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1 **Vanadium Contamination and Associated Health Risk of Farmland**  
2 **Soil near Smelters throughout China**

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17

18 **Abstract**

19       Whereas there is broad consensus that smelting causes serious soil contamination  
20 during vanadium production, little is known about the vanadium content of soil near  
21 smelters and the associated health risk at continental scale. This study is the first to  
22 map the distribution of vanadium in farmland soil surrounding smelters throughout  
23 mainland China, and assess the associated health risk. Analysis of 76 samples  
24 indicated that the average vanadium content in such soil was 115.5 mg/kg – far  
25 higher than the 82 mg/kg background content in China ( $p < 0.05$ ). Southwest China  
26 (198.0 mg/kg) and North China (158.3 mg/kg) possessed highest vanadium contents.  
27 Vanadium content was strongly related to longitude, altitude, and atmospheric  
28 temperature. The reducible fraction accounted for the largest percentages in  
29 vanadium speciation. The average Pollution Load Index for all samples was 1.51,  
30 denoting significant metal enrichment. The Children's hazard index was higher than  
31 unity, indicating elevated health risk. The relative contribution of vanadium to the  
32 total health risk ranged from 6.02% to 34.5%, while nickel and chromium were the  
33 two main contributors in most regions. This work may serve as a model providing an  
34 overview of continental vanadium contamination around smelters, and draw  
35 attention to their possible health risks.

36

37 **Keywords:** Reducible vanadium; Soil contamination; Farmland soil; Smelter; Health  
38 risk assessment

## 39 **1. Introduction**

40 Vanadium is a strategically important metal that is widely used in modern society  
41 in the production of steel alloys and sulfuric acid (Zhang et al., 2009; Watt et al., 2018;  
42 Mikkonen et al., 2019). Vanadium resources occur worldwide in mineral and  
43 hydrocarbon deposits, with China, South Africa, and Russia the largest producers of  
44 vanadium products (Moskalyk and Alfantazi, 2003; Yu et al., 2019). Increasing  
45 demand for vanadium has promoted intensive mining and smelting activities (Zhang  
46 et al., 2018). For example, hundreds of vanadium smelters at different scales are  
47 distributed throughout the provinces of China (Yang et al., 2017). During vanadium  
48 processing, large quantities of vanadium-contaminated waste are discharged into the  
49 geochemical environment (Liu et al., 2017; Zhang et al., 2019b). Vanadium is a  
50 moderately toxic metal, which, if inhaled, can induce pulmonary tumors and increase  
51 the likelihood of lung cancer (Zhang et al., 2012; Jiang et al., 2018; Nedrich et al.,  
52 2018). Generally, vanadium exists in two oxidation states (tetravalent and pentavalent)  
53 in nature (Khan et al., 2011; Hao et al., 2015). Pentavalent vanadium is more toxic to  
54 plants, animals, and human beings than tetravalent vanadium because of its adverse  
55 influence on phosphate metabolism (Zhang et al., 2015).

56 During vanadium production, the smelting process causes the largest  
57 contamination (Imtiaz et al., 2015; Schlesinger et al., 2017). Vanadium waste  
58 discharged during smelting is usually deposited onto surface soil (Huang et al., 2015).  
59 For example, in Panzhihua, China, highest vanadium content of 4793.6 mg/kg is  
60 found in the surface soil for smelter sites among all processing stages of vanadium

61 production, largely exceeding the soil background value of vanadium in China (82  
62 mg/kg) (Cao et al., 2017). Vanadium can also migrate from soil to aquifer. Vanadium  
63 concentration up to 5.10 mg/L in groundwater has been found at a vanadium-bearing  
64 ore milling site in Rifle, Colorado, USA (Yelton et al., 2013), significantly higher than  
65 the notification level of 15 µg/L proposed by the California Office of Environmental  
66 Health Hazard Assessment. Moreover, farmland often surrounds vanadium smelters  
67 (Xiao et al., 2017). Given that food security and human health are directly affected by  
68 the quality of farmland soil (Rowell et al., 1998; Guan et al., 2019; Yang et al., 2019),  
69 pollution of such soil by nearby vanadium smelters is a subject of growing concern  
70 (Wang et al., 2018a; Shaheen et al., 2019). However, information is lacking on the  
71 vanadium content of farmland soil near vanadium smelters at continental scale, and  
72 the associated health risks.

