



Elmer, Theo and Worall, Mark and Wu, Shenyi and Riffat, Saffa (2016) Assessment of a novel solid oxide fuel cell tri-generation system for building applications. *Energy Conversion and Management*, 124 . pp. 29-41. ISSN 0196-8904

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# 1 **Assessment of a novel solid oxide fuel cell tri-generation** 2 **system for building applications**

3  
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## 8 9 **Abstract**

10 The paper provides a performance analysis assessment of a novel solid oxide fuel cell  
11 (SOFC) liquid desiccant tri-generation system for building applications. The work  
12 presented serves to build upon the current literature related to experimental evaluations  
13 of SOFC tri-generation systems, particularly in domestic built environment applications.  
14 The proposed SOFC liquid desiccant tri-generation system will be the first-of-its-kind. No  
15 research activity is reported on the integration of SOFC, or any fuel cell, with liquid  
16 desiccant air conditioning in a tri-generation system configuration. The novel tri-  
17 generation system is suited to applications that require simultaneous electrical power,  
18 heating and dehumidification/cooling. There are several specific benefits to the integration  
19 of SOFC and liquid desiccant air conditioning technology, including; very high operational  
20 electrical efficiencies even at low system capacities and the ability to utilise low-grade  
21 thermal energy in a (useful) cooling process. Furthermore, the novel tri-generation system  
22 has the potential to increase thermal energy utilisation and thus the access to the benefits  
23 achievable from on-site electrical generation, primarily; reduced emissions and operating  
24 costs.

25  
26 Using empirical SOFC and liquid desiccant component data, an energetic, economic and  
27 environmental performance analysis assessment of the novel system is presented.

28 Significant conclusions from the work include: (1) SOFC and liquid desiccant are a viable  
29 technological pairing in the development of an efficient and effective tri-generation  
30 system. High tri-generation efficiencies in the range of 68-71% are attainable. (2) The  
31 inclusion of liquid desiccant provides an efficiency increase of 9-15% compared to SOFC  
32 electrical operation only, demonstrating the potential of the system in building applications  
33 that require simultaneous electrical power, heating and/or dehumidification/cooling. (3)  
34 Compared to an equivalent base case system, the novel tri-generation system is currently  
35 only economically viable with a government's financial support. SOFC capital cost and  
36 stack replacement are the largest inhibitors to economic viability. Environmental  
37 performance is closely linked to electrical emission factor, and thus performance is heavily  
38 country dependent. (4) The economic and environmental feasibility of the novel tri-  
39 generation system will improve with predicted SOFC capital cost reductions and the  
40 transition to clean hydrogen production.

41

42 **Keywords:** Tri-generation, solid oxide fuel cell, liquid desiccant air conditioning, emission  
43 assessment, economic assessment, building application.

## 44 **1 Introduction**

45 In recent years the dramatic increase in concerns regarding the environmental impact of  
46 using fossil fuels, and their accompanying cost, have driven governments, business and  
47 consumers towards cleaner energy resources and the use of alternative methods for more  
48 efficient energy utilisation. Currently, buildings consume around 40% of the world's  
49 primary energy for cooling, heat and power [1]. Most of this energy is from electricity  
50 generated at centralised power stations; where at present up to 70% of available energy  
51 is wasted. The overall system efficiency is low at 30-40%, leading to a high waste of  
52 energy resources, resulting in considerable CO<sub>2</sub> emissions and unnecessarily high running  
53 costs. Reducing the energy consumption of buildings can make a substantial contribution  
54 towards attaining the EU's 2020, the UK's 2050 and other international carbon emission  
55 targets. But this will only be achieved by moving from conventional centralised power  
56 generation systems to onsite highly-efficient clean micro-generation technology [2-4].

57

58 One of the most promising possibilities for clean micro-generation is solid oxide fuel cell  
59 (SOFC) technology, which can generate electricity directly through an electrochemical  
60 reaction which brings together hydrogen and oxygen. The only by-products are waste  
61 heat, water vapour, and depending on the fuel used a modest amount of CO<sub>2</sub>. Chemical  
62 to electrical energy conversion efficiencies can be over 50% compared to 30-40% in  
63 combustion processes, such as internal combustion engines (ICE) and gas turbines.  
64 Technical assessments have demonstrated that if combined heat and power (CHP)  
65 technology is used with SOFC, the total system efficiency can be as high as 90% [5, 6].  
66 Liquid desiccant systems are used in heating, ventilation, and air conditioning applications  
67 where simultaneous maintenance of temperature and humidity control is an important  
68 benefit to the user. This technology is often used in tri-generation system applications  
69 where the desiccant system is driven by the heat by-product. If the waste heat from the  
70 SOFC is used to drive the liquid desiccant unit, then a tri-generation system will result,  
71 supplying not only the power and heat as the conventional CHP technology to the building,

72 but also cooling and humidity control. It has been demonstrated in the literature that the  
73 inclusion of liquid desiccant in a tri-generation system configuration can provide significant  
74 improvement to total system efficiency [7, 8] and thus greater energy utilisation, providing  
75 a range of technical, environmental and economic benefits [3, 4].

76

77 The majority of tri-generation systems for building applications reviewed in the literature  
78 use the thermal energy rejected by the electrical generator to produce a useful cooling  
79 output. The most common technological pairing has been found to be an ICE with a vapour  
80 absorption cooling system (VAS) [9-11]. No research publications have been found  
81 describing a SOFC or even fuel cell based liquid desiccant tri-generation system. Fuel cells  
82 are well suited to tri-generation built environment applications because they produce heat  
83 when generating electricity, have high electrical efficiency and excellent load-following  
84 characteristics [12]. Moreover, continued technological improvements to fuel cells have,  
85 in recent years, increased interest in fuel cell based tri-generation systems [13].

86

87 Yu, Han et al. [14] have numerically investigated a tri-generation system incorporating a  
88 SOFC and a double-effect water/lithium bromide VAS, high total system efficiencies of  
89 84% or more were reported by the authors, illustrating the benefits of tri-generation  
90 systems in applications where heating, cooling and power are required. Margalef and  
91 Samuelsen [15] numerically examined a 300kW molten carbonate fuel cell (MCFC) VAS  
92 tri-generation system, achieving an overall system efficiency of 72%. The pairing of two  
93 off the shelf technologies for tri-generation system construction was shown to be  
94 problematic. Margalef and Samuelsen [15] state that the MCFC and VAS chosen for the  
95 tri-generation system were close, but not an ideal match. Al-Sulaiman, Dincer et al. [16]  
96 presents an energy analysis of a tri-generation plant incorporating a 520kW SOFC, organic  
97 Rankine cycle, heat exchanger and single effect VAS. The investigation showed that by  
98 incorporating the cooling cycle system efficiency is improved by 22% compared to just  
99 having the SOFC and organic Rankine cycle running together. A maximum tri-generation

100 efficiency of 74% has been achieved. Fong and Lee [17] have investigated a SOFC tri-  
101 generation system for high-rise buildings in a hot and humid climate. The study focussed  
102 on two sizing options. (1) Full SOFC, where the system was sized to peak loads, and (2)  
103 partial SOFC, where the system was sized such that peak loads were met by the SOFC and  
104 grid, however over the course of one year the system maintains a net zero grid import.  
105 The full and the partial SOFC systems generate a 51.4% and 23.9% carbon emission  
106 saving respectively, and a 7.1% and 2.8% electricity saving respectively. The full SOFC  
107 tri-generation system showed the best environmental and energetic performance due to  
108 the partial SOFC systems requirement of grid electricity. However the economics of sizing  
109 the tri-generation system to meet peak load capacity was not investigated. Zink, Lu et al.  
110 [18] have examined a 110kW SOFC based tri-generation system employing a VAS. Results  
111 show that total system efficiency can reach 87% or more and that the combined system  
112 shows great advantages both technically and environmentally over other current CHP and  
113 tri-generation systems. Darwish [19] has investigated a phosphoric acid fuel cell (PAFC)  
114 tri-generation system to meet high air conditioning loads in a large building in Kuwait. The  
115 PAFCs thermal (105kW) and electrical outputs (200kW) are used in a VAS and VCS  
116 respectively. The system only becomes economically feasible once the fuel cell capital cost  
117 drops below 2000\$.kWe<sup>-1</sup>.

118

119 As demonstrated in the literature presented above, tri-generation is a well-known  
120 technology for energy conservation in commercial and industrial applications. However,  
121 limited work has been completed for tri-generation systems in domestic building  
122 applications [20, 21]. Kong, Wang et al. [22] state that the concept of tri-generation  
123 systems for individual domestic buildings has only been thought reasonable with the more  
124 recent development of heat driven cooling technologies with capacities of <10kW that can  
125 operate on low-grade thermal energy (60-90°C). Huangfu, Wu et al. [20] believe the main  
126 obstacles to any type of domestic scale tri-generation systems is the high initial cost and  
127 complexity of optimum matching of different parts of the system i.e. prime mover and

128 heat driven cooling. Other commonly referenced obstacles include; system size and  
129 complexity. However, with recent advances in liquid desiccant based air conditioners for  
130 small scale residential applications the development of a fuel cell tri-generation system in  
131 domestic homes is possible [23]. Míguez [21] and Porteiro [24] state that the introduction  
132 of tri-generation systems to the domestic built environment requires the core of the  
133 system, the CHP unit, to be compact, cost efficient and easily installed. Pilatowsky, Romero  
134 et al. [25] have carried out simulations for a  $1\text{kW}_e$  PEMFC coupled to a VAS. Results show  
135 that the co-generation process increases total efficiency of the PEMFC system, illustrating  
136 the feasibility of using fuel cells in small scale tri-generation system applications. Najafi,  
137 Antonellis et al. [26, 27] report on a medium scale ( $10\text{-}20\text{kW}_e$ ) PEMFC desiccant wheel tri-  
138 generation system. The work uses simulations to optimise the system components for  
139 building applications. A significant conclusion indicates that positive energy savings can  
140 only be achieved if the PEMFC system and it's auxiliary devices performance are  
141 appropriately improved. Gigliucci, Petruzzi et al. [28] have conducted extensive work on  
142 fuel cell CHP systems in domestic built environment applications, in particular their thermal  
143 management. The authors conclude that for the full potential of fuel cell devices operating  
144 in built environment applications to be realised, the following aspects need to be  
145 considered / resolved: (1) ability of delivering waste heat to a useful heat sink - tri-  
146 generation system applications will increase this, and (2) capacity to vary the heat to  
147 power ratio / electrical output during operation. Fuel cells with their low heat to power  
148 ratios show great promise in terms of total thermal energy utilisation, illustrating why fuel  
149 cell technology has been highlighted as a strong candidate for tri-generation domestic built  
150 environment applications [12, 23].

