

Blood flow responses to endurance exercise in heart failure - *Benda et al.*

1 **HEART FAILURE PATIENTS DEMONSTRATE IMPAIRED CHANGES**
2 **IN BRACHIAL ARTERY BLOOD FLOW AND SHEAR RATE**
3 **PATTERN DURING MODERATE-INTENSITY CYCLE EXERCISE**

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31 **NEW FINDINGS**

32 -We explored whether heart failure (HF) patients demonstrate different exercise-induced
33 brachial artery shear rate patterns compared to controls.

34 -Moderate-intensity cycle exercise in HF patients is associated with an attenuated increase in
35 brachial artery antegrade and mean shear rate as well as skin temperature compared to
36 controls.

37 -Differences between HF patients and controls cannot be fully explained by differences in
38 workload.

39 -HF patients therefore demonstrate a less favourable shear rate pattern during cycle exercise
40 compared to controls.

41 -The exact consequences for vascular adaptation to exercise training should be further
42 explored.

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ABSTRACT

Introduction. Repeated elevations in shear rate (SR) in conduit arteries, such as present during exercise, represent a key stimulus to improve vascular function. We examined whether heart failure (HF) patients demonstrate distinct changes in SR in response to moderate-intensity cycle exercise compared to healthy controls.

Methods. We examined brachial artery SR during 40 minutes of cycle exercise at a work rate equivalent to 65% peak oxygen uptake in 14 HF patients (65±7 yrs, 13:1 male:female) and 14 controls (61±5 yrs, 12:2 male:female). Brachial artery diameter, SR and oscillatory shear index (OSI) were assessed using ultrasound at baseline and during exercise.

Results. HF patients demonstrated an attenuated increase in mean and antegrade brachial artery SR during exercise compared to controls ('time*group'-interaction: P=0.003 and P<0.001, respectively). Retrograde SR increased at the onset of exercise and remained increased throughout the exercise period in both groups ('time*group'-interaction: P=0.11). In controls, the immediate increase in OSI during exercise ('time': P<0.001) is normalized after 35 minutes of cycling. In contrast, the increase in OSI after the onset of exercise did not normalize in HF ('time*group'-interaction: P=0.029). Subgroup analysis of 5 HF patients and 5 controls with comparable workload (97±13 *versus* 90±22 Watt, P=0.59) confirmed the presence of distinct changes in mean SR during exercise ('time*group'-interaction: P=0.030). Between-group differences in antegrade/retrograde SR or OSI did not reach statistical significance ('time*group'-interactions: P>0.05).

Conclusion. HF patients demonstrate a less favourable SR pattern during cycle exercise than controls, characterized by an attenuated mean and antegrade SR, and increased OSI.

68 **INTRODUCTION**

69 Patients with heart failure (HF) are characterized by reduced myocardial function and
70 impaired peripheral vascular function (Drexler *et al.*, 1993; Drexler, 1995; Brubaker, 1997).
71 Exercise training has potent effects on symptoms and prognosis of HF (Hambrecht *et al.*,
72 1998; Maiorana *et al.*, 2000a; Maiorana *et al.*, 2000b; Wisloff *et al.*, 2007), which are, at least
73 partly, mediated through direct improvement of peripheral vascular function and structure
74 (Green *et al.*, 2008). Previous studies demonstrated that (repeated) elevations in shear rate
75 (SR) represent a key stimulus for these beneficial vascular adaptations (Tinken *et al.*, 2009;
76 Tinken *et al.*, 2010). Recent studies in healthy humans have confirmed that repeated
77 elevations in shear contribute to vascular adaptation in active (Tinken *et al.*, 2010) and non-
78 active (Birk *et al.*, 2012) vascular beds. Under resting conditions, SR pattern in peripheral
79 vessels, such as the brachial artery, varies across the cardiac cycle, with a large antegrade
80 component during systole being followed by a retrograde component in early diastole
81 (Blackshear *et al.*, 1979). Previous studies have related repeated exposure to elevations in
82 antegrade SR to improvement in vascular function, whilst elevations in the retrograde
83 component exert a proatherogenic effect on the endothelium (Laughlin *et al.*, 2008; Thijssen
84 *et al.*, 2009b).

