

Fair Signature Exchange via Delegation on Ubiquitous Networks

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Abstract

This paper addresses the issue of autonomous fair signature exchange in emerging ubiquitous (u-) commerce systems, which require that the exchange task be delegated to authorised devices for its autonomous and secure execution. Relevant existing work is either inefficient or ineffective in dealing with such delegated exchange. To rectify this situation, this paper aims to propose an effective, efficient and secure solution to the delegated exchange to support the important autonomy feature offered by u-commerce systems. The proposed work includes a novel approach to symmetric-key based verifiable proxy encryption to make the exchange delegation flexible, efficient and simple to implement on resource-limited devices commonly used in u-commerce systems. This approach is then applied to design a new exchange protocol. An analysis of the protocol is also provided to confirm its security and fairness. Moreover, a comparison with related work is presented to demonstrate its much better efficiency and simplicity.

Key words: Ubiquitous computing, Fair exchange, Signature, Communication protocol.

1. Introduction

The advance of ubiquitous computing technology enables everyday objects such as refrigerators and toasters to be augmented with information processing capabilities to offer ubiquitous network platforms on which to build smart integrated applications and services. This opens up great opportunities for pressing current electronic or mobile (*e/m-*) commerce technologies forward to provide seamless and intelligent business services from anywhere at anytime, which is also called ubiquitous (u-) commerce. While u-commerce greatly enhances the quality of life for individuals and families, its systems typically involve distributed and autonomous operations running on much open, dynamic and resource-diversified ubiquitous networks. These features are making the system security protection very challenging. Without proper security assurance, the wide acceptance and deployment of u-commerce would not become reality.

One of the important security challenges for u-commerce systems is about how to fulfil fair signature exchange. Such exchange means that two parties (e.g. individuals, companies or systems) can exchange their valuable digital signatures for agreed commercial transactions such as contract signing over networks without one party being disadvantaged by the other during the exchange process. More specifically, the fairness requires that either each party, or neither of them, can get the expected signature from the other party at the end of the exchange, which is also referred to as strong fairness [1]. This requirement is essential for preventing one party from deceptively

gaining business or financial advantages over the other.

To conduct a fair signature exchange, the existing work normally simplifies the exchange settings by assuming that decision making responsibilities for the exchange rest with the parties involved in the exchange and trusted computing devices are employed to execute the exchange. This simplification leads to a more focused issue of how to exchange the agreed signatures fairly without concerns about the environment in which the exchange is conducted. Accordingly the solutions developed under such assumptions are applicable to less intelligent e/m-commerce systems with fairly static exchange scenarios and settings.

However, emerging u-commerce systems are posing new challenges to fair signature exchange owing to their more complex features such as high autonomy, distributability and heterogeneity. To illustrate such features, we present the following example about a potential u-commerce setting for a user Alice and her smart home, which is derived from the example given in [20]:

- Alice is informed at work that her request for changing to a more rewarding job within her organisation has been granted. She is delighted with the news, and decides to invite her parents for dinner in her house in the evening to tell them the good news at the dinner table.
- She uses her smart phone to prepare a list of groceries needed for the dinner and sends it to her home manager - a software agent running on one of her home computing devices.
- The home manager responds to the request by initiating the following tasks:
 - Checks the RFID (radio frequency identification) enabled fridge and cupboards in the kitchen in reference to the received grocery list to decide what to buy, asks price quotations from nearby supermarkets, and sends a purchase order to the supermarket, offering the best deal, for home delivery in the afternoon;
 - Inspects the networked heating facilities fuelled by either solar power or gas controlled by a “pay as you go” meter, finds that the fuel supply is insufficient to keep the house warm due to cold weather, and thus decides to buy additional credits on-line from a gas supplier to top up the gas meter.
- Alice arrives at home after work, prepares the dinner with the delivered groceries, and shares the good news and nice meal with her parents in the warm house.

This application scenario involves the following signature exchanges:

- (1) Alice’s payment authorisation signature on the grocery order is exchanged for the supermarket’s signature on a digital receipt for the paid groceries;

(2) Alice's payment authorisation signature on the gas credit purchase is exchanged for the gas supplier's signature on a digital ticket of the purchased credits.

Clearly, the above example demonstrates that Alice's home system is autonomous in deciding what, from whom, when and how purchases should be made with regard to given policies or requests. In this case, it is crucial for Alice to delegate the signature generation and exchange to her agent - home manager. Otherwise, she would have to make herself available for signing signatures when they are required, as she often does not know beforehand what to sign, e.g. the gas credit purchase mentioned above. This would seriously hinder the system's efficiency and effectiveness, especially when a signature is needed but Alice is unavailable to sign it.

