

## Article (refereed) - postprint

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Harrison, Kathryn A.; Wright, Daniel G.; Trembath, Philip. 2017.  
**Implementation of a workflow for publishing citeable environmental data: successes, challenges and opportunities from a data centre perspective.** *International Journal on Digital Libraries*, 18 (2). 133-143.  
[10.1007/s00799-016-0175-5](https://doi.org/10.1007/s00799-016-0175-5)

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**The final publication is available at Springer via <http://dx.doi.org/10.1007/s00799-016-0175-5>**

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1 Implementation of a workflow for publishing citeable environmental data: successes,  
2 challenges and opportunities from a data centre perspective.

3

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14 Abstract

15 In recent years, the development and implementation of a robust way to cite data has  
16 encouraged many previously sceptical environmental researchers to publish the data they  
17 create, thus ensuring more data than ever are now open and available for re-use within and  
18 between research communities. Here we describe a workflow for publishing citeable data in  
19 the context of the environmental sciences – an area spanning many domains and generating  
20 a vast array of heterogeneous data products. The processes and tools we have developed  
21 have enabled rapid publication of quality data products including datasets, models and  
22 model outputs which can be accessed, re-used and subsequently cited. However, there are  
23 still many challenges that need to be addressed before researchers in the environmental  
24 sciences fully accept the notion that datasets are valued outputs and time should be spent in  
25 properly describing, storing and citing them. Here we identify current challenges such as  
26 citation of dynamic datasets and issues of recording and presenting citation metrics. In  
27 conclusion, whilst data centres may have the infrastructure, tools, resources and processes  
28 available to publish citeable datasets, further work is required before large-scale uptake of  
29 the services offered is achieved. We believe that once current challenges are met, data  
30 resources will be viewed similarly to journal publications, as valued outputs in a researcher's  
31 portfolio, and therefore both the quality and quantity of data published will increase.

32

33

34 Keywords:

35 Citation, publication workflow, DOI, dynamic data, metrics, data centre

36

37 1.0 Introduction

38 Historically, there has been resistance from some researchers in the environmental sciences  
39 to publishing data, other than referring to it in articles in recognised scientific journals. The  
40 act of making data openly available for the public to view, access and re-use is an unfamiliar  
41 concept to many, although, for some scientific communities (e.g. bioinformatics and 'omics)  
42 data archival is a cultural norm [1]. Inability to access scientific data is an obstacle to  
43 interdisciplinary research [2, 3] which is key in the area of the environmental sciences as  
44 they cover a broad range of disciplines and often aim to answer complex questions requiring  
45 input from a range of specialists. Whilst each year large amounts of research funds  
46 (including tax payers' money) are spent generating new data, existing data remain  
47 inaccessible, unidentified and therefore underutilised [4].

48

49 In recent years there has been increasing pressure on scientists to make the data they  
50 generate openly available. Regulatory pressures such as the EU's INSPIRE<sup>1</sup> directive and  
51 compliance with research funders' policies (e.g. RCUK<sup>2</sup> data policy) are compelling  
52 researchers to publish their data. Nonetheless, this regulatory approach has done little to  
53 prompt a significant change in cultural practices. It is clear that in order for a shift in  
54 behaviour to occur, researchers must feel confident that making the data they create  
55 available will not adversely impact on their career. Mayernik [5] and Assante et al [6] make  
56 reference to the cultural barriers which make scientists unwilling to share results and  
57 document the fears that researchers have of being 'scooped', their data being used  
58 improperly and the difficulties they face in producing data in a shareable form.

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<sup>1</sup> <http://inspire.ec.europa.eu/>

<sup>2</sup> <http://www.rcuk.ac.uk/research/datapolicy/>

60 If a published data resource is regarded as a citeable publication it can impact positively on  
61 a researcher's reputation [2] and this in turn will encourage the publication of more data.  
62 Generation and subsequent publication of data should be recognised as valuable activities  
63 but currently lacks an essential pre-requisite – accepted metrics of significance [7]. For  
64 example, it should be possible to collect information on who has re-used the data, what it  
65 has been re-used for and how many times has it been re-used. Metrics such as these could  
66 ultimately bear on the academic reputation of a researcher amongst their peers in a similar  
67 way that metrics on citations of journal papers currently do. Provision of this service alone  
68 will not solve all the problems, however, and it will take time to establish. Data centres and  
69 research institutions must also consider providing support to researchers, increasing  
70 awareness of the issues and developing simple workflows in order that time-limited  
71 researchers can engage in the process of making the data they create publicly available and  
72 gain credit for doing so.

73

74 Providing a means of citing data allows data creators to be perpetually linked to the datasets  
75 they produce. However, for researchers to gain credit for their work a formal, community-  
76 recognised structure must be set in place [2]. DataCite<sup>3</sup> has been instrumental in developing  
77 and supporting the standards behind persistent identifiers for data. They provide a means by  
78 which researchers can find, identify and cite research data and other research objects.  
79 DataCite currently use the Digital Object Identifier (DOI) system<sup>4</sup> as a persistent identifier for  
80 data resources, although other permanent identifiers could be used in a similar way  
81 [8]. Through this system, DataCite is able to provide a robust mechanism for allowing citation  
82 of data resources. The DOI system is one of the more suitable candidates for permanently  
83 identifying research data the system is well-established and widely used for identifying  
84 research articles and are therefore a familiar entity to researchers [9, 10] and publishers

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<sup>3</sup> <https://www.datacite.org/>

<sup>4</sup> <http://www.doi.org/>

85 alike. Whilst a suitable system for identifying data and making it citeable is in place there still  
86 exists a gap between the technical ability to cite data and the cultural behaviour of  
87 researchers within the environmental sciences (see above). This gap can only be narrowed  
88 by researchers interacting with the system in a positive manner and gaining reward for doing  
89 so, for example, a raised awareness of a researcher's work within the community leading to  
90 increased collaborative or funding opportunities or improved promotion prospects [3].

