

Developing a label-free microfluidic strategy for downstream processing of stem cell-derived red blood cells

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Abstract

Stem cell-originated therapeutic products, such as *in vitro* manufactured red blood cells (mRBC), offer a novel route to treating disease by administration of a viable somatic cells that have been selected and manipulated outside the body. Cell-based therapeutics are different to traditional biopharmaceutical products and that presents a challenge of developing robust and economically feasible manufacturing processes, especially in sample purification. To address this challenge, I investigated label-free separation methods based on cell endogenous properties such as size and deformability as sorting parameters. In this study the mechanical properties of umbilical cord blood CD34+ cells undergoing *in vitro* erythropoiesis were characterised to identifying the best route for mRBC purification. For the first time it has been demonstrated how deformability-induced lift force affects and contributes to particles separation in spiral microchannels. These findings were translated and incorporated into a new route for high-throughput (processing millions of cells /min and mls of medium/ min) continuous purification strategy for separating mRBC from contaminant by-products (purity >99%). This work is anticipated to bring the benefits of mRBC to a wide range of patients by enabling their manufacture as a reliable, safe and controlled supply of red blood cells for transfusion.

To Paul de Sousa & all scientists following their personal research path

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Citation details	Ewa Guzniczak, Maryam Mohammad Zadeh, Fiona Dempsey, Melanie Jimenez, Henry Bock, Graeme Whyte, Nicholas Willoughby and Helen Bridle, High-throughput assessment of mechanical properties of stem cell derived red blood cells, toward cellular downstream processing. <i>Sci. Rep.</i> 7 , 14457 (2017)
Ewa Guziczak	Designed and performed the RT-DC, widefield microscopy, cytospin, flow cytometry and FACS experiments, performed CB CD43+ differentiation and wrote all parts of the manuscript relevant to this work
Maryam Mohammad Zadeh	Performed AFM work and wrote a relevant part of the manuscript (not presented in this thesis) and widefiled microscopy
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Melanie Jimenez	Provided ROC curves for FigS.3 (not presented in this thesis) and contributed to the writing of the manuscript
Henry Bock	Help with AFM data analysis- not presented in this thesis
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Helen Bridle	Contributed to the experiments design and writing of the manuscript
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Nicholas Willoughby	Contributed to the writing of the manuscript
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Table of Contents

Metho	od of	Submission	7
E-thes	sis Su	ibmitted (mandatory for final theses)	7
Chapter	1.	Introduction	1
1.1.	Proj	ect motivation	1
1.2.	Lab	el-free markers	3
1.3.	Trac	ditional cell separation	7
1.4.	Mic	rofluidic label-free separation	8
1.5.	Iner	tial microfluidics	9
1.6.	The	sis structure	18
Chapter	2.	Materials and methods	20
2.1.	Cell	culture	20
2.1.	1.	Cord CD34+ stem cell culture	20
2.1.	2.	Jurkat cells	20
2.1.	3.	HEK cells	21
2.2.	Rea	1-time deformability cytometry	21
2.3.	Rea	l-time fluorescent and deformability cytometry	22
2.4.	Cell	morphology- cytospin	23
2.5.	Flov	w cytometry and FACS-staining protocol	24
2.6.	Mic	rofluidic system	25
2.7.	Rec	eiver Operating Characteristic (ROC) curves	26
Chapter	3.	Assessment of mechanical properties of stem cell-derived red blood cells	28
3.1.	Intro	oduction	28
3.2.	Res	ults and discussion	30
3.3.	1.	High-throughput size and deformability assessment	30
3.3.	2.	Correlation between mechanotype and biology	36
3.4.	Con	clusion	39
3.5.	Mat	erials and methods	40
3.5.	1.	Flow Cytometry	40
3.5.	2.	Mechanotype Characterisation- Real-Time Deformability Cytometry	40
3.5.	3.	Widefield Microscopy	41
Apper	ndix .	A	42
Chapter	4.	Understanding contribution of deformability-induced lift force to cells focus	sing
in spiral	micr	ochannels	43
4.1.	Intro	oduction	43
4.2.	The	oretical background	44

4.3.	Res	ults	46
4.3	8.1.	Cellular deformability model	46
4.3	3.2.	Lateral equilibrium position as a function of cell deformability	51
4.3	3.3.	Deformability difference for sorting cells in spiral microchannel	55
4.3	3.4.	Impact on cell viability	58
4.4.	Dis	cussion	59
4.5.	Cor	nclusion	64
4.6.	Ma	terials and methods	64
4.6	5.1.	Deriving the cellular deformability model	64
4.6	5.2.	Cell characterisation RT-FDC	65
4.6	5.3.	Cells separation in spiral channels	66
4.6	5.4.	Viability flow cytometric assay	66
Chapter propert	r 5. ies in	Purifying stem cell-derived red blood cells: Translating cell mechanical to complete high-throughput label-free downstream processing strategy	75
5.1.	Intr	oduction	75
5.2.	Res	ults and Discussion	76
5.2	2.1.	Sample characterisation	76
5.2	2.2.	Size measurements	76
5.2	2.3.	Deformability measurements	79
5.2	2.4.	Sorting- principles and optimisation	81
5.2	2.5.	mRBC purification process	84
5.2	2.6.	Filtration justifications	86
5.2	2.7.	Donor-to-donor variability and reproducibility	91
5.2	2.8.	Processing impact on cells	95
5.3.	Co	clusion and future outlook	100
5.4.	Ma	terials and methods	101
5.4	I.1.	Flow cytometry	101
5.4	1.2.	Real-time fluorescence and deformability cytometry (RT-FDC)	101
5.4	1.3.	Cytospin analysis	102
5.4	1.4.	Cell processing	102
5.4	1.5.	Trypan blue exclusion assay	104
5.4	1.6.	Global gene expression	104
Appe	endix	E	105
Appe	endix	F	106
Appe	endix	G	108
Appe	endix	Н	109

Appendix	I	111
Appendix	J	112
Appendix	К	113
Appendix	L	114
Appendix	M	115
Appendix	N	116
Appendix	O	117
Chapter 6.	Bioprocess considerations Part I- predicted versus experimental results	120
6.1. Intr	oduction	120
6.2. Res	ults	122
6.3. Dis	cussion	128
6.4. Cor	nclusion	130
6.5. Mat	terials and methods	130
6.5.1.	Sample preparation	130
6.5.2.	Hydrodynamic behaviour in spiral microchannel	131
Chapter 7. (Pluronic F-6	Bioprocessing considerations Part II- medium choice- impact of Poloxamer 158) additive on cell mechanical properties	188 133
7.1. Intr	oduction	133
7.2. Res	ults	135
7.2.1.	Effect of Pluronic on Jurkat cells size and deformability- time-dependency	135
7.2.2.	Effect of Pluronic on Jurkat cell size and deformability- concentration	
depende	ncy	137
7.2.3.	Effect of Pluronic F-68 on RBCs size and deformability	139
7.2.4.	Effect of Pluronic F-68 on adherent HEK cells	140
7.2.5.	Hydrodynamic behaviour in spiral microchannel	142
7.3. Dise	cussion and conclusion	147
7.4. Mat	terials and methods	150
7.4.1.	Real-time deformability cytometry	150
7.4.2.	Viability study	150
7.4.3.	Sample preparation- Jurkat cells	150
7.4.4.	Sample preparation- red blood cells	152
7.4.5.	Sample preparation- HEK cells	152
7.4.6.	Microscopy	152
7.4.7.	Assessing hydrodynamic behaviour of Pluronic-treated Jurkat cells and RBC	' in
spiral m	icrochannel	153
Appendix	P	154
Appendix	R	159

Appendix	S	164
Chapter 8.	Summary and future outlook	169
Bibliography		172

Glossary

a	Particle diameter
AFM	Atomic Force Microscopy
AUC	Area Under the Curve
BEL-A	Bristol Erythroid Line Adult
c	Circularity
CB CD34+	Umbilical Cord Blood CD34 positive cells
CD	Cluster of differentiation
CL	Lift coefficient
CytoD	Cytochalasin D
D	Deformation
DD	Differential Deformation
De	Dean number
D _h	Hydraulic diameter
EC ₅₀	Half maximal effective concentration
EDTA	Ethylenediaminetetraacetic acid
FACS	Fluorescent Activated Cell Sorting
FBP	Fetal bovine serum
F _D	Deformability-induced lift force
F _{DD}	Dean Drag
F _L	Shear-gradient lift force
F_N	Net-inertial lift force
FSC-A	Forward Light Scatter
F_{W}	Wall-induced lift force
F_{Ω}	Rotation-induced lift force
G	Shear rate
GFP	Green Fluorescent Protein
GMP	Good Manufacturing Practice
Н	Height
HEK	Human Embryonic Kidney cells

hESC	Human Embryonic Stem Cells
hiPSC	Human induced Pluripotent Stem Cells
IF	Inertial Focusing
MACS	Magnetic Activated Cell Sorting
mRBC	Manufactured Red Blood Cells
MTC	Magnetic Twisting Cytometry
PB	Peripheral Blood
PBS -/-	Phosphate-buffered saline without calcium and magnesium
PDMS	Polydimethylsiloxane
PI	Propidium Iodide
PMMA	Poly(methyl methacrylate)
PTFE	Polytetrafluoroethylene
RBC	Red Blood Cells
R	Radius
Re	Reynolds number
Re _p	Particle Reynolds number
ROC	Receiver Operating Characteristic
ROI	Region Of Interest
RT-DC	Real-Time Deformability Cytometry
RT-FDC	Real-Time Fluorescent and Deformability Cytometry
SD	Standard Deviation
U	Medium velocity
W	Width
V_{F}	Volume fraction
α	Length fraction
λ	Particle confinement ratio
μ	Dynamic viscosity
ρ	Medium density

Chapter 1. Introduction

1.1. Project motivation

Rapid development in the field of stem cell research has led to the development of new treatments and highly innovative cellular therapeutic products. These new cell-based medicinal products have a high potential where there is a previously unmet medical need [1].

Despite an annual 112.5 million blood donations collected across 176 countries in over 13000 blood centres, increased demand for safe blood for transfusion might not be satisfied by donated blood [2], hence there is a niche for unconventional solutions such as manufacturing red blood cells (RBC) from stem cells. Stem cells- derived red blood cells could constitute an attractive pathogen- free and sustainable alternative for donated blood for rare blood groups and patients requiring systematic transfusions[3]. In many cases, such as sickle cell anaemia, myelodysplasias and leukaemia, multiple blood transfusions are regarded the only available symptomatic treatment and that can lead to immunization against the allogenic red blood cells and transfusion impasses [4].

The efficient production of manufactured red blood cells (mRBC) is an ambitious goal for blood services around the world, and production of a single therapeutic dose ($\sim 2 \times 10^{12}$ cells) still remains a significant bioprocessing challenge [5][6]. The main challenge for future clinical applications of mRBC is to identify and develop technological solutions that would allow production of mRBC at a satisfactory scale and quality in compliance with good manufacture practice (GMP) regulations within the realms of economic feasibility [7][8][9][10][11]. New strategies for the large-scale automated expansion [10] [12], purification and formulation will have to be implemented [13] and the choice of adequate methods is strongly motivated by cost considerations [14][3].

To date, numerous customised differentiation protocols have been developed to produce mRBC from several sources of starting material: CD34+ hematopoietic stem cells from peripheral blood (PB) [15] and umbilical cord blood (CB) [16][17][18], peripheral blood mononuclear cells (PBMC) [19], embryonic [20][21] and induced pluripotent stem cells [22] and recently immortalised adult human erythroid line (Bristol Erythroid Line Adult BEL-A) [23]. In the last fifteen years, considerable progress has been achieved in terms of optimising the biological processes underpinning erythroid cells' expansion and maturation [24]. The selection of starting cell material has been expanded of iPSC and BEL-A cell line, providing a potentially unlimited source for *in vitro* erythroid differentiation with the flexibility to mitigate

blood-compatibility challenges. Moreover, all xenogeneic culture compounds, like serum, transferrin, insulin and growth factors have been replaced, resolving the associated risks of virus, prions and immunological complications [25][26][27][28][28]. In 2011 a mini-transfusion (10¹¹ cells) of autologous CB CD34+- derived under GMP conditions mRBC was given to a volunteer [29][30] providing data and the proof of principle of mRBC feasibility for clinical use.

Despite the considerable progress in improving the expansion rate and yield of mRBC, all of these approaches lack a sufficient enucleation rate (**Table 1.1**). In other words, the efficiency of the current differentiation protocols is lower than 100% which means that the end-product is a heterogeneous mixture containing enucleated mRBC, nucleated cells that remain at earlier developmental stages as well as free-floating nuclei expelled during the enucleation process, which in *in vivo* situation would be removed by macrophages [31]. In 2008 Fujimi *et al.* reported CB CD34+ differentiation strategy providing an almost complete enucleation (99.4%) [17], however that was achieved by co-culture with macrophages, making the protocol impossible to scale-up [32].

Source	Culture period	Enucleation rate	Ref
CB CD34+	21 days	4%	[18]
	20 days	75-80%	[25]
	21 days	44-84%	[33]
	-	>90%	[26]
	38	99.4%	[17]
PB CD34 +	18 days	68%	[29]
	18 days	55-95%	[34]
	21 days	63%	[35]
PBMC	20 days	80-90%	[19]
hESC	59 days	1.5-16%	[36]
	42 days	10-65%	[21]
	60-125 days	2-10%	[37]
hiPSC	26 days	4-10%	[22]
	60/125 days	2-10%	[37]
	52 days	20-26%	[38]
BEL-A	18 days	30%	[23]

Table 1.1

Examples of published in vitro erythroid differentiation protocols and reported enucleation rates.

Presence of free-floating nuclei in large quantities may prove particularly problematic in large-scale culture systems by fouling surfaces and entangling the desired cell product in DNA [39]. Most importantly, the presence of residual nucleated cells and the expelled nuclei constitute potentially harmful by-products if transfused into patients [40][41]. Undifferentiated nucleated cells can give rise to teratomas (benign tumours of differentiating cells) and teratocarcinomas (malignant metastatic tumours composed of highly proliferative cells). Thus they have to be removed from the sample and require adequate purification approaches [13][42].

As stem cell-originated mRBC progress into clinical trials and commercialisation [43], that creates new issues for bio-production and processing, requiring a re-think of the current manufacturing strategy [44]. To meet the demand for high-quality mRBC, development of bioprocesses compliant with current GMP standards and operating at satisfactory scale (ml of medium/min and millions of cells/ min) is required. To address the need for efficient and safe manufacturing processes, this project aims to develop a label-free continuous and scalable separation protocol, suitable for industrial-scale cell purification.

1.2. Label-free markers

The label-free description implies purification processes that do not require cell modification for detection and discrimination. The indigenous properties such as cell size and deformability (defined as the ability of a cell to change shape under applied load without cell lysis) have been recognised as promising label-free markers [45]. In many cases, alterations in cell mechanical properties are correlated with their molecular activity, such as cell cycle regulation [46], differentiation [41], immune-cell activation [47], malignant transformation [48] and disease (e.g. osteoarthritis [49] and malaria [50]). The mechanical properties of cells have been shown to be useful label-free markers of cell state. Since there is no need to add costly antibodies to revel the label-free markers and the number of processing steps (washing and centrifugation) is reduced, they are seen as a potential route for developing industrially-viable cell enrichment approaches [51].

Cell mechanical phenotype is determined by their intracellular components and the way they interact with each other, with a particular importance given to the cytoskeleton. The cytoskeleton is a dynamic protein scaffold supporting the cell membrane and organelles, providing spatiotemporal flexibility of cells, required to respond and adapt to their physical environment and maintain their integrity [52]. There are three main cytoskeletal building blocks: microfilaments (helical protein structures providing cell motility and governing movements of the organelles within the cell due to high speed of polymerization/ depolymerisation), intermediate filaments (provide mechanical support for of cell membrane for cell-cell and cell-microenvironment interactions) and microtubules (provide resistance to external stimulus) [53]. Overall the cytoskeletal mechanical characteristic is a consequence of relative content of each cytoskeletal protein as well as filament network organisation [54].

The cell membrane has a significant mechanical characteristic at the nanoscale (deformation is not deep enough to be applicable to underlying cytoskeleton). However, in an holistic mechanical approach its function is dependent on its interaction with cytoskeleton. Additionally the cell-nucleus ratio significantly influences whole-cell mechanical properties. A large nucleus undermines the cell membrane overall stiffness, and when this is the case, cell deformability is dominated by nuclear mechanical traits [55]. A popular example are human pluripotent stem cells, where nucleus occupies most of the cell volume, and it is the defining feature of cell mechanics [56]. The nuclear mechanical properties are determined by content of lamins, proteins found in nuclear envelope, as well as chromatin architecture and compaction [57]. Lamins are an extended part of the LINC (Linker of Nucleoskeleton and Cytoskeleton) complex, which is believed to be the ultimate mechanosensory unit in cell by which transmission of forces between the nucleus and cytoskeleton are enabled, resulting in altered gene expression [58]. Studying the LINC complex led to identification of the correlation between content of the nucleoskeletal protein lamin-A, which stabilizes the nucleus, with cells stiffness [59].

Because of the increased interest in cell mechanics as separation parameter, a range of approaches have been applied to measure the viscoelastic properties of individual cells (as outlined in **Table 1.2**).

There is a variety of tools available for assessing cell deformability at a single cell level. Most of those methods (Atomic Force Microscopy (AFM), optical stretching, Magnetic Twisting Cytometry (MTC) and micropipette aspiration) operate at very low throughputs (<100 cells/min) as they rely on time-consuming, manual protocols. These approaches, developed for basic biophysics research on tens of cells, are not expected to be directly translatable to bioprocessing of heterogeneous samples. AFM, MTC and micropipette aspiration techniques probe locally on cell surface, thus the position of the probe can yield different measurements even among a single cell population. Optical stretching throughput is limited by intense manual operation as well as limitations to the maximum applied optical force- its increase leads to significant heating of the measured sample.

The accurate characterisation of complex samples, in a statistically relevant manner, is possible by overcoming the throughput limitation with introduction of microfluidic platforms (microconstrictions, hydrodynamic cytometry, real-time deformability cytometry (RT-DC)) for high-throughput (tens-thousands of cell/ min) mechanotyping. A short processing time is valuable to minimise the physical changes introduced by prolonged incubation in processing buffers or exposure to suboptimal environment (e.g. humidity, temperature and CO₂ and O₂ content). In contrast to AFM, MTC and micropipette aspiration microfluidic platforms deform the entire cell, providing a holistic insight into cells mechanical behaviour.

Cell transit through microconstrictions is sensitive to changes in cell size and adhesiveness (softer, smaller and non-sticky cells travel faster through the constrictions). The size of constriction has to be well-matched to measured cells because small cells will pass through the constriction without deformation and too large cells will clog the channel.

Hydrodynamic approaches measure cell deformability by using fluid-dynamic stresses generated by the design of the microfluidic channel. Due to throughput reaching those of standard flow cytometers (thousands of cells / min) and contactless measurement mode, in this study, the end-product of CB CD34+ *in vitro* erythropoiesis, was thoroughly characterised by RT-DC, described further in detail in **Chapter 2**.

	Metho	odology	Throughput	Ref.
Atomic Force Microscopy		Using scanning probe (cantilever with a sharp tip) for poking/ touching surface of the sample with a resolution of fractions of nanometres for viscoelasticity measurement	< 100 cells/ hr	[60] [61] [62] [49] [63] [64] [65] [66]
Optical stretcher		Optical stretcher is a dual-beam optical trap where a particle/ cell is trapped by optical forces generated by two opposite laser beams.	< 100 cells/ hr	[67] [68]
Magnetic twisting cytometry/ tweezers	¢¢	Magnetic bead is strongly attached to cell surface and by applying and manipulating magnetic field a portion of cell is twisted.	< 100 cells/ hr	[69] [70] [71]
Micropipett e aspiration		Applying suction pressure on a cell membrane and measuring extension into the bore of glass micropipette.	< 100 cells/ hr	[72] [73] [74]
Transit through constrictions		Cells are pushed through custom- made constrictions smaller than cell size, it can be aspiration-, fluid- and electrically induced process	1-100 cells/ s	[75][76] [77]
Hydrodynamic cytometry		Deforming cell at high strains in a stretching extensional flow filed within microfluidic device	Thousands cells/ min	[78]
Real-time deformability cytometry		Cells are deformed by pressure gradient and shear stress in a constriction in a contact-less manner	Thousands cells/ min	[79] [80] [81]

Table 1.2

Summary of commonly used currently available methodologies for cell meachanotyping. The table contain the name of a technique, a graphical representation of the method accompanied by a description, throughput (a number of cell that could be assessed in a given time) as well as a selection of references, where a given method was used for assessing cell mechanical properties.

1.3. Traditional cell separation

Traditionally, cell purification is performed using Fluorescent and Magnetic Activated cell sorting (FASC and MACS) and density gradient centrifugation [82] Both, FACS and MACS are well-established, commonly-used and very precise (cells are purified based on their molecular features), however, they have limited throughput (only millions of cells per hours are purified), and they are not cost-effective due to the addition of fluorescence-conjugated antibodies (immuno-labelling). Immuno-labelling is a laborious multi-step process consisting of numerous centrifugation, washing and incubation steps often resulting in a significant (reported up to 70%) cell loss [82] and post-isolation cell quality impairment [83]. Additionally, currently a limited number of fluorophore-conjugated antibody reagents suitable for clinical processing[84]. The effects of introducing these probes into patients are unknown, but it is generally recognised that they could potentially trigger immune and toxic responses, thus they have to be removed prior clinical application [85]. FACS and MACS generate highly-defined, purified cell populations with a low number of unwanted cells in the final product, however the requirement for cell-specific ligands constrains adaptation of these methods to industrial-scale processing due to the high cost of antibodies.

The most commonly used large-scale separation approach- density gradient centrifugation- makes use of the cells' physical properties such as density and size. It is a rapid process (processing time ~1 hour) with a low technical hurdle suitable for a crude debulking of large number of cells (e.g. $1-3 \times 10^{10}$ input cells). However it is a heavily manual process, operating in batch mode, proving it challenging for automation, maintaining sterility and scaling-up. Scale-up requires a transition from batch to continuous operation, which is not easily compatible with density gradient [86].

Another golden standard in cell separation is filtration. This process removes solid particles of desired size from a liquid-solid mixture. The mixture is passed either through a filter medium or a porous membrane of defined pore size. Filters are rated based on cut-off point, which represents the size of the largest spherical glass particle (expressed in mm) that can pass through the filter under laboratory conditions [87]. There are two approaches, deadend filtration (where feed is passed through the filter, particles larger than cut-off point are captured on the surface of the filter creating "filter cake") and tangential-flow filtration (considered as advanced and improved filtration, as this operates in a continuous fashion, the feed travels tangentially across the surface of the filter subsequently "filter cake" is washed away by the flowing media) [88].

Centrifugation and filtration are traditionally used methods in laboratory setting and for industrial applications. They are well-established and they serve the purpose however with a development of the biological field more sophisticated and cost-effective technologies are of interest.

1.4. Microfluidic label-free separation

Cell sorting and isolation from a heterogeneous mixture is a crucial task in many aspects of cell biology, biotechnology and medicine. Recently, there has been interest in methods allowing cell separation upon their intrinsic properties (label-free) without the need of use of biochemical labels [86][51]. In order to satisfy the increased demand for label-free sorting, as shown in **Fig. 1.1** numerous microfluidic platforms have been developed.

Label-free sorting operates based on differences in an intrinsic property of the cell to be separated including size, density, shape, hydrophobicity, net charge, sedimentation density, intrinsic hydrodynamic forces, differential magnetic susceptibility, refractive index, polarisability, membrane properties such as surface charge, cytosol conductivity, and cell deformability/stiffness [89]. Label-free sorting approaches can be further classified as (1) active- externally applied forces are used for cell manipulation and (2) passive- rely on flow characteristics determined by channel geometry.

Recently, due to their operating simplicity, accuracy, high-throughput (ml/min) and low cost, inertial focusing (IF) microfluidic platforms have gained recognition for label-free continuous passive cell separations [90]. IF devices do not use any external forces (except a pump, for injecting fluid into the device) for cell ordering and separation, as the process is determined by the channel geometry.





1.5. Inertial microfluidics

Inertial focusing (IF) and ordering happen in confined channels at intermediate Reynolds number (1 < Re < 1000)), where inertia and viscous forces are finite and inertial migration and secondary flow are generated, although they remain within the laminar flow realm [91].

The channel *Re* is a dimensionless parameter which describes the unperturbed channel flow

$$Re = \frac{Inertial\ forces}{Viscous\ forces} = \frac{\rho U D_h}{\mu} \tag{1}$$

where ρ - is medium density, *U*- medium velocity, μ - dynamic viscosity and D_h is hydraulic diameter defined as

$$D_h = \frac{2 \times H \times W}{H + W} \tag{2}$$

where H- channel height and W- channel width.

An additional dimensionless parameter- particle Reynolds number (Re_p) , which includes parameters describing both the particle and the channel, describes particle flow in a closed channel system and allows determination of a minimum particle size in relation to the channel geometry for IF to occur,

$$Re_p = Re \times \lambda^2 \tag{3}$$

where the particle confinement ratio is λ and

$$\lambda = a/D_h \tag{4}$$

where *a* is a particle diameter.

The first evidence of inertial focusing was reported in 1961 by Segre et al. [92] examining particle behaviour in a 1cm circular cross-section pipe. They noted that at sufficiently high flow rates particles are focused around the centreline (**Fig. 1.2 a**). Back then, the main postulate preached that since microfluidics systems operate at very low $Re \ll 1$, inertial effects are irrelevant and negligible [93].



Fig. 1.2

Randomly dispersed particles at given equilibrium position, following different patterns depending on the cross-section geometry. In circular channels particles equilibrate as presented in (a). In a square cross-section (b) particles are focused at four preferential symmetrical positions near the centre of each wall. When the cross-section is narrowed to rectangular shape (c), number of equilibrium positions decreases to two in the middle of the

longest wall. It is believed that is occurs because in changes in the velocity gradient that is stronger along the shorter dimension. Red arrows indicate the direction of the flow.

In 2007, Di Carlo *et al.* for the first time demonstrated the counterintuitive contribution of inertial effects to particles ordering in a micrometre scale [94]. They studied behaviour of 2-17 µm particles in a straight microchannel with square cross-section at Re_p ranging between 0.075 and 225. Based on their observation they tried to explain key forces contributing to the focusing mechanism in straight microchannels. As shown In **Fig. 1.3**, particles flowing through a straight channel at intermediate Re, experience asymmetric shear gradient lift force (F_L) from the Poiseuille flow profile, which pushes the particles toward the channel walls, and a competing wall induced lift force (F_W) repulsing particles back toward the centre of the channel.



Fig. 1.3

Schematic showing particle migration in a straight microchannel as an effect of balance of shear gradient lift force (F_L) and wall-induced lift force (F_W)

When a particle travels a distance long enough, the balance of these two forces defines particles equilibrium positions within the channel symmetric cross-section [95] (in a straight channel with a circular cross-section (**Fig. 1.2 a**)- a ring of equilibrium positions at a fixed distance from the wall, with a square cross-section (**Fig. 1.2 b**) - four preferential equilibrium positions near the centre of each wall and a rectangular cross-section (**Fig. 1.2 c**) reduces the number of equilibrium positions to two in the middle of the longest wall).

The current understanding of inertial focusing in straight microchannels with rectangular cross-section was proposed and verified experimentally by Zhou and Papautsky in 2013 [96]. As shown in **Fig. 1.4**, in this model the inertial migration process is dissected into two steps.



Fig. 1.4

Two stages inertial focusing mechanism in a low aspect ratio microchannel at intermediate Re, reproduced form Zhou, J. and I. Papautsky "Fundamentals of inertial focusing in microchannels", Lab Chip, 2013. 13(6): p. 1121-32.[96], with permission from the Royal Society of Chemistry (license: 4563030210617). During stage I chaotically dispersed particles at the inlet assemble equilibrium positions near wall under the influence of shear-induced lift force (F_L) and wall-induced lift force (F_W). In stage II, particles migrate into wall centred equilibrium positions due to the action of rotation-induced lift force (F_Ω)

In stage I- fast migration- particles are influenced by F_L and F_W as proposed by Di Carlo *et al.* 2007. During the stage II-slow migration- particles migrate to the centre of the channel as their motion is dominated by the rotation-induced lift force F_{Ω} (due to particle rotation dictates particle motion near sidewalls). The combination of F_L and F_W is collectively called the net lift force (F_N). Asmolov et al.1999 introduced a dimensionless lift coefficient (C_L) to relate F_N to its dependent variables [97].

$$F_N = C_L \times G^2 \times \rho \times a^4 \tag{5}$$

where G is shear rate defined as

$$G = \frac{2U}{D_h} \tag{6}$$

Despite the fact that inertial focusing was observed in straight microchannels, multiple equilibrium positions hindered the application of the design. To overcome this limitation it has been proposed to add curvature to the channel [98][99][100]. The enhanced channel geometry

results in a centrifugal effect that shifts the fluid toward the inner wall, where more pressure is applied and to satisfy the mass conservation law and release the pressure, the liquid bounces off the wall in the centre and is shifted toward the outer wall, consequently a secondary flow is generated manifested in a form of two counter-rotating Dean vortices- perpendicular to the liquid main flow (**Fig. 1.5**).



Fig. 1.5

Characteristic secondary re-circulating flow (Dean flow) profile generated due to curvature of the channel. Graphic reproduced form Dino di Carlo "Inertial Microfluidics", Lab Chip, 2009. (9): p.3038-3046 [91], with permission from the Royal Society of Chemistry (license: 4563040055452).

Consequently, the maximum velocity at the centreline of the symmetric Poiseuille flow shifts from centre to the boundaries of the system. Particles travelling through the spiral microchannel follow the direction of Dean vortices in addition to the main stream since they experience a supplementary fluid force- the Dean drag (F_{DD})- proportional to the net fluid velocity distributed on the particle [101]. The secondary flow can be quantified by Dean number

$$De = Re \sqrt{\frac{D_h}{R}}$$
(7)

Particles of different size interact with different sections of this characteristic velocity profile, moving the same direction as the velocity. As shown in **Fig. 1.6** small particles interact with the section of the Dean vortices pushing them towards inner wall, while sufficiently larger particles experience more outward velocity directing them toward outer wall [102].



Fig. 1.6

Fig. 1.6 Mechanism of size-selective separation in curved microchannels. Graphic reproduced from adapted from Yoon et.al 2009 "Size-selective separation of micro beads by utilizing secondary flow in a curved rectangular microchannel.," Lab Chip, (9)1, p. 87–90 [102] with permission from the Royal Society of Chemistry (license: 4563050175771). Inertial focusing within spiral microchannels occur due to balance of shear gradient lift force (F_L), wall-induced lift force (F_W) as well as Dean drag (F_{DD}). Particles of different sizes (indicates as diameter D) interact with different section of the characteristic cross-sectional velocity profile (Dean vortices).

Both F_N and F_{DD} are a function of the fluid velocity and consequently strongly depend on the applied flowrate. Inertial focusing within spiral channels is determined by balance of F_N and F_{DD} , which in turn are influenced by a relation of channel dimensions and particle size (λ). In previous research, minimum thresholds for inertial focusing in spiral microchannels with rectangular cross-section, have been empirically determined and found to be $\lambda > 0.07$ and the $Re_p \ge 1$ [91][103]. All forces involved in particles gathering at their cross-sectional equilibrium positions are function of particles' size ($\frac{F_L}{F_{DD}} \propto a^3$) [101] meaning that the equilibrium position predominantly depends on their size and this principle has been successfully translated for multiple applications in biological particles separation. **Table 1.3** below outlines examples of spiral channel application translated into medicine and research purpose protocols. Label-free separation in spiral microchannels, utilizing size becomes popular since it is a gentle (cell viability maintained >90%) and precise method (recover >80-85%) and is in most cases efficient (purity of target cells >80%).

