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Citation for published version:

Ju, Y, Lee, S, Kaown, D, Lee, K, Gilfillan, SMV, Hahm, D & Park, K 2020, 'Noble gas as a proxy to understand the evolutionary path of migrated CO₂ in a shallow aquifer system', *Applied geochemistry*, pp. 104609. <https://doi.org/10.1016/j.apgeochem.2020.104609>

Digital Object Identifier (DOI):

[10.1016/j.apgeochem.2020.104609](https://doi.org/10.1016/j.apgeochem.2020.104609)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Applied geochemistry

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PII: S0883-2927(20)30095-0

DOI: <https://doi.org/10.1016/j.apgeochem.2020.104609>

Reference: AG 104609

To appear in: *Applied Geochemistry*

Received Date: 6 October 2019

Revised Date: 18 January 2020

Accepted Date: 20 April 2020

Please cite this article as: Ju, Y., Lee, S.-S., Kaown, D., Lee, K.-K., Gilfillan, S.M.V., Hahm, D., Park, K., Noble gas as a proxy to understand the evolutionary path of migrated CO₂ in a shallow aquifer system, *Applied Geochemistry* (2020), doi: <https://doi.org/10.1016/j.apgeochem.2020.104609>.

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1 **Noble gas as a proxy to understand the evolutionary path of migrated CO₂ in a shallow aquifer**
2 **system**

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14

Abstract

To provide confidence in the safety of a carbon capture and storage (CCS) project, researchers have focused on developing monitoring techniques to trace the unlikely, but potentially possible, migration of CO₂ from a deep reservoir. Among the various techniques, noble gas tracing is a beneficial approach, owing to the unique noble gas fingerprints present in injection fluids, the deep reservoir, and the shallow aquifer above the storage area. However, the value of this approach has been limited to demonstrations in a natural analogue CO₂-rich reservoir and an artificial injection test site. Therefore, further efforts are required to link those valuable observations to an actual CCS site. In this study, we outline how to use these tracers for actual monitoring work in a shallow aquifer system. First, two artificial injection tests were performed using He, Ar, Kr, and SF₆ to understand the behavior of the leaked plume in the shallow aquifer system. In both tests, the noble gas ratio remarkably changed with the solubility-controlled process and the mixing process. To extend and link the valuable findings from the artificial injection tests to an actual CO₂ leakage event, we performed a leakage simulation using data from a real CO₂ injection site, i.e., the Weyburn–Midale site. This simulation suggested that combinations of ⁴He with other heavier noble gases can be used to monitor CO₂ leakage, as they allow us to separate and explain the major interactions governing the migration of the leaked plume in the shallow aquifer system. Additionally, although the high CO₂ density of a dissolved plume is known to add uncertainty in quantitative approaches, the influence of those effects was negligible when compared to the errors arising from the wide variation in the noble gas fingerprints in the leaked CO₂. This study, therefore, provides insight into the evolutionary path of the migrated CO₂ plume in the shallow aquifer system and to the results can be used to inform the tracing of a leakage source within a shallow aquifer despite various mechanisms complicating the plume distribution.

Keywords: Carbon capture and storage; CO₂ leakage; noble gas tracing; degassing; inherent tracer; monitoring

39 1 Introduction

40 A carbon capture and storage (CCS) project uses an impermeable or low-permeability cap rock to
41 trap sequestered CO₂ in a formation without leakage. The CO₂ injected into the storage formation is
42 sequestered by subsurface trapping mechanisms, namely structural/stratigraphic trapping, residual
43 trapping, solubility trapping, and mineral trapping mechanisms. Evidence shows that these are effective
44 on a geologic time scale (Alcalde and Flude et al., 2018; Altman et al., 2014; IPCC, 2005). However, a
45 recent event where artificial injection activity of water in an enhanced geothermal system (EGS) triggered
46 a 5.5 magnitude earthquake of in Pohang, South Korea (Lee et al., 2019) led to increased public
47 awareness of the potential issues of injecting fluids into the subsurface in Korea. This situation led to the
48 temporary closure of a CO₂ capture and storage demonstration project intended to be performed in the
49 Pohang Basin, South Korea (Chen et al., 2018). Furthermore, it was alleged that CO₂ that had leaked from
50 the storage formation at the Weyburn-Midale site had polluted a nearby shallow groundwater zone
51 (Beaubien et al., 2013). These examples highlight the need for a robust monitoring regime of any future
52 geological storage site to provide reassurance that CO₂ is being safely stored and that any migration from
53 the site can be detected.

54 The monitoring of leaked CO₂ is often complicated by naturally occurring CO₂ in the subsurface
55 system. The distribution of CO₂ is very heterogeneous in a natural groundwater system as a result of
56 chemical, biological, and physical interactions (Risk et al., 2015). The use of stable C isotopes for leakage
57 monitoring can also be challenging because their signals in leaked fluids can overlap with those of
58 common surface sources (e.g., landfills) (Györe et al., 2017), following bacterial activity that enriches the
59 ¹³C by an oxidation process (Whiticar et al., 1999). However, noble gases are chemically and biologically
60 inert, and are only affected by quantifiable physical processes in a shallow aquifer system. Therefore,
61 noble gases can provide a means to separate and explain the physical processes in a biochemically
62 complicated system (Kilgallon et al., 2018). The benefits of this tracer were first recognized in an

63 enhanced oil and recovery (EOR) site, where engineers used this particular characteristic of noble gases to
64 explain the physical process of a solubility-controlled mechanism in a multi-phase system composed of
65 gas, water, and oil phases (Bosch and Mazor, 1988; Ballentine et al., 2002; Prinzhofer, 2013). In this
66 complex system, the noble gases were phase-partitioned at the materials' interfaces, and the noble gas
67 compositions were mass-dependently fractionated according to their solubilities (Ballentine et al., 1991,
68 1994; Lollar et al., 1997; Pinti and Marty, 1995). These valuable techniques have now been extended to
69 the study of CCS projects to monitor the migration of a multiphase CO₂ plume in the subsurface system.

70 Although noble gases have been actively applied in CCS research for various purposes (Myers et
71 al., 2013), they have been largely used to identify CO₂ migration from a deep reservoir into a shallow
72 aquifer to define a preferential pathway of migrated CO₂ and to resolve the physical interactions
73 governing the fate of the CO₂-rich plume.

74 Noble gases have been used to trace CO₂ leakage in natural analogue sites and artificial injection
75 experiments. Lafortune et al. (2009) suggested the potential applicability of noble gas for tracing gas
76 leakage from a reservoir into a shallow groundwater system, as the reservoir has a signature of this tracer
77 that is distinguished from that of shallow-depth groundwater. This was clearly proven at a natural
78 analogue site of post-emplacement seepage. For example, Gilfillan et al. (2011) concluded that an
79 elevation of HCO₃⁻ in shallow-subsurface and surface waters originated from deep reservoir leakage,
80 based on a fingerprint of high-crustal ⁴He. Györe et al. (2018) also pointed out that high ⁴He in a deep
81 reservoir can be used as a potential fingerprint to trace the fugitive release of reservoir gas. The concept
82 of a noble gas fingerprint was extended to tracer-enhancement work for artificially injected CO₂ at the
83 Cooperative Research Centre for Greenhouse Gas Technologies (CO₂CRC) Otway Project to produce a
84 strong signal for CO₂ plume leakage by distinguishing artificial CO₂ from natural CO₂ (Stalker et al.,
85 2015).

86 In order to better understand the pathway of migrating CO₂ within a deep reservoir, several
87 artificial injection experiments have been undertaken. For example, CO₂ gas was injected into a
88 heterogeneous hydrocarbon reservoir (~3,080 m deep) within the Tuscaloosa Formation reservoir,
89 Mississippi, United States, and was followed by continuous monitoring (Lu et al., 2012). This resulted in
90 a unique bumpy breakthrough of noble gas having multi-peaks suggesting the existence of preferential
91 paths between a leakage point and monitoring wells (Lu et al., 2012). In a shallow depth vadose zone
92 injection test, preferential paths were found between the injection point and monitoring probes, as induced
93 by the natural heterogeneity of the limestone at the DEMO-CO₂ project, France (Rillard et al., 2015).
94 Lighter noble gases (He and Ne) were more helpful in defining the preferential path in the vadose zone
95 than CO₂ and other tracers as they have faster arrival times at the monitoring probes owing to their higher
96 diffusion coefficients and lower solubility within the soil water in the CO₂-Vadose project, France (Cohen
97 et al., 2013).

98 Detailed and extensive research on the fate of CO₂ has been undertaken in natural CO₂-rich
99 reservoirs, which serve as analogues for artificial CO₂ storage. For example, Zhou et al. (2005)
100 constrained the evolution of natural CH₄ and CO₂ based on the mass-dependent fractionation of noble
101 gases in a coalbed methane system. Studies on a natural analogue site of a deep CO₂ storage reservoir
102 suggested that a multi-step solubility-controlled process was significantly involved in the fate of CO₂ gas
103 under the impermeable cap-rock, as explained by the deeply fractionated noble gas ratio (Gilfillan et al.,
104 2008; Zhou et al., 2012). Then, Gilfillan et al. (2009) concluded that naturally injected CO₂ has been
105 primarily sequestered within deep reservoirs through a solubility-controlled mechanism. In an engineered
106 CO₂ injection site, Györe et al. (2015) and Györe et al. (2017) observed that the noble gas composition of
107 produced gas can be successfully used to constrain the fate of the sequestered CO₂ at the Cranfield
108 enhanced oil recovery (EOR) field and identified a clear sink of free-phase CO₂ in areas making contact
109 with the reservoir groundwater. A numerical model has also been applied to define the fate of a reservoir

110 of CO₂ by identifying the budget of residual trapped and immobilized gas in a deep storage site at the
111 CO₂CRC Otway test injection site (LaForce et al., 2014). Moreover, Zhang et al. (2011) delineated that
112 immobilized gaseous CO₂ retards the arrival of noble gas tracers, owing to their preferential partitioning
113 into CO₂ bubbles at interfaces. In a recent artificial injection experiment, an attempt was made to
114 understand the fate of artificial CO₂ released into a shallow groundwater system (Ju et al., 2019), where
115 the fate of the leaked CO₂ was constrained using noble gases. This highlighted the importance of
116 solubility control and mixing mechanisms in shallow-depth groundwater.

117 Recently, studies have begun applying a noble gas fingerprinting tool for an actual monitoring
118 purpose, i.e., to trace leaked CO₂ from a reservoir into a shallow aquifer system. The noble gas tracing in
119 the shallow system is based on the inherent compositional difference between the reservoir fluid and the
120 groundwater above, and the composition of injected CO₂ is dependent on various CO₂ capture
121 mechanisms (Flude et al., 2016). Gilfillan et al. (2017) recognized the usefulness of composition at a real
122 CO₂ injection site. For example, the storage reservoir was two orders of magnitude richer in radiogenic
123 ⁴He compared to normal soil gases, providing an opportunity to evaluate allegations that unplanned
124 migration of CO₂ into a shallow aquifer near the Weyburn Midale oil fields had taken place.

125 Although noble gases have proved useful for tracing a CO₂ plume in many sites as described
126 above, to the best of our knowledge, it has not yet been extensively used for monitoring in a shallow
127 aquifer (Lee et al., 2016). Moreover, most works have been limited to artificial release experiments and
128 natural analogue sites, implying that further efforts are still required to link the valuable previous
129 observations to an actual CCS site. Therefore, this study attempted to discuss the application of these
130 tracers to the monitoring of actual unplanned CO₂ migration from a CCS site. For this purpose, two
131 injection tests were completed in a shallow aquifer system using noble gas tracers. This was intended to
132 explain the major processes responsible for the fate of the migrated CO₂ plume in a multi-phase state and
133 to verify the findings from previous research. Then, based on the observations, we simulated the CO₂

134 leakage by using analytical solutions and discussed how to use noble gas to identify CO₂ migration, and
135 to constrain the fate of the migrated CO₂.