85

86 Immediately after the onset of lower limb cycle exercise, an increased retrograde flow or SR
87 is present in the brachial artery (Green *et al.*, 2002; Thijssen *et al.*, 2009a), probably due to an
88 increase in vascular resistance in the inactive upper limbs that is mediated through
89 sympathetic vasoconstriction (Padilla *et al.*, 2010). This SR pattern at the onset of exercise
90 alters when exercise continues, represented by an attenuation of the retrograde SR and
91 simultaneous increase in mean and antegrade SR (Simmons *et al.*, 2011). Simmons and
92 colleagues demonstrated that normalization of retrograde SR is partly related to

93 thermoregulatory responses (Simmons *et al.*, 2011). More specifically, exercise caused an
94 increase in core body and skin temperatures as well as a decrease in peripheral vascular tone,
95 which subsequently resulted in a normalization of the retrograde SR during prolonged
96 exercise in healthy volunteers (Simmons *et al.*, 2011).

97

98 HF patients are known to have peripheral vascular abnormalities (Packer, 1988; Drexler *et al.*,
99 1993; Drexler, 1995; Poelzl *et al.*, 2005) and/or altered thermoregulatory responses to
100 exercise (Griffin *et al.*, 1993; Cui *et al.*, 2005; Green *et al.*, 2006). More specifically, HF
101 patients have a diminished endothelial function (Drexler *et al.*, 1993) and demonstrate an
102 attenuated forearm blood flow response to handgrip exercise (Takeshita *et al.*, 1996).
103 Moreover, (short-term) exercise causes a decrease in core body and skin temperature in HF
104 patients (Shellock *et al.*, 1983; Griffin *et al.*, 1993). These abnormalities could affect SR
105 pattern during exercise in HF patients. To our knowledge, no previous study examined SR
106 patterns during exercise in HF patients. Therefore, the primary purpose of our study was to
107 compare the changes in brachial artery SR pattern during lower limb exercise between HF
108 patients and healthy controls. We hypothesize that HF patients have different brachial artery
109 SR responses to lower limb cycle exercise compared to controls. Specifically, we expect HF
110 patients to have 1) an attenuated exercise-mediated increase in mean and antegrade SR and 2)
111 prolonged retrograde SR compared to healthy controls.

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113

114 **METHODS**

115 **Ethical approval**

116 This study was approved by the Medical Ethical Committee of the Radboud university
117 medical center (CMO Regio Arnhem-Nijmegen) and complies with the Declaration of

118 Helsinki. Written informed consent was obtained from each participant before inclusion in
119 this study.

120

121 **Participants**

122 Fourteen patients (65 ± 7 yrs, 13:1 male:female) with HF New York Heart Association class I-
123 III and a left ventricular ejection fraction lower than 45% were recruited from the
124 Departments of Cardiology of the Radboud university medical center and Canisius
125 Wilhelmina Hospital (Nijmegen, The Netherlands) (Table 1). Furthermore, we recruited 14
126 healthy controls (61 ± 5 yrs, 12:2 male:female) (Table 1). All patients were in a
127 pharmacologically and clinically stable situation for at least one month. One patient increased
128 the dosage of fasinopril one week prior to the measurements. Control participants were free of
129 overt cardiovascular diseases and did not use medication affecting the cardiovascular system.
130 None of the participants were diagnosed with diabetes mellitus.

131

132 **Experimental protocol**

133 Participants reported to the laboratory twice and were instructed to continue their medication
134 (e.g. β -blockers), with the exception of diuretics for practical reasons, prior to all
135 measurements. On day 1, a medical screening was performed after which participants
136 underwent a maximal incremental cycling test to determine physical fitness. Prior to day 2,
137 participants refrained from consuming coffee, tea, chocolate, vitamin C and alcohol for 18
138 hours prior to testing. Participants were instructed to avoid any strenuous physical activity
139 within the 24 hours before testing, and to consume a light meal at least two hours before
140 testing. The measurements were performed in a temperature-controlled room (21.9 ± 0.8 °C).
141 After instrumentation, participants rested in the supine position for 10 minutes, followed by
142 measurement of blood pressure. Subsequently, the participants were positioned on the cycle

143 ergometer for a 30-minute moderate-intensity exercise, preceded by a 10-minute warm-up.
144 We continuously measured brachial artery diameter and SR pattern using ultrasound and
145 forearm skin temperature using skin thermistors.