73 In this work, the distribution of vanadium contents in farmland soils around  
74 smelters in China was described through analyzing 76 samples taken throughout the  
75 mainland. Vanadium speciation was examined to evaluate bioavailability. Their  
76 contaminant degree and health risk were also evaluated. Results from this work are  
77 helpful to reveal levels of vanadium concentration in farmland soil around smelters  
78 and raise concerns on their potential health issues previously ignored.

79

## 80 **2. Materials and methods**

### 81 *2.1. Sample collection and chemical analysis*

82 The total area of China was divided into 7 regions including Northeast China  
83 (NE), North China (NC), Northwest China (NW), Central China (CC), East China  
84 (EC), Southwest China (SW), and South China (SC) (Yuan and Luo, 2019). A total of  
85 76 smelters were selected in July 2017, distributed with NE (9), NC (4), NW (9), CC  
86 (21), EC (17), SW (13) and SC (3), respectively. Approximately one-third of the total  
87 number of smelters in each region were included, with uniformly distributed locations.  
88 Each surface soil (upper 20 cm layer) sample was collected from farmland within  
89 2-km distance of the smelter (Han et al., 2018). Every soil sample consisted of five  
90 homogenized subsamples collected at horizontal intervals of 50-80 m. Samples stored  
91 in polyethylene bags were delivered to the laboratory within 2 days and stored at 4 °C.

92 Before analysis, all collected farmland soils were air-dried, ground, and sieved  
93 through 2-mm mesh. 0.25 g soil samples with 100 meshes were digested with aqua  
94 regia (2 mL nitric acid, 5 mL hydrochloric acid, and 4 mL hydrofluoric acid) by a  
95 microwave digester (MARS 6, CEM Corp., USA) (Wang et al., 2020). The  
96 temperature was increased to 400 °C within 5 min, held for 10 min, then further  
97 increased to 1000 °C in 10 min, and maintained for 30 min. Finally, the temperature  
98 declined gradually to 50 °C. Vanadium and other metals were monitored with  
99 inductively coupled plasma mass spectrometry (ICP-MS, Thermo Fisher X series,  
100 Germany). Vanadium speciation, including acid-soluble, reducible, oxidizable, and  
101 residual phases, was analyzed by the modified three-step Community Bureau of

102 Reference (BCR) sequential extraction method (Žemberyová et al., 2006). In short,  
103 soil samples were extracted by acetic acid, and the extract separated to obtain  
104 acid-soluble vanadium (Step 1). Then the solid residue of Step 1 was fed with  
105 hydroxylamine hydrochloride to acquire reducible vanadium in aqueous solution  
106 (Step 2). Afterwards, hydrogen peroxide was added to the solid residue of Step 2 to  
107 collect oxidizable vanadium in the extract (Step 3). Finally, the solid residue of Step 3  
108 was retained for aqua regia digestion to obtain residue vanadium in extracted solution.

## 109 *2.2. Calculation and assessment*

110 Metal contamination was evaluated by Contamination Factor (CF) and Pollution  
111 Load Index (PLI) (Rinklebe et al., 2019). CF was defined as the ratio of specific metal  
112 content in our samples to its world-wide average value, different to the Enrichment  
113 Factor based on the standardization of a tested metal against a reference one with low  
114 occurrence variability (Gowd et al., 2010).  $CF < 1.0$ : low contamination;  $1.0 \leq CF$   
115  $< 3.0$ : moderate contamination;  $3.0 \leq CF < 6.0$ : considerable contamination; and  $CF$   
116  $\geq 6.0$ : very high contamination. PLI (unitless) was calculated by integrating all CFs  
117 in one overall contamination index.  $PLI > 1.0$ : significant contamination.

118 Universal indices were employed to assess health risk. Average Daily Dose  
119 (ADD) was calculated for three groups of persons: children, adult males, and adult  
120 females by considering metal content, soil ingestion rate, exposure frequency and  
121 duration, bodyweight, and averaging time (Jiang et al., 2017). Hazard Quotient (HQ,  
122 unitless) was the ratio of ADD to the oral reference dose of the specific metal. The  
123 sum of HQ values of all metals gave the Hazard Index (HI).  $HQ > 1.0$  and  $HI > 1.0$ :

124 high health risk. All reference values and evaluation criteria were used as  
125 previously reported (Rinklebe et al., 2019). Statistical analysis was performed with a  
126 one-way ANOVA using the software program PAST.

