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Adherence to oral glucose lowering therapies and associations with one year HbA_{1c}: a retrospective cohort analysis in a large primary care database

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Abstract

Objectives—The impact of taking oral glucose-lowering medicines intermittently, rather than as recommended, is unclear. We conducted a retrospective cohort study using community-acquired United Kingdom clinical data (CPRD and GoDARTS databases) to examine the prevalence of non-adherence to treatment for type 2 diabetes, and investigate its potential impact on HbA_{1c} reduction stratified by type of glucose-lowering medication.

Research design and methods—Data for patients treated between 2004 and 2014 were extracted for those newly-prescribed metformin, sulfonylurea, thiazolidinedione or dipeptidyl peptidase-4 inhibitors who continued to obtain prescriptions over one year, were extracted.

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Declaration of interests

We declare no competing interests.

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Cohorts were defined by prescribed medication type, and good adherence as a medication possession ratio 0.8. Linear regression was used to determine potential associations between adherence and one-year baseline-adjusted HbA_{1c} reduction.

Results—In CPRD and GoDARTS, 13% and 15% of patients respectively were non-adherent. Proportions of non-adherent patients varied by the oral glucose-lowering treatment prescribed (range 8.6% (thiazolidinedione) to 18.8% (metformin)). Non-adherent, compared with adherent, patients had a smaller HbA_{1c} reduction (0.4%[4.4mmmol/mol] and 0.46%[5.0mmol/mol] for CPRD and GoDARTs respectively). Difference in HbA_{1c} response for adherent compared with non-adherent patients varied by drug (range: 0.38%[4.1mmol/mol] to 0.75%[8.2mmol/mol] lower in adherent group). Decreasing levels of adherence were consistently associated with a smaller reduction in HbA_{1c}.

Conclusions—Reduced medication adherence for commonly used glucose lowering therapies among patients persisting with treatment is associated with smaller HbA_{1c} reductions, compared with those taking treatment as recommended. Differences observed in HbA_{1c} responses to glucose lowering-treatments may be explained in part by their intermittent use.

Keywords

type 2 diabetes; adherence; HbAlc; oral glucose lowering treatment; retrospective cohort

Between a third and a half of medicines prescribed for type 2 diabetes (T2DM), a condition in which multiple medications are used to control cardiovascular risk factors and blood glucose [1,2], are not taken as prescribed [3–6]. However, estimates vary widely depending on the population being studied and the way in which adherence to recommended treatment is defined.

The impact of continuing to take glucose lowering medicines intermittently, but not as recommended is unknown. Medication possession (expressed as a ratio of actual compared to expected possession), derived from prescribing records has been identified as a valid adherence measure for people with diabetes [7]. Previous studies have been limited to small populations in managed care systems in the United States and focused on metformin and sulfonylurea oral glucose-lowering treatments [8,9]. Further studies need to be carried out in larger groups of people that are more representative of the general population.

The Clinical Practice Research Database (CPRD) is a long established repository of routine clinical data from over 13 million patients registered with primary care services in the England. These data now include demographic data, diagnostic codes, biochemistry results and prescribing records from clinicians that have achieved high standards of data completeness. The GoDARTS database is derived from integrated health records in Scotland with primary care, pharmacy and hospital data on 9400 patients with diabetes.

We sought to establish the prevalence of medication non-adherence, measured from routinely collected data in patients with T2DM, and its relationship to reductions in glycated hemoglobin (HbA_{1c}) with prescribed glucose-lowering medications. Specifically, we wished to determine whether poor response to oral glucose-lowering drugs could be due in part to reduced adherence. We hypothesized that there would be an association between the number

of tablets potentially available for a newly prescribed glucose-lowering medication (if all prescriptions were filled) over one year (expressed as a percentage) and the observed reduction in HbA_{1c} .

Research Design and Methods

Design

We performed a retrospective cohort study using routine clinical data obtained from community-acquired United Kingdom clinical data. The primary endpoint of the study was HbA_{1c} reduction over one year.

