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# Experimental Investigations of Polymer Hollow Fibre Heat Exchangers for Building Heat Recovery Application

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**Abstract:** Due to low cost, light weight and corrosion resistant features, polymer heat exchangers have been extensively studied by researchers with the aim to replace metallic heat exchangers in a wide range of applications. Although the thermal conductivity of polymer material is generally lower than the metallic counterparts, the large specific surface area provided by the polymer hollow fibre heat exchanger (PHFHE) offers the same or even better heat transfer performance with smaller volume and lighter weight compared with the metallic shell-and-tube heat exchangers. This paper presents the construction and experimental investigations of polypropylene based polymer hollow fibre heat exchangers in the form of shell-and-tube. The measured overall heat transfer coefficients of such PHFHEs are in the range of 258-1675W/m<sup>2</sup>K for water to water application. The effects of various parameters on the overall heat transfer coefficient including flow rates and numbers of fibres, the effectiveness of heat exchanger, the number of heat transfer unit (NTU), and the height of transfer unit (HTU) are also discussed in this paper. The results indicate that the PHFHEs could offer a conductance per unit volume of 4\*10<sup>6</sup>W/m<sup>3</sup>K, which is 2~8 times higher than the conventional metal heat exchangers. This superior thermal performance together with its low cost, corrosive resistant and light weight features make PHFHEs potentially very good substitutes for metallic heat recovery system for building application.

**Key words:** Polymer hollow fibre, heat recovery, heat exchanger, heat transfer, experimental testing

## Nomenclature

$A$  Heat transfer area (m<sup>2</sup>)

$C_p$  Specific heat (J/Kg K)

CUV Conductance per unit volume (W/m<sup>3</sup>K)

$D$  Tube/shell diameter (m)

$Gz$  Graetz number

HTU Height of transfer unit (m or cm)

$k$  Thermal conductivity (W/mK)

$L$  Length (m)

36	$\dot{m}$	Mass flow rate (kg/s)
37	N	Number of fibres inside the heat exchanger
38	NTU	Number of heat transfer unit
39	Nu	Nusselt number
40	$\Delta P$	Pressure drop (Pa)
41	Pr	Prandtl number
42	$Q$	Heat transfer rate (W)
43	$\dot{V}$	Volumetric flow rate (m <sup>3</sup> /s)
44	R	Thermal resistance (m <sup>2</sup> /KW)
45	Re	Reynolds number
46	St	Stanton number
47	$T$	Temperature (°C)
48	$U$	Overall heat transfer coefficient (W/m <sup>2</sup> K)
49	$V$	Volume (m <sup>3</sup> )

50

51 **Greek Letters/Subscripts**

52	$\alpha$	Surface to volume ratio (m <sup>2</sup> /m <sup>3</sup> )
53	c,i	Cold side inlet
54	c,o	Cold side outlet
55	$\varepsilon$	Heat exchanger effectiveness
56	i	Inside
57	$\lambda$	Packing fraction of a PHFHE equals to $ND_0^2/D_S^2$
58	h,i	Hot side inlet
59	h,o	Hot side outlet
60	lm	Logarithmic mean
61	o	Outside
62	ov	Overall
63	$\rho$	Density of the fluid (kg/m <sup>3</sup> )
64	s	Shell side

65	t	Tube side
66	u	Linear velocity inside the tube (m/s)
67	$\mu$	Dynamic viscosity of the fluid(kg/ms)
68	w	Wall

## 69 1. Introduction

70 In the era of rapid global economic development, the growing world energy use has triggered  
71 problems such as primary energy supply difficulties and world-wide environmental concerns  
72 (carbon emission, global warming, air pollution, etc). In developed countries, the energy  
73 consumption of buildings account for 20-40% of the total final energy consumption<sup>1</sup>. Heat  
74 recovery systems<sup>2</sup> in the form of air ventilation systems<sup>3-5</sup>, membrane heat exchangers<sup>6,7</sup>,  
75 metal heat exchanger<sup>8,9</sup> have been extensively studied by researchers with the aim to improve  
76 energy efficiency and reduce energy costs for building applications. Most of such heat  
77 recovery systems are made from metallic materials, which have the disadvantages in terms of  
78 weight and cost. In addition, specially treated metal heat exchanger is needed if the working  
79 fluids are corrosive. Moreover, the manufacturing process of metal materials consumes  
80 significant amount of primary energies, accompanied by carbon emissions. Given these  
81 considerations, it is desirable to find an alternative material for heat exchangers that can  
82 overcome these disadvantages and also acquire comparable heat exchange efficiency and be  
83 easily fabricated. This is where the use of polymer heat exchanger comes into place. With the  
84 advantages of greater fouling and corrosion resistance, greater geometric flexibility and ease  
85 of manufacturing, reduced energy of formation and fabrication, and the ability to handle  
86 liquids and gases (i.e, single and two-phase duties), polymer heat exchangers have been  
87 widely studied and applied in the field of evaporative cooling system<sup>10,11</sup>, micro-electronic  
88 cooling devices<sup>12,13</sup>, water desalination systems<sup>14,15</sup>, solar water heating systems<sup>16,17</sup>, liquid  
89 desiccant cooling systems<sup>18,19</sup>, etc. The detailed research progresses and various applications  
90 of polymer hollow fibre heat exchanger can be found in the review paper<sup>20</sup>. Most importantly,  
91 polymer materials can offer substantial weight, space, and volume savings, which make them  
92 more competitive compared with heat exchangers manufactured from many metallic alloys.  
93 Moreover, the energy required to produce a unit mass of polymers is about two times lower  
94 than common metals, making them environmentally attractive<sup>21</sup>.

95 One of the drawbacks of polymer materials are their relatively low thermal conductivities,  
96 typically in the range of 0.1 to 0.4 W/m<sup>2</sup>K, which is about 100-200 times lower than the  
97 metal materials. In order to overcome this obstacle and increase the thermal performance of  
98 polymer heat exchanger, researchers have studied the polymer heat exchangers with various  
99 configurations: gas to air heat exchanger with triangular channels<sup>22</sup>, shell and tube or  
100 immersion coil fluoropolymer heat exchanger<sup>23</sup>, air to water heat exchanger with rectangular  
101 channel plate<sup>24</sup>, plastic falling-film evaporator<sup>25</sup>. But the overall heat transfer coefficients  
102 achieved were still very low, which were in the range of 341-567 W/m<sup>2</sup>K, with the fibre  
103 outside diameter between 2.54mm and 9.53mm.

104 The relatively low overall heat transfer coefficients can be improved and reach values  
105 comparable to metal heat exchangers, when the heat exchanger is made from polymer micro-  
106 hollow fibre with fibre wall thickness below  $100\mu\text{m}$ <sup>25</sup>. Several researches have been focused  
107 on the heat transfer mechanism of polymer micro-hollow fibre heat exchangers (PHFHE),  
108 with inside and outside diameter (ID and OD) less than 0.1mm. Bourouni et al.<sup>26</sup> presented  
109 experimental data on a falling film evaporator and condenser made of 2.5 cm diameter  
110 circular PP tubes (wall thickness of 5 mm) used in an ‘aero-evapo-condensation process’ for  
111 desalination. The results showed that for the same thermal performance, such polymer heat  
112 exchanger was 2-3 times cheaper than its metal counterpart. Zarkadas and Sirkar<sup>27</sup> reported  
113 polymeric hollow fibre heat exchangers (PHFHE) for low temperature (up to 150-200°C)  
114 applications. The overall heat transfer coefficients for the water-water, ethanol-water, and  
115 steam-water systems reached 647-1314, 414-642, and 2000 W/(m<sup>2</sup>K), respectively. An  
116 olefin/paraffin distillation system using hollow fibre structured packings (HFSP) was  
117 proposed by Yang et al.<sup>28</sup>. This group of researchers recently scaled up the experiment and  
118 long-term operational testing results were obtained and reported (Yang et al.<sup>29</sup>). The results  
119 demonstrated that after long-term exposure to light hydrocarbon environments ( $\leq 70^\circ\text{C}$ ), the  
120 mechanical properties of the PP polymer did not degrade significantly. Astrouski I. et al.<sup>30</sup>  
121 studied the fouling effect of polymeric heat exchanger made from PP (inner and out fibre  
122 diameter of 0.461mm and 0.523mm respectively) for the purpose of cooling TiO<sub>2</sub> suspension.  
123 The experimental test results showed a very high overall heat transfer coefficient, with up to  
124 2100W/m<sup>2</sup>K for clean conditions and 1750W/m<sup>2</sup>K for dirty conditions at the flow velocity of  
125 0.05m/s. Zhao et al.<sup>31</sup> presented a numerical analysis of a novel PP hollow fibre heat  
126 exchanger for low temperature applications using FLUENT. The heat transfer coefficient of  
127 PP fibres was predicted to be achieved at 1109W/m<sup>2</sup>K with inside and outside fibre diameters  
128 of 0.6mm and 1mm respectively.