Cell type/ process	Channel specifications	Process specifications	Ref.
Cell cycle synchronisation of several cell lines (HeLa	Spiral channel (9 loops, 40cm long, single inlet 8 outlets)	Throughput: 15×10 ⁶ cells/h	[104]
KKU-100, CHO-CD36 and	500um wide	Flow rate: 2.5ml/min	
hMSCs)		Enrichments of cells at	
	Height was fine tuned for each cell type to satisfy a/D _h >0.07	G0/G1: >85%	

	Made of PDMS	Viability: 95%	
Focusing and ordering of HL-60 and K562 cells to facilitate deterministic single cell encapsulation in	Spiral channel (5 loops, 7.2cm long), 50µm wide, 29µm high, initial radius of 1500µm	Flow rate: 15µl/min	[105]
droplets	Made of PDMS		
Separation of tumour cells (MCF-7 and HeLa) from spiked blood sample	Double spiral channel (12 loops: 6 loops counter-clockwise & 6 loops clockwise, one inlet, three outlets), 300µm wide, 50µm deep	Throughput: 3.33×10 ⁷ cells/min Flow rate: 350µl/min	[106]
	Made of PDMS	Recovery: 88.5%	
Isolation of CTC from	Spiral channel (2 loops, 10cm long, 2	Flow rate: 3ml/hr	[107]
25% hematocrit) from	deep	Efficiency: 88%	
cancer patients.	Made of PDMS	Detection rate: 100% (cells detected in all cancer patients' blood samples, n=20)	
Separation of single cells	Spiral channel (5 loops, one inlet, 8 outlets), 500µm wide, 150µm deep, initial radius of curvature 1cm.	Efficiency: 75%	[108]
from cell clumps for murine neurosphere assay		Flow rate: 3ml/min	
	Made of PDMS	Viability: >97%	
Separation of plasma from	Spiral channel (5 loops, 16cm long, one inlet, two outlets), 150µm wide, 50µm deep, the initial radius was	Throughput: 700µl/min	[109]
whole blood sample ×20 diluted		Efficiency: 38.5%	
	3500µm.	Plasma purity: 99.9%	
	Made of PDMS		
Separation of non-motile sperm cells from RBC in	Spiral channel (4 loops, 1 inlet, 4 outlets), 150µm wide, 50µm deep, the initial radius was 700µm	Throughput: 520µl/min	[110]
TESE/mTESE samples		Efficiency: 81% for sperm cells, 99% for RBC	
	Made of PDMS	· · · · , · · · · · · · ·	
Separation of higher quality	Spiral channel (4 loops, 1 inlet, 4	Throughput: 550µl/min	[111]
sperm from lower quality sperm without using sperm	outlets), 150µm wide, 50µm deep, initial radius 853µm.	Cell concentration:2×10 ⁷ cells/ml	
motunty	Made of PDMS	Higher quality sperm was 4 times enriched in comparison to the input	
Separation and	Spiral channel (3 loops, 1 inlet, 2 outlets), 600µm wide, 200µm deep, the radius of curvature was 2cm on average	Throughput: 2ml/min	[112]
concentration of <i>Phytopthora ramorum</i> sporangia (fungal plant phatogen (Ø30um)		5.3-fold increase in pathogen content with 95% recovery	
phatogen, woohin)	Made of thermoplastic polymer	-	

Separation of algae (sub- millimetre phytoplankton) of two specimens:	Spiral channel (3 loops, 1 inlet, 2 outlets), 350µm wide and 100µm deep, initial radius 5mm, total length ~14cm Efficiency: 77%		[113]
Monoraphium griffithii from Cyanothece aeruginosa.	Made of PDMS		
Isolation of blood plasma, blood sample diluted 1:20	A cascade of two spiral channels, each with 1 inlet,3 outlets and 5 loops: 1 st : 500µm wide, 60µm deep 2 nd : 250µm wide, 60µm deep Made of PDMS	Flow rate: f 1.25ml/min Efficiency: 1 st : 55% of blood cells removed 2 nd : 99% of blood cells removed	[114]
Concentration of <i>E.coli</i> and 1µm beads	Spiral channels (3 loops, 1 inlet and 2 outlets): 1^{st} part : $10 \times 24 \mu m^2$ cross-section 2^{nd} part: $10 \times 60 \mu m^2$ cross-section Made of glass to withstand high pressure (up to 200 bar)	Flow rate: 50µl/min (generated 70 bars) 100µl/min (generated 150 bars)	[115]
Separation of neural stem cells derived from induced pluripotent stem cells from spontaneously differentiated non-neural cells	Spiral channel (1 inlet, 8 outlets and 10 loops), 500µm wide and 160µm deep, total length ~ 50cm Made of PDMS	Flow rate: 3ml/min Efficiency: 2.5× enrichment of neural stem cells with 38% recovery	[116]
Enrichment of mesenchymal stem cells from bone marrow	Spiral channel (1 inlet, 8 outlets, 10 loops), 500µm wide and 160µm deep, total length ~50cm	Flow rate: up to 3 ml/min Efficiency: the best performance at 1.6ml/min, 6× enriched, 73% recovery rate	[83]

Table 1.3

Examples of published data using IF in spiral channels with a symmetrical cross-section for separating a wide range of biological samples.

One commonly overlooked factor in IF research is the fact that biological particles, such as mammalian cells, are deformable in contrast to rigid polymer beads used in the basic studies. The first evidence of appreciating the cells' dynamic response to experienced hydrodynamic force within IF microsystems was reported by Hur *et al.* 2011 [117]. They described the joint action of F_N and deformability-induced lift force (F_D) in straight microchannels and adapted the observation to classify and enrich cells based on deformability. In 2018, I [118] described the contribution of F_D to the focusing mechanism in spiral microchannels, demonstrating for the first time, that cells can be sorted within spiral microchannels based solely on deformability.

Year	Contribution	Ref
1960	Demonstrating IF of spherical particles at high flow rates in a circular cross- section pipe at cm scale	[92]
2007	Demonstrating inertial effect in microscale channels (straight and serpentine) for focusing, ordering, and separation of particles	[94]
2007	Proposing spiral geometry of microchannel for enhanced particles focusing and concentration	[119] [120]
2008	Demonstrating applicability of the effect of Dean vortices in spiral microchannels to differential particle focusing based on size differences	[99]
2011	Adapting straight microchannels to deformability-based particles separation	[117]
2013	Introducing two stage model explaining mechanism of inertial focusing within straight microchannel with square and rectangular cross-section	[96]
2018	Demonstrating contribution of F_D to the focusing mechanism within spiral microchannels with high aspect ratio rectangular cross-sections.	[118]

Table 1.4

Chronological summary of research contributions to understating and advancing IF microfluidics.

As described above and summarised in **Table 1.4**, since the introduction of the IF in microfluidic devices for particles manipulation, substantial progress has been achieved towards understanding the governing forces and underpinning mechanisms and enhancing design of IF microfluidics. The advanced knowledge has been adapted and translated into practical applications for particles ordering and separation based on size and deformability.

1.6. Thesis structure

Stem cell-derived RBC are a potential supplementary source to donated blood for transfusion. However they will not become an economically viable therapeutic product unless bioprocess limitations (discussed in **Project Motivation** section) are addressed. This thesis focuses on challenges associated with formulation step of the cell therapy manufacturing process as indicated in pink dashed line in **Fig. 1.7**. In order to develop a novel, label-free purification strategy for mRBC it was first necessary to thoroughly characterise- by means of mechanical phenotyping- the therapeutic cells, to ensure most optimal solutions.



Fig. 1.7

An outline of the cellular product derivation process. The dashed pink circle indicates stages of the workflow that will be addressed by this project.

Chapter 2 focuses on the detailed methodology used for the experimental studies. It presents the detailed procedures used universally throughout the studies, like RT-DC, microfluidic set-up and morphological and flow cytometric assays. Procedures specific for individual chapters are described accordingly in the end of each chapter.

Cost-effectiveness was one of the main assumptions for the process development. Currently, target cell purification requires addition of costly protein labels to distinguish desired cells from contaminant cellular by-products. Hence, the first step focused on finding the correlation of molecular identity biomarkers and cell mechanical properties. **Chapter 3** describes mechanical properties of the end-product of CB CD34+ cells undergoing *in vitro* erythropoiesis and relates them to the underlying molecular and architectural changes.

Due to numerous advantages offered by IF microfluidic devices, a spiral microchannel was the method of choice for the core purification unit. **Chapter 4** investigates the contribution of deformability-induced lift force (F_D) to the focusing mechanism within spiral microchannels, which is further translated and incorporated into a complete purification strategy for mRBC, as described in **Chapter 5**.

Throughout the course of the study some bioprocessing challenges have been identified regarding the nature of processed sample, used technology as well as processing conditions. Understanding of such issues will enable future improvements to the purification process and therefore key challenges were discussed in detail in **Chapter 6** and **Chapter 7**. **Chapter 8** offers a general summary of the presented work and indicates future directions.

Chapter 2. Materials and methods

2.1. Cell culture

2.1.1. Cord CD34+ stem cell culture

All methods were carried out in accordance with relevant guidelines and regulations and were approved by the Heriot-Watt Engineering and Physical Sciences Ethics Committee as well as the Heriot-Watt Engineering and Physical Sciences Biosafety Review. The cells used in this work were commercially obtained from donated cord blood and therefore consented to the research use. The CD34+ hematopoietic stem cells were primarily purchased from Stem Cell Technologies, expanded and cryopreserved after 6 days in culture using a variation of the method previously described [34]. A master cell bank from one healthy donor was created with D6 cells cryopreserved in fresh medium supplemented with 30% Knockout Serum Replacement and 10% DMSO. Cells at day 6 were resuscitated, washed and re-cultured in fresh pre-warmed growth medium: Iscove's basal medium (VWR, cat. BCHRFG0465), 5% human AB+ Serum (Sigma Aldrich, cat. H4522), 3 U/ml heparin (Sigma Aldrich, cat. H5515), 10 µg/ml insulin (Sigma Aldrich, cat. 19278) and 200 µg/ml human holotransferrin (VWR, cat. 616397-500) supplemented with 60 ng/ml recombinant human stem cell factor (SCF) (PeproTech, cat. 300-07), 5 ng/ml recombinant human IL-3 (PeproTech, cat. 200-03), 3 U/ml Erythropoietin (EPO) (clinical grade material, Roche) and 1 µM hydrocortisone (Sigma Aldrich, cat. H0888) until day 8. At day 8 cell culture medium was replaced with fresh ISHIT cell culture medium supplemented with 10 ng/ml SCF, 3U/ml Erythropoietin, 1 µM Hydrocortisone and 300 µg/ml Transferrin and cultured until day 14. At day 14, a cell count was performed using the trypan blue exclusion technique, cells centrifuged at 300g for 5 min and re-seeded in fresh culture medium containing 3 U/ml erythropoietin and 300 µg/ml holotransferrin. After a further four days of culture (day 18) cells were re-seeded in fresh medium (the same composition as day 14). Each time cells were harvested, they were transferred into a centrifuge tube (Corning, UK) and centrifuged at 300g for 5 min. All cell culture manipulations were carried under aseptic conditions in a cabinet with a laminar air flow.

2.1.2. Jurkat cells

Jurkat cells were cultured in Roswell Park Memorial Institute (RPMI) medium (ThermoFisher Scientific) supplemented with 10% (v/v) fetal bovine serum (FBS, Gibco) in a humidified incubator at 37° C in 5% CO₂. Cells from the same batch were collected when at

their exponential growth phase, split into fresh cell culture flask to maintained researched conditions.

2.1.3. HEK cells

HEK cells were cultured in basal Dulbecco's Modified Eagle's medium (DMEM, ThermoFisher Scientific) supplemented with 10% (v/v) FBS (Gibco) and 1% (v/v) GlutaMAXTM (ThermoFisher Scientific) in a humidified incubator at 37° C in 5% CO₂.

2.2. Real-time deformability cytometry

While there are many available well-established technologies for assessing cell mechanotype such as Atomic Force Microscopy (AFM) [121], micropipette aspiration [64], magnetic tweezers and optical stretchers [68], these methods suffer from low-throughput [122]. To assess a high number of cells (thousands of events per minute), we used a microfluidic-based Real-Time Deformability Cytometer (RT-DC) [46]. RT-DC is a contactless technique, allowing gain of thousands of events per minute, which is convenient for the global characterisation of complex samples [79]. As shown in **Fig. 2.1**, in the RT-DC set-up, a PDMS (Polydimethylsiloxane) channel consisting of three sections, two reservoir sections and one constriction channel ($20 \ \mu m \times 20 \ \mu m$ or $30 \ \mu m \times 30 \ \mu m$ cross section), where cells undergo deformation and measurements are undertaken.



Fig. 2.1

A schematic adapted from Otto, Oliver, et al. 2015 "Real-time deformability cytometry: onthe-fly cell mechanical phenotyping." Nature methods 12.3, p. 199-202 with permission from Springer Nature (license: 4563220521969). RT-DC consist of syringe pump that pumps cell suspension into a PDMS chip mounted on a microscope. Cells enter the chip through the reservoir section, next they are concentrated into a single stream by sheath fluid and enter the measurement channel where they experience deformation due to applied shear stress and pressure. The degree of deformation is measured in ROI based on high-throughput real-time image analysis.

The microfluidic chip is mounted on a microscope. A syringe pump is used to pump cells suspension in the chip, pulsing LED light enables high-speed image acquisition (4000 fps), for a standard measurements, the images are acquired at ×40 magnification. Cells are introduced in the chip through central reservoir channel and they are directed into the measurement channel by sheath flow (both flow liquid and cell carrier are viscous solution of methylcellulose). Measurement channel has a cross-section slightly bigger than the cell diameter, thus cells entering the channel experiences shear stress that causes cell deformation. The images are captured in the Region of Interest (ROI) at the end of the measurement channel and processed in real time.

The RT-DC system employs image processing algorithms which enable the measurement of cell area and deformation. Deformation (D) is expressed as a deviation from a perfect circle

$$D = 1 - c \tag{8}$$

where c is the circularity defined as

$$c = 2\sqrt{\pi A}/l \tag{9}$$

A being the projected cell area and l the cell perimeter

Deformation (D) in the channel is independently measured from the initial cell shape and therefore any treatment-induced morphological changes to shape. Consequently, when possible, a differential deformation (DD) parameter has been introduced [123]. DD includes morphological information acquired in the reservoir section of the RT-DC chip (where applied shear is negligible) by subtracting this value from the deformation measured in the channel. Subtraction is done by statistical representations of channel and reservoir measurements and using a bootstrapping approach [123] [124].

2.3. Real-time fluorescent and deformability cytometry

RT-FDC is an enhanced high-throughput (thousands of events per minute) microfluidic platform that enables mechanotype analysis of cells within a heterogeneous sample with no necessity of pre-sorting into pure populations, due to the integration of fluorescent signal for confirmation of cell identity [125]. As in the conventional real-time deformability cytometry
(RT-DC) [46], cells are deformed in a contactless manner by experiencing shear stress generated by flowing in a viscous buffer through the measurement channel which is only slightly larger than the actual cell dimensions. As shown in **Fig. 2.2**, in RT-FDC, when cells pass through the region of interest an image is acquired for the size and deformability information and in addition to the image acquisition cell is illuminated by lasers and detectors collect emitted fluorescence.



Fig. 2.2

Real-time fluorescence and deformability cytometry setup (graphic adapted from P. Rosendahl et al.2018, "Real-time fluorescence and deformability cytometry," Nat. Methods, vol. 15, no. 5, p. 355–358 [125] with permission from Springer Nature (license: 4563220817805)). (1) Immuno-labelled cells are introduced into the microfluidic chip mounted on a microscope and while passing through the measurement channel (2) in the ROI they are imaged by bright-field microscopy (3). Information about cells size (expressed as projected cell area $[\mu m^2]$) and induced by applied shear stress deformability (understood as 1- circularity) is generated by image processing in real time for each captured event and reported as a scatter plot. Additionally, cells passing through the ROI are illuminated by focused lasers (4) which excite signal detected and measured in the detector array. (5) The fluorescent signal is correlated with the acquired image, which allows cell identity confirmation.

2.4. Cell morphology- cytospin

To visualise cells' morphology and structure, cells were transferred onto microscope slides using a cytocentrifuge then fixed and stained using Giemsa-Wright staining (Rapid Romanowsky Stain Pack, TCS Bioscience, cat. SW167/500). Cells at selected time points (D11, D14, D18 and D21) were harvested by centrifugation at 300 g for 5 min and re-suspended at 2×10^6 cells/ml in PBS-/- (Dulbecco's Phosphate-Buffered Saline buffer without calcium and magnesium, Gibco). 100µl of cell suspension was transferred into a cytocentrifuge cell funnel and centrifuged at 450 rpm for 4 min in a cytocentrifuge (Cellspin I Tharmac, Germany) to transfer the cells onto the slide. Slides were then air-dried for 15 min, fixed and stained according to the manufacturer's instructions. After staining, slides were air-dried then fixed with DePeX mounting medium (Sigma Aldrich, cat. 06522). Slides were photographed for further image analysis either using an EOS 60D Canon camera (Canon, UK) mounted on an AXIO Scope.A1 Zeiss microscope (Zeiss, Germany) at ×100 magnification. Images were analysed in Matlab R2016b using a custom-made script or using a Canon 650d camera (Canon, UK) mounted on a Motic AE31 microscope (Motic, UK) at ×40 magnification. Images were analysed using bespoke LabView software (kindly provided by Dr Graeme Whyte, Heriot-Watt University) which detected the outline of the cells and nuclei by thresholding. The detected objects were classified into nucleated cells, enucleated cells and free floating nuclei, and the measurements of the morphological features extracted for further processing

2.5. Flow cytometry and FACS-staining protocol

The end-product of the CB CD34+ differentiation protocol is a heterogeneous mixture of enucleated cell, nucleated cells (cells that did not differentiate fully, still contain a nucleus) and free-floating nuclei expelled during the enucleation process. Each population is characterised by a combination of molecular markers such as presence/absence of DNA (DNA+/ DNA-) and expression/lack of expression of Glycophorin A (CD235a+/ CD235a-). Enucleated cells are DNA- and CD235a+, nucleated cells are DNA+ and CD235a+, free-floating nuclei are DNA+ and CD235a+, however, they express lower levels of CD235a than nucleated cells. To reveal those markers cells were stained with fluorescent probes. To each 100 μ l aliquot of cells at concentration around 1 × 10⁷ cell/ml in PBS-/- (Gibco) supplemented with 0.5% bovine serum albumin (BSA, Sigma-Aldrich), 0.625 μ l of FITC-conjugated Mouse Anti-Human CD235a (BD, cat. 559943) and 0.5 μ l of 5 mM DRAQ5TM DNA Fluorescent Probe (BD, cat. 564902) was added to obtain a final concentration of 5 μ M. Cells were incubated for at least 20 min at room temperature in darkness. The excess fluorescent stain was not removed to prevent cell damage.

The same staining strategy was used for cell sorting by FACS (FACSAria IIu flow cytometer, Beckton Dickinson Immunocytometry Systems (BD, UK) running BD FACSDiva v6 Software. FACS sorting was performed in University of Edinburgh FACS Facility by Dr Martin Waterfall (the facility manager).

2.6. Microfluidic system

Throughout the course of this work, two configurations of an Archimedean spiral with six loops and rectangular cross-sections (design I: $360 \times 60 \mu$ m, design II: $170 \times 30 \mu$ m), one inlet and four outlets, were used (**Fig. 2.3**). A single inlet was located at the centre of each spiral channel. The radius of the curvature (measured as the distance from a centre of the channel to the inner wall of a loop) varies between design I: 0.515 mm (loop I)- 3.805 mm (loopIV) and design II: 0.325 mm (loop I)- 1.95 mm (loop VI). The microfluidic devices were fabricated by lithography in Poly(methyl methacrylate (PMMA, Epigem, UK).



Fig. 2.3

(a) Schematic of the spiral channel with six loops, one inlet and four outlets for size and deformability-based separation. (b) Picture of the microfluidic chip manufactured in PMMA.(c) Cells are introduced into the system via inlet. Hydrodynamic behaviour of cells is assessed in the end of the spiral channel in the ROI and it is expressed as lateral position within the cross-section of the channel, measured as a distance from the outer wall of the channel $[\mu m]$.

Cell suspensions were introduced into the device with a mid-pressure syringe pump (neMESYS 1000N, Cetoni, Germany) through 1/1600 PTFE tubing of 0.5 mm internal diameter (Thames Restek, UK). Hydrodynamic behaviour of cells was assessed in terms of lateral equilibrium position (measured as a distance from the particle centre to the outer wall $[\mu m]$) obtained at the end of the spiral channel by monitoring the ROI, by high-speed microscopic imaging. Images of cells inside the spiral channels were recorded either at ×20 magnification using objective with 4.9 mm free working distance (421251-9911-000 LD A-

Plan 20x Ph1, Zeiss) facilitating access to observe the channels through 2 mm thick PMMA layer. Images of cells were recorded by a high-speed CMOS camera (MC1362, Mikrotron, Germany), mounted on a microscope (Zeiss Axio Observer 3, Zeiss, Germany), at a speed of 2000 frames per second. Lateral position within the channel was recorded for more than 10000 events at three independent occasions, for each researched condition, using a custom-written program ShapeIn and quantified using ShapeOut version 0.8.4 (available at www.zellmechanik.com)

Sorting performance was assessed using the following three parameters:

$$Recovery [C]_{outlet_i} = \frac{[C_{type}]_{outlet_i}}{\sum_{i=1}^{4} [C_{type}]_{outlet_i}}$$
(10)

of each cell type in each outlet, where $[C_{type}]$ is the concentration of given cell type cells in a given outlet *i* (*i*=A, B, C or D).

$$Purity[C]_{outlet_i} = \frac{[C_{type}]_{outlet_i}}{[C_{all}]_{outlet_i}} \times 100\%$$
(11)

indicating a fraction of each subset in a sample collected after processing, where $[C_{all}]$ is concentration of all cell types found in the sample and

$$Enrichment \ ratio = \frac{[C_{all}]_{outlet_i}}{[C_{all}]_{inlet}}$$
(12)

2.7. Receiver Operating Characteristic (ROC) curves

Receiver Operating Characteristic (ROC) curves and Area Under the Curve (AUC) were generated using Prism GraphPad 7, to discriminate the degree of overlap in terms of properties (such as sizes, deformability, lateral positions) of events from different samples, where AUC=1 means total separation and AUC=0 means total overlap. As shown in **Fig. 2.4 a**, a ROC curve, is a graphical plot that illustrates the relationship between True Positive Rate (y-axis) and False Positive Rate (x-axis), for a given cut-off point (indicated as green ellipse and blue vertical line in **Fig. 2.4 b**). True positive rate is defined as fraction of true positives divided by total number of control events and True Negative Rate is defined as fraction of true negatives divided by all test events [126].



Fig. 2.4

Illustration explaining Receiver Operating Characteristic (ROC) curves. (a) ROC curve is a graphical plot showing the relationship between True Positive Rate and False Negative Rate, for a different cut-off points (indicated as a green ellipse on the ROC curve) The area under the ROC curve (AUC) is a measure of how well a parameter can distinguish between two diagnostic groups (b) ROC curve is generated by plotting the True Positive Rate against the false Positive Rate at various threshold settings (indicated as a blue vertical line) (c) True positive rate is defined as fraction of true positives divided by total number of control events and True Negative Rate is defined as fraction of true negatives divided by all test events.

Chapter 3. Assessment of mechanical properties of stem cell-derived red blood cells

Highlights

- The changing mechanical properties of umbilical cord blood CD34+ cells undergoing *in vitro* erythropoiesis were quantified in a high-throughput manner by real-time deformability cytometry for the first time.
- For each subpopulation present in the end-product of the CD34+ differentiation into mRBC (enucleated and nucleated cells as well as nuclei) a unique combination of size and deformability has been identified that could serve as label-free markers to distinguish between them.
- Distinct mechanotypes are correlated with specific morphological features, such as content of cytoskeletal protein F-actin and nucleus-to-cytoplasm ratio.

Published work:

<u>Ewa Guzniczak</u>, Maryam Mohammad Zadeh, Fiona Dempsey, Melanie Jimenez, Henry Bock, Graeme Whyte, Nicholas Willoughby and Helen Bridle,
High-throughput assessment of mechanical properties of stem cell derived red blood cells, toward cellular downstream processing.

Sci. Rep. 7, 14457 (2017).

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3.1. Introduction

Stem cell products, such as manufactured red blood cells (mRBC), require efficient sorting and purification methods to remove components potentially harmful for clinical application. However, standard approaches for cellular downstream processing rely on the use of specific and expensive labels (*e.g.* FACS or MACS). Techniques relying on inherent mechanical and physical properties of cells offer high-throughput scalable alternatives but knowledge of the mechanical phenotype is required. Deformability is emerging as a novel homogeneity marker that could serve to identify subpopulations within complex cell samples such as mRBC [127]. However, while qualitative observations have noted changes in phenotype throughout the differentiation protocol of CD34+ cells, little is known about their mechanical phenotype changes.

The *in vitro* manufacture of RBC from hematopoietic stem cells (CD34+) follows an *in vitro* protocol which is a recapitulation of *in vivo* erythropoiesis through distinct developmental stages [128][129] (for details of the protocol and the different stages involved

consult **Fig. 3.1**). Initially, the culture is expanded for the first ten days (D0 to D10) before differentiation is induced at D11, resulting in drastic cell phenotype changes during the final 11 days of differentiation.



Fig. 3.1

Schematic showing stem cells differentiation into red blood cells. The process starts (day 0) with hematopoietic stem cells, CD34+ subpopulation obtained from umbilical cord blood. Basal medium always contains 5% AB+ human serum, Heparin and Insulin. Over the course of the differentiation protocol cell culture medium is supplemented with different cytokines and growth factors. Those changes in medium composition push stem cell through distinct developmental stages (in vitro recapitulation of in vivo erythropoiesis). By day 18 cells lose their nuclei to become reticulocytes, which maturate into erythrocytes by day 21.

Observed changes are induced stage-wise, by adjusting cell culture medium components. The presence of biological markers at different points in the differentiation has been studied [130][37][17], underpinning the label-based separation approaches, and it is known that between D0 and D11, CD34+ cells extensively proliferate without changing their identity. Around D14 cells start producing haemoglobin and reduce their intracellular structures (the cytoplasm becomes simplified) and size. By D18, chromatin becomes compacted, cellular division slows and in the final stages, the nucleus is expelled. Based upon this, four distinct time points (at D11, D14, D18 and D21) were selected to assess the changing mechanotype of CD34+ during *in vitro* erythropoiesis to determine the potential for mechanical properties to act as a homogeneity marker upon which passive cell separation methods can be developed.

Here, I characterized, for the first time, deformability and size changes in CD34+ cells, and expelled nuclei, during their differentiation process into red blood cells at four key stages (days 11, 14, 18 and 21). This chapter provides extensive quantitative analysis of these changes, combining both high-throughput microfluidics as well as traditional biophysical characterisation. Data was collected determining the size and deformability of enucleated cells, nucleated cells and free-floating nuclei using real-time deformability cytometry (RT-DC) and bright field/fluorescent imaging. Furthermore, staining of the nucleus and cytoskeletal proteins was undertaken to investigate the potential contribution of these factors to the observed mechanotypical changes.

3.2. Results and discussion

3.3.1. High-throughput size and deformability assessment

CD34+ cells size and deformability at D11, D14, D18 and D21 were assessed using RT-DC for both individual and mixed populations of nucleated and enucleated cells as well as expelled nuclei. Free-floating nuclei and undifferentiated cells constitute the two main contaminants that must be removed from the sample, prior to clinical application, to leave purified mRBCs. A global view of mechanical changes using mixed populations, directly from the cell culture, is presented in **Fig. 3.2**, plotting deformation against cell area.



Fig. 3.2

Scattered plots obtained from RT-DC for CD34+ undergoing in vitro haematopoiesis corresponding to four time points: D11, D14, D18 and D21. Cells are flowing at 0.12 μ l/min through a 20 μ m × 20 μ m channel. Each dot represents a single event (the total number of collected events is displayed on the top of each diagram). Colours indicate a density scale. Grey isoelasticity lines on the scatter plots represent a predicted cell deformability for cells of the same elasticity and different size

The results indicate that as the differentiation process progresses, deformability increases while size decreases and the emergence of a greater number of different cell populations can be observed. At D11 cells are strongly heterogeneous in size (cell areas ranging between 25 and 175 μ m²) while deformability is low (< 0.2). At D14 the first spontaneous enucleation events are observed characterized by a new emerging subpopulation on the deformation axis (events with deformation > 0.2) and the reduction in cell size (very few cell areas above 100 μ m²). Closer to the end of the differentiation process (D18 and D21), the enucleated cell subpopulation becomes dominant (deformability > 0.25) and another population corresponding to expelled nuclei appears (deformability < 0.03). In *in vivo* differentiation, nuclei would be removed by macrophages [31][131].

Utilising the above data, I compared the size and deformability of enucleated and nucleated cells and nuclei at each of the time points. Firstly, I confirmed the identity of the three subpopulations by collecting data from a mixed sample as well as from samples which were sorted into pure populations by FACS and assessed using the RT-DC separately (**Fig. 3.3**). Regions corresponding to each subpopulation were therefore identified and used for analysis (using the polygon tool in the ShapeOut software) (**Fig. S2**).



Fig. 3.3

Gating strategy. Presented data was generated using RT-DC with a 20 μ m × 20 μ m crosssection channel and a flowrate of 0.12 μ l/min. Each dot represents the measurement for one cell at D14, with the total number of events indicated on the top of each diagram. Grey isoelasticity lines on the scatter plots represent a predicted cell deformability for cells of the same elasticity and different size. On the first dot plot (**a**) three subpopulations can easily be distinguished, corresponding to enucleated and nucleated cells as well as nuclei. Properties for nuclei (**c**), enucleated cells (**d**) nucleated cells (**e**) were assessed separately on the RT-DC after being sorted into pure subpopulations by FACS. Predicted populations from (**a**) were gated using the polygon tool in the ShapeOut software and their contour were compared with the contour plots (**b**) generated for the pure subpopulations (**c**, **d**, **e**). The contour for each population consist of a smaller inner contour representing 50% density and a larger outer contour corresponding to 90% density.

The populations identified from the mixed sample (**Fig. 3.3 a**) correspond to the individual gated enucleated (**Fig. 3.3 d**) and nucleated (**Fig. 3.3 e**) cells and nuclei (**Fig. 3.3 c**) populations. In general, the individual populations of cells are slightly stiffer than those from the mixed population, due to the extra processing undertaken [132]. However, **Fig. 3.3 b** shows that the three different subpopulations plot in different regions suggesting that there is potential to utilise size and deformability changes as a basis for separation and sorting. Secondly, to confirm that software could utilise size and deformability differences to classify cells, events from the scatter plot of a mixed sample were analysed using a Gaussian mixture model also

identifying three subpopulations (**Fig. 3.4**), as expected from the previous gating analysis (**Fig. 3.3**).



Fig. 3.4

By analysing raw data with a Gaussian mixture model at least three subpopulations within sample from D18 were detected and colour-coded, corresponding to nucleated (purple), enucleated cells (pink) and nuclei (grey). Remaining events (blue) are considered unclassified events, artefacts and cell debris.

To further analyse the data, box plots were generated showing the average and range of the size and deformability characteristics for each of the subpopulations. **Fig. 3.5 a** illustrates the size variation of the three populations at the selected time points (D11 only appears for nucleated cells as this is before the enucleation step). Nuclei are much smaller (with area 22.8 \pm 3.8 μ m², mean \pm SD) than the nucleated cells (D11 72.9 \pm 25.8, D14 71.6 \pm 12.8, D18 54.7 \pm 7.2 and D21 52. 2 \pm 7.5 μ m²) and enucleated cells (D14 57.6 \pm 8.6, D18 50.9 \pm 9 and D21 47.9 \pm 7.5 μ m²) with little size overlap (p < 0.0001). In terms of the size of cells, there is a statistically significant difference between enucleated and nucleated cells (p < 0.0001). However, there is an overlap between events from both subpopulations.

Fig. 3.5 b considers the deformability differences between the three populations and demonstrates greater discrimination potential. Nuclei deformability is 0.03 ± 0.01 whereas that of nucleated cells is greater than 0.1 (D14 0.13 ± 0.04, D18 0.18 ± 0.05 and D21 0.12 ± 0.05) and enucleated cells greater than 0.25 (D14 0.26 ± 0.05, D18 0.34 ± 0.05 and D21 0.33 ± 0.05) and the difference is statistically significant (p < 0.0001). Additionally, a significant difference

in deformability (p < 0.0001) is observed for nucleated (deformability 0.1-0.25) and enucleated (deformability > 0.25) cells.



Fig. 3.5

Box plots summarizing (a) cell area and (b) deformation respectively. Values for each subpopulation are extracted from raw data by gating enucleated, nucleated cells and nuclei as justified in Fig. 3.3. P-values were calculated using a generalized mixed model (*** p < 0.0001). The line in the box represents the median and the box itself represents data from lower and upper quartile while the whiskers correspond to the lowest and highest extreme values. For summary of triplicate results please consult Appendix A.