146

147 **Day 1: Maximal incremental cycling test**

148 The incremental maximal cycling test was performed on a cycle ergometer (Lode, Excalibur
149 v1.52, 1991, Groningen, the Netherlands/Ergoline, Ergoselect 200k, Bitz, Germany). After a
150 2-minute baseline measurement, participants started cycling and workload was increased by
151 10-25 Watt per minute, depending on the sex, age and height of the healthy participants
152 (Jones *et al.*, 1985) and the estimated physical fitness of the HF patients. Participants were
153 instructed to pedal at a frequency of ≥ 60 rpm until volitional fatigue. All participants reached
154 volitional fatigue during this test, whilst none of the tests were symptom-limited. During
155 exercise we continuously measured oxygen uptake (breath-by-breath, CPET Cosmed v9.1b,
156 Rome, Italy/LabManager V5.32.0) to determine peak oxygen uptake (VO_{2peak}), which was
157 defined as the average oxygen uptake during the last 30 seconds of the exercise test.

158

159 **Day 2: Moderate-intensity lower limb cycle exercise**

160 Work rate was matched such that all participants exercised at the same relative intensity. We
161 used heart rate to match work rate between participants, by aiming for a heart rate that
162 corresponded to a certain percentage of the VO_{2peak} (derived from the maximal incremental
163 cycling test). Given the marked differences in fitness between HF and controls, matching at
164 absolute workload would result in extremely low levels of workload for controls (which are
165 not realistic for real-life situation). A 10-minute warm-up at a work rate equivalent to 40%
166 VO_{2peak} was performed, followed by a 30-minute moderate-intensity exercise at a work rate
167 equivalent to 65% VO_{2peak} . To verify intensity during exercise, heart rate was registered

168 continuously using a heart rate monitor (Polar Electro Oy, Kempele, Finland). Due to
169 practical and technical difficulties, we were not able to continuously measure blood pressure
170 during exercise in our participants. At the end of the warm-up and at 10-minute intervals, the
171 Borg score (6-20 scale) for perceived exertion was obtained (Borg et al., 1987). Participants
172 were allowed to pedal at their preferred rotation frequency, but at least at ≥ 50 rotations per
173 minute.

174

175 **Brachial artery shear rate pattern**

176 To measure brachial artery SR pattern the right arm was extended to the side, supported by a
177 memory foam cushion, at an angle of $\approx 80^\circ$ from the torso. The right brachial artery was
178 imaged in the distal third of the upper arm by a 10-MHz multifrequency linear array probe
179 attached to a high-resolution ultrasound machine (Terason T3000, Aloka, UK) by a well-
180 trained sonographer (NMMB). Ultrasound parameters were optimized to obtain B-mode
181 images from artery lumen and wall. Doppler velocity was measured simultaneously with an
182 insonation angle of $< 60^\circ$. A 2-minute baseline recording was acquired preceding the exercise
183 protocol. During the exercise bout, 1-minute ultrasound recordings were made every 5
184 minutes. The acquired images were recorded and stored as a digital AVI file for later analysis.

185

186 **Forearm skin temperature**

187 Previous work has related thermoregulatory changes, or more specifically skin perfusion, to
188 changes in the upstream conduit arteries (Simmons *et al.*, 2011). Unfortunately, technology to
189 assess skin perfusion such as laser-Doppler was not available at the time of testing. In order to
190 acquire information on thermoregulatory changes, we measured forearm skin temperature.
191 Although skin temperature and skin perfusion during exercise seem to follow a similar pattern
192 (Simmons *et al.*, 2011; Demachi *et al.*, 2013), relatively little is known about the relation

193 between skin temperature and perfusion during exercise and factors influencing this
194 relationship (Taylor *et al.*, 2014). Forearm skin temperature was measured every 30 seconds
195 using iButtons (Thermochron iButton DS1291H, Dallas Maxim). The skin thermistors were
196 attached to the skin using medical tape at the right lower arm, wrist and hand (dorsal side).
197 Forearm skin temperature was calculated as the average skin temperature of these three
198 locations. Baseline values were determined from the average over the 5 minutes preceding
199 exercise. Skin temperature data was analyzed using Matlab (Matlab R2008a, MathWorks,
200 Natick, MA) and for each time-point averaged over the preceding 5 minutes.

201

202 **Data analysis**

203 Brachial artery diameter and flow velocity images were analyzed using custom-designed
204 edge-detecting and wall-tracking software, which ensures accurate and reproducible analysis
205 (Woodman *et al.*, 2001). This process is described in previous studies (Black *et al.*, 2008;
206 Thijssen *et al.*, 2009a; Thijssen *et al.*, 2009b). In short, the software analysis is based on an
207 icon-based graphical programming language. A pixel-density algorithm automatically
208 identifies the near and far wall of the artery to trace the artery diameter, whilst another
209 algorithm traces the red blood cell velocity signal. Average values of the diameter are
210 calculated, stored and synchronized with blood velocity data to obtain blood flow, SR and
211 oscillatory shear index.