129 The lack of extensive experience and testing data for polymer hollow fibre plastic heat  
130 exchanger and the unwillingness of industry partners to depart from well established metal  
131 heat exchanger remain to be big barriers for the wide applications of this technology. With  
132 the aim to experimentally investigate the effects of various working flow rates and number of  
133 fibres on the overall heat transfer coefficients, and to validate the theoretical simulation  
134 model developed by the authors, three different modules of polymer hollow fibre heat  
135 exchanger (fibre ID of 450 $\mu\text{m}$  and OD of 550 $\mu\text{m}$ ) were fabricated and tested in the laboratory  
136 testing conditions. The effects of various parameters on the overall heat transfer coefficient  
137 including flow rates, numbers of fibres, the effectiveness of heat exchanger, the number of  
138 heat transfer unit (NTU), and the height of transfer unit (HTU) are discussed in this paper.  
139 The experimental obtained overall heat transfer coefficient and overall conductance per unit  
140 volume for PHFHE are compared with these of metal heat exchangers. The experimental  
141 uncertainties occurred associated with the measurement of flow rates and working fluid  
142 temperatures, etc. are also analysed.

143

## 144 2. Theory

145 Assuming there is no heat loss to the surrounding, the overall heat transfer rate  $Q$ , between  
 146 the shell side and tube side fluids, is defined by the flow rates of the hot and cold fluids flow  
 147 rates and their inlet and outlet temperatures, as shown in the following equation:

$$148 \quad Q = \dot{m}_t c_{p,t} (T_{c,o} - T_{c,i}) = \dot{m}_s c_s (T_{h,i} - T_{h,o}) \quad \text{Eq. (1)}$$

149 Where subscript  $t$  denotes tube side and  $s$  denotes shell side.

150 The overall heat transfer coefficient  $U$ , can be given by:

$$151 \quad U = Q / (A \Delta T_{lm}) \quad \text{Eq. (2)}$$

152 Where  $Q$  is an average heat transfer rate value between two fluids;

153  $A$  is the heat transfer area (for hollow fibre heat exchanger,  $A$  is the total inside surface area  
 154 of the hollow fibres);

155  $\Delta T_{lm}$  is the logarithmic mean temperature difference (LMTD), and is defined as:

$$156 \quad \Delta T_{lm} = \frac{\Delta T_1 - \Delta T_2}{\ln[\Delta T_1 / \Delta T_2]} \quad \text{Eq. (3)}$$

157 Here  $\Delta T_1$  and  $\Delta T_2$  are the temperature differences between two fluids at each end of a  
 158 heat exchanger. In our case, for counter-flow heat exchanger

$$159 \quad \Delta T_1 = T_{h,i} - T_{c,o} \quad \Delta T_2 = T_{h,o} - T_{c,i} \quad \text{Eq. (4)}$$

160 The heat exchanger effectiveness  $\varepsilon$ , number of transfer unit (NTU) and the height of transfer  
 161 unit (HTU) can be calculated using the following equations<sup>32</sup>:

$$162 \quad \varepsilon = \frac{U_i A_i \Delta T_{lm}}{C_{min} (T_{h,i} - T_{c,i})} \quad \text{Eq. (5)}$$

$$163 \quad NTU = \frac{U_i A_i}{C_{min}} = \frac{U_o A_o}{C_{min}} \quad \text{Eq. (6)}$$

$$164 \quad HTU = L / NTU \quad \text{Eq. (7)}$$

165 Where  $L$  is the length of the heat exchanger and  $C_{min}$  is given by:

$$166 \quad C_{min} = \{\dot{m}_t c_t, \dot{m}_s c_s\}_{min} \quad \text{Eq. (8)}$$

167 The performance comparison between PHFHEs and existing metal heat exchangers should be  
 168 made on a volumetric basis, so the so-called overall conductance per unit volume<sup>14</sup> (CUV) is  
 169 defined, which is the product of the heat transfer coefficient and the surface to volume ratio  $\alpha$ :

$$170 \quad CUV = \alpha U \quad \text{Eq. (9)}$$

171 CUV in this case expresses the total amount of heat transferred per unit time and unit volume.  
172 A higher CUV value indicates a more compact heat exchanger which can offer the same  
173 thermal performance, or a heat exchanger that transfers more heat for the same heat  
174 exchanger volume.

175 The surface to volume ratio  $\alpha$  of the PHFHE is the ratio between the fibre inside area to the  
176 volume of the heat exchanger, which can be calculated by:

$$177 \quad \alpha = \frac{A_i}{V} = \frac{4ND_i}{D_s^2} \quad \text{Eq.(10)}$$

178 In fluid dynamics, the Graetz number (Gz) is a dimensionless number that  
179 characterizes laminar flow in a conduit. This number is useful in determining the thermally  
180 developing flow entrance length in ducts. As stated by Hewit et al.<sup>33</sup>, small values of Gz (Gz  
181 < 20) indicates that radial temperature profiles are fully developed inside the laminar flow  
182 tube. The Gz number is defined as:

$$183 \quad G_z = \frac{D_H}{L} Re Pr \quad \text{Eq.(11)}$$

184 Where

185  $D_H$  is the diameter in round tubes or hydraulic diameter in arbitrary cross-section ducts (m);

186  $L$  is the length;

187  $Re$  is the Reynolds number and

188  $Pr$  is the Prandtl number.

189

190 The theoretical tube side pressure drop for a PHFHE can be calculated based on Darcy-  
191 Weisban Equation as stated by<sup>34</sup>:

$$192 \quad \Delta P = fL \frac{\rho u^2}{2d_h} \quad \text{Eq.(12)}$$

193 Where  $f$  is the flow resistance, also known as friction factor;

194  $\Delta P$  is the pressure drop of the tube side for PHFHE;

195  $\rho$  is the density of the water.

196 The shell side and tube side Reynolds number are calculated using following equation:

$$197 \quad Re = \frac{D * G}{\mu} \quad \text{Eq. (13)}$$

198 Where,  $D$  is fiber inside/outside diameter for tube/shell side Reynolds number;

199  $\mu$  is dynamic viscosity of the tube/shell side fluid for tube/shell side Reynolds number ;

200  $G$  is fluid mass velocity at the center line of the heat exchanger, detailed calculations could  
201 be referred to Kern<sup>35</sup>.

202 The relationship between the tube side Reynolds number and tube side linear velocity is  
203 described by Kern<sup>35</sup> as following:

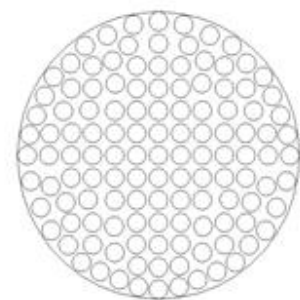
$$204 \quad Re_t = \frac{\rho * D_t * u_t}{\mu} \quad \text{Eq. (14)}$$

205 The relationship between the shell side Reynolds number<sup>35</sup> and shell side linear velocity can  
206 be found in<sup>35</sup> as following:

$$207 \quad Re_s = \frac{\rho * D_o * u_s}{\mu} \quad \text{Eq. (15)}$$

### 208 3. Apparatus and procedure

209 Polypropylene (PP) hollow fibres (manufactured by ZENA Ltd.) with outside diameter of  
210 550 $\mu$ m and inside diameter of 450  $\mu$ m were used for the fabrication of three modules, with  
211 their geometrical information listed in Table 1. The shell side tube diameter was 15mm for  
212 Module 1 and Module 2 and 22mm for Module 3. The three modules were fabricated in  
213 following way: The two ends of the fibres in a bundle were glued together first using PTFE  
214 resin. The fibre bundle was then inserted into a plastic tubing which was connected by two  
215 tee fittings, as shown in Figure 1. The fibre bundle was sealed with the two ends of the plastic  
216 tubing and the excessive length of fibres was cut. The two ends of the plastic tubing can be  
217 connected with a water loop, so they serve as the inlet and outlet of one water flow. **The tube**  
218 **side hot water and shell side cooling water are in the counter flow direction.** The detailed  
219 images and testing rig of PHFHE modules could be found in Figure 1.



220  
221 A: PHFHE heat exchanger (fibre number: 100 and 200)

B: PHFHE heat exchanger cross section view (not to scale)

222 Figure 1-a PHFHE heat exchanger



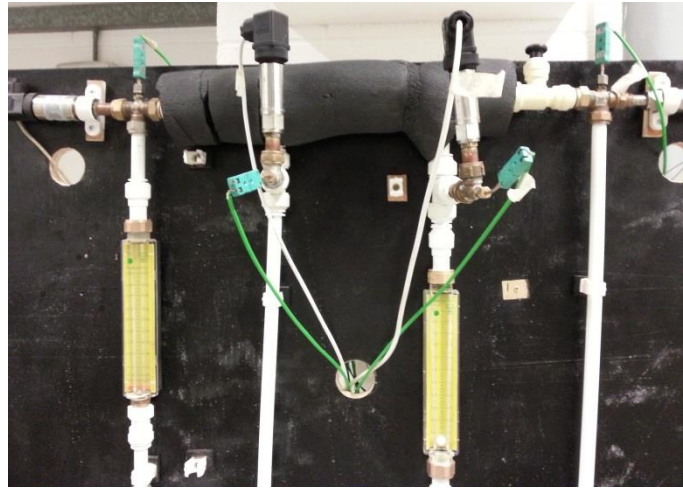


Figure 1-b PHFHE heat transfer measurement testing rig

Table 1 Geometrical Characteristic of PHFHE

Module	Fibre number (N)	Active Length (cm)	Total Length (cm)	$\lambda$	$A_o$ (cm <sup>2</sup> )	$\alpha$
1	100	14.0	21.5	0.135	242	889
2	200	14.0	21.5	0.269	484	1778
3	400	14.0	21.5	0.538	968	3556