Additionally, the system makes use of heterogeneous computing facilities ranging from small microprocessors embedded in devices such as a gas meter to a big home computer. The system operations are highly distributed among home appliances, mobile devices and the Internet for collaborative smart decision-making and autonomous execution. Clearly these capabilities are essential for the provision of smart services, which are much more beneficial to users than those offered by the existing e/m commerce applications. However, such benefit also brings complication into the u-commerce system. Particularly, its operations are much more open, dynamic, inter-operative and autonomous. This does not match the assumptions mentioned earlier for the development of existing signature exchange solutions, as exchange decision is no longer directly made by a user and computing devices used for the exchange could be vulnerable to security attacks. Consequently the existing solutions are either ineffective or inefficient to properly handle signature exchange in u-commerce settings, as will be discussed further later. Hence more research is needed to devise more suitable solutions.

The focus of this paper is on how to delegate the signature exchange task to an autonomous agent(s) and ensure the exchange fairness and security, which are essential for the exchange in u-commerce settings. So far, a large number of protocols have been developed for fair exchange [1-5, 7-8, 14, 18-20, 22-25]. Nevertheless, they are hardly intended for the emerging autonomous exchange scenarios of u-commerce. These protocols are mainly based on verifiable signature encryption to achieve the exchange fairness. They can be divided into two categories in terms of the types of encryption. The majority of the protocols fall in the first category that employs public-key based verifiable signature encryption (e.g. [2-5]). The other category comprises the protocols built on symmetric-key based verifiable signature encryption (e.g. [19-20, 25]).

The public-key based verifiable signature encryption allows its task to be delegated to a chosen agent(s), namely, it is applicable to u-commerce systems. The reason for this is that a public key is used to verifiably encrypt a

signature, so the public key can be directly given to the agent for performing the signature encryption. However, the symmetric-key based verifiable signature encryption is unsuitable for its direct delegation to a chosen agent, i.e., it is not directly applicable to u-commerce systems. Since a secret key is employed for a verifiable symmetric encryption of a signature in this case, the delegation of the encryption task to a chosen agent would require directly assigning the secret key to the agent. This is undesirable because such assignment could increase the risk of the key being compromised in vulnerable u-commerce operating environments.

Although the public-key based verifiable signature encryption is applicable to u-commerce systems, it is mathematically much more complex and computationally less efficient than the symmetric-key based verifiable signature encryption [25], which will be discussed further in Section 7. On the other hand, while the symmetric-key based verifiable encryption offers much better simplicity and efficiency, most of its solutions do not support the delegation capability, which makes them ineffective for u-commerce systems. A recent effort has been made to rectify the problem [20], but the approach proposed is complex and inefficient, which diminishes the simplicity and efficiency advantages of the symmetric-key based encryption.

The above weaknesses of the existing protocols have motivated us to propose a novel approach to symmetric-key based verifiable proxy encryption for the whole family of discrete logarithm based signature schemes [16] (e.g., DSA [12], ElGamal [6] and Schnorr [17]) in this paper. The approach is then utilised to formulate a new autonomous fair signature exchange protocol for u-commerce systems. The symmetric proxy encryption here means that given a long-term symmetric key k_A , a party P_A generates a short-term symmetric encryption key κ_A from k_A and then delegates its task of verifiable symmetric encryption with κ_A to a chosen agent A_A running on a device connected to P_A 's ubiquitous network. Such delegation must ensure that the possession of κ_A by A_A does not permit A_A to discover k_A . This assurance enables A_A to execute the encryption on P_A 's behalf while averting the disclosure of k_A in case A_A is compromised.

Another important feature of the new approach is the verifiable symmetric encryption of a signature key to be used for the generation of a signature on P_A 's behalf by a designated party. Normally the construction of a key is mathematically simpler than that of a signature generated using the key. It should therefore be easier to build the verifiability of an encrypted signature key than that of an encrypted signature. This feature helps to simplify the new approach and improve its efficiency, which will become clear later in the paper.

However, the signature key could be subject to abuse if it is disclosed, as the key can be used illegitimately to yield any signature with P_A bearing the responsibility. To prevent the key abuse, the new approach incorporates a

one-time property into the formation of the key so that the key is only valid for one specified document. In other words, the key is invalid for signing any other documents. By combining the one-time key with its verifiable symmetric encryption, the new approach is able to secure the signature exchange in a simple and efficient manner, as will be detailed in Section 4.

The main novel contribution of this paper comes from the verifiable symmetric proxy encryption of one-time signature keys, which is efficient, easy to implement, and flexible for application to a range of discrete logarithm based signature schemes. The new encryption preserves the good efficiency and simplicity attributes of existing verifiable symmetric encryption while adding the capability of encryption delegation. The one-time signature keys not only prevent their misuse for unauthorised purposes but also offer strong application flexibility. The existing work on verifiable encryption introduces different ways for different signature schemes, which complicates its implementation. The encryption of signature keys instead of signatures significantly weakens its dependency on signature schemes. This enables the new approach to be implemented for the family of discrete logarithm based signature schemes rather than just one of them. The above merits make the approach more suitable for operating on small resource-limited devices, which is essential for u-commerce systems.

