



## University of Dundee

### Risk of vicarious trauma in nursing research

Breckenridge, Jenna; Taylor, Julie; Bradbury-Jones, Caroline; Jones, Christine; Herber, Oliver

*Published in:*  
Journal of Clinical Nursing

*DOI:*  
[10.1111/jocn.13235](https://doi.org/10.1111/jocn.13235)

*Publication date:*  
2016

*Document Version*  
Accepted author manuscript

[Link to publication in Discovery Research Portal](#)

#### *Citation for published version (APA):*

Breckenridge, J., Taylor, J., Bradbury-Jones, C., Jones, C., & Herber, O. (2016). Risk of vicarious trauma in nursing research: a focused mapping review and synthesis. *Journal of Clinical Nursing*, 25(19-20), 2768-2777.  
DOI: 10.1111/jocn.13235

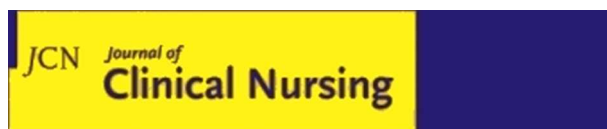
#### **General rights**

Copyright and moral rights for the publications made accessible in Discovery Research Portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from Discovery Research Portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain.
- You may freely distribute the URL identifying the publication in the public portal.

#### **Take down policy**

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.



## Risk of Vicarious Trauma in Nursing Research: A Focused Mapping Review and Synthesis

Journal:	<i>Journal of Clinical Nursing</i>
Manuscript ID	Draft
Manuscript Type:	Review
Keywords:	Domestic Violence, Child Abuse, Trauma, Research in Practice, Violence, Death and Dying

SCHOLARONE™  
Manuscripts

This is the peer reviewed version of the following article: Risk of vicarious trauma in nursing research', Breckenridge, et al., which has been published in final form at DOI:10.1111/jocn.13235

This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Self-Archiving."

# Risk of Vicarious Trauma in Nursing Research: A Focused Mapping Review and Synthesis

## Abstract

**Aims and objectives.** To provide a snapshot of how vicarious trauma is considered within the published nursing research literature.

**Background.** Vicarious trauma (secondary emotional distress) has been the focus of attention in nursing practice for many years. The most pertinent areas to invoke vicarious trauma in research have been suggested as abuse/violence and death/dying. It is not known how researchers account for the risks of vicarious trauma in research.

**Design.** Focused mapping review and synthesis. Empirical studies meeting criteria for abuse/violence or death/dying in relevant Scopus ranked top nursing journals (n=6) January 2009 to December 2014).

**Methods.** Relevant papers were scrutinised for the extent to which researchers discussed the risk of vicarious trauma. Aspects of the studies were mapped systematically to a pre-defined template, allowing patterns and gaps in authors' reporting to be determined. These were synthesised into a coherent profile of current reporting practices and from this, a new conceptualisation seeking to anticipate and address the risk of vicarious trauma was developed.

**Results.** 2503 papers were published during the review period, of which 104 met the inclusion criteria. Studies were distributed evenly by method (52 qualitative; 51 quantitative; 1 mixed methods) and by focus (54 abuse/violence; 50 death/dying). The majority of studies (98) were carried out in adult populations. Only two papers reported on vicarious trauma.

**Conclusion.** The conceptualisation of vicarious trauma takes accounts of both sensitivity of the substantive data collected, and closeness of those involved with the research. This might assist researchers in designing ethical and protective research and foreground the importance of managing risks of vicarious trauma.

**Relevance to clinical practice.** Vicarious trauma is not well considered in research into clinically important topics. Our proposed framework allows for consideration of these so that precautionary measures can be put in place to minimise harm to staff.

**Keywords:** abuse, bereavement, death, mapping review, nursing, risk, secondary emotional distress, sensitive issues research, vicarious trauma, violence.

## What does this paper contribute to the wider global clinical community?

- Future research should consider how vicarious trauma will be anticipated, prevented, identified and addressed when it occurs.
- The conceptual framework could be used to anticipate the potential for vicarious trauma in order to establish precautionary measures that might lead to early identification or prevention.
- The issue of vicarious trauma should be incorporated into checklists of reporting guidelines such as the consolidated criteria for reporting qualitative research (COREQ).

**Wordage:** 4155

## Introduction

Qualitative research is recognised as an intellectually and emotionally challenging endeavour (Hubbard *et al* 2001). Immersion in participants' stories and prolonged engagement in their data is emotionally demanding, particularly within the context of sensitive or distressing topics (Jackson *et al* 2013). In this paper, we discuss the issue of vicarious trauma, which has been defined as:

a transformation in the [trauma worker's] inner experience resulting from empathetic engagement with clients' trauma material. That is, through exposure to clients' graphic accounts... the [worker] is vulnerable through his or her empathetic openness to the emotional and spiritual effects of vicarious traumatization. These effects are cumulative and permanent, and evident in both...professional and personal life (Pearlman and Saakvitne 2013, p. 151).