91

92 The Environmental Information Data Centre (EIDC<sup>5</sup>) is a Natural Environment Research  
93 Council (NERC) environmental data centre hosted by the Centre for Ecology and Hydrology  
94 (CEH). The data centre primarily accepts data resources from NERC-funded research  
95 covering a wide spectrum of disciplines including the terrestrial and freshwater sciences and  
96 hydrology. Data held by the EIDC is usually 'complete' end of project life data, although the  
97 data centre also holds data collected from long-term environmental monitoring programmes  
98 – normally deposited in discrete time slices. The EIDC offers researchers the opportunity to  
99 obtain a DOI for data they have created and therefore the ability to cite the resource in  
100 literature. DOIs are used as a permanent identifier for data held by the EIDC as this is the  
101 identifier initially chosen by NERC for use in its data centres. NERC works with The British  
102 Library who is the allocation agent for DataCite in the UK. By assigning a DOI to a resource,  
103 the EIDC are signifying that the data are complete, stable, in a useable format, have  
104 appropriate metadata, have passed the quality control checks within the domain expertise of  
105 the data centre and have guaranteed long-term curation [9]. Whilst there is nothing inherent  
106 in a DOI that guarantees the data it identifies will remain permanently available and stable,  
107 the EIDC holds a form of 'social contract' between itself and the registry (DataCite and the  
108 British Library) to ensure that this is the case [10, 11]. The EIDC uses checksums to ensure  
109 data remain unchanged once they have been deposited with the data centre and data

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<sup>5</sup> <http://eidc.ceh.ac.uk/>

110 depositors receive a copy of the checksum so that they may verify this at any given time. As  
111 a data centre, the EIDC has been offering DOIs for datasets that it holds since 2011. Here  
112 we outline the processes established to provide this service and describe initial community  
113 use and acceptance of the system. We explore the impact that this service has had on the  
114 data centre, the datasets published by the data centre and the subsequent exposure of  
115 those datasets. Further, we discuss future challenges for the EIDC, specifically, citation of  
116 dynamic datasets and the collection of citation metrics. Both these issues have the ability to  
117 further influence the volume and quality of data published within the environmental sciences  
118 community.

119

## 120 2.0 Data centre process for obtaining a DOI

121 Data resources are taken into the EIDC following a defined workflow, which includes strict  
122 process and quality control measures. Data resources which are identified as suitable for  
123 deposit are curated by the data centre in order that they may be viewed and accessed over  
124 the long-term. For a data resource to be deemed suitable it must meet a number of criteria  
125 such as subject area, funder, repeatability and uniqueness – data held elsewhere would not  
126 be considered for deposit. The EIDC first began using a defined workflow in 2011 and to-  
127 date holds a total of nearly 400 data resources including datasets, models, model outputs  
128 and web services. Only datasets that have passed through the workflow and been formally  
129 ‘ingested’ into the EIDC are eligible for a DOI. Each of the seven NERC data centres (of  
130 which EIDC is one) has a representative who can register DOIs for NERC datasets. Whilst  
131 the act of registering a DOI with DataCite is the same for all data centres, the manner in  
132 which datasets are prepared to a form which is acceptable for allocation of a DOI varies.

133

### 134 2.1. Support for researchers

135 The EIDC is hosted by the Centre for Ecology and Hydrology (CEH) and as such, the data  
136 centre accepts data from both 'internal' depositors (i.e. researchers from CEH) and 'external'  
137 depositors (i.e. researchers employed elsewhere such as universities and other research  
138 institutes). The process for ingesting data is identical for both internal and external  
139 depositors; the support given to researchers prior to submission of the data is also broadly  
140 similar and will be described here briefly. CEH employ a team of Informatics Liaison (IL) staff  
141 whose role it is to support researchers with data management and all that it entails.  
142 Members of the IL team will work with researchers ideally from the very start of a project to  
143 ensure a data management plan is created and regularly reviewed and updated. Likewise,  
144 this support is also available for 'external' researchers whose data will ultimately be  
145 considered for deposit with the EIDC. Data management plans identify the data resources  
146 that will be offered to EIDC and also list the supporting documentation which will accompany  
147 the deposit. The IL staff will initiate a deposit once the researcher is ready, and support them  
148 through the process – for example, helping to complete discovery metadata records, giving  
149 advice on formatting the data for deposit, creating any supporting documentation and  
150 discussing issues such as licensing and citation. The workflow whereby the EIDC registers  
151 DOIs for data it holds is described below. However, this workflow does not solely include  
152 actions carried out automatically by the data centre with the researcher in isolation, support  
153 from IL and data centre staff is provided throughout. A full description of the complete  
154 workflow for ingesting data into the data centre is not within the scope of this article but has  
155 been described elsewhere within this special issue.