151

152 To summarise, the literature searches have highlighted a small number of research  
153 publications and patents that focus on SOFC tri-generation systems [16, 25, 29-33]. The  
154 listed work either focuses on the use of a fuel cell's thermal output in a Rankine bottoming  
155 cycle or the use of a VAS. Furthermore, this work is predominately simulation based or

156 aimed at large industrial scale applications. The fuel cell tri-generation systems presented  
157 demonstrate good performance in terms of system efficiency, primary energy demand  
158 reduction and associated CO<sub>2</sub> emissions / operational costs. However, issues regarding the  
159 accurate pairing of prime mover and cooling technologies needs careful consideration to  
160 ensure effective system operation. Furthermore, it has been established that the technical  
161 and economic viability of any tri-generation system, but particularly fuel cell, presides with  
162 the prime mover (fuel cell), not the cooling technology, which is already at a level  
163 commensurate with technical and economic practicality.

164

165 The work presented in this paper serves to build upon the current literature related to  
166 experimental evaluations of SOFC tri-generation systems, particularly in domestic built  
167 environment applications. The proposed SOFC liquid desiccant tri-generation system will  
168 be the first-of-its-kind. No research activity is reported on the integration of SOFC, or any  
169 fuel cell, with liquid desiccant air conditioning in a tri-generation system configuration. The  
170 novel tri-generation system is suited to applications that require simultaneous electrical  
171 power, heating and dehumidification/cooling. There are several specific benefits to the  
172 integration of SOFC and liquid desiccant air conditioning technology, including; very high  
173 operational electrical efficiencies even at low system capacities and the ability to utilise  
174 low-grade thermal energy in a (useful) cooling process. Furthermore, in many building  
175 applications the demand for cooling coincides with a reduction in heating demand. If this  
176 heat cannot be fully utilised system efficiency will suffer. The novel tri-generation system  
177 has the potential to increase thermal energy utilisation and thus the access to the benefits  
178 achievable from on-site electrical generation, primarily; reduced emissions and operating  
179 costs. Although no work has been found directly relating to the proposed novel tri-  
180 generation system concept, the author's rationale behind the success of the system is that  
181 liquid desiccant air conditioning technology makes better use of low grade thermal energy  
182 compared to VAS [34]. Furthermore, liquid desiccant air conditioning regeneration  
183 temperatures are lower than that of solid desiccant media [35]. As a result, a SOFC CHP



184 system at the micro to small scale (i.e.  $<10kW_e$ ) with a recovered waste water  
185 temperature output of 50-80°C [3, 36] is deemed a well suited technological partnership  
186 with liquid desiccant air conditioning technology.

187

188 This paper provides a performance analysis assessment of a novel SOFC liquid desiccant  
189 tri-generation system for building applications. Using empirical SOFC and liquid desiccant  
190 component data, an energetic, economic and environmental performance analysis  
191 assessment of a first-of-its-kind system is presented. Specifically, the aim of the paper's  
192 performance analysis assessment is to:

193

- 194 1. Validate, empirically, the integration of SOFC and liquid desiccant technology into  
195 an efficient and effective tri-generation system.
- 196 2. Determine tri-generation system efficiency in a building application.
- 197 3. Ascertain whether the proposed tri-generation system is economically and  
198 environmentally viable under current conditions compared to an equivalent base  
199 case system.
- 200 4. Establish the conditions and geographical locations in which the novel tri-  
201 generation system is economically and environmentally viable compared to an  
202 equivalent base case system.
- 203 5. Suggest the future feasibility of the novel tri-generation system with respect to  
204 projected changes in global energy resources, conversion techniques and cost.

205

206

## 207 **2 Tri-generation system development**

208 The tri-generation system is comprised of two main components: SOFC and liquid  
209 desiccant. The performance of these two system components is documented in sections  
210 2.1 and 2.2 respectively. Following this, section 2.3 presents an energetic performance  
211 analysis assessment of the novel tri-generation system.

212

### 213 **2.1 Solid oxide fuel cell component**

214 The SOFC used for tri-generation system development and field trial testing in a building  
215 application is the BlueGEN CHP unit manufactured by Ceramic Fuel Cells Ltd (CFCL).  
216 BlueGEN is a commercially available SOFC CHP system designed for small to medium scale  
217 building applications. Operating on natural gas, the unit can be power modulated from  
218 500W<sub>e</sub> (25%) to 2kW<sub>e</sub> (100%), however it achieves its highest net electrical efficiency of  
219 60% at a 1.5kW<sub>e</sub> output. As a result, CFCL have optimised the default operation of the  
220 unit at 1.5kW<sub>e</sub> to provide the highest electrical efficiency and thus greatest economic  
221 benefit to the user. The BlueGEN SOFC unit consists of 51 planar type YSZ (Yttria-  
222 stabilised Zirconia) electrolyte layer sets (each layer consist of 4 cells), and operates at  
223 750°C. Hydrogen is produced from natural gas by internal steam reforming (endothermic)  
224 on the fuel cell anode, utilising the heat of the electrochemical reaction (exothermic) to  
225 create a chemical combined cycle. The BlueGEN SOFC unit is certified for domestic building  
226 installations and qualifies for the UK FiT (feed-in-tariff); a tariff paid to the consumer per  
227 kWh of generated electricity. The BlueGEN SOFC unit is installed at The University of  
228 Nottingham's Creative Energy Homes as shown in Figure 1.

229



230

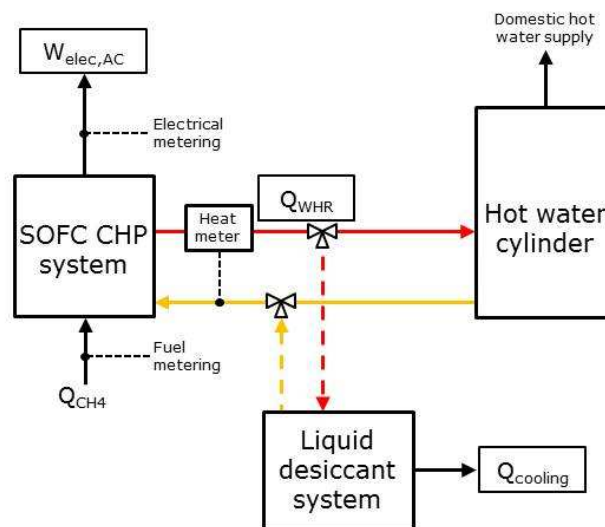
231

**Figure 1 BlueGEN SOFC CHP system installed at The University of Nottingham**

232

233 The SOFC unit is connected electrically, in parallel, to the national grid in order to export  
 234 or import power as required. The SOFC unit is connected to the natural gas grid. A waste  
 235 heat recovery (WHR) circuit delivers the generated heat from the SOFC unit directly to the  
 236 homes 300L hot water cylinder, which is supplemented by an auxiliary gas boiler.  
 237 Currently, the BlueGEN's estimate operational lifetime is 15 years; however the unit  
 238 requires stack replacement every five years. For tri-generation system integration, the  
 239 liquid desiccant system is installed in-line between the SOFC unit and hot water cylinder,  
 240 as shown in Figure 2.

241



242

243

**Figure 2 SOFC liquid desiccant tri-generation system schematic**

244

245 The net AC electrical power output ( $\dot{W}_{elec,AC}$ ) from the SOFC unit is collected using the CFCL  
246 online interface. The CFCL interface also records the natural gas fuel input to the SOFC  
247 ( $\dot{Q}_{CH_4}$ ). A Diehl Sharky 775 heat meter is used to collect thermal output data from the  
248 SOFC. The heat meter measures the water flow rate and supply and return water  
249 temperatures in the WHR circuit. Equation 1 is then used to determine the thermal  
250 output ( $\dot{Q}_{WHR}$ ).

251

$$\dot{Q}_{WHR} = \dot{m}_{WHR} c_{p,WHR} (T_{WHR,flow} - T_{WHR,return})$$

253 **1**

254

255 The CHP efficiency ( $\eta_{CHP}$ ) is then calculated using Equation 2.

256

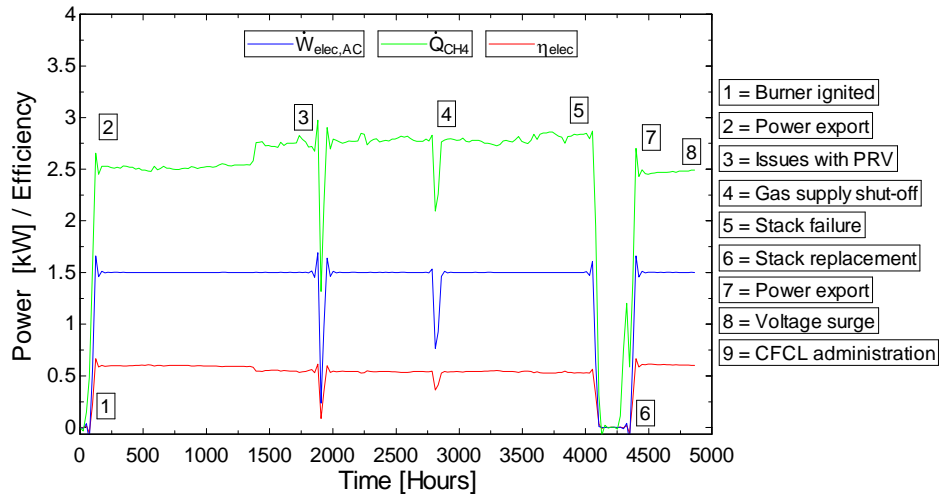
$$\eta_{CHP} = \frac{\dot{W}_{elec,AC} + \dot{Q}_{WHR}}{\dot{Q}_{CH_4}}$$

258 **2**

259

260 Figure 3 shows field trial electrical performance data collected from the SOFC unit from 24  
261 March 2014 (point 1) to 12 December 2014 (point 8). This is equivalent to 4865 hours of  
262 operation (8 months 18 days). During this period the SOFC unit shows stable operation  
263 with an electrical efficiency of 55-60% and availability for power generation of 91.7%. Due  
264 to the time taken to heat the stack to 750°C and to avoid thermal cycling, the SOFC unit  
265 operates continuously, always aiming to maintain a 1.5kW<sub>e</sub> output. As seen in Figure 3 as  
266 the stack efficiency degrades over time the fuel input is increased to compensate for this.  
267 At an electrical efficiency of 60% the fuel input is 2.5kW. After 4000 hours of operation  
268 (point 2 to 5), the stack displayed an electrical efficiency degradation of approximately  
269 6%.