Data sources

We selected patients from the Clinical Practice Research Datalink (CPRD) and the GoDARTS cohort. CPRD contains anonymized longitudinal health records from 680 general practices across the UK amounting to 13.2 million patients [10]. We selected patients prescribed metformin, sulfonylurea, dipeptidyl peptidase-4 inhibitors (DPP4i), or thiazolidinediones. Prescriptions for glucagon-like peptide 1 receptor agonists (GLP-1 RA) were not included in the analysis given the limited information available and difficulty in determining daily doses for injectable therapies.

GoDARTS contains electronic health record data from primary care, hospitals and pharmacies [11]. It includes over 9,400 patients with T2DM who have given explicit consent for use of the information in research. In addition to the types of data available through CPRD, it includes information on the dispensing of prescribed medication.

Population

In both data-sets the date of diagnosis was based on the earliest record of either a first prescription of a glucose lowering drug prescribed, a diabetes diagnostic code or the first HbA_{1c}>6.5% [48mmol/mol]. Patients were included in a cohort for analysis if there was a record of treatment with a glucose-lowering medication for a period of at least one year

Patients were excluded from the analysis if they: were younger than 35 years old when diagnosed; had forms of diabetes other than T2DM, including gestational diabetes; had a diabetes duration <1 year; had previously been prescribed or were currently taking insulin; or were prescribed a thiazolidinedione or a DPP4i as monotherapy (where the characteristics of patients prescribed these treatments as monotherapy differed from other treatments and treatment combinations). We restricted consideration to the first period of treatment on each drug for each person. An individual patient could contribute 12-month periods of observation for different drugs, but could not contribute data for two or more drugs concurrently.

Derived variables

The medication possession ratio (MPR) was calculated by using prescription data (or for GoDARTS the dispensing data) from the date of the first prescription for that patient to the next prescription after 365 days from the first prescription date. The one year period for

calculating adherence was considered valid if: i) there were no gaps between prescriptions longer than 6 months (a gap of more than 6 months was considered "stopping" the drug); ii) there was no change in treatment (either a drug being started or stopped) in the period of three months prior to the drug start date up until the date of the first prescription after one year, and iii) data were available from three or more prescriptions (with non-zero daily dose information). MPR was defined as the number of days of available medication divided by the number of days between the first and last prescription dates, multiplied by 100. The number of days of available medication was calculated by dividing the quantity prescribed by the daily dose. In some instances (25% of 15,336,948 prescription records in CPRD) the daily dose was recorded as zero. Where dose information was not available for any of the prescriptions for a patient over the 12-month prescriptions, but dose was missing from others, we removed the prescription with missing dose and the time between that prescription and the next from the denominator (seven per cent of cases). Patients with MPR 120% were excluded from the analysis.

HbA_{1c} response at one year—Baseline HbA1c was the closest HbA_{1c} value to the drug start date in a time-window between three months before to seven days after. The twelve-month HbA_{1c} values used were those nearest to the date one year after the drug was started, with a time window from three months before to three months after. Response was calculated as 12 month HbA1c minus baseline HbA_{1c}. For the response to be valid, there had to be no change in treatment (either a drug being started or stopped) in the period from the baseline HbA1c date to the twelve-month HbA_{1c} date. Invalid responses were excluded from analysis. Response could not be calculated on 6% patients due to missing HbA_{1c} data.

Additional clinical variables—We extracted age and body mass index (BMI), from the data as baseline variables at the time a glucose-lowering drug was started. The values used were those that were the closest to the drug start date in a time-window between six months before to seven days after. Medication doses over one year were calculated as a mean percentage maximum dose for that medication, weighted by the number of days the patient was on that dose.

Statistical Analyses

We categorized adherence into MPR groups; <70%, 70 to <80%, 80 to <90%, 90 to <100%, >100%. For the analyses presented here we defined non-adherence as a MPR <80% and adherence as a MPR 80% [12].

To assess the impact of MPR category on response we used regression models, adjusted for baseline HbA_{1c}, for each drug, and all data combined. Models used MPR category (coded as a factor) and baseline HbA_{1c} as independent variables and change in HbA_{1c} over 12 months as the outcome. Only baseline HbA_{1c} was adjusted for, as this explains the most variation in response (R^2 =0.32). We did not adjust for other features in these models. For each drug and overall we plotted 95% confidence intervals for change in HbA_{1c} adjusted by baseline HbA_{1c} and p values for comparison of the extreme categories were derived from the regression analysis. All analyses were carried out in R version 3.0.2 [13].