To quantify the degree of the size and deformability overlap, receiver operating characteristic (ROC) curves were plotted and the corresponding area under the curve (AUC) calculated (**Fig. 3.6**). Using this approach we determined that enucleated cells are 100% separated (AUC=1) from the nuclei population in terms of size (**Fig. 3.6 a**) and deformability (**Fig. 3.6 b**). Microfluidic systems have been designed to separate particles in the same range of sizes (diameter) as nuclei (6 μ m) and enucleated cells (7-10 μ m) [89][51] and the data supports the potential for a size-based separation as a route for nuclei removal. **Fig. 3.6 a** shows that within a population classified in terms of size as enucleated cells, ~20% of those cells belong to the nucleated cell population (AUC= 0.82, 0.83 and 0.75). Therefore size-based separation between nucleated and enucleated cells would be possible but would result in a significant contamination. The deformability difference between enucleated and nucleated cells (**Fig. 3.6 b**) is close to 100% (AUC=0.99) suggesting the feasibility of deformability to separate these populations.



Fig. 3.6

Receiver Operating Characteristic (ROC) curves were plotted for (a) size (expressed as area $[\mu m^2]$) and (b) deformation [-] for enucleated versus nucleated cells (purple) and nuclei (grey) at D18.The True Positive Rate is defined as the number of enucleated cells measured for a certain cut-off point (size or deformability) and divided by the total number of enucleated cells. The False Positive Rate is the corresponding number of nucleated cells (resp. nuclei) divided by the total number of enucleated cells (is the corresponding number of nucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the size overlap between enucleated and nucleated cells and nuclei as well as deformation overlap between enucleated cells and nucleated cells and nuclei populations.

3.3.2. Correlation between mechanotype and biology

Cell deformability is determined by the structural components of the cell, particularly the cytoskeleton [52]. The cytoskeleton is a protein network (mostly actin and spectrin in RBCs [133]) supporting the cell structure and providing mechanical integrity to the cells. This network underlies the cell membrane and connects with the nucleus by extending through the cytoplasm and plays a crucial role in the enucleation, which is a dynamic process lasting approximately 10 min [134]. During the process, F-actin and myosin filaments undergo rearrangements to facilitate the act of enucleation. Paraformaldehyde fixed cell samples were stained at D11, D14, D18 and D21 for F-actin (**Fig. 3.7 a**), one of the most abundant cytoskeletal protein, and imaged. At D11 and D14 F-actin assembles into a uniform shell surrounding the cell interior. By the end of the differentiation protocol, at D18 and D21, the shell becomes thinner and less visible with small aggregates visible, which support the observed softening of nucleated cells between those days.



Fig. 3.7

To visualise changes in cell morphology over the course of the differentiation procedure (Day 11, 14, 18 and 21) (a) cytoskeletal protein F-actin (green) and nuclei (blue) were stained with fluorophores and (b) cytoplasm and nuclei were stained with Romanowsky stain. Scale bars represent 10 μ m; (c) cytospin images of Rmanowsky's stained cells were analysed using

Matlab for cell and nucleus area (mean \pm *SD) and nucleus/ whole cell ratio were calculated (mean* \pm *SD).*

Observed thinning is in agreement with literature evidence, where cytoskeletal protein levels were quantified by Western Blot [128]. It was reported that actin is downregulated during erythropoiesis with α -spectrin and β -spectrin expressed in higher quantities. Together, those rearrangements are believed to be an adaptation of RBCs to change their shape under the applied stress they experience during the vascular circulation, without haemolysis. The lack of a nucleus is the main feature providing optimal cell deformability for oxygen transfer in tissues [135]. The presence of a nucleus, its changing characteristics and eventual expulsion throughout differentiation, could contribute substantially to the overall cell mechanical behaviour [56].

The contribution of the nucleus to the mechanotype is determined by its relative size [136]. To assess the nucleus/whole cell ratio, cytospin cell slides prepared at D11, D14, D18 and D21 were stained with rapid Romanowsky stain and visualised using a bright field microscope (**Fig. 3.7 b**). Approximately 1000 events per condition were analysed and results are presented in **Fig. 3.7 c**. Nuclei become smaller over time and at D11, on average, they constitute around 60% of the cell. The cytoplasm becomes simplified at later days and the nucleus is more compacted and smaller (50% for D14 and 40% for D18).

We further explored the contribution of nuclei to the mechanical behaviour of cells by looking at cell elongation within the RT-DC microfluidic device under shear stress (**Fig. 3.8 a and b**). In the RT-DC system, cells first pass through a reservoir section before being forced through a smaller channel where a sheath flow induces a shear stress on the cells (**Fig. 2.1**). As presented in **Fig. 3.8**, the y-axis for enucleated and nucleated cells is larger than nuclei in the reservoir. When cells enter the main channel, the nuclei y-axis remains unchanged while the enucleated y-axis is shortened and the nucleated y-axis remains above the length of the nucleus. The presence of the nucleus seems therefore to constitute a barrier to the capability of a cell to deform under shear stress.



Fig. 3.8

Cells at D14 were sorted on FACS into enucleated, nucleated cells and nuclei populations. Their minor and major axis (y and x respectively) were assessed by RT-DC image analysis. (a) Measurements were captured in both the reservoir (with negligible degree of shear stress) and in the channel (20 μ m width) where shear stress exerted on cells causes them to deform from their original shape. (b) Changing x- and y-axis length for pure populations, in the reservoir and in the channel, were captured. Bar graph represents mean axis length with error bars showing SD from the mean.

To verify if it would be possible to separate enucleated and nucleated cells based on the degree of deformation they undergo under hydrodynamic stress, the length of the y-axis of enucleated and nucleated was compared. The measurement was obtained in the reservoir section of the device where cells experience shear stress and by creating a ROC curve and calculating the AUC =1 (**Fig. 3.9**) we see that there is no overlap in the y-axis length. Deformability and size are coupled and the cell size, revealed by their original shape change under applied stress, could constitute a basis for cell separation (e.g. by passing through a filter with a cut-off size smaller than the length of y-axis for nucleated cells).



Fig. 3.9

Receiver Operating Characteristic (ROC) curves were plotted to compare enucleated and nucleated cells y-axis overlap (**Fig. 3.8**). The True Positive Rate is defined as length of y-axis for number of enucleated cells measured for a certain elasticity cut-off point and divided by the total number of enucleated cells. The False Positive Rate is the y-axis of corresponding number of nucleated cells divided by the total number of enucleated cells for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the overlap between the measured length of the y-axis for enucleated and nucleated cells.

3.4. Conclusion

Regenerative medicine, and cell therapy, in particular, are seen as a potential route to revolutionize medicine and to improve healthcare for patients who currently have either limited or no treatment options. The *in vitro* produced RBC are seen to address needs in transfusion medicine.

For the wide-spread adoption of mRBC, and indeed other cell therapies, challenges related to cell source, maturation and viability need to be addressed by biologists, while advancements in cell processing technologies will be required to manufacture those cells in meaningful quantities and achieve satisfactory purity. Label-free separation techniques based on mechanical phenotype differences offer a promising route to large-scale purification. However, to design optimal downstream processing protocols we first need to understand the mechanical characteristics of subpopulations within the samples, how these relate to molecular and architectural changes and how those changes evolve with cell-state and progressing differentiation protocols. Thus, here I characterised the mechanical properties (size and deformability) of CD34+ cells from cord blood as they undergo *in vitro* erythropoiesis. Cell size and deformability are highly dynamic features, significantly changing during the differentiation process. Based on the observations, using novel high-throughput techniques

(RT-DC), cells become smaller and softer between D11 and D18 as different cell subpopulations emerge. The staining results, linked with data from the literature, suggest that this phenomenon is driven by changes in the nucleus properties, which is expelled towards the end of the protocol, coupled to morphological changes in the cytoplasm and cytoskeleton.

Several key findings emerged from our mechanotype analysis. Firstly, there is no overlap between the sizes of the nuclei and the cells (both enucleated and nucleated). Data confirmed a 100% separation for both size and deformability. It could, therefore, be possible to design label-free systems to remove the nuclei exploiting the 2 μ m difference in the average diameter of the cells and the nuclei at D18, a size at which there is plenty of evidence in the literature for successful separation approaches targeting different applications [51].

Secondly, since the data showed a significant overlap of the enucleated/ nucleated cell populations in terms of size, the cell mechanotype marker of size might thus not be practical. Thirdly, we demonstrated a significant difference in deformability (from ROC curves AUC=0.99) between the enucleated/ nucleated cell populations. In order to purify enucleated cell from nucleated cells, methods which exploit observed differences in deformation under shear stress could be utilised.

Overall, this study provides the first quantitative information regarding the mechanotype of CD34+ cells undergoing *in vitro* differentiation into mRBC, which could assist in the design of robust label-free purification approaches.

3.5. Materials and methods

3.5.1. Flow Cytometry

Cells were assayed for expression of CD235a (Glycophorin A) and for the presence of a nucleus using flow cytometry during differentiation. At each control time point: day 11, 14, 18 and 21 (D11, D14, D18 and D21), cells were collected and counted, centrifuged at 300 g for 5 min and re-suspended in basal medium supplemented with 0.5% BSA at a concentration of 1×10^7 cells/ml and fluorescently stained as per **materials and methods Chapter 2**. Cells were analysed on a BD FACSCalibur within two hours of staining and raw data analysed using FlowJo V10 CL. The same staining strategy was used for cell sorting by FACS (FACSAria IIu flow cytometer, Beckton Dickinson Immunocytometry Systems (BD, UK) running BD FACSDiva v6 Software.

3.5.2. Mechanotype Characterisation- Real-Time Deformability Cytometry

Cells size and deformability changes were assessed using a Real-Time Deformability Cytometer (RT-DC). Measurements were performed as described in Nat. Methods 2015 [46]

and **Chapter 2**. In this work, a PDMS chip with a $20 \ \mu m \times 20 \ \mu m$ cross-section channel was used. Prior to measurements, cells were harvested by centrifugation at 300g for 5 min and resuspended in a 0.05% methylcellulose solution at $1-2 \times 10^6$ cells/ml. Cells were pumped into the channel at 0.12 μ l/min. RT-DC data were analysed using original RT-DC software ShapeOut 0.6.9 (available at www.zellmechanik.com). Using the polygon tool built in the software, area and deformability values were obtained separately for day 11, 14, 18 and 21 as well as for separate subpopulations (enucleated cells, nucleated cells and nuclei). Data were then extracted from the software and further analysed using MatLab R2016b and GraphPad Prism 7. The significance of the results was calculated using a standardized mixed model that assumes non-normal distribution with three replicas of each experiment (**Appendix A**). The receiver operating characteristic curves were generated and the area under the curve was calculated using a customised MatLab script (MatLab R2016b).

3.5.3. Widefield Microscopy

To study the cytoskeletal changes in CD34+ cells undergoing *in vitro* erythropoiesis, cells at day 11, 14, 18 and 21 were fixed in 4 % paraformaldehyde (PFA) (ThermoFisher Scientific, cat. 28906) and imaged using a widefield microscope Olympus IX-81 TIRF+ with a x150 1.4 NA immersion oil lens and EMCCD camera (Hamamatsu, UK). First cells were stained for 30 min at room temperature against F-actin with Actin Green 488 Ready Probes reagents (Life Technologies, cat. R37110) then cells were transferred onto poly-D-lysine hydrobromide (Sigma Aldrich, cat. P6407, UK) coated cover slips to attach. Two minutes before the end of the incubation time, Hoechst 33342 (Sigma Aldrich, cat. 14533) was added to a final 10 ng/ml concentration. After 2 minutes the cover slip was gently washed with PBS -/- to unattached free-floating cells. Twenty stack images per differentiation day (D11, D14, D18 and D21) were collected. Obtained stack images were deconvoluted with the Huygens Professional software.

Appendix A



Median	Std. Deviation	4.12	1.36	1.16	0.17	2.33	3.56	0.29	2.07	0.78	0.06
25%	Mean	54.43	52.48	61.07	20.97	47.27	56.17	19.60	45.24	48.97	19.33
Percentile	Std. Deviation	2.50	1.43	0.49	0.25	2.28	3.68	0.17	2.04	1.91	0.06
75%	Mean	83.60	64.55	80.07	23.67	57.27	70.10	21.67	54.73	66.40	22.20
Percentile	Std.	3.68	0.83	0.97	0.15	1.94	3.45	0.42	2.01	1.21	0.20

d	Defo	rmation	D11	D14 enuclated	D14 nucleated	D14 nuclei	D18 enuclated	D18 nucleated	D18 nuclei	D21 enuclated	D21 nucleated	D21 nuclei
		Mean	0.08	0.25	0.12	0.02	0.33	0.17	0.03	0.32	0.12	0.03
	Median	Std. Deviation (%)	0.33	1.00	0.40	0.05	1.02	1.15	0.04	0.93	0.59	0.03
		Mean	0.06	0.22	0.09	0.02	0.29	0.13	0.02	0.28	0.08	0.02
	25% Percentile	Std. Deviation (%)	0.34	0.60	0.28	0.03	1.21	0.97	0.01	0.91	0.49	0.03
		Mean	0.11	0.29	0.16	0.03	0.36	0.20	0.04	0.36	0.16	0.04
	75% Percentile	Std. Deviation (%)	0.35	1.10	0.56	0.14	0.85	1.33	0.10	0.87	0.67	0.03

Presented bar graphs show mean of area (**a**) and deformations (**b**) medians for three replicas of the RT-DC measurements for cells at D11.and enucleated, nucleated and nuclei populations from D14, D18 D21. Populations were identified from mixed samples by the gating scheme as described in the main body of the text. Error bars represent standard deviation from the mean. All measurements were performed in three replicas for cells at the same days of differentiation protocol. Cells come from three separate batches, from the same master bank created for one donor. Tables (**c**) and (**d**) show averaged medians for cell are and deformability values obtained at three separate occasion. Because deformation values are small, the SD is represented as % for the easiness of reading.

Chapter 4. Understanding contribution of deformability-induced lift force to cells focusing in spiral microchannels

Highlights

- A novel additional force, deformability-induced lift force (F_D), involved in the cell focusing mechanism within spiral microchannels has been investigated and reported for the first time.
- The effect of F_D has been studied using a customised cellular deformability model consisting of cells of the same size and five degrees of gradually changing deformability.
- The effect of F_D is revealed at sufficiently elevated flow rates and it has been successfully applied for efficient deformability-based cells separation in spiral microchannels.

Published work

Presented data was partially published as referred conference papers:

- <u>E. Guzniczak</u>, M. Jimenez, H. Bridle. Fluid flow in curvilinear microchannels for stem cell purification- understanding the deformability-induced lift force, *German Physical Society annual meeting*, 11-15 March 2018, Berlin, Germanyselected as top 10 research posters.
- (2) <u>E.Guzniczak</u>, M.Jimenez, O.Otto, N. Willoughby, H.Bridle. Deformabilityinduced lift force in curvilinear microchannels for stem cell-derived product purification. *Micro Total Analysis Systems (μTAS) Conference* 10-15 Oct 2018, Kaohsiung, Taiwan. [118]

4.1. Introduction

Separation of cells based on their indigenous properties, such as size and deformability (cell shape change as a response to applied load), have been recognised as a viable alternative to conventional techniques (e.g. FACS and MACS) [51][89]. This is especially true for novel cellular therapeutics, where the final products are not traditional biological molecules (e.g. monoclonal antibodies), but cells themselves (e.g. stem cell-derived red blood cells) [86]. Label-free biomarkers are cost-effective since there is no need to add costly antibodies to reveal cell identity markers and number of processing steps (staining, washing) is reduced [137]. Cell sorting is often used to enrich or purify cell samples into well-defined populations and recently various microfluidic platforms such as acoustophoresis, magnetophoresis, dielectrophoresis and passive sorting (inertial focusing (IF), pinch flow fractionation, deterministic lateral displacement and filtration) have been used for continuous label-free separation [51]. IF in

spiral microchannels represents one of the passive techniques used to manipulate cells on the microscale without an externally applied force [91]. Due to simplicity in operation, low manufacturing cost and proven scalability by parallelisation (millions of cells per minute) IF is considered as a very attractive approach for developing high-throughput industrially-viable processes for large-scale cell enrichment [138].

Traditionally, fluid flow in microscale confined channels has been associated with a negligible inertia since fluid flow in microchannels occurs at low Reynolds numbers [95]. However previous work has shown useful physical phenomena occurring at commonly neglected intermediate flow regimes within spiral microchannels, namely secondary flow and IF [91]. Both of them are determined by channel geometry, particle size and applied flow rate. The interplay between fluid flow pattern and particles, if fine-tuned, leads to particles ordering, i.e. focussing into particular cross-sectional positions; separation based on size has been demonstrated and the effect has been exploited for myriad applications in point-of-care and clinical diagnostics as discussed in **Chapter 1**. However, biological particles due to their deformable nature add complexity to the focusing mechanism.

This chapter presents an investigation of the contribution of the deformability-induced lift force (F_D) to the mechanism of inertial focusing in a high aspect ratio spiral microchannel with rectangular cross-section. In order to study the phenomena of deformability as a migration mechanism, a cellular model of deformability has been derived. Cells of the Jurkat cell line were chemically treated to gradually change their deformability without alterations in the cell size. Treated cells were analysed using a high-throughput phenotyping technique, namely realtime deformability cytometry (RT-DC), and that lead to identification of five optimal conditions within the cellular deformability spectrum (from stiff to soft), which were used to research F_D in spiral channels. For the first time, it has been demonstrated that biological particles of the same size can be separated in spiral microchannels based on difference in deformability only.

4.2. Theoretical background

Inertial focusing and ordering happen in microscale confined channels at intermediate Reynolds numbers (Re), as defined in **Equation** (1), where inertia and viscous forces are finite and inertial migration and secondary flow are generated although they remain within the laminar flow realm [95].

Particles travelling within a spiral microchannel channel experience an asymmetric shear gradient lift force (F_L) from the Poiseuille flow profile, which pushes the particles toward the channel walls, and a competing wall induced lift force (F_W) repulsing particles back toward the centre of the channel [91][96]. Additionally, due to the curvature of the channel, centrifugal forces create a secondary flow, manifested in a form of two counter-rotating Dean vortices perpendicular to the liquid main flow [99]. The secondary flow can be quantified by Dean number, defined in **Equation (7)**.

When a particle travels a sufficiently long distance, the balance of the net inertial lift force and the De force defines particles equilibrium positions within the channel cross-section [100]. Although the dynamics of internal focusing have been proven to depend significantly on size, it has been demonstrated that solid elastic particles flowing in a straight microchannel in Poiseuille flow, experience additional deformability-induced lift force (F_D) that pushes them away from the channel wall [117]. As shown in **Fig. 4.1** softer particles are positioned closer to the channel centre in comparison to their more rigid counterparts.



Fig. 4.1

Schematic adapted from [117] illustrating contribution of deformability-induced lift force to inertial focusing in a straight microchannel with rectangular cross-section.

The magnitude of response to F_D is associated with deformation as well as relative viscosity of fluid inside a cells in respect to the surrounding fluid [139][140]. To capture the degree of deformation, different geometrical measures have been proposed, e.g. (1) reduced volume (v) [141] defined as the ratio of actual particle volume to that of a sphere with the same surface area

$$v = \frac{V}{\frac{4}{3}\pi (\frac{A_{S}}{4\pi})^{\frac{3}{2}}}$$
(13)

where, *V* is deformed particle volume and A_S is deformed particle surface area, and (2) excess area (Δ) [142] defined as the differences in projected area between the particle and a sphere with equivalent volume

$$\Delta = \frac{A_S}{R^2} \tag{14}$$

where R is the effective particle radius

$$R = (\frac{3V}{4\pi})^{\frac{1}{3}}$$
(15)

In this study cell deformability (*D*) was measured with RT-DC (see Chapter 2) and it is expressed as D = 1 - circularity, a deformable object will be characterised by c < 1.

Deformability-induced particles migration has been explored on numerous occasions for droplets, bubbles, vesicles and viscous capsules in straight channels [143]. To the best of my knowledge there is no literature describing this hydrodynamic mechanism in conjugation with Dean vortices in spiral microchannels. Exploring and understanding the contribution of F_D to the focusing and ordering mechanism in spiral microchannels opens up a new way to separate cells from complex heterogeneous samples based on their intrinsic mechanical properties.

4.3. Results

4.3.1. Cellular deformability model

To study F_D in spiral microchannels, a cellular model of deformability was derived by inducing gradual changes in cell deformability with chemical treatment (**Fig. 4.2**). We used Jurkat cells (round cells, $\emptyset 13 \pm 2 \mu m$, mean \pm SD, cultured in suspension) and treated them with CytochalasinD (CytoD)), known for softening cell properties by alteration of cytoskeletal protein F-actin, **Fig. 4.2 a**), and Glutaraldehyde (Gluta), making cells stiffer by cross-linking proteins, **Fig. 4.2 b**. Both compounds were tested at gradually increasing concentrations in order to generate dose-response graphs and identify concentrations corresponding to halfmaximum and maximum response to the treatment manifested in changing cell deformability.



Exemplary contour plots for Jurkat cells treated with (a) Cytochalasin D (CytoD) and (b) Glutaraldehyde showing the controlled change in deformability without affecting size. Size is expressed as the projected cell area $[\mu m^2]$ vs deformation [-], measured in both the high-shear stress channel (green-CytoD and red- Glutaraldehyde) as well as inlet reservoir section (grey for both conditions) of RT-DC chip. All experiments were carried out at a flow rate of 0.16 μ l/s in a 30 × 30 μ m cross-section channel for around 10000 events for each replicate.

Deformability was measured by RT-DC and here it is reported as differential deformation (DD) where deformation in the measurement channel is independently measured from the initial cell shape. Therefore any treatment-induced morphological changes to shape were neglected. DD includes morphological information acquired in the reservoir section of the RT-DC chip (where applied shear is negligible) and subtracts this value from the deformation measured in the channel. Interestingly, as in **Fig. 4.3**, cells treated with 0.1, 1 and 10 μ M CytoD appear more deformable (D= 0.019 \pm 0.017 and D=0.023 \pm 0.017, respectively) in the reservoir of the RT-DC chip, in comparison to control cells (D=0.013 \pm 0.006) with increased heterogeneity manifested by increased SD. Since in the reservoir section the amount of shear stress is negligible, the apparent elevated deformability could originate from loss of the typical spherical shape by some of the cells (as shown in **Fig. 4.3**) due to lack of cytoskeletal integrity as a results of the F-actin depolymerisation.



Exemplary images captured in the reservoir section of RT-DC chip for untreated control cells as well as cells treated with 1 and 10 μ M CytoD. The red lines indicate a currently detected cellby the RT-Dc software. The scale bar corresponds to 20 μ m.

Fig. 4.4 a displays mean \pm SD DD for Jurkat cells treated with CytoD in relation to untreated control cells (0 μ M, DD= 0.031 \pm 0.001). Exposure of cells to a concentration of 0.01 μ M CytoD triggers a significant (p-value <0.01) change to cell deformability, towards increased DD=0.041 \pm 0.001. Cell DD increases gradually with increasing CytoD concentrations to reach a plateau (maximal response) at 1 μ M (DD=0.061 \pm 0.007, p-value < 0.001), corresponding to a relative change in DD of 96% along with increased heterogeneity as standard deviation increases from SD=0.001 (control) to SD=0.007. The half-maximal concentration (EC₅₀) of 0.09 μ M was extracted by using a sigmoidal fit to the data, corresponding to DD=0.046.



Fig. 4.4

Cellular deformability model was derived, using Jurkat cells, by chemical treatment triggering gradual changes in cell stiffness. Cells of Jurkat cell line (round cells in suspension, $\emptyset \sim 13 \mu m$) were treated with (a) CytochalasinD (CytoD) and (b) Glutaraldehyde. Both compounds were tested at different concentration to generate dose-response graphs (mean deformation \pm SEM

measured with real-time deformability cytometry RT-DC). Sigmoidal fit to the data allowed identification of the half maximum (EC50) and maximum concentration for the effect of CytoD and Glutaraldehyde on the deformability. P-values were calculated using the linear mixed model (*p-value <0.01, ** p-value<0.001)

Fig. 4.4 b summarises effect of increasing concentrations of the cross-linking Glutaraldehyde on Jurkat cells, which affects cell-surface particles, stress fibres, actin cortex, and inner structures, stabilising the whole cell structure [144]. At concentrations as low as 0.0001% (v/v) cells become 22% stiffer (DD= 0.031 ± 0.001 , p-value<0.01) than control cells. Cells' deformability decreases gradually with elevated Glutaraldehyde concentration, up to 0.01% (DD= 0.001 ± 0.002 , p-value < 0.001) when cells do not deform any further (maximal response), corresponding to 97% drop in deformability. The EC₅₀ for the effect of Glutaraldehyde was identified at 0.0007%, corresponding to DD=0.014.

In order to verify if the chemically-triggered changes of deformability were decoupled from size alteration we used flow cytometry to quantify forward light scatter (FSC-A) - a measurement of the amount of a laser beam that passes around the cell – corresponding to a relative cell size. Since the RT-DC technique is based on image analysis it allows also size measurement, which is expressed as a projected cell area reported in μm^2 . Typically, cell size is obtained from images acquired in the reservoir section. Although accurate, the technique can at times be sensitive to changes in focus setting. Hence rather than reporting the projected cell area the FSC-A parameter was used instead. Additionally, to quantify the degree of size overlap Receiver Operating Curves (ROC) were generated and the corresponding Area Under the Curve (AUC) was calculated.

As demonstrated in **Fig. 4.5** proposed chemical treatments altering cell deformability have little if any impact on cell size. CytoD treatment (**Fig. 4.5 a & b**) introduced a minute (~10%) shift in cell size distribution (AUC= 0.60, 0.60, 0.62 and 0.61 for 10, 1, 0.1 and 0.01 μ M, respectively). Treatment with Glutaraldehyde (**Fig. 4.5 c & d**) preserved cell size, and that was true for all tested concentrations (AUC=0.53, 0.50, 0.54 and 0.53 for 0.1, 0.01, 0.001 and 0.0001%, respectively).



Cell size of (a) CytochalasinD (CytoD) and (c) Glutaraldehyde- treated cells was assessed using forward light scatter parameter (FSC-A) measured using flow cytometer for 10000 events per condition. (b & d) Receiver Operating Characteristic (ROC) curves were plotted for (size expressed as FSC-A. The True Positive Rate is defined as the number of control cells measured for a certain size cut-off point and divided by the total number of control cells. The False Positive Rate is the corresponding number of treated cells (with CytoD or Glutaraldehyde) divided by the total number of treated cells (CytoD or Glutaraldehyde, respectively) for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the size overlap between control and treated cells, where a value of 0.5 represents complete overlap and 1 represents complete separation.

High-throughput investigation of CytoD and Glutaraldehyde impact on cell mechanical properties allowed us to establish the cellular deformability model, consisting of cells of five different degrees of deformability (see **Table 4.1**), but remaining within the same size ranges. We used these model cells for further study of F_D in spiral microchannels.

Cellular Deformability Model							
Deformability	DD	Treatment					
Soft max	0.061	1 µM CytoD					
Soft half-max	0.046	0.09 µM CytoD					
Soft	0.031	N/A					
Stiff half-max	0.014	0.007% Glutaraldehyde					
Stiff max	0.001	0.01% Glutaraldehyde					

Table 4.1

Cellular deformability model derived for Jurkat cells, consisting of degrees of differential deformability (DD), induced chemically with CytoD (cells softening) or Glutaraldehyde (cells stiffening).

4.3.2. Lateral equilibrium position as a function of cell deformability

Cells of the cellular deformability model, were tested as pure populations in two designs of spiral microchannels at five different flow rates. Design I was a spiral channel with $360 \times 60 \,\mu\text{m}$ cross-section and it was tested at Re= 79, 119, 158, 197. Design II was a spiral channel $170 \times 30 \,\mu\text{m}$ cross-section, tested at Re= 33, 66, 97, 132 and 168. Next, the hydrodynamic behaviour of the cells (described as their lateral equilibrium position at the end of the spiral channel, measured as a distance from the outer wall $[\mu\text{m}^2]$) was compared with reference rigid spherical polystyrene beads of corresponding 10 and 15 μm diameters (please note, 15 μm beads could not be tested in the design II since they clogged the device at the inlet).



Hydrodynamic behaviour of cells of five different deformabilities (Soft max, soft half-max, soft, stiff half-max and stiff) in comparison to reference 15 μ m beads, in design I spiral channel with 360 × 60 μ m cross-section at five different flow rates corresponding to Re=79, 119, 158, 198 and 237. The lateral equilibrium positions were measured as a distance from the outer wall (μ m) at the end of the spiral channel and there were generated by image analysis. Here, it is reported as median (represented as the symbols) and the interquartile range (indicated by the short vertical lines). Vertical dotted lines indicate four sections of the channel corresponding to four outlets of the channel (0-90 μ m- outlet A, 90-180 μ m- outlet B, etc.). Events belonging to a given section have the highest probability of being captured within the corresponding outlet. For summary of triplicate results please consult **Appendix B**

As shown in Fig. 4.6, in design I, at lower flow rates (Re=79, 119 and 158) cells of all five deformability degrees behave in a similar manner, i.e. they remain focussed in the side of the channel, closest to the inner wall of the channel, at around 270 µm. Increased flow rates (Re=198) triggered a shift of soft, soft half-max and soft max cells to lateral positions closer to the channel centreline (184 \pm 60, 168 \pm 87 and 219 \pm 60 μ m, respectively) accompanied by cells defocusing from the uniform streak of cells, manifested by cells occupying larger space within the channel. Stiff half-max and stiff max cells were not affected in the same way, and they remain focused close to the inner wall, with stiff max cells being focused in a tighter streak closer to the outer inner wall ($304 \pm 21 \,\mu$ m) in comparison to stiff half-max cells (269 ± 61 μm). Further flow rate increases (Re=237) resulted in shift of soft, soft half-max and soft max cells closer to the outer wall of the channel $(132 \pm 50, 109 \pm 44 \text{ and } 121 \pm 49 \mu\text{m}, \text{respectively})$. The highest applied flow rate did not change hydrodynamic behaviour of stiff max cells, which were still focused closer to the inner wall $(305 \pm 45 \,\mu\text{m})$, but interestingly stiff half-max cells started shifting towards centreline of the channel, with the characteristic defocusing indicated by wider spread of assembled lateral position $(251 \pm 77 \,\mu\text{m})$, similarly to softer cells at Re=198. At all tested flow rates reference 15 µm beads remained tightly focused close to the inner wall.

In design II (**Fig. 4.7**), due to cell diameter approaching height of the channel, Jurkat cells were geometrically forced towards centreline of the channel. At lower Re numbers (Re=33, 66 and 97) cells from all five conditions behaved in a similar manner, i.e. they remained focussed near the centre of the channel (85 μ m). Increased flow rates (Re= 132) resulted in a noticeable stiff max cells deviation from the centreline (101 ± 25 μ m). At the highest applied flow rates (Re=168) the difference between soft and stiff cells were revealed; soft cells were pushed closer to the outer wall (soft: 68 ± 21 μ m, soft half-max: 80 ± 26 and soft max: 113 ± 21 μ m) while stiff cells focussed close to the inner wall (stiff half max: 100 ± 27 μ m and stiff max: 113 ± 21 μ m). The reference 10 μ m beads behaved similarly to stiff max cells, i.e. they remained focused close to the centreline at lower applied flow rates (102 ± 8, 99 ± 17 and 108 ± 20 μ m for Re= 33, 66 and 97, respectively), and slightly diverged towards the inner wall at higher flow rates (128 ± 11 and 119 ± 23 and Re=132 and 168, respectively).



Hydrodynamic behaviour of cells of five different degrees of deformability (Soft max, soft halfmax, soft, stiff half-max and stiff) in comparison to reference 10 μ m beads, in design II spiral channel with 170 × 30 μ m cross-section at five different flow rates corresponding to Re=33, 66, 97, 132 and 168. The lateral equilibrium positions were measured as a distance from the outer wall (μ m) at the end of the spiral channel and there were generated by image analysis. Here, it is reported as median (represented as the symbol) and the interquartile range (indicated by the short vertical lines). Vertical dotted lines indicate four sections of the channel corresponding to four outlets of the channel (0-42. μ m- outlet A, 42.5-85 μ m- outlet B, etc.). Events belonging to a given section have the highest probability of being captured within the corresponding outlet. For summary of triplicate results please consult **Appendix C**

4.3.3. Deformability difference for sorting cells in spiral microchannel

Using the significant differences in lateral equilibrium position adopted by soft and stiff max cells within spiral microchannels, we conducted label-free separation of these cells. The Design I spiral channel is divided into four balanced outlets (A, B, C and D) for cell collection. The spiral channel itself could be divided into four sections: 0-90 µm, 90-180 µm, 180-270 µm and 270-360 µm, measured from the outer wall, corresponding to the four outlets A, B, C and D, respectively. Due to the laminar flow regime, cells equilibrated at the end of the channel within the realm of one of the four sections, will travel to the corresponding outlet. This principle allows for prediction of sorting outcome without labour-intense outlet quantification, which is a common practice for optimising spiral channels performance. Prior to separation, pre-sorted/ pure populations are run separately at a range of flow rates and their lateral equilibrium positions are compared in order to identify the optimal flow rate. The most distinct difference in equilibrium positions of soft and stiff max cells were observed within the design I channel at Re=237, with separation reaching 98% (AUC=0.98, Fig. 4.8 a), hence that was the flow rate applied for sorting cells from mixed sample. Additionally, by quantifying number of cells in each section of the channel a mock separation efficiency was generated (Fig. 4.8. a & **b**), showing it would be possible to capture 91% of stiff cells in outlet D with >96% purity, while soft cells should be mainly distributed between outlets A (recovery: 29%, purity: 99%) and B (recovery: 60%, purity: 96%).