212 Blood flow was calculated as the product of cross-sectional area of the brachial artery (cm²)
213 and Doppler mean blood flow velocity (cm/s). SR was defined as $4 \times V_m/D$, where V_m is
214 Doppler mean blood flow velocity (cm/s) and D is arterial diameter (cm). Retrograde SR is
215 defined as negative SR, in which an increase in retrograde SR entails more negative shear.
216 Oscillatory shear index was determined by $|retrograde\ SR|/(|retrograde\ SR|+antegrade\ SR)$
217 (Padilla *et al.*, 2010; Simmons *et al.*, 2011). The oscillatory shear index can range from 0 to

218 0.5, in which 0 indicates unidirectional SR and 0.5 represents maximal shear oscillations
219 (Simmons *et al.*, 2011).

220

221 **Statistical analysis**

222 Based on pilot work in our laboratory, we calculated that we need 14 participants to detect a
223 difference of 0.13 in oscillatory shear index and estimated SD of this difference of 0.116
224 (power of 80%, alpha of 0.05) (GPower 3.0.10, Düsseldorf, Germany). Differences in
225 baseline characteristics between HF patients and controls were compared using independent
226 Student's *t* tests. The sex distribution between HF patients and controls was compared with a
227 Chi-square test. A 2-way repeated measures ANOVA was used to examine whether exercise-
228 induced changes in mean, antegrade and retrograde SR, oscillatory shear index and skin
229 temperature ('time'; within-subject factor) differ between HF patients and healthy controls
230 ('group'; between-subject factor). When a significant main or interaction effect was observed,
231 post-hoc tests with Least Square Difference were used to identify differences between groups
232 (at the various time points) and within groups (when compared to baseline). Due to the large
233 difference in absolute workload between HF patients and controls, we included a subgroup
234 analysis with comparable absolute workload. For this purpose, we included 5 HF patients with
235 the highest and 5 controls with the lowest absolute workload in this explorative, statistically
236 underpowered subgroup analysis. Data are presented as mean \pm SD unless stated otherwise.
237 Significance level was set at $P \leq 0.05$.

238

239

240 **RESULTS**

241 **Subject characteristics**

242 Compared to controls, HF patients demonstrated a higher BMI and lower VO_{2peak} , whilst no
243 significant differences between HF patients and controls were found for age, sex, body weight
244 and blood pressure (systolic and diastolic) (Table 1). Cardiovascular medication use by HF
245 patients is presented in Table 2. Both groups performed exercise at comparable intensity when
246 presented as relative workload (%max), and rate of perceived exertion (Borg score) (Table 3).

247

248 **Brachial artery SR and blood flow pattern**

249 Brachial artery diameter was not different between groups at baseline and did not change
250 significantly across the exercise bout in both groups (Table 4, 'time*group'-interaction
251 $P=0.18$). Baseline brachial artery SR and blood flow were not different between groups
252 (Figure 1, Table 4). Mean SR (Figure 1A) and blood flow (Table 4) initially decreased in both
253 groups at the onset of exercise (warm-up), followed by a gradual increase when exercise
254 continued (both 'time'-effect: $P<0.001$). Interestingly, HF patients demonstrated a
255 significantly smaller increase in mean SR (Figure 1A) and blood flow (Table 4) compared to
256 controls (both 'time*group'-interaction: $P<0.05$). Brachial artery antegrade SR (Figure 1B)
257 and blood flow (Table 4) increased across the lower limb cycle exercise bout in both groups,
258 whilst this increase was significantly lower in HF patients compared to controls (both
259 'time*group'-interaction: $P<0.001$). Retrograde SR (Figure 1C) and blood flow (Table 4)
260 increased at the onset of exercise in both groups, and remained increased throughout the
261 exercise period in both groups (both 'time'-effect: $P<0.001$). To correct for individual
262 differences in antegrade and retrograde SR, we also presented oscillatory shear index. After
263 the onset of exercise, oscillatory shear index increased immediately in both groups (Figure
264 1D). In controls, oscillatory shear index returned to baseline values after 35 minutes of
265 exercise, whilst oscillatory shear index remained elevated in HF patients across the exercise
266 bout (Figure 1D, 'time*group'-interaction: $P=0.029$).

267

268 **Forearm skin temperature**

269 Skin temperature of the arm decreased initially in both groups (Figure 2, 'time'-effect:
270 $P < 0.001$). Lower limb cycle exercise induced a significant increase in skin temperature in
271 controls after 40 minutes, whilst in HF patients skin temperature did not increase above
272 baseline values (Figure 2, 'time*group'-interaction: $P = 0.002$).