156

## 157 2.2. Discovery metadata

158 At the EIDC, the process for obtaining a DOI begins with the collection and storage of  
159 discovery metadata. The EIDC uses the UK GEMINI<sup>6</sup> metadata specification for describing

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<sup>6</sup> <http://www.agi.org.uk/join-us/agi-groups/standards-committee/uk-gemini>



160 the data resources for discovery purposes. This standard has a set of mandatory  
161 requirements and includes elements such as title, abstract, lineage and keywords. The  
162 EIDCs discovery-level metadata is stored in a metadata file store based on Git<sup>7</sup>, a distributed  
163 revision control system, which ensures a complete history of all changes made to metadata  
164 is maintained. Metadata are stored as JSON<sup>8</sup>, an open data-interchange format that records  
165 data as attribute-value pairs. The JSON format allows the data centre to transform the data  
166 and present them in a number of different formats targeted at distinct audiences – being both  
167 human- and machine- readable. For example, the metadata can be presented as a human  
168 readable HTML page, as GEMINI-compliant XML for data exchange to data.gov or as XML  
169 in the DataCite schema<sup>9</sup> for registering DOIs and populating the DataCite catalogue.  
170 Metadata records are created by the researcher depositing data with help from data centre  
171 staff, who enter the information using a bespoke metadata editing tool; the metadata is  
172 accessed from the CEH catalogue<sup>10</sup>. This catalogue was developed in-house to provide the  
173 public with a user-friendly interface for finding, viewing and accessing data (Fig 1).

174

175 The discovery metadata record for the data resource also acts as the landing page for the  
176 DOI, once registered, and it was designed with this in mind. Although much of the  
177 information about a resource is captured using the GEMINI metadata standard, how it  
178 should be presented to function as a DOI landing page was key to the decisions made about  
179 the way the page was fashioned. As stated by Ball and Duke [12] a landing page should  
180 “enable readers to ensure they have located the right dataset, to (re-)familiarize themselves  
181 with the research context and supporting documentation, to consider licence terms prior to  
182 downloading and to switch to a more recent version of the data if required” (pg 12). The  
183 EIDC is keen to promote the use of data citations, therefore, once a resource has a DOI, this

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<sup>7</sup> <http://www.git-scm.com/>

<sup>8</sup> <http://www.json.org/>

<sup>9</sup> <http://schema.datacite.org/>

<sup>10</sup> <https://catalogue.ceh.ac.uk/>

184 appears, together with the reference to be quoted with any subsequent re-use, at the very  
185 top of the page, immediately below the title. An abstract describing the resource follows the  
186 DOI and to the right, in a 'Get the data' panel, information on how to order the data, access  
187 to supporting documentation and another full citation for the data is presented with the clear  
188 instruction 'If you reuse this data you must cite:' (Fig. 1). In designing the landing page,  
189 particular care was taken to use accessible language rather than adopt the somewhat  
190 opaque language of the metadata standard. For example, 'resource locator' is labelled  
191 'online resources' and 'responsible organisation' is labelled 'contacts'. The GEMINI XML  
192 view of the metadata retains the standard terms, it is solely the landing page/catalogue view  
193 that presents the more user-friendly version.

194

### 195 2.3. DOI registration

196 The CEH Catalogue generates DataCite metadata directly from the GEMINI metadata using  
197 a simple mapping (Table 1). To register a new DOI, the designated DOI administrator makes  
198 a request by clicking a hyperlink on the data resource's record in the data catalogue. This  
199 hyperlink only appears on the record if a number of conditions are met. First, only a DOI  
200 administrator has access to the link – it does not appear if a user without the necessary  
201 permissions is logged in. Second, all the key pre-requisite elements of DataCite metadata  
202 must be present within the record – namely: at least one author; a date of publication; a title;  
203 and a publisher (other information is also included in the DataCite metadata but these are  
204 the only mandatory fields). Thirdly, the landing page must be publicly accessible. Fourth and  
205 finally, there must not already be a DOI registered for that resource. By clicking the  
206 hyperlink, this triggers a series of actions which occur programmatically without the need for  
207 further user intervention. The metadata is posted to DataCite's REST API<sup>11</sup>, this creates an  
208 entry in DataCite's metadata store. A second request is then immediately posted to the

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<sup>11</sup> <https://mds.datacite.org/static/apidoc>

209 same API which registers the DOI and specifies its landing page (the page in the CEH  
210 catalogue from which the administrator made the request). Next, a request is made to the  
211 shortDOI service<sup>12</sup> which creates a more practical, shorter DOI alias. Both the new DOI and  
212 the shortDOI are then automatically added to the metadata record in the data catalogue,  
213 along with information about how to cite the data resource (Fig 1). Once a DOI has been  
214 registered for a data resource, subsequent updates or amendments to the metadata which  
215 affect the DataCite metadata are automatically submitted to the DataCite API. This ensures  
216 that the DataCite metadata is always representative of the GEMINI metadata held in the  
217 CEH catalogue.