Researchers engaging in topics such as abuse/violence and death/dying are at particular risk of vicarious trauma. Yet, while it is common for ethics protocols and published papers to acknowledge the emotional demands placed on research participants, far less attention has been given to the ways in which the research process impacts personally upon researchers themselves (Warr 2004). Although many applied disciplines deal with violence or death, they are particularly relevant for nurses and are a major focus of nursing research. Moreover, there is limited knowledge about how to prevent and address vicarious trauma for individuals in support of the research process, such as transcriptionists and translators. In this paper, we provide a focused mapping review and synthesis of the extent to which vicarious trauma is considered within the published nursing research literature. In so doing, we highlight the need to anticipate and address vicarious trauma risks within the research process and offer a framework to support this.

## Background

The challenges associated with sensitive issues research have been discussed extensively in the international nursing literature (see for example Wilkes *et al* 2014, Dickson-Swift *et al* 2007, 2008, 2009, Elmir *et al* 2011, Wilkes *et al* 2014). Correspondingly, the notion of 'vicarious trauma' - otherwise described as secondary traumatic stress (Jenkins & Baird 2002) - has attracted mounting attention over the past 25 years as a topic relevant to research. McCann and Pearlman (2013 p133) describe vicarious traumatization as: 'the experience [of] profound psychological effects that can be disruptive and painful... and can persist for months or years' (McCann & Pearlman 2013 p133). Early reference to 'vicarious traumatization' was concerned with trauma among therapists and counsellors (McCann & Pearlman 2013, Schauben & Frazier 1995), rather than those engaging with research. However, the last few decades have hatched a considerable body of literature regarding vicarious trauma and research. The main issues acknowledged as being a risk factor for vicarious trauma in research are violence and abuse (Way *et al* 2004, Butler 2008, Coles *et al* 2014, Wilkes *et al* 2014) and bereavement (Wilkes *et al* 2014). Literature on those who need to be protected from the emotional impact of sensitive issues research cluster around three groups:

- (1) interpreters (Harvey 2001, Butler 2008, Hsieh 2008, Splevins *et al* 2010);
- (2) transcriptionists (Gregory *et al* 1997, Wellard & McKenna 2001, Tilley 2003, Etherington 2007, Wilke *et al* 2014); and
- (3) researchers themselves (Johnson & Clarke 2003, Woodby *et al* 2011, Coles *et al* 2014).

There is thus a wealth of evidence from individual studies regarding the potential for sensitive issues research to cause vicarious trauma. What is less clear, however, is the extent

1  
2  
3 to which empirical papers discuss the issue of vicarious trauma and/or the strategies taken in  
4  
5 the research to address such risk. In a review of the literature regarding vicarious trauma,  
6  
7 Kadambi and Ennis (2004) argued that much of the thought and research on the issue has  
8  
9 been inconclusive. Nursing research is concerned primarily with real world issues for health  
10  
11 care users or providers. As indicated, violence and abuse, and bereavement are key risk areas  
12  
13 for vicarious traumatisation. This paper is a report on a type of literature review known as a  
14  
15 mapping review and synthesis undertaken to investigate this issue. The mapping and  
16  
17 synthesis described in this paper focuses on nursing. Part of our motivation to undertake this  
18  
19 research was our awareness from our own work in the field of child protection research of the  
20  
21 potential emotional impact on a research team of engaging with painful accounts of child  
22  
23 abuse and neglect and the consequences of these. A recent study of deaf and disabled  
24  
25 children's views and experiences of child protection also brought new challenges given the  
26  
27 involvement of British Sign Language Interpreters (insert author refs).  
28  
29  
30  
31

## 32 **Method: Focused Mapping Review**

### 33 **Aims**

34  
35  
36 Our aim was to provide a snapshot of the extent to which the issue of vicarious trauma is  
37  
38 considered within the published literature.  
39  
40  
41

### 42 **Design**

43  
44 To provide this snapshot we undertook a comprehensive literature review following the  
45  
46 refinements and guidance of the EPPI-Centre (2012). Grant and Booth (2009) described a  
47  
48 typology of 14 review types and associated methodologies which are often overlapping. In  
49  
50 their typology this study would meet many features of a mapping review/systematic map,  
51  
52 where:  
53  
54

55 Mapping reviews enable the contextualization of in-depth systematic literature reviews  
56  
57 within broader literature and identification of gaps in the evidence base. They are a  
58  
59  
60

1  
2  
3 valuable tool in offering policymakers, practitioners and researchers an explicit and  
4 transparent means of identifying narrower policy and practice-relevant review  
5 questions. Systematic maps may characterize studies in other ways such as according to  
6 theoretical perspective, population group or the setting within which studies were  
7 undertaken (Grant and Booth 2009 p97).  
8  
9  
10  
11  
12

13  
14  
15  
16 But it also met their criteria of critical review, where a significant component is concerned  
17 with conceptualisation to embody or derive new theory. Thus in addition to the mapping, we  
18 carried out a focused synthesis to examine one particular issue, draw attention to its  
19 completeness within the literature, and offer a new conceptualisation of a particular  
20 phenomenon (in this case vicarious trauma). We have used this method previously with effect  
21 (insert author ref) and refer to it now as focused mapping review and synthesis. We mapped  
22 and categorised existing literature to determine gaps and patterns.  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33

