and Li [31]	Lee et al. [61]
Column length (mm)	2946	1780	1100
Section $b \times h$ (mm×mm)	457×457	350×350	300×300
Shear span to depth ratio a/d	3.74	3.18	2.29
Axial load $P(kN)$	667	958	705
Concrete strength f_c (MPa)	21.1	31.3	39.2
Longitudinal bars (mm)	8Ø28.7	8Ø20	12Ø16
Yield strength f_{vl} (MPa)	438	550	362
Transverse bars (mm)	Ø28.7@305	Ø7.8@50	Ø10@80
Yield strength f_{yt} (MPa)	476	300	347
Corrosion level-transverse (%)	-	15.5	6.8
Corrosion level-longitudinal (%)	-	3.9	-

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 Table 2

 IMK modeling parameters of selected columns.

Specimen	γ	κ	a_p
S1	1000	0.5	0.2
C5	1000	0.3	0.2
COR_1	760	0.5	0.2

320

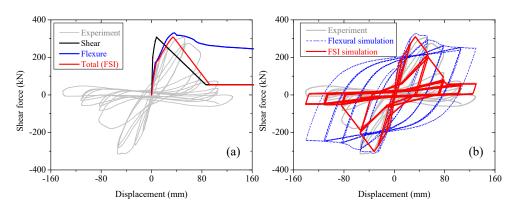
Fig. 9 shows the modeling results for specimen S1, where Fig. 9(a) is the comparison of skeleton curves of shear response, flexure response and total response including FSI with the experimental result, and Fig. 9(b) is the comparison of hysteric responses of flexural simulation alone, combined flexure and shear simulation (labelled as "FSI simulation"), and the experimental result. It should be noted that this column was designed with inefficient transverse reinforcement and hence failed in shear under cyclic loading during the test.

It can be seen that the flexural simulation slightly overestimates the initial stiffness and peak lateral strength. Meanwhile, the flexural simulation cannot well capture cyclic deterioration behavior. The flexure simulation significantly overestimates the strength and stiffness after peak strength. One possible reason could be that the flexure model in this study ignored the inleastic buckling and low-cycle fatigue of longitudinal bars [62, 63]. While the combined flexure and shear simulation, which in this case is dominated by the shear response, can

331 provide good predictions as compared with the test result. The post-peak deterioration response can be well

simulated by the proposed numerical method, the pinching phenomenon can also be effectively modelled by the

333 proposed method.



334

342

Fig. 9. Comparison of simulated and test results for specimen S1: (a) skeleton curves; (b) cyclic hysteric response.

Fig. 10 illustrates the modeling results for specimen C5. This column was originally ductile-designed, but finally

failed in shear due to transeverse reinforcement corrosion. It can be seen that the peak shear strength is slightly

over predicted with MCFT. However, comparaed with flexural simulation, the overall hysteric response, inclduing

post-peak strength and stiffness deterioration and the overall pinching behavior from flexure-shear simulation are

341 more close to test result.

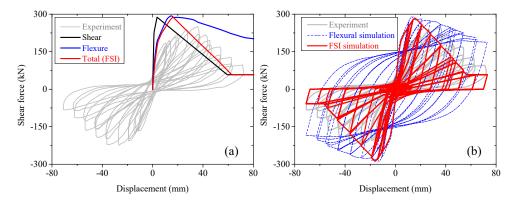


Fig. 10. Comparison of simulated and test results for specimen C5: (a) skeleton curves; (b) cyclic hysteric
 response.

The modeling results of specimen COR_1 are shown in Fig. 11. This column was a short shear-critical column as the shear span to section depth ratio was 2.3, and the column was only corroded in the transverse reinforcement, resulting in a shear failure during the test. It can be seen from Fig. 11 that, overall, the proposed model can appropriately simulate the reloading and unloading branches in terms of the strength and stiffness, as well as pinching behavior. The peak shear strength is well predicted and the significant post-peak deterioration response is also well captured. Once again, the flexural simulation significantly overestimates the post-peak response, including strength and reloading stiffness.