Ethics

Approval for the study was granted by the CPRD Independent Scientific Advisory Committee (ISAC 13_177R) and for GoDARTS by the East of Scotland Regional Ethics Committee (09/21402/44).

Role of the funding source

The funder of the trial had no role in study design, data collection, data analysis, data interpretation or writing of the report.

Results

Analysis of CPRD dataset

32,634 patients were included for analysis with 38,100 instances of starting a new treatment (periods of treatment) and continuing for at least one year. The characteristics of included patients are shown by treatment cohort in Table 1. The mean duration of diabetes was shorter for those on the metformin and sulfonylurea cohorts, consistent with use of metformin earlier in the course of the disease. The mean proportion of the maximum dose of each drug also varied by treatment. Overall, 28.7% of patients were taking no other non-insulin glucose lowering treatments, 51.8% were taking one other treatment and 19.1% were taking two other treatments (Supplementary Table 1). Additional glucose lowering drug treatment for patients in each cohort is shown in Supplementary Table 2, with the majority taking metformin alongside other treatments.

The proportion of non-adherent patients was 13.3% (n=38,100 periods of treatment; 32,634 patients), ranging from 9.1% and 8.6% for DPP4i inhibitors and thiazolidinediones respectively up to 18.8% with metformin (Table 2). For all therapies, participants with MPRs 90% experienced the greatest reductions in baseline-adjusted HbA_{1c} (Figure 1). With lower MPRs, there was a consistent observed smaller reduction in HbA_{1c} changes with all therapies (Figure 1).

Adherent, compared with non-adherent, patients consistently had greater HbA_{1c} reductions in all drug classes (Table 2). Overall, HbA_{1c} decrements (95% CI) were -0.75 (-0.78 to -0.72)% [-8.2 (-8.5 to -7.9)] mmol/mol) and -1.15 (-1.16 to -1.14)% [-12.5 (-12.7 to -12.4) mmol/mol] for non-adherent and adherent patients respectively (Table 2). The mean (95% CI) difference overall between adherent and non-adherent groups in baseline-adjusted one-year HbA_{1c} was -0.40 (-0.43 to -0.37) % [-4.4 (-4.7 to -4.0) mmol/mol]. This between-group difference varied across drugs from -0.37 (-0.44 to -0.31)% [-4.1 (-4.8, -3.4) mmol/mol] with sulfonylureas to -0.57 (-0.64 to -0.49)% [-6.2 (-7.0, -5.4) mmol/mol] with thiazolidinediones (Table 2).

Analysis of GoDARTS cohort

2284 patients were included for analysis with 2622 instances of starting a new treatment (periods of treatment) and continuing for at least one year. The characteristics of included patients are shown by treatment cohort in Table 1 and, similarly to CPRD, the different characteristics of the cohort reflect the stage of treatment at which the treatment was started.

Overall, 34% of patients were taking no other non-insulin glucose lowering treatments, 44% were taking one other treatment and 22% were taking two other treatments (Supplementary Table 3 and 4).

The proportion of non-adherent patients was 15.1% (n=2622 periods of treatment; 2284 patients) ranging from 10.7% with DPP4i up to 18.1% with metformin (Table 2). For all therapies, participants with an MPR 90% experienced the greatest reductions in baseline-adjusted HbA_{1c} (Figure 1). With lower MPRs, there was a consistent observed smaller reduction in HbA_{1c} across all therapies (Figure 1). There was no clear evidence of a differential response to any one therapy for groups of patients with differing MPR.

Overall HbA_{1c} decrements were -0.67 (-0.79 to -0.55)% [6.9 (-8.1 to -5.7) mmol/mol] and -1.16 (-1.21 to -1.11)% [-11.9 (-12.5 to -11.4) mmol/mol] for non-adherent and adherent patients respectively (Table 2). The mean (95% CI) difference overall between adherent and non-adherent groups in baseline-adjusted one-year HbA_{1c} was -0.49 (-0.62 to -0.36)% [-5.0 (-6.3 to -3.7) mmol/mol]. This between-group difference varied across drugs from -0.34 (-0.58 to -0.10)% [-3.7 (-6.3 to -1.5) mmol/mol] with sulfonylurea to -0.75 (-1.22 to -0.28)% [-8.2 (-13.3, -3.1) mmol/mol] with DPP4i (Table 2).