### 34 **Search methods**

35  
36 This form of review is unique in that it focuses on: 1) a specific subject; 2) a defined time  
37 period; and 3) targeted journals. Most other forms of review, such as systematic reviews,  
38 also specify the first two, i.e. subject and time period. However, they strive towards breadth  
39 and exhaustive searches, whereas the focused mapping review searches within specific, pre-  
40 determined journals. These are selected on the basis of their likelihood to contain articles  
41 relevant to a certain field of inquiry; in this case to provide a portrait impression of vicarious  
42 trauma within the nursing research literature. The distinctive feature of the focused mapping  
43 review and synthesis therefore is the purposive selection of journals.  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 According to the international Scopus (2013) Journal and Country Rank, the top ten nursing  
4  
5 journals when we commenced the study are shown in Table 1.  
6

7 [Insert Table 1]  
8  
9

10  
11 Despite their 'nurse' listings, following scrutiny of 12 months' of articles we disqualified  
12 those that were not exclusively nursing but were more concerned with medicine or  
13 administration (1, 3, 6). We also excluded Nurse Education Today (10). Whilst concerned  
14 with nursing, the empirical articles we found in the latter were focused on students or issues  
15 of pedagogy, not on service users. This left six journals as the basic dataset.  
16  
17  
18  
19  
20  
21  
22  
23

24  
25 We included all papers (children and adults) that reported primary empirical research dealing  
26 with abuse, violence, death or dying, published in the six year period from 1<sup>st</sup> January 2009  
27 until 31<sup>st</sup> Dec 2014. We excluded systematic reviews, administrative data and secondary data  
28 analysis where studies did not have direct contact with participants.  
29  
30  
31  
32  
33  
34  
35  
36

### 37 **Search outcome**

38 The six journals collectively published 2503 empirical papers during the review period  
39 (2009-2014), of which 104 met the inclusion criteria.  
40  
41  
42  
43  
44

### 45 **Data abstraction**

46 Each team member was responsible for one (or in some cases two) specific journals. Every  
47 article published in the timeframe was scrutinised against the inclusion and exclusion criteria.  
48  
49 Where team members were unclear, discussion within the group resolved such issues and  
50 ongoing refinements to the criteria were made in the early stages. Around ten per cent of the  
51 papers were blind reviewed to confirm inter-rater consistency. For those papers that met the  
52  
53  
54  
55  
56  
57  
58  
59  
60



1  
2  
3 inclusion criteria, papers were read in full and each reviewer loaded predefined details onto a  
4  
5 proforma developed for the study (Table 2).  
6

7 [Insert Table 2]  
8  
9

## 10 11 12 **Synthesis**

13  
14 Because this was a focused mapping review and synthesis we wanted to include only studies  
15  
16 dealing with topics and participants most likely to evoke emotional responses. We were  
17  
18 guided in this respect by Wilkes *et al* (2014) who found that the principal issues that caused  
19  
20 vicarious trauma for the transcriptionists in their study were abuse (including domestic) and  
21  
22 bereavement. We expected those studies nearest to service users, i.e. with 'real' participants,  
23  
24 were more likely to contain material disturbing to those on the peripheries of the research  
25  
26 than studies that used administrative data, or were systematic reviews. We were not  
27  
28 concerned whether studies mentioned issues of protection or safety of participants, or the  
29  
30 extent to which ethical protocols had been adhered. Potential trauma to those involved with  
31  
32 the research (e.g. the study team, transcribers, interpreters) was the focus.  
33  
34  
35  
36

## 37 38 **Results**

39  
40 Summary results across the papers are shown in Table 3.

41  
42 [Insert Table 3]  
43

44  
45 There was an even spread between qualitative (n=52) and quantitative (n=51) studies, with  
46  
47 one mixed-methods study. There was also an even spread between those papers that dealt  
48  
49 with abuse or violence (n=54) and those that dealt with death or dying (n=50). JAN published  
50  
51 the largest number of papers concerned with our predetermined traumatic topics, followed by  
52  
53 IJNS. Nursing Outlook published the least. The majority of studies (n=98) were carried out in  
54  
55 adult populations, with seven involving children and young people aged under 18.  
56  
57  
58  
59  
60

1  
2  
3 Only two papers meeting the inclusion criteria for our review referred in any way to the issue  
4 of potential vicarious trauma within the research team. Both papers were published in JAN;  
5 one by Goldblatt (2009) and the other by Jackson *et al* (2013).  
6  
7  
8  
9

10  
11 Goldblatt's paper reports on a study that investigated the impact on nurses of caring for  
12 abused women. The study involved in-depth interviews with nurses and the potential for  
13 vicarious trauma to occur amongst them is discussed. However, vicarious trauma is the focus  
14 of the study, rather than being something that is discussed as a risk to those involved with the  
15 study. No reference is made to potential upset or distress among those conducting the study.  
16  
17  
18  
19  
20  
21  
22  
23