270



271

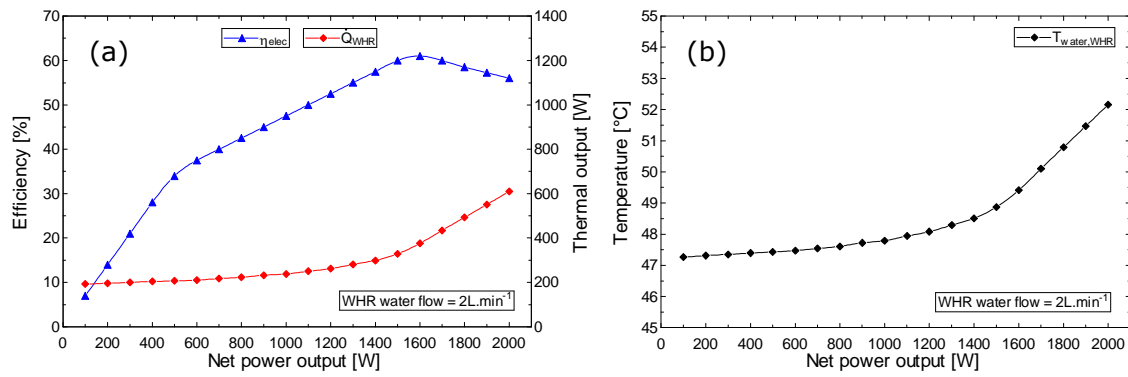
272

**Figure 3 SOFC field trial electrical performance data**

273

274 Figure 4 presents electrical and thermal performance characterisation of the SOFC CHP  
 275 system in a building application using data from [37] and [38]. During the performance  
 276 characterisation, a  $2\text{L}\cdot\text{min}^{-1}$  water volumetric flow in the WHR circuit has been used. This  
 277 is equal to the value used in the liquid desiccant performance assessment in [39] and thus  
 278 tri-generation system integration is a rational concept. From Figure 4a it is evident that  
 279 the net electrical efficiency increases as the electrical capacity increases, from 14% at  
 280  $200\text{W}_e$  up to a maximum of 60% at  $1500\text{W}_e$ , it then decreases to approximately 56% at  
 281 a  $2000\text{W}_e$  capacity. The thermal output from the SOFC increases fairly linearly from  $320\text{W}_{th}$   
 282 at  $200\text{W}_e$  up to  $540\text{W}_{th}$  at  $1500\text{W}_e$ . The thermal output increase is then much steeper,  
 283 up to a maximum of  $1000\text{W}_{th}$  at  $2000\text{W}_e$ . At the optimised  $1500\text{W}_e$  output a CHP efficiency  
 284 of 81.6% is achieved.

285



286

287 **Figure 4 (a) SOFC electrical efficiency and thermal output [38], and (b) WHR flow water**  
 288 **temperature as a function of electrical output**

289

290 Figure 4b shows the flow water temperature in the SOFC WHR loop as a function of  
 291 electrical power output. The flow water temperature is calculated based on the thermal  
 292 output data presented in Figure 4a, a  $2\text{L}\cdot\text{min}^{-1}$  water volumetric flow and a  $45^\circ\text{C}$  return  
 293 water temperature in the WHR circuit. The flow water temperature ranges between  $47^\circ\text{C}$   
 294 at  $100\text{W}_e$  output up to a maximum of  $52^\circ\text{C}$  at a  $2000\text{W}_e$  output. As demonstrated in [39]  
 295 this is sufficient for effective desiccant solution regeneration. Due to limited variation in  
 296 the SOFC CHP system's operation and thus outputs it is primarily the operation of the  
 297 desiccant system that is optimised to facilitate successful tri-generation system integration  
 298 [39].

299

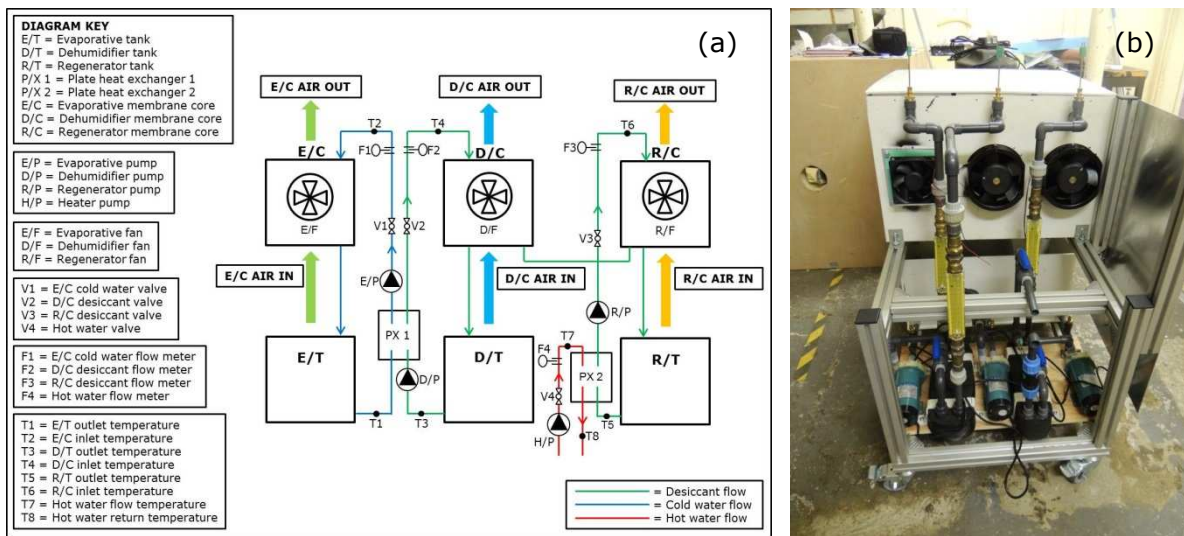
300 With reference to Figure 3, there have been three key events in the lifetime of the SOFC  
 301 unit, (1) an unforeseen gas shut-off (point 4) causing stack cool down and thermal  
 302 contraction, leading to an electrical efficiency drop, and eventual stack failure (point 5)  
 303 and replacement (point 6), (2) A 415 volt voltage surge at The Creative Energy Homes  
 304 causing irrevocable damage to the power electronics and thus stack cool-down, again  
 305 leading to the requirement of power electronic and stack replacement (point 8). (3) CFCL  
 306 going into administration, and thus not being able to carry-out the required repair works  
 307 post voltage surge. At the time of writing the SOFC unit is not operational.

308

309 **2.2 Liquid desiccant component**

310 A liquid desiccant air conditioning system developed by the authors specifically for tri-  
 311 generation/waste heat driven system applications, in particular with SOFC technology, has  
 312 been previously documented in detail in [39]. The desiccant system uses a semi-  
 313 permeable micro porous membrane based cross flow contactor, operating with a low cost,  
 314 environmentally friendly, non-corrosive potassium formate ( $\text{CHKO}_2$ ) desiccant solution.  
 315 The merits and operational considerations of employing a potassium formate desiccant  
 316 solution over other commonly used liquid desiccants such as lithium chloride or calcium  
 317 chloride are provided in a previous work [36]. Figure 5a provides a schematic diagram of  
 318 the complete liquid desiccant system with labelled components and Figure 5b shows a  
 319 photograph.

320



321

322 **Figure 5 Liquid desiccant system (a) schematic with labelled components, and (b)**  
 323 **photograph**

324

325 The paper assesses in detail the impact inlet environmental conditions (air temperature  
 326 and relative humidity) and operational conditions (desiccant solution volumetric, water  
 327 flow temperature and hot water volumetric flow in the heating circuit) have on liquid

328 desiccant system performance. Refer to [39] for a detailed description of the liquid  
329 desiccant system's experimental set-up, experimental method and full results/analysis.

330

331 The main metric used to evaluate the performance of the liquid desiccant system is thermal  
332 COP ( $COP_{th}$ ) as shown in Equation 3. Where,  $\dot{Q}_{cooling}$  is the dehumidifier cooling output and  
333  $\dot{Q}_{reg}$  is the regenerator thermal input.

334

$$335 \quad COP_{th} = \frac{\dot{Q}_{cooling}}{\dot{Q}_{reg}}$$

336

**3**

337

338 In order for the desiccant air conditioning system to operate continuously, the mass of  
339 vapour absorbed by the desiccant solution in the dehumidifier must be removed in the  
340 regenerator. Adequate regenerator thermal input is therefore required.