218

219 The researcher who deposited the data is emailed to inform them that a DOI has been given  
220 to the data they created. The email contains details of the DOI, the shortDOI and  
221 recommendations on how to use the DOI and cite the data. This notification is currently  
222 carried out manually by a member of staff at the data centre. The EIDC also maintains an  
223 inventory of all the datasets it holds that have a DOI. This DOI inventory is also manually  
224 updated upon the registration of a new DOI. Whilst both these actions are currently carried  
225 out manually, the EIDC hopes to automate them in future in order to reduce staff time spent  
226 carrying out the processes and provide a more efficient service to depositors.

227

228 To date, just over 70% of the data resources held by EIDC have a DOI allocated to them.  
229 Currently, researchers are asked upon deposit whether they would like a DOI for the data  
230 they have created – they are not minted automatically for every data resource taken in. The  
231 reason researchers don't always request a DOI is usually due to the data being 'legacy' data  
232 i.e. data that was generated a long time ago (on the scale of decades) and has already been  
233 discussed in the scientific literature, therefore researchers feel they have nothing to gain

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<sup>12</sup> <http://shortdoi.org/>

234 from obtaining a DOI for them. When a DOI for a data resource is resolved using a web  
235 browser, the user sees a landing page which is the discovery metadata record for that  
236 resource. The landing page includes information on how to obtain the resource and how to  
237 cite it in future publications (see above). DOIs can only be allocated to data resources that  
238 have been formally deposited with the EIDC; this normally occurs towards the end of a  
239 project or section of work. Data must have passed documented quality checks and be held  
240 within the data centre itself. DOIs are allocated prior to the data being made publicly  
241 available (although this usually happens immediately after). The EIDC supports NERC's  
242 option of allowing researchers a two year embargo on the release of the data they created.  
243 In the case of embargoed data resources, DOIs are registered when the data are deposited,  
244 as this allows researchers to use the DOI in any publications they have planned. The DOI is  
245 documented on the landing page for the data resource along with details of the embargo and  
246 a date when the data are to be made available.

247

### 248 3.0 Uptake and use of DOIs for data from a data centre perspective

249 The motivation for requesting a DOI for data deposited with EIDC has varied over time. At  
250 first, requests came in solely because it was now a service offered by the data centre and  
251 this had been communicated to depositors by the IL staff. DOIs were initially requested even  
252 though some researchers were not fully aware of what they could be used for. This is not  
253 unsurprising, as it has been noted previously that there is a lack of clear recommendations  
254 on how to cite data within scientific literature. The Data Citation Guidelines for Data  
255 Providers and Archives [10] state that among Federation of Earth Science Information  
256 Partner (ESIP) members, current recommendations for citing data range from casual  
257 acknowledgement within the text of a paper to formal and specific citations within the  
258 references section of the paper. Mayernik [5] also stated that even when data is widely  
259 shared, users do not commonly cite datasets in formal ways. Rather than formally citing

260 datasets, data users typically acknowledge data use in the text of an article in the  
261 acknowledgement section.

262

263 One of the first DOIs assigned by the EIDC was for data created by Beresford et al [13]  
264 which was subsequently quoted in a journal paper [14]. However, the authors failed to  
265 include the recommended DataCite citation in the reference list and merely added a  
266 statement to the paper, "All data associated with this study are available from the CEH  
267 Information Gateway (<https://gateway.ceh.ac.uk/>) and the data have been allocated a digital  
268 object identifier (<http://dx.doi.org/10.5285/1a91c7d1-ec44-4858-9af2-98d80f169bbd>)"

269 This indicates they did not regard it as a reference in the same way as they would a journal  
270 paper.

271

272 Other researchers requested a DOI as they were publishing in a data journal and it was a  
273 mandatory requirement of submission. Data journals, especially in the field of the  
274 environmental or natural sciences are a relatively new concept, however, they are increasing  
275 in number. Journals such as Earth System Science Data (ESSD), Geoscience Data Journal,  
276 Scientific Data and Data in Brief publish peer-reviewed data papers – papers that describe  
277 datasets [3]. The majority of data journals require data to be stored in an approved  
278 repository with a permanent identifier assigned enabling reviewers to access the data. At the  
279 EIDC, one of the first datasets referred to in a data paper was from Haxton et al. [15]. This  
280 dataset was deposited with the EIDC and given a DOI which was subsequently cited in an  
281 ESSD paper by Prudhomme et al. [16]. Furthermore, the ESSD paper has since been cited  
282 by at least five other journal publications (as recorded by CrossRef<sup>13</sup>) including one the  
283 author co-authored [17]. It should be noted that each of these outputs (the data paper and

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<sup>13</sup> <http://www.crossref.org/>

284 the dataset itself) is a publication in its own right - there is no requirement for the data  
285 resource to have the same lead author as the data paper. They are separate entities with  
286 their own individual reference and can be referred to as such. When re-using data or  
287 tracking where data have been re-used it is important to use the citation for the dataset itself,  
288 rather than the reference for the data paper. If simply referring to the work carried out by a  
289 group of authors, citing the data paper would be appropriate. By publishing a data paper  
290 based on a dataset, authors are adding value to the dataset for the future consumers of the  
291 data [9] as the data they created has undergone a scientific peer review process. Datasets  
292 published by the data centre have reached a certain level of quality as required to obtain a  
293 DOI, but they are not peer reviewed.