Conclusions

These findings show an association between adherence to oral glucose lowering treatment, measured by the proportion of medication obtained on prescription over one year, and the corresponding decrement in HbA_{1c} , in a population of patients newly starting treatment and continuing to collect prescriptions. The association is consistent across all commonly used oral glucose lowering therapies and the findings are consistent between the two data sets examined, CPRD and GoDARTS. Non-adherent patients, taking on average less than 80% of the intended medication, had about half the expected reduction in HbA_{1c} .

This is the largest study that we are aware of to examine the association between adherence to oral glucose lowering treatment in type 2 diabetes over one year and change in HbA_{1c} . The study uses two independent datasets: a representative primary care data-set from across England and an electronic medical record data-set from a region in Scotland. Both data sets have detailed information about dosage adjustment over the period of the study[14] and include systematic records of clinical and laboratory measurements at three to six monthly intervals. Results were consistent across both studies. Although the Scottish data utilize prescription encashment, the similar results in CPRD support the use of issued prescription data for studying medication adherence.

There are a number of issues that could be further explored in greater depth to provide information about factors associated with non-adherence. These include whether adherence differs when a medication is used as first, second or third line, and the extent to which there is an interaction with the type of medication. In addition, there is potential for stating a drug to change adherence to other concurrent medication. However, because our study is limited by use of different populations in examining the different drugs, we are unable to directly

address these questions, and our study does not provide evidence of comparative effectiveness between the drugs.

Although direct comparisons of adherence rates are not possible, high adherence levels reported for patients taking drugs commonly found in combination therapy (e.g. DPP4i) may reflect a greater personal investment in disease self-management. Differences with baseline characteristics of patients using DPP4i between England and Scotland may reflect differences in local usage of the class of drugs, as there is no difference in national guidance. Similarly, the higher levels of change in HbA_{1c} found for patients taking metformin and sulfonylurea may also reflect an earlier stage in the course of their diabetes.

There is the possibility for time-dependent confounding and this is something we have not explored in this paper. We have limited our data to only simple models, and those cases where there were no changes in diabetes drugs during the one-year period of interest. However, this does not exclude other potential changes that may impact on adherence, such as changes in dose, incidence of side effects, or changes in other medications or comorbidities. It will be of interest to examine predictors of adherence, both at baseline when starting medication, and over the time-course of taking the drug. Development of in depth models to explore this would be an important next step for further research focusing on the relationship between adherence and achieved HbA_{1c}.

There are a number of different ways to measure adherence to medication. Overall adherence rates are consistently overestimated by self-report [15]. Direct measurement (for example with electronic dispensing measurement) has potential to modify behavior because it is intrusive. Data on medication prescribing and dispensing is widely used, has evidence to support its validity [7], and medicine availability is a necessary prerequisite for being able to take the medicine. Medication hoarding (obtaining medicine but not then using it) has been identified as an issue in the psychiatric literature, but not more generally for long-term conditions [16]. In another study, perceptions of higher levels of hoarding in older people relating to medicines' non-adherence were not supported [17].

The focus of this study is on the relationship of quality of taking medication and impact on HbA_{1c} . The Medication Possession Ratio is used to report adherence as an increasingly accepted measure of medicines use. There are some patterns of medication use that may result in misleading metrics for MPR, but the most common, failure to persist with medication is accounted for in this analysis by exclusion of patients with a six month or longer break in prescribing [18]. It also excludes individuals starting treatment in the first year after diagnosis, again a group where overall adherence and persistence is likely to differ from those at a later stage of their illness.

In addition, this retrospective study was not able to identify sufficient patients within the data set to provide information about GLP-1 RA treatment. We have not addressed adherence to insulin therapy in this analysis.

A number of previous studies have used retrospective databases of electronic health records to examine factors that might predict adherence. A recent large cohort database examined overall adherence to oral therapy for type 2 diabetes, taking into account changes of therapy.