Predicted outcome for sorting soft (untreated) and stiff max (Glutaraldehyde max) cells in design I spiral microchannel with $360 \times 60 \ \mu m$ cross-section. (a) First Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for soft cells versus stiff max cells, to identify optimal flow rate. The True Positive Rate is defined as the number of soft cells found at a given lateral position and divided by the total number of soft cells. The False Positive Rate is the corresponding number of stiff max cells divided by the total number of soft cells for the same cut-off. To determine which of the applied flow rates ensures the best separation efficiency the Area Under the Curve (AUC) was calculated. By quantifying number of cells in each section of the channel corresponding to one of four outlets, run as pure populations of soft (green) and stiff max (grey), it was possible to generate mock (b) purity and (c) recovery to assess possible sorting outcome for the mixed sample. The bars represent predicted mean values

For the separation experiment it was necessary to distinguish between soft and stiff cells in order to quantify the process performance (purity and recovery). To achieve that, Jurkat cells were modified to express green fluorescence protein (GFP), without compromising their deformability (**Fig. 4.9**), which would allow for their detection by flow cytometry. To avoid further validation of the impact of Glutaraldehyde on emitted fluorescent signal, transfected with GFP Jurkat cells (GFP+) served as the soft subpopulation, mixed with GFP-negative (GFP-) stiff max cells.



RT-FDC characterisation of GFP+ (green) in comparison to GFP- (grey) cells. Equal probability contour plots (the same number of cells fall between each pair of contour lines) with adjacent histograms of deformation vs cell size (expressed as projected cell area in μm^2) for GFP+ and GFP- cells Measurements were performed at 0.16 μ l/min flow rate in a 30 $\mu m \times 30 \mu m$ channel. More than 10000 of total events were acquired and split accordingly between each subset. (a) Comparison of GFP+ versus GFP- untreated cells and (b) comparison of untreated GFP+ cells and GFP- cells fixed with Glutaraldehyde.

The input cell sample, containing soft GFP+ and stiff max GFP- cells, mixed at around 50:50 ratio (**Fig. 4.10 a**), up to a final 1×10^6 cells/ml concertation, were passed through the design I spiral channel at Re=237 at three independent occasions. As shown in **Fig. 4.10 b**, the majority (78.5 ± 1.6 %) of the stiff max GFP- cells were hydrodynamically directed to outlet D, reaching 80% purity. Soft GFP+ cells were mainly distributed between outlets A (recovery:33.1%, puritiy:92%) and B (recovery:38.9%, purity: 79%).



Characterisation of the deformability-based purification process in the design I spiral microchannel, with $360 \times 60 \ \mu m$ cross-section. The purification efficiency of untreated soft GFP+ cells (green) from the Glutaraldehyde treated stiff max GFP- (grey) after processing in the spiral channel. (a) Purity and (b) recovery were generated by quantification of cells collected at the outlets (A -closest to the outer wall, B, C and D) at flow rate corresponding to Re=237. The process validation was performed at three independent occasions, the bars represent mean value and error bars correspond to the standard deviation of the mean.

4.3.4. Impact on cell viability

Processing in spiral microchannels has been recognised as a gentle technique, with little if any impact on cell viability. To take advantage of the F_D in spiral channels it is necessary to work at elevated flow rates, which result in an elevated degree of shear stress potentially deteriorating cell quality. Cells after processing in design I and design II spiral at highest applied flow rate, were collected from all outlets into one tube and investigated by flow cytometry. The earliest detectable signs of apoptosis are membrane asymmetry. In apoptotic
cells phosphatidyl serine is translocated from inner to the outer layer of the cell membrane and can be identified by its conjugate Annexine V. Necrotic cells are characterised by increased cell permeability, which allows propidium iodide, which is not permeant to live cells, to enter the cell and bind to DNA.

Fig. 4.11 shows that cells processing at high flow rates in spiral microchannels does not significantly affect cell viability. Viability of control cells, which were not flowed through the system, was around 80% with remaining 20% being split between apoptotic and necrotic cells within the sample. These proportions were not affected after exposure to shear flow in spiral microfluidic.



Fig. 4.11

Flow cytometric viability assay. (a) An exemplary scatter plot showing gating strategy for live cell (green, negative for both Alexa Fluor 488-annexin V and propidium iodide (PI) fluorescence), apoptotic cells (yellow, annexin V-positive and PI-negative) and necrotic (red, annexin V-positive and PI-positive). Summary of flow cytometric assessment of the presence of live, apoptotic and necrotic Jurkat cells before (control) and after (test) processing in (b) design I and (c) design II spiral microchannels at highest applied flow rates, corresponding to Re=237 and Re=168, respectively. The process validation was performed at three independent occasions, the bars represent mean value and error bars correspond to the standard deviation of the mean. For summary of scatter plots for triplicate results please consult **Appendix D**

4.4. Discussion

Exploiting the contribution of F_D in spiral microchannels offers new ways to separate cells from complex heterogeneous samples based on their intrinsic mechanical properties. In previous reports, the F_D effect for particles focusing in straight channels has been used either solely or in conjugation with other effects, such as viscoelasticity and inertia. At inertia-less regimes (where Re numbers remain small) in microchannels, deformability-based separation is possible due to cell-wall interaction, where more deformable cells experience more repulsion from the wall in comparison to their stiffer counterparts. This principle was successfully employed by Geslinger *et al.* to separate red blood cells from platelets, where cells were pushed against wall using sheath flow.

Incorporating the effect of inertia increases separation throughput, since it occurs at intermediate Re numbers. Hur *et al.* demonstrated that the F_D effect in combination with inertial effects in straight microchannel with high aspect-ratio cross-section, yields throughputs of ~2.2 × 10⁴ cells/ min in a single device. Adding curvature to the channel brings two advantages over straight channels. Firstly, the presence of Dean flow reduces number of equilibrium positions and modifies the preferred locations of the particles depending on their size. Secondly, it accelerates particles displacement; particles travel shorter distances to be equilibrated in comparison to straight channels with the same cross-section and operating at equivalent flow rates. Identifying operating regimes for harnessing the effect of F_D in inertial focussing channels, introduces another important advancement, which could be translated into enhanced passive label-free cell sorting.

In this research, it has been experimentally demonstrated that hydrodynamic effects in spiral microchannels can be used to separate cells of the same size but different deformability. The effect of F_D in straight channels has been studied using droplets and vesicles. The degree of deformation in droplets depends on viscosity ratio with respect to the surrounding fluid. More viscous droplets undergo less deformation thus the lateral push triggered by F_D is weaker. Vesicles, composed of lipid bilayers, are commonly used in biophysics studies as a simplified model for cell membrane dynamics and red blood cells. In shear flows vesicles deform, adopting an ellipsoidal shape and flowing in a fixed orientation in regards to the flow direction and channel wall, while the surrounding membrane is circulating around its interior. This internal circular motion is responsible for maintaining their fixed alignment.

Both of these systems have been widely studied, however they do not reflect the real nature of cells and their hydrodynamic behaviour. Cells are surrounded by a lipid bilayer underlined with a dynamic cytoskeleton, which primarily determines cell deformability. Additionally, most cells contain nucleus, which under sufficiently high shear stress constitutes a limiting factor for cell deformability. For all these reasons, the cellular deformability model has been developed. Using cells of five degrees of deformability I studied their behaviour within two designs of spiral microchannels. Based on the experimental observations two conclusions could be drawn.

(1) The effect of F_D requires sufficiently high Re numbers (design I: Re=237, design II: Re=168). Cells passing through the channel at lower flow rates behave according to their size, and thus no significant differences were observed between soft and stiff cells. At elevated flow rates stiff cells behaved like rigid reference beads while soft cells enter a characteristic defocused state, when they diverge from their previously assembled equilibrium position, near the inner wall of the channel, to occupy a much wider section of the channel around the centreline, and finally, are re-focused closer to the outer wall at sufficiently high flow rates.

(2) The effect of F_D appears to be sensitive to a certain deformability threshold, above which the experienced drag reaches it maximal magnitude. At sufficiently high flow rates, all soft cells- soft, soft half-max and soft max cells- with insignificant variations between themwere focused closer to the outer wall. Interestingly, in design I at Re= 237, stiff max cells remained focused in a tight streak close to the inner wall, while stiff half-max cells started defocusing into a wider streak in comparison to the positions they occupied at lower flow rates (design I: Re=198), suggesting that if the applied flow rate was high enough, they could possibly travel to the outer wall side of the channel.

It has been experimentally verified, that the unique combination of Dean flow and F_D can be adapted to separate cells within the microfluidic system, when there is a sufficient difference in deformability between cells of interest. Promisingly, the elevated flow rates had no impact on cell viability. In order to take a full advantage of the hydrodynamic effects within the spiral microchannel, an optimal flow rate has to be identified by experiment. We noted that there were discrepancies between predicted and experimental sorting outcome. The focusing positions followed by capture in a corresponding outlet is altered when cells of different properties are run as a mixture through the system, in comparison to their behaviour when run separately as pure populations. We believe that the aberrations are introduced due to hydrodynamic interaction of particles (discussed in detail in **Chapter 6**). Briefly, this dynamic self-assembly into trains at the entry of the channel is stabilised by the inertial forces, thus particles which joined the "wrong" train at the entry of the channel, cannot escape it, and this prevents them from travelling to their expected equilibrium position. Consequently they are captured in an unexpected outlet.

The effect of F_D in wall-bounded shear flows is associated with shape distortion, e.g. it has been reported that droplets with low viscosity exhibit a larger curvature on the side near the channel wall that is accounted for the larger repulsing forces acting on the surface of the droplet near the wall. However, as shown in **Fig. 4.12**, both soft and stiff cells flowing in the spiral channel at Re=237, exhibited an undistorted nearly spherical shape. Hur *et al.* 2011 reported that highly viscous droplets do not undergo shape alterations, but still they were pushed away from the wall in comparison to solid plastic beads of similar sizes. That suggests presence of different dynamics contributing to the deformability-based differential drift and further study is required to clarify this mechanism.



Fig. 4.12

Characterisation of soft GFP+ (green) and stiff max GFP- (grey) cells passing though the design I spiral channel with $360 \times 60 \ \mu m$ cross-section at flow rate corresponding to Re=237. Cells (a) area and (b) deformation were generated by analysing images captured within the channel for each population separately. The data points represent mean value measured for at least 10000 events, error bars correspond to standard deviation from the mean.

As shown in **Fig. 4.13**, flow rates applied in design I and II are substantially higher than the majority reported in the literature for particles separation in spiral microchannels. Additionally, we apply these flow rates in devices of relatively small hydraulic diameter (D_h), generating back pressure reaching MPa range. Our devices are made of SU8 bound PMMA (Epigem, UK), while all previously reported designs were made of PDMS bound to a substrate e.g. glass. PDMS is a widely used polymer for manufacture of microfluidic chips and a large body of work has been done towards identifying best binding techniques. Up to date, it has been reported that the strongest bond are achieved with substrates with Si-O groups, with a maximum average bond strengths of ~0.4 MPa, which is an order of magnitude lower than pressures applied in our systems [145]. Currently, this technical detail makes PDMS chips unsuitable for employing the F_D effect for deformability-based separation in curvilinear microchannels.



Fig. 4.13

Summary of operating conditions of spiral channels reported in the literature, up to end of 2018, in comparison to design I and II (pink). The scatter plot represent applied Re numbers versus hydraulic diameter (D_h). The grey dotted lines represent median Re number value (excluding design I and II) and lower and upper quartile as labelled on the graph. The pink dotted line represent the Re number applied in design II at which the effect of F_D was significant.

4.5. Conclusion

Herein, for the first time, it has been demonstrated that at adequately high Re numbers in spiral microchannels the effect of F_D become significant in the overall process of establishing cells equilibrium positions. By studying the hydrodynamic behaviour of the five degree cellular deformability model, it has been found that cells of the same size but different deformability assemble contrary equilibrium positions within the channel cross-section. Soft cells travel close to the outer wall, while their stiff counterparts are focused close to the inner wall of the channel. This experimental observation was successfully adapted into passive label-free approach for separating cells of different deformability properties, without compromising cells quality. This hydrodynamic microfluidic phenomena appears promising and in order to fully exploit it, further theoretical investigation of the underlying physics is required. Given these results, a novel sorting approach is possible, for simultaneous sorting based on size and deformability with one system, with all the advantages offered by the spiral microchannels. This study presents a much needed label-free high-throughput (millions of cells/ min, ml of medium/ min) scalable and continuous cell sorting approach for samples of clinical importance, such as novel stem-cell derived therapeutic products.

4.6. Materials and methods

4.6.1. Deriving the cellular deformability model

Cell samples for all experiments were collected at day 2 after seeding when they were at the exponential growth phase (cell concentration never exceeded 5×10^5 cell/ml. To derive the cellular model for deformability cells were treated with CytochalasinD and Glutaraldehyde.

Cytochlasin D (Sigma Aldrich) was added directly to cell suspension of 1×10^6 cells/ml in whole cell culture medium and incubated for 10 min, in a humidified incubator at 37°C in 5% CO₂. Prior to the RT-DC measurements as well as experiments in the spiral channels and flow cytometry cells were collected by centrifugation at 300 g for 5min and re-suspended in 0.5% methylcellulose or phosphate-buffered saline without calcium and magnesium (PBS-/-, ThermoFisher Scientific), respectively. Cytochlasin D in dimethyl sulfoxide (DMSO, Sigma Aldrich) concentration was adjusted to 0.01, 0.1, 1 and 10 µM. Final DMSO concentration in the cell sample was yielding 0.25% (v/v) for 0.01, 0.1 and 1 µM Cytochalasin D and 2.5% (v/v) for 10µM Cytochalasin D. Cytochalasin D desired concentrations were always maintained in cell buffers through-out the experiments due to the reversibility of the effect. Prior to treatment with Glutaraldehyde (Sigma Aldrich) cells were washed twice in PBS-/- to remove any residual proteins. Next cells were re-suspended at 1×10^{6} cell/ml in PBS-/- supplemented with Glutaraldehyde to the final concentrations of 0.0001, 0.001, 0.01 and 0.1% (v/v) and incubated at room temperature for 40 min. After the incubation time cells were washed once in PBS-/- and re-suspended either in 0.5% methylcellulose for RT-DC (and/or RT-FDC) measurements or PBS-/- for experiments in the spiral channels and flow cytometry.

Size of cells treated with Cytochalasin D and Glutaraldehyde were assessed by flow cytometric measurement of the forward light scatter (FSC-A) (BD LSR II, BD, Germany). Collected data was further analysed using FlowJo V10 CL. The receiver operating characteristic curves (ROC) were generated and the area under the curve (AUC) was calculated using GraphPad Prism 6.

In order to distinguish untreated soft cell from Glutaraldehyde-treated stiff cells, for the purpose of separation efficiency quantification, Jurkat cells expressing green fluorescence protein (GFP), were kindly provided by Dr Miguel Hermida (Heriot-Watt University, UK) by transduction with a second generation lentiviral system generated in house with pHR-SIN EGFP and VSV-G and delta 8.2 vectors. To ensure high GFP expression level (~100%) within the population GFP-positive cells from the starting population were sorted by FACS (FACSAria IIu flow cytometer, Beckton Dickinson Immunocytometry Systems (BD, UK) running BD FACSDiva v6 Software) and re-cultured for further experiments. The GFP-positive cells served as control sample, which was mixed 1:1 with GFP- negative cell treated with Glutaraldehyde.

4.6.2. Cell characterisation RT-FDC

Cells deformability was assessed using real-time fluorescence and deformability cytometry (RT-FDC), in order to distinguish between GFP+ and GFP- cells, when needed. Measurements were performed as per Nat. Methods 2018 [125] and **Chapter 2**. Prior to measurements, cells were harvested by centrifugation at 300 g for 5 min and re-suspended in a 0.05% methylcellulose solution at $1-2 \times 10^6$ cell/ml. Jurkat cells were assessed using a PDMS chip with a 30 × 30 µm cross-section channel. Cells were introduced into the channel at 0.16 µl/min. Obtained data was analysed using original RT-FDC software ShapeOut 0.8.4 (available at www.zellmechanik.com). Next, the data was extracted from the software and further inspected, plotted and fitted using FlowJo V10 CL and GraphPad Prism 6.

4.6.3. Cells separation in spiral channels

Cells suspension at concentration around 1×10^6 cells/ml was processed as described in **Chapter 2**. Cell separation efficiency was quantified by flow cytometry (BD LSR II, BD, Germany in order to compare the fraction of each cell population (characterised by a unique fluorescent properties) in samples collected at each outlets. Additionally, cell yield was assessed by counting number of cells at each outlet using either a standard glass haemocytometer or MoxiZ automated cell counter (Orflo, US). Further data analysis was performed using GraphPad Prism 6 and FlowJo V10 CL.

4.6.4. Viability flow cytometric assay

Cells viability after processing in spiral microchannels was assessed using Alexa Fluor® 488 Annexin V/Dead Cell Apoptosis Kit (ThermoFisher Scientific). Control cells as well as cells after processing were harvested by centrifugation at 200 g for 5 min and washed in cold PBS-/- and stained as per manufacturer's protocol. Briefly, after washing in PBS cells were re-suspended in annexing-binding buffer at around 10⁶ cells/ml. 100 µl aliquote of each sample was transferred to fresh centrifuge tube and 5 µL Alexa Fluor® 488 annexin V and 1 µL 100 µg/mL PI working solution was added to each 100 µL of cell suspensions. Cells were incubated in dark at room temperature for 15 min. After the incubation time samples were further diluted by adding 400 µl annexin-binding buffer and analysed by flow cytometry (BD FACSCalibur), measuring the fluorescence emission at 530 nm (FL1) and >575 nm (FL3).

Appendix B

	Re=237	Mean	SD	Min	Q1	Median	Q3	Max
	Soft max	122	49	37	86	112	144	328
È.	Soft half-max	110	44	33	79	101	131	334
Î	Soft	132	50	38	98	124	156	335
Š	Stiff half-max	251	77	34	191	289	308	338
	Stiff max	305	45	33	304	316	331	341
	15µm beads	318	26	43	308	328	335	339
	$D_{a} = 100$	Maan	٢D	Min	01	Madian	02	Mor
	Re-198	Mean	5D	Min	QI	Median	Q3	Max
98	Soft half-max	201	50	46	150	198	201	333
÷.	Soft	202	59	30	127	201	205	227
e.	Stiff half-max	203	62	40	254	201	200	220
X	Stiff max	310	20	63	303	313	308	330
	15um beads	328	21	34	325	337	337	340
		520	21	51	525	557	557	510
	Re=158	Mean	SD	Min	Q1	Median	Q3	Max
158	Soft max	265	51	48	248	285	298	336
	Soft half-max	242	57	43	206	262	286	335
<u>ا</u>	Soft	265	46	47	251	282	295	337
R	Stiff half-max	273	51	49	263	289	302	338
	Stiff max	306	24	61	299	308	318	338
	15µm beads	333	15	80	337	338	338	341
	Re=119	Mean	SD	Min	01	Median	03	Max
-	Soft max	281	34	69	2.76	2.91	301	335
16	Soft half-max	269	39	16	259	281	292	334
Ξ	Soft	273	33	40	266	283	293	335
e K	Stiff half-max	268	42	71	259	281	292	336
	Stiff max	294	24	67	287	297	306	337
	15µm beads	332	17	46	336	337	338	341
	D70	1.	CD.	Ma	01	Madian	02	M
	Ke=/9	202	SD 20	Min o	205	202	Q3 217	227
6	Stiff may	270	27	0 25	295	303 277	286	337
	Stiff half-max	259	36	49	204	277	280	332
e Se	Soft	265	29	90	259	274	283	335
	Soft half-max	263	34	18	255	273	283	332
	Soft max	273	32	20	265	282	292	334
		Dis	tanc	e fro	m th	e oute	r wa	11
		10]	um]			

Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Qi) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of lateral equilibrium positions obtained for at least 10000 events for 15 µm beads and cells from the cellular deformability model, in design I spiral channel with 360×60 µm crosssection, at five applied Re numbers for **replica I** out of three, expressed as distance from the outer wall [µm].



Hydrodynamic behaviour of cells of five different degrees of deformability (Soft max, soft half-max, soft, stiff half-max and stiff) in comparison to reference 15 μ m beads, in design I spiral channel with 360 × 60 μ m cross-section at five different flow rates corresponding to Re=79, 119, 158, 198 and 237 (as outlined in the tables on the right). The lateral equilibrium positions were measured as a distance from the outer wall (μ m) at the end of the spiral channel and there were generated by image analysis. Here, it is reported as median (represented as the symbols) and the interquartile range (indicated by the short vertical lines). Vertical dotted lines indicate four sections of the channel corresponding to a given section have the highest probability of being captured within the corresponding outlet. Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25th (Qi) and 75th (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for **replica II** out of three.



Hydrodynamic behaviour of cells of five different degrees of deformability (Soft max, soft half-max, soft, stiff half-max and stiff) in comparison to reference 15 μ m beads, in design I spiral channel with 360 × 60 μ m cross-section at five different flow rates corresponding to Re=79, 119, 158, 198 and 237 (as outlined in the tables on the right). The lateral equilibrium positions were measured as a distance from the outer wall (μ m) at the end of the spiral channel and there were generated by image analysis. Here, it is reported as median (represented as the symbols) and the interquartile range (indicated by the short vertical lines). Vertical dotted lines indicate four sections of the channel corresponding to a given section have the highest probability of being captured within the corresponding outlet. Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25th (Q1) and 75th (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for **replica III** out of three.

Appendix C

	Re=168	Mean	SD	Min	Q1	Median	Q3	Max
8	10µm beads	119	23	7	95	136	139	155
Ē	Stiff max	113	21	34	102	121	128	143
e.	Stiff half-max	100	27	21	78	109	124	143
Ľ	Soft	68	21	20	54	62	79	161
	Soft half-max	80	26	20	59	74	96	142
	Soft max	75	24	19	57	70	92	142
	Re=132	Mean	SD	Min	Q1	Median	Q3	Max
32	10µm beads	128	11	28	121	132	137	161
÷	Stiff max	101	25	29	84	107	123	147
e	Stiff half-max	88	29	26	67	85	119	143
2	Soft	75	18	23	63	74	86	141
	Soft half-max	84	23	20	66	83	102	143
	Soft max	80	23	15	62	77	97	162
7	Re=97	Mean	SD	Min	Q1	Median	Q3	Max
	10µm beads	108	20	29	91	97	131	158
б Г	Stiff max	89	25	25	76	87	107	144
ë	Stiff half-max	79	24	21	64	79	92	148
\mathbf{X}	Soft	85	20	24	70	85	99	141
	Soft half-max	85	20	24	70	85	99	141
	Soft max	82	21	20	66	81	97	141
					~ ~			
	Re=66	Mean	SD	Mın	Q1	Median	Q3	Max
9	10µm beads	99	17	60	80	107	115	150
9	Stiff max	82	26	18	70	84	94	145
ë	Stiff half-max	80	21	18	75	83	89	148
ľ	Soft	78	18	21	69	80	87	149
	Soft half-max	84	18	19	74	85	96	144
	Soft max	82	18	21	71	83	93	138
	Re=33	Mean	SD	Min	01	Median	03	Max
	10um beads	102	8	40	07	102	105	152
33	Stiff max	87	10	10	80	00	07	152
ΞÌ ΄	Stiff half-max	81	19	10	79	90	97	150
Se	Soft	04 82	17	10	74	83	00	1/0
	Soft half-max	82 86	10	19	77	80	90	149
	Soft max	8/1	20	17	73	86	96	150
			20	· · ·	, <u>,</u>	.00	20	130
		Di	stanc	ce fro	m th	e oute	r wa	11
					um			

Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Qi) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of lateral equilibrium positions obtained for at least 10000 events for 15 µm beads and cells from the cellular deformability model, in design II spiral channel with 170×30 µm crosssection, at five applied Re numbers for **replica I** out of three, expressed as distance from the outer wall [µm].



Hydrodynamic behaviour of cells of five different degrees of deformability (Soft max, soft half-max, soft, stiff half-max and stiff) in comparison to reference 15 μ m beads, in design II spiral channel with 170 × 30 μ m cross-section at five different flow rates corresponding to Re=33, 66, 97, 132 and 168 (as outlined in the tables on the right). The lateral equilibrium positions were measured as a distance from the outer wall (μ m) at the end of the spiral channel and there were generated by image analysis. Here, it is reported as median (represented as the symbols) and the interquartile range (indicated by the short vertical lines). Vertical dotted lines indicate four sections of the channel corresponding to four outlets of the channel (0-90. μ m-outlet A, 90-180 μ m- outlet B, etc.). Events belonging to a given section have the highest probability of being captured within the corresponding outlet **and** tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25th (Qi) and 75th (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for **replica II** out of three.



Hydrodynamic behaviour of cells of five different degrees of deformability (Soft max, soft half-max, soft, stiff half-max and stiff) in comparison to reference 15 μ m beads, in design II spiral channel with 170 × 30 μ m cross-section at five different flow rates corresponding to Re=33, 66, 97, 132 and 168 (as outlined in the tables on the right). The lateral equilibrium positions were measured as a distance from the outer wall (μ m) at the end of the spiral channel and there were generated by image analysis. Here, it is reported as median (represented as the symbols) and the interquartile range (indicated by the short vertical lines). Vertical dotted lines indicate four sections of the channel corresponding to four outlets of the channel (0-90. μ m-outlet A, 90-180 μ m- outlet B, etc.). Events belonging to a given section have the highest probability of being captured within the corresponding outlet **and** tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25th (Qi) and 75th (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for **replica II** out of three.





Propidium iodide fluorescence

Summary of flow cytometric viability assay. On the top- an exemplary scatter plot showing gating strategy for live cell (green, negative for both Alexa Fluor 488-annexin V and propidium iodide (PI) fluorescence), apoptotic cells (orange, annexin V-positive and PI-negative) and necrotic (red, annexin V-positive and PI-positive). Summary of flow cytometric assessment of the presence of live, apoptotic and necrotic Jurkat cells before (stained control and after processing (stained test) in design I spiral channel with $360 \times 60 \ \mu m$ cross-section at highest applied flow rate (Re=237) for three replicas.



Propidium iodide fluorescence

Summary of flow cytometric viability assay. On the top- an exemplary scatter plot showing gating strategy for live cell (green, negative for both Alexa Fluor 488-annexin V and propidium iodide (PI) fluorescence), apoptotic cells (orange, annexin V-positive and PI-negative) and necrotic (red, annexin V-positive and PI-positive). Summary of flow cytometric assessment of the presence of live, apoptotic and necrotic Jurkat cells before (stained control and after processing (stained test) in design I spiral channel with $360 \times 60 \ \mu m$ cross-section at highest applied flow rate (Re=237) for three replicas.

Chapter 5. Purifying stem cell-derived red blood cells: Translating cell mechanical properties into complete high-throughput label-free downstream processing strategy.

Highlights

- Combining cells mechanical characteristic and the phenomenon of deformabilityinduce lift force (F_D) (described in **Chapter 4**) in spiral microchannels, a complete purification strategy for label-free passive mRBC purification has been proposed, consisting of two steps: (1) processing in spiral microchannel enhanced by (2) membrane filtration, reaching 99% purity.
- End-product of CB CD34+ cells *in vitro* erythroid differentiation, derived from two additional donors have been characterised and compared to results described in **Chapter 3** demonstrating donor-to-donor-variability

5.1. Introduction

In order to address the limiting lack of suitable purification strategies of mRBCs, herein, we propose a complete customised label-free downstream processing approach, based solely on mRBC profoundly characterised mechanical properties. It is a two-step process consisting of cell processing in a spiral microchannel and membrane filtration. As discussed in the introduction, due to simplicity in operation, low manufacturing cost and proven scalability by parallelisation (allowing processing millions of cells per minute and millilitres of medium per minute) inertial focusing in spiral microchannels has been recognised as an attractive approach for high-throughput cell sorting [90] for myriad point of care and clinical diagnostics (please consult **Table 1.3 in Chapter 1**). Particles of different sizes interact to a different degree with the fluid flow pattern generated within the spiral channel and that leads to particles ordering and separation. The deformable nature of cells adds complexity to their ordering within the spiral channels and in the previous **Chapter 4** and Guzniczak et al. 2018 [118] it was reported that at sufficiently high flow rates, particles of the same size but different deformability adopt substantially different equilibrium positions within the channel cross-section.

In this study, for the first time, the previously observed contribution of the deformability-induced lift force to the differential focusing of particles of the same size but contrasting deformable properties within a spiral channel, has been translated into an effective label-free purification protocol for mRBC derived from CB CD34+ cells. This approach offers

a viable alternative to FACS and MACS for sorting mRBC at industrial scale in a label-free manner up to high purities without compromising cell quality, consequently creating a route to bring mRBC into clinical use.

5.2. Results and Discussion

5.2.1. Sample characterisation

As described in **Chapter 3**, CB CD34+ cells undergo *in vitro* differentiation into mRBC over the course of 21 days protocol by balancing the opposite effects of proliferation-promoting factors and differentiation-inducing factors. During this time, cells change their morphology, they decrease in size, lose some intracellular structures, start producing haemoglobin and the nucleus becomes smaller and more condensed to eventually become expelled during the enucleation event [128][129]. In *in vivo* circumstances, the expelled nuclei are removed by macrophages, while during the *in vitro* erythropoiesis they remain in the culture [146]. Although the differentiation process is strictly controlled by adjusting the cell culture conditions, some of the stem cells will not differentiate fully, meaning they will remain at earlier development stages. Consequently, the end-product of the differentiation protocol is a heterogeneous population containing enucleated mRBC, partially differentiated or undifferentiated nucleated cells as well as free-floating nuclei.

The first step to develop the label-free strategy for purifying mRBC from the contaminant nucleated cells and free-floating nuclei was to identify if there was a unique set of label-free markers (label-free marker means that no modifying agents (e.g. antibodies) have to be added in order to reveal them) such as cell size and deformability that would allow characterision of each of the subsets within the final product. In order to identify differences in cell size and deformability, the cells were characterised using image analysis of cytospin slides and flow cytometry (forward light scatter parameter (FSC-A) for relative size measurement) and real-time fluorescence and deformability cytometry (RT-FDC) [125], at the end of the differentiation procedure. To quantify the degree of the size and deformability overlap, receiver operating characteristic (ROC) curves were plotted and the corresponding area under the curve (AUC) was calculated.

5.2.2. Size measurements

Size measurements of events belonging to one of the three subpopulations have been proven challenging due to their heterogeneous nature. RT-DC allows for size measurements and it is recommended to do so in the reservoir section of the chip- where cells do not distort from their original shape due to negligible shear. Differentiating CB CD34+ were

characterised, using RT-FDC, and they were measured in a mixed population fluorescently stained to distinguish between enucleated and nucleated cells and nuclei. Currently, it is technically impossible to monitor fluorescent signal in the reservoir section. Due to this reason, to estimate the size of each subpopulation cells were fixed onto a glass slide and stained for the nucleus (purple) and cytoplasm (pink) as shown in **Fig. 5.1 a**, which allowed to discriminate between enucleated and nucleated cells as well as free floating nuclei. Cell size was reported as area and expressed in μm^2 (**Fig. 5.1 b**). As per this approach, nuclei are smaller (24.3 ± 7.6 μm^2 , mean ± SD) than enucleated (75.7 ± 22.4 μm^2) and nucleated (77.3 ± 27.5 μm^2) cells. However, the same cell population was analysed using flow cytometry and each subset was plotted for the forward light scatter (FSC-A) parameter. **Fig. 5.1 c** (and **Appendix E** for three replicas) shows a clear overlap in size between enucleated cells (pink) and nuclei (grey), while nucleated cells (purple) remain the largest within the sample.