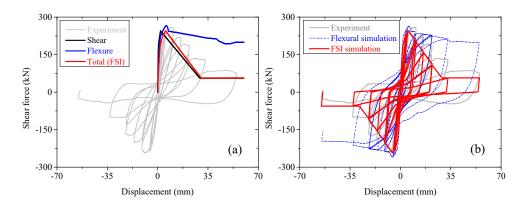
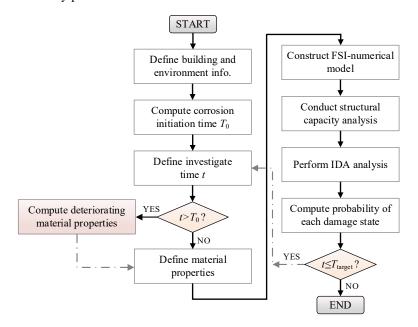


Fig. 11. Comparison of simulated and test results for specimen COR_1: (a) skeleton curves; (b) cyclic hysteric response.

355

356 6. Framework for time-dependent seismic fragility assessment

Adopting the numerical modeling methodology proposed herein for realistically describing FSI which 357 characterised the behaviour of corroded RC columns, the time-dependent seismic fragility of shear-critical RC 358 columns can be analyzed. Fig. 12 shows a flowchart providing a concise description of the process followed for 359 conducting seismic fragility analysis of shear-critical RC structures when considering corrosion induced 360 deterioration effects. The first major step is to check whether or not corrosion initiates at a given year under 361 362 consideration; if corrosion initiates, the deteriorated material properties will be computed. The second major step is to develop a numerical analysis model realistically representing the structures considered using the proposed 363 364 method described earlier. The final major step is to conduct the seismic vulnerability analysis of the structures considered. This step includes structural capacity analysis that defines the time-dependent structural limit states, 365 and the development of seismic demand model of interested engineering demand parameter (EDP). In this study, 366 367 the seismic demand model is obtained using the incremental dynamic analysis (IDA) [64] method. More details are provided in the case study presented in section 7. 368



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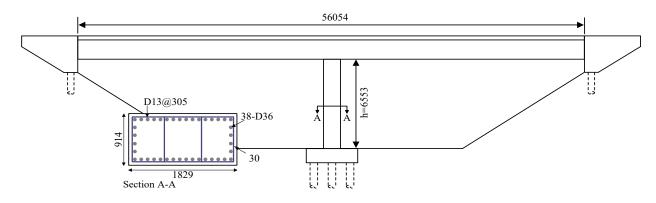
Fig. 12. Flowchart of time-dependent seismic fragility analysis of structures.

374 7. Case study

375 7.1 Prototype bridge column

A shear-critical bridge column is selected from a typical two span continuous box-girder bridge which has been 376 in service for many years [51]. This bridge has not been designed in accordance to current code specification, and 377 is representative of older bridges in southern California constructed prior to 1970s. The bridge column is 6553mm 378 in length and the column section is shown in Fig. 13. The shear span to depth ratio is 3.6 for both of the 379 380 longitudinal direction (double curvature bending) and transverse direction (single bending), and the transverse reinforcement spacing of the column is 305mm. With these design details, the column can be considered as a 381 shear-critical column as checked by Jeon et al. [51]. The cover thickness is 30mm, and the diameter of longitudinal 382 383 rebar is 36mm and that of transverse reinforcement is 13mm. The concrete strength is 27MPa and the yield strength of the steel reinforcement is 303MPa. The assumed exposure condition of the bridge is the marine tidal-384 zone, wherein the bridge column is subjected to alternate wetting and drying cycles from the sea water containing 385

386 chloride, which is considered to be a major deterioration mechanism for bridge columns.



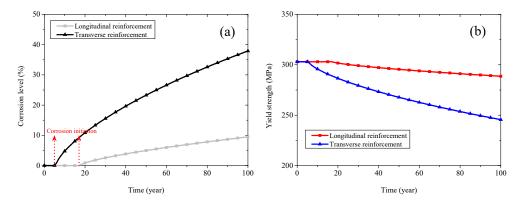


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Fig. 13. Schematic diagram of the bridge column (all dimensions are in mm).

389 **7.2 Corrosion modeling**

For the assumed exposure condition of the bridge, the parameters introduced into the corrosion initiation model 390 are adopted from [9] as shown in Table A-1 in Appendix A. For these parameters, the calculated corrosion 391 392 initiation time for transverse reinforcement is 5.6 years, and it increases to 17.3 years for longitudinal reinforcement due to the thicker embedded depth. After corrosion initiates, the time-dependent deteriorating 393 material properties can be computed with the method discussed in Section 2.3. Fig. 14(a) shows the corrosion 394 395 levels for the longitudinal and transverse reinforcement, respectively. It can be clearly seen that the two types of reinforcement will suffer different corrosion levels over time. For instance, the corrosion levels of transverse 396 reinforcement are 12.8%, 23.3% and 37.9% at 25 year, 50 year and 100 year, respectively; while the corresponding 397 corrosion levels of longitudinal reinforcement will be 1.8%, 5.1% and 9.5%. The time-dependent yield strength 398 of the reinforcements is shown in Fig. 14(b). The figure indicates that the transverse reinforcement has a higher 399 400 deterioration rate of yielding strength than longitudinal reinforcement.