127

### 128 **3. Results and discussion**

#### 129 *3.1. Vanadium distribution and speciation*

130 Vanadium was detected in all sampled farmland soils around smelters throughout  
131 China (Fig. 1a). Average vanadium content was  $115.5 \pm 121.1$  mg/kg ( $n = 76$ ), higher  
132 than 82 mg/kg background vanadium content in soil ( $p < 0.05$ ) (Cao et al., 2017). This  
133 value was also significantly higher than vanadium contents in topsoil from the USA  
134 (80 mg/kg) ( $p < 0.05$ ) and Europe (68 mg/kg) ( $p < 0.01$ ) (Gao et al., 2017). During  
135 smelter operations, dust clouds containing vanadium were discharged and became  
136 deposited on the soil, contributing to the high occurrence of vanadium in farmland  
137 soil (Chen and Liu, 2017). The two regions possessing the highest contents of  
138 vanadium were SW and NC, with average values of  $198.0 \pm 231.9$  mg/kg ( $n = 13$ ) and  
139  $158.3 \pm 110.0$  mg/kg ( $n = 4$ ), respectively, both of which are abundant in vanadium  
140 resource and contain many plants for its intensive processing (Moskalyk and  
141 Alfantazi, 2003). Correlation analysis indicated that vanadium content was strongly  
142 related to geographical and meteorological parameters, especially longitude, altitude,  
143 and atmospheric temperature (Fig. S1, Supporting Information). Besides vanadium,  
144 raised levels of other metals such as nickel, chromium, and zinc were detected (Table



145 S1, Supporting Information). These metals were likely derived from minerals used in  
146 vanadium smelting (Zhang et al., 2020b), indicating that the surrounding farmland  
147 soil was experiencing contamination by a combination of different metals. Similar  
148 multiple-metal pollution during vanadium smelting was commonly found worldwide,  
149 an example being the Rifle site, Colorado, USA (Liang et al., 2012).

150 The reducible fraction accounted for the largest percentages in vanadium  
151 speciation (Fig. 1b), unlike previous studies which found that vanadium existed  
152 mainly as the residual fraction in smelting site soils (Zhang et al., 2019b). The present  
153 finding indicates that agricultural cultivation activities, including intensive irrigation,  
154 land inundation, and frequent plowing, enhance the mobility of vanadium dust  
155 particles, as vanadium waste experiences alternating wet/dry and oxic/anoxic  
156 conditions (Shaheen et al., 2016). This suggests that vanadium in farmland soils has  
157 high bioavailability (Song et al., 2018), which is a matter of environmental concern.

### 158 *3.2. Contamination evaluation and health risk assessment*

159 Average CFs of vanadium in most regions were less than 1.0, suggesting low  
160 contamination (Fig. 2). However, average CFs of vanadium in SW and NC were 1.53  
161 and 1.23, respectively, higher than 1.0, implying moderate contamination due to  
162 relatively higher vanadium contents in these regions. The maximum CFs of vanadium  
163 were 6.45 and 3.77 in SW and CC, having accounted for the very high contamination  
164 and considerable contamination correspondingly. Besides vanadium, some coexisting  
165 metals possessed higher average CFs (Fig. 2). In particular, very high or considerable  
166 contamination was found in all regions except SC for nickel. Lead reached very high

167 degree of concentration while chromium achieved considerable concentration in SW,  
168 indicating relatively heavy contamination. These contamination levels were similar to  
169 that found in a mixed type industrial area (Pathak et al., 2015).

170 The average value of PLI for all samples based on the CF values was 1.51, with  
171 that in SW (2.40) substantially higher than in other regions (Fig. 3). PLI values above  
172 1.0 denote significant soil enrichment by metals. Cases of multi-metal contamination  
173 occurred in areas with long histories of smelting activities, concurring with previous  
174 observations by Antoniadis et al. (2017a). Enrichment was promoted by the wide  
175 spectrum of different metals present; Rinklebe et al. (2019) report similar behavior of  
176 toxic elements in soils that suffered industrial contamination along a river in Germany.  
177 Notably, significant contamination at a specific site with higher PLI values was found  
178 in SW, which therefore requires urgent risk management and possible remediation.