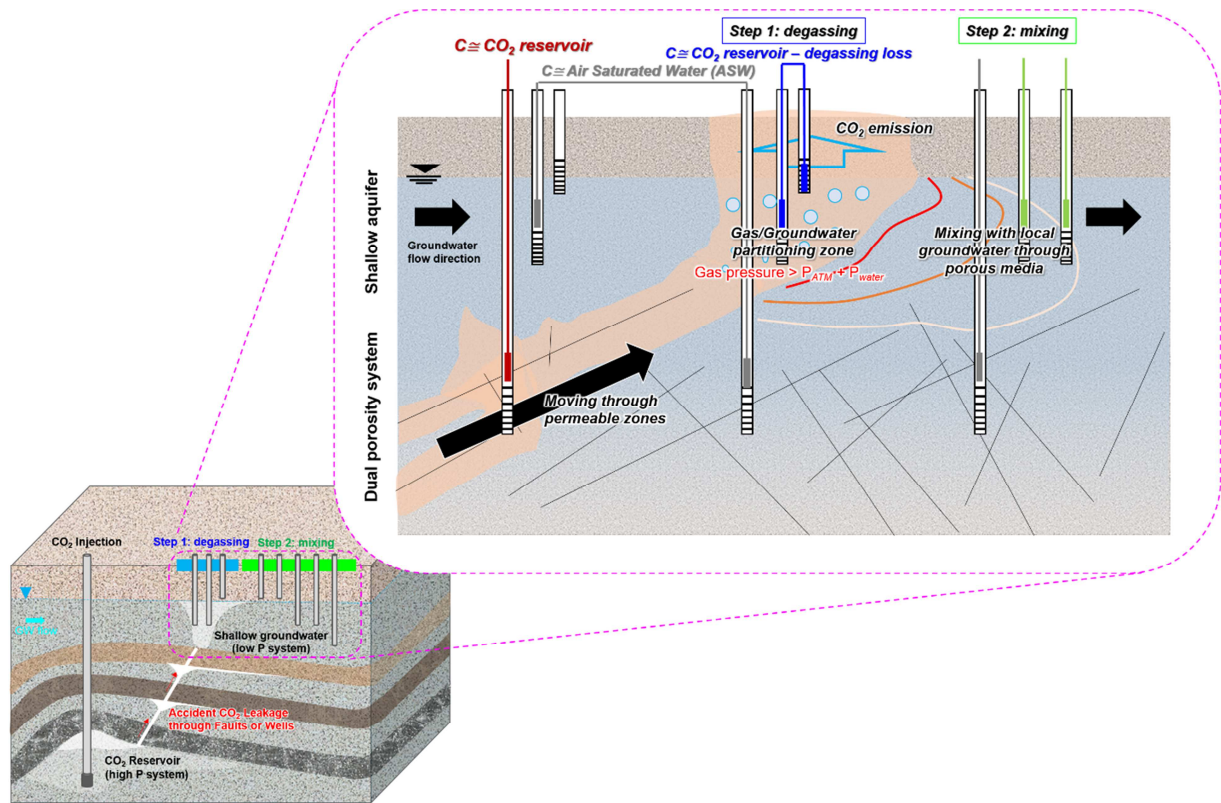
136 **2 Material and methods**

137 **2.1 Artificial injection test**

138 Sequestered CO₂ can be mobile when the cap-rock is broken or damaged (Figure 1). Then,
139 portions of free-phase CO₂ move upward due to buoyancy forces. Accidental leakage can occur through
140 various vertical conduits such as an injection well, abandoned wells, or natural faults over different
141 periods of time (e.g., continuous low level leakage or short duration blowouts) (Alcalde and Flude, 2018).
142 While the free-phase CO₂ migrates through conduits, it can spread into the multiple layers splitting the
143 mass (Jung et al., 2014) (Figure 1). Among the multiple layers, the ones with lower-permeabilities act as a
144 barrier preventing the CO₂ from reaching a shallower aquifer. Following a series of stratigraphic
145 trappings, some volumes of CO₂ finally reach the lower pressure system of shallow groundwater, which is
146 the very portion discussed throughout this paper.

147 The two artificial injections were performed to demonstrate the accidental leakage of CO₂ into the
148 shallow aquifer. In particular, the injection experiments focused on the early-stage fate of free-phase CO₂
149 reaching the low-pressure system (i.e. shallow aquifer). The initial state of CO₂ is characterized by a high
150 partial pressure that can naturally nucleate the bubbles (Figure 1). The degassing dominantly occurs
151 around a leakage point until stabilization occurs in the low-pressure system. After the degassing, the CO₂
152 bubbles are redistributed between the groundwater (dissolved and remaining budget) and the atmosphere
153 (degassed and lost budget), reducing the total migrated CO₂ budget. The initial degassing is very
154 important because it controls the overall budget of CO₂ remaining in the subsurface after it migrates into a
155 shallower system (Ju et al., 2019). In this context, the two experiments, in which gas-charged

156 groundwater was injected into a shallow aquifer, were performed to demonstrate the early-stage
 157 solubility-controlled process (i.e. degassing).



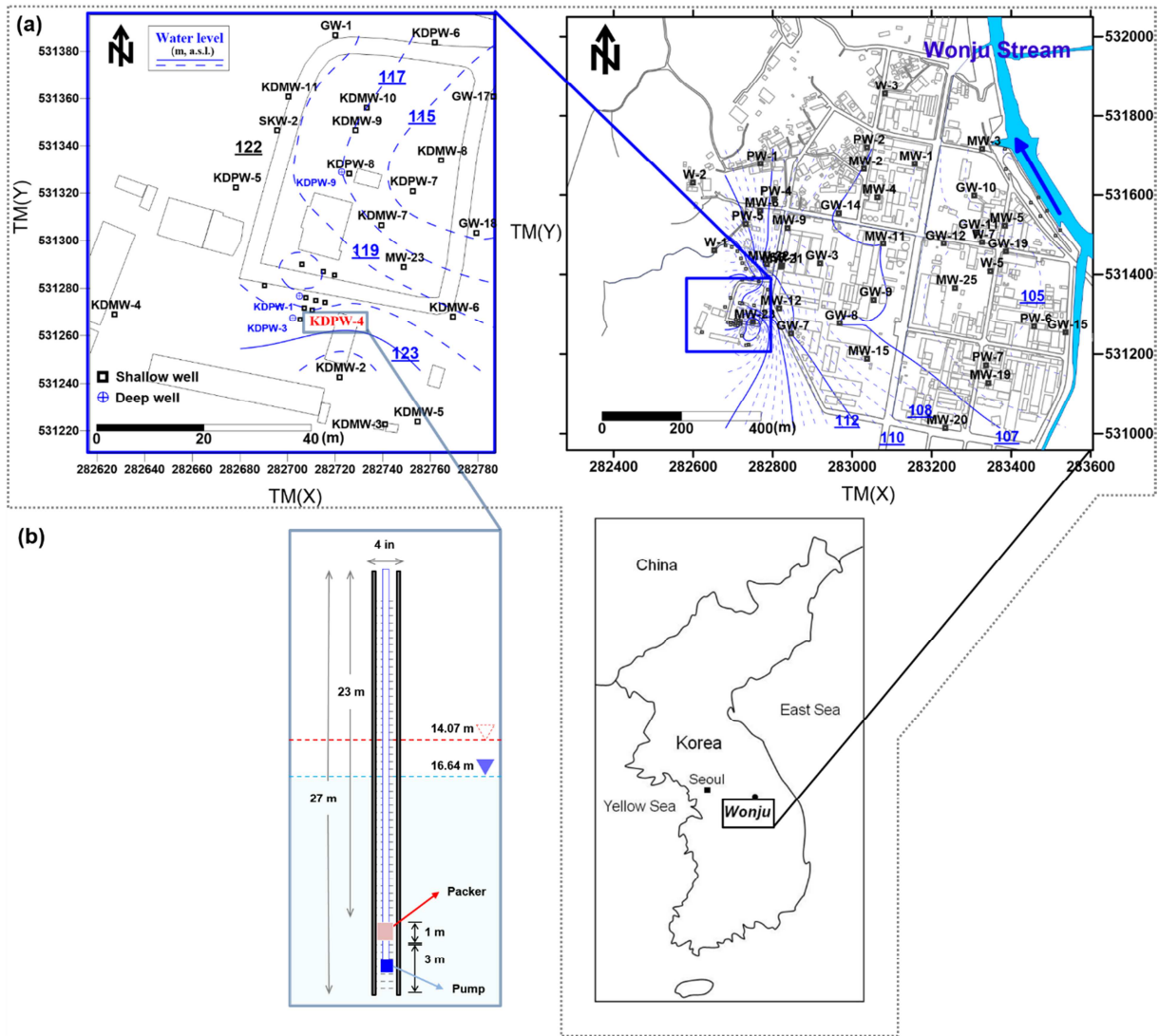
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159 **Figure 1.** Conceptual figure to demonstrate accidental CO₂ leakage from a deep reservoir into a shallow
 160 groundwater system. Early-stage fate of CO₂ is primarily controlled by the solubility-controlled process
 161 (i.e., degassing) due to a high gas pressure.

162 2.2 Test site overview

163 The first injection test was conducted in Wonju, Korea (Figure 1). The aquifer at the study site
 164 consists of weathered and highly fractured Jurassic biotite granite overlain by soil and alluvial deposits
 165 (Yu et al., 2006; Baek and Lee, 2011). The single-well tracer test (SWTT) was performed in the alluvial
 166 deposits, which are a uniform material without fractures and conduits. The aquifer thickness was 10–15 m.

167 The aquifer hydrology at the site had a wide range of seasonal and spatial variations. The observed water
168 table was 2–13 m below the ground surface, but remained below 11 m during the dry season, when the
169 pilot test was performed. The hydraulic gradient varied from 0.008 m to 0.023 m. A pumping and slug
170 test revealed that the hydraulic conductivity of the site ranged between 2.0×10^{-4} cm/s and 4.2×10^{-3}
171 cm/s. In addition, the flow velocity of the regional groundwater was estimated, using ^3H – ^3He analysis, to
172 vary from 1.9×10^{-6} to 1.2×10^{-4} m/s (Kaown et al., 2014). A detailed description of the geologic
173 features, seasonal variations in aquifer hydrology, and land-use history of the study site can be found in
174 Lee et al. (2015).



175

176 **Figure 2.** (a) Site map of Wonju, Korea; (b) full-screened KDPW4 was used for the SWTT at the site.

177 Injection was completed 24–27 m below the ground surface and approximately 10 m below the water

178 table. The injection interval was isolated using packer during the SWTT. The water table inside the

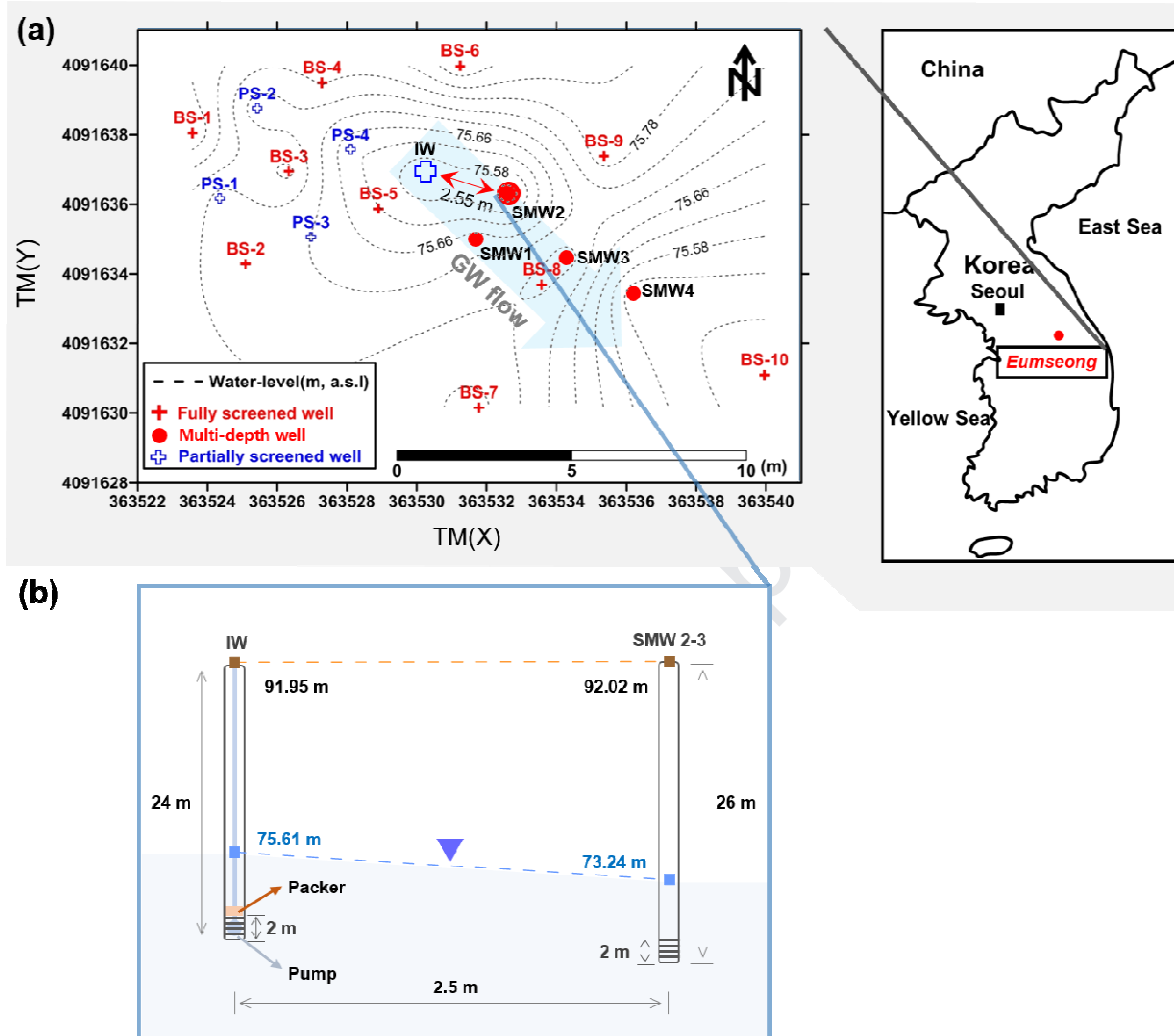
179 interval changed from 14.07 m (i.e. red triangle) to 16.64 m (i.e. blue triangle) below the surface during

180 the pull-phase of SWTT.

181 The second injection and recovery test was completed at the Korea CO₂ Storage Environmental

182 Management (K-COSEM) experimental test site in Eumseong, Korea (Figure 3). A monitoring network

183 was established at the test site for the CO₂ release and monitoring experiments. Geological information
184 was collected from borehole data at the study site: an alluvial deposit was found occurring 0–2 m below
185 ground level (b.g.l.), weathered soils were found 2–30 m b.g.l., weathered rocks were found 30–70 m
186 b.g.l., and bedrock was found > 70 m b.g.l. (Ju et al., 2018a). The host rock was dark gray or light gray
187 biotite granite. The inter-well tracer test (IWTT) was performed in the weathered soils zone regarded as a
188 uniform material without any fractures and conduits. The water table was 16–17 m b.g.l., and the
189 hydraulic gradient ranged from 0.01 to 0.05. The regional flow direction was from northwest to southeast.
190 The hydraulic conductivity estimated from the pumping test ranged from 4.0×10^{-6} m/s to 2.0×10^{-5} m/s.
191 A push-and-pull test was performed to identify the groundwater linear velocity (0.06–0.44 m/d), effective
192 porosity (0.02–0.23), and uppermost aquifer thickness (47 m) of the study site. Detailed discussions on
193 the sequential hydraulic tests for establishing the monitoring network at the K-COSEM experimental test
194 site are summarized in Lee et al. (2017) and Lee et al. (2018).