Subpopulation	Enucleated	Nucleated	Nuclei
$Mean\pm SD \; area \; [\mu m^2]$	75.7 ± 22.4	77.3 ± 27.5	24.3 ± 7.6
Number of events	2552	555	1703

Fig. 5.1

Characterisation of enucleated and nucleated cells as well as expelled nuclei present in the end-product of CB CD34+ undergoing in vitro erythropoiesis. (a) An exemplary cytospin image of the end product of the differentiation protocol of cord blood CD34+ cells into red blood cells. Cytoplasm is stained in pink and nuclei are stained in dark purple. The scale bar corresponds to 20 μ m. (b) Table summarising size measurement (expressed as cell area in μ m²) obtained from cytospin image analysis for enucleated cells, nucleated cells as well as free floating nuclei (c) Histogram of FSC-A (forward light scatter) parameters measured using flow

cytometry, reflecting the relative size of enucleated cells (pink), nucleated cells (purple) and the free-floating nuclei (grey). For summary of triplicate results please consult **Appendix E**

The contradictory findings are most probably the consequence of the nature of sample preparation for each technique. In contrast to cytospin, flow cytometry allows size measurement for live cells in suspension. FSC intensity produces a voltage which is proportional to the cell diameter, however, cell size is not reported in physical units. In the cytospin technique deformable enucleated cells spread on the slide more than rigid nuclei, consequently appearing larger than they really are. Thus, if the physical value plays an important role (e.g. for fine-tuning sorting device dimensions) a supplementary approach to flow cytometry is required.

Combining information obtained from flow cytometric measurements and cytospin image analysis, it has been concluded that the cell diameter at the end of the differentiation protocol ranges between $5.5 \pm 0.8 \,\mu\text{m}$ and $9.7 \pm 1.7 \,\mu\text{m}$, within this range enucleated cells and nuclei occupied the smaller side of the spectrum with ~94% size overlap (AUC=0.95, 94 and 93 for three independent measurements, **Fig. 5.2 a**). Despite the pronounced FSC-A peak resolution between enucleated and nucleated cells, 7-18 % (AUC= 68, 57 and 64, **Fig.5.2 a**) of cells remain within the same size range.



Fig. 5.2

Receiver Operating Characteristic (ROC) curves were plotted for (**a**) size (expressed as FSC-A parameter) and (**b**) deformability [-] for enucleated versus nucleated cells (purple-dashed) and enucleated cells versus nuclei (grey) at the end of the differentiation protocol for three replicas for donor I. The True Positive Rate is defined as the number of enucleated cells measured for a certain cut-off point (size or deformability) and divided by the total number of enucleated cells. The False Positive Rate is the corresponding number of nucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the size and deformability overlaps.

5.2.3. Deformability measurements

RT-FDC is a high-throughput (thousands of events per minute) microfluidic platform that enables mechanotype analysis of cells within a heterogeneous sample with no necessity of

pre-sorting into pure populations, due to the integration of fluorescent signal for confirmation of cell identity [125], as described in **Chapter 2**.

Fig. 5.3 a & b displays deformability measurements for enucleated and nucleated cells and nuclei. Comparison of events belonging to each subpopulation (indicated by colour-coded contour plots in **Fig. 5.3 a**) reveals that the free-floating nuclei exposed to shear stress behave like rigid spheres (D=0.028 \pm 0.016, mean \pm SD) while enucleated cells deform substantially from their original spherical shape (D= 0.289 \pm 0.084).



Fig. 5.3

RT-FDC Characterisation of enucleated (pink) and nucleated (purple) cells as well as expelled nuclei (grey) present in the end-product of CB CD34+ undergoing in vitro erythropoiesis. (a) Equal probability contour plots (the same number of cells fall between each pair of contour lines) of deformation vs cell size (expressed as projected cell area in μm^2) for enucleated and nucleated cells and nuclei generated using the RT-FDC. Measurements were performed at 0.12 μ l/min flow rate in a 20 $\mu m \times 20 \mu m$ channel (n=10,000 cells) (b) Box plots summarising the deformation of enucleated/nucleated cells and nuclei, where the line in the box represents the median, the box itself represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values. For summary of triplicate results please consult **Appendix E**

Hence, these subpopulations are almost 100% separated (AUC=0.99, for three replicas, **Fig. 5.2 b**). Nucleated cells also deform (D=0.143 \pm 0.062), however as reported previously, the presence of the stiff condensed nucleus limits their further deformation and that distinguishes more than 90% of them from enucleated cells (AUC=0.91, 0.95 and 0.91, for each of three replicas respectively, **Fig. 5.2 b**).

5.2.4. Sorting- principles and optimisation

Traditionally, spiral-shaped microchannels have been used for sorting cells based on size differences. Cells traveling within the channel experience inertial lift force and Dean drag, and if cells travel a distance long enough, these forces balance and situate cells at distinct lateral equilibrium positions, which depend upon particle size [147][90]. As shown in **Fig. 5.3** in the design used in this study, smaller cells are positioned closer to the inner wall, and with the increasing size, they move closer to the channel centreline. As previously reported [118], at sufficiently elevated Re numbers there is a distinct hydrodynamic behaviour of cells of the same size but different deformability. At high Re numbers, stiff cells remain focused close to the inner wall, while their softer counterparts experience additional drag (the consequence of the deformability-induced lift force[117]) and they travel across the channel to be equilibrated near the outer wall.



Fig. 5.3

Proposed label-free strategy for sorting CB CD34+-derived RBC. The input sample contains mRBC (enucleated cells) as well as nucleated cells and expelled nuclei. Each population is characterised by a unique combination of size and deformability range used for sorting. Nuclei are small and rigid, enucleated cells are within the size range of nuclei, but are more deformable while nucleated cells are the largest of all. The sorting protocol consists of two steps: first, the sample is processed in a spiral microchannel with a rectangular cross-section

(170x30 um), 6 loops, 1 inlet and 4 outlets (A, B, C and D). Cells align in the spiral channel at distinct lateral equilibrium positions depending on their size and deformability, facilitating their capture in one if the four outlets. The majority of the desired enucleated cells is captured in outlet D with some contaminant nucleated cells, which are further removed by membrane filtration.

In order to identify optimal conditions to take advantage of the deformability-induced lift force for the mRBC purification, the device performance has been tested with pre-sorted by FACS pure populations of enucleated and nucleated cells and nuclei. Each of the subpopulations was run separately at gradually increasing flow rates from 0.2 to 1 ml/min at intervals of 0.2 ml/min (Fig. 5.4 a). The separation potential of enucleated cells from nucleated (Fig. 5.4 b) and nuclei (Fig. 5.4 c), was estimated for each tested flow rate by generating ROC curves and calculating the AUC. At lower flow rates (0.2, 0.4 and 0.6 ml/min) all three subpopulations were pushed toward the inner wall. Enucleated and nucleated cells occupy the same section of the channel (enucleated: $100 \pm 24 \ \mu\text{m}$, $123 \pm 22 \ \mu\text{m}$ and $131 \pm 23 \ \mu\text{m}$, nucleated: $110 \pm 20 \,\mu\text{m}$, $125 \pm 15 \,\mu\text{m}$ and $134 \pm 11 \,\mu\text{m}$ at 0.2, 0.4 and 0.6 ml/min, respectively) with a substantial overlap in the assembled lateral equilibrium position (AUC= 0.62, 0.51 and 0.52, for 0.2, 0.4 and 0.6 ml/min, respectively) closer to the channel centreline. Increasing flow rate to 1 ml/min triggered the characteristic shift of more deformable enucleated cells toward the outer wall to the equilibrium lateral position at $36 \pm 21 \,\mu$ m, while less deformable nucleated cells recapitulated the unfocused transition pattern ($103 \pm 32 \,\mu m$) observed for enucleated cells at 0.8 ml/min (103 \pm 33 μ m). Except for the 0.2 ml/min flow rate, nuclei always remained focused along the inner wall in a fairly tight steam ($151 \pm 23 \,\mu\text{m}$ at 0.4 ml/min, $156 \pm 11 \,\mu\text{m}$ at 0.6 ml/min, 158 \pm 11 µm at 0.8 ml/min and 154 \pm 18 µm at 1ml/min). In conclusion, theoretically, operating at 1ml/min would allow separation of 96% of nucleated cells (AUC=0.96) and 99% of nuclei (AUC=0.99%) from the enucleated cells.



Fig. 5.4

Characterising the spiral channel performance with pure populations of enucleated and nucleated cells as well as expelled nuclei. (a) The hydrodynamic behaviour of enucleated (pink) and nucleated (purple) cells and free-floating nuclei (grey) is assessed in a spiral channel with a $30 \times 170 \,\mu$ m cross-section at five different flow rates (0.2, 0.4, 0.6, 0.8 and 1 ml/min). Lateral equilibrium positions are measured as a distance from the outer wall (μ m) at the end of the spiral channel. Here, data are reported as median (represented as the longest vertical line) and the interquartile range (indicated by the short vertical lines) on top of scatter plots, where each dot represents one event. Around 200 events are shown for each subpopulation. Vertical dotted lines indicate four sections of the channel corresponding to four outlets of the channel (0-42.5 μ m- outlet A, 42.5-85 μ m- outlet B, etc.). Events belonging to a given section have the highest probability of being captured within the corresponding outlet.

Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for (**b**) enucleated cells versus nucleated cells and (**c**) enucleated cells versus nuclei for each applied flowrate. The True Positive Rate is defined as the number of enucleated cells found at a given lateral position and divided by the total number of enucleated cells. The False Positive Rate is the corresponding number of nucleated cells (resp. nuclei) divided by the total number of nucleated cells (resp. nuclei) for the same cut-off. To determine which of the applied flow rate ensures the best separation efficiency the Area Under the Curve (AUC) was calculated.

5.2.5. mRBC purification process

Differences in hydrodynamic behaviour of enucleated and nucleated cells, as well as nuclei observed at a 1ml/min flow rate, was translated and incorporated into a label-free purification process. The heterogeneous end product of the differentiation protocol was injected into the spiral microchannel at the optimal flow rate at a concentration of around 3×10^6 cell/ml. **Fig. 5.5a** shows an averaged fraction of each subset in the input sample as well as collected at the four outlets for three independent replicas. Enucleated cells constituted around 20-25% of the starting sample and as predicted, due to their deformable nature, the majority (92 ± 0.2%, mean ± SD, recovery **Fig. 5.5 b**) of them were hydrodynamically directed to the outlet A (closest to the outer wall). The purity at each outlet was assessed using flow cytometry (for summary of scatter plots for triplicate results please consult **Appendix F**).

Enucleated cells in the best performing outlet A were enriched 3.2 ± 0.04 (Fig. 5.5 c) reaching $71 \pm 3\%$ purity (Fig. 5.5 a), where majority of the nuclei were depleted (enrichment ratio 0.05 ± 0.02 (Fig. 5.5 c), recovery $1.7 \pm 0.43\%$ (Fig. 5.5 b), purity $1.8 \pm 0.54\%$ (Fig. 5.5 a)) and nucleated cells constituted the main contaminant (purity $26.6 \pm 2.8\%$, Fig. 5.5 a).

Transfusion of nucleated cells poses leucemogenic risk [3], thus this product should be further purified if intended for clinical application. That was achieved by adding a filtration step after processing in the spiral channel (**Fig. 5.3**). Cells collected at the outlets A and B were passed through 3μ m polycarbonate IsoporeTM filter membrane counted and assessed by flow cytometry (**Appendix G**). This enhanced purity of enucleated cells collected at the outlet A to 99% (**Fig. 5.5 d**). The high purity, however, was a trade-off for recovery since during this process 50-70% of enucleated cells were lost (**Fig. 5.5 e**). Only 5% of enucleated cells was found in outlet B, but the recovery rate after filtration of the sample was much higher than for outlet A, reaching 86 ± 13 % (**Fig. 5.5 e**), however in return the purity was compromised (93.0 ± 6.61 %, **Fig. 5.5 d**). For the overall performance of the purification process, I think it is sensible to sacrifice a fraction of the cell material in order to maintain highest possible purity which is one of the greatest priorities for the safety of any cell therapy products[148].



Fig. 5.5

Characterisation of the label-free mRBC purification process. Separation efficiency of enucleated cells (pink) from contaminant nucleated cells (purple) and nuclei (grey) after processing in a spiral channel with a $30 \times 170 \ \mu\text{m}^2$ cross-section and four outlets (A -closest to the outer wall, B, C and D) at 1 ml/min flow rate (**a**, **b** & **c**) and enhanced by filtration (**d**, **e** & **f**). A filtration step was performed only on cells collected at the best performing outlets (A and B). Both approaches were characterised with three parameters: purity, recovery and enrichment ratio. The process validation was performed with three replicas, the bars representing the mean value and error bars the standard deviation of the mean. Dotted lines on the enrichment ratio diagrams represent input baseline.

5.2.6. Filtration justifications

Prior to identifying the next best approach to remove the contaminant residues in the collected samples after processing in the spiral channel, we had to understand their properties. Choosing membrane filtration as the purity enhancing step in the mRBC purification workflow was motivated with the observation that cell deformability under applied load is determined not only by cytoskeletal properties [52] but also the presence and properties of nucleus [41][136]. At the very last stages of the differentiation procedure, the enucleated and nucleated cells indicate similarities in their cytoskeleton. The actin cortex is downregulated [128] and in fluorescent microscopy, it is visible as little aggregates distributed on cell peripheries and that determines the soft nature of these cells [41]. The most distinct difference between enucleated and nucleated cells, however, is the presence of the nucleus. While under sufficiently high load, like during the RT-FDC experiments, the deformation of nucleated cells is limited by the presence of the nucleus [41]. As shown in Fig. 5.6 a when cells enter the RT-FDC measurement channel, the enucleated cells assemble the tear-like shape and their minor axis (4.3 \pm 0.81, mean \pm SD) perpendicular to the flow direction) is shorter than nuclei minor axis (5.0 \pm 0.38), on the contrary nucleated cells assemble the bullet-like shape and their minor axis length (6.42 ± 0.9) remains above the nuclei. The nucleus is a rigid structure restricting the degree to which a nucleated cell deform from its original shape under applied load. Nuclei within nucleated cells as well as the free floating nuclei captured in the outlet A were sized by image analysis of cytospin slides (**Fig.5.6 b**). The nuclei within nucleated cells mean minor axis was 4.8 ± 0.8 μ m, with the minimal measured value of 2.8 μ m. The free floating nuclei were of similar sizes (minor axis 4.6 ± 07 , the minimal measured value was $2.9 \,\mu\text{m}$).



b	Nucleated cells collected in outlet A					
	Whole cell mean \pm SD area [μ m ²]	75.8 ± 25.2				
	Nucleus mean \pm SD area [μ m ²]	26.4 ± 6.7				
	Nucleus/whole cell mean \pm SD ratio	0.38 ± 0.12				
	Nucleus mean \pm SD minor axis [µm]	4.8 ± 0.8				
	Nucleus min-max minor axis [µm]	2.8 - 6.2 113				
	Number of events					
c	Free-floating nuclei collect	ted in outlet A				
	Whole mean \pm SD area [μ m ²]	26.1 ± 7.2				
	Nucleus mean \pm SD minor axis [µm]	4.6 ± 0.7				
	Nucleus min-max minor axis [µm]	2.9 - 6.3				
	Number of events	168				

Fig. 5.6

(a) Minor axis (y-axis, perpendicular to the flow direction within the RT-DC chip) of enucleated cells (pink), nucleated cells (purple) and nuclei (grey) in the input sample, measured by RT-DC in the measurement channel section. Measurements were performed at 0.12μ l/min flow rate in a 20 μ m × 20 μ m channel. More than 10,000 events were acquired and split accordingly between each subset. Box plots summarising the length of minor axis [μ m] for enucleated and nucleated cells and nuclei, where the line in the box represents the median, the box itself represents data from lower and upper quartile and the whiskers correspond to

the lowest and highest extreme values. The dotted line indicates mean minor axis length for nuclei. To get further insight into the properties of contaminants found in the outlet A cytospin image analysis was perform to extract useful parameters describing (**b**) nucleated cell and (**c**) nuclei.

The sizes of the free-floating nuclei and the nuclei within nucleated cells did not allow them to pass through 3 μ m membrane pores and hence they should have been retained on the top of the filter.

This study did not aim to develop new membrane filtration methodology, thus initially we tested six commercially available membranes, three with 5 μ m pore size and three with 3 μ m pore size.

- 1. 5µm SVPP Durapore (Merck, cat. SVLP04700)
- 2. 5µm Polyster PETE (SterliTech, cat. PET5047100)
- 3. 5µm NYLON (cat. NY5047100)
- 4. 3 µm SIGMA (Sigma, cat. 23339483)
- 5. 3 µm ISOPORETM (Merc, cat. TSTP04700)
- 6. 3 µm NUCLEOPRETM (Whatman, cat. WHA110412)

All membranes were tested specifically with the end product of the CB CD34+ erythroid differentiation. A mixed population containing enucleated and nucleated cell as well as nuclei was passed through the filter membranes fitted onto syringe adapter and cell material retention on the membranes was assessed by performing a cell count (**Table 5.1**). 5 μ m NYLON and 3 μ m SIGMA were discriminated from further tests due to high retention, 99.95% and 99.75%, respectively, of input cells, did not go through the membrane. The cut-off point of the 5 μ m Polyster PETE membrane was larger than the largest cells found in the input sample and that resulted in 100% of all components of the input sample passing through the filter.

Membrane	Input [cells/ ml]	Output [cells/ ml]	Recovery of all cells [%]	Enucleated recovery [%]	Nucleated recovery [%]	Nuclei recovery [%]
$5 \ \mu m \ SVPP \ Durapore$	$1.28 imes10^{6}$	$1.08 imes 10^5$	8.4	16.3	7.0	3.1
5 μm Polyster PETE	$1.28 imes 10^{6}$	$1.32 imes 10^{6}$	100	100	100	100
$5 \ \mu m \ NYLON$	$1.28 imes 10^6$	$3.13 imes 10^3$	0.2	0	0	0
3 µm SIGMA	$2.38 imes10^6$	$1.03 imes 10^3$	0.0	0	0	0
$3 \ \mu m \ ISOPORE^{TM}$	$2.38 imes10^6$	7.83×10^5	32.9	9.1	24.9	65.1
$3 \ \mu m \ NUCLEOPRE^{TM}$	$2.38 imes 10^{6}$	$1.26 imes 10^{6}$	52.9	17.1	46.1	73.4

Table 5.1

Summary of performance of the six initially tested filter membrane. Membrane type column indicates pore size and brand name of tested membranes. Input and output columns show cell concentration before and after filtration, respectively, and recovery column indicates a fraction of input sample that passed through the filter with no distinction into the three subsets.

Next, input sample as well as samples collected after filtration through the best performing 3 μ m ISOPORETM, 3 μ m NUCLEOPRETM and 5 μ m SVPP Durapore membranes, were characterised using standard flow cytometric assay (**Fig. 5.7**). In order to identify the most suitable membrane, sizes of enucleated and nucleated cells, as well as nuclei from samples collected after filtration, were compared with sizes of their counterpart subsets found in the outlet A, after processing in the spiral channel. Box plots of FSC-A parameter were generated separately for enucleated (**Fig. 5.7 a**) and nucleated (**Fig. 5.7 b**) cells and the free-floating nuclei (**Fig. 5.7 c**), then ROC cures were generated and AUC was calculated (**Fig. 5.7 d, e & f**).

The assumption for desired enucleated cells was that AUC for the best performing membrane should be either closest to 0.5 (meaning exactly the same size range) or 1 with the shift toward small sizes within the measured size range. In contrast, for contaminant particles, like nucleated cells and nuclei, AUC ideally should be equal to 1, with the population shift towards the larger sizes. Based on these assumptions we found that the best performing membrane was the 3 μ m ISOPORETM, the size range of enucleated cells passing through the membrane was almost 100% aligned with enucleated cells found in the outlet A (AUC=0.54, **Fig. 5.7 a**), which should minimise the additional cell losses. Moreover, the 3 μ m ISOPORETM had a very sharp cut-off point for nucleated cells and nuclei, with 75% of all events remaining in the minimal side of the spectrum (shown as box plot in **Fig. 5.7 b & c**) and being





Fig. 5.7

Comparison of sizes of (a) enucleated cells, (b) nucleated cells and (c) free floating nuclei captured in the outlet A and after passing through $3\mu m$ ISOPORETM (ISO), $3\mu m$ NUCLEOPRETM (NUCLE) and $5\mu m$ SVPP Durapore (DURA). Box plots summarising the

FSC-A parameter indicating relative sizes for enucleated and nucleated cells and nuclei, where the line in the box represents the median, the box itself represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values. The dotted line indicates mean FSC-A for an adequate subset found in the outlet D. Receiver Operating Characteristic (ROC) curves were plotted for the sizes expressed as FSC-A parameters comparison between (d) enucleated cells, (e) nucleated cells and (f) nuclei found in the outlet D and passed through passing through $3\mu m$ ISOPORETM (solid line), $3\mu m$ NUCLEOPRETM (dashed line) and $5\mu m$ SVPP Durapore (dotted line). The True Positive Rate is defined as the number of events (enucleated/nucleated/nuclei) found in the outlet D for a certain FSC-A cutoff point divided by the total number of events (enucleated/nuclei, respectively). The False Positive Rate is defined as the number of events (enucleated/nuclei) found in samples after filtration, divided by the total number of events (enucleated/nucleated/ nuclei, respectively). In order to quantify size overlap, the Area Under the Curve (AUC) was calculated.

5.2.7. Donor-to-donor variability and reproducibility

The indigenous properties of cells, such as size and deformability, have been recognised as promising inexpensive label-free markers [45]. In some cases, alterations in cell mechanical properties are correlated with their molecular activity, such as cell cycle regulation [149][46] and differentiation [41][150][151]. Label-free biomarkers are cost-effective since there is no need to add costly antibodies to reveal cell identity markers and number of processing steps (staining, washing) is reduced [137], thus they are seen as a potential route to develop industrially-viable processes for large-scale high-throughput cell enrichment [152][153][154], e.g. like in this study purifying stem-cell derived RBC. This approach is not suitable in many cases due to the lack of the correlation of the desired molecular phenotype and the mechanotype, thus the first step in designing any customised label-free purification strategy is profound characterisation and understanding of properties of a given sample.

Luckily, the enucleated and nucleated cells and the free-floating nuclei found in the end-product of the CB CD34+ differentiation protocol could be distinguished based on their size and deformability. CB CD34+, among other cell types used as a starting material, offer relatively high enucleation rate and yields providing numerous units of mRBC. However, CB CD34+ expansion potential is limited, meaning that cells obtained from one donor constitute a finite source and that creates a difficulty in adapting this approach for routine standardised processing [155].

Variation in donor-dependent hematopoietic differentiation potential of CB CD34+ has not been widely addressed, hence we characterised the end product of CB CD34+ *in vitro* erythropoiesis from three donors, two described in this study (donor I- **Fig. 5.1, Fig 5.2 and Fig. 5.3** and **Appendix B**, donor II- **Appendix H** and **Appendix I**) and an additional donor was described elsewhere [41] and **Appendix J**. Our findings show that there was some degree of intrinsic variability in size and deformability characteristics between them (**Table 5.2**- a summary table for all three donors) which influenced the label-free purification outcome (**Fig. 5.5** for donor I, **Appendix K** for donor II, **Appendix L** for donor III and **Table 5.2** for the summary).

In general, the size expressed as projected cell area $[\mu m^2]$ remains roughly within the same range for each donor $(24.3 \pm 7.6 - 77.3 \pm 27.5, 26.1 \pm 7.5 - 67.8 \pm 20.7$ and $20.9 \pm 1.5 - 63.5 \pm 6.7 \ \mu m^2$, for donor I, II and III, respectively). The relative size measured by flow cytometry as FSC-A parameter, show that nucleated cells always remind the largest in the samples and that was true across all three donors. It was also true across the three researched donors that there were discrepancies in the relative size of enucleated cells and nuclei. Enucleated cells derived from donor I substantially overlapped with sizes of nuclei (AUC=0.63 \pm 0.6, **Fig. 5.2**) and the little shift was towards larger side of the spectrum. For donor II enucleated cells were the smallest within the sample, while as shown in **Appendix J** the subpopulation of enucleated cells derived from donor III was larger than the subpopulation of the expelled nuclei (AUC=0.93 \pm 0.01) with a substantial overlap in terms of size with nucleated cells (AUC=0.59 \pm 0.03)

Despite the size differences, nuclei always remain the most rigid within the sample while the enucleated cells are the most deformable. However, the degree to which enucleated cells can deform vary between donors, mean deformation of enucleated cells derived from the best performing donor III was 0.33 ± 0.03 [41] (**Table 5.2**), which is comparable to deformation measured for peripheral blood red cells measured in RT-DC (D=0.35 ± 0.03, **Appendix M**.). In contrast, deformation of enucleated cells derived from donor II (D=0.21 ± 0.07), which also had the lowest expansion (data not shown) and enucleation rate among all tested donors, was closer to the deformability of nucleated cells from donor III (D= 0.17 ± 0.03, **Table 5.2**) than to enucleated cells from donor II and RBC (**Appendix M**). Additionally, the overall cell isolation process depends on the relative abundance of the target cells in the input sample. For all three donors the purity of enucleated cells varied (**Table 5.2**), donor I ~20%, donor II <10% and donor III ~30%.

Donor	I	Ш	ш
Where it was described	Fig.5.1, Fig.5.2, Fig.5.3, Fig.5.5, Appendix B	Appendix H & I &K	Guzniczak <i>et al.</i> 2017 and Appendix J & L
Enucleated mean \pm SD area $[\mu m^{2}]$	75.7 ± 22.4	60.6 ± 18.5	52.8 ± 5.3
Nucleated mean \pm SD area $[\mu m^{2}]$	77.3 ± 27.5	67.8 ± 20.7	63.5 ± 6.7
Nuclei mean \pm SD area $[\mu m^{2}]$	24.3 ± 7.6	26.1 ± 7.5	20.9 ± 1.5
Relative size [Count vs FSC- A]	400 300 200 0 50K 100K 150K 200K 250K		
Enucleated mean ± SD deformation [-]	0.29 ± 0.05	0.21 ± 0.07	0.33 ± 0.03
Nucleated mean ± SD deformation [-]	0.14 ± 0.04	0.10 ± 0.04	0.17 ± 0.03
Nuclei mean ± SD deformation [-]	0.03 ± 0.01	0.03 ± 0.01	0.03 ± 0.01
Nucleated mean ± SD nucleus/cytoplas m ratio	0.4 ± 0.1	0.4 ± 0.1	0.4 ± 0.1
	Purification in the	spiral microchannel	
Input Enucleated PURITY	21.7 ± 0.7 %	8.3 ± 0.7 %	34.8 ± 4.5 %
Outlet A Enucleated PURITY	$71.0\pm3.4\%$	$62.0 \pm 3.4\%$	71.3 %*
Outlet A Enucleated RECOVERY	$92.8\pm0.2\%$	79.1 ± 8.5 %	94.4%*

Table 5.2

Table summarising size and deformability properties for three researched donors (donor I, donor II and donor III) as well as the performance of the purification process in the spiral microchannel. All reported values are averaged results for three replicas, except for donor III outlet A Enucleated PURITY and RECOVERY marked with "*"- performance of the spiral channel for donor III was validated only once.

In order to verify if these difference had and influence on the label-free purification performance (donor I- Fig. 5.5, donor II- Appendix K, donor III- Appendix L), mRBC derived from all three donors were processed in the spiral channel at 1ml/min and the process characterisation metrics were compared (Table 5.2). The generic conclusion is that the high quality end-product of differentiation constitutes good quality starting material for the labelfree purification resulting in highest recovery rates (>90%) and purity (>70%). Among the researched donors, donor III could be classified as an exemplary donor due to high enucleation rate, good quality of enucleated cells comparable to PB RBC (Appendix M) and their clearly distinguishable mechanotype. In contrast, smaller stiffer and less abundant enucleated cells derived from donor II were more troublesome to purify. Their recovery and purity in the outlet A were $\sim 10\%$ lower in comparison to donor I and III (**Table 5.2**). Stiffer enucleated cells from donor II, probably, experience less of the effect of the deformability induced lift force and they assemble the lateral equilibrium position closer to the channel centreline resulting in their partial capture in the outlet B (recovery 12.3%, Appendix K b). Additionally, due to the prevalence of contaminant nucleated cells and nuclei, the filter membrane was more prone to fouling and that resulted in 17% lower recovery rate of enucleated cells (Appendix K e) in comparison to the donor I.

In conclusion, CB CD34+ derived from the same donor, behave in a uniform way during the *in vitro* differentiation into RBC- even when processed in batches. The differentiation process for each batch is recapitulated either exactly or with very close similarities in terms their expansion and enucleation rate, levels of expression of CD235a and well as characteristics of their label-free markers (size and deformability). However, not all researched donors had the same capacity to differentiate into enucleated mRBC. In this study, we showed that erythroid differentiation did not occur at the same levels for all studied donors. This means that the cells origin is probably the major determinant of variation in hematopoietic differentiation of CB CD34+ cells and that could be the limiting factors for implementing standardised label-free purification protocols.
The issue of limited proliferative capacity has been addressed by the scientific community by introducing iPSC cells [156] as well as creating immortalised adult erythroid cell line (BEL-A)[23], presenting an interesting alternative to CB and PB hematopoietic stem cells as a potentially unlimited source of erythroid progenitors. Consequently, both of the approaches create a route to manufacture standardised mRBC with highly reproducible phenoand mechnotypes. To achieve that, technical hurdles specific for each approach have to be overcome, GMP compliance has to be addressed and their safety and efficacy have to be proven [157].

5.2.8. Processing impact on cells

Inertial microfluidic techniques are considered as a gentle method for biological samples processing, with a vast literature evidence supporting unaffected cell quality (e.g. viability, cell membrane integrity, proliferation or altered gene expression) after processing [158]. In this study, the novel deformability-induced lift force (F_D) was used to sort mRBC in spiral microchannel, and as described in **Chapter 4**, in order to reveal the effect of F_D, elevated flow rates are required. To investigate if the hydrodynamically-induced mechanical stress on the cells exerted any adverse effect on the mRBC phenotype, cell integrity and global gene expression profile were studied.

Populations of mRBC derived from donor I and II, at concentration of $3-4 \times 10^6$ cell/ml were processed in the design II ($170 \times 30 \mu m$ cross-section) spiral microchannel at 1 ml/ min flow rate, and eluents from all outlets were collected into one vial (test sample) and their quality was compared against unprocessed cells (control).

Cell integrity of the control and processed cells was investigated via trypan blue exclusion assay. Live cells are impenetrable for trypan blue, while damaged cells with impaired cell membrane integrity uptake trypan blue and they appear blue. As shown in **Fig. 5.8**, the high viability of >85% was comparable at the inlet (control) and at the outlet after processing (test).



Viability of mRBC derived from (a) donor I and (b) donor II, before (control) and after (test) processing in design I ($170 \times 30 \mu m$ cross-section) spiral microchannel at 1 ml/min flow rate, as measured by Trypan Blue exclusion assays. Bars represent mean fraction of live (green) or dead (blue) cells found in the samples, measured at three independent experimental occasion. Error bars correspond to the standard deviation of the mean.

Next, the global gene expression patterns were investigated in control and test samples, using poly-A selection method to detect if the relatively high flow rates (in comparison to reports from literature- please consult **Fig. 4.12** for summary of Re numbers) trigger more subtle changes at molecular level.

Please note that the **bioinformatic analysis and data interpretation was performed by Neil A. Robertson (MRC DTP PhD Studentship)**. After sequencing, a standard pipeline was run that seeks to describe the variance and correlative behaviour across the data before classifying those genes that are differentially expressed in each core comparison. Sample by sample correlation analysis was performed to attempt to ascertain how strongly or weakly each sample correlates across the range of gene expression values (Spearman Correlation Clustering, hierarchically clustered). Strong tendency for samples to cluster by sample group (donor I and door II), overriding the effects of processing in the spiral microchannel (**Fig. 5.9**) was observed.



Heatmap of sample correlation. Correlations between each pairwise combination of samples is shown. The correlations were calculated using Spearman Correlation based on all gene expression values. The level of correlation (Spearman Correlation Coefficient) is represented by colour intensity, with strong positive correlation in red, no correlation in light pink and strong anti-correlation in blue. The plot has been hierarchically clustered on both axis using, Spearman distances, with UPMGA agglomeration and mean reordering.

We then sought to describe the individual changes in gene expression using CuffDiff. Here, a small number of genes changing significantly (p-value < 0.05) as a result of cell processing– 40 and 42 changing genes in samples from donor I and donor II, respectively, was observed. This was eclipsed by the scale of changes between sample groups with over 2400 changing (donor I vs donor II) at control and treatment stages (**Fig. 5.10**).