179 Average HQs of vanadium for children in all regions were lower than 1.0, with  
180 two highest values occurring in SW (0.52) and NC (0.40) (Fig. 4), indicating low  
181 probability of the occurrence of adverse health effects (Rinklebe et al., 2019). Average  
182 HQs of vanadium for two adult groups, male and female persons, were normally an  
183 order of magnitude lower than those for children in each region, suggesting that  
184 children were more sensitive than adults to metal contamination, which was consistent  
185 with results from risk evaluation for metals in a river basin (Singh and Kumar, 2017).  
186 Meanwhile, other metals with higher average HQs were also found (Fig. 4). For  
187 instance, average HQs of chromium in all regions were higher than 1.0 for children  
188 with the maximum value of 4.81 in NE, while average HQs of lead and nickel were

189 also above 1.0 in most cases. These results confirmed that health risks associated with  
190 “soil-to-human” pathways through direct dust inhalation by humans were  
191 significantly high for more toxic metals released during vanadium smelting (Carlin et  
192 al., 2016).

193 The resultant average HIs for children in all regions based on the HQs were  
194 above 1.0, with maximum values of 9.61 in SW and 7.35 in NE (Fig. 5a), indicating  
195 elevated health risk. The average value of HI for children in all areas related to the  
196 present soil samples was 5.20, similar to 6.11 for children in areas where the soil was  
197 affected by lead-zinc smelting (Jiang et al., 2017). By contrast, the average HI values  
198 for adults in all regions were invariably less than 1.0 and lower than the  
199 corresponding levels for children. Similar trends were also reported by Lozowicka et  
200 al. (2016), indicating that children are more vulnerable than adults to ingestion  
201 exposure to dust with elevated metal content.

202 The relative contribution of vanadium to total health risk varied among the 7  
203 regions, ranging from 6.02% to 34.5% (Fig. 5b). Although the highest content of  
204 vanadium was found from SW and NC, the highest percentage contribution of  
205 vanadium occurred in SC (34.5%). Nickel and chromium accounted for the two  
206 largest percentage metal contents in samples from most regions, with the value for  
207 nickel reaching 54.0% in NC and that for chromium 49.9% in SC. Nickel and  
208 chromium might originate from raw minerals and/or coal fuels for vanadium smelting  
209 (Chen et al., 2011). The contribution order for these common metals is similar to  
210 previous findings for industrial-contaminated soils (Antoniadis et al., 2017b).

### 211 3.3. *Environmental implications*

212 This work reveals, for the first time, the occurrence of high levels of vanadium  
213 concentration in farmland soil near smelters at the continental scale of mainland  
214 China. Vanadium contamination took place at varying degrees, especially in SW and  
215 NC regions of China. Combined pollution with multiple metals was commonly  
216 detected. Contamination indices suggested significant enrichment of metals in most  
217 situations. Health indices implied elevated health risk, especially for children. Results  
218 from the present work draw attention to farmland soil contamination caused by  
219 vanadium smelting. Furthermore, specific factors such as types of kilns were found to  
220 be positively related to vanadium contents, which could be employed as a guidance to  
221 control vanadium release into environment.

222 When entering farmland soil, vanadium could interact with organisms (Hao et al.,  
223 2018). The microbial community could be significantly affected by vanadium, while  
224 microbes could change the mobility and toxicity of vanadium (Zhang et al., 2015;  
225 Wang et al., 2018b; Zhang et al., 2019a). Uptake of vanadium by crops could also  
226 occur, affecting product quality (Tian et al., 2014; Imtiaz et al., 2017).  
227 Phytoremediation could be thereby conducted by selected hyperaccumulating plants  
228 (Aihemaiti et al., 2019). These influences and applications require further  
229 investigation. Furthermore, transfer of highly toxic and mobile vanadium (V) to less  
230 toxic and readily precipitated vanadium (IV) by microbes has proved promising for  
231 vanadium detoxification (Zhang et al., 2019a; Yelton et al., 2013; Zhang et al.,  
232 2020a). This bioremediation is worth testing for future implementation on

233 vanadium-contaminated farmland soil around smelters.

234

#### 235 **4. Conclusions**

236 Vanadium contents above background value in China (82 mg/kg) are commonly  
237 found in farmland soil surrounding smelters throughout mainland China, where the  
238 national average value is  $115.5 \pm 121.1$  mg/kg ( $n = 76$ ). Southwest China and North  
239 China possessed highest vanadium contents, with average values of  $198.0 \pm 231.9$   
240 mg/kg ( $n = 13$ ) and  $158.3 \pm 110.0$  mg/kg ( $n = 4$ ), respectively. Vanadium content is  
241 strongly related to longitude, altitude, and atmospheric temperature. The reducible  
242 fraction with high bioavailability is the main vanadium speciation. Significant  
243 enrichment of metals is found for all samples with average PLI of 1.51. Children's  
244 hazard index is higher than unity, indicating elevated health risk. The relative  
245 contribution of vanadium to the total health risk ranges from 6.02% to 34.5%, while  
246 nickel and chromium are the two main contributors in most regions. The findings  
247 highlight potential health risks posed by vanadium waste in areas where smelters are  
248 located near farmland, which have been ignored to date.