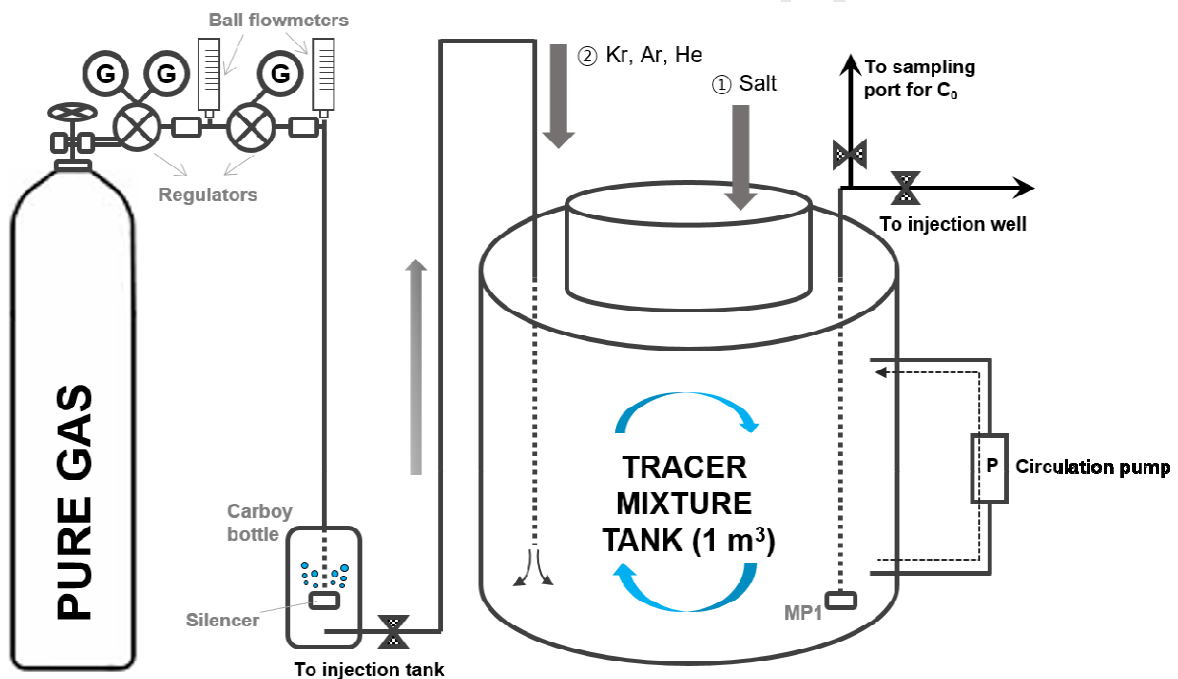
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196 **Figure 3.** (a) Site map of Eumseong, Korea. (b) Injection well (IW) and saturated zone monitoring well
 197 (SMW) 2-3 were used for the IWTT. The injection was completed 21–24 m below the ground surface and
 198 4.5–7.5 m below the water table. Injection fluid moved along an induced pressure gradient of 0.93
 199 between the two wells.

200 2.3 Experimental design overview

201 Tracer-mixed groundwater was prepared and artificially injected into the groundwater. First, each
 202 sample of tracer-infused groundwater was created by flowing inert gases into local groundwater using a

203 gas regulator, ball-flowmeter, flexible tube, silencer (for gas diffusion), and carboy bottle (Figure 4). The
 204 volumes of gas-charged groundwater samples were gently mixed together in the order of their solubility
 205 in groundwater (i.e., Kr → Ar → He). The injection was completed using a submersible and controllable
 206 quantitative pump "MP1" (Grundfos, Bjerringbro, Denmark). The sample for the injection fluid (C_0) was
 207 collected during the injection period, and the salinity was measured at the sampling time using portable
 208 equipment from Yellow Springs Instruments (YSI) (YSI Inc./Xylem Inc., USA). The salinity was
 209 reported in units of ppt, with a precision of ± 0.01 ppt.



210

211 **Figure 4.** Schematic figure of the injection system. Volumes of tracer-infused groundwater were first
 212 prepared by blowing a pure gas (He, Ar, Kr of 99%) into the local groundwater; then, the gas-charged
 213 water samples were gently mixed together in a closed tank with a circulation pump until they were
 214 injected into the groundwater system. The injection fluid (C_0) was sampled at the sampling port attached
 215 to this tank during the injection period.

216 The first pilot test was carried out in Wonju, Korea, as a single-well tracer test (SWTT). The
217 SWTT is a three-step process consisting of push, drift, and pull periods. During the push period, gas-
218 charged groundwater (200 L) composed of salt, Ar, He, and SF₆ was injected, and was followed by the
219 release of a chaser fluid (120 L) to flush the residual volume of injection water from the injection
220 wellbore. The injection speed was 9.30 L/min (September 22, 2016) using KDPW4 as the injection well.
221 This is a fully screened well with a depth of 27 m (Figure 1). The target injection zone was 24–27 m
222 below ground level, and 10 m below the water table. A packer was used to tightly seal the area 1 m above
223 the target injection zone. After the injection period, the tracer-infused water had a drift time of 1,522 min
224 in the groundwater system. Then, in the pull period, it was recollected for 319 min at a rate of 3.87 L/min
225 through the injection well (September 23, 2016). At the same time, parts of the pulled groundwater were
226 sampled at the well surface. The samples of the inert gas tracers were collected at 2–10 min intervals that
227 were gradually increased. The salinity was measured *in situ* using portable equipment from YSI (YSI
228 Inc./Xylem Inc, USA) every 2–10 min, and this interval was also gradually increased.

229 The second pilot test was performed at the K-COSEM experimental test site as an inter-well
230 tracer test (IWTT). Before the tracer injection, an induced hydraulic gradient of 0.93 was created between
231 the injection well (IW) and saturated zone monitoring well (SMW) 2–3 (Figure 3). Gas-charged
232 groundwater (1,000 L) composed of salt, Kr, Ar, and He was released into the IW at a rate of 4.74 L/min
233 (October 13, 2016). The target injection zone was 21–24 m below the ground surface, and 4.5–7.5 m
234 below the water table at IW. The injection event was followed by 10 days of monitoring at the SMW 2-3
235 of down gradient (to October 22, 2016). Parts of the pulled groundwater were sampled at the well surface
236 of SMW 2-3. The samples for the inert gas tracers were collected in 2–5 h intervals that were gradually
237 increased. The salinity was measured *in situ* using portable equipment from YSI (YSI Inc./Xylem Inc,
238 USA) every 2–5 h, and this interval was also gradually increased.

239 **2.4 Data acquisition from water samples**

240 The groundwater samples for sulfur hexafluoride (SF_6) were collected in glass bottles and were
241 tightly sealed using caps with metal liners. The sample analysis was automatically completed at the Core
242 Laboratory of Innovative Marine and Atmospheric Technology (CLIMATE) at the Pohang University of
243 Science and Technology (POSTECH). The automatic device included a gas chromatograph equipped with
244 an electron capture detector, a SF_6 -extraction device, a global positioning system, and a data acquisition
245 system based on Visual Basic 6.0/C 6.0. The SF_6 in the groundwater was quantified in comparison to gas
246 standards of 6, 60, 103, 104, 200, 352, and 704 pptv. The precision of this method was better than 0.2%
247 (Koo et al., 2005).

248 For noble gas analysis, 28 cm^3 of groundwater was sampled using a copper tube and a stainless-
249 steel clamp (to prevent air contamination). The samples were analyzed in the noble gas analysis
250 laboratory at the Korea Polar Research Institute (KOPRI). First, the dissolved gas was extracted from the
251 groundwater under a high vacuum ($\sim 10^{-7}$ mbar) and stored in an aluminosilicate ampoule to remove the
252 majority of the water in a manner similar to the method of Lott and Jenkins (1998). The extracted gas was
253 further treated using a separated and automated processing line equipped with cryogenic traps and getter
254 pumps (hot and cold St 101, SAES) (Beyerle et al., 2000) as outlined in Stanley et al. (2009) to remove
255 residual water vapor, active gases, and other condensable gases. Then, each noble gas component was
256 cryogenically separated and drawn down into a mass spectrometer, i.e., a residual gas analyzer (RGA)
257 200 (Stanford Research Systems, California, USA), from low mass to high mass. ^4He , ^{40}Ar , and ^{84}Kr were
258 quantified and calibrated against air standards of 0.9–2.7 cm^3 in consideration of the wide concentration
259 range of artificially enhanced tracers. Then, the abundant isotopes were reported as the total amount of
260 each noble gas at standard temperature and pressure (STP), assuming they are isotopically air-like. The
261 analytical error for the post-injection data was less than 5% based on duplicate sample analyses (Ju et al.,
262 2018b, 2019).

263 3 Results

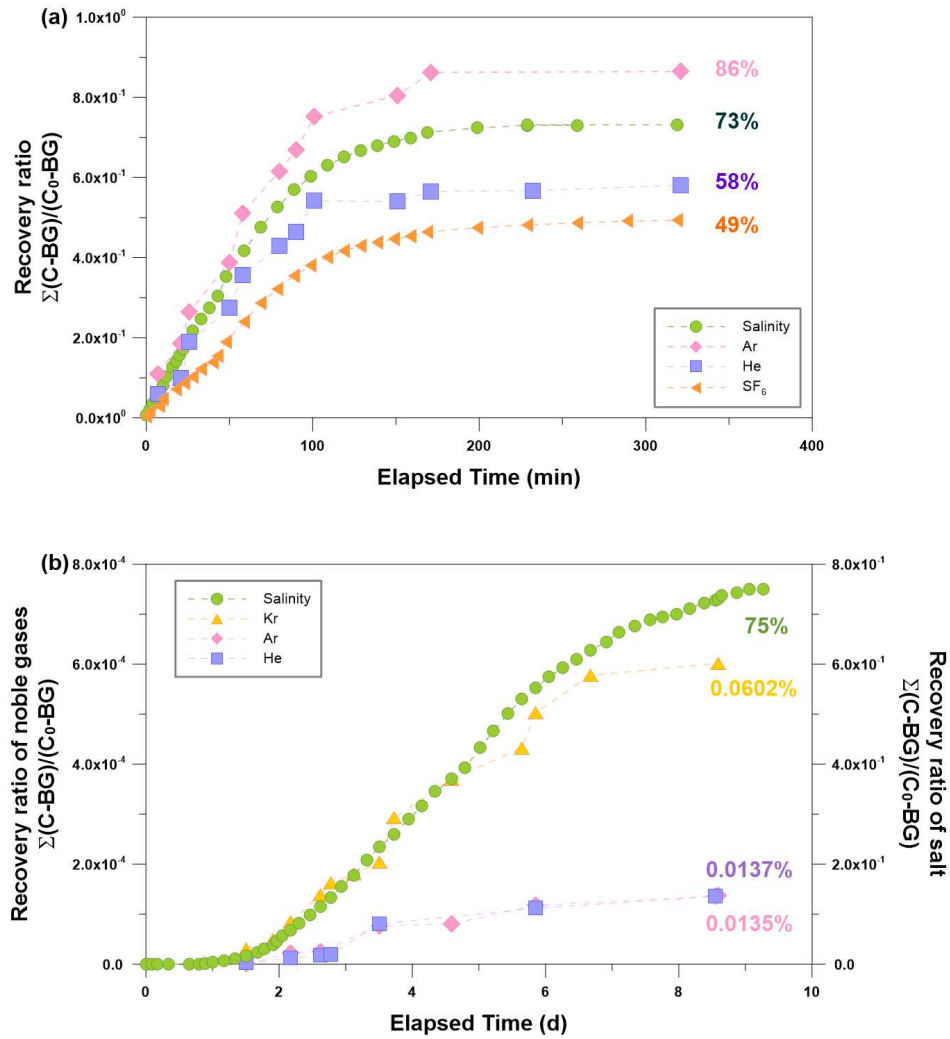
264 3.1 Tracing artificially leaked plume

265 The noble gas tracer method has shown the potential to explain the fate of high-pressure CO₂ that
266 has migrated from a deep reservoir into a shallow aquifer system (Gilfillan et al., 2017; Ju et al., 2019). In
267 this section, the behavior of the noble gas was determined following the two separate controlled release
268 experiments in the shallow-depth aquifer system. Specifically, during the two injection tests, the mass
269 recovery was observed after injecting the groundwater charged with the partitioning (i.e. inert gas tracers)
270 and non-partitioning tracers (i.e. salt) into the shallow aquifers. Here, the partitioning tracer is defined as a
271 substance having a partitioning behavior in a multi-phase system composed of gas and groundwater and,
272 the non-partitioning tracer only exists as a dissolved phase in the multi-phase system.