24  
25 The paper by Jackson and colleagues is also concerned with abuse. Using an observational  
26 study design, the verbal abuse experienced by nurses in their everyday work is investigated.  
27  
28 This is the only paper out of the 104 included in our review that makes explicit reference to  
29 the potential for vicarious trauma to occur in those involved in the research. The study used  
30 nine nurses as observers. The observers were provided with 'ongoing support and access to  
31 counselling services at all times during the data collection period' (Jackson *et al* 2013,  
32 p.2068). Telephone contact and de-briefing with members of the research team were also  
33 available. These authors report that although no counselling was required, there were two  
34 incidents early in the data generation process where observers *did* require de-brief. The nature  
35 of the incidents is not disclosed but the fact that de-brief was required, points to the risk of  
36 vicarious harm as a direct result of involvement with research.  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50

### 51 **Towards a framework of vicarious trauma**

52  
53 As a result of the mapping exercise, we propose a framework for vicarious research based on  
54 a Johari window approach (Luft, 1969). We conceptualise the risk of vicarious trauma as  
55  
56  
57  
58  
59  
60

1  
2  
3 fitting within one of the quadrants as indicated in Figure One. The horizontal axis shows a  
4  
5 continuum of sensitivity, from research dealing with topics that are considered to be unlikely  
6  
7 to cause upset or distress (on the left hand point), such as those dealing with organisational  
8  
9 issues, staffing, education and training, and those of a highly sensitive nature at the other end.  
10  
11 The vertical axis captures the nature of data in terms of its closeness to participants. So, the  
12  
13 upper point indicates the first-hand accounts of participants, for example those of in-depth  
14  
15 narrative interviews. The bottom point of this axis indicates data that are removed from the  
16  
17 original accounts, such as those of surveys.  
18  
19

20  
21  
22  
23 [Insert Figure One here]  
24  
25

26  
27 The bottom left quadrant indicates a green, low risk area because the topic matter and  
28  
29 proximity to participant accounts are unlikely to evoke vicarious trauma. On the other hand,  
30  
31 the upper, right quadrant constitutes high risk of vicarious trauma, brought about by the  
32  
33 coupling of the potentially upsetting topic being investigated and the closeness to participants  
34  
35 themselves. We expect that any study could be categorised as being located somewhere  
36  
37 within the framework.  
38  
39

#### 40 41 42 43 **Strengths and limitations** 44

45 This was a small focused study to shed light on a particular topic that has hitherto had little  
46  
47 attention in the nursing literature. Methods were replicable and transparent. We focused  
48  
49 deliberately on a small number of journals and chose those most regarded in the field  
50  
51 internationally. However, a larger range of journals may have unearthed more instances of  
52  
53 vicarious trauma discussion. It could also be said that many, if not most, topics within nursing  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 research have the potential to create distress or concern among the study team and those who  
4  
5 work with them such as transcribers and interpreters.  
6  
7

8  
9  
10 Word restrictions in publishing do not allow for full protocols or some peripheral activities to  
11  
12 be reported. It is possible that some studies did put in place mechanisms to guard against or  
13  
14 address vicarious trauma, but this was not reported in the paper arising from the study.  
15  
16 Absence of discussion does not mean an absence of action in regard to vicarious trauma. It  
17  
18 may well have been covered in the full ethical protocol.  
19

## 20 21 22 23 **Discussion**

24  
25 Where it occurs, vicarious trauma can have significant effects involving a disruption of the  
26  
27 professional's frame of reference, including sense of identity, worldview, and spirituality  
28  
29 (Pearlman & Saakvitne, 2013). However, it is important to recognise that whilst research  
30  
31 involving sensitive data is inherently risky in terms of potential vicarious trauma, many of  
32  
33 those involved with the process do feel a sense of satisfaction conducting research on what  
34  
35 are often important issues (Wilkes *et al* 2014). In addition, secondary trauma is not an  
36  
37 inevitable outcome of empathic engagement and where this does occur, as Splevins and  
38  
39 colleagues (2010) observe, there is the potential for posttraumatic growth. Kadambi and  
40  
41 Ennis (2004) argue that most professionals cope well with stress and distress in their work  
42  
43 and that it is important to recognise wellness and resilience. They suggest that placing  
44  
45 emphasis on how to build resilience against traumatic stress responses is important.  
46  
47  
48  
49

50  
51  
52 We acknowledge that our vicarious trauma framework simplifies a very complex problem.  
53  
54 For example, it is not straightforward to identify a topic that is sensitive as this will be  
55  
56 context and person specific. Factors other than method will also come into play and we  
57  
58  
59  
60

1  
2  
3 discuss some of these next. We believe though that this framework offers a helpful starting  
4  
5 point for research teams and ethics panels to highlight emotional risk or potential for  
6  
7 vicarious trauma.  
8  
9