249

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424 **Figure captions.**

425 **Fig. 1.** Distribution and speciation of vanadium in farmland soil in the vicinity of  
426 vanadium smelters throughout China. (a) Vanadium distribution; (b) Vanadium  
427 speciation. NE: Northeast China; NC: North China, NW: Northwest China; CC:  
428 Central China; EC: East China; SW: Southwest China; and SC: South China.

429 **Fig. 2.** Contamination Factor (CF) of all metals in farmland soils around 76 vanadium  
430 smelters in 7 regions of China. (a) Vanadium; (b) Zinc; (c) Chromium; (d) Copper; (e)  
431 Lead; (f) Nickel. NE: Northeast China; NC: North China; NW: Northwest China; CC:  
432 Central China; EC: East China; SW: Southwest China; SC: South China. Red lines  
433 indicate the divisions in CFs at 1.0, 3.0 and 6.0.  $CF < 1.0$ : low contamination;  $1.0 \leq$   
434  $CF < 3.0$ : moderate contamination;  $3.0 \leq CF < 6.0$ : considerable contamination; and  
435  $CF \geq 6.0$ : very high contamination.

436 **Fig. 3.** Pollution Load Index (PLI) of farmland soils around 76 vanadium smelters in  
437 7 regions of China. NE: Northeast China; NC: North China, NW: Northwest China;  
438 CC: Central China; EC: East China; SW: Southwest China; SC: South China. The red  
439 line denotes the threshold above which soil is significantly enriched by metal.

440 **Fig. 4.** Hazard Quotient (HQ) of adult males, adult females and children of the health  
441 risk assessment of metals in farmland soils around 76 vanadium smelters in 7 regions  
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445  $HQ = 1.0$ , above which adverse health risks are high.