223

224

225

226 The schematic diagram of the experimental testing rig for the heat transfer measurement is  
 227 shown in Figure 2. A 10 kW electric heater which could provide hot water up to 80° C, was  
 228 used to provide hot water for the PHFHE module. Each time before starting the test, the  
 229 heater was pre-setted to the required testing hot water condition. As soon as the hot water  
 230 temperature reached the desired testing value, the test was ready to start. In order to remove  
 231 any particulate matter and avoid blocking the hollow fibres, two micro filters (5  $\mu$ m) for both  
 232 shell and tube sides were introduced before hot water and cooling water entering into the  
 233 PHFHE. The hot water feed was then introduced to the shell side of the PHFHE module from  
 234 the electric heater by a centrifugal pump at a constant flow rate (0.1-0.6l/min) which was  
 235 controlled by a ball valve. Tap water with the temperature around 14-16°C was used as the  
 236 cooling water, which passed through the shell side of the PHFHE at constants flow rates (0.2-  
 237 2.0l/min) controlled by a ball valve. In all runs, the hot water and cooling water went in  
 238 counter flow directions. The inlet and outlet temperatures and pressures of two streams were  
 239 measured by K type thermocouples and pressure sensors (Ge UNIK 5000) with the accuracy  
 240 of  $\pm 0.2\%$  and  $\pm 0.5\%$  respectively.

241 The experimental procedures applied for the tests are as following: Firstly the hot water flow  
 242 rate was maintained at a fixed value, while the cooling water flow rates were varied from 0.2-  
 243 2.0l/min with 0.2l/min increments. Temperatures of the inlet and outlet of the two streams  
 244 were recorded every 10 seconds by a DT800Data taker, until two to five subsequent readings  
 245 did not differ by more than  $\pm 0.1^\circ\text{C}$ . The hot water inlet temperature was varied between 38 °C  
 246 to 69 °C, while the cooling water inlet temperature was kept between 14°C and 16°C.

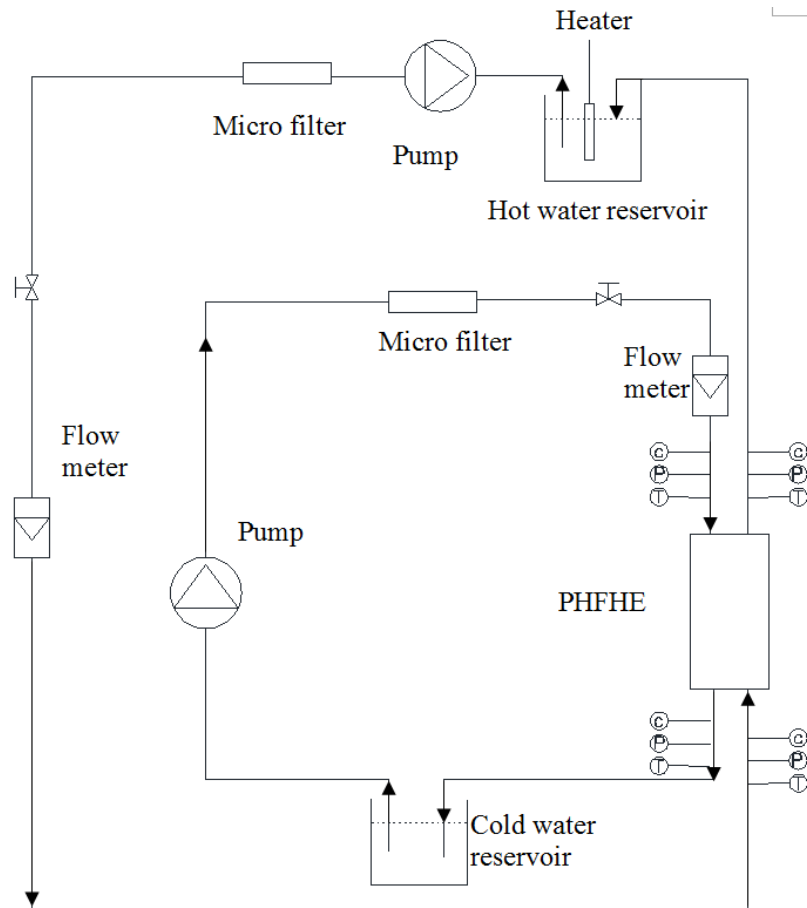


Figure 2 The experimental schematic diagram for heat transfer measurements in PHFHE

#### 4. Results and Discussion

In order to obtain the overall heat transfer coefficients, the heat transfer rate  $Q$  should be determined by the mass flow rate and the temperature difference for the tube side or shell side. Figure 3 presents the experimentally obtained overall heat transfer coefficients under the conditions when the tube side flow rate was 0.5l/min, and the shell side flow rates were varied between 0.2l/min and 2.0l/min. It can be found that when the shell side flow rate is less than 0.8l/min, the overall heat transfer coefficient calculated from the thermal capacity change  $Q_h$  of tube side is higher than that calculated from the thermal capacity change  $Q_c$  of shell side. When the shell side flow rate is higher than 0.8l/min, the situation is reversed. The difference between the  $U$  values calculated from the respective change of the thermal capacity of two streams tends to increase largely as the shell side flow rate increases. However, the difference of the  $U$  values obtained by two streams is less than 10%, with the discrepancy being amplified by the fact that very low flow rate was applied in the tube side. As the shell side is well thermally insulated, heat loss may have a smaller effect on this discrepancy. So, in order to compensate and reduce the discrepancy, the average  $Q$  values between the two streams are used for the following analysis and discussions, as presented in the rest of the paper.

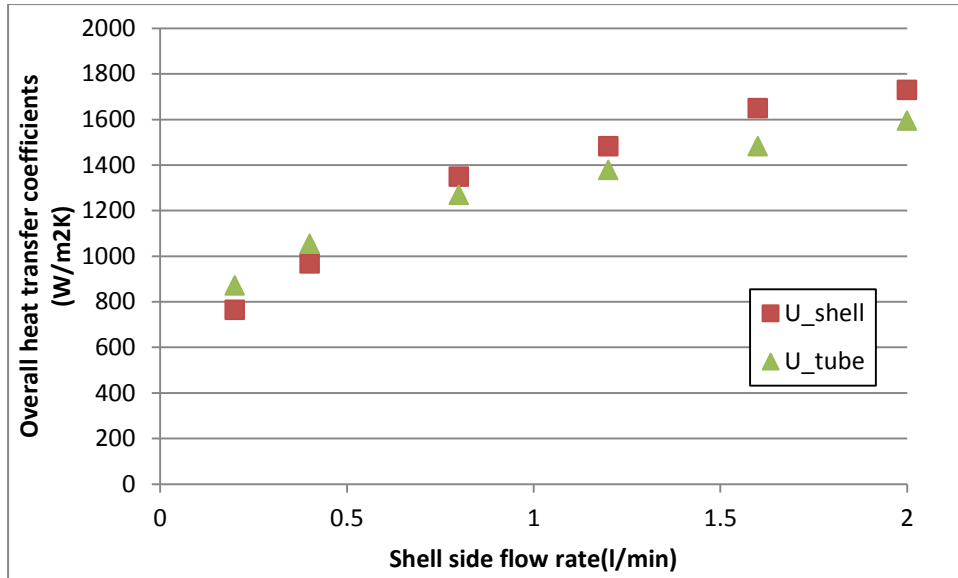


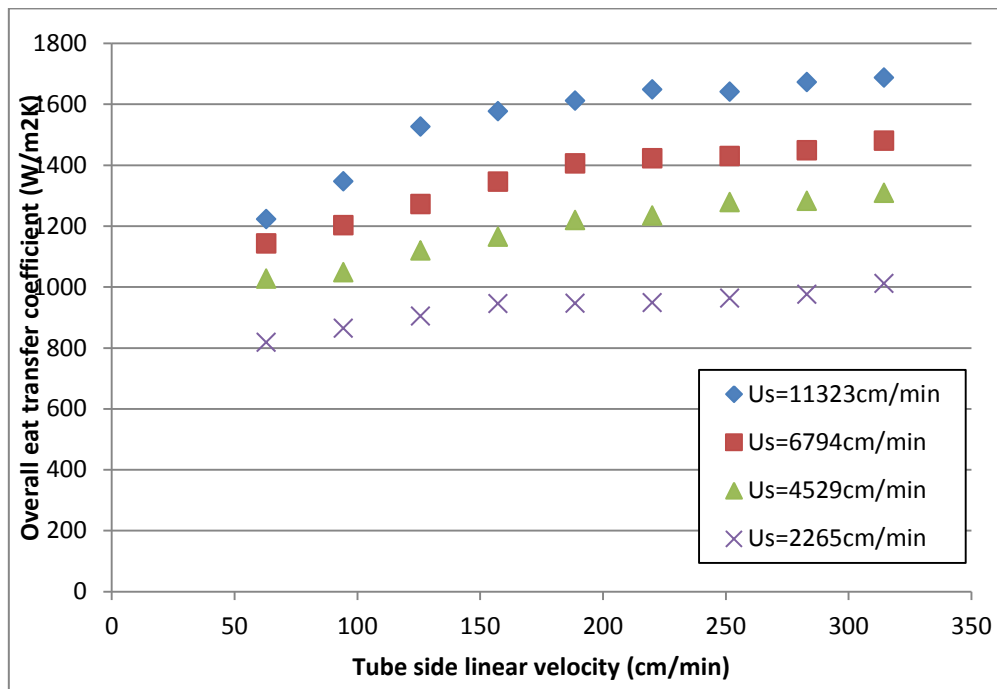
Figure 3 Experimental obtained overall heat transfer coefficients based on the shell side stream conditions and tube side stream conditions