294

295 As a case study, the above example has encouraged other researchers within the  
296 organisation to engage with the data centre which has further increased the number of  
297 datasets being offered for deposit. In the financial year 2012-2013, the EIDC had 35 deposit  
298 requests i.e. researchers contacting the data centre wishing to deposit data. These figures  
299 contrast with those of the financial year 2014-2015, where the EIDC had 83 deposit requests  
300 (it should be noted that one deposit request may lead to the deposit of one dataset, or many  
301 which is often the case). In 2015-2016, the EIDC had 61 deposit requests in the first 6  
302 months of the financial year, therefore it is likely that the number of deposit requests this  
303 year will exceed those of the previous year. The reason for this increase in engagement with  
304 the EIDC could be due to case-studies such as the one above being advertised keenly  
305 throughout the organisation (CEH), however, it is more likely that pressure from publishing  
306 houses, as discussed below, has had a greater impact on these figures.

307

308 The final reason researchers are now offering their data to the data centre to publish (and  
309 requesting a DOI) is that increasingly scientific journals are recommending, or even

310 mandating, that data referred to in an article must be archived in an appropriate public  
311 archive [3]. The archive must provide public access and guarantee long-term preservation of  
312 the data resource. Some journals also require that the data have been assigned a  
313 permanent identifier (e.g. a DOI). The pressure from publishing houses (e.g. British  
314 Ecological Society, Ecological Society of America, Nature and Science) is urging those  
315 researchers in the environmental science community previously resistant to the idea of  
316 publishing data to actively participate. Whilst this is encouraging it is often done in an  
317 untimely manner. Despite the support and advice available, some researchers are still  
318 unaware of the importance of data management and citation, or it fails to make the list of  
319 their priorities for reasons discussed above [3]. Many researchers are currently offering data  
320 resources to the data centre for publication only after a journal paper has been written and  
321 submitted, and hence require the deposit process to take place hurriedly. This is often not  
322 possible as the EIDC processes mandate that data coming into the data centre be  
323 accompanied by sufficient supporting information which depositors have usually not  
324 prepared in advance. The EIDC is bound by NERC to take in data of long-term value so that  
325 it may be stored securely in perpetuity and have the potential to be re-used where suitable. It  
326 is therefore not possible for the data centre to 'fast-track' data deposits with the aim of  
327 meeting the requirement from depositors that they must have a DOI for data referred to in a  
328 journal paper. Data accepted into the EIDC must be complete, be in a non-proprietary format  
329 and have sufficient supporting information so that it may be understood and re-used by  
330 others without the need to contact the creator. It is therefore critical that researchers engage  
331 with data centre staff as early as practically possible in their projects, to develop data  
332 management plans and ensure the correct documentation will be provided upon deposit of  
333 the data. In cases where researchers have taken advantage of the support provided and  
334 deposit of data has occurred in a timely manner, the process of obtaining a DOI and  
335 publishing the data can occur rapidly as the workflow operated within the datacentre is  
336 automated, where appropriate, and can be completed in a matter of seconds. If researchers  
337 have not planned in advance and approach the data centre requesting a DOI as a matter of

338 urgency, the process can take somewhat longer. This is because time has to be spent  
339 preparing the data and supporting information. Therefore, whilst the pressure from  
340 publishing houses has prompted increased awareness of the requirement to publish data, it  
341 may take some time before researchers realise they must engage with this process at an  
342 early stage, before a project or grant is completed and prior to preparing articles for  
343 submission.

344

345 Since the EIDC began issuing DOIs for data resources we have seen an increase in  
346 researchers' awareness of the requirement to make data available, predominantly driven by  
347 data journals and journal publications. The EIDC is receiving an increasing number of  
348 enquiries about depositing data from scientists interested in submitting data papers and  
349 research articles as they realise that this is a mechanism whereby they can gain academic  
350 credit for a body of work which was previously unacknowledged. Our ability to identify and  
351 cite data resources in a reliable manner is largely down to the system put in place by  
352 DataCite and the use of DOIs (although it is possible that other permanent identifiers could  
353 work in an equally successful way [8]) as it offers researchers an incentive for releasing the  
354 data they have created. Without this incentive, we believe many data resources available  
355 today through the EIDC would not have been deposited with the data centre and therefore  
356 be inaccessible.

357

#### 358 4.0 Future challenges for the data centre

359 The advent of a robust method for making data resources citeable has gone some way in  
360 addressing the lack of published data available in the field of environmental sciences but  
361 there are still areas where improvements could be made to further increase openness and  
362 re-use of data. Many of the data resources archived by the EIDC are created from long-term  
363 environmental monitoring programmes and therefore data are being regularly updated. The