401

402 Fig. 14. Corrosion modeling results for transverse and longitudinal reinforcements: (a) corrosion levels; (b)
 403 yield strength.

404 **7.3 Structural capacity assessment**

The bridge column is then modelled using the proposed method which could consider the shear performance deterioration and the FSI behaviors. It should be noted that only the longitudinal direction response of the column are analysed in the present study. The effects of corrosion induced deterioration on structural capacity are assessed in this section. Fig. 15 shows the calculated shear force-shear strain relationship of the column at different investigated times. It can be seen that the peak shear strength reduces over time and the ultimate shear deformation capacity also decreases.

411 With the developed numerical models, the static push-over analysis is conducted on the column. Fig. 16(a) shows

- the obtained monotonic curves of the column at different investigated times. It can be seen that the initial stiffness
- 413 will slightly decrease while the yielding strength and peak shear strength show significant reduction as the
- corrosion time increases. As a comparison, the static push-over analysis are also conducted using the flexuremodels and the results are presented in Fig. 16(b). Comparing the two figures, it can be seen that the column will
- 415 models and the results are presented in Fig. 16(b). Comparing the two figures, it can be seen that the column 416 have lower peak lateral strength and more pronounced post-peak softening response if the FSI is considered.

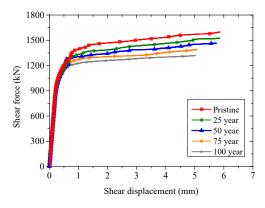




Fig. 15. Shear force-shear displacement relationship for the column.

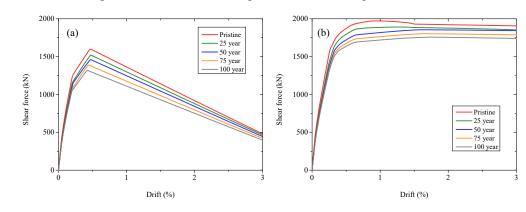
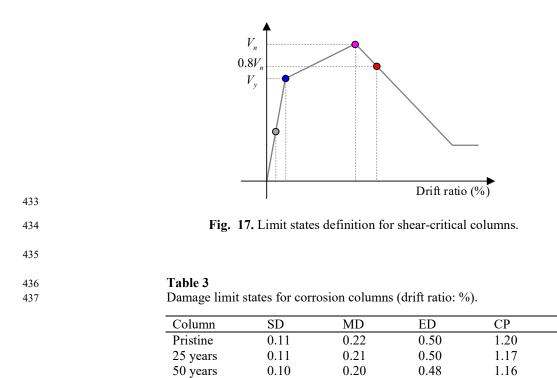




Fig. 16. Computed monotonic curves of the column using two modeling approaches: (a) based on FSI-model;
 (b) based on flexure model.

As mentioned before, although some previous studies have addressed the importance of incorporating time-variant 422 structural capacity in seismic fragility analysis, few studies have tried to investigate the variation of structural 423 capacity of shear-critical columns while also considering the effect of corrosion and incorporating them into a 424 time-dependent seismic fragility analysis. Based on the proposed FSI-model for columns, the structural capacity 425 of the columns can be obtained based on push-over curves. In this study, four limit states are defined as follows: 426 slight damage (SD); moderate damage (MD); extensive damage (ED) and collapse prevention (CP). As shown in 427 428 Fig. 17, MD is defined as having a drift ratio corresponding to yield shear strength of the column, while SD is defined as having half of the drift ratio of MD. ED is defined as having a drift ratio corresponding to the peak 429 shear strength, and finally CP is defined as reaching a drift ratio where the lateral strength decreases to 80% of its 430 peak strength. Table 3 lists the capacity definition of the case column. The results in the table suggest that 431 structural capacity decreases as corrosion time increases. 432



0.09

0.09

438

451

439 7.4 Seismic fragility analysis

75 years

100 years

The analytical fragility functions express the conditional probability of attaining or exceeding a specified damage state under a certain set of ground motion intensity measures *IM* (e.g. PGA):