273

274 **Subgroup analysis (comparable absolute workload)**

275 **Subject characteristics.** In the subgroup analysis, we included 5 HF patients (64 ± 7 yrs, 5
276 males, peak oxygen uptake 22.5 ± 3.4) and 5 controls (64 ± 7 yrs, 3:2 male:female, peak oxygen
277 uptake 28.4 ± 8.2). Medication use in the HF patient group was: β -blockers (100%), statins
278 (100%), diuretics (60%), angiotensine converting enzyme-inhibitors (60%), aldosterone
279 antagonists (40%), coumarin derivatives (60%), antiplatelet drugs (40%), and angiotensine II
280 antagonists (60%). The exercise bout was performed at comparable absolute workload; 97 ± 13
281 Watt and 90 ± 22 Watt in HF patients and controls respectively ($P = 0.59$). HF patients and
282 controls performed exercise at comparable intensity when presented as relative heart rate
283 ($77 \pm 9\%$ versus $78 \pm 9\%$, $P = 0.79$) and rate of perceived exertion (14 ± 2 versus 14 ± 2 , $P = 0.89$).

284

285 **Brachial artery SR and blood flow.** Subgroup analysis at comparable absolute workloads
286 revealed that brachial artery diameter and SR were not different between groups at baseline
287 (all $P > 0.05$, data not presented). A significant main effect of 'time' (all $P < 0.001$) was
288 observed for mean, antegrade and retrograde SR and oscillatory index in the subgroup
289 analysis. A significant 'time*group'-interaction effect was found for mean SR ($P = 0.030$),
290 with post-hoc analysis revealing a smaller exercise-induced increase in mean SR in HF
291 patients compared to controls. Such differences between groups did not reach statistical

292 significance for antegrade SR, retrograde SR or oscillatory index (Figure 3). Similar to the SR
293 data, a significant main effect for 'time' and 'time*group'-interaction was observed for mean
294 blood flow, but not for antegrade and retrograde blood flow (data not shown). No changes in
295 brachial artery diameter were observed for both groups (data not shown).

296

297 **Forearm skin temperature.** A significant 'time'-effect ($P=0.029$) was found. A trend for an
298 increase in skin temperature in controls, but not in HF, can be observed ('time*group'-
299 interaction; $P=0.09$, Figure 4).

300

301

302 **DISCUSSION**

303 This study investigated the impact of HF on brachial artery SR and blood flow pattern during
304 lower limb cycle exercise. We have demonstrated that healthy controls as well as HF patients
305 demonstrate a marked increase in oscillatory shear index after the onset of moderate-intensity
306 cycle exercise, which is largely explained by an increase in retrograde SR. Secondly, when
307 exercise continues, oscillatory shear index normalizes in controls, which coincides with a
308 further increase in mean and antegrade SR and increase in forearm skin temperature. In
309 contrast, HF patients demonstrate no normalization of oscillatory shear index, an attenuated
310 increase in mean and antegrade SR and no increase in forearm skin temperature when
311 exercise continues. When analyzing subgroups in which participants performed exercise at
312 comparable *absolute* workloads, although underpowered, the presence of distinct blood flow
313 and shear rate responses between HF patients and controls seems to be confirmed. Therefore,
314 the difference in SR is unlikely to be fully explained by the differences in absolute workload.
315 Taken together, our findings suggest that HF patients show a potentially less favorable SR
316 pattern during exercise than controls.

317

318 The primary purpose of our study was to compare the changes in SR during exercise between
319 healthy controls and HF patients. First, we found an attenuated increase in brachial artery
320 mean and antegrade SR during cycle exercise in HF patients compared to controls. A second
321 finding is that, after the characteristic initial increase in retrograde SR and oscillatory shear
322 index during cycle exercise, HF patients demonstrate no change in retrograde SR or
323 oscillatory shear index. The distinct SR responses to exercise in HF patients may relate to the
324 lower absolute workload and/or heart rate in the HF patient group, as a higher workload and
325 heart rate is typically associated with a larger increase in cardiac output (Beck *et al.*, 2006;
326 Fukuda *et al.*, 2012). Due to the large difference in absolute workload between HF patients
327 and controls, we have provided a subgroup analysis in which absolute workload was
328 comparable between groups. This analysis confirmed the presence of an attenuated increase in
329 mean SR during exercise in HF patients compared to controls. Although statistically
330 underpowered, the P-value for between-group differences in retrograde SR approached
331 significance and mean data for antegrade/retrograde SR and oscillatory shear index was
332 comparable to the original analysis. Therefore, difference in the exercise-induced changes in
333 SR and blood flow between groups is unlikely fully explained by the difference in absolute
334 workload.