10  
11 One factor that may influence emotional risk is not built into the model and that is researcher  
12  
13 power. While it could be argued that the distance created by indirect contact with a traumatic  
14  
15 story (for example through transcription or secondary data analysis) is a protective factor  
16  
17 against vicarious trauma, it is also possible that this distance – and therefore the inability to  
18  
19 help or comfort the individual – could actually increase vicarious trauma. Pearlman and  
20  
21 Saakvitne (2013 p151) defined vicarious trauma as ‘the transformation of the therapist’s or  
22  
23 helper’s inner experience as a result of empathetic engagement with survivor clients and their  
24  
25 trauma material’. This definition implies a helping relationship between the person telling a  
26  
27 traumatic story, and the person listening to it. When this relationship does not exist, those  
28  
29 involved with the research process may experience heightened feelings of helplessness and  
30  
31 despair because of their inability to intervene. Unlike counsellors or practitioners who engage  
32  
33 with people in therapeutic relationships, researchers have less capacity to intervene in  
34  
35 participants’ lives. This applies even more so to those on the periphery of the research  
36  
37 process like transcriptionists or interpreters. This feeling of powerlessness may also be  
38  
39 induced by commissioned research that does not fit with the values or expectations of the  
40  
41 researcher or research participants.  
42  
43  
44  
45  
46  
47  
48

49  
50 Coles *et al* (2014) recommend that researchers should acknowledge that sensitive work is not  
51  
52 necessarily for everyone and suggest that researchers undertake basic training in counselling  
53  
54 skills. Within health and social care research, however, the majority of researchers will have  
55  
56 backgrounds in professions such as nursing, social work and the allied health professions.  
57  
58  
59  
60

1  
2  
3 They will have had formal training in counselling skills and typically will have amassed  
4 clinical experience in supporting people through difficult situations. But does this necessarily  
5 mean that they are better prepared to listen and respond to traumatic accounts? There is  
6 evidence to suggest that health professionals are skilled at emotional regulation (Hayward &  
7 Tuckey 2011) and reflection (Smith 2012). While these skills may help to protect against  
8 vicarious trauma, there is still considerable evidence that vicarious trauma is a significant  
9 issue for practising professionals. For example, an NSPCC (2013) report identified that social  
10 workers, especially those who work with abused children, experience increased stress and are  
11 at particularly high risk of vicarious trauma. Similarly, professionals who experience multiple  
12 losses, for example professionals working in palliative care settings, have been shown to be at  
13 particularly high risk of psychological stress (Strom-Gottfried & Mowbray 2006). Although  
14 professionals may be required to balance empathy with a degree of emotional distance from  
15 their patients/clients' lives, this is often framed within the boundaries of ethical practice  
16 rather than self-preservation and is more related to emotional labour, rather than vicarious  
17 trauma. Although related, we see a distinction between the concepts of vicarious trauma and  
18 emotional labour. The latter was first described by Hochschild (1983) as a result of  
19 observational work undertaken with the 'professional face' of airline crew. It is an issue  
20 discussed extensively in nursing and is concerned with the way that nurses regulate their  
21 emotional displays even when they are feeling upset or angry. This subconscious regulation is  
22 an attempt to conform to perceived expectations of how they 'ought' to appear, such as  
23 empathic and caring. Over time, the façade of emotional labour can result in stress and  
24 burnout (Smith 2012). Vicarious trauma is also associated with emotions and potential stress  
25 and burnout. But it occurs as a result of exposure to another's emotions, rather than dealing  
26 with one's own.  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 Moreover, individual professionals' reactions to trauma will be shaped not only by their  
4 training and experience, but by personal factors too. Individual personalities, spiritualities and  
5 personal experiences of death and abuse can impact health professionals' responses to  
6 traumatic accounts (Strom-Gottfried & Mowbray 2006). Thus, while a professional  
7 background may serve to protect against vicarious trauma, it is not necessarily a given that  
8 health and social care researchers will be less affected by engaging in emotive and sensitive  
9 research topics.  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19

20  
21 Consideration must be given to how vicarious trauma is anticipated, identified and addressed  
22 when it occurs. We suggest that our conceptual framework could be used to anticipate  
23 potential for vicarious trauma in order to put in place strategies to attempt to address  
24 secondary trauma positively and in a timely fashion. We stress that such strategies should not  
25 include emotional or empathic disengagement as such engagement is often central to the  
26 research process but should instead create opportunities for personal growth and academic  
27 innovation. In order for such strategies and responses to be effective, however, responsibility  
28 needs to be acknowledged at four levels, that is, the individual researcher level, the  
29 supervisory level, the organisational level and the structural level. An individual researcher is  
30 likely to be in a unique position to identify their own vulnerabilities and triggers for distress.  
31 A positive supervision process, however this is organised, will enable the researcher to  
32 achieve the level of reflection and reflexivity required to anticipate, identify and address  
33 emotional risk. These processes though require good organisational systems to support  
34 reflective research practice. For example, organisations may encourage and make available  
35 mentoring or formal counselling services. They may also provide formal training for  
36 members of ethics panels, principal investigators and junior researchers around emotional  
37 risk and vicarious trauma. It may also be necessary to address organisational barriers to  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 implementing these systems such as cultures of individual competition or machismo within  
4  
5 academia. Finally, research commissioners or funding bodies could ensure that the additional  
6  
7 resources that may be required to provide safeguarding measures within a study fall within  
8  
9 eligible costs of awards and are part of the quality criteria used to assess grant proposals.  
10  
11 However, such support mechanisms are unlikely to be available to those interacting at a  
12  
13 distance with research material.  
14  
15