0.18

0.16

0.44

0.41

1.14

1.12

442
$$P[D > C \mid IM] = \Phi\left[\frac{\ln S_D - \ln S_C}{\sqrt{\beta_{IM}^2 + \beta_C^2}}\right]$$
(25)

where D and S_D are the seismic demand and median value, respectively; C and S_C are the seismic capacity and median value, respectively; β_{IM} and β_C are dispersion of the seismic demand and structural limit state, respectively. $\Phi(\cdot)$ is the cumulative normal distribution function. In this study, the S_C is obtained from the structural capacity analysis discussed above and the β_C is taken as 0.25 for the SD and MD damage states, 0.46 for ED and CP damage states according to [65].

The IDA method will be used in this paper to derive parameters of the fragility functions. From the IDA results, the seismic demand model that expresses the relationship between seismic demand of interested *EDP* and intensity measure *IM* can be obtained [66]:

$$EDP = a(IM)^{b} \text{ or } \ln(EDP) = \ln(a) + b\ln(IM)$$
(26)

where *a* and *b* are regression coefficients. The dispersion β_{IM} accounting for the uncertainty in the relationship is estimated as:

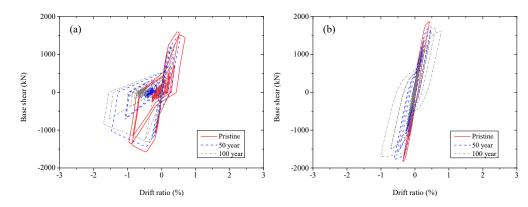
454
$$\beta_{IM} = \sqrt{\frac{\sum_{i=1}^{N} \left[\ln(EDP_i) - \ln(a(IM_i)^b) \right]^2}{N-2}}$$
(27)

455 where N is the total number of numerical simulations, EDP_i represents the demand of the *i*th simulation.

⁴⁵⁶ A suite of 22 far field ground motions are used in this study for the seismic fragility analysis. The 22 far field ⁴⁵⁷ ground motions are selected from the FEMA-P695 far field ground motions set [67]. Detailed characteristics of the selected ground motion records are presented in Table A-2 in the Appendix. It should be noted that only the horizontal component of ground motions with larger PGA is used during the IDA.

460 7.5 Results and discussion

The effects of time-dependent corrosion and modeling methods on seismic drift demands of shear-critical RC 461 columns are firstly assessed. Typical hysteretic responses of the bridge columns at different investigated times are 462 shown in Fig. 18, where Fig. 18(a) illustrates hysteretic responses obtained using FSI-model, Fig. 18(b) shows 463 464 the results obtained using flexure model. From Fig. 18(a), it can be seen that, due to the use of corrosion-induced reduced material properties, the drift demands of the bridge columns increase under the sample ground motion. 465 The maximum drift ratio for the pristine column is 0.96%, while the drift demands will increase to 1.47% and 466 467 1.71% at 50 year and 100 year, respectively. Similar finding is obtained using the flexure-only model as illustrated in Fig. 18(b). The drift demand placed on the pristine column using the flexure model is 0.43%, and subsequently 468 increases to 0.62% and 1.00% at 50 year and 100 year, respectively. The results also reveal that the modeling 469 method for shear-critical columns has significant effect on drift demands. The FSI-model generates larger drift 470 471 demands of columns at different investigated times, while using the flexure model which only accounts for flexure response tends to underestimate markedly the drift demands of shear-critical columns. 472



473

Fig. 18. Increase of drift demands for corroded columns under sample ground motion (NO. 18 in Table A-2 with PGA scaled to 0.5g): (a) FSI model; (b) flexure model.

476 Based on the above seismic fragility analysis framework, the time-dependent fragility curves for the shear-critical RC columns are obtained. Fig. 19 shows the analysis results of the fragility curves for the column case. The 477 curves illustrate the probabilities of exceeding four damage states of the bridge column from 0 year to 100 year 478 with a 25-year time interval. It can be seen that corrosion has slight effects with respect to light damage state, 479 although the vulnerability for the damage state increase with increase in time. This is mainly because the column 480 will experience damage at low ground motion intensities and as such the corrosion effect is not yet fully reflected. 481 However, corrosion effects on seismic fragility becomes more pronounced at severer damage states, and marked 482 increased probabilities of exceeding extensive damage state and collapse prevention damage state can be observed 483 in Fig. 19(c) and (d). The median collapse capacity is approximately 0.63g for the pristine column, and the 484 capacities will reduce to 0.54g and 0.50g at 50 years and 100 years, i.e. a reduction of 14.3% and 20.6%, 485 486 respectively. The above results indicate that corrosion should be taken into consideration in structural seismic fragility assessment, especially for severer damage states. 487