446 **Fig. 5.** Hazard Index (HI) used for health risk assessment and relative contributions of  
447 Hazard Quotients (HQs) in HI for metals in farmland soil samples taken in the  
448 vicinity of 76 vanadium smelters in 7 regions of China. (a) HI for adults and children;  
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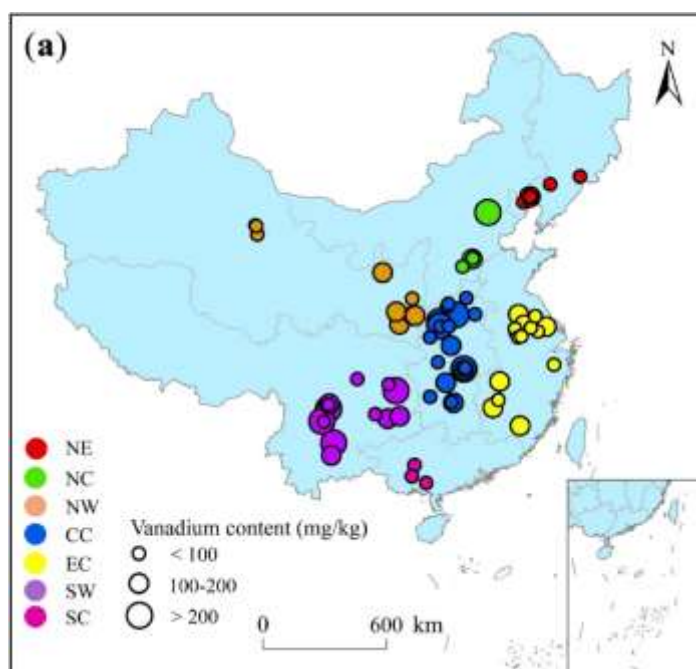
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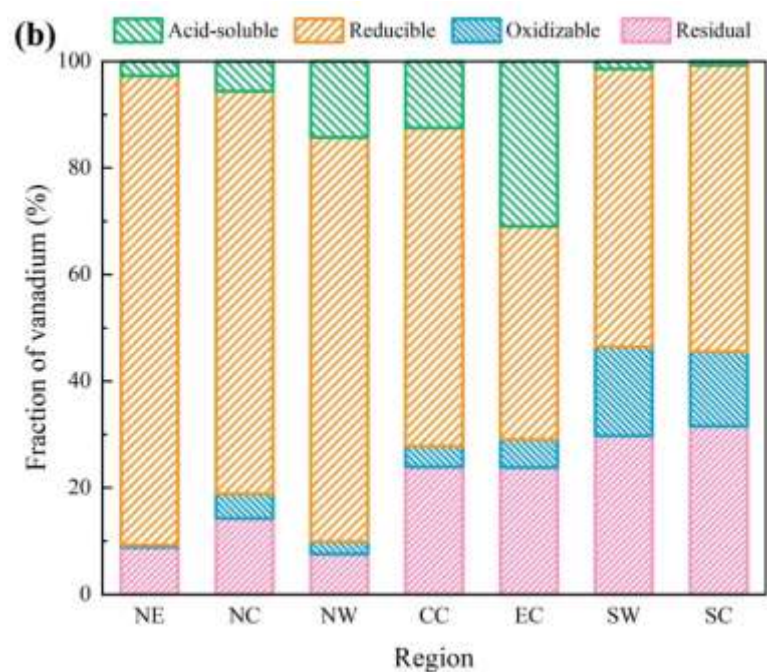
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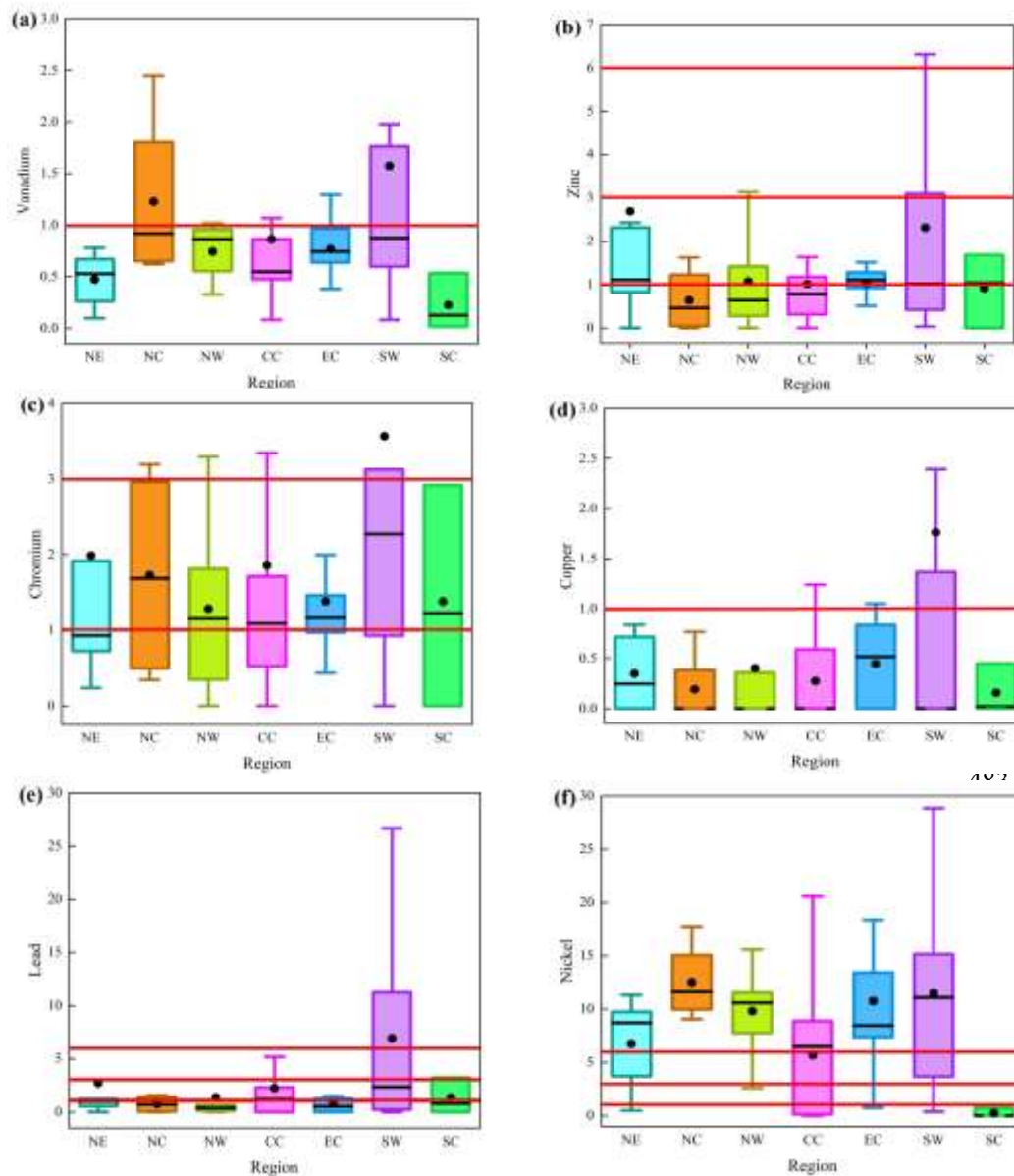