16  
17  
18 Codes of practice have been developed aimed at the safety of researcher such as those of the  
19  
20 Social Research Association (2006) and Economic and Social Research Council (2015). They  
21  
22 currently place more emphasis on physical safety than emotional safety. There would be  
23  
24 value in strengthening such aspects of these and other relevant guidelines.  
25  
26

27  
28  
29 In our analysis we retrieved only studies that fitted into quadrants 3 and 4 of our conceptual  
30  
31 framework (figure 1). A fuller analysis across a range of topics and methodologies would  
32  
33 provide an indication of the distribution of studies across the framework, and thus a clearer  
34  
35 picture of the risks of vicarious trauma within the reporting of our research. According to this  
36  
37 conceptual framework, tertiary, non-sensitive research carries the least risk. Most of the  
38  
39 research that we conduct in nursing and healthcare is likely to fall into one of the other three  
40  
41 quadrants, indicating at the least, an amber alert.  
42  
43  
44

45  
46  
47 We are cognisant of the consolidated criteria for reporting qualitative research (COREQ)  
48  
49 (Tong at al., 2007) that calls upon transparency in the reporting of qualitative research. The  
50  
51 COREQ checklist has three domains: research team and reflexivity; study design; analysis  
52  
53 and findings. We suggest that the issue of vicarious trauma could be usefully incorporated  
54  
55 into the checklist as way of prompting authors or those reviewing their published work, to  
56  
57  
58  
59  
60



1  
2  
3 describe the steps that they have taken to address the issue. Protecting against the potential  
4 significant negative consequences of vicarious trauma is beneficial to all and it warrants  
5 systematic consideration in the planning, conducting and reporting of research. Through this,  
6 the number of cases experiencing what Baum (2010) describes as 'double exposure', i.e.  
7 researchers and supporting services are exposed to the same traumatic event as their research  
8 participants, could be diminished.  
9  
10  
11  
12  
13  
14  
15  
16  
17

## 18 **Conclusion**

19  
20 As a practice discipline nursing research often deals with real world concerns involving  
21 exposure to sensitive issues such as abuse/violence or death/dying. Despite the highly  
22 sensitive nature of such research, our paper identified a lack of explicit acknowledgment of  
23 how vicarious trauma among those conducting the study has been addressed in published  
24 research reports. It is noteworthy that less than one per cent of the papers that we scrutinised  
25 as part of this mapping review referred to vicarious trauma. This is in spite of the sensitive  
26 nature of the research reported in the papers. Thus, it is our concern to raise awareness among  
27 nursing researchers to place more emphasis on how vicarious trauma is anticipated,  
28 prevented, identified and addressed. It is likely that different academic disciplines will have  
29 different conceptual resources to draw upon to make sense of emotional risk in research.  
30 There would be value in exploring these disciplinary differences further.  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47

48 Bringing vicarious trauma to the forefront of the research process has implications not only  
49 for researchers but also for ethics review panels and journal publishers. When planning  
50 sensitive issues projects, researchers should anticipate the potential personal impact of  
51 engaging in research for the entire research team including supporting services (e.g.  
52 interpreters, transcriptionists) and consider precautionary measures. This should include a  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 clear plan for promoting vicarious resilience and strategies for addressing vicarious trauma if  
4 it arises. Mentoring, supervision, debriefing opportunities and access to pertinent literature  
5 could be made available for all those involved in the research, regardless of their position to  
6 the project, to build resilience against traumatic stress responses. Our conceptual framework  
7 offers a way of anticipating and reflecting on how vicarious trauma will be addressed. The  
8 framework is also useful for ethics review panels and journal publishers in identifying  
9 research projects with a high risk of vicarious trauma. Inclusion within published checklists  
10 and guidance for research reporting may focus attention on what is currently an important  
11 omission. Nursing research could lead the way in this respect.  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23

### 24 25 **Relevance to clinical practice**

26  
27 Vicarious trauma is not well considered in research into clinically important topics, yet nurses  
28 deal regularly with issues of death and dying, and with violence and abuse. Our proposed  
29 framework allows for consideration of these so that precautionary measures can be put in  
30 place to minimise harm to clinical staff in research and practice who are likely to encounter  
31 such issues.  
32  
33  
34  
35  
36  
37  
38  
39  
40

### 41 **Contributions**

42  
43 All authors contributed to the study concept and design, participated in data collection and  
44 analysis, developed the conceptual framework and assisted in preparing the manuscript.  
45  
46  
47  
48  
49

### 50 **Funding**

51  
52 This research received no specific grant from any funding agency in the public, commercial,  
53 or not-for-profit sectors  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**Conflict of interest**

The authors have no conflict of interest to declare.