**Table 2 Representative experimental testing data for the heat transfer measurement of PHFHE**

Th,i (°C)	Th,o (°C)	Tc,i (°C)	Tc,o (°C)	$\dot{m}_t$ (l/min)	$\dot{m}_s$ (l/min)	$U_o$ (W/m <sup>2</sup> K)	$\epsilon$	NTU	HTU (cm)
<b>Module 1 N=100</b>									
49.4	23.9	14.9	19.0	0.3	2.0	1675	0.741	1.461	18.9
42.3	26.8	13.5	18.9	0.5	1.6	1609	0.539	0.860	26.0
51.9	41.6	15.6	35.3	0.4	0.2	767	0.711	1.235	19.7
<b>Module 2 N=200</b>									
69.8	43.8	15.6	39.4	0.55	0.6	857	0.478	0.884	29.3
57.1	33.5	15.2	29.6	0.55	1.0	1010	0.562	1.042	24.9
44.7	17.3	15.0	20.2	0.2	1.9	1021	0.921	2.84	15.3
<b>Module 3 N=400</b>									
52.0	19.2	13.9	23.4	0.5	2.0	1138	0.862	2.384	5.9
46.4	14.4	14.2	16.6	0.1	0.2	258	0.991	5.065	2.8
65.4	31.2	28.1	37.5	0.3	1.2	741	0.550	1.818	7.7

We select some typical testing data for the heat transfer measurement of PHFHE and summarize them in Table 2. These includes the hot water and cooling water inlet and outlet temperature, the mass flow rate of the two streams, the calculated total heat transfer rate, and the overall heat transfer coefficient, the heat exchanger effectiveness, the number of transfer unit (NTU) and the height of transfer unit (HTU). We can see that the overall heat transfer coefficients for such PHFHE device could reach up to 1675W/m<sup>2</sup>K for a piece of tubing with shell side diameter of 15mm and length of 14cm. In the literature<sup>36</sup>, the designed value for tubular metal heat exchanger is around 1100-1400W/m<sup>2</sup>K, which is even lower than the experimental testing results of such PHFHE device. Inspection of the data in Table 2 also shows that the high value of effectiveness and NTU, up to 0.991 and 5.065 respectively, could be achieved for such PHFHE device. These values correspond to a very small HTU of

286 only 2.8cm, which is in good agreement with HTU obtained in microporous fibre membrane-  
 287 based separation process<sup>37</sup>.

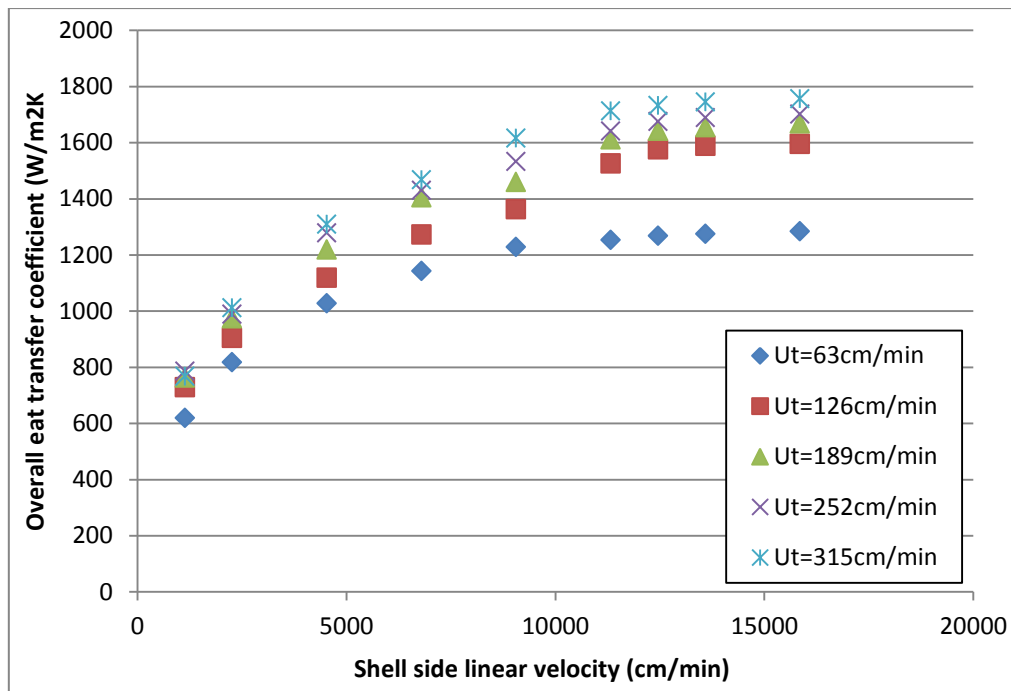


288  
 289 Figure 4 Variations of experimental obtained overall heat transfer coefficients with respect to  
 290 various tube side liner velocities (Module 1, hot water inlet temperature 48.5 °C)

291 In order to understand the relationship between the overall heat transfer coefficient and the  
 292 fluid velocity in both shell and tube side, we present the variations of U value with tube side  
 293 linear velocities when the shell side linear velocity changes from 2265cm/min to  
 294 11323cm/min. We can find from Figure 4 that higher tube side linear velocity will contribute  
 295 to better overall heat transfer coefficient when the shell side linear velocity is at fixed value.  
 296 For instance, for shell side linear velocity at 6794cm/min, the overall heat transfer coefficient  
 297 increases about 1.8% from 1405W/m<sup>2</sup>K to 1430W/m<sup>2</sup>K when the tube side linear velocity  
 298 increases from 188cm/min to 252cm/min. Moreover, a common feature can be observed is  
 299 that when the tube side linear velocity increases, the U value reaches a plateau quickly. The  
 300 plateau U value is around 1600W/m<sup>2</sup>K for the shell side linear velocity of 11323cm/min, and  
 301 1000W/m<sup>2</sup>K for the shell side linear velocity of 2265cm/min. When the tube side linear  
 302 velocity is below 150cm/min, the heat transfer coefficient seems to follow a linear  
 303 dependence with respect to tube side linear velocity. We can introduce Gz number to help us  
 304 better understand the mechanism. According to Hewitt et al.<sup>33</sup>, Gz is a non-dimensional group  
 305 applicable mainly to transient heat conduction in laminar pipe flow. Gz represents the ratio of  
 306 the time taken by heat to diffuse radially into the fluid by conduction to the time taken for the  
 307 fluid to reach distance. By calculating the Gz number according to Equation (11), we can see  
 308 that the Gz number is in the range of 10 to 53 when the tube side linear velocity increases  
 309 from 63cm/min to 315cm/min (the same range as shown in Figure 4). As stated by Hewit et  
 310 al.<sup>33</sup>, small values of Gz (Gz < 20) indicates that radial temperature profiles are fully  
 311 developed inside the laminar flow tube. This means that when Gz number and tube side linear

312 velocity are at lower values, forced convection is not the only mechanism for heat transfer,  
 313 heat transfer by natural convection in the radial direction becomes more dominant.

314 Figure 5 presents the variations of U value to the various shell side linear velocities for  
 315 PHFHE module 1. We can find that the U value will increase as the shell side linear velocity  
 316 improves from 1132cm/min to 11320cm/min. Similarly to Figure 4, after the shell side linear  
 317 velocity reaches to 11000cm/min, the U value maintains at a stale value for most of the cases.  
 318 For instance, when tube side linear velocity is fixed at 126cm/min and 63cm/min, the plateau  
 319 value of U is around 1600W/m<sup>2</sup>K and 1250W/m<sup>2</sup>K respectively.