In the rest of the paper, we will state the exchange settings for the proposed proxy encryption in Section 2. An introduction to the signatures to be used in this paper will be provided in Section 3. The new approach to the proxy encryption will be defined in Section 4. Based on this approach, Section 5 will propose the design of the protocol mentioned earlier for autonomous fair signature exchange in u-commerce. The protocol will be analysed to demonstrate its security strength in Section 6, and compared with related work to show its merits in Section 7. Finally, Section 8 will conclude the paper and point out future work.

2. Required Signature Exchange

In this section, we present the exchange scenario and assumptions on which our proposed approach is based, the fairness requirement which the approach needs to meet for the given exchange, and a summary of notations to be used for the presentation of the approach.

2.1. Exchange Settings and Assumptions

The case of fair signature exchange covered in this paper is described below:

- *Signature exchange agreement:* Let a party P_A be a user (or owner) of a private ubiquitous computing network and run a u-commerce system on the network. This means that the system has its software components

distributed on various devices connected to the network. For simplicity, these components will be termed *agents* hereafter. Ideally the system should provide a decision-making capability for approving exchange cases with regard to given policies and/or requests from P_A , and then offer effective solutions for executing the approved exchanges autonomously. The focus of this paper is only on the design of such a solution, since the development of the decision-making capability itself is an area requiring further research.

To devise the exchange solution, we assume that P_A has appointed an agent A_A , called a *proxy exchanger*, in charge of performing all the tasks of an agreed exchange except for the generation of P_A 's signatures. P_A has also designated the authority of its signature generation to a group of different agents, named *proxy signers*, which are distributed on various devices and only permitted to jointly produce signatures on P_A 's behalf. This means that A_A has to request these signers for the creation of necessary signatures for an exchange.

The reason for separating the signature generation from the other tasks of the exchange is to avoid a single agent being able to perform the entire exchange. Since ubiquitous networks are susceptible to security attacks, the use of such an agent would make the proposed solution vulnerable in case the agent is compromised. For the same reason, the responsibility of signature generation is shared among the chosen proxy signers to prevent the private signature key from being misused by an adversary to produce P_A 's signatures for fraudulent purposes with P_A facing the consequences. A threshold signature scheme (e.g. [21]) can be adopted for the joint signature generation. Such a scheme can strengthen both security and reliability, because it is much harder to compromise multiple agents or devices and the scheme still works even when some agents fail to collaborate.

However, for simplicity, we only employ one agent A_A to play the proxy exchanger role in this paper. As will be made clear in Section 4, A_A only holds a short-term key for signature encryption. The key is not as important as the private signature key. The work presented in this paper can be extended to allow multiple agents to jointly serve the exchanger role for better security and reliability. Such extension will be considered in our future work.

As the focus of this paper is on the proposed verifiable encryption, the details of the aforementioned joint signature generation will not be presented in this paper. We simply assume that A_A can receive P_A 's signatures from the signers for approved signature exchanges.

For a signature exchange, we suppose that A_A is informed by P_A 's decision-making agent(s) that an agreement

has been reached with another party P_B to exchange a signature of P_A on a document D_A for a signature of P_B on a document D_B . Here, the design of the decision-making agent is beyond the scope of this paper. Also the agent passes the information on D_A to the signers, so they know what document they should sign when A_A makes a request for the signature.

Using the gas credit purchase discussed in Section 1 as an example, the above exchange means that an appointed agent (i.e. A_A) of Alice (i.e. P_A) obtains a payment authorisation signature on the gas credit purchase request (i.e., D_A) from the signers, and then exchanges it for a signature of the gas supplier (i.e. P_B) on a digital ticket (i.e., D_B).

Additionally, P_B normally represents an online merchant or service provider with more centralised powerful computing facilities to run its business, although P_B could delegate its tasks to chosen agents. For easy presentation, this paper assumes that P_B directly carries out the agreed signature exchange. The work to be presented later is equally applicable to the case where P_B uses the delegation, which will become clear later.