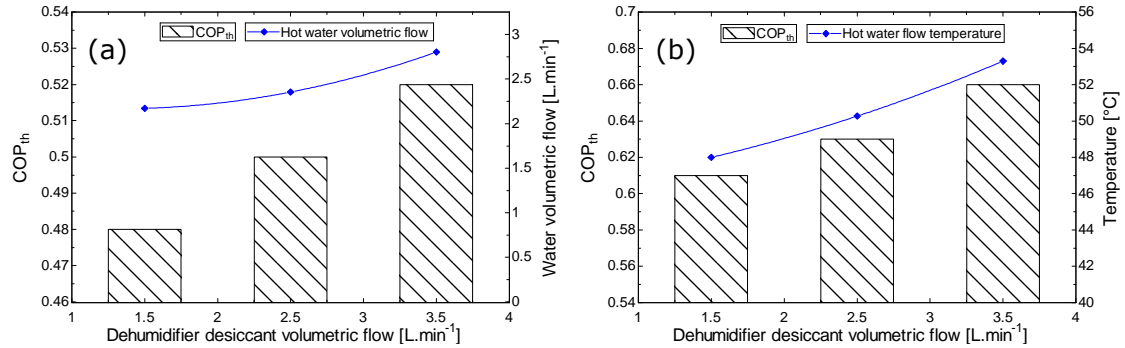
341

342 Figure 6 shows the variation in liquid desiccant system performance with dehumidifier  
343 desiccant solution volumetric flow. The assessment has been performed at a set desiccant  
344 system inlet air condition of 30°C and 70% relative humidity. Figure 6a shows the hot  
345 water volumetric flow in the heating circuit needs to be increased as the dehumidifier  
346 desiccant solution volumetric flow is increased. At a desiccant flow of 1.5L.min<sup>-1</sup> the hot  
347 water volumetric flow is 2.17L.min<sup>-1</sup>. As desiccant volumetric flow increases to 2.5L.min<sup>-1</sup>  
348 the hot water volumetric flow needs to be increased to 2.353L.min<sup>-1</sup> and at a desiccant  
349 volumetric flow of 3.5L.min<sup>-1</sup> the hot water volumetric flow needs to be increased to  
350 2.802L.min<sup>-1</sup>. At a set hot water volumetric flow of 2L.min<sup>-1</sup> Figure 6b shows that the hot  
351 water flow temperature needs to be increased as the dehumidifier desiccant solution  
352 volumetric flow is increased, from 48°C at 1.5L.min<sup>-1</sup>, 50.27°C at 2.5L.min<sup>-1</sup> and to 53.3°C  
353 at 3.5L.min<sup>-1</sup>. At a set inlet dehumidifier desiccant solution volumetric flow of 3.5L.min<sup>-1</sup>,  
354 the  $COP_{th}$  values seen in Figure 6a and Figure 6b are 0.52 and 0.66 respectively. Over the



355 dehumidifier desiccant solution volumetric flow range investigated, the electrical COP  
356 ( $COP_{el}$ ) varies between 5.7 and 7.1.

357



358

359 **Figure 6 Liquid desiccant system performance with dehumidifier desiccant solution**  
360 **volumetric flow**

361

362 The experimental evaluation in [39] validates the concept of integrating SOFC and liquid  
363 desiccant air conditioning technology into an efficient and effective tri-generation system.  
364 This is primarily due to good dehumidification capacity and effective regeneration of the  
365 potassium formate solution at a 0.65-0.7 solution mass concentration. Encouraging COP<sub>th</sub>  
366 values in the range of 0.4-0.66 have been demonstrated when operating with a low grade  
367 thermal input (45-60°C) typical of a SOFC CHP system of the studied scale.

368

369

### 370 **2.3 Energetic performance analysis assessment**

371 Due to the SOFC's operational issues it was not available for tri-generation system  
372 integration. As a result, the paper uses empirical SOFC component data presented in  
373 section 2.1 and liquid desiccant component data presented in section 2.2 and [39] to  
374 perform a theoretical integration analysis of the novel system. Although the paper uses  
375 empirical SOFC and liquid desiccant component data to perform the theoretical integration  
376 analysis, the technical feasibility of tri-generation system integration is practical. This is  
377 because both the SOFC thermal output and liquid descant thermal input are both  
378 considered low-temperature (40 - 60°C) and operate at atmospheric pressure.  
379 Furthermore, in a domestic building context, the SOFC and liquid desiccant components  
380 can be connected using standard heating system copper/plastic pipe. Similarly, typical  
381 domestic heating system three port solenoid valves control the flow of thermal energy  
382 between the SOFC component and domestic hot water / liquid desiccant regeneration  
383 requirements.

384

385 Using empirical WHR flow water temperature from the SOFC CHP system, shown in Figure  
386 4b, and empirical liquid desiccant component data from [39], the COP<sub>th</sub> and resulting  
387 cooling output of the liquid desiccant system, operating with the SOFC CHP system's  
388 thermal output, is determined. Using these data tri-generation system efficiency ( $\eta_{tri}$ ) is  
389 calculated. Tri-generation system efficiency is defined in Equation 4 as the ratio of the  
390 overall tri-generation system energy conversion (electricity and heating and/or cooling)  
391 over the total amount of energy input to the system.

392

$$393 \quad \eta_{tri} = \frac{\dot{W}_{elec,AC} + \dot{Q}_{WHR,net} + \dot{Q}_{cooling}}{\dot{Q}_{CH_4}}$$

394

**4**

395

396 Table 1 presents the results from the integration of the SOFC and liquid desiccant  
397 components into a complete tri-generation system at a net  $1.5\text{kW}_e$  and  $2\text{kW}_e$  output, with  
398 a desiccant system inlet air condition of  $30^\circ\text{C}$  and 70% relative humidity. In order to obtain  
399 balanced desiccant system operation, the desiccant solution volumetric flow in the  
400 dehumidifier and regenerator (shown in Table 1) has been adjusted according to the  
401 thermal output available from the SOFC. The parasitic energy consumption (110W) of the  
402 liquid desiccant system has been included in the evaluation.

403

404

405 **Table 1 Tri-generation system energetic performance**

<b>Variable</b>	<b>1.5kW<sub>e</sub></b>	<b>2kW<sub>e</sub></b>
$\eta_{\text{elec}} (\%)$	60	56
$\dot{Q}_{\text{CH}_4} (W)$	2500	3571
$\dot{Q}_{\text{WHR}} (W)$	540	1000
$T_{\text{WHR,flow}} (^\circ C)$	48.87	52.16
$\eta_{\text{CHP}} (\%)$	81.6	84
Desiccant volume (L.min <sup>-1</sup> )	1.74	3.16
COP <sub>th</sub>	0.614	0.649
$\dot{Q}_{\text{cooling}} (W)$	332	649
Dehumidifier <i>MRR</i> (g.s <sup>-1</sup> )	0.2515	0.2941
$\eta_{\text{tri}} (\%)$	68.9	71.1
$\Delta\% \text{ PED (CHP/TRI)}$	51.41 / 46.98	50.21 / 46.79
$\Delta\% \text{ Cost (CHP/TRI)}$	62.84 / 60.67	61.53 / 60.53
$\Delta\% \text{ Emissions (CHP/TRI)}$	51.21 / 68.96	50.01 / 68.26
<b>Electrical import cost and emission factor = 0.172£.kWh<sup>-1</sup> [40]</b>		
<b>and 0.555kgCO<sub>2</sub>.kWh<sup>-1</sup> [41] / Natural gas import cost and</b>		
<b>emission factor = 0.0421 £.kWh<sup>-1</sup> and 0.184kg CO<sub>2</sub>.kWh<sup>-1</sup> [42]</b>		

406

407 The system integration, based on empirical data, demonstrates high tri-generation system  
 408 efficiency in the range of 68-71% is attainable when combining SOFC and liquid desiccant  
 409 air conditioning technology. The SOFC unit has a low heat to power ratio, particularly at  
 410 the 1.5kW<sub>e</sub> condition, this is because it is an electrically optimised device (fuel utilisation  
 411 of ~85%). As a result, there is limited thermal output available for desiccant solution  
 412 regeneration. However, the liquid desiccant system, operating with a potassium formate  
 413 solution at a 0.65–0.7 solution mass concentration, has a low regeneration temperature  
 414 requirement, and thus makes good use of the low-grade heat output from the SOFC to

415 generate a meaningful quantity of dehumidification/cooling. At the  $2\text{kW}_e$  condition,  
416 electrical efficiency is lower, but the thermal efficiency is higher. As a result, almost  $650\text{W}$   
417 of cooling is produced. The inclusion of liquid desiccant air conditioning technology  
418 provides an efficiency increase of 9-15% compared to SOFC electrical operation only,  
419 demonstrating the potential of the system in building applications that require  
420 simultaneous electrical power, heating and/or dehumidification/cooling. The performance  
421 of the novel tri-generation system is competitive with other systems of this capacity  
422 reported in the literature [7, 9, 22, 43].

423

424 Table 1 shows that CHP and tri-generation efficiency is highest for the  $2\text{kW}_e$  case. However  
425 the primary energy demand (PED), cost and emission savings, compared to an equivalent  
426 base case system are highest for the  $1.5\text{kW}_e$  case. The base case system is defined as a  
427 conventional separate system, comprising grid electricity, natural gas fired boiler and  
428 electrically driven vapour compression system (VCS). The capacities of the base case  
429 system components are assumed equal to the respective electrical ( $1.5\text{kW}_e / 2.0\text{kW}_e$ ),  
430 heating and cooling capacities of the tri-generation system employed in the comparison.  
431 The electrical efficiency of the base case system has been assumed as 33%, a figure  
432 considering the efficiency of utility scale electrical generation plus transmission losses [9].  
433 The thermal efficiency of the gas fired boiler has been assumed as 90%. The electrical  
434 coefficient of performance ( $\text{COP}_{el}$ ) of the VCS is assumed constant at 2 [44]. Thus, the  
435 overall efficiency of the base case system can be calculated for any given electrical, heat  
436 and cooling output from the SOFC CHP / tri-generation system. Table 1 lists the associated  
437 cost and emission factors of grid electricity and natural gas used in the assessment. These  
438 are typical of the UK. Because electricity has a higher associated cost and emission  
439 compared to natural gas, greater savings are made for the  $1.5\text{kW}_e$  case due to the higher  
440 electrical efficiency. In tri-generation cooling mode, relative cost and emission reductions  
441 compared to the base case system for the  $1.5\text{kW}_e$  and  $2\text{kW}_e$  cases are around 60% and

442 70% respectively, demonstrating the potential of a first-of-its-kind SOFC liquid desiccant  
443 tri-generation system for building applications.