Bar chart showing the number of significantly (p-value < 0.05) upregulated (up) and downregulated (down) genes, between donor I (and donor II respectively) cells processed is the spiral microchannel compared to control sample as well as donor II control and processed cells compared to control and processed cells derived from donor I.

Heatmaps of significantly differentially expressed genes (please see **Appendix O**), show that although genes change in relatively robust patterns, their expression changes are rarely recapitulated within treatment groups. Additionally, as shown in the violin plots (**Fig. 5.11**) for the most up- and downregulated genes (top three each of up and down regulated selected by smallest p value), the level of changes are small when compared to the spread in the expression levels between replicates.

In summary, it has been confirmed that mRBC after processing within the spiral microchannel at sufficiently high flow rate to take advantage of the effect of F_D for cells focusing, retain high degree of viability and that there is no distinct gene expression alteration. That confirms that the proposed approach for using the spiral microchannel for mRBC sorting, is indeed a suitable and safe technique for processing these cells.



Violin plots showing three most significantly (selected by the lowest p-value) upregulated and downregulated genes after processing in the design II ($170 \times 30 \mu m$ cross-section) at 1 ml/min flow rate in comparison to control cells, for both donor I and II. Each dot is one sample, with sample groups given on the x-axis and gene expression on the y-axis. The mean and standard error for each sample group is given as a red dot and line. The spread of the samples within a sample group gives an idea of sample heterogeneity at a given gene.

5.3. Conclusion and future outlook

In this work, we successfully developed a passive label-free high-throughput and complete purification strategy for CB CD34+- derived red blood cells. Using advancements in the microfluidic RT-FDC approach for high-throughput cell mechnotyping the heterogeneous end-product of CB CD34+ *in vitro* erythropoiesis was characterised and label-free identity markers were identified and formulated for the target enucleated cells as well as contaminant nucleated cells and expelled nuclei. Next these label-free markers were used as a motivation for developing and optimising the label-free purification strategy, which consists of two steps: (1) processing raw sample in spiral microchannel where most of the contaminant material is depleted (enrichment ratio ~0.5 for both nucleated cells and nuclei, below the input baseline) and high recovery (>90%) of the target enucleated cells up to high (>70%) but not satisfactory purities, further enhanced by adding (2) membrane filtration step resulting in removal of ~99% of the potentially harmful impurities (mainly nucleated cells since >98% of nuclei were removed by the spiral microchannel). The membrane filtration requires further optimisation and development since in this study due to the usage of dead-end filtration which is prone to membrane fouling, led to the recovery of 30-50 % of enucleated cells.

In the demonstrated approach, $3-4 \times 10^6$ cells/ min are processed by a single device when operating at the optimal 1ml/min flow rate. The current throughput seems reasonable for processing cells that are routinely cultured within similar concentration range in large volumes. At present, mRBC culture is routinely carried in static culture conditions, facilitating maximal cell concentration at around 5×10^6 cells/ml [11], as Timmins *et al.* 2009 estimated, that would take 9000 T175 cm² flasks to produce a single blood unit [14]. This approach definitely is not sustainable and cost effective. To overcome the limitation mRBC cultured at minimum 50×10^6 cell/ml should decrease required volume of medium to 40 litres and consequently the total cost to around \$8000 per blood unit [14][3][11]. The downstream processing proposed in this study has the capacity for further scale-up by two means: increasing cell sample concentration (the estimated concentration limit for this particular system is at 20×10^6 cells/ml, $\alpha=1$, please see **Equation (17)**) and system parallelisation. Stacking microfluidic devices (stack of 20 devices reported [159]) is a common practice resulting in a rapid and efficient throughput improvement.

All current protocols for the manufacture of RBC from stem cells face the same technological challenge of low enucleation rate. The most efficient solution is co-culture with macrophages, which eliminate the expelled nuclei by the means of phagocytosis. This is an organic solution but it comes with its own technological costs, such as finding ways to retrieve the macrophages from the culture and the complexity of a co-culture system with feeder layer impairs scaling-up. Hence, a significant research effort is invested into understanding the enucleation process and identifying and isolating key factors responsible for the process [25][31]. In the most optimistic scenario, even if the enucleation rate would be improved to reach the desirable 100% and nucleated cells would not be present in the end-product of the *in vitro* erythropoiesis, in the absence of macrophages the expelled nuclei will still remain within the sample. Having a robust label-free procedure for mRBC purification at high-throughput with no impact on cell quality will consequently be of significant importance for bringing mRBC a step closer to clinical use.

5.4. Materials and methods

The differentiation protocol, flow cytometric staining protocol and cytospin slides preparation were performed as per **Chapter 2**

5.4.1. Flow cytometry

Fluorescently-stained cells were analysed on a flow cytometer (BD LSR II, BD, Germany). Obtained data were processed using FlowJo V10 CL. The receiver operating characteristic curves (ROC) were generated and the area under the curve (AUC) was calculated and further data analysis was performed using GraphPad Prism 6.

5.4.2. Real-time fluorescence and deformability cytometry (RT-FDC)

Correlation between the molecular markers and mechnotype was assessed using realtime fluorescence and deformability cytometry (RT-FDC) [125]. Measurements were performed as per Nat. Methods 2018 [125] and as described in **Chapter 2**. Briefly, prior to measurements, CB CD34+ in the end of the differentiation protocol were harvested by centrifugation at 200 g for 5 min and re-suspended in a 0.05% methylcellulose solution (CellCarrier, Zellmechanik Dresden, Germany) $1-2 \times 10^6$ cell/ml. Differentiated CB CD34+ cells, due to their small size, were characterised in $20 \times 20 \,\mu\text{m}$ cross-section channel at 0.12 μ l/min flow rate. Due to the fragile nature of these cells, they were stained directly in CellCarrier by adding 5 mM DRAQ5TM Fluorescent Probe (BD) (to obtain a final concentration of 5 μ M) per 100 μ l buffer volume. Cells were incubated for 2 min, in darkness at room temperature and analysed immediately after the staining. Gating strategy for the enculated and nucleated cell as well as nuclei was applied as per **Appendix N.** Obtained data was analysed using original RT-FDC software ShapeOut 0.8.4 (available at www.zellmechanik.com). Next, the data was extracted from the software and further inspected and plotted using FlowJo V10 CL and GraphPad Prism 6.

5.4.3. Cytospin analysis

Images were analysed using bespoke LabView software developed by Dr Graeme Whyte (Heriot Watt University) which detected the outline of the cells and nuclei by thresholding. The detected objects were classified into nucleated cells, enucleated cells and free floating nuclei, and the measurements of the morphological features extracted for further processing.

5.4.4. Cell processing

The current differentiation protocol involves human serum as a supplement to cell culture media. It provides high concentrations of growth factors, macromolecules, carrier proteins for lipids, trace elements, attachment and spreading factors, nutrients and hormones [160] and it plays a crucial role in the process. We found, similarly to others [161], that our devices are being clogged instantaneously when serum is presented in the processing buffer [161], thus we recommend exchanging the buffer to serum-free for the processing time. Our buffer of choice was PBS-/- supplemented with 0.1% biocompatible surfactant Pluronic F-68 [162] (TermoFisher Scientific, cat. 24040032). It was motivated with PBS-/- compatibility with technical requirements of quality control assays such as flow cytometry [163] and cell counts performed using MoxiZ automated cell counter as well as improved image quality [54]. Presence of phenol red (pH indicator in basal medium) impairs reads from both flow cytometry and automated cell count, thus cells processed in the basal medium could not be directly sampled for the quality control tests. Pluronic F-68 was added to surrogate the serum protective mechanism from mechanical damages (e.g. due to shear stress generated within the spiral microchannel) [160][164][162]. For a further application, cells can be processed in serum-free medium or any other buffer of choice, as long as the medium viscosity is not significantly different than the viscosity of water at room temperature, which is necessary to generate the large velocity gradient as a mean of manipulating particles in inertial microsystems.

Cells suspended in PBS-/- supplemented with 0.1% Pluronic F-68 at a concentration around $3-4 \times 10^6$ cells/ml was introduced into the device with a mid-pressure syringe pump (neMESYS 1000N, Cetoni, Germany) through 1/1600 PTFE tubing of 0.5 mm internal diameter (Thames Restek, UK). Cell concentration is a critical factor influencing focusing within the spiral microchannel. If the concentration is too high, so-called steric crowding effect occurs, meaning that there is physically not enough space for particles to focus in a tight single stream. In order to identify if the crowding effect will occur the length fraction α (number of particle diameters per channel length) can be calculated.

$$\alpha = \frac{6WHV_F}{\pi a^2} \tag{16}$$

where V_F is the volume fraction of particles in the solution, a is particle diameter, W is the channel width and H is the channel height. For $\alpha > 1$, focusing to a single stream cannot be expected due to steric interactions between particles [91]. Assuming that all the particles in the input sample were of the size of the largest nucleated cells ($\emptyset \sim 10 \mu m$), at concentrations between 3-5 × 10⁶ cells/ml, α varies between 0.153-0.255, satisfying the condition necessary to avoid the steric crowding effect.

Hydrodynamic behaviour of cells was assessed in terms of lateral equilibrium position as described in **Chapter 2**. Lateral position within the channel was recorded for more than 10,000 events at three independent occasions, for each researched condition, using a customwritten program ShapeIn and quantified using ShapeOut version 0.8.4 (available at www.zellmechanik.com). To enhance the purification efficiency cells collected at the outlet A & B were passed through 3 μ m polycarbonate IsoporeTM filter membrane (Merc, UK) fitted onto plastic adapter for syringe filtration. Cell suspension was loaded into a 5 ml plastic syringe and pumped through the filter membrane at 2 ml/ min using a syringe pump (neMESYS, Cetoni, Germany). Cell separation efficiency was quantified by flow cytometry (BD LSR II, BD, Germany) in order to compare the fraction of each cell population (characterised by unique fluorescent properties- please see the "Flow cytometry" section) in samples collected at each outlet. Additionally, cell yield was assessed by counting a number of cells at each outlet using either a standard glass haemocytometer or MoxiZ automated cell counter (Orflo, US). In order to define mRBC sorting performance collected metrics were formulated into three parameters:

$$Recovery [C]_{outlet_i} = \frac{[C]_{outlet_i}}{\sum_{i=1}^{4} [C]_{outlet_i}}$$
(17)

of each cell type in each outlet, where [C] is enucleated cell/ nucleated cell/ nuclei number per ml.

$$Purity[C]_{outlet_i} = \frac{[C]_{outlet_i}}{[Enucleated + Nucleated + Nuclei]_{outlet_i}} \times 100\%$$
(18)

indicating a fraction of each subset in a sample collected after processing and

$$Enrichment \ ratio = \frac{[C/(Enucleated + Nucleated + Nuclei)]_{outlet_i}}{[C/(Enucleated + Nucleated + Nuclei)]_{inlet}}$$
(19)

Data analysis and plotting were performed using GraphPad Prism 6 and FlowJo V10 CL.

5.4.5. Trypan blue exclusion assay

 $100 \ \mu$ l of cell suspension (control/ after processing in the spiral channel) was mixed with an equal part of 0.4% trypan blue dye (Gibco, UK), to obtain 1:2 dilution, incubated for less than three minutes at room temperature, loaded onto a glass haemocytometer and counted using a light microscope (AXIO Scope.A1 Zeiss, Germany).

5.4.6. Global gene expression

The global gene expression test by poly-A selection was outscored to Edinburgh Clinical Research Facility (https://www.edinburghcrf.ed.ac.uk/Genetics) and run according to their internal SOPs.

The bioinformatic analysis (performed by Neil A. Robertson (MRC DTP PhD Studentship)) comprised of trimming N bases and the filtering of poor-quality reads (phred-score <= 30) with trim-galore before aligning with HISAT2 to the GRCh38 human genome (Ensembl version 94). Post alignment, reads were sorted with samtools before quantifying explicit normalised expression (FPKM) with the Cufflinks suite. Differential expression analysis was performed using CuffDiff where significance was determined at an FDR<=0.05 cut-off.

Appendix E



Deformation [-]	Enucleated	Nucleated	Nuclei	Enucleated	Nucleated	Nuclei	Enucleated	Nucleated	Nuclei	
Number of values	3400	4169	3176	2991	4384	3415	3088	4378	3860	
Mean	0.289	0.143	0.028	0.285	0.136	0.026	0.289	0.140	0.026	
Std. Deviation	0.084	0.062	0.016	0.082	0.060	0.014	0.083	0.060	0.015	
Std. Error of Mean	0.001	0.001	0.000	0.002	0.001	0.000	0.001	0.001	0.000	
Minimum	0.019	0.015	0.009	0.019	0.016	0.009	0.016	0.016	0.009	
25% Percentile	0.255	0.098	0.017	0.247	0.091	0.016	0.251	0.096	0.016	
Median	0.307	0.139	0.022	0.299	0.133	0.021	0.305	0.139	0.021	
75% Percentile	0.347	0.186	0.033	0.341	0.180	0.030	0.344	0.182	0.029	
Maximum	0.452	0.427	0.108	0.452	0.369	0.108	0.450	0.394	0.110	

Three replicas of flow cytometric and RT-FDC measurements of the end-product of CB CD34+ derived from **donor I**- *in vitro* differentiation into red blood cells. (a) Histograms of FSC-A parameter reflecting relative sizes of enucleated (pink) and nucleated (purple) cells as well as the free-floating nuclei (grey), measured by flow cytometry. The number of events on each diagram is around 10,000 - split accordingly between each subpopulation. (b) Equal probability contour plots (the same number of cells fall between each pair of contour lines) of deformation *vs* cell size (expressed as projected cell area in μ m²) for each enucleated and nucleated cells and nuclei generated using RT-FDC for three replicas. (c) A summary table showing the statistical summary of deformation of each subset found in the end product of the differentiation protocol.

Appendix F



DNA-DRAQ-5

Summary of flow cytometric assessment of the presence of enucleated cells, nucleated cells and expelled nuclei in the input samples as well as samples collected at each outlet (A, B, C and D) after processing them at 1ml/min flow rate in the spiral channel, for three independent sorting occasions. Enucleated cells are DNA- and CD235a+, nucleated cells are DNA+ and CD235a+, free-floating nuclei are DNA+ and CD235+, however, they express lower levels of CD235a than nucleated cells. Each scatter plot displays around 10000 events split accordingly between each subpopulation.

Appendix G



DNA-DRAQ-5

Summary of flow cytometric assessment of the presence of enucleated cells, nucleated cells and expelled nuclei in samples collected in (**a**) outlet C and (**b**) outlet D and passed through the 3μ m ISOPORETM for three independent replicas. Enucleated cells are DNA- and CD235a+, nucleated cells are DNA+ and CD235a+, free-floating nuclei similarly to nucleated cells are DNA+ and CD235+, however, they express lower levels of CD235a than nucleated cells. Each scatter plot for "outlet D filtered" displays around 5000 events split accordingly between each subpopulation, while events in "outlet C filtered" events were so rare that the sample size is around 2000 events, split accordingly between each subset.

Appendix H



b	Subpopulation	Enucleated	Nucleated	Nuclei	
	Mean \pm SD area [μ m ²]	60.6 ± 18.5	67.8 ± 20.7	26.1 ± 7.5	
	Number of events	2509	476	1372	



d	Replica		Ι			II		III			
	Deformation [-]	Enucleated	Nucleated	Nuclei	Enucleated	Nucleated	Nuclei	Enucleated	Nucleated	Nuclei	
	Number of values	1430	9345	4095	1374	5559	2598	1528	5400	3086	
	Mean	0.210	0.102	0.030	0.216	0.100	0.031	0.200	0.102	0.028	
	Std. Deviation	0.108	0.054	0.019	0.105	0.055	0.020	0.112	0.057	0.018	
	Std. Error of Mean	0.003	0.001	0.000	0.003	0.001	0.000	0.003	0.001	0.000	
	Minimum	0.009	0.008	0.009	0.009	0.007	0.010	0.009	0.009	0.009	
	25% Percentile	0.123	0.060	0.018	0.134	0.058	0.019	0.098	0.057	0.018	
	Median	0.226	0.097	0.024	0.231	0.094	0.025	0.213	0.095	0.023	
	75% Percentile	0.296	0.135	0.035	0.299	0.133	0.036	0.293	0.137	0.031	
	Maximum	0.457	0.345	0.270	0.457	0.321	0.230	0.457	0.336	0.256	

Three replicas of flow cytometric and RT-FDC measurements of the end product of CB CD34+ derived from **donor II-** *in vitro* differentiation into red blood cells. (**a**) Histograms of FSC-A parameter reflecting relative sizes of enucleated (pink) and nucleated (purple) cells as well as the free-floating nuclei, measured by flow cytometry. The number of events on each diagram is around 10000 split accordingly between each of the subpopulations. (**b**) Table summarising size measurement (expressed as cell area in μ m²) obtained from cytospin image analysis for enucleated cells, nucleated cells, as well as free floating nuclei (c) Equal probability contour plots (the same number of cells fall between each pair of contour lines) of deformation vs cell size (expressed as projected cell area in μ m²) for each enucleated and nucleated cells and nuclei, generated using the RT-FDC for three replicas. (**d**) A summary table showing the statistical summary of deformation of each subset found in the end product of the differentiation protocol.

Appendix I



Receiver Operating Characteristic (ROC) curves were plotted for (**a**) size (expressed as FSC-A parameter) and (**b**) deformability [-] for enucleated versus nucleated cells (purple-dashed) and enucleated cells versus nuclei (grey) at the end of the differentiation protocol for three replicas for **donor II**. The True Positive Rate is defined as the number of enucleated cells measured for a certain cut-off point (size or deformability) and divided by the total number of enucleated cells. The False Positive Rate is the corresponding number of nucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the size and deformability overlaps.

Appendix J



Relative size measurements by flow cytometry (FSC-A) for **donor III** (a) Histograms of FSC-A parameter reflecting relative sizes of enucleated (pink) and nucleated (purple) cells as well as the free-floating nuclei (grey). The number of events on each diagram is around 10000 split accordingly between each of the subpopulations. Receiver Operating Characteristic (ROC) curves were plotted for (b) size (expressed as FSC-A parameter) for enucleated versus nucleated cells (purple-dashed) and enucleated cells versus nuclei (grey) at the end of the differentiation protocol for three replicas for donor III. The True Positive Rate is defined as the number of enucleated cells measured for a certain cut-off point (size or deformability) and divided by the total number of enucleated cells. The False Positive Rate is the corresponding number of nucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucleated cells (resp. nuclei) divided by the total number of enucle



Appendix K

Characterisation of the label-free mRBC purification process for **donor II**. The purification efficiency of enucleated cells (pink) from the contaminant nucleated cells (purple) and nuclei (grey) after processing in the spiral channel with the $30 \times 170 \,\mu\text{m}$ cross-section and four outlets (A -closest to the inner wall, B, C and D) at 1 ml/min flow rate as well as after (**a**, **b** & **c**) processing in the spiral channel and (**d**, **e** & **f**) enhanced by filtration. Filtration step was performed only on cells collected at the best performing outlets C and D. Both approaches were characterised with three parameters: purity, recovery and enrichments ratio. The process validation was performed at three independent occasions, the bars represent mean value and error bars correspond to the standard deviation of the mean. The dotted lines on the enrichment ratio diagrams represent input baseline.

Appendix L



Characterisation of label-free mRBC purification process in the spiral channel for **donor III**. The purification efficiency of enucleated cells (pink) from the contaminant nucleated cells (purple) and nuclei (grey) after processing in the spiral channel with the $30 \times 170 \,\mu\text{m}$ cross-section and four outlets (A -closest to the inner wall, B, C and D) at 1 ml/min flow rate.. The process was characterised three parameters (**a**) purity, (**b**) recovery and (**c**) enrichments ratio. The process validation was performed only once. The dotted lines on the enrichment ratio diagrams represent input baseline.

Appendix M



Comparison of mRBC derived from CB CD34+ from three donors (I, II and III) with RBC from peripheral blood. Equal probability contour plots (the same number of cells fall between each pair of contour lines) of deformation vs cell size (expressed as projected cell area in μm^2) for enucleated cells derived from each researched donor (pink) and packed RBC purchased commercially (grey).

Appendix N



Gating strategy applied to characterise the end product of CB CD34+ *in vitro* erythropoiesis. The sample collected at the end of the differentiation protocol was stained with a nuclear stain DRAQ-5 to check for the presence of a nucleus. Each subpopulation can be characterised by a combination of size and fluorescent signal. Enucleated cells are inherently negative for DNA (DRAQ-5-DNA -), nucleated cells are larger than the free floating nuclei and both are DRAQ-5-DNA+. Events between 0-15 μ m2 were assumed to be cell debris and they were excluded from the analysis. (a) Scatter plot of the area [μ m²] vs deformability [-] for a control unstained sample for more than 20000 acquired events. (b) Scatter plot of DRAQ-5-DNA vs area [μ m²] for the unstained sample. The gate splits the scatter plot into DNA-negative region on the left hand side and DNA-positive region on the right hand side. (c) Scatter plot for the sample stained with DRAQ-5 for the presence of DNA. Gates for each subpopulations are shown as colour-coded rectangles: pink for enucleated cells, purple for nucleated cells and grey for nuclei.

Appendix O



Donor I Significant Genes Heatmap



Donor II Significant Genes Heatmap

Hierarchically clustered heatmap of the significantly differentially expressed genes for the comparisons: donor I spiral and donor I control and donor II spiral and donor II control, for all sample in the sample groups: donor I spiral, donor I control, donor II spiral and donor II control. Samples are on the x axis and genes on the y axis. Colour intensity represents expression level, with blue representing low expression, and red representing high expression. Expression levels have been row scaled into z–scores. The y-axis (both plots) and x-axis (right plot) have been hierarchically clustered using, Spearman distances, with UPMGA agglomeration and mean reordering.

Chapter 6. Bioprocess considerations Part I- predicted versus experimental results

Highlights

- Heterogeneous mixtures of particles (of different sizes) behave differently within spiral microchannels that their homogenous components tested as pure populations.
- The fluid flow pattern within spiral microchannel generated by the channel geometry is reshaped by presence of particles, which translates downstream into altered hydrodynamic behaviours.
- Large particles introduce additional vortices capturing smaller particles in "trains of particles" impacting their predicted equilibrium position, while smaller particles have no impact on the large particles.

6.1. Introduction

Inertial focusing in spiral microchannels has been adapted to separate various biological specimens from heterogeneous samples based upon their size, shape and deformability [90]. Particle focusing within a spiral microchannel is determined by the particles properties and their interplay with the fluid. In curved channels, the amplitude of Dean vortices is characterised by the Dean number (as defined in **Equation (8)**). In a given channel, *De* can be consequently changed by adjusting the Reynolds number (*Re*) (see **Equation (1)**)- *De* increasing with increasing *Re* [165][166][99].

Currently, there is no robust numerical model that would allow precise prediction of equilibrium lateral positions which is crucial for identifying optimal flowrates for efficient separation and recovery. Consequently, the common practice is to quantify and describe the hydrodynamic behaviour for each subset (characterised by unique size and/or deformability) found within a heterogeneous sample, separately, as pure pre-sorted populations [83]. However, the impact of having mixed populations has not been investigated in depth in the literature.

In this study, the spiral channel ends with four balanced outlets (A, B, C and D), meaning that the channel itself could be divided into four sections along channel width (respectively, 0-42.5 μ m, 42.5-85 μ m, 85-127.5 μ m and 127.5-170 μ m measured from the outer wall), corresponding to these four outlets. Due to the laminar flow regime, particles found in each section at the end of the spiral channel, proceed, with negligible error, to the corresponding outlets. Hence, we assumed that if pure populations found in the end product of *in vitro*

erythropoiesis of CB CD34+ cells behaved in a certain way and they were found in the certain section of the spiral channel, they certainly should be captured in a corresponding outlet when tested as mixed populations. However, as shown in **Fig. 6.1**, the actual sorting performance, presented here as cell recovery [%] in each outlet, was different from predicted results. For each subpopulation, it is true that more events than anticipated (9.2% for enucleated cells, 18.9% for nucleated cells and 1.4% for nuclei) travelled to the outlet A and for nuclei and nucleated cells a shift towards the outer wall was observed, with a substantial depletion (16% for nucleated cells and 24% for nuclei) from the outlet D.

Recovery [%]	Enucleated				Nucleated					Nuclei			
Outlet	А	В	С	D	А	В	С	D	А	В	С	D	
Predicted	82.9	13.4	1.9	1.9	0.8	35.7	30.2	33.3	0.4	2.5	1.6	95.5	
Experimental	92.1	5.5	1.6	0.8	19.7	36.8	26.3	17.2	1.8	8.9	17.8	71.5	
Difference	9.2	-7.9	-0.3	-1.1	18.9	1.1	-3.9	-16.1	1.4	6.4	16.2	-24	

Fig. 6.1

Table summarising predicted and experimental outcome of mRBC purification in the spiral channel at 1ml/min flow rate. Predicted recovery had been estimated by assessing hydrodynamic behaviour of pure pre-sorted populations of enucleated cells, nucleated cells and nuclei. Predicted recovery was generated by counting the number of cells/ nuclei found at lateral equilibrium positions within virtual boundaries of four section within the channel, which correspond to the four outlets.

Particles focusing within the spiral microchannel is a complex process, where not only the distinct fluid flow pattern interacts with particles, but also the presence of particles shapes and disturbs the flow pattern and depending on particles properties, particle-particle interaction occurs [91]. The effect of particle-particle interaction is minimised by maintaining the optimal particles concentration to prevent their steric interaction (steric crowding effect), which can be estimated by calculating the number of particles diameters per channel length- the length fraction α as defined in **Equation (17)**. For $\alpha > 1$, focusing to a single stream cannot be expected due to steric interactions between particles [91]. For more troublesome biological particle adhesion/ aggregation preventing additives like biocompatible surfactant Pluronic F-68 [162] and EDTA (ethylene diamine tetra-acetic acid) [167], are used. The cell-cell interaction in this study was minimised by working at cell concentrations ensuring $\alpha \ll 1$ and the buffer was supplemented with 0.1% Pluronic F-68.

6.2. Results

Maximum separation efficiency of mRBC is achieved at 1ml/min flow rate. At this condition cells travel within the channel at ~3 m/s velocity, making all present subpopulations indistinguishable in the imaging set-up used in this study. Hence, to further understand the differences between the predicted and experimental separation outcome, we researched if there was a difference in the hydrodynamic behaviour of pure and mixed populations using a model system consisting of cells of Jurkat cell line (round, $\emptyset 13 \pm 2\mu m$, grown in suspension) and 4 μm spherical polystyrene beads. In order to reflect heterogeneous properties of events found in the end-product of the in *vitro* erythroid differentiation, mechanical properties of Jurkat cells were altered by fixing with 0.1% glutaraldehyde, known for its cross-linking property resulting in cell stiffening without altering cell size [168].

Soft (control, untreated) and stiff (fixed with glutaraldehyde) cells, as well as 4 μ m beads, were passed though the spiral channel as pure populations at five previously tested flow rates (0.2, 0.4, 0.6, 0.8 and 1 ml/min), their equilibrium lateral position within the channel was assessed by image analysis (**Fig. 6.2 a**). In order to assess optimal flow rate for separating 4 μ m beads from soft and stiff cells Receiver Operating Curves (ROC) curves were generated and Area under the Curve (AUC) was calculated (**Fig. 6.2 b** for separating 4 μ m beads from soft cells and **Fig. 6.2 c** for separating 4 μ m beads from stiff cells) and it was established that at the flow rate of 0.4 ml/min, streak of 4 μ m beads would be physically 100% (AUC=1) separated from streaks of soft and stiff cells.



Fig. 6.2

Sorting optimisation with pure populations of $4\mu m$ beads (green), soft cells (orange) and stiff cells (blue). (a) Hydrodynamic behaviour of $4\mu m$ beads, soft and stiff cells assessed in the spiral channel with the $30 \times 170 \ \mu m$ cross-section at five different flow rates (0.2, 0.4, 0.8 and 1 ml/min). The lateral equilibrium position was measured as a distance from the outer wall (μm) at the end of the spiral channel and it was generated by image analysis. Here, it is reported as median (represented as the longest vertical lines) and the interquartile range (indicated by the short vertical lines) on the top of scatter plots, where each dot represent one event. Receiver Operating Curves for (b) 4 μm beads versus soft cells and (c) 4 μm beads versus stiff cells, for each applied flow rate, were generated. The True Positive Rate is defined as the number of 4 μm found at a given lateral position and divided by the total number of 4 μm beads. The False Positive Rate is the corresponding number of soft cells (resp. stiff cells) divided by the total number of 4 μm beads for the same cut-off. To determine which of the applied flow rates ensures the best separation efficiency the Area Under the Curve (AUC) was calculated.

To verify the predicted outcome, cells and beads collected at the outlets were quantified by flow cytometry to determine their purity and by performing cell count in order to calculate their recovery (**Fig. 6.3**).



Fig. 6.3

Outcome of processing (a) 4 μ m beads (green), (b) soft cells (orange) and (c) stiff cells (blue) in the spiral channel with the 30 × 170 μ m cross-section and four outlets (A -closest to the outer wall, B, C and D) at 0.4 ml/min flow rate. Recovery of each population was assessed at three independent occasions, the bars represent mean value and error bars correspond to the standard deviation of the mean. The dotted vertical lines represent sections of the channel corresponding to outlets A/B/C/D as indicated above the diagrams. Additionally, exemplary images for each population were acquired. The scale bar corresponds to 50 μ m. (d) Table summarising predicted and experimental outcome of processing each population in the spiral channel. Predicted recovery was generated counting number of beads/ cells found at lateral equilibrium positions within virtual boundaries of four section within the channel, which correspond to the four outlets.

At the optimal 0.4 ml/min flow rate, 4 μ m beads were focused in a tight streak at 159 \pm 1.9 μ m measured from the outer wall, and as expected 100% of beads were collected in outlet D (**Fig. 6.3 a**). Meanwhile soft (**Fig. 6.3 b**) and stiff (**Fig. 6.3 c**) cells were equilibrated closer to the channel centre (77 \pm 18.2 μ m and 86 \pm 26.8 μ m for soft and stiff cells respectively).

Due to their large size in comparison to the channel cross-section, cells are geometrically pushed toward the channel centreline and they occupy a relatively wide section in the middle of the channel. Consequently, they were mostly found in the middle outlets C and B. 63 ± 2.9 % soft cells and 36 ± 3.7 % stiff cells travelled to outlet B and 27 ± 2.5 % of soft and 48 ± 6.3 % stiff cells were found in outlet C. Only a small portion of both cell types was directed to the outmost outlets A and D. These experimental results were in line with the predicted recovery of beads/ cells in each outlet, with a minimal (0.5 ± 0.7 %) error (**Fig. 6.3 d**). Predicted recovery was generated by counting the number of beads/ cells found at lateral equilibrium positions within virtual boundaries of the four sections within the channel which correspond to the four outlets.

Next, two types of mixtures were prepared, 4 μ m beads with soft cells (**Fig. 6.4**) and 4 μ m beads with stiff cells (**Fig. 6.5**). Beads and cells were meant to be mixed at three different beads/cells ratios: 75:25, 50:50 and 25:75, however due to an error live cells were mixed with beads at ratios closer to 40:60, 60:40 and 25:75- for the easiness of reading, the original values are used throughout the text. All six mixtures were run through the spiral channel at 0.4 ml/min and the separation efficiency was assessed by quantifying the portion of beads and cells in each outlet and calculating the recovery rate.

For both conditions, soft cells and 4 μ m bead and stiff cells and 4 μ m beads it was found that with the decreasing beads to cells ratio, the number of beads recovered in outlet D decreases, from predicted 100% for pure beads population, down to 53 ± 4.3 % and 62 ± 1 % when beads were mixed 25:75 with soft (**Fig. 6.4 a**) and stiff cells (**Fig. 6.5**), accompanied by a small increase (0.3-1.3%) of soft cells retrieved in outlet D, observed for all three conditions. Throughout the experiments with soft cells, the most noticeable changes was recorded in outlet B, with 4.7%, 14.5% and 9.3% depletion of cells for samples mixed at 75:25, 50:50 and 25:75 receptively, in favour of flanking outlets C and A. when cells outnumbered beads (50:50 and 25:75).

A similar pattern was observed for stiff cells (**Fig. 6.5**), for the 50:50 (**Fig. 6.5 b**) and 25:75 (**Fig. 6.5 c**) samples, where the number of stiff cells collected in the outlet D increased slightly by 2.3-6.5 %, while for the 75:25 sample (**Fig. 6.5 a**) the number dropped by 3.5%. For all three conditions stiff cells were depleted from outlets B and C in favour of the outlet A for the 75:25 sample and outlets A and D for the 50:50 and 25:75 samples.