273 3.1.1 Mass recovery curve of the leaked plume

274 A total of 200 L of groundwater (C₀) containing 68.6 ppb of SF₆, 2.05×10^{-6} cm³ STP/g of He,
275 3.06×10^{-3} cm³ STP/g of Ar, and 10.7 ppt of salt was injected into the shallow aquifer. The mass recovery
276 of the inert gas tracers was calculated in an identical manner as Kim et al. (2018), where the total
277 recovery was a summation of the areas in a volume-concentration plot (i.e., $\text{recovery} = \Sigma \text{volume (L}^3) \times$
278 $\text{concentration (M/L}^3)$). The results revealed that the recovery ratio of each tracer was clearly related to its
279 respective solubility. As shown in Figure 5a, the recovery ratios of SF₆, He, Ar, and salt were 49.3%,
280 58.1%, 78.2%, and 73.1%, respectively. The solubility-controlled processes of phase-partitioning and
281 degassing influenced the fate of the injected gas tracers as expected (Ballentine et al., 2002). The tracer
282 recovery ratios from single-well push-pull tracer tests exhibited various ranges of distribution depending
283 on the nature of tracers, drift time, pump back rate, and density effect, and several controlled tests
284 revealed that the recovery ratios do not reach 100% (Kim et al., 2018). Although salt is known to be
285 conservative in a groundwater system, the mass recovery of salt was slightly less than that of the Ar tracer.

286 As salt does not undergo phase-partitioning in a groundwater system, entrapment of salt mass into low
 287 permeability zones was a possible reason for the mass loss in the SWTT (Kim et al., 2018, 2019).



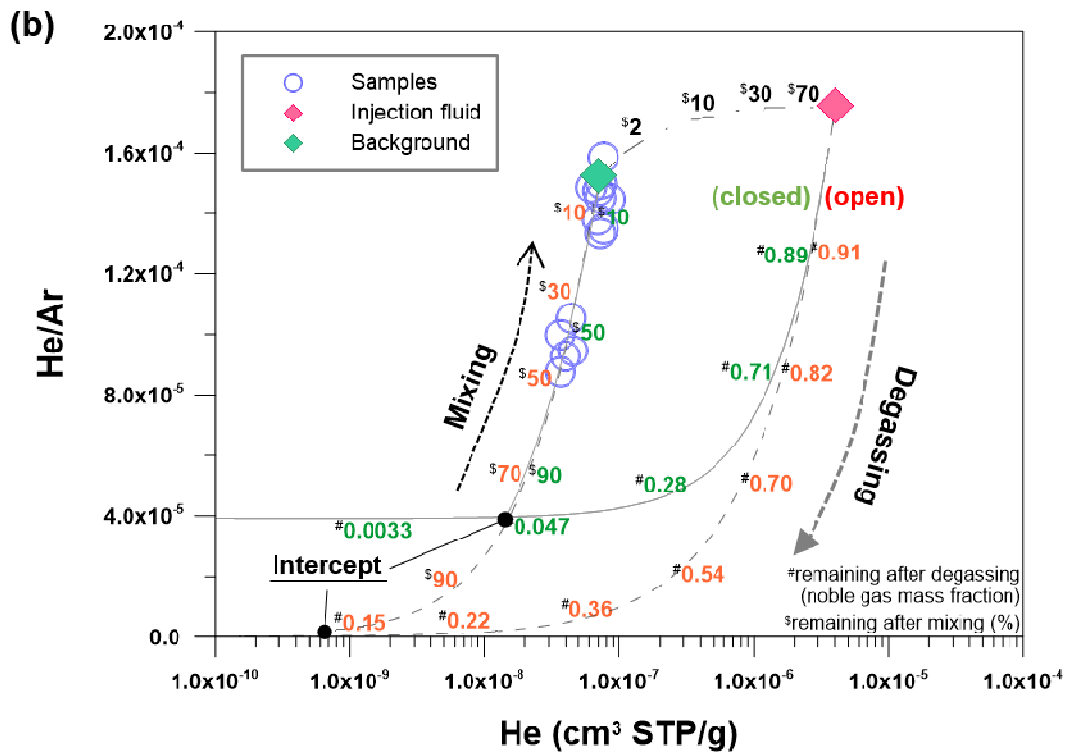
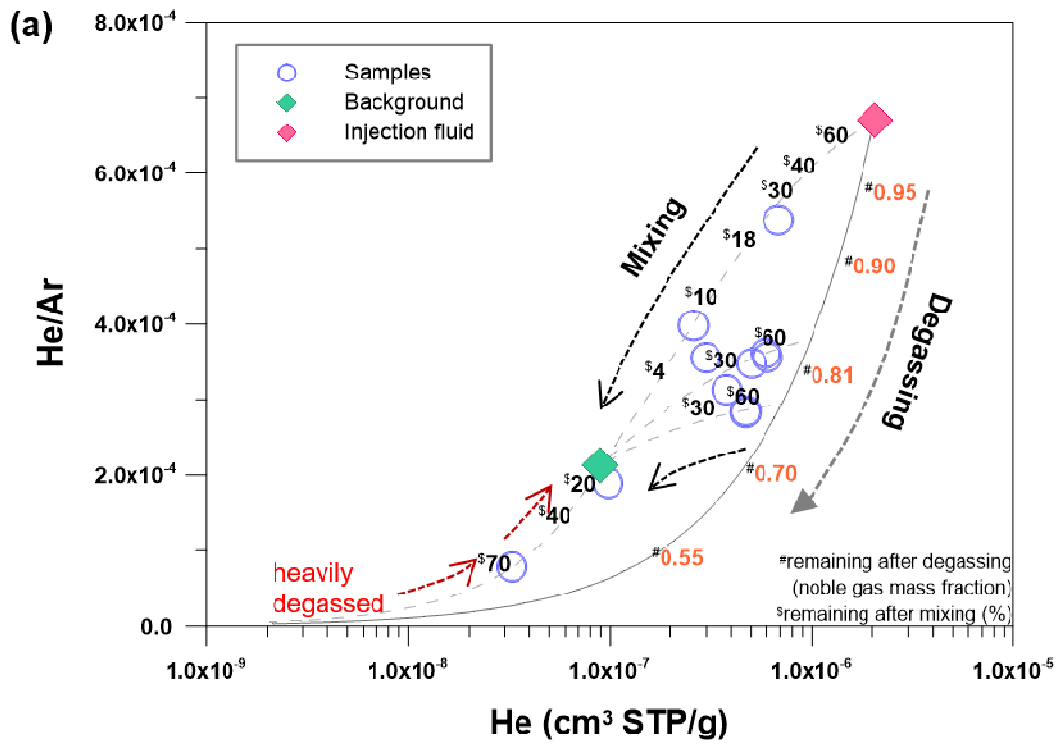
288

289 **Figure 5.** Mass recovery curves of the injected tracers (a) from the SWTT and (b) from the IWTT. Each
 290 Y-axis displays the recovery ratio of tracers recorded above the background level. The recovery rate of
 291 inert gas tracers was relative to their solubility for groundwater in an air-water system, indicating that
 292 solubility-controlled processes were involved in the fate of the leaked plume.

293 A total of 1,000 L of groundwater containing $4.02 \times 10^{-6} \text{ cm}^3$ STP/g of He, $2.29 \times 10^{-2} \text{ cm}^3$
294 STP/g of Ar, $1.05 \times 10^{-5} \text{ cm}^3$ STP/g of Kr, and 5.87 ppt of salt was injected into the shallow aquifer. The
295 mass recovery rate of the IWTT was much lower than that of the SWTT. In particular, the difference
296 between the non-partitioning tracer (i.e., salt) and the partitioning tracers (i.e., noble gas tracers) in terms
297 of mass recovery was much greater than that of the SWTT, in which the mass recoveries of He, Ar, Kr,
298 and salt were 0.0135%, 0.0137%, 0.0602%, and 75.0%, respectively. The non-partitioning tracer flows
299 along the groundwater flow paths, and only a portion of the tracer mass is not captured in the recovery
300 well because of dispersion or density-effect (Kim et al., 2018). In contrast, the partitioning tracers can
301 easily escape from the flow paths, owing to their high diffusivity and partitioning behavior (Carrigan et al.,
302 1996; Heilweil et al., 2004), resulting in a significant decrease in the mass recovery (see next section).
303 Specifically, the extremely low level of recovery for the noble gas tracers, which was three orders of
304 magnitude lower than that of salt, seems to be attributable to several factors related to the design of the
305 experiment: 1) the brine had been charged with insoluble tracers for several hours; therefore, it is possible
306 that the total gas pressure of injected water increased beyond $P_{\text{ATM}} + P_{\text{H}_2\text{O}}$ at the injection point, resulting
307 in active bubble growth; 2) the pumping action at the extraction well (i.e., SMW 2-3) possibly induced a
308 pressure drop, accelerating the bubble growth; 3) the upward movement of the injected plume toward the
309 water table through the permeable materials of the borehole resulted in a re-equilibrium of the high P
310 plume (i.e. gas-charged water) with the atmosphere. Istok et al. (2006) highlighted the degassing
311 mechanism of a high P plume through borehole materials in detail. The mass recovery ratios of the
312 partitioning tracers, however, were clearly in the order of their solubilities for groundwater (Table A.1 in
313 Appendix A). Therefore, solubility-controlled processes influenced the fate of the gas-charged plumes
314 during the IWTT (Ballentine et al., 2002).

315 **3.1.2 Fate of the leaked plume**

316 In the mass recovery curves, the recovery ratios of the noble gas tracers followed the order of the
317 tracers' solubilities in groundwater, suggesting that solubility-controlled processes influenced the
318 migration of the leaked plume. The Rayleigh distillation equation was used to explain the influence of the
319 solubility-controlled processes on the fate of the leaked plume (Ballentine et al., 2002). The equations for
320 estimating the degassing process are listed in Appendix A, where the partitioning coefficients in pure
321 water system were adopted for the calculation (Table A.1). A continuous degassing of the He and Ar
322 tracers is apparent, as shown in Figure 6 (i.e., the gray arrow). Without the degassing loss, the tracers
323 were expected to follow the mixing line between the injection and background concentration (i.e., the
324 dashed line for Figure 6a and dash-dot line for Figure 6b). However, once the degassing process starts, the
325 noble gas composition of the dissolved plume fractionates, because less soluble and lighter elements are
326 preferentially lost into the gas phase at the gas/water interfaces than those that are heavier (Ballentine et
327 al., 2002). As shown in Figure 6, the He/Ar changed relative to the tracer's solubility, following the
328 degassing line (i.e., gray arrow). During the SWTT, the noble gas distribution was defined by the two
329 physical processes such as the degassing and mixing processes. The two processes could not be explained
330 separately, as the concentration change arose from both mechanisms occurring at the same time (Figure
331 6a). This seemed to be attributable to the experimental procedure of the SWTT, in which the three-step
332 process (push-drift-pull) caused multiple stress periods (e.g. pressure instability/vertical movement) that
333 caused the dissolved plume to irregularly degas as the local groundwater diluted the multi-phase plume.



335 **Figure 6.** (a) Noble gas concentration anomaly of the SWTT. The injected tracer changed with both the
336 degassing and mixing processes. The two processes occurred concurrently and could not be explained
337 separately. The heavily degassed sample spent the longest time in the groundwater system, showing the
338 bottom upward recovery toward background levels. (b) Noble gas concentration anomaly of the IWTT.
339 The degassing process first occurred and was followed by a mixing process. The mass retention and loss
340 of the tracer gases can be evaluated in either an open system (orange colored) or a closed system (green
341 colored) at the intercept (see the text for details).

342 Two distinctive models were employed to explain the mass retention and the loss of injected
343 tracers during the IWTT (Ballentine et al., 2002; Gilfillan et al., 2008; Ma et al., 2009). The first is the
344 degassing of tracers in a closed system, in which air bubbles are retained and trapped in a groundwater
345 system following the degassing process. In a closed system, equilibrium is achieved between the gas
346 phase and the dissolved phase in the groundwater at the end of the degassing process. The second is
347 phase-partitioning in an open system, in which the degassing process results in a mass reduction in the
348 total mass. Consequently, air bubbles, having mobility, are lost into the atmosphere following the phase-
349 partitioning. In an open system, the air mass is lost into the atmosphere stepwise until the end of the
350 degassing process. Both of these extreme cases were modeled and elucidated for the fate of injected fluid
351 during the IWTT (Appendix A). In Figure 6b, phase-partitioning in the closed system is denoted by a gray
352 line and that in the open system is depicted by a gray dotted line.

353 The two processes (i.e., degassing and mixing) were separated in the time domain during the
354 IWTT, in which the degassing process occurred first and was followed by the mixing process, unlike in
355 the SWTT. The separation of the two processes gave us an opportunity to quantify the degassed amount
356 (Zhou et al., 2005). At the intercept, the gas/water ratio was 2.44 for the closed system and 0.162 for the
357 open system. As the shallow groundwater cannot hold such a large amount of gas (gas/water = 2.44) in
358 the system, the environment behaved like an open system during the degassing process. Then, the gas

359 volume liberated from water was constrained at the intercept of the open system and reached 0.162×10^6
360 cm^3 (Figure 6b) (Appendix A). This amount corresponds to the residual Ar of $3.61 \times 10^{-4} \text{ cm}^3 \text{ STP/g}$ in
361 groundwater that is already close to the ASW level ($3.60 \times 10^{-4} \text{ cm}^3 \text{ STP/g}$) in an aquifer system,
362 suggesting the injected plume depressurized and stabilized in the local system even before interacting
363 with local groundwater.