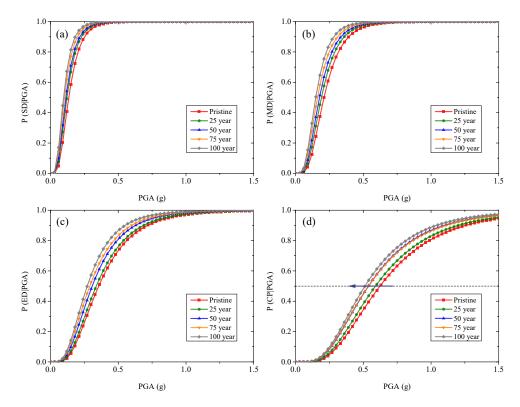
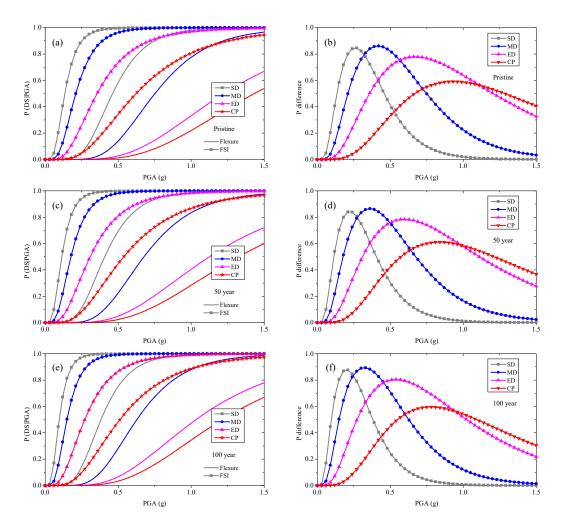


Fig. 19. Time-dependent fragility curves of shear-critical columns: (a) slight damage; (b) moderate damage; (c)
 extensive damage; (d) collapse prevention.

In order to compare the effectiveness of using the traditional method, which considers only flexure response and a time-invariant structural capacity index, and using the proposed evaluation method in this paper for the fragility analysis, the probability differences from using the two methods are also assessed. For the traditional method, the damage state definition are adopted from [51], which are taken as 0.5%, 1.0%, 2.0% and 2.5% for SD, MD, ED and CP damage states respectively. The analysis results are presented in Fig. 20, where the graphs on the left hand side show the comparison of seismic fragility curves using the two methods, and graphs on the right hand side show the probability differences over PGA.

It can be seen that the two methods generate significantly different fragility analysis results. Generally, the 498 499 probability of exceeding a given damage state using the proposed method is much higher than that from the traditional method. The maximum probability difference could reach approximately 90% for the moderate damage 500 501 state under around 0.3g for the studied column case. The traditional method underestimates the seismic fragility of the shear-critical columns as it ignores the deterioration in the shear performance, as well as in the structural 502 capacities. The proposed method, which considers the differences in the longitudinal and transverse reinforcement 503 504 corrosion over time and subsequently the time-dependent shear performance deterioration and the flexure-shear interaction behaviors both in structural capacities and seismic demands, reflects the increased seismic fragility for 505 shear-critical columns. 506



509 510

511

Fig. 20. Effects of evaluation methods on fragility analysis results of shear-critical column: (a), (b): pristine column; (c), (d): column at 50 years; (e), (f): column at 100 years (Left: seismic fragility curves; Right: probability difference).