Fig. 6.4

Characterisation of separation of 4 μ m beads (green) from soft cells (orange) in the spiral channel with the 30 × 170 μ m² cross-section and four outlets (A -closest to the outer wall, B, C and D) at 0.4 ml/min flow rate. 4 μ m beads and soft cells were mixed at three different beads/cells ratios: (a) 75:25, (b) 50:50 and (c) 25:75 to the final concentration of 1×10⁶ events /ml. Please note that due to an error live cells were mixed with beads at ratios closer to 40:60, 60:40 and 25:75- for the easiness of reading, the original values are used throughout the text. Purity [%] was assessed quantifying the portion of beads and cells in the inlet sample, as well as samples collected at each outlet and recovery [%] of each population, was assessed. The process was characterised at three independent occasions, the bars represent mean value and

error bars correspond to the standard deviation of the mean. The dotted vertical lines represent sections of the channel corresponding to outlets A/B/C/D of as indicated above the diagrams. Additionally, exemplary images for each population were acquired. The scale bar corresponds to 50 μ m. (d) Table summarising predicted and experimental outcome of processing each population in the spiral channel. Predicted recovery was generated counting number of beads/ cells found at lateral equilibrium positions within virtual boundaries of four section within the channel which correspond to the four outlets.



Fig. 6.5

Characterisation of separation of 4 µm beads (green) from stiff cells (blue) in the spiral channel with the 30×170 µm cross-section and four outlets (A -closest to the outer wall, B, C and D) at 0.4 ml/min flow rate. 4µm beads and soft cells were mixed at three different beads/cells ratios: (a) 75:25, (b) 50:50 and (c) 25:75 to the final concentration of 1×10^6 events /ml. Purity [%] was assessed quantifying the portion of beads and cells in the inlet sample, as well as samples collected at each outlet and recovery [%] of each population, was assessed. The process was characterised at three independent occasions, the bars represent mean value and error bars correspond to the standard deviation of the mean. The dotted vertical lines represent sections of the channel corresponding to outlets A/ B/ C/ D as indicated above the diagrams. Additionally, exemplary images for each population were acquired. The scale bar corresponds to 50 µm. (d) Table summarising predicted and experimental outcome of processing each population in the spiral channel. Predicted recovery was generated counting number of beads/ cells found at lateral equilibrium positions within virtual boundaries of four section within the channel which correspond to the four outlets.

6.3. Discussion

In order to utilise the inertial effect in microchannels for particles ordering, such systems have to operate at intermediate Re [94]. In microfluidic systems operating within hydrodynamic regimes, where inertia is no longer negligible, particles constitute an active component of the system, shaping and altering fluid flow pattern [94]. As proposed by Amini et al. 2012 [169] for straight microchannels, with rectangular cross-section, the interplay between fluid flow and particles results from a combination of fluid inertia, fluid flow around the particle, particle rotation and particle to channel confinement ratio. Amini et al. 2012 verified experimentally two important hydrodynamic cases: (1) when particles dimensions are approaching those of the microchannel, they rotate in response to the flow field. Consequently, fluid streamlines approaching the rotating particle are diverted to a new cross-sectional position by so-called particle-induced convection. That causes the formation of a secondary flow, which resembles the characteristic of Dean flows found in curvilinear microchannels. (2) The crosssectional lateral equilibrium position of particles large enough to trigger the particle-induced convection is not affected by the downstream consequences of the secondary flows. This statement is in line with the results observed in this study. Only negligible minute changes in hydrodynamic behaviour of Jurkat cells (both soft and stiff) were observed in mixed samples, while up to 50% of 4 µm beads diverged from their primary cross-sectional lateral equilibrium position. This suggests that in a heterogeneous in size sample (e.g. mRBC), the presence of large (approaching channel dimensions) particles has a significant impact on the fluid streamline topology in the whole system and that in return modifies the predicted performance of the system. As highlighted numerous times in this study, cells intrinsic deformability adds

complexity to their hydrodynamic behaviour within inertial focusing (IF) devices. Interestingly, $\sim 10\%$ more 4 µm beads were affected by the presence of soft cells, in comparison to the stiff cells. The cells deformability contribution to the overall IF is not fully-understood yet, but on multiple occasions, we appreciated its importance in harnessing the full-potential of IF.

Additionally, it is visible that cells flowing through the spiral microchannel travel in an ordered fashion, creating "trains" of cells with evenly distributed spaces between cells. This intriguing phenomena has been reported previously for inertial flows and is called interparticle spacing and to date, it is poorly understood [170]. However, W. Lee *et al.* 2010 proposed that the interparticles spacing is another consequence of particle-induced convection [171].



Flow direction

Fig. 6.6

Exemplary images of soft Jurkat cells and 4 μ m beads mixed at 50:50, flowing through the spiral microchannel at 400 μ l/min flow rate assembled in trains. 4 μ m beads (stowaway particles) joined the train positioned at lateral equilibrium position close to the channel centreline, typical for Jurkat cells. Images were taken at the end of the spiral channel and the scale bar represents 50 μ m.

Viscous disturbance flow generated by a rotating particle under confinement acts on a neighbouring approaching particle, repelling it a certain distance which is stabilised by inertial lift force (once particles assemble into the train with defined interparticles spacing this state is preserved by the action of inertial lift force). Consequently, even if there is no direct physical contacted between particles (e.g. due to aggregation), hydrodynamic particle-particle interaction occurs due to the mechanism of self-assembly into either pairs or trains of particles. Hence, the pattern of particles ordering within the spiral microchannel is determined not only by inertial lift forces and secondary Dean flow (focusing position) but also it is modulated by the hydrodynamic particle-particle interaction (interparticles spacing). In all mixed systems tested in this study, we did not identify cells joining trains of 4 µm beads focused near the inner

wall of the channel, in contrast, "stowaway" beads were commonly found at different configurations (as shown in **Fig. 6.6**) in trains of cells positioned close to the channel centreline.

In the light of this study and literature reports discussed above, it can be hypothesised that in the mRBC sample, smaller rigid nuclei were affected by the presence of larger nucleated cells in a similar fashion as 4 μ m beads by Jurkat cells. Nuclei were substantially (24%) depleted from outlet A, on account of outlet B and C, where majority of nucleated cells were found. Additionally, the lower magnitude difference between the predicted and the experimental outcome for enucleated and nucleated cells across all outlets, could be a consequence of the, so far, uncontrollable and unpredictable train of particles formation.

6.4. Conclusion

In conclusion, we experimentally investigated possible causes of differences in hydrodynamic behaviour of pure and mixed populations of particles of different sizes and deformability. In mixed samples, in the presence of particles whose diameter approaches the size of the channel, commonly negligible rotation of particles causes disturbances and modification in the focusing pattern for smaller particles, which translate into significant changes in the sorting performance. The rotation-induced secondary flows cause fluid mixing across the channel introducing aberrations into the fluid flow pattern. Additionally, the rotation-induced secondary flows promote hydrodynamic particle-particle interaction resulting in particles self-assembly in trains. These forces have been implicated as a cause of focusing alterations for smaller particles, adversely affecting particle separation in the spiral microchannel. The mixing effect is desirable for certain applications (e.g. for fluid mixing and switching in sample preparation protocols prior to flow cytometry). However, these poorly understood factors altering focusing positions of particles in inertial sorters can constitute a significant technical issue. Profound understating of these effects would aid better control over inertial devices performance and facilitate making inertial focusing a mainstream technology in the future.

6.5. Materials and methods

6.5.1. Sample preparation

Cells from the same batch were collected when at their exponential growth phase, split into fresh cell culture flask to maintained researched conditions. Prior to treatment with Glutaraldehyde (SigmaAldrich) cells were washed twice in PBS-/- (phosphate buffered saline without calcium and magnesium, Gibco) to remove any residual proteins. Next cells were re-
suspended at 1×10^6 cell/ml in PBS-/- supplemented with 0.1% (v/v) Glutaraldehyde) and incubated at room temperature for 40 min. After the incubation time cells were washed once in PBS-/- and re-suspended accordingly in PBS-/- supplemented with 0.1% Pluronic F-68 (ThermoFisher Scientific) for experiments in the spiral channels

Nine types of samples were prepared at a final concentration of 1×10^6 particles/ml in PBS-/- supplemented with 0.1% Pluronic F-68:three pure suspensions of 4µm beads, soft (untreated) Jurkat cells, stiff (glutaraldehyde-treated) Jurkat cells, three mixtures of 4µm beads and soft cells and three mixtures of 4µm beads (Magasphere Ltd.) and stiff cells. Mixtures of beads and cells were prepared at three different beads: cells ratio, 75:25, 50:50 and 25:75.

6.5.2. Hydrodynamic behaviour in spiral microchannel

Hydrodynamic behaviour of all nine samples was tested in a spiral microchannel with $170 \times 30 \ \mu\text{m}$ cross-section, 6 loops, 1 inlet and four outlets as described in **Chapter 2**. As shown in **Table 6.1**, 4 μ m beads do not achieve the desirable $Re_p>1$ at flow rates lower than 1 ml/min, but we verified experimentally that despite the unsatisfactory Re_p , at lower flow rates they remain focused within the spiral microchannel (**Fig. 6.2**).

Flow rate [ml/min]	Velocity [m/s]	R e [-]	Re _p [-] 4µm beads	Re _p [-] Jurkat cells	De
0.2	0.65	33	0.2	2.1	5.19
0.4	1.3	66	0.4	4.3	10.38
0.6	1.9	97	0.6	6.3	15.18
0.8	2.6	132	0.8	8.6	20.77
1	3.3	168	1.0	10.9	26.36

Table 6.1

Summary of useful parameters for optimising spiral microchannel performance. Re is channel Reynolds number, Re_p is particle Reynolds number, De is Dean number.

Cell separation efficiency was quantified by flow cytometry in order to compare the fraction of each cell population (characterised by size difference measured as forward light scatter parameter FSC-A, as shown in **Fig. 6.7**) in samples collected at each outlets. Cell yield was assessed by counting a number of cells at each outlet using either a standard glass haemocytometer or MoxiZ automated cell counter (Orflo, US)



Fig. 6.7

An exemplary histogram of forward light scatter (FSC-A) parameter measured for 4 μ m beads (green) and soft Jurkat cells (orange), reflecting their relative sizes. Displayed gating was used to quantify purity of each subpopulation in samples collected at four outlets after processing in the spiral microchannel.

In order to define the sorting performance collected metrics were formulated into two parameters:

$$Recovery [P]_{outlet_i} = \frac{[P]_{outlet_i}}{\sum_{i=1}^{4} [P]_{outlet_i}}$$
(20)

of each particle type [P], and

$$Purity[P]_{outlet_i} = \frac{[P]_{outlet_i}}{[4 \ \mu m \ beads + Cells]_{outlet_i}} \times 100\%$$
(21)

indicating a fraction of each subset in a sample collected after processing. Data analysis and plotting were performed using GraphPad Prism 6 and FlowJo V10 CL.

Chapter 7. Bioprocessing considerations Part IImedium choice- impact of Poloxamer 188 (Pluronic F-68) additive on cell mechanical properties

Highlights

- Commonly used additive Pluronic F-68 can change mechanical properties of cells and consequently alter their processing.
- This effect has been quantified for cell types commonly used in the microfludic field: red blood cells (RBC) and a lymphoblastic cell line-Jurkat and human embryonic kidney (HEK) cells.
- The RT-DC approach enabled fast processing of cells, leading to an accurate picture of the time (1-5 hours) and concentration (0.01%, 0.05% and 1%) -dependence of cell deformability in the presence of additives.

Published work

<u>Ewa Guzniczak</u>, Melanie Jimenez, Matthew Irwin, Oliver Otto, Nicholas Willoughby, and Helen Bridle, **Impact of Poloxamer 188 (Pluronic F-68) Additive on Cell Mechanical Properties, Quantification by Real-Time Deformability Cytometry.** *Biomicrofluidics 12 (4), 44118 (2018).-* reproduced with the permission of AIP Publishing. for the authors contributions please consult research thesis submission form.

7.1. Introduction

The label-free purification strategy proposed in this study was designed specifically for stem cell- derived red blood cells (mRBC) purification. As described in **Chapters 3 & 5**, the current manufacture protocol involves human serum as a supplement to cell culture media. We found, similarly to others, that our devices tend to clog when serum is presented in the processing buffer [161], thus we recommend exchanging the buffer to serum-free for the processing time. Additionally, our devices were clogging due to channel cross-section merely larger than a couple of cell diameters. One of the most commonly accepted solutions to prevent clogging issues is the use of additives such as poloxamers, dextran or methylcellulose [172].

Our buffer of choice was PBS-/- (phosphate buffered saline without calcium and magnesium) supplemented with 0.1% biocompatible surfactant Pluronic F-68 [162] (TermoFisher Scientific, cat. 24040032). It was motivated with PBS-/- compatibility with technical requirements of quality control assays such as flow cytometry [163] and cell counts performed using MoxiZ automated cell counter as well as improved image quality [54]. Presence of phenol red (pH indicator in basal medium) impairs reads from both flow cytometry

and automated cell count, thus cells processed in the basal medium could not be directly sampled for the quality control tests. Moreover, Pluronic F-68 was added to surrogate the serum protective mechanism from mechanical damages (e.g. due to shear stress generated within the spiral microchannel)[160][164][162].

Pluronic F-68 is a biocompatible amphiphilic and nonionic compound consisting of a central polyoxypropylene (POP) block, flanked on both sides by hydrophilic chains of polyoxyethylene (POE) [173]. This surface-active macromolecule (molecular weight 8400) is used as an antifoam agent in stirred cell culture vessels and large scale bioreactors [174][175][164] among numerous other applications (*e.g.* as antithrombotic [176] or anti-inflammatory [177] agents, as an artificial blood emulsifier [178] or skin wound cleanser [179].

Pluronic F-68 and other poloxamers have been adopted as a coating agents and additives in microfluidic systems engineered for cell analysis [180], lysis [181], separation [182] and detection [183], in microconstrictions for assessing cell mechanical properties [54] and digital microfluidics [184]. Poloxamers added to a flow buffer can coat glass [185], silicon [186], PDMS [187] and PMMA [188] - materials commonly used for microfluidic fabrication. This kind of treatment prevents clogging, reagents adsorption, protein fouling, cell-cell interaction, reduces electro-osmotic flow and can provide cell protection against a high degree of shear stress [189]. This repair and protective mechanism of Pluronic on cells is not fully-understood yet, however, some literature evidence suggests direct interaction with cell membrane causing cell stiffening [190][191].

As mentioned previously, mechanical cell properties are seen as a potential marker for label-free separation with applications in large-scale bioprocessing. However, the observation that Pluronic can stiffen cells suggests that the use of such additives could potentially impact upon the performance of mechanical based purification approaches. Thus, we investigated the effect of Pluronic F-68 on cells commonly used in microfluidic studies: Jurkat cells, red blood cells and human embryonic kidney (HEK) cells. The aim was to quantify if the addition of Pluronic F-68 significantly influenced cell mechanotype, which could have implications for mechanical phenotype based downstream cellular processing in spiral microchannels. For the first time, observed changes were quantified using real-time deformability cytometry (RT-DC) [46] to assess the short-term impact of Pluronic F-68, in comparison to controls with and without serum.

7.2. **Results**

7.2.1. Effect of Pluronic on Jurkat cells size and deformability- time-dependency

To understand the short-term effect of the Pluronic F-68 additive on cell mechanotype, we measured the size and deformability of Jurkat cells cultured either in cell culture medium containing serum or phosphate-buffered saline (PBS-/-) and I compared them against cells whose medium was supplemented with 1% Pluronic F-68. The choice of a serum-free experiment is motivated by experience with microfluidic systems. Most tissue culture systems contain serum, which easily clogs microfluidic chips (due to the high protein content), and therefore replacing cell culture medium with PBS -/- for the time of the experiments is a common practice [192][54][193]. The RT-DC measurements were taken each hour for 3 hours. The chosen time-scale was motivated by an average longevity of experiments in microfluidic systems used in our laboratory.

Fig. 7.1 a & b shows time-dependent changes in cell deformability. The effect of Pluronic F-68 was normalised to the untreated control. For both conditions addition of Pluronic F-68 causes significant changes after 3 hrs of addition. The alteration of deformability, expressed here as differential deformation (DD) (please see materials and methods for details) of cells cultured in serum (**Fig. 7.1 a**), progresses slowly but gradually for the first two hours causing initially slight (> 5%), almost negligible (p-value no significant (p-value <0.01) decreases of cell deformability (~ 23%). Specifically, it drops within 3hrs from DD=0.051 ± 0.0017 to DD=0.039 ± 0.0045 (DD expressed as mean of three experimental replicates ± standard error of the mean).

Interestingly, when medium containing serum is replaced with PBS-/- (control from **Fig. 7.1 b**) cell deformability drops by ~22% from the original DD= 0.051 ± 0.0017 to DD= 0.040 ± 0.0015 within less than 15 min of medium replacement and it equilibrates within an hour at DD= 0.032 ± 0.0016 . During the first two hours Pluronic F-68-treated sample and PBS-/- control are indistinguishable. After 3 hrs of incubation Pluronic F-68-treated sample diverges and becomes stiffer (DD= 0.022 ± 0.0023) by ~ 29%.



Fig. 7.1

Effect of Pluronic F-68 on cells of Jurkat cell line mechanical properties was quantified using real-time deformability cytometry (RT-DC) and flow cytometry. Cells from four conditions: Jurkat cells in serum (green)- extracted directly from tissue culture containing serum; Jurkat cells in serum +1% (w/v) Pluronic F-68 (gray)- cells cultured in medium containing serum supplemented with 1% Pluronic F-68; Jurkat cells in PBS -/- (blue)- cells incubated in PBS-/- without serum and Jurkat cells in PBS-/- + 1% Pluronic F-68 (red)- cells incubated in PBS-/- supplemented with 1% Pluronic F-68, without serum, were examined. Deformation was measured every hour for three hours. (a & b) Time-depended response graphs show mean differential deformation (DD) values of three experimental replicates. Error bars correspond

to the standard error of the mean (SEM). P-values were calculated using the linear mixed model (*p-value <0.01, ** p-value<0.001, n/s no significant). (c & d) Exemplary contour plots show outlines of assessed populations (more than 4000 events per population) for deformation versus size (expressed as projected cells area in μm^2) recorded in the measurement channel section of the RT-DC chip. All samples were examined at 0.04 μ l/s flow rate in a 20x20 μ m2 cross section RT-DC channel after 3 hrs of incubation. (e & f) Cell size was assessed using forward light scatter parameter (FSC-A) measured using flow cytometer for 10000 cells. Receiver Operating Characteristic (ROC) curves were plotted for size expressed as FSC-A. The True Positive Rate is defined as the number of control cells measured for a certain size cut-off point and divided by the total number of control cells. The False Positive Rate is the corresponding number of Pluronic F-68- treated cells divided by the total number of treated cells for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the size overlap between control and treated cells after 3 hours.

The RT-DC technique is based on image analysis allowing for size measurement, which is expressed as a projected cell area reported in μ m² as shown in the contour plots **Fig. 7.1 c** & d. Although accurate, the technique can at times be sensitive to the changes in focus setting. Hence rather than reporting the projected cell area we used flow cytometry to quantify forward light scatter (FSC-A) - a measurement of the amount of a laser beam that passes around the cell - providing a relative cell size. **Fig. 7.1 e & f** show acquired values of FSC-A for all conditions. To quantify the degree of size overlap Receiver Operating Curves (ROC) curves were generated and the corresponding Area Under the Curve (AUC) was calculated. Supplementing medium with Pluronic F-68 has a negligible effect on cell size, and it remains unchanged for both cells in serum (AUC=0.52) and cells in PBS-/- (AUC=0.54).

7.2.2. Effect of Pluronic on Jurkat cell size and deformability- concentration dependency

Recommended poloxamer additive concentrations depend on the purpose and intended application. Proposed working concentrations for cell culture vary between 0.1% and 1% w/v [194][195][164]. Here we examined the effect of different Pluronic F-68 concentrations (0.1, 0.5 and 1% w/v) on Jurkat cells, incubated for 3hrs in supplemented medium with and without serum and compared to untreated controls.

Fig. 7.2 shows the effect of different Pluronic F-68 concentrations on Jurkat cells in serum exerted in terms of cell deformability. Lower concentrations (0.1% and 0.5%) do not trigger changes in cell deformability within the first 3 hours of exposure. Cell size (expressed as FSC-A measured by flow cytometry) remains unaffected as reported in **Fig. 7.2 b** (AUC=0.53 for 1%, AUC=0.50 for 0.5% and AUC=0.54 for 0.1% Pluronic F-68).

Jurkat cells in PBS -/- (**Fig. 7.2 c**) are slightly more sensitive to the presence of Pluronic F-68 since small ($\sim 6\%$) but consistent changes (standard error of the mean SEM= 0.0007 for three replicates of the experiment, p-value <0.01) are observed after 3 hours of exposure to 0.5% Pluronic F-68. Similarly to the previous samples, cell size remains unaffected for all conditions (**Fig. 7.2 d**).



Fig. 7.2

Cells of Jurkat cell line were used to examine concentration-dependent deformability and size alteration caused by Pluronic F-68 additive. Cells were incubated for 3 hours in medium containing serum and PBS-/- supplemented with 0.1 (yellow), 0.5 (orange) and 1% (grey) Pluronic F-68. (**a & c**) Concentration-dependent graphs show Jurkat cells' response to increasing concentration of Pluronic F-68 in comparison to untreated control. The data points represent mean differential deformation (DD) values of Jurkat cells treated with Pluronic F-68 measured on three separate occasions. The bar graphs represent the standard error of the mean (SEM), the significance of results was calculated using the linear mixed model (* p-value <0.01, ** p-value<0.001, n/s no significant). (**b & d**) To assess if treatment with Pluronic F-

68 causes changes in size, cells were characterised by flow cytometry for the forward light scatter (FSC-A) for 10000 cells from each condition. Receiver Operating Characteristic (ROC) curves were plotted for size expressed as FSC-A. The True Positive Rate is defined as the number of control cells measured for a certain size cut-off point and divided by the total number of control cells (green). The False Positive Rate is the corresponding number of Pluronic F-68- treated cells divided by the total number of treated cells for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the size overlap between control and treated cells. Data for all samples was collected at $0.04\mu l/s$ flow rate in a $20x20\mu m^2$ cross section RT-DC channel.

7.2.3. Effect of Pluronic F-68 on RBCs size and deformability

In previous works the effect of Pluronic F-68 on red blood cells (RBCs) was examined for diseased erythrocytes in sickle anaemia, where it was discovered that Pluronic F-68 minimises cell-cell adherence and blood viscosity [196][197]. Here we focused on the impact of pluronic on mechanical properties and we compared the deformation of packed RBCs stored in a serum-free buffer and RBCs treated with 1% Pluronic F-68 over the course of 3 hours.



Fig. 7.3

Deformability and size of isolated packed red blood cells (RBC) treated with 1% Pluronic F-68 (red) were compared to untreated control (grey). Both samples were examined at $0.12 \,\mu l/$ min flow rate in $20 \times 20 \mu m^2$ cross-section RT-DC channel. (a) Time-dependent alteration in deformability as a response to 1% Pluronic F-68 is presented as mean differential deformation (DD) values measured on three separate occasions. The error bars are standard error of the mean. P-values were calculated using the standardized mixed model (*p-value <0.01, n/s no significant). (b) Contour plots (for more than 2000 cells from each population) were drawn, presenting cell deformability vs projected cell area (μm^2). (c) Representative images of cells extracted from the channel and reservoir section of the RT-DC chip for cells incubated for 3 hours. (d) To assess if treatment with Pluronic F-68 causes changes in size, cells (10000 events for each condition) were characterised by flow cytometry for the forward light scatter (FSC-A). Receiver Operating Characteristic (ROC) curves were plotted for size expressed as FSC-A. The True Positive Rate is defined as the number of control cells measured for a certain size cut-off point and divided by the total number of control cells (green). The False Positive Rate is the corresponding number of Pluronic F-68- treated cells divided by the total number of treated cells for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the size overlap between control and treated cells.

Fig. 7.3 a & b demonstrate that the stiffening effect observed for Jurkat cells, when incubated for a short time (up to 3 hours) in poloxamer additives, is also shown by RBCs, however to a smaller degree. Decrease in RBCs deformation from its original value DD= 0.164 \pm 0.008 is visible after 2 hours of incubation (DD=0.148 \pm 0.006). Initially cell deformation drops by ~ 10% (p-value <0.01) to reach ~-16% (DD= 0.135 \pm 0.008, p-value <0.01) after 3 hours of exposure to Pluronic F-68. RBCs are not spherical, while in suspension they are biconcave discs and when exposed to shear in laminar flow, they streamline and assemble the tear-like shape as shown in Fig. 3 c. To assess if exposure to Pluronic F-68 causes changes to the size, RBCs from both conditions at the 3 hrs time-point were assessed by flow cytometry for the FSC-A parameter (Fig. 3 d). A ROC curve with AUC= 0.52 was generated to confirm that cell size is unaffected by short-term exposure to Pluronic F-68.

7.2.4. Effect of Pluronic F-68 on adherent HEK cells

Human embryonic kidney (HEK) cells are adherent cells commonly used in cell biology [198]. Due to the wide-spread application of HEK cells, we used this cell line to research if there is a short-term (1-3 hrs exposure) effect of Pluronic F-68 exerted on adherent cells, using the same methodology as presented above. Deformability of cells cultured in

medium with serum, incubated in PBS-/- as well as PBS-/- supplemented with 1% Pluronic F-68 was examined (**Fig. 7.4 a & b**). Prior to the measurement cells were harvested into suspension by enzymatic treatment with TrypLETM (**Fig. 7.4 c**). The enzymatic harvest protocol requires serum removal, to prevent enzyme inhibition. The serum is removed by replacing cell culture medium with PBS-/- and washing with PBS-/- in order to remove traces of the proteinrich serum.



Fig. 7.4

Effect of Pluronic F-68 on adherent HEK cells deformability was quantified using real-time deformability cytometry. Cells from three conditions: HEK cells in serum (green)- harvested directly from tissue culture containing serum, HEK cells in PBS -/- (red)- cells incubated in PBS-/- without serum for 1, 2 and 3 hrs and HEK cells in PBS-/- + 1% Pluronic F-68 (blue)- cells incubated in PBS-/- supplemented with 1% Pluronic F-68, without serum, were examined in 20 × 20 μ m² cross-section RT-DC channel at 0.04 μ l/s flow rate. (a) Time-dependent response graphs show mean differential deformation (DD) values of three experimental

replicates. Error bars correspond to the standard error of the mean (SEM). P-values were calculated using the linear mixed model (*p-value <0.01, ** p-value<0.001, n/s no significant). (b) Exemplary contour plots show outlines of assessed populations for deformation vs size (expressed as projected cells area in μm^2) recorded in the measurement channel section of the RT-DC chip for more than 4000 cells. (c) Prior to the measurement cells were harvested into suspension using enzymatic treatment. Representative images obtained at ×20 magnification show morphology of adherent cells and cells in suspension. (d) Cell size was assessed by flow cytometry for the forward light scatter (FSC-A) for 10000 cells from each condition. Receiver Operating Characteristic (ROC) curves were plotted for size expressed as FSC-A. The True Positive Rate is defined as the number of control cells measured for a certain size cut-off point and divided by the total number of control cells (green). The False Positive Rate is the corresponding number of treated cells (either in PBS-/- or PBS-/- +1% Pluronic F-68 respectively) divided by the total number of treated cells for the same cut-off. The Area Under the Curve (AUC) was calculated to quantify the size overlap between control and treated cells.

Fig. 7.4 a shows changes in deformation measured by RT-DC for HEK cells cultured in PBS-/- (red) and PBS-/- supplemented with 1% Pluronic F-68 (blue) for 3 hours and compared control cells (green) cultured in whole cell culture medium. There was no significant (p-value n/s) change observed between PBS-/- cells (DD= 0.029 ± 0.0078) and PBS-/- + 1% Pluronic F-68 (DD= 0.034 ± 0.0058). The most dramatic change in deformation (~-63% after 3 hrs, p-value<0.001) was triggered by replacing whole medium (DD= 0.079 ± 0.0093) with PBS-/-. To assess if replacing cell culture medium with PBS-/- and PBS-/- supplemented with 1% Pluronic F-68 triggers any changes in size, cells were assessed by flow cytometry for FSC-A parameter (**Fig. 7.4 d**). Despite the dramatic change in cell deformation caused by replacing whole medium with PBS -/- there is no size alteration (AUC=0.52). Similarly, supplementing PBS-/- buffer with 1% Pluronic F-68 does not contribute to cell size alteration (AUC=0.51).

7.2.5. Hydrodynamic behaviour in spiral microchannel

Exposure of Jurkat cells and RBC to 1% Pluronic F-68 for 3 hours triggered statistically significant changes in their deformability. In order to understand practical significance of the induced changes we compared hydrodynamic behaviour of control and Pluronic-treated cells within microfluidic systems used in this study. As described previously, spiral microchannels are sensitive to cell deformability due to contribution of the deformability-induced lift force, which together with inertial lift force and Dean force stabilises cells at certain equilibrium positions. At elevated flow rates deformable cells experience the effect of a deformability-induced lift force, first manifested with defocusing, when cells occupy large section of the

channel, followed by assembly into a new equilibrium position on the opposite side of the channel (shift from inner wall to outer wall). Jurkat cells were tested in a design I spiral microchannel with $360 \times 60 \ \mu m$ cross-section at five flow rates (1., 1.5, 2., 2.5 and 3 ml/min). RBC were tested in a design II spiral microchannel with $170 \times 30 \ \mu m$ cross section at flow rates ranging between 0.2- 1 ml/min. The lateral equilibrium position was generated by image acquisition in the end of the spiral microchannels and it is expressed here as distance from the outer wall (μm). To quantify differences in cells behaviour ROC curves were generated and AUC was calculated for control versus Pluronic-treated samples.

As shown in **Fig. 7.5 a**, and quantified in **Fig. 7.5 b**, the focusing pattern for control Jurkat cells cultured in serum and their counterparts treated with Pluronic F-68, is similar (AUC varies between 0.51-0.63). At lower flow rates (1, 1.5 and 2 ml/min) they remain focused closer to the inner wall (respectively, 261 ± 36 , 277 ± 38 and $264 \pm 55 \mu$ m for control cells and 248 ± 41 , 273 ± 41 and $257 \pm 59 \mu$ m for Pluronic-treated cells). At 2.5 ml/min they experience the characteristic defocusing, occupying wide section of the channel (control: $214 \pm 68 \mu$ m and treated: $211 \pm 71 \mu$ m) to be shifted closer to the inner wall at 3 ml/min (control: 143 ± 59 and treated: 136 ± 57).



Fig. 7.5

(a) Hydrodynamic behaviour of control Jurkat cells cultured complete medium containing serum (green) and Jurkat cells incubated for 3 hrs in a complete medium with serum and supplemented with 1% pluronic F-68, assessed in a spiral microchannel with $360 \times 60 \mu m$ cross-section at five different flow rates (1, 1.5, 2, 2.5 and 3 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μm), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values

(b) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of control cells. The False Positive Rate is the corresponding number of Pluronic-treated cells divided by the total number of control cells for the same cut-off. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. For summary of triplicate results please consult **Appendix P**

Exchanging complete medium containing serum to PBS-/- causes a 22% drop in Jurkat cells' deformability within first hour, which is comparable to the change induced by 3 hrs exposure to 1% Pluronic F-68 in presence of serum. Deformability of Jurkat cells in PBS-/-

decreases by another 29% after 3 hrs exposure to Pluronic F-68 and this change (as shown in **Fig. 7.6 a**) contributed to alteration of response to increased flow rate, which is a trigger for the characteristic defocusing before shifting sides of the channel. At lower flow rates (1, 1.5 and 2 ml/min) both control and treated cells are focused close to the inner wall (control: 253 ± 46 , 271 ± 52 and 242 ± 73 , treated cells: 248 ± 40 , 275 ± 43 and $255 \pm 60 \mu$ m), with little differences in their equilibrium position (AUC= 0.56, 0.51 and 0.53 at 1, 1.5 and 2 ml/min, respectively, **Fig. 7.6 b**). At 2.5 ml/min, control cells defocus, occupying a wide section close to the channel centre ($176 \pm 77 \mu$ m), substantially (AUC=0.85) shifting from Pluronic-treated cells, which lagged their response to the deformability-induced lift force and remaining focused close to the inner wall ($275 \pm 50 \mu$ m). Finally, at 3 ml/min, both control and treated cells were found closer to the outer wall, (control: $118 \pm 58 \mu$ m, treated: $139 \pm 61 \mu$ m), suggesting that Pluronic-treated cells require higher than 2.5ml/min flow rate to trigger the defocused transition state, but 3 ml/min is sufficient for the full-shift.