364 challenge of making this type of dynamic dataset citeable is well documented, as data such  
365 as these do not fit the commonly used DOI system well [5, 11, 12]. In line with DataCite  
366 recommendations, once a dataset held by the EIDC has been given a DOI, it will not be  
367 changed, updated or corrected [18]. If any of these alterations are required, a new DOI is  
368 issued. This is so users can identify and retrieve the exact same data identified by a DOI  
369 irrespective of how long it has been since it was registered. The EIDC currently offers  
370 researchers two choices when depositing dynamic data, based on the approaches outlined  
371 by Ball and Duke [12]; either a new time-slice can be deposited into the data centre and a  
372 new DOI issued, or the whole dataset can be taken in including the previous data and any  
373 new data (a new snap-shot). In the latter case, the previous version is deprecated and a new  
374 DOI is issued for the whole resource. An example of this is data from the UK Butterfly  
375 Monitoring Scheme (UKBMS) deposited into the EIDC. The UKBMS deposits data annually  
376 on collated indices and species trends. The first deposit was made in 2011 and the data ran  
377 from 1976 to 2011 [19, 20]. In 2012, UKBMS submitted a new snap-shot of the data, this  
378 time running from 1976 to 2012 [21, 22]. The addition of the new data not only added extra  
379 data values but as a consequence also changed the values of the previous years' data.  
380 Once a new snap-shot is published and has a DOI, the old snap-shot is deprecated by  
381 labelling it an 'Historical archive' in the discovery metadata record. The catalogue is  
382 configured so that for records labelled as such, a banner automatically appears at the top of  
383 the record stating 'This dataset has been withdrawn' (Fig 2). In this way, the DOI still  
384 resolves to the correct landing page so remains a permanent identifier and the user can  
385 clearly see that this is not the most current version of the dataset (a link to a record for this  
386 collection of data resources is available from the deprecated dataset landing page so users  
387 can easily find the most up-to-date version, should they wish to).

388

389 However, some researchers are unhappy with the current system and indeed, from a data  
390 centre's perspective, snap-shots can become unwieldy for regularly updated time-series

391 data which are common in the Earth Sciences [10]. Instead, researchers would prefer one  
392 identifier for the whole resource that never changed regardless of how many updates or  
393 additions of data were made. Such a system would ensure citation metrics for the resource  
394 were not diluted with new citations generated each time an update was made. However, this  
395 is a service we are currently unable to offer using the system we have in place. The  
396 Research Data Alliance<sup>14</sup> has a working group dedicated to exploring solutions to the  
397 problem of citing dynamic datasets and a position paper by Andreas Rauber and Stephan  
398 Pröll has been produced describing a conceptual model for scalable dynamic data citation  
399 [23]. However, this paper addresses the problem from a data re-user's perspective so may  
400 not solve the issues that researchers depositing to the EIDC have raised. Rauber and Pröll  
401 propose using timestamped, versioned data that can be assembled into specific subsets by  
402 using queries which subsequently have permanent identifiers assigned to them. This system  
403 enables authors to cite only the query, rather than the whole dataset, ensuring users can  
404 access exactly the same data referred to by the identifier for perpetuity [23]. Whilst this  
405 addresses the issue of ensuring users are able to precisely identify specific subsets of data  
406 that may have changed over time it does not solve the issue of citation dilution raised by  
407 researchers depositing to EIDC. Also, the DOI system, as currently implemented by  
408 DataCite, does not support Template Handles, thus a parameterized DOI would not resolve  
409 to a particular subset but to the whole dataset [11]. It is clear that attempts are being made  
410 to address the issue of citing dynamic datasets but also that one size does not fit all [3, 5],  
411 therefore systems may have to adapt in future to accommodate researchers requirements.

412

413 Another issue which, if addressed, could further promote data publication in the  
414 environmental sciences is that of citation metrics. For the production and publication of data  
415 to be recognised as valuable scholarship it requires accepted metrics of significance [7].

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<sup>14</sup> <https://rd-alliance.org/>

416 Researchers are more likely to publish the data they create if they can measure its impact,  
417 track its use and receive credit for creating it [3, 7]. A researcher's academic success is  
418 frequently measured by the journal publications they produce, specifically in the impact  
419 factor of the journals in which they publish and the number of times articles are subsequently  
420 cited. If mechanisms were put in place to provide similar information for datasets,  
421 researchers would be able to measure the impact of the data they produce which could input  
422 into the professional reward process [5]. Tracking data use is difficult as datasets are  
423 inconsistently cited by data users [5]. However, respondents to a survey carried out by Kratz  
424 and Strasser [7] found that citation and download counts were more useful than search rank  
425 or altmetrics. Therefore, a method for measuring data impact based on data citation counts,  
426 though difficult to implement would be desirable to researchers. Data papers can go some  
427 way to providing this type of information. For example, the data journal ESSD provides  
428 metrics on views and citations of the data papers they publish (Fig. 3). Crucially, however,  
429 this is not tracking the citation of the data itself which has its own DOI and mechanism for  
430 citation. Thomson Reuters<sup>15</sup> Web of Knowledge now provide a service called the Data  
431 Citation Index (DCI) which provides access to data, links data to the articles it supports and  
432 tracks citation of datasets. Unfortunately, the DCI is currently not open and free to use (a  
433 subscription is required) and repositories have to agree to have information about the data  
434 they hold harvested by Thomson Reuters. The EIDC is working with Thomson Reuters to  
435 ensure that the data it holds can be included in the DCI and this has recently been achieved  
436 by allowing Thomson Reuters to harvest metadata held by DataCite about data held by the  
437 EIDC. This is an important first step, although, as CEH is not a subscriber to the DCI, the  
438 data centre is unable to obtain information on the citation counts for data it holds.