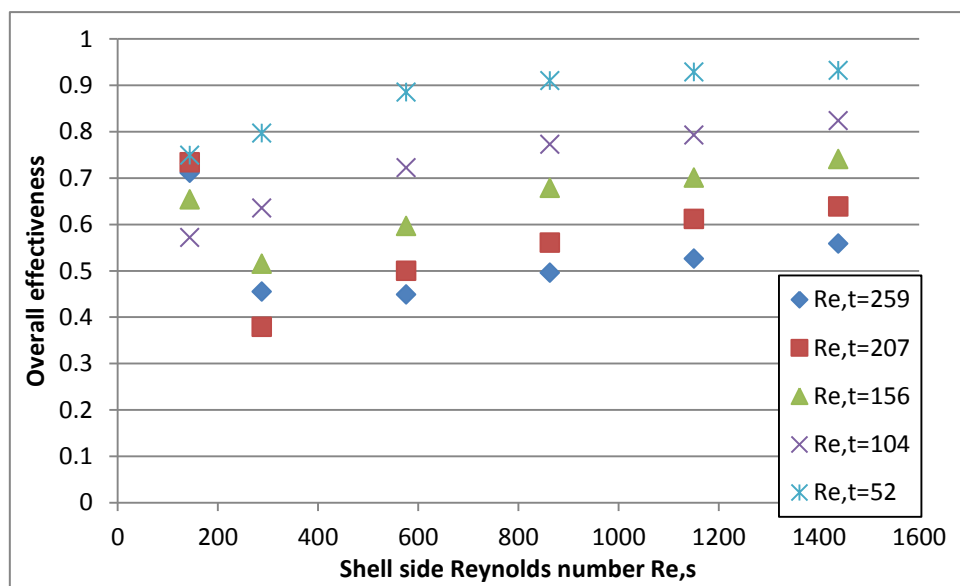


320  
 321 Figure 5 Variations of experimental obtained overall heat transfer coefficients with respect to  
 322 various shell side liner velocities (Module 1, hot water inlet temperature 48.5 °C)

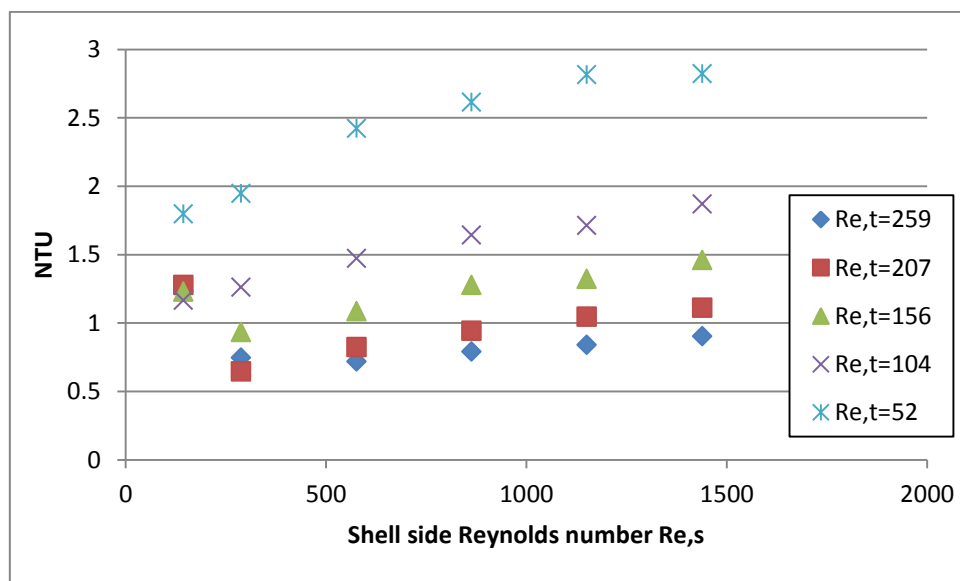
323 Figure 6-8 depict the variations of overall effectiveness, NTU and HTU of PHFHE with  
 324 respect to various shell side Reynolds numbers. We can find from Figure 8 that higher shell  
 325 side Reynolds number will lead to higher overall effectiveness when the tube side Reynold is  
 326 at fixed value. For instance, at the tube side Reynolds number of 104, the overall  
 327 effectiveness changes from 0.773 to 0.793 when the shell side Reynolds number increases  
 328 from 863 to 1151. Figure 6 also reveals that at fixed shell side Reynolds number, the overall  
 329 effectiveness will decrease as the tube side Reynolds number increases. For example, at shell  
 330 side Reynolds number of 576, the overall effectiveness decreases from 0.597 to 0.5 when the  
 331 tube side Reynolds number increases from 156 to 207. Figure 7 shows that for most of the  
 332 cases (about 83%), the NTU is higher than 1. As the PHFHE device mainly operates in  
 333 laminar flow regime, Figure 7 also reveals that high NTU can be obtained at low tube side  
 334 Reynolds number, which is in good agreement with the heat transfer literature<sup>38</sup>. Inspection  
 335 of Figure 6 and 7 also shows that, the overall effectiveness first decreases and then increases  
 336 as the shell side Re number improves. The reason is because that, according to Eq. (5), the  
 337 effectiveness is proportional related to  $C_{min}$ , which is the minimum product of the flow rate

338 multiple by  $C_p$  for shell side and tube side. At lower shell side  $Re$  number ( $Re_s = 144$ ) and  
 339 higher tube side  $Re$  number ( $Re_t > 156$ ), the effect of shell side flow rate on the effectiveness  
 340 is more dominant. As the shell side  $Re$  number becomes higher than the tube side  $Re$  number,  
 341 the effectiveness is more dependent on tube side  $Re$  number. That is why there is a small  
 342 fluctuation at lower shell side  $Re$  number.

343 From Figure 6-8, we can see that high value of heat exchanger effectiveness and NTU, 0.932  
 344 and 0.822 respectively, could be achieved at the tube side Reynolds number of 52 and shell  
 345 side Reynolds of 1439. However, inspection of Figure 6-8 further indicates that relatively low  
 346 effectiveness and NTU values, accompanied by high HTU also exist. This means that the  
 347 rating of the PHFHE device is rather important. In order to achieve higher effectiveness and  
 348 better thermal performance, the rating of PHFHE device should be performed properly.

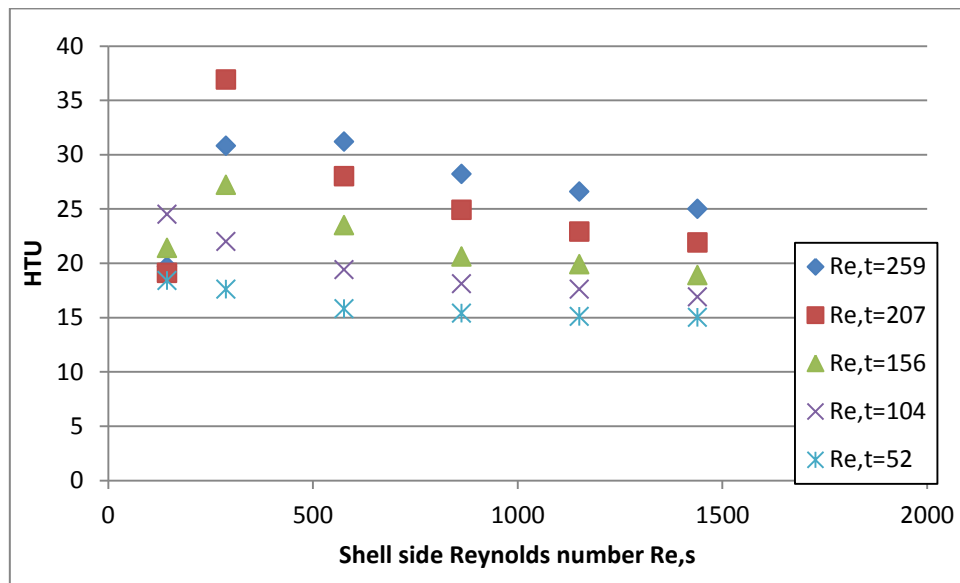


349  
 350 Figure 6 Variations of overall effectiveness with respect to various shell side Reynolds  
 351 number (Module 1, hot water inlet temperature 48.5 °C)



352

353 Figure 7 Variations of NTU with respect to various shell side Reynolds number (Module 1,  
 354 hot water inlet temperature 48.5 °C)

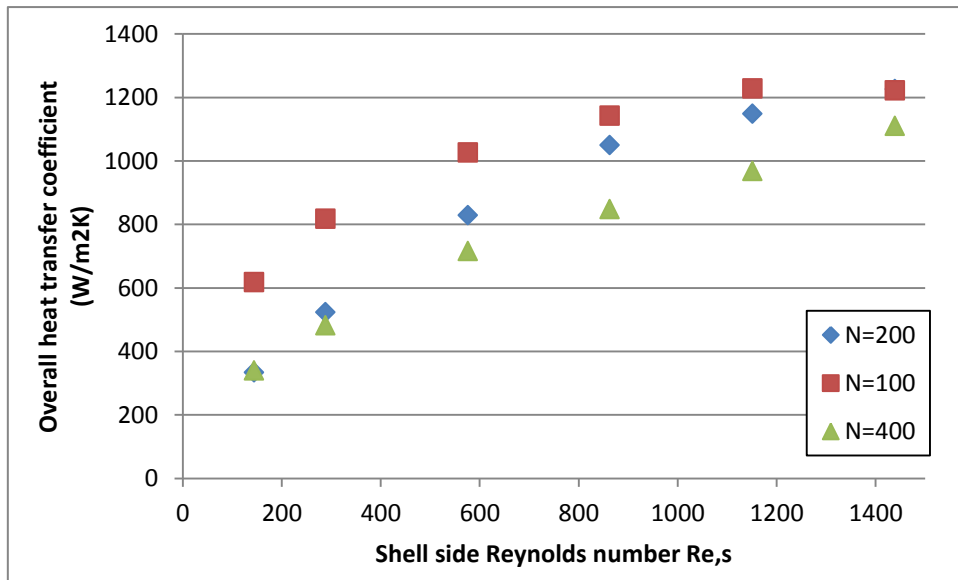


355  
 356 Figure 8 Variations of HTU with respect to various shell side Reynolds number (Module 1,  
 357 hot water inlet temperature 48.5 °C)