364 **4 Discussion**

365 Noble gas tracers were employed for two injection tests to explain the physical processes
366 involved in the migration of a leaked plume, i.e., gas-charged water, in a shallow aquifer system. During
367 the tests, the fate of the leaked plume was first defined by a solubility-controlled process (i.e., degassing),
368 which was followed by a volumetric mixing process. This result is consistent with observations from
369 another artificial injection test (Ju et al., 2019), which revealed that the noble gas anomaly in a CO_2 -rich
370 plume is a function of the degassing and physical mixing processes in a shallow aquifer system (see
371 Figure 11 in Ju et al., 2019). Furthermore, these are similar to the conclusions reached at a natural CO_2
372 production site (Zhou et al., 2005) that stripping loss of insoluble gases was followed by dilution by
373 diffusive mixing with the un-degassed local groundwater. These observations suggest that the solubility-
374 controlled process and the mixing process are primarily responsible for the fate of the leaked plume in the
375 shallow aquifer system.

376 **4.1 Application of a noble gas tracer to the detection of CO_2 leakage: Case study at the** 377 **Weyburn and Midale oil fields**

378 Noble gas tracers have been applied to study the fate of leaked CO_2 in a shallow aquifer system
379 (Gilfillan et al., 2011; Ju et al., 2019; Zhou et al., 2005). However, previous studies have been sparingly
380 applied to artificial injection tests and natural analogue sites. Although these works provide an insight on
381 the behavior of a leaked plume, further efforts are required to link those valuable observations to an actual

382 CCS site. Specifically, we need to know what we would observe if the free-phase CO₂ accidentally reached
383 the shallow aquifer. This can be demonstrated by simulating the hypothetical leakage on an actual CCS
384 site based on our observations from the two distinct artificial injection tests and other valuable previous
385 studies. To understand the fate of CO₂ under hypothetical leakage conditions, the monitoring data from
386 the Weyburn storage site was incorporated in the leakage simulation.

387 The Weyburn Midale oil field is a CO₂-EOR and CO₂ storage site, where a large amount of CO₂
388 has been introduced into a deep reservoir (Gilfillan et al., 2017). Recently, this site received public
389 attention as CO₂ leakage allegations implying that anthropogenic CO₂ leaked from the deep reservoir into
390 a nearby shallow aquifer on private land were made. At this site, Gilfillan et al. (2017) used the inherent
391 noble gas tracers in the different fluids associated with the site to judge whether upward migration had
392 occurred from the deep reservoir into the shallow aquifer. Based on the results, the leakage allegation (i.e.,
393 upward migration of CO₂) were proved false, as the observed concentration of noble gas tracers simply
394 did not follow the composition of the reservoir CO₂ carrying the high amount of radiogenic ⁴He (see
395 Table 1 for the composition of two reservoirs).

396 Though this case study proved the usefulness of a noble gas tracer, we have expanded this study
397 in a more quantitative way to discuss what we would actually observe if free-phase CO₂ leaked into a
398 shallow aquifer. Repeated simulations were made based on various analytical models to understand how
399 we can use a noble gas tracer as a proxy to understand the fate of free-phase CO₂ that has leaked from a
400 deep reservoir into a shallow aquifer system.

401 **Table 1** Noble gas composition in shallow groundwater and in a CO₂ plume. The noble gas distribution of
 402 dissolved CO₂ was calculated based on the composition of gas produced from the Weyburn storage
 403 reservoir. Note that the composition of the shallow aquifer is close to that of the air saturated water
 404 (ASW).

	⁴ He (×10 ⁻⁸)	Ne (×10 ⁻⁷)	²⁰ Ne (×10 ⁻⁷)	⁴⁰ Ar (×10 ⁻⁴)	³⁶ Ar (×10 ⁻⁶)	⁸⁴ Kr (×10 ⁻⁸)
Dissolved	763	0.084	0.076	0.724	0.138	1.440
CO ₂ , C ₀ [†]	1831	0.135	0.123	0.986	0.186	0.344
(std dev.) [‡]	58.2	32.9	33.1	21.7	21.0	86.9
Shallow	5.84	1.90	1.73	3.04	1.03	4.92
Aquifer						
(std dev.) [‡]	29.1	18.5	18.3	9.24	8.96	11.2
ASW [¶]	4.25	1.81	1.64	3.25	1.10	4.29

405 All the measured data were from Gilfillan et al. (2017) in cm³/g.

406 [†]noble gas contents in dissolved CO₂ prior to stripping loss calculated assuming 100% dissolution of the
 407 free-phase CO₂ at the P and T conditions of shallow groundwater; temperature of 14.3°C, salinity of 0.02
 408 g/kg, and pressure of 0.101 MPa. The high level of ⁴He in the dissolved CO₂ is attributed to an interaction
 409 between the reservoir gas and crustal sources. The depletion of the other noble gases is due to an
 410 interaction between the reservoir gas and artificially injected CO₂.

411 [‡]1 sigma value relative to the average (%).

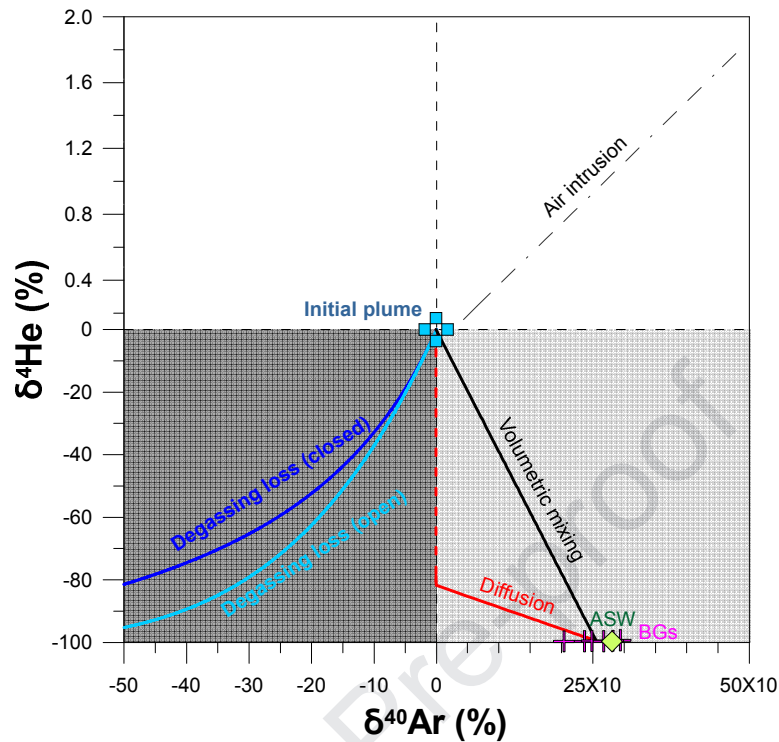
412 [§]average concentration of noble gases in the shallow groundwater samples.

413 [¶]Air saturated water (ASW) concentration was calculated assuming a temperature of 14.3°C, salinity of
 414 0.02 g/kg, and altitude of 580 m.

415 **4.1.1 Fate of free-phase CO₂ in the shallow aquifer**

416 Previous studies have revealed that diverse factors control the noble gas composition of captured
417 CO₂ once it is injected into the subsurface, such as the initial capture process, degassing, dissolution,
418 diffusion, adsorption, CO₂ density, and reservoir interactions (e.g., with atmospheric, crustal, and mantle
419 sources) (Flude et al., 2016; Gilfillan et al., 2011; Holland and Gilfillan, 2013; Kilgallon et al., 2018;
420 Warr et al., 2015; Wilkinson et al., 2010; Zhou et al., 2005). Furthermore, a recent artificial release test
421 demonstrated the behavior of leaked CO₂ in a shallow aquifer, which was defined by degassing and
422 mixing processes based on noble gas tracers (Ju et al., 2019). From the insights of previous works, we
423 will discuss how these processes are involved in the fate of free-phase CO₂ in a shallow aquifer system
424 based on the behavior of noble gas tracer.

425 The free-phase CO₂ that leaks from a deep reservoir into a shallow aquifer is rich in ⁴He from
426 previous interactions with a deep crustal source but is low in other elements from the initial industrial
427 capture process (Table 1). Following leakage, free-phase CO₂ forms a dissolved plume in a shallow
428 aquifer, and the contents of the plume naturally follow those of the free-phase CO₂. The concentration of
429 the CO₂ plume (i.e., the blue cross symbol) will change in the groundwater system depending on various
430 physical interactions, which are depicted using the ⁴He and ⁴⁰Ar tracers in Figure 7. A detailed description
431 of the theoretical calculations can be found in Appendix A. One of most noticeable processes controlling
432 the fate of this plume is a volumetric mixing process (Gilfillan et al., 2011; Ju et al., 2019) (see also
433 Figure 6) occurring as the CO₂ plume moves through porous media with the local groundwater. While
434 being gradually mixed with the local groundwater, the initial plume becomes depleted in ⁴He and
435 enriched in ⁴⁰Ar (i.e., the black line). The noble gas anomaly in this process is dependent on the
436 compositional difference between the initial plume and local groundwater, and their mixing fractions,
437 whereby ⁴He could vary by up to -99.6% and ⁴⁰Ar could vary by up to 256% (i.e., the light gray zone).



438

439 **Figure 7.** Percent change in the noble gas concentration (i.e., $[NG - NG_i] / NG_i \times 100$) from the initial
 440 composition (NG_i) under various physical processes. The composition of the initial plume was calculated
 441 assuming 100% dissolution of free-phase CO_2 in the shallow aquifer (see Table 1). BGs (i.e., pink cross
 442 symbols) present the concentrations of shallow aquifer samples, and ASW is the air saturated water
 443 concentration under local groundwater conditions (i.e., green diamond; see Table 1). In the light gray
 444 zone, the mass distribution is controlled predominantly by mixing processes. Volumetric mixing occurs as
 445 the CO_2 plume moves through a porous medium with the local groundwater (i.e., the black line)
 446 (Appendix A). The diffusion process occurs along a concentration gradient, for example, at a plume
 447 boundary or water table (i.e. the red line) and is depicted with diffusion coefficients from Jähne et al.
 448 (1987) and Wise and Houghton (1966) (Eq. A.4 in Appendix A). It only occurs at $t > 4\pi D$, theoretically
 449 (i.e., the dotted line before threshold) (Fetter, 1999). The dark gray zone indicates a large contribution of a
 450 solubility-controlled process to the fate of the CO_2 plume. The degassing process occurs during the initial

451 period of a leakage and continues until the plume is stabilized in the relatively low pressure condition of
452 the shallow aquifer (i.e., the blue and light blue lines) modeled using partitioning coefficients from the
453 NIST chemistry webbook of Sander (2017) (Appendix A). Explanations for each degassing system (i.e.,
454 closed and open) can be found in the text. The air intrusion line depicts the data contamination due to the
455 addition of atmospheric air into groundwater during the sampling procedure (i.e., the black dotted line).
456 All the measured data were from Gilfillan et al. (2017).

457 Diffusive movement occurs along the concentration gradient under Fick's second law and would
458 be observable at the plume boundary where the CO₂ plume meets the local groundwater. This also occurs
459 at the water table, when the plume makes contact with the atmosphere. Therefore, in these places (i.e.,
460 plume boundary and water table), the composition of the noble gas will be differentiated from that of the
461 plume center (i.e., the red line in Figure 7). The maximum change (%) is, however, consistent with the
462 volumetric mixing process, as this process is also based on the concentration difference between the CO₂
463 plume and local groundwater. The diffusion process affects the fate of dissolved gas plume at a natural
464 gas production site (Zhou et al., 2005). With the gas production, there was an intensive stripping loss of
465 insoluble noble gases, which resulted in a steep concentration gradient at a contact boundary where noble
466 gas tracers diffusively spread along a concentration gradient. In a fractured aquifer, this process can result
467 in significant loss of insoluble noble gases into immobile zones because of a concentration gradient
468 between the mobile (i.e. fractures) and immobile zones, and therefore, a delay of lighter elements
469 observable at monitoring points (Carrigan et al., 1996; Sanford et al., 1996). Moreover, this behavior was
470 minutely demonstrated in a numerical simulation following a detailed laboratory experiment (Kilgallon et
471 al., 2018), in which the arrival time of the noble gas was determined predominantly by the diffusion
472 process while migrating in a gas phase CO₂.

473 In contrast, a solubility-controlled process leads to a depletion of all tracers (i.e., the dark gray
474 zone in Figure 7). This process is possibly observable at the initial stage of leakage when the CO₂ plume

475 is unstable owing to the high partial pressure of inherent gases (Figure 1). The initial plume would degas
476 the CO₂ rapidly until it stabilized in the lower pressure of the shallow groundwater, as shown in the IWTT
477 (Figure 6b). During this process, the free CO₂ carries the insoluble gases from the dissolved phase and
478 stays in the surrounding environment, resulting in a gas–water equilibrium (closed) or CO₂ bubbles of
479 infinitesimally small size escaping from the groundwater continuously until the end of degassing process
480 (open) (Ballentine et al., 2002; Gilfillan et al., 2008; Ma et al., 2009). This process results in the noble
481 gases fractionate continuously until the plume is stabilized at local conditions of P, T, and CO₂-density in
482 shallow groundwater. It is evident that the lighter ⁴He tends to be more depleted compared to the heavier
483 ⁴⁰Ar, and this trend appears more significant in the open system than in the closed system (dark gray
484 zone). The solubility-controlled process is a major mechanism controlling the fate of a CO₂-rich plume,
485 and has been observed in a natural analogue site of CO₂ leakage (Zhou et al., 2005) and an artificial CO₂
486 injection experiment (Ju et al., 2019), in addition to the current experiments.