335

336 Various factors may contribute to the distinct SR pattern during exercise between HF and
337 controls. First, SR pattern is directly influenced by peripheral vascular resistance (Bacelli *et*
338 *al.*, 1985; Thijssen *et al.*, 2014). The inability to attenuate retrograde SR, and hereby
339 oscillatory shear index, in HF patients may relate to an elevated peripheral resistance during
340 exercise. Indeed, an enhanced forearm vascular resistance in HF patients was found
341 previously during cycle exercise (Chiba *et al.*, 2007), supporting this suggestion. One

342 potential explanation for the enhanced peripheral resistance is an elevated sympathetic nerve
343 system activity in HF patients (Packer, 1988; Triposkiadis *et al.*, 2009) that may remain
344 present during exercise (Chidsey *et al.*, 1962). Unfortunately, due to technical and practical
345 difficulty, we were unable to provide insight into the exercise-induced changes in blood
346 pressure (and therefore peripheral arterial resistance). Alternatively, the distinct SR patterns
347 during cycle exercise may relate to different thermoregulatory changes during exercise as skin
348 cooling is demonstrated to increase the degree of retrograde SR during cycling (Simmons *et*
349 *al.*, 2011). The absent increase in skin temperature during cycle exercise in HF patients in our
350 study may contribute to the inability to normalize retrograde SR and oscillatory shear index.
351 Lower metabolic heat production may contribute to our observations when comparing all
352 participants (Jay *et al.*, 2011). However, analysis of subgroups with comparable workload
353 suggests that HF patients still demonstrate an absent increase in skin temperature during cycle
354 exercise.

355

356 During the initial phase of exercise an increase in antegrade and retrograde SR and oscillatory
357 shear index have been described (Green *et al.*, 2002; Thijssen *et al.*, 2009a). Recently,
358 Simmons *et al.*, found a normalization of retrograde SR and an increase in forearm skin
359 temperature when exercise continues (Simmons *et al.*, 2011). Interestingly, these findings in
360 young subjects contrast with our observations in older humans, as we found that retrograde
361 SR was not normalized during cycle exercise and that forearm skin temperature only
362 demonstrated a late increase in healthy older controls. Although we did not intend to directly
363 compare young and older subjects, these data suggest that advanced age is associated with
364 delayed normalization of brachial artery SR pattern during exercise. Future studies are
365 required to better understand the impact of advanced age on SR pattern during exercise.

366

367 *Clinical Relevance.* Although previous studies investigating the effects of endurance exercise
368 training in HF patients found improvement in brachial artery vascular function after training
369 (Belardinelli *et al.*, 2005; Wisloff *et al.*, 2007), the prolonged exposure to a less favorable
370 shear pattern as observed in our study, may prevent HF patients to optimally benefit from
371 exercise training. Although the differences in shear pattern may be less pronounced when
372 comparing groups who exercised at comparable absolute levels, it should be acknowledged
373 that exercise prescription (especially in rehabilitation settings) is based on relative exercise
374 intensity levels. Therefore, this study adopted an exercise intensity level and duration that is
375 typically applied in rehabilitation settings in HF patients. Importantly, HF patients were on
376 optimal pharmacological treatment, which improves extrapolation of our findings to daily life
377 situations. Whether different types or forms of exercise that are associated with a larger
378 antegrade SR and/or smaller retrograde SR lead to larger improvements in vascular function is
379 currently speculative, and should be subject for future research.

380

381 *Limitations.* A potential limitation is the difference in BMI between HF and controls, since
382 BMI may affect skin temperature responses during exercise. However, with some studies
383 indicating that fat mass does not influence skin temperature responses to exercise (Limbaugh
384 *et al.*, 2013; Adams *et al.*, 2014) and others reporting impaired skin temperature and blood
385 flow responses to exercise (Vroman *et al.*, 1983; Havenith *et al.*, 1995), the effect of fat mass
386 on skin temperature during exercise is unclear. Given the modest differences in BMI between
387 our groups, and the conflicting results from previous work, we believe that difference in BMI
388 between groups unlikely explains our observations. Another potential limitation was the use
389 of β -blockers by HF patients as this induces a lower resting and peak heart rate (Witte *et al.*,
390 2006). However, since hemodynamic responses to exercise are significantly improved in HF
391 patients by β -blocker therapy (Andersson *et al.*, 1994), withdrawing β -blockers is expected to

392 enlarge the differences in thermoregulatory responses to exercise between HF patients and
393 controls. Moreover, we intended to study thermoregulatory responses during real life
394 situations for HF patients and therefore continued medication. Finally, other limitations of our
395 study are the underpowered subgroup analysis and that we were not able to measure skin
396 perfusion during exercise.