- *Agreed trusted third party (TTP)*: Suppose that A_A and P_B do not trust each other to perform the agreed exchange honestly. In this case, we assume that they have agreed to employ an off-line TTP P_T for helping them to complete the exchange fairly when required. The off-line P_T means that P_T is not needed when the exchange is completed correctly, and it is activated otherwise to recover necessary information for the fair exchange completion.
- *Public key certification*: Let each party $P_I \in \{P_A, P_B, P_T\}$ involved in the exchange possess a pair of public and private keys, (u_I, r_I) , to be defined in Section 3.2. To ensure the authenticity of signatures signed with private key r_I , we assume that public key u_I has been certified by a certification authority (or CA) and known by all the other parties.
- *Security threats*: Suppose that there is an adversary keen on breaking the security of the agreed signature exchange for various purposes such as financial fraud. The adversary has sufficient resources to intercept A_A 's communications with the signers and P_B and even compromise some of these agents. If an agent is compromised, we assume that all the information possessed by the agent is exposed to the adversary. The main security threat considered in this paper is that the adversary attempts to utilise the intercepted information and compromised agents to obtain P_A 's private/secret keys.

In the event where some agents including A_A are compromised, we assume (a) there is no collusion between

compromised A_A and any other party involved in an exchange with A_A (or P_A), and (b) in any case, the adversary cannot gain P_A 's private key from any compromised signers and does not have enough compromised signers to jointly generate P_A 's signatures by themselves.

Assumption (a) above is essential because no fair exchange approach would work if the host used by one party for an exchange colludes with the other party involved in the same exchange. More specifically, since the compromised host handles necessary signatures for the exchange, the other party could directly manipulate the host to obtain wanted signatures, regardless of the security of the exchange approach employed. The assumption can be relaxed by utilising multiple agents to jointly play the role of A_A as mentioned earlier. Assumption (b) is achievable by employing sufficient signers for private key distribution and joint signature generation.

2.2. Exchange Fairness Requirement

The signature exchange case described in the previous sub-section needs to satisfy the following requirement:

At the end of the exchange, if agent A_A (or party P_A) has obtained a signature of party P_B on agreed document D_B or can obtain the signature through TTP P_T , then P_B has obtained a signature of P_A on agreed document D_A from A_A or can obtain the signature through P_T , and vice versa.

In other words, the above requirement ensures that either each of A_A and P_B , or neither of them, can obtain the other's valid signature on the agreed document. This is equivalent to the strong fairness stated in [1]. Such fairness is preferable because any dispute about the exchange can be resolved among A_A (or P_A), P_B and P_T themselves. This can avoid the use of external legal means such as a court of justice for the dispute resolution, which is not only costly but also infeasible in many cases, particularly when P_A and P_B are in different countries.

2.3. Notation List

To facilitate the understanding of the proposed approach, Table 1 provides a list of main variables and functions to be used for its specification.

3. Signatures

As pointed out in Section 1, the work proposed in this paper is targeted at the family of discrete logarithm based signature schemes [16], rather than a particular signature scheme, for better applicability. To present the work, it is necessary in this section to provide an introduction to the concept of proxy signatures [10] and also an overview

of the Schnorr signature scheme [17]. The proxy signatures will be used by party P_A 's designated agents to sign agreed documents on P_A 's behalf. The Schnorr scheme will be adopted to construct proxy keys for the generation of discrete logarithm based proxy signatures.

Item	Description
P_I, u_I, r_I	Party $P_I \in \{P_A, P_B, P_T\}$, and its public & private keys (see Sections 2.1 & 3.2)
q, p, g	Public parameters for discrete logarithm based signatures (see 3.2)
$H(x)$	Generate a hash of data item x (see 3.2)
D_A, D_B, d_A, d_B	Documents of P_A and P_B , and their hashes $d_A = H(D_A)$ and $d_B = H(D_B)$ (see 2.1)
A_A	An agent of party P_A , appointed as a proxy exchanger (see 2.1)
$S = \text{Sign}(d, r)$	Generate a signature S on hash d with private key r (see 3.1)
$v = \text{Verify}(S, d, u)$	Verify S on d using public key u , with outcome $v = \text{yes} / \text{no}$ (see 3.1)
$x \parallel y$	Denote the concatenation of data items x and y (see 3.2)
$k_A, \delta_A = g^{k_A} \text{ mod } p$	P_A 's long-term key shared with P_T and its public parameter (see 4.2)
$C_A = (I_A, \delta_A, s_T)$	P_A 's certificate issued by P_T for key k_A (see 4.2)
$x_A, y_A = g^{x_A} \text{ mod } p$	P_A 's random number ($< q$) and its public parameter (see 4.2)
$\kappa_A = (k_A + x_A) \text{ mod } q$	P_A 's proxy encryption key assigned to A_A (see 4.2)
$w_A, h_A, h_A = H(h_A)$	P_A 's warrant, session header and its hash (see 4.2 & 5)
γ_A, η_A	P_A 's Schnorr signature with random $\alpha_A < q$: $\beta_A = g^{\alpha_A} \text{ mod } p$, $\eta_A = H(h_A \parallel d_A \parallel \beta_A \parallel y_A \parallel w_A)$, and $\gamma_A = (\eta_A \times r_A + \alpha_A) \text{ mod } p$, with γ_A as a private proxy signature key for A_A (see 4.3)
$\tau_A = (\gamma_A + x_A) \text{ mod } q$	P_A 's private proxy signature key for P_T (see 4.3)
$e_A = (\tau_A + k_A) \text{ mod } q$	Encryption of τ_A with k_A (see 4.3)
$K_A = (e_A, \eta_A, y_A, w_A)$	Verifiable encryption of τ_A (see 4.3)
$o = \text{Check}(h_A, d_A, K_A, C_A)$	Check K_A and C_A using h_A and d_A with outcome $o = \text{yes} / \text{no}$ (see 4.4)
S_A, ζ_A	P_A 's proxy signatures yielded by A_A and P_T respectively (see 5)
S_B	P_B 's signature (see 5)