(a) Hydrodynamic behaviour of control Jurkat cells incubated for 3 hrs in PBS-/- (blue) and Jurkat cells incubated for 3 hrs in PBS-/- and supplemented with 1% pluronic F-68, assessed

in a spiral microchannel with $360 \times 60 \ \mu m$ cross-section at five different flow rates (1, 1.5, 2, 2.5 and 3 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μm), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values (**b**) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of control cells. The False Positive Rate is the corresponding number of Pluronic-treated cells divided by the total number of control cells for the same cutoff. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. For summary of triplicate results please consult **Appendix R**

As shown in **Fig. 7.7**, control and Pluronic-treated RBC repeated the pattern of hydrodynamic behaviour observed for PBS-/- versus PBS-/- supplemented with 1% Pluronic F68 Jurkat cells. At lower flow rates (0.2, 0.4 and 0.6 ml/min), both control and treated RBC were focused close to the inner wall (control: 112 ± 20 , 122 ± 14 and $123 \pm 19 \mu$ m, treated: 110 ± 20 , 122 ± 15 and $125 \pm 15 \mu$ m, respectively). Increasing flow rate to 0.8 ml/min triggered the defocusing of control RBC, substantially (AUC=0.73) shifting them at $100 \pm 30 \mu$ m, in relation to Pluronic-treated RBC, which remained focused closer to the inner wall ($122 \pm 19 \mu$ m). Again, at the maximal applied flow rate, control and treated cells experienced the full shift toward the outer wall of the channel, being equilibrated at almost identical (AUC=50) lateral positions (control: $54 \pm 28 \mu$ m, treated: $54 \pm 28 \mu$ m).



Fig. 7.7

(a) Hydrodynamic behaviour of control RBC (grey) and RBC incubated for 3 hrs in PBS-/- and supplemented with 1% pluronic F-68, assessed in a spiral microchannel with $170 \times 30 \ \mu m$ cross-section at five different flow rates (0.2, 0.4, 0.6, 0.8 and 1 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μm), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values. (b) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of control cells for the same cut-off. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. For summary of triplicate results please consult **Appendix S**

7.3. Discussion and conclusion

In this study it was demonstrated that Pluronic F-68 alters cell mechanical properties, causing cell stiffening with no impact on cell size. The short-time (1-3 hours) effect was examined for cells of Jurkat (suspension) cell line, red blood cells and adherent HEK cells. It was established that cell deformability undergoes significant alteration within the first hours of

exposure to Pluronic F-68, even at concentrations as low as 0.5% w/v for cells in suspension without serum. Adherent HEK cells do not show the stiffening trend as observed for Jurkat cells and RBCs.

We speculate that results could be explained with the cell preparation protocol, since prior to RT-DC measurements (similarly to other common microfluidic applications) HEK cells have to be harvested into suspension. We believe that the harvesting procedure exerts a more dramatic effect than the Pluronic treatment itself. By imaging HEK cells we observed that cell detachment is followed by changes in cytoskeleton (**Fig. 7.8**), known to substantially contribute to cell mechanical properties [199].

More specifically, when cells adhere to a surface the F-actin (in green in **Fig. 7.8**) spreads creating angular shapes surrounding the cell nucleus (in blue in **Fig. 7.8**). When cells are harvested into suspension F-actin rearranges to maintain a spherical shape, the characteristic sharp-corners being replaced with some irregular round local accumulations of F-actin and smooth appearance.

The cell mechanical response to treatment with Pluronic F-68 are relatively small and due to the biocompatible nature of Pluronic they are physiologically negligible (with no effect on cell size and viability). However, it must be considered that cell deformability can be significantly affected by the poloxamer additive as well as serum removal from the experimental system and it can introduce implications to performance of a deformability-sensitive microfluidic system, like the spiral microchannels used throughout this study. Jurkat cells and RBC incubated for 3 hrs in PBS-/- supplemented with 1% Pluronic F-68 experienced less of the effect of deformability-induced lift force at elevated flow rates in comparison to their controls, causing a delay in entering the defocused transition state.

The exact mechanism of altering cell deformability is not fully understood yet, however it has been shown that Pluronic F-68 decreases plasma membrane fluidity [200], probably due to its surfactant properties, enabling pluronic to integrate into the lipid bilayer of the cell [190]. Additionally, Gigout *et al.* tracked Pluronic F-68 uptake within Jurkat cells discovering that fluorescently-tagged Pluronic F-68 is continually transferred into the cell, enclosed in endosomes, and transported alongside the endocytotic pathway. Based on these results they suggested that the stiffening effect could be explained partially by the alteration of the cell membrane and cytoplasm properties, as a consequence of high pluronic content accumulated in intracellular vesicles localised across cytoplasm and just beneath the plasma membrane.



Fig. 7.8

To visualise changes in HEK cells cytoskeleton in the transition from the adherent to the suspended state during cell harvest required for RT-DC measurements, cytoskeletal protein F-actin (green) and nuclei (blue) were stained with fluorophores and visualised using confocal microscopy. Scale bars correspond to $10 \mu m$.

Cell deformability is gaining recognition as a novel cell state marker. Reduced cell deformability is commonly associated with a pathological phenotype, *e.g.* in malaria [50][201], sickle-cell disease [202] and thalassemia [203]. Additionally, deformability is seen as a valuable label-free marker associated with various cell activities, such as cell cycle regulation [149], differentiation [150], metastasis [204] and leukocyte activation [205]. As discussed in **Chapter 1**, many available technologies for deformability analysis (Atomic Force Microscopy, microconstrictions, optical stretchers, micropipette aspiration, magnetic bead twisting) require prolonged operational time to gain a reasonable number of measurements. Our findings highlight the importance of seeking high-throughput technologies, as well as careful consideration of experimental conditions to measure deformability changes and analyse mechanical phenotype.

7.4. Materials and methods

7.4.1. Real-time deformability cytometry

Cell mechanical properties were assessed using a Real-Time Deformability Cytometer (RT-DC) as described in previous works [206][46][79] and **Chapter 2**. Cells were pumped into the channel at two different flow rates: 0.04 (Jukrat and HEK) and 0.12 (red blood cells) μ l/s, generating a shear stress (corresponding to approximately 1.2 and 4 kPa, respectively) resulting in cell deformation. RT-DC data were analysed using the ShapeOut 0.8.4 software (available at www.zellmechanic.com). Further analysis were performed using MatLab R2016b and GraphPad Prism 7. P-values were calculated using the linear mixed model.

7.4.2. Viability study

Jurkat cells viability was assessed using the Live/Dead Viability/Cytotoxicity kit for mammalian cells (Life Technologies Ltd.) as per the manufacturer's manual. The flow cytometric analysis was performed with excitation at 488 nm. Live cells stained with calcein emit green fluorescence (530/30 bandpass) while dead cells appear red (610/20 bandpass) due to staining with ethidium homodimer. Cells were analysed on a FACSCalibur machine (BD Bioscience) immediately after staining and obtained raw data was analysed using FlowJo V10 CL software.

7.4.3. Sample preparation- Jurkat cells

Impact of Pluronic F-68 was examined for four different conditions: a control incubated in medium with serum, a sample from complete medium supplemented with Pluronic F-68,

cells incubated in PBS-/- (phosphate buffered saline without calcium and magnesium, Gibco, cat. 10010023) and PBS-/- containing Pluronic F-68 and incubated for a desired time (1, 2 and 3 hrs) in a humidified incubator at 37°C in 5% CO₂. The chosen time scale is motivated by an approximate time required to perform experiments using microfluidic systems and ensuring unaffected cell viability for unbiased results (**Fig. 7.9**).



Incubation time [hr]	Count	Viable cells [%]	Dead cells [%]
Control	10121	99.24	0.76
1	10240	94.98	5.02
2	10448	97.03	2.97
3	10553	97.34	2.66

Cells incubated in PBS-/-

Incubation time [hr]	Count	Viable cells [%]	Dead cells [%]
Control	10121	99.24	0.76
1	10187	97.97	2.03
2	10245	98.12	1.88
3	10298	97.34	2.66

Cells incubated in 1%Pluronic F-86

Fig. 7.9

Cells of Jurkat cell line were used to assess if there is an adverse effect of chosen incubation conditions that could compromise cell viability. Cells were incubated for 3 hrs in PBS-/- (a) and a 1% solution of Pluronic F-68 (b). Ratio of live/ dead cells were assessed by flow cytometric assay, performed each hour on a portion of cells extracted from incubated flasks and compared with a control (cells extracted directly from a cell culture cultivated in a presence of serum). Dead cells emit red fluorescence (610/20 bandpass) detected in FL-3 channel, due to staining with ethidium homodimer.

7.4.4. Sample preparation- red blood cells

Packed purified red blood cells (RBCs) from healthy donors stored in a serum-free buffer were purchased from Cambridge Bioscience Ltd. Cells were stored at 4°C and cells from three batches were used for experimental work within a week of collection. Cells for experiments were counted on a haemocytometer and cell density was adjusted to 10×10^6 cells/ml by addition of an adequate PBS-/- volume (experimental control) or PBS-/- supplemented with Pluronic F-68 to a 1% (w/v) final concentration. Prepared cells were incubated at 4°C prior to measurements on RT-DC then collected by centrifugation at 500 × g for 5 min and re-suspended in 0.5% methylcellulose.

7.4.5. Sample preparation- HEK cells

Impact of Pluronic F-68 was examined for three different conditions: a control incubated in medium with serum, a sample, cells incubated in PBS-/- and cells incubated in PBS-/- supplemented with 1% Pluronic F-68. HEK cells from the same batch were collected for RT-DC measurements by harvesting them into suspension using TrypLETM (ThermoFisher Scientific). Prior to the TrypLETM treatment cells were washed twice in PBS-/- and an appropriate volume (5ml for 75 cm² flask) of TrypLETM was added. Cells were incubated in a humidified incubator at 37°C in 5% CO₂ for 5 min. After the monolayer dissociation complete medium containing serum was added to inactivate the TrypLETM and wash cells of the surface of the flask. Next, cells were transferred into 15ml centrifuge tube and they were collected by centrifugation at $300 \times g$ for 5 min, the supernatant was discarded and cells were re-suspended in 0.5% solution of methylcellulose at 1×10^6 cell/ml. A control containing serum was collected straight from the cell culture flask. The remaining two samples were cultured for 1, 2 and 3 hrs in either (PBS-/-) (serum-free experiment) or PBS -/- supplemented with 1% (w/v) cell culture grade Pluronic F-68 (ThermoFisher Scientific) (treated sample) and incubated for a desired time in a humidified incubator at 37°C in 5% CO₂ and harvested prior to measurements as described above. All obtained data results from three independent replicates, from cells of the same cell line coming from different batches.

7.4.6. Microscopy

HEK cells were also examined for cytoskeletal re-arrangements in their adherent and suspended state by examining F-actin. Cells (adherent and harvested in suspension HEK in serum control, HEK incubated for 3 hrs in PBS and PBS supplemented with 1% Pluronic F-68) were stained for 30 min at room temperature against F-actin with Actin Green 488 Ready Probes reagents (Life Technologies, cat. R37110). 10 minutes before the end of the incubation

time, Hoechst 33342 (Sigma Aldrich, cat. 14533) was added to a final 10ng/ml concentration to visualise cell nucleus. To avoid additional cytoskeletal re-arrangements due to cell preparation for microscopy, cells after treatment were fixed in 4% paraformaldehyde (PFA) (ThermoFisher Scientific, cat. 28906). Acquisition was performed on a Leica SP5 SMD (Single Molecule Detection) confocal laser-scanning microscope using a 63×1.4 NA HCX PL Apo oil immersion objective lens. The Actin Creen 488 was excited using a tuneable white light laser operating at 488 nm and 80 MHz pulse rate. Hoechtst 33342 was excited with a pulsed 405 nm laser at 40 MHz pulse rate. Emission was detected with a photon multiplier tube (PMT).

7.4.7. Assessing hydrodynamic behaviour of Pluronic-treated Jurkat cells and RBC in spiral microchannel

To research if changes in cells deformability caused by Pluronic F-68 treatment could influence their focusing positions with spiral channel we assessed hydrodynamic behaviour of Jurkat cells in serum, Jurkat cells in serum + 1% Pluronic incubated for 3 hrs, Jurkat cells in PBS-/- incubated for 3 hours and Jurkat cells in PBS-/- supplemented with 1% pluronic F-68, incubated for 3 hrs, RBC in PBS-/- and RBC in PBS-/- supplemented with 1% Pluronic F-68. Jurkat cells were incubated in a humidified incubator at 37°C in 5% CO₂, while RBC were incubated at 4°C. Jurkat cells were tested in a spiral channel with 360 × 60 µm cross-section (design A) at flow rates ranging between 1 -3 ml/min. RBC were tested in a device with 170 × 30 µm cross-section (design B) at flow rates ranging between 0.2 – 1 ml/min.

Both Jurkat cells and RBC suspensions at concentration around 1×10^6 cells/ml were introduced into the device as described in **Chapter 2**. Cell separation efficiency was quantified by flow cytometry in order to compare the fraction of each cell population (characterised by a unique fluorescent properties) in samples collected at each outlets. Further data analysis was performed using GraphPad Prism 6.

Appendix P

Replica I	Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
	1	262	36	23	252	273	284	335
Turbat calls in	1.5	277	38	33	270	289	299	340
serum	2	264	55	37	246	287	300	338
	2.5	215	68	33	162	226	275	339
	3	143	59	29	98	133	175	338
	1	248	41	21	224	261	276	328
Jurkat cells in	1.5	273	41	28	263	286	298	337
serum +1%	2	257	59	31	232	282	297	338
Pluronic F-68	2.5	211	71	41	155	222	276	338
	3	136	57	15	94	124	166	338

Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Q1) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of lateral equilibrium positions obtained for at least 10000 events for Jurkat cells in serum and Jurkat cells in serum +1% Pluronic F-68, in design II spiral channel with 360×60 µm cross-section, at five applied flow rates for **replica I** out of three, expressed as distance from the outer wall [µm].



Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
1	267	35	41	256	277	288	337
1.5	282	38	42	276	292	302	340
2	269	55	36	255	292	304	340
2.5	217	71	24	163	231	280	339
3	140	58	29	96	130	171	339
1	265	36	57	252	276	289	333
1.5	275	42	28	267	289	300	339
2	254	59	36	226	277	296	337
2.5	202	71	21	145	206	267	337
3	135	56	24	93	123	163	339
	Flow rate [ml/min] 1 1.5 2 2.5 3 1 1.5 2 2.5 3	Flow rate [ml/min] Mean 1 267 1.5 282 2 269 2.5 217 3 140 1 265 1.5 275 2 254 2.5 202 3 135	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Flow rate [ml/min] Mean SD Min Q1 1 267 35 41 256 1.5 282 38 42 276 2 269 55 36 255 2.5 217 71 24 163 3 140 58 29 96 1 265 36 57 252 1.5 275 42 28 267 2 254 59 36 226 2.5 217 71 24 163 3 140 58 29 96 2 255 36 57 252 1.5 275 42 28 267 2 254 59 36 226 2.5 202 71 21 145 3 135 56 24 93	Flow rate [ml/min] Mean SD Min Q1 Median 1 267 35 41 256 277 1.5 282 38 42 276 292 2 269 55 36 255 292 2.5 217 71 24 163 231 3 140 58 29 96 130 1 265 36 57 252 276 1.5 275 42 28 267 289 2 264 59 36 252 276 1.5 275 42 28 267 289 2 254 59 36 226 277 2.5 202 71 21 145 206 3 135 56 24 93 123	$\begin{array}{c c c c c c c c c c c c c c c c c c c $

Replica II (a) Hydrodynamic behaviour of control Jurkat cells cultured complete medium containing serum (green) and Jurkat cells incubated for 3 hrs in a complete medium with serum and supplemented with 1% pluronic F-68, assessed in a spiral microchannel with $360 \times 60 \,\mu\text{m}$ cross-section at five different flow rates (1, 1.5, 2, 2.5 and 3 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μ m), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values (**b**) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus

Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of control cells. The False Positive Rate is the corresponding number of Pluronic-treated cells divided by the total number of control cells for the same cut-off. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. (c) Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Qi) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for Jurkat cells in serum and Jurkat cells in serum +1% Pluronic F-68 at five applied flow rates for **replica II** out of three, expressed as distance from the outer wall [µm].



	Replica III	Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
		1	267	35	30	255	277	289	336
	Turbat calls in	1.5	283	37	36	277	293	303	340
	serum	2	270	56	36	258	293	304	340
	0010111	2.5	214	70	16	159	225	279	338
		3	148	60	34	102	137	182	341
		1	265	36	26	250	275	288	337
	Jurkat cells in	1.5	275	42	33	267	289	300	340
	serum +1% Pluronic F-68	2	253	60	32	221	277	297	338
		2.5	199	71	27	142	203	263	338
		3	133	56	32	91	122	161	338

Replica III (a) Hydrodynamic behaviour of control Jurkat cells cultured complete medium containing serum (green) and Jurkat cells incubated for 3 hrs in a complete medium with serum and supplemented with 1% pluronic F-68 (grey), assessed in a spiral microchannel with $360 \times 60 \mu m$ cross-section at five different flow rates (1, 1.5, 2, 2.5 and 3 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μm), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values (**b**) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of

control cells. The False Positive Rate is the corresponding number of Pluronic-treated cells divided by the total number of control cells for the same cut-off. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. (c) Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Q1) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of lateral equilibrium positions obtained for at least 10000 events for Jurkat cells in serum and Jurkat cells in serum +1% Pluronic F-68, at five applied flow rates for **replica III** out of three, expressed as distance from the outer wall [µm].

Appendix **R**

Replica I	Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
	1	253	46	24	224	270	285	329
Tradicate a sile in	1.5	271	52	15	258	290	303	340
PBS-/-	2	242	73	34	193	270	301	340
1 100-7-	2.5	177	77	24	112	168	243	339
	3	118	58	16	76	100	140	340
	1	249	40	18	227	262	277	329
Jurkat cells in	1.5	275	43	30	265	289	301	340
PBS-/- +1% Pluronic F-68	2	255	61	40	227	280	299	340
	2.5	275	50	31	267	294	304	339
	3	140	61	21	92	127	173	339

Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Q1) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for Jurkat cells in PBS-/- and Jurkat cells in PBS-/- +1% Pluronic F-68, in design I spiral channel with $360 \times 60 \mu m$ cross-section, at five applied flow rates for **replica I** out of three, expressed as distance from the outer wall [µm].



Replica II	Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
	1	269	44	26	260	283	295	336
Teculard a alla in	1.5	272	52	25	259	291	303	340
PBS-/-	2	244	70	20	199	270	299	340
1 1 1 5-7-	2.5	177	77	23	111	165	244	339
	3	119	57	31	77	102	142	340
	1	272	38	37	264	284	295	337
Jurkat cells in	1.5	280	44	12	274	295	305	339
PBS-/- +1% Pluronic F-68	2	262	61	19	238	289	304	339
	2.5	231	72	42	178	255	293	339
	3	132	59	20	86	119	162	339

Replica II (a) Hydrodynamic behaviour of control Jurkat cells incubated for 3 hrs in PBS-/-(blue) and Jurkat cells incubated for 3 hrs in PBS-/- and supplemented with 1% pluronic F-68 (red), assessed in a spiral microchannel with $360 \times 60 \mu m$ cross-section at five different flow rates (1, 1.5, 2, 2.5 and 3 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μm), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values (b) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of control cells. The False Positive Rate is the corresponding number of Pluronic-treated cells divided by the total number of control cells for the same cut-off. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. (c) Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Qi) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for Jurkat cells in PBS-/- and Jurkat cells in PBS-/- +1% Pluronic F-68 at five applied flow rates for **replica II** out of three, expressed as distance from the outer wall [µm].



Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
1	269	46	24	260	284	296	337
1.5	272	51	23	258	291	303	340
2	243	71	26	199	269	299	340
2.5	175	76	29	112	164	239	339
3	116	55	28	76	99	138	337
1	272	38	36	263	283	294	337
1.5	280	43	24	274	294	305	339
2	261	60	23	235	287	302	340
2.5	279	46	32	272	295	306	339
3	133	61	28	87	119	163	340
	Flow rate [ml/min] 1 1.5 2 2.5 3 1 1.5 2 2.5 3	Flow rate [ml/min] Mean 1 269 1.5 272 2 243 2.5 175 3 116 1 272 1.5 280 2 261 2.5 279 3 133	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Flow rate [ml/min] Mean SD Min Q1 1 269 46 24 260 1.5 272 51 23 258 2 243 71 26 199 2.5 175 76 29 112 3 116 55 28 76 1 272 38 36 263 1.5 280 43 24 274 2 261 60 23 235 2.5 279 46 32 272 3 133 61 28 87	Flow rate [ml/min] Mean SD Min Q1 Median 1 269 46 24 260 284 1.5 272 51 23 258 291 2 243 71 26 199 269 2.5 175 76 29 112 164 3 116 55 28 76 99 1 272 38 36 263 283 1.5 280 43 24 274 294 2 261 60 23 235 287 2.5 279 46 32 272 295 3 133 61 28 87 119	Flow rate [ml/min] Mean SD Min Q1 Median Q3 1 269 46 24 260 284 296 1.5 272 51 23 258 291 303 2 243 71 26 199 269 299 2.5 175 76 29 112 164 239 3 116 55 28 76 99 138 1 272 38 36 263 283 294 1.5 280 43 24 274 294 305 2 261 60 23 235 287 302 2.5 279 46 32 272 295 306 3 133 61 28 87 119 163

Replica III (a) Hydrodynamic behaviour of control Jurkat cells incubated for 3 hrs in PBS-/-(blue) and Jurkat cells incubated for 3 hrs in PBS-/- and supplemented with 1% pluronic F-68 (red), assessed in a spiral microchannel with $360 \times 60 \mu m$ cross-section at five different flow rates (1, 1.5, 2, 2.5 and 3 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μm), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values (b) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of control cells. The False Positive Rate is the corresponding number of Pluronic-treated cells divided by the total number of control cells for the same cut-off. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. (c) Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Qi) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for Jurkat cells in PBS-/- and Jurkat cells in PBS-/- +1% Pluronic F-68 at five applied flow rates for **replica III** out of three, expressed as distance from the outer wall [µm].

Appendix S

Replica I	Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
	0.2	112	20	3	92	123	129	167
	0.4	122	14	44	113	128	132	160
RBC in PBS-/-	0.6	123	19	21	112	131	136	164
	0.8	100	30	19	75	102	127	164
	1	54	28	20	36	41	59	164
	0.2	110	20	22	91	121	127	154
	0.4	122	15	29	113	128	132	156
+1% Pluronic F-68	0.6	125	15	16	121	131	134	161
	0.8	122	19	26	112	131	135	163
	1	55	28	14	36	42	62	165

Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Q1) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for RBC in PBS-/- and RBC in PBS-/- +1% Pluronic F-68, in design II spiral channel with $170 \times 30 \,\mu\text{m}$ cross-section, at five applied flow rates for **replica I** out of three, expressed as distance from the outer wall [μ m].



Replica II	Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
	0.2	112	20	35	93	123	129	158
	0.4	123	14	36	113	129	132	160
RBCs in PBS-/-	0.6	123	19	10	111	131	136	164
	0.8	98	30	22	73	99	126	164
	1	53	27	15	36	41	58	165
	0.2	111	20	36	91	121	127	152
PDC in DDC /	0.4	123	14	38	115	129	133	157
+1% Pluronic F-68	0.6	124	19	22	115	131	136	163
	0.8	122	19	30	111	131	136	163
	1	54	28	17	36	42	61	162

Replica II (a) Hydrodynamic behaviour of control RBC (grey) and RBC incubated for 3 hrs in PBS-/- and supplemented with 1% pluronic F-68 (red), assessed in a spiral microchannel with $170 \times 30 \ \mu\text{m}$ cross-section at five different flow rates (0.2, 0.4, 0.6, 0.8 and 1 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μ m), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values. (b) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of control cells. The False Positive Rate is the corresponding number of Pluronic-treated cells divided by the total number of control cells for the same cut-off. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. (c) Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Q1) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for RBC in PBS-/- and RBC in PBS-/- +1% Pluronic F-68, at five applied flow rates for **replica II** out of three, expressed as distance from the outer wall [µm].


Replica III	Flow rate [ml/min]	Mean	SD	Min	Q1	Median	Q3	Max
RBCs in PBS-/-	0.2	113	20	19	93	123	129	156
	0.4	123	14	38	116	129	133	160
	0.6	123	18	18	113	131	136	163
	0.8	98	30	17	72	98	126	164
	1	53	26	19	36	41	57	163
RBC in PBS-/- +1% Pluronic F-68	0.2	111	20	34	91	122	127	147
	0.4	124	14	23	118	130	133	160
	0.6	123	19	21	112	131	136	162
	0.8	123	19	20	112	131	136	160
	1	53	27	14	35	42	60	162

Replica III (a) Hydrodynamic behaviour of control RBC (grey) and RBC incubated for 3 hrs in PBS-/- and supplemented with 1% pluronic F-68 (red), assessed in a spiral microchannel with $170 \times 30 \ \mu m$ cross-section at five different flow rates (0.2, 0.4, 0.6, 0.8 and 1 ml/min). Box plots summarising lateral equilibrium positions, measured as a distance from the outer wall (μm), where the line in the box represents the median, the box represents data from lower and upper quartile and the whiskers correspond to the lowest and highest extreme values. (b) Receiver Operating Characteristic (ROC) curves were plotted for lateral equilibrium position for control versus Pluronic-treated cells, for each applied flow rate. The True Positive Rate is defined as the number of control cells found at a given lateral position and divided by the total number of control cells. The False Positive Rate is the corresponding number of Pluronic-treated cells divided by the total number of control cells for the same cut-off. To quantify differences in the assembled equilibrium positions the Area Under the Curve (AUC) was calculated. (c) Tables showing statistical summary (mean and standard deviation from the mean (SD), median, 25^{th} (Qi) and 75^{th} (Q3) percentile as well as minimal (min) and maximal (max) measured value) of latera equilibrium positions obtained for at least 10000 events for RBC in PBS-/- and RBC in PBS-/- +1% Pluronic F-68, at five applied flow rates for **replica III** out of three, expressed as distance from the outer wall [µm].

Chapter 8. Summary and future outlook

The overarching goal of this project was to develop a novel purification method to isolate mRBC from the contaminant by-products of the differentiation protocol, while maintaining their integrity and quality, in a timely (processing millions of cells/min and mls of medium/ min) label-free (no modifying agents added) and continuous manner. To meet these requirements, I investigated label-free separation in spiral microchannels based on cells' endogenous properties such as size and deformability. The main achievements of my work included:

- 1. First study to track cell mechanotype changes during mRBC production with a highthroughput approach, utilising data from over 10000 cells, to determine that size and deformability could be exploited as label-free markers for mRBC purification.
- 2. Demonstrating, for the first time, applicability of spiral microchannels for deformability-based separation.
- 3. Translating the mechanical properties identified in point 1 and findings from point 2 into a complete bespoke label-free downstream process for isolation of enucleated mRBC, up to high purities (99%).
- 4. Identifying and researching factors influencing performance of the process and impact of the processing conditions on cell quality.

To assess the changing mechanotype of CD34+ undergoing differentiation into mRBC, I used cutting-edge technology- RT-DC- for contactless assessment of cells deformation in a high-throughput fashion. I observed that cells undergoing the 21 day differentiation protocol, become progressively softer due to re-arrangements in cytoskeleton and decreasing nucleus to cytoplasm ratio. At the end of the protocol, subpopulations found in the heterogenous mixture, enucleated mRBC and nucleated cells together with free-floating nuclei, can be characterised and classified based on the unique combination of size and deformability. These results confirmed that mRBC could be purified from the nucleated cells and nuclei populations, based strictly on their label-free makers. These findings are detailed in Guzniczak. *et al.* 2017 [41]

Since size and deformability were confirmed to be suitable label-free markers, spiral channels were investigated as a means of size-based separations. Successful size based separations have been previously demonstrated in a range of applications and it has been noted that deformability of cells influences performance. However, in this study, for the first time, I

demonstrated the deformability-induced lift force (F_D) can be utilised for cellular separation. I developed a unique cellular model to demonstrate that cells of identical size could be separated purely based on deformability. I identified that in order to take advantage of the effect of F_D for particles focusing and ordering in the spiral geometry, sufficiently high flow rates are required (3ml/min in design I and 1 ml/min in design II). Cells are dynamic structures responding to mechanical stresses such as hydrodynamic compressibility by rearranging their cytoskeletal structures to offset the stresses. This causes generation of F_D , which, in combination with inertial lift forces and Dean drag, pushes cells of the same size but different deformability properties, to contrary lateral equilibrium positions within the channel crosssection. This principle has been successfully adapted to enhance and conduct label-free and continuous cell separation in spiral microchannels.

A bespoke spiral channel (design II, with 170 μ m \times 30 μ m rectangular cross-section, 6 loops and one inlet) has been used for the purification of mRBC by means of utilising the impact of F_D. By using the differences in dynamic equilibrium positions it was possible to enrich the enucleated mRBC up to 70 % purity, recovering >90% of the input cells. These results varied from predicted (>95% purity) performance of the device, initially validated using pure populations of pre-sorted enucleated and nucleated cells and nuclei, due to particle interactions. In order to improve the purity, a membrane filtration step has been added to the process. This inclusion was motivated by the fact that the degree of deformation could be measured by looking at changes in the minor axis. Under conditions where there are no applied deforming forces the minor axis of enucleated and nucleated cells are comparable. Because deformability and size are coupled, when a deforming force is exerted, the enucleated cells minor axis shortens more than for nucleated cells and a 2-3 µm difference is revealed. The size difference revealed by applied stress constituted the basis for cell separation using a porous physical barrier (ISOPORETM membrane) with a cut-off size of 3µm. This approach improved cell purity to 99%, but at a cost of losing 70% of input cells which were retained at the membrane.

In the proposed approach, $3-4 \times 10^6$ cells/ min are processed by a single device when operating at the optimal 1ml/min flow rate. The current throughput seems reasonable for processing cells that are routinely cultured within similar concentration range in large volumes. Up to date, mRBC culture is routinely carried in static culture conditions, facilitating maximal cell concentration at around 5×10^6 cells/ml [11]. As Timmins *et al.* 2009 estimated, this would require 9,000 T175 cm² flasks to produce a single blood unit [14]. To overcome this limitation, mRBC cultured at 50×10^6 cell/ml should decrease the required volume of medium to 40 litres

and consequently the total cost to around \$8000 per blood unit [14][3][11]. The downstream processing proposed in this study has the capacity for further scale-up to process such volumes by two means: increasing cell sample concentration (the estimated concentration limit for this particular system is at 20×10^6 cells/ml, α =1) and system parallelisation. Stacking microfluidic devices (stack of 20 devices reported [159]) is a common practice resulting in a rapid and efficient throughput improvement.

Spiral microchannels have been positively validated as a practical solution for mRBC purification. However, one of the remaining bottlenecks with such microsystems is their tendency to clog due to a channel cross-section that is merely larger than a couple of cell diameters. One of the most commonly accepted solutions to prevent clogging issues is the use of additives such as Pluronic F-68. I investigated the effect of this biocompatible surfactant on cells commonly used in microfluidic studies, like Jurkat and HEK cells and red blood cells. This published research [162] provides a substantial explanation of the additive impact on cell mechanotype, which should be considered prior to experiments and measurements performed using the microfluidics technologies.

By taking advantage of thoroughly characterised mechanical properties, a label-free passive platform for cost-effective mRBC downstream processing has been successfully developed. This study provided robust proof of principle and the next logical step would involve translation into industrial scale processing. Prior to the successful transition, a profound understanding of the basis of inertial focusing in spiral microchannels is required, including the hydrodynamic particle-particle interactions, particle-medium interactions and the mechanism of the F_D action. That requires development of computational methods, which would allow to precisely model and optimise process performance. Bringing mRBC into clinics requires reproducible unit operations. CB CD34+ cells are a limited source of mRBC and as verified in this study, starting cell material derived from different donors give a final product characterised by different pheno- and mechanotypes, thus implementation of universal downstream protocols is currently challenging. The field of stem cell-derived therapeutic products is maturing and with introduction of iPSC and immortalised erythroid cell lines, it should be possible to produce large quantities of standardised mRBC and integrate technology proposed here into the formulation step of the cellular product derivation process.

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