487 The adsorption process is known to result in a relative enrichment of heavy noble gases (i.e. Kr
488 and Xe) in terrigenous sediments (Fanale and Cannon, 1971), and this phenomenon is particularly
489 noticeable in a system that includes organic rich units such as shale formation (Podosek et al., 1981).
490 Should groundwater or free-phase CO₂ makes contact with such an organic rich formation, it will become
491 enriched in heavier species (i.e., Kr and Xe) from the ASW composition, reflecting the release of
492 sedimentary noble gases (i.e., heavier species) from the organic source kerogens (Torgersen et al., 2004).
493 The action of this process has been demonstrated in organic rich sediments, where the distribution of the
494 heavier species can be distinguished from those of a normal oil-water system (Torgersen and Kennedy,
495 1999) and gas-water system (Ma et al., 2009). Unfortunately, proper coefficients had not been provided
496 for this mechanism (Holland and Gilfillan, 2013; Myers et al., 2013; Flude et al., 2016), and inevitably,
497 an analytical calculation was not made for this process. However, groundwater that makes contact with
498 organic matter evidently becomes enriched in heavier noble gases (i.e. Kr and Xe); therefore, samples

499 affected by this process should be carefully treated, and lighter species (i.e. He, Ne and Ar) should be
500 adopted for the other quantitative evaluations.

501 The air intrusion line depicts data contamination due to the addition of atmospheric air into
502 groundwater during the sampling process. The effects of air contamination are very different from the
503 other processes, as air contamination results in positive changes for both elements (i.e., the right-side of
504 the white zone in Figure 7). This suggests that we can possibly distinguish and separate this erroneous
505 behavior for quantitative evaluations.

506 **4.1.2 Monitoring efficiency of noble gas tracers**

507 As noble gas analysis is time-intensive, people who want to use these tracers for monitoring CO₂
508 leakage will naturally wonder which tracer is most efficient. It seems evident that the tracer showing the
509 largest compositional difference between the free-phase CO₂ and shallow system would be the most
510 efficient for tracing CO₂ leakage in a shallow aquifer (Flude et al., 2016). In that regard, the tracer with
511 the largest difference in concentration between the C₀ (i.e., dissolved CO₂ from Table 1) and BG (i.e.,
512 shallow aquifer from Table 1) can easily detect CO₂ in the monitoring system. In addition to the
513 compositional difference, the natural variation is another factor controlling the monitoring efficiency. In
514 terms of leakage monitoring, the natural variation of monitoring parameters can dilute the leakage signal
515 and deteriorate the monitoring efficiency. For this complicated issue, Risk et al. (2015) defined a
516 minimum threshold value for each monitoring parameter to confirm CO₂ leakage safely. According to
517 previous research, a “leakage” could be detected safely when signals of a monitoring parameter increase
518 over the three sigma value of the natural variation of the parameter. In Table 2, the threshold values (3σ)
519 are listed for each tracer.

520 **Table 2** Conditions for detecting the CO₂ leakage in a monitoring system. A large difference in noble gas
521 composition between the C₀ (i.e., dissolved CO₂ from Table 1) and the BG (i.e., shallow aquifer from

522 Table 1) indicates a high monitoring efficiency. The CO₂ leakage will be recorded in a monitoring system
 523 for the first time when the concentration starts to increase/decrease over the 3σ value, which was
 524 calculated for each element (upper section). Each cell in bottom section indicates the minimum proportion
 525 of CO₂ plume arriving at a monitoring system needed to overcome the detection threshold (i.e., the value
 526 corresponding to the 3σ value in Figure 8) calculated for various cases of degassing loss. Note that the
 527 large difference in ⁴He content between the C₀ and BG will generate a huge signal that will be larger than
 528 the 3σ value with a small portion of CO₂ arriving at a monitoring point. Therefore, a high monitoring
 529 efficiency will be achieved.

	⁴ He	²⁰ Ne	⁴⁰ Ar	³⁶ Ar	⁸⁴ Kr	⁴ He/ ⁴⁰ Ar	⁸⁴ Kr/ ²⁰ Ne
	(×10 ⁻⁸ cm ³ /g)	(×10 ⁻⁷ cm ³ /g)	(×10 ⁻⁴ cm ³ /g)	(×10 ⁻⁶ cm ³ /g)	(×10 ⁻⁸ cm ³ /g)	(×10 ⁻³)	-
C ₀ -BG [†]	1292	1.63	2.19	0.865	4.03	152	0.601
3σ of BG [‡]	5.09	0.95	0.84	0.276	1.65	0.123	0.210
Degassing Amount [¶]	----- mixing % with CO ₂ plume [§] -----						
0	0.394	58.4	38.6	31.9	41.0	0.00288	5.64
1.8	1.14	56.4	35.6	30.7	40.0	0.00823	5.18
4.5	5.78	55.4	32.8	29.4	38.7	0.04	5.57
7.2	37.4	55.1	31.1	28.6	37.7	0.198	6.44

530 All the measured data were from Gilfillan et al. (2017).

531 [†] difference in noble gas concentration between the initial CO₂ plume (C₀) and the shallow groundwater
 532 (BG). Note that a large difference indicates a high monitoring efficiency.

533 [‡] 3 standard deviation of shallow groundwater samples, presenting the natural variability.

534 [¶] degassed amount from a CO₂ plume in an open system in units of ×10⁻⁷ cm³ STP/g.

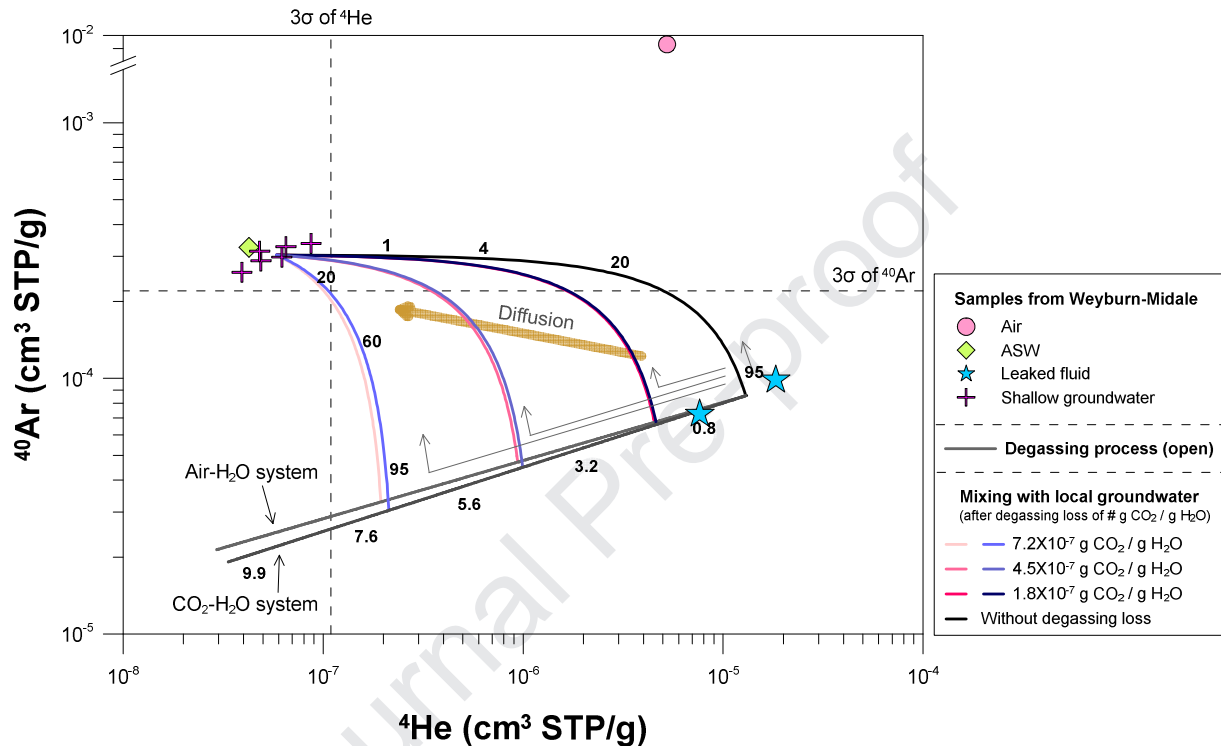
535 §At least n% of the CO₂ plume should arrive at the monitoring system to override the detection threshold
536 (i.e., the 3σ value) and so that CO₂ leakage can be reported. This concept was introduced by Risk et al.
537 (2015).

538 **4.1.2.1 Physical processes affecting the monitoring efficiency**

539 As noble gas distribution is related to physical interactions in the CO₂ leakage condition (see
540 section 4.1.1. Fate of free-phase CO₂ in shallow aquifer), the monitoring efficiency of noble gas tracers is
541 also dependent on physical processes. When CO₂ leakage occurs in a shallow aquifer, one can first
542 observe the front of the CO₂ plume at a monitoring well as it gradually approaches the monitoring areas.
543 In this case, the “first signal” of the noble gas parameters will be variable, depending on the nature and
544 magnitude of the physical processes controlling the evolution of the CO₂-rich plume. The evolutionary
545 path of the CO₂ plume was depicted using noble gas tracers under physical processes (Figure 8), and was
546 based on observations made from two iterations of artificial injection tests and previous studies (Gilfillan
547 et al., 2011; Ju et al., 2019; Sanford et al., 1996; Zhou et al., 2005). In this scenario, the initial CO₂ plume
548 is unstable, owing to the high partial pressure of CO₂ in the shallow aquifer (Figure 1). Therefore, it
549 undergoes a degassing process rapidly until it stabilizes at the shallow aquifer conditions of P, T, and
550 CO₂-density. Then, the remaining mass (i.e. dissolved phases) undergoes a mixing process as the plume
551 moves through the porous medium with the local groundwater toward the observation wells (Figure 1) (Ju
552 et al., 2019).

553 From a monitoring point of view, the concentrations of the noble gases will start to deviate
554 gradually from the composition of the air saturated water (ASW) (i.e. green diamond) as the plume
555 approaches an observation point and, at some point, they will vary over the threshold (i.e., the 3σ line),
556 confirming CO₂ leakage occurrence (Figure 8). Information about the first detection points (i.e., the
557 minimum mixing proportion of the CO₂ plume needed to override the detection limit and the composition

558 of the noble gas tracer at this point) is listed in Table 2. For example, we can see the first arrival of ^4He
 559 when the CO_2 plume (1.14%) approaches a monitoring well, which initially loses a CO_2 mass of 1.8×10^{-5}
 560 $\text{CO}_2 \text{ cm}^3 \text{ STP/g H}_2\text{O}$ during the degassing event.



561
 562 **Figure 8.** Evolution of a CO_2 plume under physical processes in terms of noble gas concentration. All the
 563 measured data and hypothetical values (i.e. leaked fluid) were from Gilfillan et al. (2017). In this scenario,
 564 the initial plume is unstable and, therefore, undergoes the degassing process rapidly until stabilization is
 565 achieved in the shallow groundwater system (i.e., gray lines). Then, the degassed plume undergoes a
 566 dilution process by mixing with local groundwater (i.e., blue or pink lines). Due to the diffusion process,
 567 the points can deviate from the degassing-mixing trend and converge toward a natural background level
 568 as diluted (i.e., brown arrow), and action of this process can be prominent at the plume boundary or water
 569 table as facing a concentration gradient. From a monitoring point of view, the observed concentration will
 570 gradually evolve from the Air Saturated Water level (ASW; i.e. green diamond; see Table 1) as the CO_2

571 plume approaches a monitoring point, and one can eventually notice the CO₂ leakage occurrence when
572 signals override the detection threshold, 3 σ value. Note that the degassing process was depicted in both
573 the air–H₂O system and CO₂–H₂O system (see the text for the definition of both systems). The theoretical
574 air–H₂O system is gradually getting apart from the real CO₂–H₂O system, as degassed mass increases (see
575 the gray lines).

576 In most cases, the ⁴He species and their various combinations with other species showed high
577 monitoring efficiencies than the other heavier species, which was attributed to the large amount of ⁴He in
578 the CO₂ plume. However, it should be noted that the efficiency of one tracer is fairly dependent on the
579 action of the physical processes in plume migration. For example, if the CO₂ plume lost more than $7.2 \times$
580 10^{-5} CO₂ cm³ STP/g H₂O during the degassing process, then ³⁶Ar and ⁴⁰Ar will be ahead of ⁴He in the
581 monitoring well (Figure 8). Furthermore, if the diffusion process is dominant at the plume front, ⁴He can
582 be delayed behind other heavier species in the monitoring system because of its fast dilution along the
583 concentration gradient, which has been demonstrated in other artificial injection tests (Carrigan et al.,
584 1996; Sanford et al., 1996). Therefore, the detection efficiency in terms of its role as an early warning for
585 CO₂ leakage is dependent only on the leakage conditions, and it cannot be said that any single tracer is
586 superior to others.

587 **4.1.2.2 Influence of density of CO₂ on the monitoring efficiency**

588 Free-phase CO₂ forms a CO₂-rich plume in a shallow aquifer after a leakage event. The
589 partitioning behavior of noble gas is different in pure water and in CO₂-rich plume owing to an increase in
590 the molecular interactions inside a plume with a high CO₂ density (Warr et al., 2015). Until now, the air–
591 H₂O partitioning coefficient has been adopted for describing the distribution of noble gas tracers in a CO-
592 rich system instead of the CO₂–H₂O partitioning coefficient, owing to an absence of previous studies
593 (Gilfillan et al., 2008; LaForce et al. 2014; Zhang et al. 2011). This situation caused uncertainty and errors

594 in quantitative calculations. For example, Zhou et al. (2012) could not determine a clear reason for
 595 mismatches of heavier noble gases to the analytical model, i.e., whether it was caused by the absence of a
 596 proper coefficient (i.e., the CO₂-H₂O partitioning coefficient) or by the influence of another physical
 597 process (i.e., sedimentary excess Kr; see Figure 12 in that paper). Then, Warr et al. (2015) pointed out the
 598 problem; specifically, the partitioning behavior of a noble gas tracer in a CO₂-rich system differs
 599 remarkably from that in an air-H₂O water system.