Table 1. List of main variables and functions used in this paper.

3.1. Proxy Signatures

To achieve the exchange autonomy discussed in Section 1, it is essential for P_A to delegate its power for signature generation and exchange to chosen agents. To enforce the signing delegation, proxy signature techniques can be applied to enable the designated agents to generate signatures on P_A 's behalf.

Proxy signatures are classified into full delegation, partial delegation and delegation by warrant [10]. The full delegation allows a proxy signer to share a private key of an original signer, namely, a proxy or original signature can be produced by either of the two signers. In partial delegation, an original signer yields a private proxy key from its original private key and assigns the proxy key to a proxy signer so that signatures generated by the proxy and original signers are different. The delegation by warrant lets an original signer issue a warrant to a proxy signer for the generation of proxy signatures on its behalf with a designated key different from the original signer's private key.

Proxy signatures can also be further divided into two types: proxy-unprotected and proxy-protected [10]. A proxy-unprotected signature means that it can be produced by either of the original and proxy signers. A proxy-protected signature implies that it can be yielded only by the proxy signer. To achieve this, the proxy signer normally incorporates its own private key in the generation of the proxy signature so that the original signer is unable to produce the same signature.

In this paper, we will only consider proxy-unprotected signatures with partial delegation due to the following reasons. Firstly, the full delegation allows an original private key of a party to be shared with its chosen agent (or device), which increases the risk of the key being compromised in vulnerable u-commerce environments. Secondly, the delegation by warrant is less efficient than the partial delegation [10]. Finally, it is unnecessary to adopt proxy-protected signatures. As will be shown in Section 4, a proxy signer is either exchanger A_A chosen by party P_A , or TTP P_T introduced in Section 2.1. Since A_A runs within P_A 's own system, the responsibility for its protection rests with P_A . Although P_T can be protected via its own signatures, it is undesirable to do this in order to avoid the validity of P_A 's proxy signatures replying on P_T 's signatures as argued in [3]. However, the approach proposed in Section 4 offers a different way for P_A to distinguish between proxy signatures generated by A_A and those produced by P_T , which is useful in case P_A needs to identify the signer of an improperly yielded proxy signature.

For simplicity, proxy signatures will mean proxy-unprotected signatures with partial delegation hereafter. One way to produce such signatures is to let P_A determine a private proxy signature key γ_A based on its original private signature key r_A , and assign γ_A to its chosen exchanger A_A as a proxy signer. Here, the method must ensure that it

is hard for any other party to derive r_A from γ_A . This means that even if γ_A is compromised, r_A is still secure for P_A to use. A_A will apply γ_A to generate a proxy signature on a given document on P_A 's behalf. To minimise any abuse or misuse of γ_A , its validity is normally restricted to a particular purpose specified in an associated warrant. Similarly, another private proxy signature key z_A can be created for P_T .

As mentioned earlier, the work presented in this paper is focused on the family of discrete logarithm signature schemes rather than a particular one. Thus, in the rest of the paper, we will not mention any specific scheme for proxy signatures and only use the following two functions to signify an agreed scheme for the generation and verification of a signature, respectively:

$$S = \text{Sign}(d, r), \text{ and } v = \text{Verify}(S, d, u).$$

Here, $\text{Sign}(\cdot)$ yields a signature S signed on a document hash d with a private key r . $\text{Verify}(\cdot)$ checks the correctness of signature S on hash d using a public key u . It assigns $v = \text{yes}$ if S is correct, and $v = \text{no}$ otherwise.

Although no specific signature scheme will be mentioned for proxy signatures, the next sub-section will provide an overview of the Schnorr signature scheme [17] as it will be utilised for the creation of proxy signature keys in Section 4.

3.2. Schnorr Signatures

Let p , q and g be three public parameters. p is a large prime, q is a large prime factor of $p - 1$, and g is a number between 1 and p such that $g^q \bmod p = 1$. To generate a pair of private and public keys, a party P_A chooses a random number $r_A < q$ as its private key, and calculates $u_A = g^{r_A} \bmod p$ as the corresponding public key.