512 8. Summary and conclusions

A framework for seismic fragility analysis for shear-critical reinforced concrete columns considering corrosion 513 induced deterioration effects is presented in this paper. The framework comprises a corrosion modeling part which 514 defines the corrosion initiation time and time-variant deteriorating material properties of the columns. Especially, 515 the differences of corrosion levels between transverse and longitudinal steel reinforcements in reality are taken 516 into account. A new model is proposed for corroded shear-critical columns to account for shear performance 517 deterioration due to corrosion and the flexure-shear interaction behaviors of columns under seismic loadings. This 518 519 is accomplished by introducing a new macro-spring element for shear response simulation in series with the nonlinear beam-column elements for flexure response and a zero-length section element for slip response at the 520 base of the column. The modified Ibarra-Medina-Krawinkler deterioration model is adopted to simulate the shear 521 response in order to capture shear strength and stiffness deterioration as well as pinching behavior of corroded 522 shear-critical columns. The model is validated by comparing simulation results with experimental test results of 523 shear-critical columns and the results indicate that the proposed model can reasonably simulate the hysteretic 524 response of uncorroded shear-critical columns as well as corroded shear-critical columns. The proposed 525 526 framework also adopts time-variant structural capacity for seismic fragility analysis where the time-dependent 527 structural capacity is obtained with the proposed FSI-numerical model.

A representative bridge column is analysed to demonstrate the proposed framework and its effectiveness for timedependent seismic fragility analysis for shear-critical columns. The results show that corrosion has significant effects on seismic fragility of the column, especially for severer damage states. The median collapse capacity is approximately 0.63g for the pristine column, and the capacities will reduce to 0.54g and 0.50g at 50 year and 100
 year, with a reduction of 14.3% and 20.6%, respectively.

533 Comparison of the modeling methods indicates that, for corroded shear-critical columns, using the traditional

flexure modeling method with time-invariant structural capacities tends to significantly underestimate the seismic

fragility. The proposed method, which considers the differences in the longitudinal and transverse reinforcement

corrosion over time, time-variant structural capacitie as well as time-dependent shear and flexure-shear interaction

537 behaviors, reflects reasonably the increased seismic fragility for corroded shear-critical columns.

The proposed framework paves a way for more realistic seismic fragility analysis of shear-critical RC columns considering the effect of corrosion. Further work is required to extend the framework for the fragility analysis of other structure configurations, and calibration of the time-varying model parameters to cover different environmental and structural conditions.

542

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548

549 Appendix A

550 Table A-1

551 Corrosion modeling parameters.

Parameter	Value	Unit
w/c	0.5	-
D_0	473	mm ² /year
$D_0 \\ k_e \\ k_t \\ k_t$	0.924	-
k _t	0.832	-
k _c	1.0	-
t_0	28	day
n	0.362	-
A_{cs}	7.758	-
A_{cs} ε_{cr}	0	-
\tilde{C}_{cr}	0.9	mass % of binder

552 Note: C_{cs} is computed as: $C_{cs} = A_{cs}(w/c) + \varepsilon_{cr}$

553

554 **Table A-2**

555 Selected 22 far field ground motions.

NO.	Earthquake	М	Station	Epicentral distance (km)	PGA (g)	PGV (cm/s)
1	Northridge	6.7	Beverly Hills - Mulhol	13.3	0.52	63
2	Northridge	6.7	Canyon Country-WLC	26.5	0.48	45
3	Duzce, Turkey	7.1	Bolu	41.3	0.82	62
4	Hector Mine	7.1	Hector	26.5	0.34	42
5	Imperial Valley	6.5	Delta	33.7	0.35	33
6	Imperial Valley	6.5	El Centro Array #11	29.4	0.38	42
7	Kobe, Japan	6.9	Nishi-Akashi	8.7	0.51	37
8	Kobe, Japan	6.9	Shin-Osaka	46	0.24	38
9	Kocaeli, Turkey	7.5	Duzce	98.2	0.36	59

10	Kocaeli, Turkey	7.5	Arcelik	53.7	0.22	40
11	Landers	7.3	Yermo Fire Station	86	0.24	52
12	Landers	7.3	Coolwater	82.1	0.42	42
13	Loma Prieta	6.9	Capitola	9.8	0.53	35
14	Loma Prieta	6.9	Gilroy Array #3	31.4	0.56	45
15	Manjil, Iran	7.4	Abbar	40.4	0.51	54
16	Superstition Hills	6.5	El Centro Imp. Co.	35.8	0.36	46
17	Superstition Hills	6.5	Poe Road (temp)	11.2	0.45	36
18	Cape Mendocino	7.0	Rio Dell Overpass	22.7	0.55	44
19	Chi-Chi, Taiwan	7.6	CHY101	32	0.44	115
20	Chi-Chi, Taiwan	7.6	TCU045	77.5	0.51	39
21	San Fernando	6.6	LA - Hollywood Stor	39.5	0.21	19
22	Friuli, Italy	6.5	Tolmezzo	20.2	0.35	31

557

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