444

445 Section 2 has validated, empirically, the integration of SOFC and liquid desiccant  
446 technology into an efficient and effective tri-generation system. The energetic performance  
447 analysis demonstrates high tri-generation system efficiency is attainable at low system  
448 capacities. The encouraging performance is primarily due to the high electrical efficiency  
449 of the SOFC and the reasonable  $COP_{th}$  of the liquid desiccant system when operating on  
450 low grade waste heat. The operational issues encountered with the SOFC illustrate the real  
451 challenge of fuel cell deployment in the built environment. Reliability, durability and cost  
452 currently pose a great barrier to fuel cell's wider use. Not until these issues are addressed  
453 will the operational advantages of fuel cells operating in the built environment be fully  
454 realised.

## 455 **3 Emission and economic performance analysis** 456 **assessment**

457 The aim of this section is to conduct a detailed economic and emission performance  
458 analysis assessment of the novel SOFC liquid desiccant tri-generation system. This is to  
459 determine whether it is a viable alternative to other comparable systems. The assessment  
460 uses the SOFC tri-generation system performance data presented in Table 1 operating at  
461 a 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> capacity, and compares it to an equivalent base case system  
462 comprising grid electricity, natural gas fired boiler and electrically driven VCS. As in the  
463 energetic analysis, presented in section 2.3, the capacities of the base case system  
464 components are assumed equal to the respective electrical (1.5kW<sub>e</sub> / 2.0kW<sub>e</sub>), heating  
465 and cooling capacities of the tri-generation system employed in the comparison. The  
466 electrical efficiency of the base case system has been assumed as 33%, thermal efficiency  
467 of the gas fired boiler has been assumed as 90% and the COP<sub>el</sub> of the VCS is assumed  
468 constant at 2.

469

### 470 **3.1 Economic assessment**

471 In this section, an economic assessment of the novel SOFC liquid desiccant tri-generation  
472 system operating within a UK and worldwide economic climate is presented. The economic  
473 assessment compares the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> capacity tri-generation systems to an  
474 equivalent base case system over a 15 year time period. The economic evaluation metrics  
475 used are: net present cost (NPC), equivalent uniform annual cost (EUAC) and simple pay-  
476 back period (SPBP). The unit cost of electricity, unit cost of natural gas and the capital  
477 cost of the SOFC are varied, in a reasonable range, to carry out a sensitivity analysis of  
478 the NPC and SPBP. Using electrical unit cost data published by the International Energy  
479 Agency [45], the economic performance of the tri-generation system in the context of  
480 different countries is presented.

481

482 **3.1.1 Economic assessment metrics**

483 NPC, EUAC and SPBP are used to assess the economic performance of the novel SOFC  
484 liquid desiccant tri-generation system compared to a base case system.

485

486 **3.1.1.1 Net present cost (NPC)**

487 Net present value (NPV) is an economic tool used to equate the total cost of a project over  
488 a specified time period to the total cost today, taking in to account the time value of  
489 money. NPV is a good indicator of how much value an investment or project brings to an  
490 investor, and is widely used in economic engineering to assess feasibility. However, there  
491 are many kinds of systems or projects, such as the SOFC tri-generation system, where  
492 there are no sales or incomes. In this case it is common to use net present cost (NPC).  
493 Equation 5 is used to calculate NPC [23].

494

495 
$$\text{NPC} = \sum_{t=0}^N \frac{AA_{TC}}{(1 + i_r)^n} + I_{cc}$$

496

**5**

497

498  $AA_{TC}$  is the adjusted annual total costs (£),  $i_r$  is the interest rate,  $n$  is the year number and  
499  $I_{cc}$  is the initial capital cost (£). Selection of a suitable interest/discount rate is based upon  
500 risk, opportunity cost or an alternative investment. In engineering based analysis 7% is a  
501 widely used value [23]. If inflation is being considered, the adjusted annual total cost  
502 ( $AA_{TC}$ ) is calculated using Equation 6.

503

504 
$$AA_{TC} = A_{TC}(1 + i_f)^n$$

505

**6**

506



507  $A_{TC}$  is the non-adjusted annual total costs (£),  $i_f$  is the inflation rate and  $n$  is the year  
508 number. The scrap value (SV) of the system at the end of the project's life should be  
509 considered, and subtracted from the final expenditure. In NPC analysis the annual total  
510 expenditure or costs ( $AA_{TC}$ ) are given as positive figures, and thus the NPC at the end of  
511 a system lifetime will be positive. When two or more systems are being evaluated over  
512 the same time period, the system with the lowest NPC should be selected.

513

### 514 **3.1.1.2 Equivalent uniform annual cost (EUAC)**

515 The equivalent uniform annual cost (EUAC) is the annual cost of the project or system  
516 equivalent to the discounted total cost or NPC. EUAC is calculated by multiplying the NPC  
517 by the capital recovery factor (CRF) as shown in Equation 7.

518

$$519 \quad EUAC = NPC \left[ \frac{i_r(1 + i_r)^n}{(1 + i_r)^n - 1} \right]$$

520

**7**

521

### 522 **3.1.1.3 Simple pay-back period (SPBP)**

523 The simple pay-back period (SPBP), shown in Equation 8, is used to determine the time  
524 required to recoup the funds expended in an investment, or to reach the break-even point.  
525 Generally, in engineering projects investors consider a SPBP of five years as acceptable.  
526 The SPBP does not account for the time value of money; however it is a useful tool for the  
527 quick assessment of whether a project or system is a viable option.

528

$$529 \quad SPBP = \frac{I_{cc}}{\text{Annual savings}}$$

530

**8**

531

532  $I_{cc}$  is the is the initial capital cost of the system (£). Annual savings are calculated by  
533 subtracting the annual total cost ( $A_{TC}$ ) of the base case system from the annual total cost  
534 of the proposed system.

535

536 Table 2 lists the constants used for the economic assessment of the novel tri-generation  
537 and equivalent base case system. Where relevant, these constants are adopted in the  
538 environmental assessment in section 3.2.

539

540

541 **Table 2 Economic and environmental assessment constants**

<b>Constant</b>	<b>Value</b>	<b>Ref</b>
System lifetime ( $N$ )	15 years	[46]
SOFC CHP system cost & installation	£20,950	[4]
Liquid desiccant system cost	£2700	
Potassium formate solution cost (20kg)	£235	
SOFC stack replacement cost and system maintenance	£5000 / 5 years	
UK micro-CHP feed-in-tariff (FiT)	0.125 £.kWh <sup>-1</sup>	[47]
Boiler and installation cost	£1300	
VCS capital cost	£500 / kW cooling	[48]
Annual VCS maintenance cost	10% of VCS capital cost	
Annual gas check	£60	
Average natural gas unit cost	0.0421 £.kWh <sup>-1</sup>	[42]
Average electricity unit cost	0.172 £.kWh <sup>-1</sup>	[40]
Average yearly VCS COP <sub>el</sub>	2	[44]
Average heating system efficiency (boiler + distribution)	85.5%	
Annual cooling time required	1200hr.yr <sup>-1</sup>	[23]
Interest rate ( $i_r$ )	7% (constant)	
Inflation rate ( $i_f$ )	3% (constant)	
Scrap value (SV)	10% of capital cost	[23]

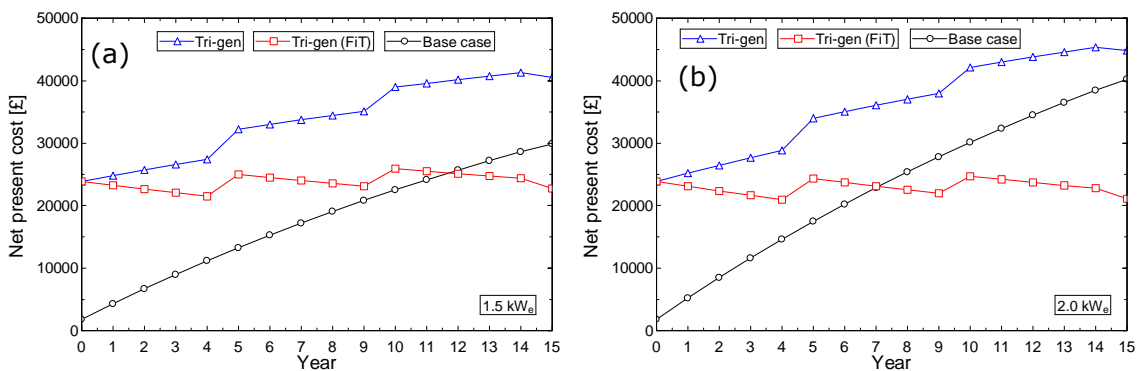
542

543 In the UK, fuel cell CHP of 2.0kW<sub>e</sub> or less qualifies for the micro-generation FiT [47]. Under  
544 this scheme, the UK government pays 0.125£.kWh<sup>-1</sup> of electricity generated, regardless  
545 of whether it is consumed or exported. Where relevant, the economic assessment  
546 considers the FiT.

547 **3.1.2 Economic assessment results**

548 Figure 7a and Figure 7b show the respective NPC of the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-generation  
 549 systems and equivalent base case systems over a 15 year period. The assessment  
 550 considers the performance of the tri-generation system with and without FiT support. The  
 551 initial NPC in year 0 is the system investment cost, which is much higher for the tri-  
 552 generation system compared to the base case. The NPC of the systems increases over  
 553 time due to the annual operating costs. The tri-generation system with FiT support displays  
 554 only a marginal increase in the NPC over the 15 year period because the FiT almost pays  
 555 for the annual operating cost of the system. For the tri-generation systems, an NPC spike  
 556 is seen at year five and ten; this is due to the stack replacement requirement. The small  
 557 dip in NPC at year 15 is due to the scrap value of the systems.