To produce signatures, a secure one-way hash function such as SHA-2 [13] is needed, which is denoted as $H(x)$. It should possess the following properties: (a) for any x , it is easy to compute $H(x)$; (b) given x , it is hard to find $x' (\neq x)$ such that $H(x) = H(x')$; and (c) given $H(x)$, it is hard to compute x .

To yield a signature on an agreed document D_A , P_A picks a random number $a_A < q$ to compute:

$$b_A = g^{a_A} \bmod p, m_A = H(H(D_A) \parallel b_A), \text{ and } s_A = (m_A \times r_A + a_A) \bmod q.$$

Here, " $x \parallel y$ " signifies the concatenation of data items x and y .

The signature on D_A is defined as (s_A, m_A) . Additionally, other items such as a time stamp could be added into $H(\cdot)$ for the signature generation if necessary.

Given document D_A and signature (s_A, m_A) together with P_A 's public key u_A , a signature verifier can calculate:

$$b'_A = (g^{s_A} \times u_A^{-m_A}) \bmod p.$$

If $m_A = H(H(D) \parallel b'_A)$, then the signature is valid for D .

The Schnorr signature scheme can also be used to generate threshold signatures [21]. As stated in Section 2.1, the focus of this paper is on verifiable proxy encryption, so we simply assume that a secure threshold scheme is available for the joint generation of Schnorr signatures, without further discussions on its details.

4. Proposed Verifiable Symmetric Proxy Encryption

This section will define the details of the proposed new approach to verifiable proxy encryption based on the Schnorr signature scheme introduced earlier in Section 3.2.

4.1. An Overview of the New Approach

The proposed approach consists of the following stages:

1. *Short-term proxy encryption key creation*: For symmetric encryption, it is essential for two parties to share a secret key so that one party can use the key to encrypt sensitive data and the other party can utilise the same key to decrypt the encryption for the recovery of the original data. Thus we require that party P_A and TTP P_T have such a shared secret key k_A , which is for long-term use with a defined expiration date. k_A will be used by P_A to encrypt signatures or keys and by P_T to decrypt them, and the need for this will become clear in the subsequent sub-sections.

As explained in Section 2.1, P_A 's encryption task should be delegated to its exchanger agent A_A due to the nature of autonomous u-commerce operations. This implies that A_A has to get hold of k_A or its altered form. Since A_A may operate in a vulnerable ubiquitous network environment, there is a risk of security compromise to A_A , so it is undesirable to allow A_A to directly possess k_A . Alternatively, an altered form of k_A can be created for A_A , which is for specified short-term use and with restricted validity for security reasons. This is the option adopted by our proposed approach, which will be described in detail in Section 4.2. The altered key will be called a proxy encryption key and signified as κ_A . Obviously, the formation of κ_A must ensure that any encryption with κ_A can still be decrypted by P_T with k_A , and κ_A cannot be used to derive k_A , so that k_A is still secure even when A_A is compromised to cause the disclosure of κ_A . The introduction of κ_A enables A_A to perform the encryption on P_A 's behalf while protecting P_A 's long-term key k_A .

2. *One-time proxy signature key generation:* As stated in Section 2.1, P_A needs to delegate its signing power to a group of signers for the joint generation of its signatures. However, the approach proposed in this paper does not directly use such a signature for an exchange. Instead, the signature is utilised to construct two different private proxy signature keys, denoted as γ_A and τ_A , for A_A and P_T respectively, which will be fully specified in Section 4.3. Note that these two proxy keys cannot be processed to derive P_A 's original private key r_A . γ_A allows A_A to yield a proxy signature of P_A based on an agreed signature scheme for a direct exchange of P_B 's signature in normal situations. τ_A is used by P_T to produce another proxy signature of P_A for P_B only in an abnormal case where A_A and P_B are unable to complete their exchange properly. For accountability, each of A_A and P_T should not know the other's private proxy key so that P_A can identify which proxy signature is produced by whom. This helps P_A to investigate the conduct of A_A or P_T in case a dispute about a proxy signature occurs.

To prevent the proxy keys from being abused for illegitimate purposes, the keys need to possess the one-time property discussed in Section 1. In other words, the creation of the proxy keys must ensure that they are valid only for a specified exchange. Thus what A_A and P_T can do with the keys is to simply generate the expected proxy signatures. This reduces the reliance of our approach on the security of A_A and P_T .