It concluded that overall adherence was 69%, with individuals newly started on treatment being significantly less likely to adhere [19]. There are few studies providing estimates of adherence to treatment and its relationship to glycaemic control: we have identified only two, both focussed on sulfonylurea and metformin treatment, and of relatively small size. In one, based in south-west Michigan, 677 patients with diabetes were reviewed and a 10% increase in non-adherence was associated with a 0.14% (1.5 mmol/mol) rise in HbA_{1c} [9]. A larger study in South Carolina of 1668 patients found that the mean medication possession ratio for those reaching an HbA_{1c} target of 7.0% [53mmol/mol] was 81% [8].

Low medication adherence is related to increased mortality [20]. The mean difference in HbA1c between patients with MPR<80% and 80% is between 0.37 and 0.55% (4 and 6mmol/mol), equivalent to up to a 10% reduction in death or an 18% reduction in diabetes complications [21]. The small numbers with adherence <70% mean that exploring the impact of this on HbA_{1c} and its relationship to other lifestyle factors requires a larger study population. Further work is now needed to demonstrate the extent to which improved compliance leads to improvements in HbA_{1c}.

Data obtained from real-time monitoring of medication collection may provide feedback to patients and their clinicians to indicate whether medication use might be sub-optimal. Further work is needed to establish the intervals over which data becomes meaningful and whether analysis with other routinely collected data improves identification of low adherence to treatment.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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BS, LRR and ML researched data. AF wrote the manuscript. BS ML and LRR reviewed or edited the manuscript. AF, LRR, ML, BS, MW, LD, RH, EP, and AH all contributed to the discussion and reviewed or edited the manuscript. Dr. Andrew Farmer is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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Figure 1.

Plot of HbA_{1c} (mmol/mol) change over all drugs in 12 months for each data set by Medication Possession Ration (MPR) category (baseline adjusted absolute change in HbA_{1c}) "Difference in HbA1c change for both CPRD and DARTS p<0.00001) Black circles = CPRD, white squares = GoDARTS Table 1

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CPRD	Metformin N=13,823	Sulfonylurea N=10,070	Thiazolidinedione N=9	088 DPP4i [‡] N=5119
Variable	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)
Age (years), mean (SD)	65.1 (10.8)	64.0 (10.8)	63.4 (10.4)	64.1 (10.3)
Female, n (%)	5351 (39)	3924 (39)	3398 (37)	1894 (37)
Duration of diabetes (years), mean (SD)	4.9 (4.0)	5.2 (3.8)	6.7 (4.8)	7.8 (4.9)
BMI (Kg/m2), mean (SD)	^a 30.5 (5.7)	^b 31.1 (6.0)	° 31.4 (6.0)	^d 32.5 (6.1)
HbA _{1c} (mmol/mol), mean (SD)	70.5 (15.0)	72.0 (15.5)	72.0 (13.9)	71.1 (13.6)
HbA _{1c} (%), mean (SD)	8.6 (1.4)	8.7 (1.4)	8.7 (1.3)	8.7 (1.2)
Dose (% maximum dose), mean (SD)	°59.7 (19)	†32.1 (16.7)	^g 56.2 (18.4)	h97.8 (10.3)
Weight change over 12m (kg), mean (SD)	ⁱ -1.4 (4.0)	^j 2.0 (4.5)	^k 2.8 (4.5)	¹ -0.9 (4.0)
GoDARTS	Metformin N=927 Su	ilfonylurea N=729 Thia	zolidinedione N=677 D	PP4i‡ N=244
Variable	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)
Age (years), mean (SD)	66.3 (10.2)	65.3 (10.5)	63.7 (9.8)	65.3 (9.8)
Female, n (%)	41	40	39	43
Duration of diabetes (years), mean (SD)	5.3 (4.0)	6.0 (3.7)	8.4 (5.2)	6.7 (4.6)
BMI (Kg/m2), mean (SD)	m 31.4 (5.7)	ⁿ 31.6 (5.8)	° 32.1 (5.7)	P 32.9 (5.9)
HbA _{1c} (mmol/mol) mean (SD)	67.9 (13.4)	70.2 (14.7)	72.9 (13.0)	70.5 (11.3)
HbA _{1c} (%) mean (SD)	8.3 1.23	8.6 1.34	8.8 1.18	8.6 1.10
Dose (% maximum dose), mean (SD)	^q 55 (18)	r 28 (16)	^s 73 (41)	^t 99.6 (0.1)