558



559

560 **Figure 7 NPC comparison at a 1.5kW<sub>e</sub> in (a) and 2.0kW<sub>e</sub> in (b) capacity between the tri-**  
 561 **generation system with and without the FiT and the base case system**

562

563 Table 3 presents the NPC, EUAC and SPBP results for the tri-generation and base case  
 564 systems.

565

566

567 **Table 3 Economic assessment results**

	<b>1.5kW<sub>e</sub> tri</b>	<b>1.5kW<sub>e</sub> base</b>	<b>2.0kW<sub>e</sub> tri</b>	<b>2.0kW<sub>e</sub> base</b>
NPC (no FiT)	£40544	£29898	£44818	£40257
NPC (FiT)	£22770	---	£21120	---
EUAC (no FiT)	£4451	£3283	£4921	£4420
EUAC (FiT)	£2500	---	£2319	--
SPBP (no FiT)	19.8 years	---	14.7 years	---
SPBP (FiT)	9.8 years	---	7.3 years	--

568

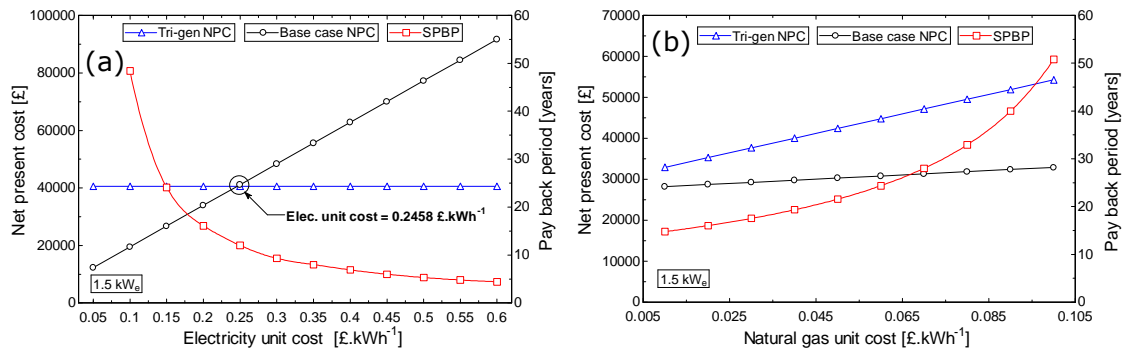
569 Without FiT support, the NPC of both the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-generation systems are  
570 26% and 10% higher than the equivalent base case systems respectively. However, with  
571 FiT support there is a 31% and 90% reduction in the NPC of the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-  
572 generation systems compared to the equivalent base case systems respectively. When the  
573 FiT is considered the annual revenue means the tri-generation systems have a favourable  
574 NPC compared to the base case in year 11.5 for the 1.5kW<sub>e</sub> tri-generation system and  
575 year 7 for the 2.0kW<sub>e</sub> tri-generation system. The NPC of the 1.5kW<sub>e</sub> tri-generation system  
576 is lower than the 2.0kW<sub>e</sub> tri-generation system when no FiT is considered, but higher when  
577 the FiT is considered. The higher NPC seen in the 2.0kW<sub>e</sub> tri-generation system without  
578 FiT is due to the higher fuel input requirement, and thus higher annual operating costs.  
579 However, when FiT is considered the 2.0kW<sub>e</sub> tri-generation system provides greater annual  
580 revenues and thus a lower NPC. Both with and without FiT support, the 2.0kW<sub>e</sub> tri-  
581 generation system has a lower SPBP compared to the 1.5kW<sub>e</sub> tri-generation system.  
582 Although the 2.0kW<sub>e</sub> tri-generation system suffers an electrical efficiency reduction and  
583 thus a greater fuel input, the higher electrical capacity means it is offsetting more grid  
584 derived electricity. Per kWh, grid derived electricity has a higher associated cost compared  
585 to natural gas, and thus the SPBP of the 2.0kW<sub>e</sub> tri-generation system is lower.  
586 Furthermore, the 2.0kW<sub>e</sub> tri-generation system has a greater cooling output, and thus the

587 equivalent base case system requires more grid derived electricity for the VCS. In all cases  
588 the tri-generation systems generate annual operating cost savings compared to the base  
589 case systems. The high NPC and SPBP of the tri-generation systems are therefore due to  
590 the capital cost of the SOFC.

591

592 Figure 8a compares the economic performance of the 1.5kW<sub>e</sub> tri-generation system and  
593 equivalent base case system with respect to the unit cost of electricity. No FiT is  
594 considered. The unit cost of electricity does not affect the NPC of the tri-generation system,  
595 only the base case system. As the unit cost of electricity increases from 0.05 to 0.6£.kWh<sup>-1</sup>  
596 the NPC of the base case system increases, and thus the economic feasibility of the tri-  
597 generation system improves. At an electrical unit cost of 0.2458£.kWh<sup>-1</sup> there is a NPC  
598 break-even point between the tri-generation and base case system. Above 0.2458£.kWh<sup>-1</sup>  
599 the 1.5kW<sub>e</sub> tri-generation system has a better (lower) NPC and should be considered  
600 over the base case system. At an electrical unit cost of 0.2458£.kWh<sup>-1</sup> the tri-generation  
601 system has a SPBP of 12 years. For the SPBP to fall below five years, an electrical unit  
602 cost of 0.55£.kWh<sup>-1</sup> is required. In comparison, the 2.0kW<sub>e</sub> tri-generation system has a  
603 NPC break-even electrical unit cost of 0.1955£.kWh<sup>-1</sup>. Due to the continual rise in utility  
604 electricity prices, the break-even electrical unit cost which produces tri-generation system  
605 economic feasibility are realistic and not far off current prices as demonstrated in Figure  
606 9.

607



608

609 **Figure 8 NPC and SPBP comparison between the 1.5kW<sub>e</sub> tri-generation system and base**  
 610 **case system with (a) electricity unit cost, and (b) natural gas unit cost**

611

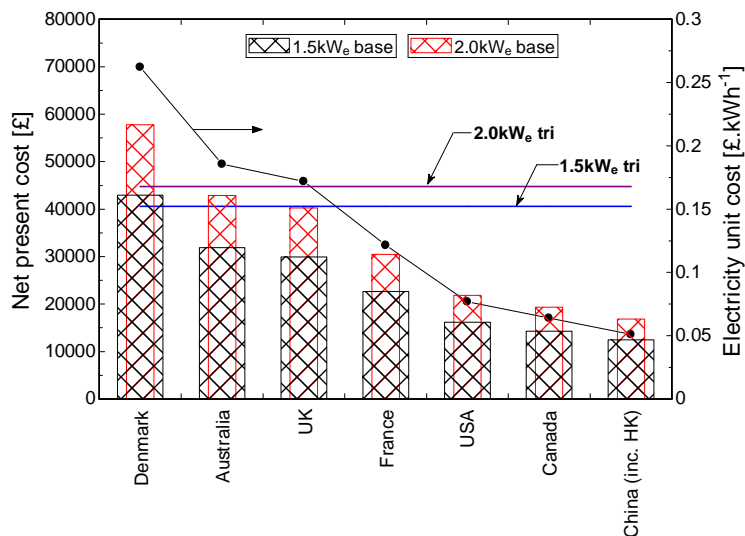
612 Figure 8b compares the economic performance of the 1.5kW<sub>e</sub> tri-generation system and  
 613 equivalent base case system with respect to the unit cost of natural gas. No FiT is  
 614 considered. Natural gas unit cost affects both the tri-generation and base case system's  
 615 NPC. As the unit cost of natural gas increases from 0.01 to 0.1£.kWh<sup>-1</sup> the NPC of both  
 616 the tri-generation and base case systems increase. The tri-generation system is more  
 617 sensitive to changes in the unit cost of natural gas compared to the base case system due  
 618 to a greater proportionate demand. For the 1.5kW<sub>e</sub> tri-generation system there is not a  
 619 natural gas unit cost that makes the tri-generation system favourable i.e. a NPC break-  
 620 even point. As the natural gas unit price is increased the reduction in NPC between the  
 621 base case and tri-generation system increases, and as a result the SPBP increases. As the  
 622 natural gas unit cost is increased from 0.01£.kWh<sup>-1</sup> to 0.1£.kWh<sup>-1</sup> the tri-generation  
 623 system SPBP increases from 14 years to 51 years. The 2.0kW<sub>e</sub> tri-generation system does  
 624 have a NPC break-even natural gas unit cost of 0.0233£.kWh<sup>-1</sup>. However this is very low  
 625 and not realistic in the current economic climate where fossil fuels have such value.

626

627 Figure 9 shows the NPC of a 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> equivalent base case system in a range  
 628 of different counties with respect to electrical unit cost data published by the International  
 629 Energy Agency [45]. The NPC of the respective tri-generation systems (horizontal lines)  
 630 are plotted to indicate which countries the novel system is currently economically viable  
 631 in. Based on the current assumptions, the novel tri-generation system (1.5kW<sub>e</sub> and

632 2.0kW<sub>e</sub>) is only economically viable in Denmark where the unit cost of electricity is  
 633 0.262£.kWh<sup>-1</sup>. The largest different between the NPC of the tri-generation and base case  
 634 system is in China, where the unit cost of electricity is as low as 0.0512£.kWh<sup>-1</sup>. Based  
 635 purely on economic performance, the novel tri-generation system is more suited to  
 636 European locations, where on average the unit cost of electricity is higher than Asia and  
 637 the Americas. As discussed in Figure 8a, the 2.0kW<sub>e</sub> tri-generation system has a lower  
 638 NPC break-even electrical unit cost. As a result, the 2.0kW<sub>e</sub> system is almost feasible in  
 639 the current Australian economic climate. Section 3.2 assesses the environmental  
 640 performance of the tri-generation system in the same countries. The aim is to highlight  
 641 any geographical similarities or differences between the economic and environmental  
 642 feasibility of the novel system.