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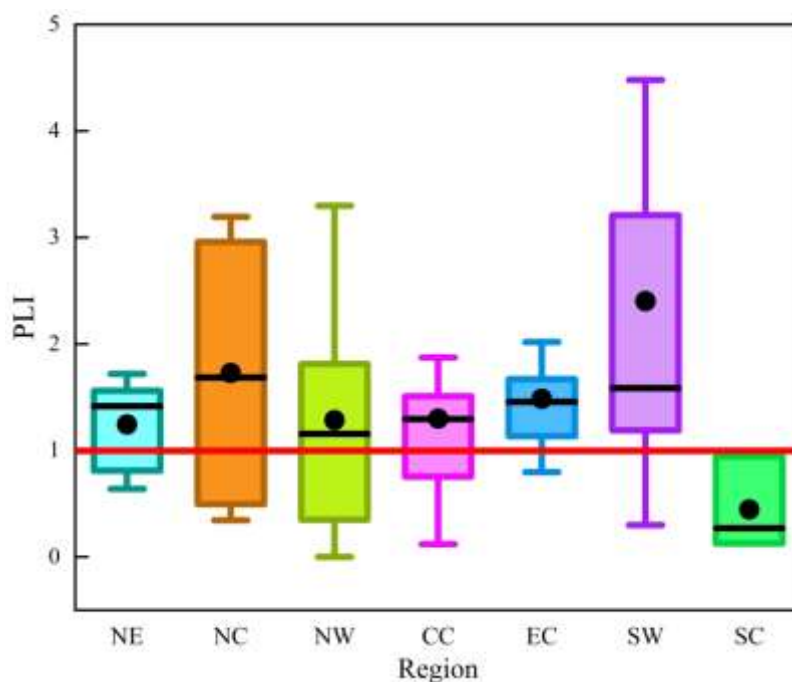
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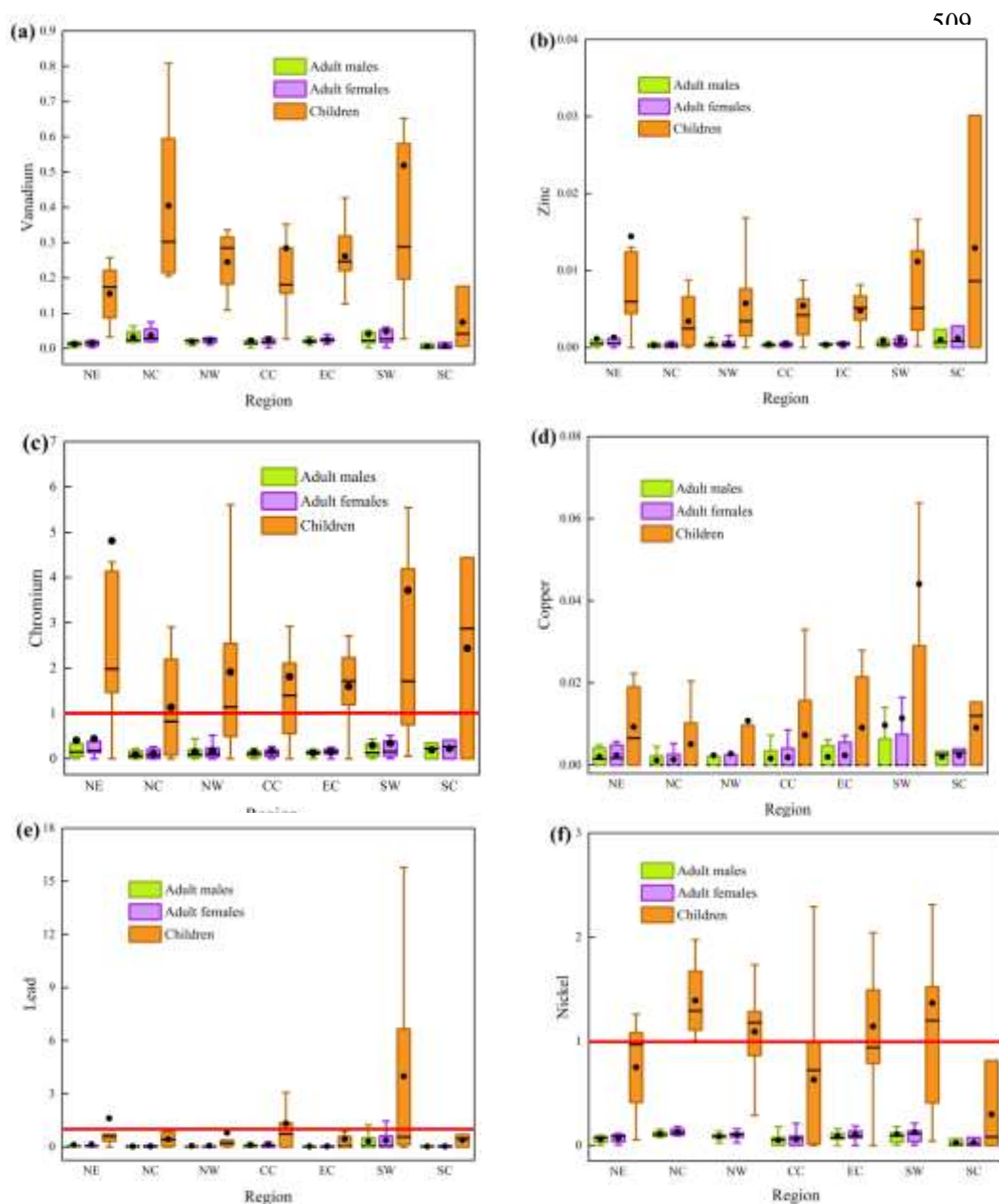
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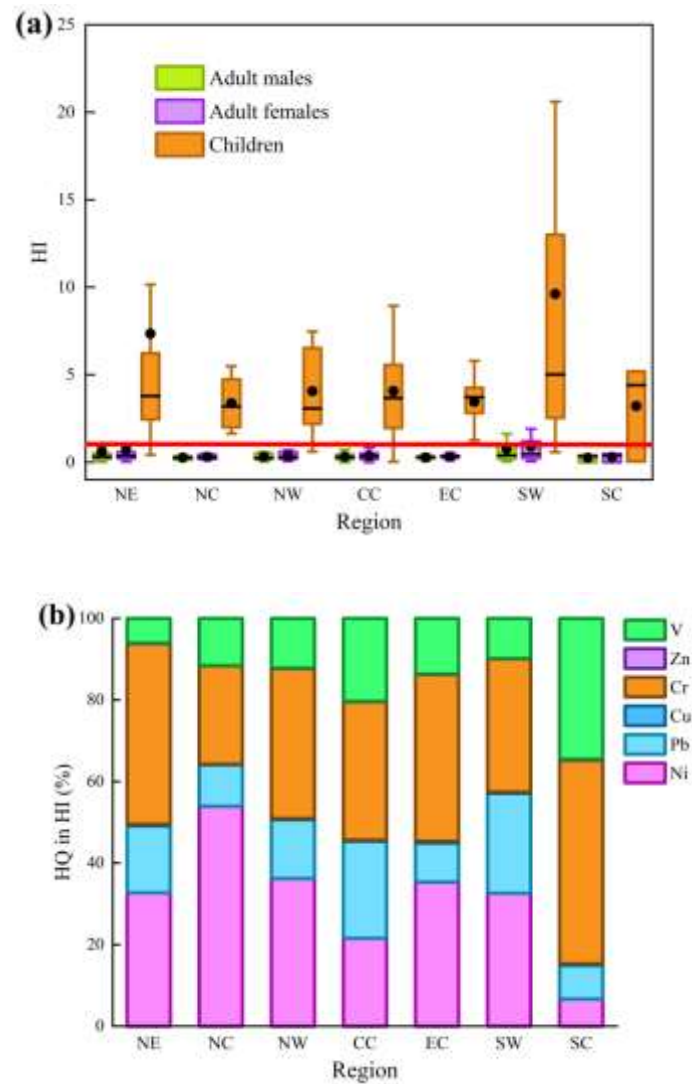
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