439

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<sup>15</sup> <http://thomsonreuters.com/en.html>

440 In contrast, ResearchGate is a free service, which enables researchers to share their  
441 publications and access citation metrics. ResearchGate allows registered users to add  
442 articles, book chapters, conference papers, datasets and unpublished work to their home  
443 page - once added, metrics are collected on the publication. This would seem like a suitable  
444 solution to the problem of collecting citation metrics for the data held by the EIDC, at least  
445 from an individual researcher's point of view, as they should theoretically be able to include  
446 information about datasets they have deposited with the datacentre (e.g. title, DOI) and  
447 obtain information of citation metrics over time. However, when registering a dataset with  
448 ResearchGate, users are required to attach the data as a file and are therefore uploading a  
449 copy of the data to the ResearchGate site. This is not something the EIDC can recommend  
450 for a number of reasons. First, additional copies of data would be unnecessarily generated  
451 and stored. Second, ResearchGate mandate that any data uploaded to its site is free from  
452 any Intellectual Property Rights which in the majority of cases is not true for data generated  
453 though public or private funding. Third, uploading data to ResearchGate is often impractical  
454 as the volumes of data in question are often very large (500GB or more)

455

456 It is clear that whilst some solutions are available, further work is still needed to implement  
457 an openly accessible tool to capture and present metrics for datasets. Until researchers can  
458 quantify the impact data resources they have created have on the academic community as a  
459 whole they may not receive the full scholarly credit they deserve. In the meantime, the EIDC  
460 plans to include information on download counts for each dataset on its landing page. Whilst  
461 not ideal, it provides researchers with a highly regarded 'second-choice metric' [7] and can  
462 be used as an interim measure until a more informative system is put in place.

463

464 5.0 Conclusions

465 Whilst there is still a long way to go before data resources are viewed as valued outputs  
466 from a researcher's work in the same way journal publications have always been, data  
467 centres, such as the EIDC, are facilitating a cultural shift in practices with regard to data  
468 publications. By providing a robust workflow enabling the identification of datasets and  
469 providing a means for data to be cited, data centres are providing the building blocks on  
470 which more wholesale changes in attitude and behaviour can occur. Working in conjunction  
471 with publishing houses, data centres are beginning to convince researchers that publishing  
472 the data they have generated can be beneficial to their research careers. Data centres can  
473 further improve on the volume of data published in the environmental sciences by enabling  
474 the citation of dynamic datasets, ensuring long-term environmental monitoring experiments  
475 can be cited as a single entity, rather than having to generate a new DOI and citation after  
476 each new addition of data. In addition, the generation and publication of citation metrics that  
477 provide an indication of the impact a dataset has had on the academic community could  
478 also, encourage more researchers to publish the data they have created. Much has been  
479 accomplished in the last few years but there are still many issues left to address. It will take  
480 time for a cultural shift to occur, but by putting flexible robust systems in place and by  
481 seeking to illustrate to researchers the benefits of publishing the data they produce, in time  
482 data resources and those that generate them will receive the credit and standing they  
483 deserve.

484

#### 485 Acknowledgements

486 The authors would like to thank Rick Stuart and Pete Vodden for their work in helping to  
487 create the workflows and processes and Rod Scott, Chris Johnson, Jon Cooper, Evgeniya  
488 Vetchinkina and Mike Wilson for developing technical solutions.

489

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589

590 **Figure captions**

591

592 **Fig 1** Example of a record in the CEH catalogue showing recommended citation and display

593 of DOI.

594 **Fig 2** Example of a deprecated metadata record in the CEH catalogue.

595 **Fig 3** Metrics provided by the data journal Earth System Science Data including views and

596 citations.

597

598

599 **Table 1.** Mapping between GEMINI metadata and DataCite metadata

<b>GEMINI metadata element</b>	<b>DataCite metadata element</b>
Based on /MD_Metadata/fileIdentifier	/resource/identifier
/MD_Metadata/identificationInfo/MD_DataIdentification/pointOfContact/CI_ResponsibleParty[role/CI_RoleCode/@codeListValue='author']/individualName	/resource/creators/creator
/MD_Metadata/identificationInfo/MD_DataIdentification/citation/CI_Citation/title	/resource/titles/title
/MD_Metadata/identificationInfo/MD_DataIdentification/pointOfContact/CI_ResponsibleParty[role/CI_RoleCode/@codeListValue=publisher]/individualName	/resource/publisher
/MD_Metadata/identificationInfo/MD_DataIdentification/citation/CI_Citation/date/CI_Date[dateType/CI_DateTypeCode/@codeListValue='publication']/date	/resource/publicationYear
/MD_Metadata/identificationInfo/MD_DataIdentification/descriptiveKeywords/MD_Keywords/keyword	/resource/subjects/subject
-	/resource/dates/date[@dateType='Submitted']
/MD_Metadata/identificationInfo/MD_DataIdentification/language/LanguageCode	/resource/language
/MD_Metadata/MD_ScopeCode/@codeListValue	/resource/resourceType/@resourceTypeGeneral
/MD_Metadata/identificationInfo/MD_DataIdentification/citation/CI_Citation/identifier/RS_Identifier	/resource/alternateIdentifiers/alternateIdentifier
/MD_Metadata/distributionInfo/MD_Distribution/distributionFormat/MD_Format/name	/resource/formats/format
/MD_Metadata/identificationInfo/MD_DataIdentification/resourceConstraints/MD_LegalConstraints/otherConstraints	/resource/rightsList/rights
/MD_Metadata/identificationInfo/MD_DataIdentification/abstract	/resource/descriptions/description[@descriptionType='Abstract']
/MD_Metadata/identificationInfo/MD_DataIdentification/extent/EX_Extent/geographicElement/EX_GeographicBoundingBox	/resource/geoLocations/geoLocation/geoLocationBox