397

398 In conclusion, we found that, when exercise is matched at relative intensity (65% of $\text{VO}_{2\text{peak}}$),
399 HF patients demonstrate prolonged exposure to a less favourable brachial artery SR pattern
400 during lower limb cycle exercise. More specifically, HF patients demonstrate an attenuated
401 increase in mean and antegrade SR during exercise, but also a prolonged increase in
402 oscillatory shear index. The latter observation coincides with an absent increase in forearm
403 skin temperature across the exercise bout. These distinct blood flow and SR patterns between
404 groups are unlikely to be fully explained by differences in absolute workload, but possibly
405 relate to between-group differences in vascular regulation. Therefore, our data suggest that,
406 when HF patients perform exercise at a level that is commonly adopted in rehabilitation
407 settings, HF patients are exposed to a less favorable shear pattern compared to controls. The
408 exact consequences for (vascular) adaptation to rehabilitation should be further explored.

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586 No conflicts of interest, financial or otherwise, are declared by the author(s).

587

588 **AUTHOR CONTRIBUTIONS**

589 Author contributions: N.M.B., M.T.H., D.H.T., conception and design of research. N.M.B.,

590 D.P.L. data acquisition and analysis. N.M.B., M.T.H., D.H.T. interpreted results of research.

591 N.M.B. prepared figures. N.M.B. drafted manuscript. N.M.B., J.P.S., D.P.L., A.P.D., L.B.,

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594

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598

599 **Table 1:** Subject characteristics in HF patients (n=14) and healthy controls (n=14).

Parameter	Heart failure	Controls	P-value
Age (yrs)	65±7	61±5	0.06
Sex (male:female) ¹	13:1	12:2	0.54
Body weight (kg)	91±21	79±16	0.12
Height (cm)	175±5	179±5	0.044
BMI (kg/m ²)	29.4±6.7	24.7±4.6	0.037
NYHA class (I:II:III)	1:10:3		
Systolic blood pressure (mmHg)	130±17	129±15	0.87
Diastolic blood pressure (mmHg)	81±10	85±9	0.29
Resting heart rate (/min)	59±8	60±10	0.76
Peak heart rate (/min)	132±18	166±18	<0.001
Peak oxygen uptake (mlO ₂ /kg/min)	19.9±4.1	38.6±11.4	<0.001
Fasting glucose (mmol/L) ²	5.47±0.61		
BNP-level (pg/mL) ³	77±95	9±8	0.010
Current smoker (yes:no)	1:13	1:13	1.00

600 Data is presented as mean ± SD. P-value refers to an unpaired Student's *t*-test for continuous
601 variables.¹P-value refers to Chi-Square test for sex. ²Fasting glucose levels were available for
602 10 HF patients. ³P-value refers to a Mann-Whitney U test for BNP-level. BNP-levels were
603 available for 11 HF patients and 13 control participants. BMI; body mass index. BNP; brain
604 natriuretic peptide.

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Table 2: Cardiovascular medication use in HF patients (n=14).

Medication	Number of patients (%)
ACE-inhibitors	9 (64%)
Angiotensin II receptor antagonists	5 (36%)
Aldosterone antagonists	10 (71%)
Diuretics	8 (57%)
β -blockers	13 (93%)
Coumarin derivatives	9 (64%)
Antiplatelet drugs	5 (36%)
Statins	11 (79%)

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ACE; angiotensine converting enzyme.

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609 **Table 3:** Characteristics of the cycle exercise bout in HF patients (n=14) and controls (n=14).

Parameter	HF patients	Controls	P-value
Absolute workload (Watt)	73±23	122±29	<0.001
Relative workload (%max)	53±12	50±6	0.43
Average heart frequency (/min)	94±15	129±17	<0.001
Average heart frequency (%max)	72±8	78±7	0.051
Borg score 10min	12±2	12±2	0.54
Borg score 20min	13±2	13±2	0.62
Borg score 30min	14±3	14±2	0.59

610 Data is presented as mean ± SD. %max; percentage of maximally achieved workload/heart
 611 frequency.

Table 4: Brachial artery diameter and blood flow at baseline and during exercise in HF patients (n=14) and healthy controls (C) (n=14).