3. *Verifiable symmetric proxy encryption:* For the agreed exchange, private proxy signature key τ_A discussed above needs to be delivered securely to P_T for its generation of P_A 's proxy signature for P_B when needed. However, P_B must be assured that P_T indeed has the possession of τ_A , before P_B can release its signature to A_A (or P_A). Otherwise, having transferred its signature to A_A , P_B would not be able to obtain P_A 's proxy signature from P_T if A_A refuses to send P_B its proxy signature yielded with proxy signature key γ_A . An effective way to provide the assurance to P_B is to pass τ_A to P_B in such a way that τ_A is encrypted to stop P_B getting τ_A , but P_B can verify the encryption to confirm that correct τ_A is indeed in the encryption and P_T is in the possession of the right decryption key for the recovery of τ_A . Such encryption is named verifiable encryption. Only when P_B requests P_T to recover a proxy signature of P_A , P_B passes encrypted τ_A to P_T . Clearly P_T has no need to know τ_A in a normal exchange with no signature recovery.

Note that P_B is unable to abuse the above signature recovery process to gain advantages over A_A (or P_A), as will be discussed in Sections 6.

A_A is assigned the responsibility of performing the above verifiable encryption using its proxy encryption key

κ_A introduced earlier. The main challenges here are that A_A is not allowed to know τ_A as discussed earlier but it needs to encrypt τ_A , i.e. A_A has to encrypt τ_A without seeing it, and also that the encryption has to be verifiable by P_B . To address the first challenge, our approach splits τ_A into two parts, one generated jointly by the signers and the other hidden as a factor of κ_A . This means that A_A cannot assemble the two parts to form τ_A but can encrypt it by combining the first part with κ_A , as will be detailed in Section 4.3. To tackle the second challenge, our approach constructs the encryption of τ_A in a style similar to a Schnorr signature, but the encryption itself is not a valid signature of P_A . This helps to simplify the accomplishment of the verifiability of encrypted τ_A without disclosing τ_A and κ_A to P_B .

In addition to the simplicity of the above encryption, the use of key τ_A instead of a proxy signature for the encryption makes our approach applicable to the whole family of discrete logarithm based signature schemes. This is because public and private keys used by these schemes share the key style defined in Section 3.2. Hence, the verifiable key encryption allows our approach to be independent of the individual signature schemes, and given such a scheme agreed by A_A and P_B , it can be directly utilised to generate a proxy signature with τ_A . Otherwise, these different schemes would require different methods for verifiable signature encryption, which could be costly to implement, particularly on resource-limited computing devices employed by u-commerce systems.

To facilitate a better understanding of the aforementioned keys, Fig. 1 shows these keys, their generators and recipients. For example, P_A creates its private signature key r_A and assigns its shares to the chosen signers for joint proxy signature production using a threshold signature scheme adopted by P_A .

In the rest of this section, we will elaborate the approach described above, including encryption key generation, verifiable proxy signature key encryption, encrypted key verification and signature recovery.

4.2. Encryption Key Generation

For long-term key k_A shared between P_A and P_T discussed in the previous sub-section, k_A needs to be certified by P_T . The certification serves two purposes. First, it will be used by P_B to verify the encrypted proxy signature key τ_A of P_A to confirm that P_T has the right key to decrypt it, which will be detailed in the next sub-section. Secondly, the certificate allows P_T to re-calculate k_A when needed, so there is no need for P_T to store k_A so as to reduce resource demand on P_T .

To issue the certificate, P_T defines a certificate header or label ι_A including P_A 's identity, public key and a valid period for the certificate. P_T then uses its private key r_T to compute:

$$k_A = H(r_T \parallel \iota_A) \bmod q, \text{ and } \delta_A = g^{k_A} \bmod p.$$

Here, public parameters p , q and g were defined in Section 3.2.