643



644

645 **Figure 9 NPC comparison between the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-generation system and**  
 646 **base case system with respect to country of operation**

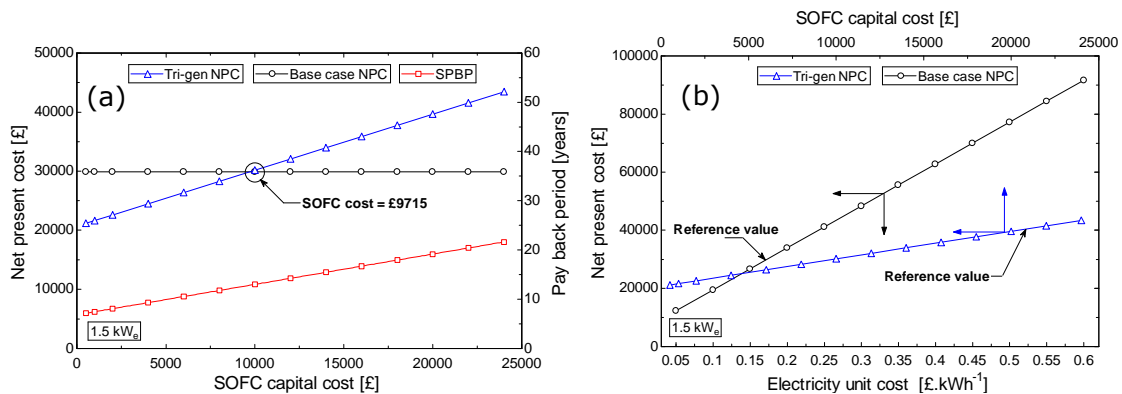
647

648 Figure 10a shows the NPC of the 1.5kW<sub>e</sub> tri-generation system and equivalent base case  
 649 system with respect to the SOFC capital cost. The capital cost of the tri-generation system,  
 650 operating at a 1.5kW<sub>e</sub> capacity, needs to be £9715 or less for it to be economically viable  
 651 compared to the base case system. At a 2.0kW<sub>e</sub> capacity the required SOFC capital cost is  
 652 £16135. As the capital cost of the SOFC increases, the SPBP increases. At the 1.5kW<sub>e</sub> NPC



653 break-even point of £9715 the SPBP is 12.8 years. Although not shown in Figure 10a,  
 654 variation in the liquid desiccant system capital cost has a negligible impact on NPC and  
 655 SPBP. Reducing the liquid desiccant system capital cost by 50% results in a 4.5% reduction  
 656 in the SPBP. Reducing the SOFC capital cost by 50% results in a 32% reduction in the  
 657 SPBP, demonstrating that tri-generation system economic viability presides with reducing  
 658 the capital cost of the SOFC.

659



660

661 **Figure 10 NPC and SPBP comparison between the 1.5kW<sub>e</sub> tri-generation system and**  
 662 **base case system with (a) SOFC capital cost, and (b) electricity unit cost and SOFC**  
 663 **capital cost**

664

665 Figure 10b shows the NPC for the 1.5kW<sub>e</sub> tri-generation and equivalent base case system  
 666 with respect to SOFC capital cost and unit cost of electricity respectively. Up to an  
 667 electricity unit cost of 0.11£.kWh<sup>-1</sup> the base case system is always better than the tri-  
 668 generation system. However at the electrical unit cost reference value of 0.172£.kWh<sup>-1</sup>,  
 669 the 1.5kW<sub>e</sub> tri-generation system is competitive when the SOFC capital cost is less than  
 670 £9500. At the intersection point, the tri-generation system is economically favourable if  
 671 the SOFC capital cost is less than £4750 with an electrical unit cost of greater than  
 672 0.14£.kWh<sup>-1</sup> (i.e. UK, Australia).

673

674 **3.1.3 Economic assessment conclusions**

675 Within a UK economic climate it has been demonstrated that the NPC of the novel tri-  
676 generation system is only favourable when FiT is considered, in which case the 2.0kW<sub>e</sub>  
677 output is best. The tri-generation system has a lower annual operating cost than the base  
678 case; however, NPC and SPBP analysis demonstrates that the novel system is currently  
679 uneconomical. This is primarily due to the SOFC capital cost and the requirement of stack  
680 replacement, not the liquid desiccant unit capital cost. In the current UK economic climate  
681 the SOFC capital cost needs to be less than £9000 for the tri-generation system to be  
682 competitive. This is a cost estimate supported by Staffell and Green [49] in their economic  
683 evaluations of SOFC CHP systems. PEMFC technology has demonstrated considerable price  
684 reduction over the last six years. The 1kW<sub>e</sub> Panasonic unit had a unit cost of £27,300 in  
685 2009, but as of 2015 it is being supplied to energy companies for £3600. CFCL forecast  
686 that they can supply the BlueGEN SOFC unit for £5200 once in mass production. Currently,  
687 the much lower PEMFC unit costs are due to the technology being around five years ahead  
688 of SOFC [4]. Many commercial developers believe the future of cheaper fuel cell technology  
689 lies with SOFC systems as they do not need to use expensive platinum catalysts like  
690 PEMFC. Based on the example of PEMFC cost reductions, significant SOFC cost reductions  
691 can be anticipated. The SOFC cost target figures presented are therefore sensible and  
692 could be realistically achieved in the next five to ten years, making the tri-generation  
693 system economically viable in almost all cases.

694

695 Currently, the tri-generation system becomes competitive, and even demonstrates good  
696 profitability, compared to the base case system when a government's financial support,  
697 such as the FiT, is considered. However, with continued instability in governmental support  
698 for low carbon sustainable energy, the novel tri-generation system needs to become  
699 economically viable in its own right for it to be considered a viable alternative to  
700 conventional energy supply. Furthermore, a 2.0kW<sub>e</sub> base load capacity is large, and  
701 effective electrical utilisation may be problematic, particularly in a domestic building

702 context. With the possibility of future withdrawal of the UK government's financial support  
703 for fuel cell CHP technology, maximising in-house electrical consumption will be essential  
704 to maintain economic viability. A lower electrical capacity fuel cell would therefore be  
705 required. The Japanese domestic market, which is estimated to be ten years ahead of the  
706 European market, is now focussing domestic fuel cell CHP development at capacities of  
707 750W<sub>e</sub> [2], a possible insight into the future of where European domestic fuel cell  
708 development needs to go.

709

710 Like other small scale tri-generation systems presented in the literature, the economic  
711 performance of the SOFC liquid desiccant tri-generation system is most sensitive to the  
712 unit cost of natural gas [20]. The tri-generation system is economically superior compared  
713 to the base case system when the unit cost of electricity is greater than 0.24£.kWh<sup>-1</sup> and  
714 as a result Denmark is currently the only country investigated where the tri-generation is  
715 economically viable. However, with the extraction of easily accessible fossil fuels  
716 diminishing, the unit cost of electricity in many countries is set to continue to rise thus  
717 strengthening the economic case of the novel tri-generation system [50].

718

### 719 **3.2 Environmental assessment**

720 In this section, an environmental assessment of the novel tri-generation system operating  
721 within a UK energy system context is presented. The environmental assessment compares  
722 the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-generation system to an equivalent base case system. The  
723 evaluation metric used in the environmental assessment is the annual CO<sub>2</sub> emission. This  
724 is determined through the multiplication of the annual natural gas and electrical demand  
725 by their respective emission factors and summing the result. The emission factors of  
726 natural gas and electricity are varied, in a reasonable range, to carry out a sensitivity  
727 analysis of the environmental performance. Using electrical emission factor data published  
728 by Brander, Sood, Wylie, Haughton, and Lovell [51], the environmental performance of  
729 the tri-generation system in the context of different countries is presented. The constants

730 used for the environmental assessment of the novel tri-generation and equivalent base  
 731 case system are listed in Table 2. The emission factors used are based on a UK energy  
 732 system context, and are as follows:

733

- 734     ▪ Average natural gas emission factor: 0.184 kg CO<sub>2</sub>.kWh<sup>-1</sup> [42]
- 735     ▪ Average electricity emission factor: 0.555 kg CO<sub>2</sub>.kWh<sup>-1</sup> [41]

736

737 Table 4 presents the environmental assessment results. The 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-  
 738 generation systems produce a respective 51.3% and 50.2% reduction in annual CO<sub>2</sub>  
 739 emissions compared to the equivalent base case systems.

740

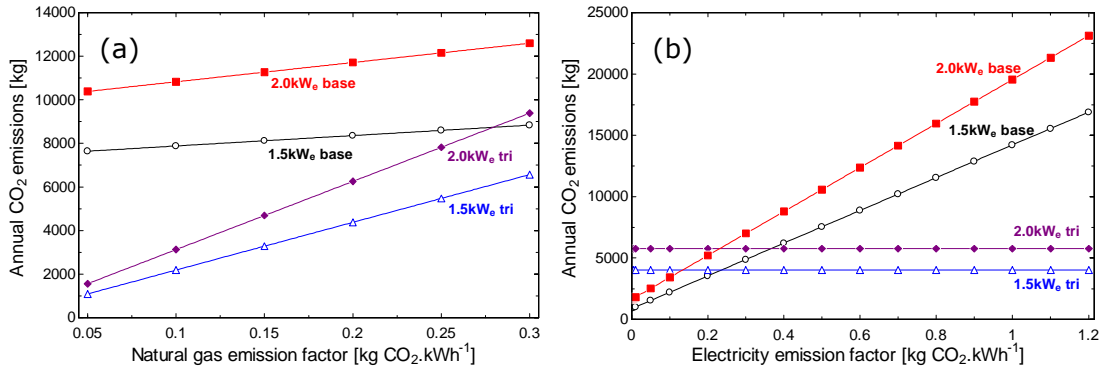
741 **Table 4 Environmental assessment results**

Annual emissions (kg CO <sub>2</sub> )	
1.5kW <sub>e</sub> tri	4030
1.5kW <sub>e</sub> base	8282
2.0kW <sub>e</sub> tri	5756
2.0kW <sub>e</sub> base	11567

742

743 Figure 11a shows the annual CO<sub>2</sub> emissions of the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-generation  
 744 systems and equivalent base case systems with respect to natural gas emission factor.  
 745 Over the investigated natural gas emission factor range of 0.05 to 0.3kgCO<sub>2</sub>.kWh<sup>-1</sup>, the  
 746 tri-generation system always has a lower annual CO<sub>2</sub> emission. Both the tri-generation  
 747 and base case systems have a natural gas requirement. However, the greater  
 748 proportionate natural gas demand in the tri-generation system means its annual CO<sub>2</sub>  
 749 emission reductions are more sensitive to changes in the natural gas emission factor.  
 750 Consequently, as the natural gas emission factor is increased, the relative reduction in  
 751 annual CO<sub>2</sub> emissions compared to the equivalent base case systems is diminished. The

752 2.0kW<sub>e</sub> tri-generation system is more sensitive to changes in the natural gas emission  
 753 factor than the 1.5kW<sub>e</sub> tri-generation system due to a lower electrical efficiency.  
 754