Parameter	Group	Time									2-way ANOVA		
		0	5	10	15	20	25	30	35	40	time	group	time*group
Diameter (mm)	HF	4.4±0.6	4.4±0.6	4.3±0.6	4.3±0.6	4.3±0.7	4.3±0.7	4.3±0.6	4.3±0.6	4.3±0.5	0.249	0.16	0.18
	C	4.0±0.4	4.0±0.5	4.0±0.6	3.9±0.4	4.0±0.5	4.1±0.4	4.1±0.5	4.1±0.5	4.0±0.6			
Mean blood flow (mL/min)	HF	52±33	33±36*	41±35	36±34	50±53	55±49	85±68	92±73*	96±75*	<0.001	0.07	0.001
	C	47±34	25±34*	34±38*	50±40	81±61*	118±60*#	140±69*#	146±63*#	177±77*#			
Antegrade blood flow (mL/min)	HF	74±32	93±38*	95±30*	121±36*	134±52*	138±49*	164±63*	166±58*	170±67*	<0.001	0.12	<0.001
	C	59±31	95±46*	102±50*	143±61*	168±68*	202±73*#	219±91*	219±72*#	237±86*#			
Retrograde blood flow (mL/min)	HF	-22±26	-60±32*	-54±27*	-85±35*	-84±38*	-83±46*	-79±39*	-74±45*	-74±47*	<0.001	0.93	0.32
	C	-12±10	-70±30*	-68±29*	-93±40*	-87±41*	-84±41*	-79±47*	-72±37*	-60±36*			
Heart frequency (beats/min)	HF	62±8	75±11*	79±11*	89±12*	93±14*	94±14*	96±16*	97±17*	97±18*	<0.001	<0.001	<0.001
	C	67±11	90±13*#	93±14*#	114±15*#	125±18*#	127±18*#	134±19*#	134±18*#	139±20*#			

Data is presented as mean ± SD. * Post-hoc *t*-test significantly different compared to baseline at P<0.05. # Post-hoc *t*-test significantly different compared to HF patients at P<0.05.

FIGURE LEGENDS

FIGURE 1. A. Brachial artery mean (A), antegrade (B) and retrograde SR (C) and oscillatory shear index (D) at baseline and during exercise in HF patients (n=14) and controls (n=14).

HF patients demonstrate a lower exercise-induced increase in antegrade and mean SR and an increased oscillatory index during exercise. Error bars represent SE. Results from the 2-way repeated measures ANOVA are presented in the figure. *Post-hoc *t*-test significantly different compared to baseline at $P < 0.05$ for individual groups (i.e. significant time*group'-interaction) or both groups combined (i.e. no significant time*group-interaction). #Post-hoc *t*-test significantly different compared to HF patients at $P < 0.05$.

FIGURE 2. Skin temperature of the right forearm at baseline and during exercise in HF patients (n=14) and controls (n=14).

Forearm skin temperature decreased initially in both groups, after which skin temperature increases in controls, whilst forearm skin temperature remains decreased in HF patients. Error bars represent SE. Results from the 2-way repeated measures ANOVA are presented in the figure. *Post-hoc *t*-test significantly different compared to baseline at $P < 0.05$.

FIGURE 3. A. Brachial artery mean (A), antegrade (B) and retrograde SR (C) and oscillatory shear index (D) at baseline and during exercise in a subgroup of HF patients (n=5) and controls (n=5).

HF patients demonstrate a smaller exercise-induced increase in mean SR compared to controls. Differences in exercise-induced antegrade and retrograde SR and

oscillatory index between HF patients and controls did not reach statistical significance. Error bars represent SE. Results from the 2-way repeated measures ANOVA are presented in the figure. *Post-hoc *t*-test significantly different compared to baseline at $P < 0.05$. When no significant time*group'-interaction is found, *relates to both groups combined instead of the separate groups. #Post-hoc *t*-test significantly different compared to HF patients at $P < 0.05$.

FIGURE 4. Skin temperature of the right forearm at baseline and during exercise in a subgroup of HF patients (n=5) and controls (n=5).

Forearm skin temperature decreased initially in both groups. HF patients show a non-significant lower increase in skin temperature during exercise ('time*group'-interaction effect $P = 0.09$). Error bars represent SE. Results from the 2-way repeated measures ANOVA are presented in the figure. *Post-hoc *t*-test significantly different compared to baseline at $P < 0.05$. There is no significant time*group'-interaction, *therefore relates to both groups combined instead of the separate groups.