For Peer Review

## References

- Baum N (2010) Shared traumatic reality in communal disasters: Toward a conceptualization. *Psychotherapy: Theory, Research, Practice, Training* **47**, 249-259.
- Butler C (2008) Speaking the unspeakable: female interpreters' response to working with women who have been raped in war. *Clinical Psychology Forum* **192**, 22-26.
- Coles J, Astbury J, Dartnall E & Limjerwala S (2014) A qualitative exploration of researcher trauma and researchers' responses to investigating sexual violence. *Violence Against Women* **20**, 95-117.
- Dickson-Swift V, James EL, Kippen S & Liamputtong P (2007) Doing sensitive research: what challenges to qualitative researchers face? *Qualitative Research* **7**, 327-353.
- Dickson-Swift V, James EL, Kippen S & Liamputtong P (2008) Risk to researchers in qualitative research on sensitive topics: issues and strategies. *Qualitative Health Research* **18**, 133-144.
- Dickson-Swift V, James EL, Kippen S. & Liamputtong P (2009) Researching sensitive topics: qualitative researcher as emotion work? *Qualitative Research* **9**, 61.
- Etherington K (2007) Working with traumatic stories: from transcriber to witness. *International Journal of Social Research Methodology* **10**, 85-97.
- Elmir R, Schmied V, Jackson D & Wilkes L (2011) Interviewing people about potentially sensitive topics. *Nurse Researcher* **19**, 12-16.
- ESRC (2015) ESRC Framework for Research Ethics Updated. Available [http://www.esrc.ac.uk/\\_images/framework-for-research-ethics\\_tcm8-33470.pdf](http://www.esrc.ac.uk/_images/framework-for-research-ethics_tcm8-33470.pdf)
- Evidence for Policy and Practice Information and Co-ordinating Centre (EPPI-Centre) (2012) Institute of Education, London.
- Goldblatt H (2009) Caring for abused women: impact on nurses' professional and personal life experiences. *Journal of Advanced Nursing* **65**, 1645-1654.
- Gregory D, Russell CK & Phillips LR (1997) Beyond textual perfection: transcribers as vulnerable persons. *Qualitative Health Research* **7**, 294.
- Harvey MA (2001) Vicarious emotional trauma of interpreters: a clinical psychologist's perspective. *Journal of Interpretation*, 85-98.

- 1  
2  
3 Hayward RM, Tuckey MR (2011) Emotions in uniform: How nurses regulate emotion at  
4 work via emotional boundaries. *Human Relations* **64**, 1501–1523.  
5  
6  
7 Hochschild AR (1983) *The Managed Heart: Commercialization of Human Feeling*. Berkeley,  
8 CA, University of California Press.  
9  
10  
11 Hsieh E (2008) ‘I’m not a robot!’ Interpreters’ views of their roles in health care settings.  
12 *Qualitative Health Research* **18**, 1367-1383.  
13  
14  
15 Hubbard G, Backett-Milburn K, Kemmer D (2001) Working with emotion: issues for the  
16 researcher in fieldwork and teamwork. *International Journal of Social Research*  
17 *Methodology* **4**, 119-137  
18  
19  
20 Jackson D, Hutchinson M, Luck L & Wilkes L (2013) Mosaic of verbal abuse experienced by  
21 nurses in their everyday work. *Journal of Advanced Nursing* **69**, 2066-2075.  
22  
23  
24 Jackson S, Backett-Milburn K, Newall E (2013) Researching Distressing Topics: Emotional  
25 Reflexivity and Emotional Labor in the Secondary Analysis of Children and Young  
26 People's Narratives of Abuse. *Sage Open* **3** pp.1-12 DOI:  
27 10.1177/2158244013490705  
28  
29  
30 Jenkins SR & Baird S (2002) Secondary traumatic stress and vicarious trauma: a validation  
31 study. *Journal of Traumatic Stress* **15**, 423-432.  
32  
33  
34 Johnson B & Clarke JM (2003) Collecting sensitive data: the impact on researchers.  
35 *Qualitative Health Research* **13**, 421-434.  
36  
37  
38 Kadambi MA & Ennis L (2004) Reconsidering Vicarious Trauma, *Journal of Trauma*  
39 *Practice* **3**, 1-21.  
40  
41  
42 Luft J (1969) *Of Human Interaction: The Johari Model*. Mayfield, Palo Alto, CA.  
43  
44  
45  
46 NSPCC (2013) Vicarious trauma: the consequences of working with abuse. London: NSPCC  
47  
48  
49 Pearlman LA & Saakvitne KW (2013) Treating therapists with vicarious traumatization and  
50 secondary traumatic stress disorders. In Figley, C. R. (ed.) *Compassion fatigue:  
51 Coping with traumatic stress disorder in those who treat the traumatized*. London:  
52 Routledge, pp. 150-155  
53  
54  
55  
56 Schauben L & Frazier P (1995) Vicarious trauma: the effects of female counselors of  
57 working with sexual violence survivors. *Psychology of Women Quarterly* **19**, 49-64.  
58  
59  
60 Scopus (2013) SCImago Journal and Country Rank. Available:  
[http://www.scimagojr.com/journalrank.php?area=2900&category=2901&country=all  
&year=2013&order=sjr&min=0&min\\_type=cd](http://www.scimagojr.com/journalrank.php?area=2900&category=2901&country=all&year=2013&order=sjr&min=0&min_type=cd) (accessed 14 October 2014)