## Datasets

# Biomass of *Trifolium repens* versus *Lolium perenne* after ozone exposure in solardomes

Hayes, F.; Mills, G.; Ashmore, M. (2014)

[doi:10.5285/526e9007a-4dc3-4840-a952-48873a68d23d](https://doi.org/10.5285/526e9007a-4dc3-4840-a952-48873a68d23d)

The data are biomass measurements from an ozone exposure experiment, during which *Trifolium repens* and *Lolium perenne* were exposed as both monocultures and two-species mixtures to an episodic rural ozone regime in large, well-watered containers within solardomes for 12 weeks. Treatments were elevated ozone (AOT40 (Accumulated Ozone Threshold exposure of 40 parts per billion) of 12.86 ppm h) or control conditions (AOT40 of 0.02 ppm h). Measurements were dry weight, with a cutting height of 7cm above soil level. The distribution of plant material within the canopy was determined by separating material growing in the upper canopy (>14cm) from the canopy edge and the inner canopy for both species. The experiments were carried out in the CER Bangor Air Pollution Facility. Work was funded by the Centre for Ecology and Hydrology Integrating Fund initiative. The observed decreases in photosynthetic efficiency and capacity in elevated ozone indicate that the ability of such ubiquitous vegetation to act as a sink for atmospheric carbon may be reduced in future climates.

Publication date: 2014-12-18 | created 2010-01-01 |

## Where/When

### Study area



### Temporal extent

2007-04-30 to 2007-10-31

## Online Resources

[Link to paper on NERC Open Research Archive \(NORA\)](#)

Hayes, Felicity; Mills, G.; Ashmore, M. 2010 How much does the presence of a competitor modify the within canopy distribution of ozone-induced senescence and visible injury? *Water, Air and Soil Pollution*, 216, 265-276. [doi:10.1007/s11270-009-0248-9](https://doi.org/10.1007/s11270-009-0248-9)

[Link to paper on NERC Open Research Archive \(NORA\)](#)

Hayes, F.; Mills, G.; Ashmore, M. 2009 Effects of ozone on inter- and intra-species competition and photosynthesis in mesocosms of *Lolium perenne* and *Trifolium repens*. *Environmental Pollution*, 157 (1), 208-214. [doi:10.1016/j.envpol.2008.07.002](https://doi.org/10.1016/j.envpol.2008.07.002)

### Supporting information

Supporting information available to assist in re-use of this dataset.

### Online ordering

Order a copy of this database

## Get the data

[Online ordering](#)

[Supporting documentation](#)

Format of the data: Comma Separated Values

### If you reuse this data, you must cite

Hayes, F.; Mills, G.; Ashmore, M. (2014). Biomass of *Trifolium repens* versus *Lolium perenne* after ozone exposure in solardomes. NERC Environmental Information Data Centre. [doi:10.5285/526e9007a-4dc3-4840-a952-48873a68d23d](https://doi.org/10.5285/526e9007a-4dc3-4840-a952-48873a68d23d)

[BioRxiv](#) | [RS](#)

This resource is made available under the terms of the [Open Government Licence](#)

## This dataset is part of the series

[Trifolium and Lolium competition in ozone](#)



## Dataset

# United Kingdom Butterfly Monitoring Scheme: site location data 2011

This dataset has been withdrawn.

Botham, I; Roy, D; Brereton, T; Middlebrook, I; Randle, Z (2013)

[doi:10.5285/1f1f064cc-1dd1-4dc1-ad89-d8497e1bcabe](https://doi.org/10.5285/1f1f064cc-1dd1-4dc1-ad89-d8497e1bcabe)

This dataset provides the details of all sites which have been monitored as part of the UK Butterfly Monitoring Scheme (UKBMS). Data includes the location within the UK, the length and width of the line transect on each site, and how long the transect has been monitored. The UKBMS started in 1976 with fewer than 50 sites. The number of sites monitored each year has increased to over a thousand since 2008. There is turnover in sites monitored each year and details of the first and last year in which each site was surveyed are given. The majority of site data is provided by recorders at the time a transect is created. The majority of these recorders are volunteers. The Centre for Ecology & Hydrology (CEH) and Butterfly Conservation (BC) collate the data and the UKBMS is funded by a consortium of organisations led by the Joint Nature Conservation Committee (JNCC).

Publication date: 2013-02-15 ( created 2013-02-07 )

## Where/When

### Study area



### Temporal extent

### Get the data

This dataset has been superseded

[More information](#)

 [Supporting documentation](#)

Format of the data: Comma Separated Values

#### If you reuse this data, you must cite

Botham, I; Roy, D; Brereton, T; Middlebrook, I; Randle, Z (2013). United Kingdom Butterfly Monitoring Scheme: site location data 2011. NERC Environmental Information Data Centre. [doi:10.5285/1f1f064cc-1dd1-4dc1-ad89-d8497e1bcabe](https://doi.org/10.5285/1f1f064cc-1dd1-4dc1-ad89-d8497e1bcabe)