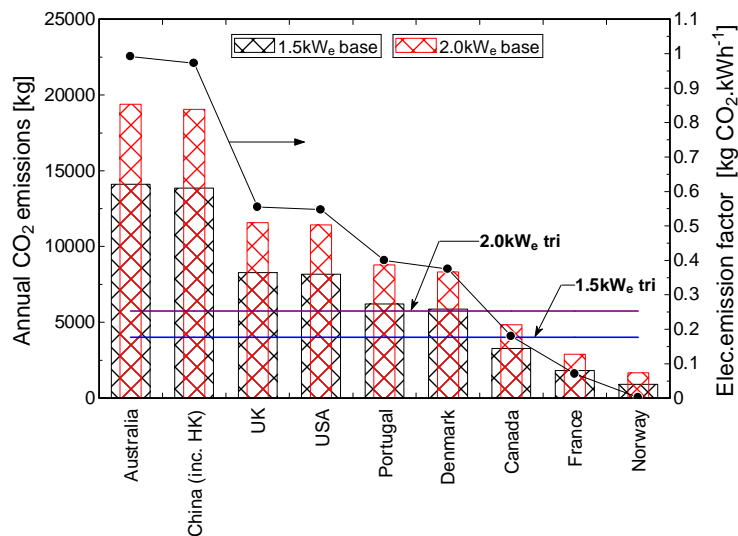
755  
 756 **Figure 11 Annual CO<sub>2</sub> emission comparison between the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-**  
 757 **generation systems and equivalent base case system with (a) natural gas emission**  
 758 **factor, and (b) electricity emission factor**

759  
 760 Figure 11b shows the annual CO<sub>2</sub> emissions of the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-generation  
 761 systems and equivalent base case systems with respect to electrical emission factor. The  
 762 tri-generation system has no electrical demand, and thus only the base case system is  
 763 affected by the electrical emission factor. The tri-generation systems have a lower annual  
 764 CO<sub>2</sub> emission compared to the equivalent base case systems when the electrical emission  
 765 factor is greater than 0.2363kgCO<sub>2</sub>.kWh<sup>-1</sup> for the 1.5kW<sub>e</sub> case and 0.2305kgCO<sub>2</sub>.kWh<sup>-1</sup> for  
 766 the 2.0kW<sub>e</sub> case.

767  
 768 Figure 12 shows the annual CO<sub>2</sub> emissions of the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> equivalent base case  
 769 systems in a range of different counties using electrical emission factor data published by  
 770 Brander et al. [51]. The annual CO<sub>2</sub> emissions of the respective tri-generation systems  
 771 (horizontal lines) are plotted to indicate the countries in which the novel system is  
 772 currently environmentally viable. The 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-generation systems are  
 773 feasible in all the countries investigated except France and Norway as these countries have  
 774 an average electrical emission factor of less than 0.1kgCO<sub>2</sub>.kWh<sup>-1</sup>. France and Norway

775 have an energy system that is largely characterised by the use of nuclear and renewables.  
 776 As a result, the average electrical emission factor is low. Figure 12 shows that the 1.5kW<sub>e</sub>  
 777 and 2.0kW<sub>e</sub> tri-generation system is most environmentally viable in Australia and China.  
 778 Australia and China generate a large proportion of their electricity from coal, which has a  
 779 high emission factor per kWh of electricity generated, and thus strengthens the  
 780 environmental benefit of adopting the novel tri-generation system. Based on the data  
 781 presented in Figure 9 and Figure 12, Denmark is currently the only country investigated  
 782 where the novel tri-generation system is both economically and environmentally viable.  
 783 Interestingly, the countries where the tri-generation system is not economically feasible  
 784 due to a low electrical unit cost are in general the countries in which the system is most  
 785 environmentally feasible i.e. Australia and China. This is primarily due to cheap electrical  
 786 generation from easily accessible, more polluting fuels such as low grade coal.

787



788

789 **Figure 12 Annual CO<sub>2</sub> emission comparison between the 1.5kW<sub>e</sub> and 2.0kW<sub>e</sub> tri-**  
 790 **generation systems and equivalent base case system with respect to country of**  
 791 **operation**

792

### 793 3.2.1 Environmental assessment conclusions

794 The environmental assessment has demonstrated that the tri-generation system is  
 795 environmentally viable in almost all scenarios. In a UK energy system context the tri-

796 generation system generates up to 51% annual CO<sub>2</sub> emission reductions compared to the  
797 base case. Over the investigated natural gas emission factor range, the tri-generation  
798 system is always superior. The tri-generation system's environmental performance is not  
799 directly influenced by changes in the electrical emission factor, however the base case is.  
800 As a result, changes in the electrical emission factor have a marked impact on the relative  
801 performance of the tri-generation system with respect to the base case system. The tri-  
802 generation system is environmentally viable when the electricity emission factor is greater  
803 than 0.23kg CO<sub>2</sub>.kWh<sup>-1</sup>. France and Norway have a large nuclear and renewable (hydro-  
804 electric) energy capacity. As a result, their electricity emission factor is low, and thus the  
805 tri-generation system does not provide an environmental benefit in such a setting.  
806 Countries such as Australia and China demonstrate the greatest environmental benefit  
807 from adopting the novel tri-generation system. As Berger [5] states, the move to a  
808 hydrogen economy and with it the transition from the use of hydrocarbon to pure  
809 hydrogen-fed fuel cells in the next 30 years provides the potential for highly efficient, zero  
810 carbon energy conversion. With such a transition the novel tri-generation system would  
811 be highly competitive in almost all scenarios.

## 812 **4 Conclusions**

813 This paper has served to provide a performance analysis assessment of a novel SOFC  
814 liquid desiccant tri-generation system for building applications. Using empirical SOFC and  
815 liquid desiccant component data, an energetic, economic and environmental performance  
816 analysis assessment of a first-of-its-kind system has been completed. No previous work  
817 on such a system has been identified in the literature. With reference to the paper's specific  
818 aims set out in the introduction, conclusions of the paper's performance analysis  
819 assessment are as follows:

820

821 (1) SOFC and liquid desiccant are a viable technological pairing in the development of  
822 an efficient and effective tri-generation system. High tri-generation efficiencies in  
823 the range of 68-71% are attainable. This is primarily due to the high electrical  
824 efficiency of the SOFC and the reasonable  $COP_{th}$  of the liquid desiccant system when  
825 operating on low grade waste heat.

826 (2) The inclusion of liquid desiccant air conditioning technology provides an efficiency  
827 increase of 9-15% compared to SOFC electrical operation only, demonstrating the  
828 potential of the system in building applications that require simultaneous electrical  
829 power, heating and/or dehumidification/cooling.

830 (3) Compared to an equivalent base case system, the tri-generation system is currently  
831 only economically viable with a government's financial support. SOFC capital cost  
832 and stack replacement are the largest inhibitors to economic viability.  
833 Environmental performance is closely linked to electrical emission factor, and thus  
834 performance is heavily country dependent.

835 (4) The countries, in which the system is environmentally viable, are in general the  
836 counties in which the system is not economically feasible. This is primarily due to  
837 the play off between cheap electrical generation from fossil fuels and more  
838 expensive cleaner electrical generation from renewables or nuclear.



839 (5) The economic and environmental feasibility of the novel tri-generation system will  
840 improve with predicted SOFC capital cost reductions and the transition to clean  
841 hydrogen production.

842

843 Although the novel tri-generation system concept has been demonstrated, future work  
844 needs to focus on improving the current unreliability and durability of fuel cell technology,  
845 along with reducing its capital cost.

846

847

## 848 **5 Nomenclature**

849  $AA_{TC}$  = Adjusted annual total costs (£)

850 CFCL = Ceramic Fuel Cells Ltd.

851 CHP = Combined heat and power

852  $COP_{el}$  = Electrical coefficient of performance

853  $COP_{th}$  = Thermal coefficient of performance

854  $c_{p,WHR}$  = Specific heat capacity of water in WHR circuit ( $J.kg^{-1}.K$ )

855 EUAC = Equivalent uniform annual cost (£)

856 FiT = Feed-in-tariff

857  $\eta_{elec}$  = Electrical efficiency (%)

858  $\eta_{tri}$  = Tri-generation efficiency (%)

859  $i_f$  = Inflation factor (%)

860  $i_r$  = Interest/discount rate (%)

861  $I_{cc}$  = Initial capital cost (£)

862 ICE = Internal combustion engine

863 MCFC = Molten carbonate fuel cell

864  $MRR$  = Moisture removal rate ( $g.s^{-1}$ )

865  $\dot{m}_{WHR}$  = Water mass flow rate in WHR circuit ( $kg.s^{-1}$ )

866  $n$  = Year number (Years)

867 NPC = Net present cost (£)

868 PAFC = Phosphoric acid fuel cell

869 PEMFC = Proton exchange membrane fuel cell

870 PED = Primary energy demand

871  $\dot{Q}_{cooling}$  = Dehumidifier cooling output (W)

872  $\dot{Q}_{CH_4}$  = Natural gas fuel input (W)

- 873  $\dot{Q}_{reg}$  = Regenerator thermal input (W)
- 874  $\dot{Q}_{WHR}$  = Waste heat recovered (W)
- 875  $\dot{W}_{elec,AC}$  = Net AC electrical power output (W)
- 876 SE = Stirling engine
- 877 SOFC = Solid oxide fuel cell
- 878 SPBP = Simple pay-back period (Years)
- 879 SV = Scrap value (£)
- 880  $T$  = Temperature (°C)
- 881 Tri = Tri-generation
- 882 VAS = vapour absorption cooling system
- 883 VCS = vapour compression system
- 884 WHR = Waste heat recovery

885

## 886 **6 Acknowledgements**

887 The research leading to these results has received funding from the European Union's  
888 Seventh Framework Programme (FP7/2007-2013) for the Fuel Cells and Hydrogen Joint  
889 Technology Initiative under grant agreement No. 303454. The authors would also like to  
890 thank the EPSRC CDT in Fuel Cells and Their Fuels for their continued financial and  
891 academic support.

892

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