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Drug	Z	Percentage of patients with MPR<80% (%)	Change in HbA _{1c} % [mmol/mol] from baseline to 12 months for patients with MPR <80% (95% CI)	Change in HbA _{1e} % [mmol/mol] from baseline to 12 months for patients with MPR 80% (95% CI)	Difference between change in HbA_{1c} % [mmol/mol] from baseline to 12 months for patients with MPR<80% and MPR 80% (95% CI)	ď
CPRD						
All treatments	38,100	13.3	-0.75 (-0.78, -0.72) [-8.2 (-8.5, -7.9)]	-1.14 (-1.16, -1.13) [-12.5 (-12.7, -12.4)]	-0.40 (-0.43, -0.37) [-4.4 (-4.7, -4.0)]	<0.001
Metformin	13,823	18.8	-0.78 (-0.82, -0.74) [-8.5 (-9.0, -8.1)]	-1.16 (-1.18, -1.14) [-12.7 (-12.9, -12.5)]	-0.38 (-0.42, -0.34) [-4.2 (-4.6, -3.7)]	<0.001
Sulfonylurea	10,070	11.9	-0.85 (-0.91, -0.79) [-9.3 (-10.0, -8.6)]	-1.23 (-1.24, -1.20) [-13.4 (-13.6, -13.1)	-0.38 (-0.44, -0.31) [-4.1 (-4.8, -3.4)]	<0.001
Thiazolidinedione	9088	8.6	-0.66 (-0.73, -0.59) [-7.2 (-8.0, -6.4)]	-1.24 (-1.25, -1.21) [-13.4 (-13.7, -13.2)]	-0.57 (-0.64, -0.49) [-6.2 (-7.0, -5.4)]	<0.001
DPP4i ‡	5119	9.1	-0.40 (-0.50, -0.30) [-4.4 (-5.5, -3.3)]	-0.83 (-0.87, -0.81) [-9.1 (-9.5, -8.8)]	-0.44 (-0.54, -0.33 [-4.8 (-5.9, -3.6)]	<0.001
GoDARTS						
АІІ	2622	15.1	-0.63 (-0.74, -0.52) [-6.9 (-8.1, -5.7)]	-1.09 (-1.14, -1.04) [-11.9 (-12.5, -11.4)]	-0.46 (-0.58, -0.34) [-5.0 (-6.3, -3.7)]	<0.001
MFN	972	18.1	-0.59 (-0.73, -0.43) [-6.4 (-8.0, -4.7)]	-1.08 (-1.14, -1.01) [-11.8 (-12.5, -11.0)]	-0.49 (-0.66, -0.32) [-5.4 (-7.2, -3.5)]	<0.001
SU	729	16.2	-0.77 (-0.99, -0,56) [-8.4 (-10.8, -6.1)]	-1.11 (-1.20, -1.02) [-12.1 (-13.1, -11.1)]	-0.34)-0.58, -0.1) [-3.7 (-6.3, -1.1)]	<0.005
TZD	677	11.4	-0.70 (-0.95, -0.44) [-7.6 (-10.4, -4.8)]	-1.28 (-1.37, -1.18) [-14.0 (-15.0, -12.9)]	-0.59 (-0.86, -0.32) [-6.4 (-9.4, -3.5)]	<0.001
DPP4i ‡	244	10.7	0.12 (-0.32, 0.56) [1.3 (-3.5, 6.1)]	-0.63 (-0.79, -0.48) [-6.9 (-8.6, -5.3)]	-0.75 (-1.22, -0.28) [-8.2 (-13.3, -3.1)]	<0.005
MPR medication poss	session ratic); CI confidence inter	vals;			

⁴DPP4i - Dipeptidyl peptidase-4 inhibitor; HbA1c change adjusted by baseline HbA1c All changes in HbA1c are adjusted for baseline value of HbA1