600 Under CO₂ saturation conditions, i.e., 3.97 kg/m³ (see Table A.1), the partitioning ratio of ⁴He
 601 species to CO₂ water increased by 2.26%, but those of others decreased, e.g., by up to -7.32% for ⁴⁰Ar and
 602 -23.05% for ⁸⁴Kr (Table 3). Figure 9 shows the percent change in noble gas concentration with a
 603 solubility-controlled process both in an air-H₂O system (pink-colored) and in a CO₂-H₂O system (blue-
 604 colored). The CO₂-rich plume will have a lower amount of heavier isotopes compared with that in the air-
 605 H₂O system, owing to the difference in water/gas partitioning coefficients between an air-H₂O system and
 606 CO₂-H₂O system (see the definition of δ partitioning in Table 3). This trend may affect the quantitative
 607 evaluation of the fate of CO₂ (Table 3). However, this effect looks relatively minor in this specific case,
 608 owing to the wide distribution of initial values (i.e., blue stars), which cancels out this trend (Figure 8).

609 **Table 3** Percent differences between water/gas partitioning coefficients of noble gases (top) and percent
 610 differences between noble gas concentrations in a CO₂-H₂O system and an air-H₂O system (below). The
 611 latter was calculated for various degassing events.

	⁴ He	⁴⁰ Ar	⁸⁴ Kr
δ partitioning †	2.26	-7.32	-23.05
Degassing amount ‡	0	0	0
	1.8	2.83	-2.09
			-3.94

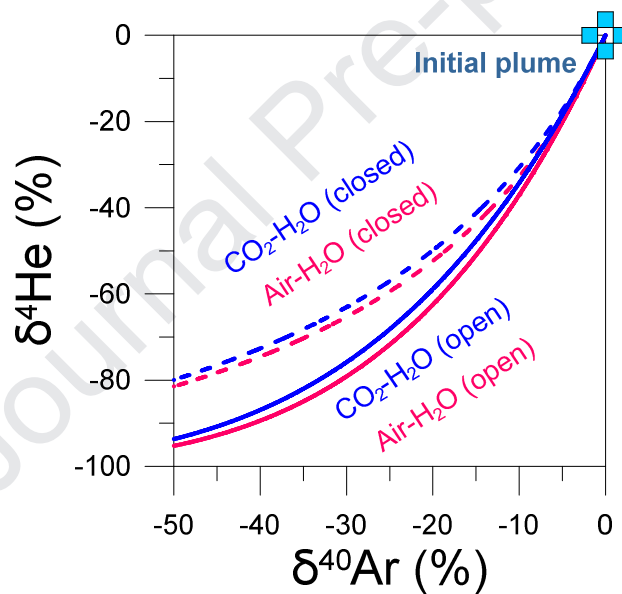
4.5	7.21	-5.15	-9.57
7.2	11.79	-8.12	-14.86

612 [†]percent difference of water/gas partitioning coefficient of noble gases (unit: mM/atm) between the two
 613 systems, $(k_{i,CO_2-H_2O} - k_{i,air-H_2O})/k_{i,air-H_2O} \times 100$. Partitioning coefficients can be found in Table
 614 A.1 of Appendix A.

615 [‡]total degassed mass in an open system (unit: $\times 10^{-7}$ cm³ STP/g).

616 [¶]percent difference of concentration (unit: cm³ STP/g) between the two systems, $(C_{i,CO_2-H_2O} -$
 617 $C_{i,air-H_2O})/C_{i,air-H_2O} \times 100$.

618



619

620 **Figure 9.** Percent change in noble gas concentration from its initial composition in a CO₂ plume
 621 undergoing a degassing process for both air-H₂O and CO₂-H₂O systems. The air-H₂O system was
 622 depicted using partitioning coefficients from the NIST chemistry webbook of Sander (2017) (pink-
 623 colored), and the CO₂-H₂O system was based on coefficients from Warr et al. (2015) (blue) assuming a
 624 CO₂-saturated state (i.e., 3.97 CO₂ kg/m³) at a temperature of 14.3°C, altitude of 580 m, and water
 625 column of 10 m (Table A.1). The theoretical air-H₂O system will deviate from the real CO₂-H₂O system

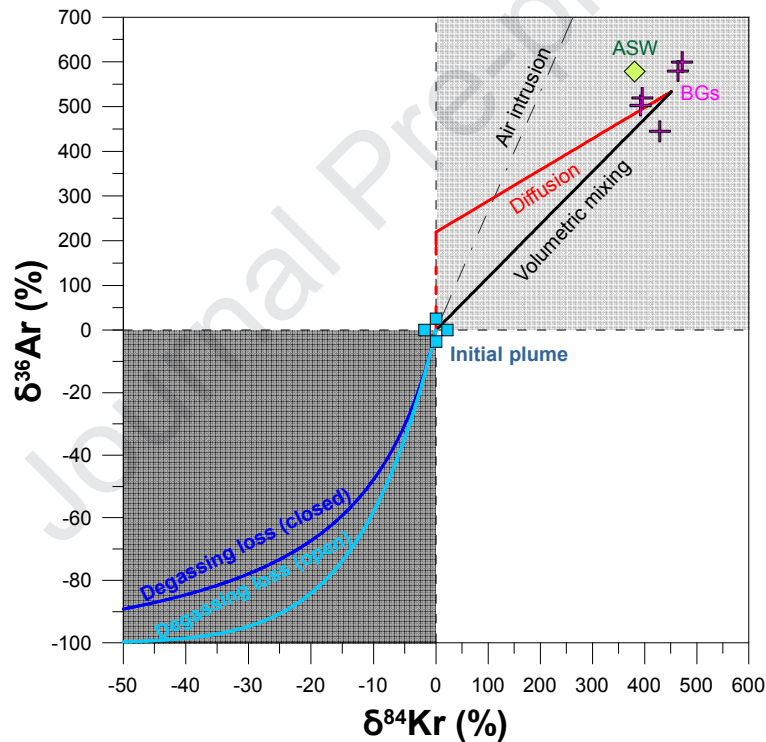
626 because molecular interactions increase with CO₂ concentration. Note that the difference between the air–
627 H₂O (pink) and CO₂–H₂O (blue-colored) systems is smaller than the difference between the closed
628 (dotted) and open (line) systems (see the text for definition of each system). The noble gas composition of
629 the initial plume was from Gilfillan et al. (2017).

630 **4.1.2.3 Optimal tracers for detecting CO₂ leakage**

631 Although the ⁴He tracer appears efficient in tracing the CO₂ leakage owing to its large
632 compositional difference between the two reservoirs (Table 2), the wide variation in ascending CO₂
633 possibly hinders the accurate quantitative estimation. At the Weyburn site, the variation in ⁴He
634 distribution was 58.2% (1σ) for CO₂ (Table 1), and this was attributed to the interaction between CO₂ and
635 crustal fluids that reside in the deep reservoir (Gilfillan et al., 2017). The scattered distribution of initial
636 values can add errors and uncertainty to the evaluation and tracing of the fate of CO₂ (Wilkinson et al.,
637 2010). This issue can be resolved by using atmospheric noble gases such as ²⁰Ne, ³⁶Ar, and ⁸⁴Kr, which
638 have been adopted as they ignore wide variations due to interactions with reservoir fluids (Ma et al.,
639 2009).

640 In Figure 10, an anomaly of atmospheric noble gas is depicted with the various physical processes,
641 where clear separation between the mixing (i.e., the light gray zone) and degassing processes (i.e., the
642 dark gray zone) implies the applicability of the tracers to constraining the physical processes. However,
643 when they are plotted together in Figure 11 to explain the evolution of the CO₂ plume, the anomalies
644 driven by the two processes (i.e., mixing and degassing) overlap each other within analytical error ranges
645 and therefore one cannot differentiate one from the other. Moreover, the wide scatters of the initial values
646 (i.e. blue stars) significantly overrode the post-anomaly of the tracers, which was contrary to the results
647 obtained in the case where ⁴He is combined with other components (see Figure 8, relative to Figure 11).
648 Other combinations of atmospheric elements also exhibited similar problems, as illustrated in

649 Supplementary Figure 1. Though atmospheric components have been demonstrated to distinguish
 650 physical processes in many studies and have proved useful (Ballentine et al., 1991; Battani et al., 2000;
 651 Ma et al., 2009; Zhou et al., 2012), in this particular case, they cannot be used to determine the physical
 652 anomalies (Figure 11 and Supplementary Figure 1). This simulation indicated that ^4He and its
 653 combinations with heavier species are the most powerful proxies for understanding the fate of a CO_2
 654 plume, as they have more distinguishable compositional changes under physical interactions than other
 655 combinations. The overall result suggests that it is mandatory to check whether the post-anomaly of a
 656 selected tracer (i.e. degassing-mixing trend) cancels out the heterogeneous distribution of reservoir gases.

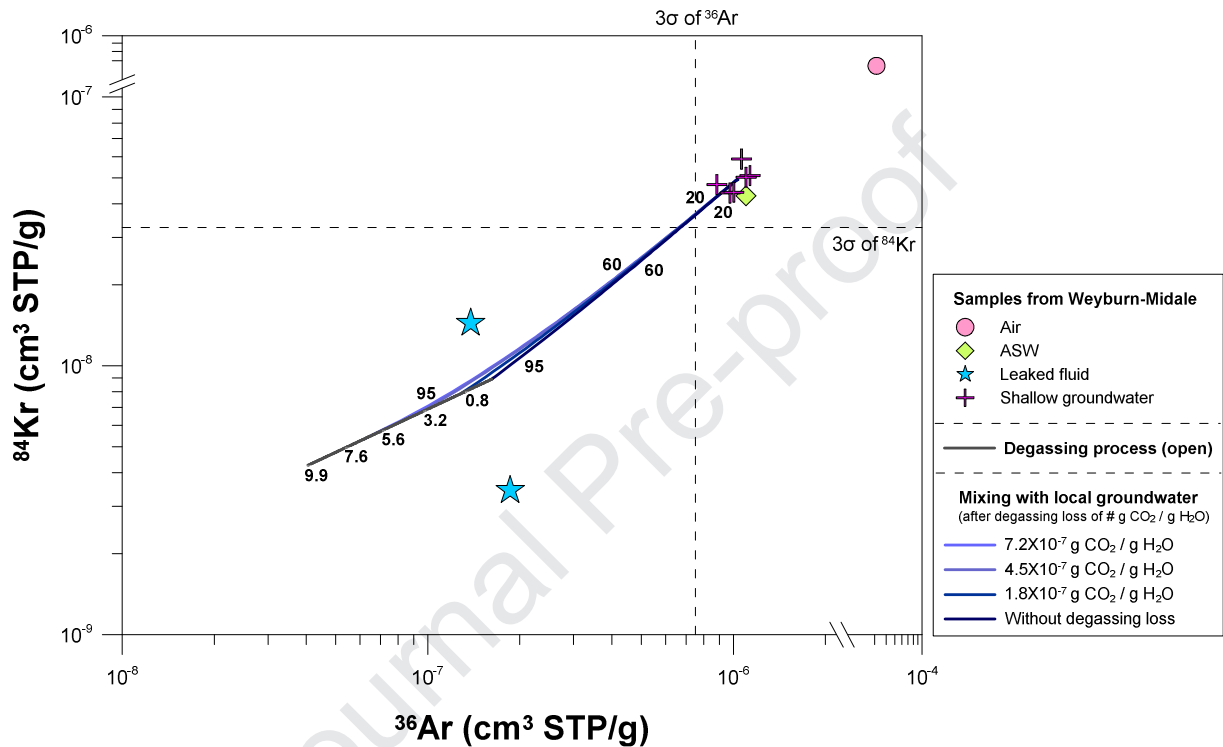


657

658 **Figure 10.** Percent change in noble gas composition in the initial CO_2 plume under various physical
 659 processes. BGs (i.e., pink cross symbols) present the concentrations of shallow aquifer samples, and ASW
 660 is the air saturated water in a local groundwater condition (i.e., green diamond; see Table 1). Note that the

661 distinction between mixing processes (i.e. the light gray zone) and solubility-controlled processes (i.e. the
 662 dark gray zone) is apparent. All the measured data were from Gilfillan et al. (2017).