- 1  
2  
3  
4 Smith P (2012). *The emotional labour of nursing revisited: Can nurses still care?* (Second  
5 edition. ed.). Basingstoke, Hampshire: Palgrave Macmillan.  
6  
7  
8 Social Research Association (2006) *A Code of Practice for the Safety of Social Researchers*.  
9 London, Social Research Association.  
10  
11 Splevins KA, Cohen K, Joseph S, Murray C & Bowley J (2010) Vicarious Posttraumatic  
12 Growth among Interpreters. *Qualitative Health Research* **20**, 1705-1716.  
13  
14  
15 Strom-Gottfried K & Mowbray ND (2006) Who Heals the Helper? Facilitating the Social  
16 Worker's Grief, Families in Society. *The Journal of Contemporary Social Services*  
17 **87**, 9-15.  
18  
19  
20 Taylor J & Bradbury-Jones C (2011) Sensitive issues in healthcare research: the protection  
21 paradox. *Journal of Research in Nursing* **16**, 303-306.  
22  
23  
24 Tilley SA (2003) 'Challenging' research practices: turning a critical lens on the work of  
25 transcription. *Qualitative Inquiry* **9**, 750-773.  
26  
27  
28 Tong A, Sainsbury P & Craig J (2007) Consolidated criteria for reporting qualitative research  
29 (COREQ): a 32-item checklist for interviews and focus groups. *International Journal*  
30 *for Quality in Health Care* **19**, 349-357.  
31  
32  
33 Warr DJ (2004) Stories in the Flesh and Voices in the Head: Reflections on the Context and  
34 Impact of Research With Disadvantaged Populations. *Qualitative Health Research*  
35 **14**, 578-587.  
36  
37  
38 Way I, VanDeusen K, Martin G, Applegate B & Jandle D (2004) Vicarious trauma: a  
39 comparison of clinicians who treat survivors of sexual abuse and sexual offenders.  
40 *Journal of Interpersonal Violence* **19**, 49-71.  
41  
42  
43 Wellard S & McKenna LG (2001) Turning tapes into text: issues surrounding the  
44 transcription of interviews. *Contemporary Nurse* **11**, 180-186.  
45  
46  
47 Wilkes L, Cummings J & Haigh C (2014) Transcriptionist saturation: knowing too much  
48 about sensitive health and social data. *Journal of Advanced Nursing* **71**, 295-303.  
49  
50  
51 Woodby LL, Williams BR, Wittich AR & Burgio KL (2011) Expanding the notion of  
52 researcher distress: the cumulative effects of coding. *Qualitative Health Research* **21**,  
53 830-838.  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49

**Table 1: Top 10 Nursing Journals (Scopus)**

1. Journal of Pain and Symptom Management (Excluded)
2. Journal of Nursing Scholarship
3. Journal of the American Medical Directors Association (Excluded)
4. International Journal of Nursing Studies (IJNS)
5. Journal of Advanced Nursing (JAN)
6. Journal of Palliative Medicine (Excluded)
7. Nursing Research
8. Nursing Outlook
9. Research in Nursing and Health (RNH)
10. Nurse Education Today (Excluded)
<i>NB: To ensure we were not missing crucial data, a further check was made over the articles in the four excluded journals, where we reviewed a year's worth of papers (2013). No further instances of vicarious trauma were discussed in the tranche of papers reviewed.</i>

Table Two: Scoping Proforma

Journal: (1<sup>st</sup> January 2009 until 31<sup>st</sup> December 2014) (Reviewer initials)

Volume (year) of Journal	Total empirical papers published during review period	Total papers meeting inclusion criteria (i.e. primary research, abuse/violence; death/dying)	Number of papers dealing with abuse/violence (specify)	Number of papers dealing with dying/death (specify)	Number of papers involving children (0-18)	Number of papers involving adults (19+)	Number of papers that discuss vic trauma	Study design/methods used (name)	Method of analysis
<b>Total</b>									



Table 3: Summary Table of Scoping Exercise from 1<sup>st</sup> January 2009 until 31<sup>st</sup> December 2014 (n=6)

Name of Journal	Total empirical papers published during review period	Total papers meeting inclusion criteria (i.e. primary research, abuse/violence; death/dying)	Study design (qualitative, quantitative or mixed-method)	Number of papers dealing with abuse/violence	Number of papers dealing with dying/death	Number of papers involving children (0-18)	Number of papers involving adults (19+)	Number of papers where vicarious trauma is being discussed
International Journal of Nursing Studies	722	22	Qualitative n=13 Quantitative n=9	8	14	1*	22	0
Journal of Advanced Nursing	1009	41	Qualitative n=19 Quantitative n=21 Mixed-method n=1	21	20	1	40	2
Journal of Nursing Scholarship	188	12	Qualitative n=8 Quantitative n=4	9	3	1	11	0
Nursing Outlook	112	3	Qualitative n=2 Quantitative n=1	2	1	0	3	0
Nursing Research	237	11	Quantitative n=11	8	3	3	8	0
Research in Nursing and Health	235	15	Qualitative n=10 Quantitative n=5	6	9	1	14	0
<b>Total</b>	<b>2503</b>	<b>104</b>	<b>Qual=52; Quan=51</b>	<b>54</b>	<b>50</b>	<b>7</b>	<b>98</b>	<b>2</b>

\* Please note that figures in this row do not add up because the study involved both children and adults.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49

For Peer Review

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