358 Figure 9-11 show the comparisons of overall heat transfer coefficients, heat transfer rate and  
 359 LMTD for different fibre numbers under various shell side Reynolds numbers, at fixed tube  
 360 side Reynolds number. It can be found that at the same shell and tube side Reynolds number,  
 361 the module with smaller fibre number produces higher overall heat transfer coefficient. For  
 362 instance, at shell side Reynolds number of 288, the overall heat transfer coefficient decreases  
 363 from 817.6 W/m<sup>2</sup>K to 523.5 W/m<sup>2</sup>K, till 481.9 W/m<sup>2</sup>K as the fibre number changes from 100,  
 364 200 to 400. The reason can be referred to Equation (2), the U value is closely related to the  
 365 total heat transfer rate Q,  $\Delta T_{lm}$ , and the heat transfer area A. As shown in Figure 10, at shell  
 366 side Reynolds number of 288, when the fibre number increases from 100 to 200, the total  
 367 heat transfer rate increases about 29.1% from 214.8W to 277.3W. Figure 11 indicates that at  
 368 the same condition,  $\Delta T_{lm}$  decreases about 0.7% from 11.6 °C to 9.9°C, as the fibre number  
 369 increases from 100 to 200. In the meantime, the total heat transfer area improves twice as the  
 370 fibre number increase from 100 to 200. Compares the abovementioned percentage difference,  
 371 we can see that the change of fibre numbers plays more dominant role on the overall heat  
 372 transfer coefficients. Therefore, the increase of fibre number will lead to the decrease of  
 373 overall U value. This is also the reason as U value decreases when the fibre number increases  
 374 with the variations of tube side Reynolds number, as shown in Figure 12.

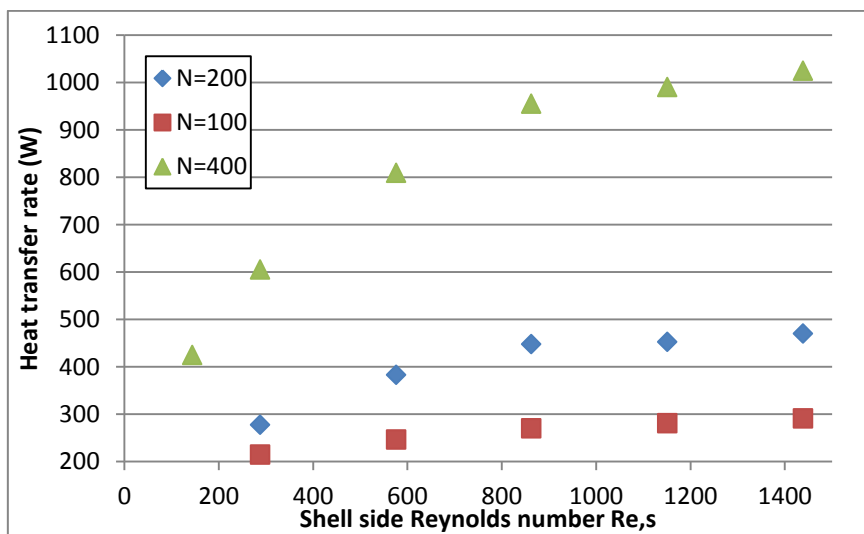
375 Inspection of Figure 9 -11 further reveals an interesting phenomenon: at lower shell side flow  
 376 rate, the heat transfer rate stays very close for N=200 and N=100, while there is a much  
 377 bigger difference for N=400 and N=200. For instance, at shell side Re number of 288, the Q  
 378 value increases about 29.1% from 214.8 W/m<sup>2</sup>K to 277.3W as the fibre number increases  
 379 from 100 to 200, while it soars about 64.2% from 277.3W to 605.6W as the fibre number  
 380 improves from 200 to 400. On the other hand, at lower shell side flow rate, the overall heat

381 transfer rate for N=200 and N=400 are approaching each other, while there is a big gap  
 382 between N=100 and N=200. Hence, when we design the PHFHE device, the fibre numbers  
 383 should be selected properly in order to maintain effective heat transfer while making full uses  
 384 of the fibre materials.



385

386 Figure 9 Comparisons of overall heat transfer coefficients for Module 1-3 under various shell  
 387 side flow rate and at fixed tube side Reynolds number

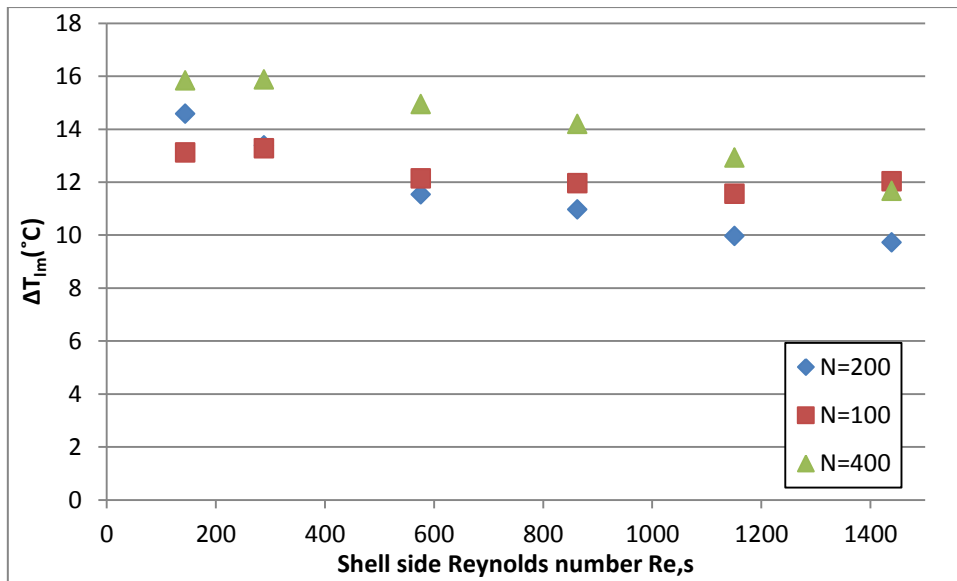


388

389 Figure 10 Comparisons of heat transfer rate for Module 1-3 under various shell side flow rate  
 390 and at fixed tube side Reynolds number

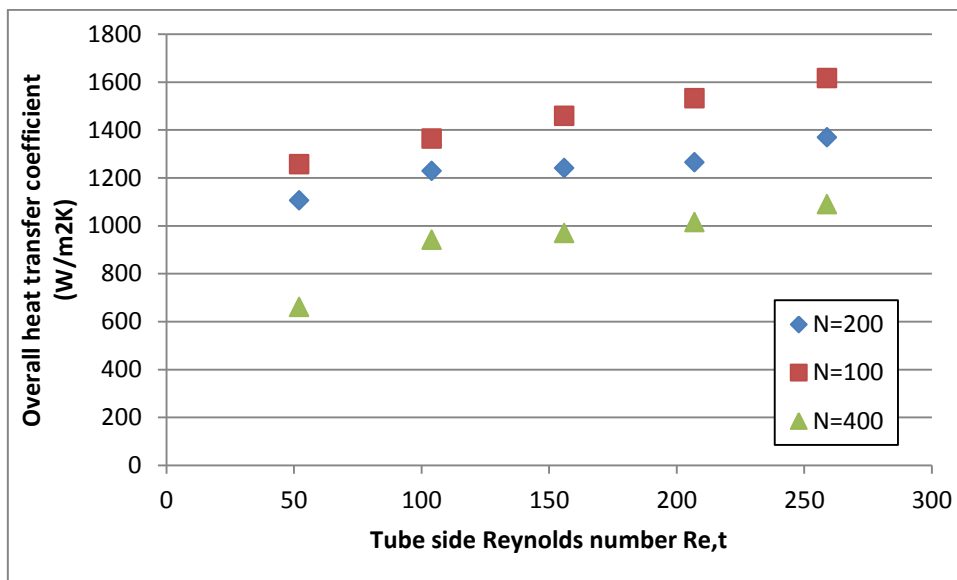
391





392

393 Figure 11 Comparisons of  $\Delta T_{lm}$  for Module 1-3 under various shell side flow rate and at fixed  
 394 tube side Reynolds number