663



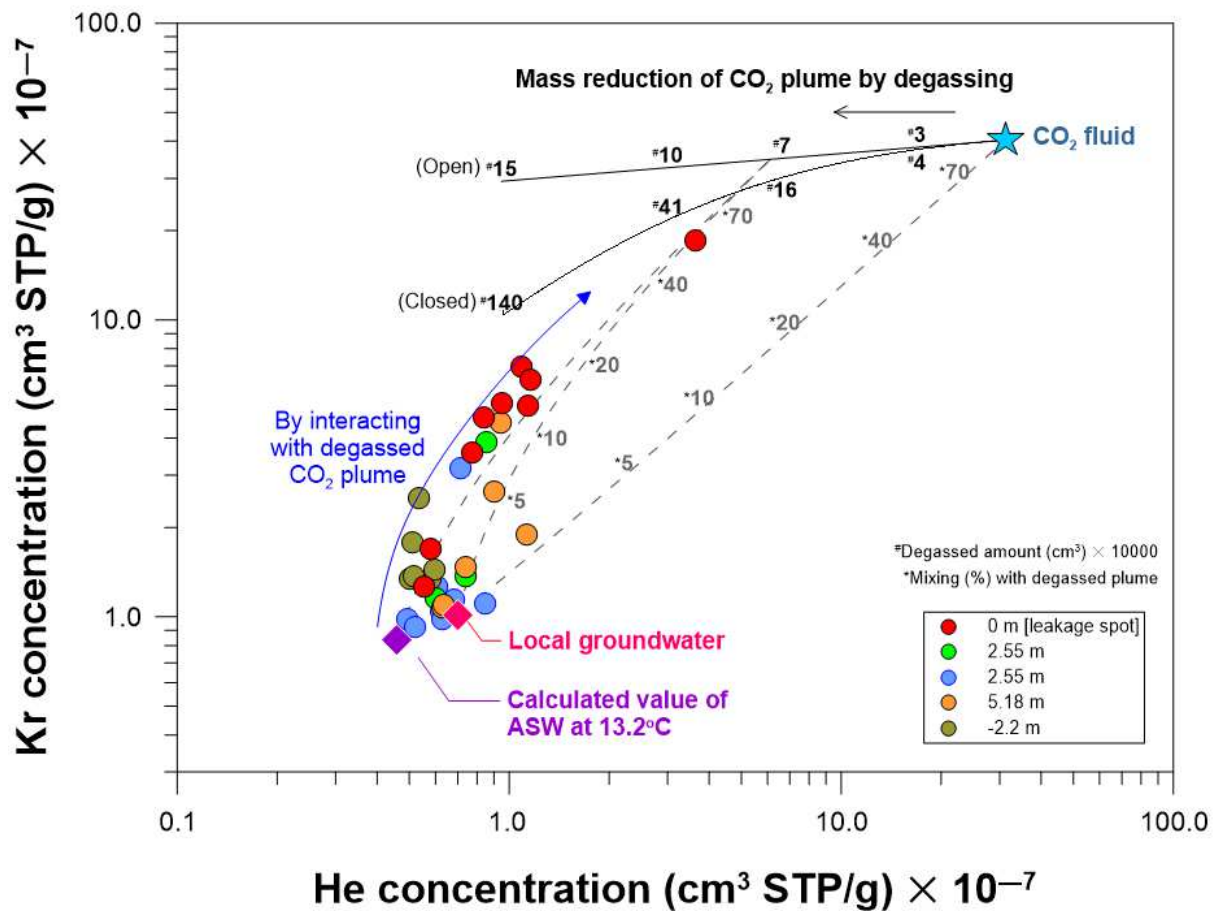
664

665 **Figure 11.** Evolution of a CO₂ plume under physical processes in terms of atmospheric noble gas
 666 concentration. All the measured data were from Gilfillan et al. (2017). In this scenario, the initial plume is
 667 unstable and, therefore, undergoes a degassing process rapidly until stabilization in a shallow
 668 groundwater system is achieved (i.e., the gray line) (see Figure 1). Then, the degassed plume undergoes a
 669 dilution process when it mixes with local groundwater (i.e., the blue lines). Note that the degassing and
 670 mixing process overlap each other and cannot be separated from the other. Furthermore, see that the wide
 671 distribution of the initial values (i.e., blue stars) cancels out the post anomaly.

672

4.2 Identifying leakage hot spots using noble gas fingerprint in an actual CO₂ leakage site

673 Accidental leakage from a deep reservoir into a shallow system can happen through various
 674 pathways, such as an injection well, an abandoned well, or natural conduits (e.g., faults or poor caprock
 675 integrity), possibly exposing CO₂ at various points in shallower groundwater. This suggests that the actual
 676 CO₂ leakage we would observe in a shallow aquifer is complicated and cannot be easily discussed with a
 677 noble gas fingerprint.



678

679 **Figure 12.** He–Kr plot after CO₂ leakage into a shallow groundwater is allowed to occur; the plot was
 680 modified from Ju et al. (2019). The samples were lying on the new dilution line of the mixing trajectory
 681 between a partially degassed CO₂ plume and a background concentration (i.e. the pink/purple diamond).
 682 In other words, the samples were a mixture of the degassed CO₂ plume and the local groundwater. Note

683 that the leakage spot (0 m; i.e., red circles) exhibited the largest variation in the mixing trajectory as the
684 CO₂ plume interacted with the degassed CO₂ plume. The other samples were collected at a certain
685 distance from the leakage point, and they exhibited a smaller variation.

686 The actual CO₂ leakage can complicate the noble gas distribution as ascending CO₂ takes various
687 paths into a shallow aquifer. However, we can still trace the CO₂ leakage by systematic and scientific
688 investigation. In the environmental forensic world, when attempting to trace a source spot in close
689 proximity, one can make use of an evolutionary pattern of a source compound (Morrison, 1999).
690 Similarly, from the artificial injection tests, we also observed an evolutionary path of a leaked plume
691 where the noble gas composition undergoes a series of modification steps owing to physical processes (i.e.
692 degassing-mixing trend in Figure 8). This specific trend also has been shown in the artificial CO₂
693 injection test in a shallow aquifer (Ju et al., 2019) and natural CO₂ production site (Zhou et al., 2005).

694 In terms of the evolutionary path, another representative result was demonstrated in Figure 12
695 following an artificial injection of CO₂ into shallow aquifer (Ju et al., 2019) In Figure 12, the monitoring
696 data is plotted on the gradual trend between the degassing-end (i.e. the intercept between black line and
697 dotted line) and the local groundwater (i.e. diamond symbols) (Ju et al., 2019). The trend indicated that
698 the degassed plume was gradually mixed with local groundwater after the solubility-controlled process
699 was swiftly finished. Here, the samples from a leakage spot (i.e., the red circles) exhibit the widest
700 variation on the mixing line, which stretches from the degassing-end. This was attributed to the
701 monitoring well, which was located at the closest point to a leakage spot and therefore efficiently
702 captured the CO₂ plume shortly after the degassing-end. There is a clear distinction between the source
703 spot (i.e. wide variation) and the other monitoring wells besides the leakage spot (i.e. narrow variation
704 around the local BG), suggesting that, with this trend, a leaking point with an actual CO₂ leakage event
705 can potentially be identified. Therefore, the evolutionary path of the leaked plume elucidated in this study
706 can be an important fingerprint when monitoring CO₂ leakage using a noble gas tracer.

707 5 Conclusions

708 Although noble gas tracers have been proven useful in characterizing the fate of CO₂ in many
709 aspects of CO₂ storage research, they have not been extensively used for monitoring CO₂, i.e., to trace
710 leaked CO₂ in shallow groundwater. Furthermore, noble gas application has been limited to the
711 monitoring of natural analogue sites and CCS pilot test sites, suggesting we still need to link previous
712 findings to an actual CO₂ leakage event. Therefore, this study evaluated the applicability of noble gas
713 tracers to monitoring actual CO₂ leakage from a deep reservoir into a shallow groundwater system.

714 First, an artificial injection test was performed in a shallow-depth aquifer system to observe and
715 verify observations from previous researches. Here, we used inert gas tracers (i.e., SF₆ and noble gases) to
716 separate and explain the physical interactions that determine the fate of the leaked plume. During the tests,
717 the fate of the leaked plume was a function of a solubility-controlled process (i.e., degassing) and a
718 mixing process. This result was consistent with those of Ju et al. (2019) and Zhou et al. (2005),
719 suggesting that the two processes are primarily responsible for the fate of the leaked plume in a shallow
720 system.

721 While the artificial injection tests identified the major interactions responsible for the fate of the
722 leaked plume, the applicability of tracers to actual leakage monitoring work at a CCS site has not been
723 explored. Therefore, repeated simulations were conducted based on data from a real CO₂ injection site
724 (the Weyburn and Midale oil fields) to predict the fate and evolutionary path of a leaked plume that we
725 would observe with actual CO₂ leakage. This simulation indicated that ⁴He and its combinations with
726 heavier species are useful monitoring parameters for evaluating the fate of a leaked plume. In contrast, in
727 terms of early warning of a leakage, none of the tracers were superior to the others, as their detection
728 efficiencies appear to be dependent on the leakage conditions and therefore under the control of physical
729 processes. Furthermore, the high density of the CO₂ of a leaked plume possibly adds uncertainty and

730 errors to the quantitative evaluations. However, the wide scatter of the initial values (i.e., noble gas
731 variation in produced CO₂) significantly overrides the effect of the other uncertainties in monitoring CO₂
732 leakage at this specific site. This suggests the homogeneity of the initial plume is significantly important
733 in terms of the monitoring efficiency using noble gas tracers. Overall, the results of this study revealed
734 that a noble gas can be applied not only for qualitative purposes (i.e., leaking detection), but also for
735 identifying mass allocations and the evolutionary path of a leaked plume in a shallow aquifer system.
736 Most importantly, the leaked plume has a unique evolutionary path in terms of noble gas tracers, which
737 are expected to act as important fingerprints for tracing a leakage spot as complex mechanisms affect the
738 CO₂ plume distribution.

739

740 **Acknowledgements**

741 This research was supported by a Korea Environmental Industry & Technology Institute (KEITI) grant
742 entitled “R&D Project on Environmental Management of Geologic CO₂ Storage” (Project Number:
743 2018001810002), by a Korea Polar Research Institute grant (PE19060) and by a National Research
744 Foundation of Korea (NRF) grant funded by the Korean government (MSIT) (No. 0409-20190119). We
745 thank all the members of the K-COSEM team and, appreciate Intae Kim and Minjung Kim for their
746 efforts and support on noble gas analysis.

747

748 **Appendix A. Analytical solutions for the fate of CO₂ plume**

749 When degassing happens in a closed system, the exsolved gas will still remain in the aquifer
 750 system, and an equilibrium will be achieved at the interfaces between the bubbles and remaining plume.
 751 In this situation, one-step partitioning determines the noble gas distribution, which is defined by Henry's
 752 constant, such as in Eq.(A.1) and Eq. (A.2) (Ballentine et al., 2002):

$$753 \left(\frac{A}{B}\right)_{(g)} = \left(\frac{A}{B}\right)_{(l)} \times \alpha \quad \text{Eq. (A. 1)}$$

$$754 \alpha = \frac{\frac{r_A K_A}{\phi_A}}{\frac{r_B K_B}{\phi_B}} \quad \text{Eq. (A. 2)}$$

755 Here:

756 $\left(\frac{A}{B}\right)_{(g)}$ = A and B ratio in exsolved bubbles, where A and B are different noble gases;

757 $\left(\frac{A}{B}\right)_{(l)}$ = the composition of A and B remaining in the dissolved phase;

758 α = partitioning coefficient for gas/liquid system;

759 K_A, K_B = Henry's constant for A and B (Table A.1);

760 **Table A.1** Partitioning coefficients of noble gas tracers in pure water and CO₂ saturated water.

	He	Ne	Ar	Kr
	mM/atm			
Pure water [†]	0.389	0.482	1.711	3.211
CO ₂ saturated water [‡]	0.398	-	1.586	2.471

761 [†]NIST chemistry webbook of Sander (2017) at temperature of 14.3°C.

762 [‡]calculated according to Warr et al. (2015) where CO₂ saturated water (3.97 kg/m³) was assumed in
 763 temperature of 14.3°C, altitude of 580 m and water column of 10 m.

764 r_A, r_B = dissolved phase activity coefficients for A and B; and

765 ϕ_A, ϕ_B = gas phase fugacity coefficients for A and B.

766 A Rayleigh equation was used to explain the degassing procedure in the open system, where gas
 767 bubbles continuously strip off the dissolved noble gas from the aquifer system at the gas/liquid interfaces
 768 of the exsolved bubbles until the end of the process (Ballentine et al., 2002; Zhou et al., 2005; Holland
 769 and Gilfillan, 2013).

$$770 \left(\frac{A}{B}\right)_{(t)} = \left(\frac{A}{B}\right)_0 \times f^{\alpha-1} \quad \text{Eq. (A. 3)}$$

771 $\left(\frac{A}{B}\right)_{(t)}$ = The composition of A and B remaining in the aquifer

772 $\left(\frac{A}{B}\right)_{(0)}$ = The composition of A and B in initial CO₂ plume

773 f = Fraction of element B remaining in dissolved plume

774 The plume is diluted by the diffusion process in the aquifer system, along the concentration
 775 gradient between the plume and local groundwater. In dominance of this process, the distribution of noble
 776 gas element is defined by the diffusion coefficient and the elapsed time since the leakage event happened,
 777 such as in Eq. (A. 4) (Fetter, 1999):

$$778 C = \frac{(C_0 - C_i)V}{(4\pi t)^{3/2} \sqrt{D_x D_y D_z}} \quad \text{Eq. (A. 4)}$$

779 Here:

780 C = maximum concentration of element in leaked plume at a specific time, t (cm³ STP/g);

781 C_0 = initial concentration of element in the leaked plume (cm³ STP/g);

782 C_i = concentration of element in aquifer before leakage (cm³ STP/g);

783 V = volume of the initial leaked plume (cm^3);

784 t = elapsed time since leakage (s); and

785 D_x, D_y, D_z = diffusion coefficients of element for x, y, z direction, respectively (cm^2/s) (Table A.2).

786 **Table A.2** Diffusion coefficients of noble gas tracers in water

	He	Ne	Ar [‡]	Kr
	cm^2/s			
Pure Water [‡]	6.12×10^{-5}	3.23×10^{-5}	1.94×10^{-5}	1.36×10^{-5}

787 [‡]Jähne et al. (1987) at temperature of 14.3°C.

788 [‡]Wise and Houghton (1966) at temperature of 14.3°C.

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Highlights

- Gas-charged water was injected into an aquifer to demonstrate CO₂ leakage
- Noble gases identified physical processes involved in the fate of gas-charged water
- Based on the injection tests, the fate of leaked CO₂ was studied using noble gases
- The evolutionary path of leaked CO₂ was depicted using noble gases for Weyburn site
- The evolutionary path can act as a fingerprint for identifying a leakage hot spot

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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