395

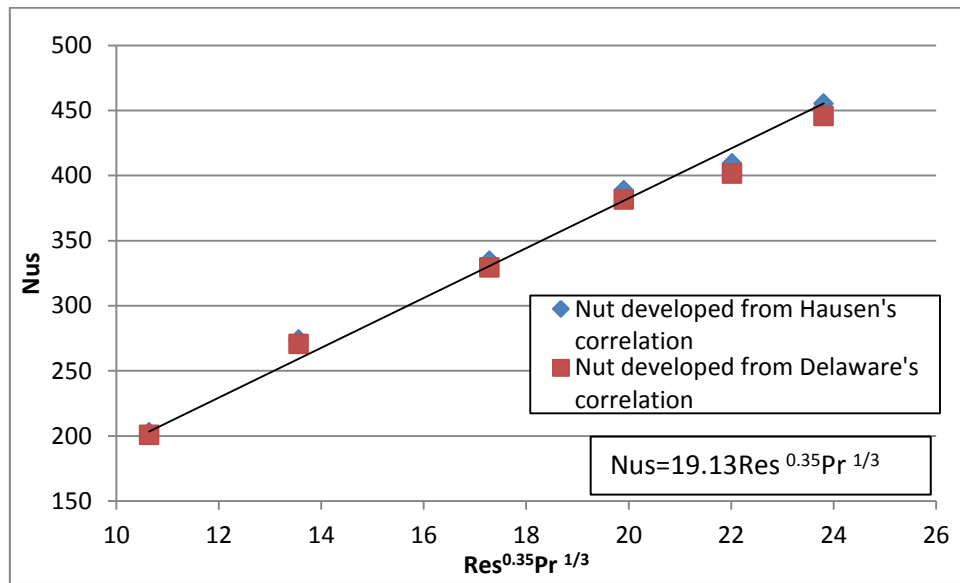
396 Figure 12 Comparisons of overall heat transfer coefficients for Module 1-3 under various  
 397 tube side flow rate and at fixed shell side flow rate of 1.6l/min

398 Table 3 Percentage contribution of tube side, shell side and fibre wall resistance to the overall  
 399 resistance

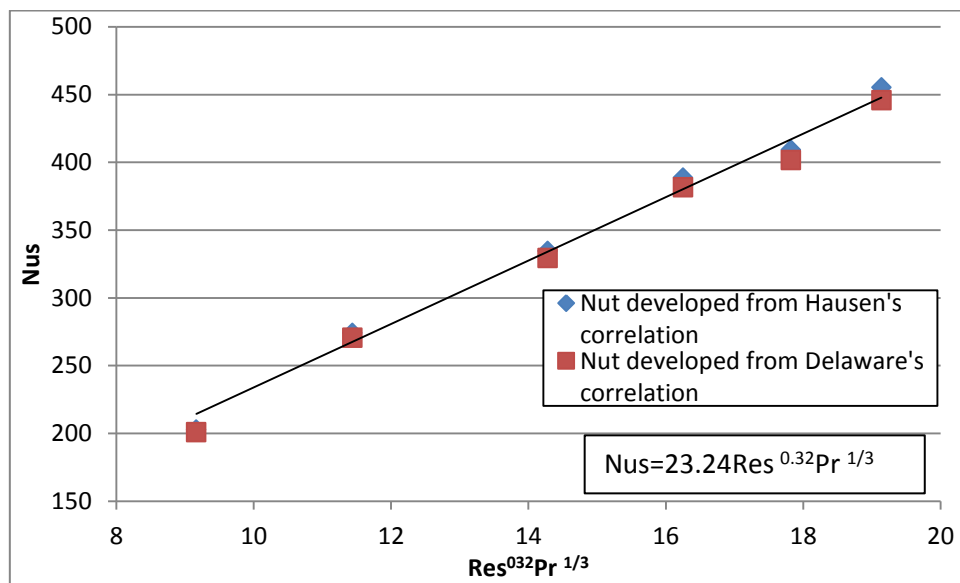
Module	Fibre number	Rt/Rov (%)	Rs/Rov (%)	Rw/Rov (%)
1	100	4-10	35-56	18-31
2	200	3-8	38-62	15-28
3	400	2-7	40-66	13-25

400 Table 3 presents the percentage contribution of the three major resistances to the overall  
 401 resistance. The results indicate that tube side resistance are the smallest of the three, therefore  
 402 by increasing the tube-side Reynolds number, little improvement will be achieved for the

403 overall heat transfer performance. By increasing the fibre numbers from 100, 200 to 400, the  
 404 overall heat transfer coefficients tend to decrease accordingly, and the percentage  
 405 contribution of shell side resistance will play more dominant role.



406  
 407 Figure 13 Shell side Nu numbers with respect to Re and Pr number using two different  
 408 correlations (correlation 1)

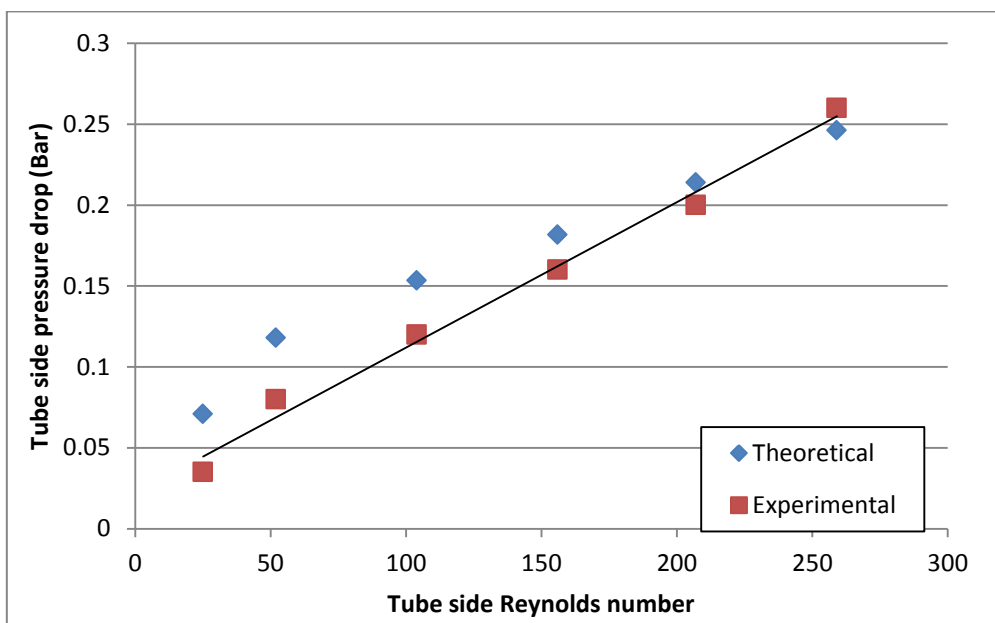


409  
 410 Figure 14 Shell side Nu numbers with respect to Re and Pr number using two different  
 411 correlations (correlation 2)

412 Figure 13 and Figure 14 present the relationships between shell side Nu numbers and Re, Pr  
 413 number using two different correlations from the literature. Both suitable for laminar flow  
 414 conditions and validated by various authours<sup>39-41</sup>, Hausen's correlation<sup>42</sup> and Delaware's  
 415 correlation<sup>35</sup> were applied respectively for calculating the tube side heat transfer coefficients.  
 416 Then, the shell side heat transfer coefficients and the shell side Nu number could be derived  
 417 from the experimental obtained overall heat transfer coefficients. The Nu-Re plot shown in

418 Figure 13 and Figure 14 indicated very good agreement of shell side Nu numbers using two  
 419 different correlations. A well correlated equation showing shell side Nu number as the  
 420 function of Re and Pr number is also presented respectively in Figure 13 and Figure 14. The  
 421 difference between the correlation presented in Figure 13 and Figure 14 is the exponent of  
 422 shell side Re number. Comparing the discrepancy of the correlated equation with results  
 423 obtained from Hausen's and Delaware correlations, it can be found that the derived  
 424 correlation 1 with exponent of 0.35(in Figure 13) is more suitable for shell side Re number  
 425 less than 200 or larger than 1200, with the minimum difference of 0.3%. While the derived  
 426 correlation 2 with exponent of 0.32(in Figure 14) is more close to results obtained from  
 427 Hausen's and Delaware correlations (with the minimum difference of 0.14%), when the shell  
 428 side Re number is in the range of 200-1200.

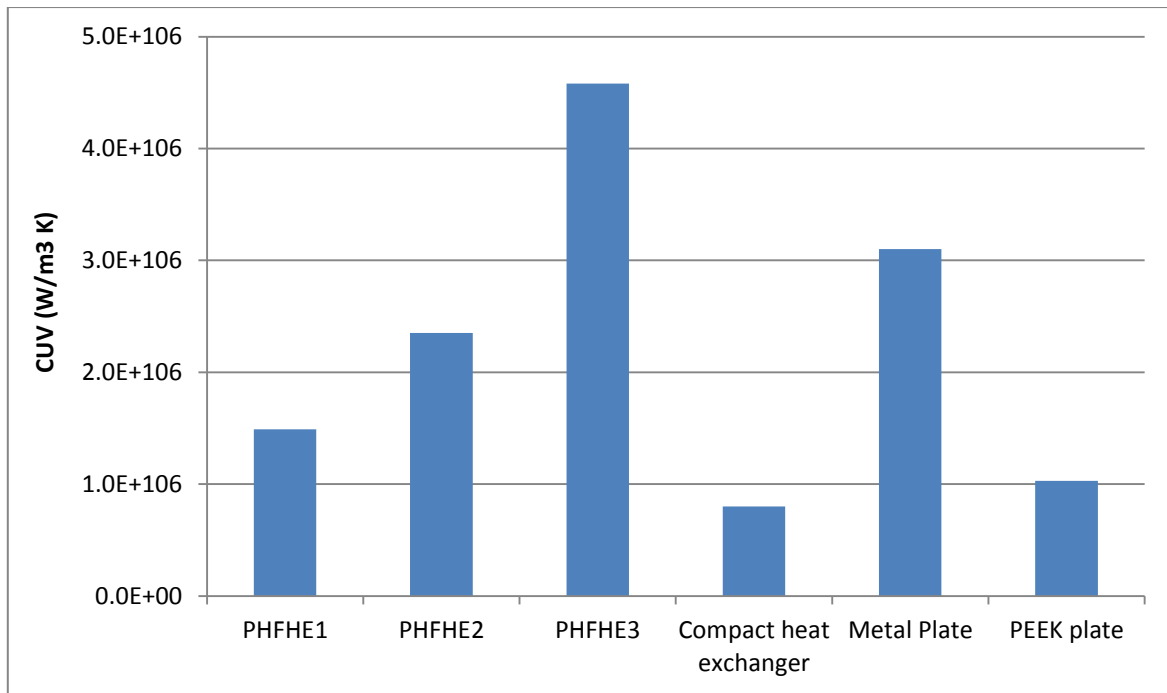
429



430

431 Figure 15 Variations of theoretical and experimental obtained tube side pressure drops under  
 432 different tube side Re numbers. (Module 1)

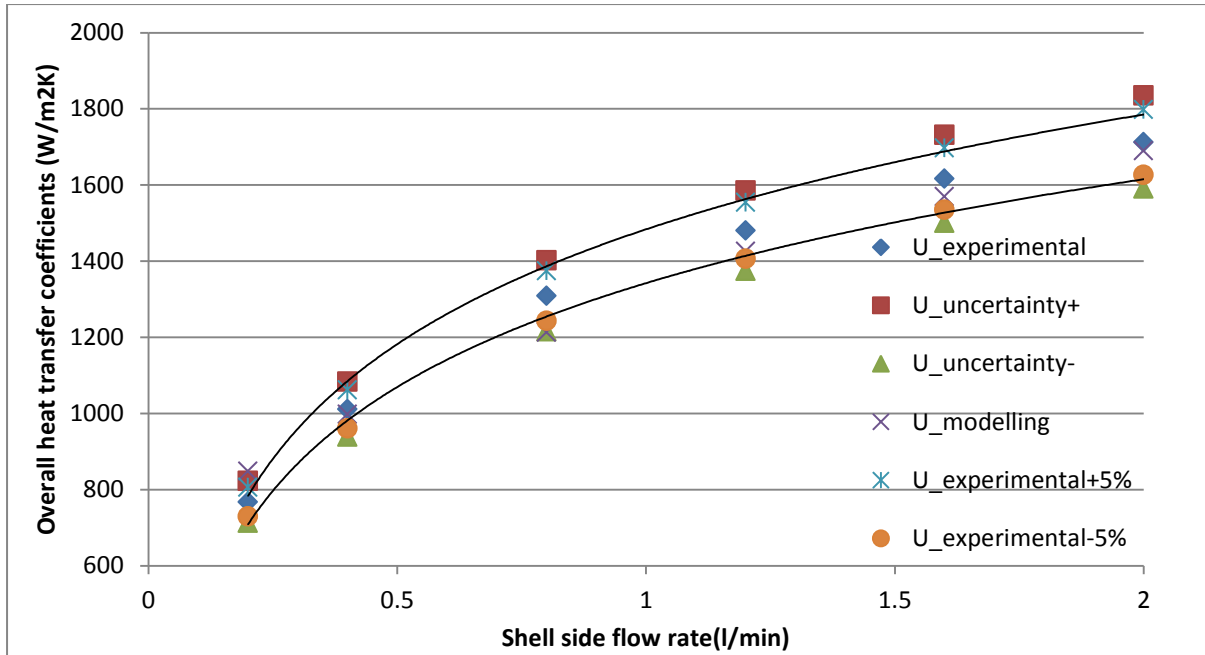
433 Figure 15 shows the comparisons of theoretical and experimental obtained tube side pressure  
 434 drops under different tube side Re numbers for fibre number  $N=100$ . The theoretical tube side  
 435 pressure drop is calculated using Eq. (12). The experimental tube side pressures of PHFHE  
 436 are monitored by pressured transducer sensors (GE UNIK 5000). We can see from the  
 437 diagram that increasing the tube side Re number will result in higher tube side pressure drop.  
 438 Moreover, a liner relationship could be derived between experimental obtained Re number  
 439 and tube side pressure drop with  $R^2=0.99$ . We can also find that the experimental obtained  
 440 pressure drops are quite close to the theoretical values, with the minimum percentage  
 441 difference of 5.6%. As the tube sider Re number increase, the difference between the  
 442 theoretical and experimental results decreases.



443

444 Figure 16 Comparisons of overall conductance per unit volume between PHFHE with  
 445 conventional heat exchangers

446 Figure 16 shows the comparisons of overall conductance per unit volume between PHFHEs  
 447 with conventional metal and plastic heat exchangers. A compact metal heat exchanger with  
 448 wall thickness of 0.4mm<sup>43</sup>, a plate heat exchanger with 0.4mm thickness<sup>36</sup>, and a PEEK plate  
 449 heat exchanger<sup>17</sup> are chosen for comparisons. We can see from Figure 15 that PHFHE  
 450 modules generally demonstrate higher CUV values (about 2-8 times) compared with  
 451 conventional metal and plastic heat exchangers. Despite the relatively low overall heat  
 452 transfer coefficients, the large surface area to volume ratio of PHFHEs offers controlling  
 453 factor of performance on a volumetric basis. For instance, for PHFHE module 3 ( fibre  
 454 number=400), the CUV values are about 7 times higher than the compact tube heat  
 455 exchanger<sup>43</sup>, and 1.5 times higher than the metal plate heat exchanger<sup>36</sup>. However, the values  
 456 in Figure 16 for the metal heat exchangers already represent the cutting edge of current  
 457 technology. While the packing/manufacturing technology for the PHFHEs are currently only  
 458 subjected to laboratory testing conditions. Hence, we could expect more area to be packed in  
 459 the PHFHEs, and this will result in even better heat transfer performance and thermal  
 460 capabilities, which exceeds greatly over the metal counterparts.



461

462 Figure 17 Comparisons of overall heat transfer coefficients obtained from experiments,  
 463 uncertainty calculations and the modelling results

464 The uncertainty analysis of the experimental results shown in Figure 17 is performed using  
 465 the methods proposed by Moffat<sup>44</sup>. Considering all the measurement uncertainties for mass  
 466 flow rates, temperatures, and fibre diameters, the experimental uncertainties for the overall  
 467 heat transfer coefficients is between  $\pm 7.1\%$  and  $\pm 9.8\%$ . Based on the experimental inlet and  
 468 outlet streams conditions, the simulation programme developed by the authors was applied  
 469 and results are presented in Figure 15. We also plot two curves showing the deviations of  $\pm 5\%$   
 470 from the experimental obtained results. We can find that, in general, the simulation results  
 471 fall in good agreement with the experimental data, with differences less than 5%.

472 **5. Conclusion**

473 The PP based polymer hollow fibre heat exchangers were manufactured and tested under  
 474 various shell (0.2-2.0l/min), tube side flow rate (0.1-0.6l/min) and tube side water  
 475 temperatures (40-70°C). The maximum experimental obtained overall heat transfer  
 476 coefficients were achieved in module 1 of PHFHE, with the U values between 1700-  
 477 1800W/m<sup>2</sup>K. These values are higher than other results reported in literature for water to  
 478 water applications in polymer hollow fibre heat exchanger.

479 Three different PHFHE modules with fibre numbers of 100, 200 and 400 were manufactured  
 480 and the thermal performances were compared in the tests. The experimental obtained overall  
 481 heat transfer coefficients were 758-1675W/m<sup>2</sup>K, 369-1453W/m<sup>2</sup>K and 296-1201W/m<sup>2</sup>K  
 482 respectively for Module 1, 2 and 3. This indicates that module 1 offers higher U value  
 483 compared with the other two modules.

484 By changing the tube and shell side flow rate, the effectiveness, NTU and HTU of PHFHE  
 485 modules are also investigated. With the active length of 14cm, the module 1 of PHFHE could

486 attain high value of effectiveness and NTU, up to 0.991 and 5.065 respectively. The HTU  
487 achieved was as low as 2.8cm, about 35 times less than the lower limit for shell and tube heat  
488 exchangers and 20 times lower than typical values for plate heat exchangers. Such results  
489 demonstrate that if PHFHE devices could be rated and designed properly, they could achieve  
490 relatively high NTU in a single module.

491 Since the surface area per unit volume in such PHFHEs is quite high, in the range of 880-  
492 3600 m<sup>2</sup>/m<sup>3</sup>, their volumetric rate of heat transfer is very high. Comparisons of CUV between  
493 PHFHEs and metal heat exchangers reveals that the CUV values of PHFHEs are  
494 approximately 2-7 times higher than the metal counterparts. This superior performance can  
495 result in potentially more compact designs based on PHFHE devices, for water desalination,  
496 solar water heating system, and automotive applications. Therefore, the superior thermal  
497 performance, and large heat transfer areas, and the advantages of low price and light weight  
498 of polymer materials, make PHFHEs a promising substitute over conventional metal heat  
499 recovery system for building application.

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