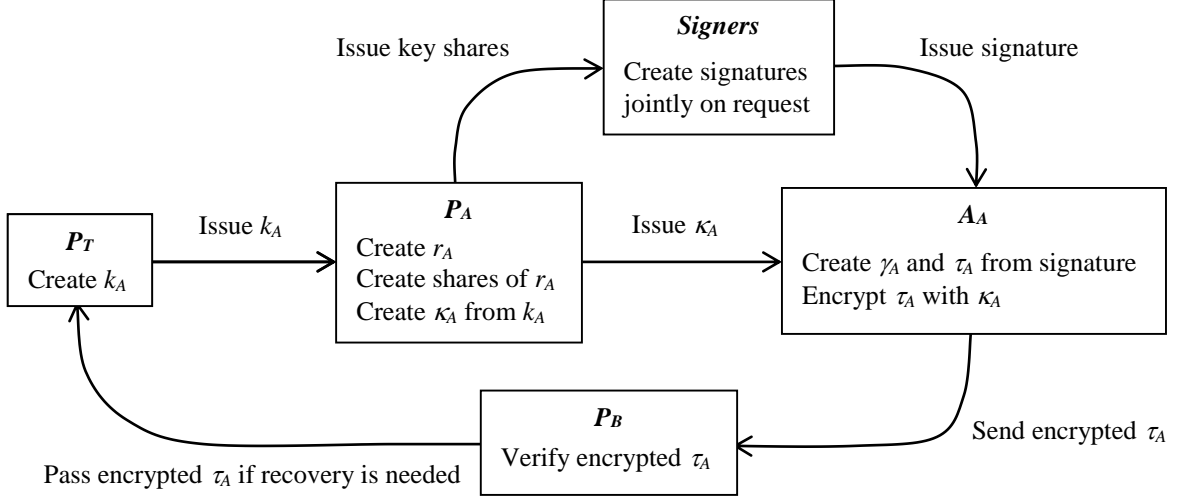


Fig. 1. Relationships among keys, their generators and recipients for the proposed approach.

k_A and δ_A appear like a pair of private and public Schnorr keys introduced in Section 3.2. However, they are not valid for the generation of P_A 's signatures and used only for verifiable proxy signature key encryption to be presented in the next sub-section. From the formation of k_A , it is clear that given ι_A , P_T can easily re-produce k_A , so P_T does not keep any information about k_A . Also k_A is reusable for different exchanges within the specified valid period. δ_A will be applied by P_B to check that the verifiable encryption involves k_A in the form which P_T can decrypt to recover the signature key. Obviously the integrity of δ_A must be guaranteed. Otherwise, the use of a wrong δ'_A together with its associated k'_A would mean that P_T could not get a right key for the decryption.

To ensure the integrity of δ_A , P_T certifies it as follows:

$$C_A = (\iota_A, \delta_A, s_T), \text{ with its signature } s_T = \text{Sign}(H(\iota_A \parallel \delta_A), r_T).$$

The above function $\text{Sign}(\cdot)$ was introduced in Section 3.1. It represents P_T 's signature on items ι_A and δ_A . This indicates that any alteration to certificate C_A would lead to a verification failure of signature s_T , namely, the certificate including δ_A is invalid.

Finally, P_T transfers key k_A and certificate C_A to P_A securely by encryption, and deletes both of them afterwards.

Having received k_A and C_A from P_T , P_A can confirm their correctness by checking that t_A and δ_A in C_A are correctly signed by s_T , δ_A is equal to $g^{k_A} \bmod p$, and t_A contains right information.

Based on k_A received, P_A needs to generate a proxy encryption key κ_A discussed earlier in Section 4.1 for the delegation of its verifiable encryption task to its exchanger agent A_A without disclosing k_A to A_A . To prevent the delegation from being misused for illegitimate purposes, P_A has to clearly define a warrant w_A that sets out terms and conditions for the delegation including the proxy signature generation outlined in the previous sub-section. w_A includes information such as P_A 's identity and public key, a valid period and permitted uses of the delegation, and acceptable forms of proxy signatures to be discussed further in Section 4.5. If the delegation goes beyond the scope stated in w_A , P_A will not take any responsibility for the misbehaviour. Evidently w_A must be embedded in each proxy signature of P_A to confine its validity to the purposes specified in w_A .

After the definition of w_A , P_A creates proxy encryption key κ_A by picking a random number $x_A < q$ to calculate:

$$y_A = g^{x_A} \bmod p, \text{ and } \kappa_A = (k_A + x_A) \bmod q.$$

P_A then assigns (κ_A, y_A, w_A) together with certificate C_A to A_A securely. This allows A_A to perform verifiable proxy encryptions with κ_A for different exchanges, as long as w_A is not expired. Since A_A is not given x_A and cannot get x_A from y_A , it is hard for A_A to obtain k_A from given κ_A . This will be further justified by a detailed security analysis in Section 6.

As assumed in Section 2.1, P_A has a set of signers appointed for joint signature generation on P_A 's behalf. P_A also needs to pass (y_A, w_A) to each of these signers so that y_A and w_A can be embedded in the generation of each signature. In other words, the signature is valid only for the purposes stated in w_A . Moreover, the inclusion of y_A in the signature is intended to deter A_A from maliciously altering κ_A . Since both k_A and x_A in κ_A are now fixed via C_A and the signature respectively, the alteration can be easily spotted, which will become clear in Section 4.4. Thus κ_A , y_A and w_A are bonded together.

Note that κ_A could take the form of a Schnorr signature, e.g. $\kappa_A = (H(w_A \parallel y_A) \times k_A + x_A) \bmod q$, to bind κ_A to warrant w_A and number y_A . However, it would add more computation, i.e. $\delta_A^{-H(w_A \parallel y_A)}$, to the verification to be presented in Section 4.4, because the verification would have to check that $y_A = (g^{\kappa_A} \times \delta_A^{-H(w_A \parallel y_A)}) \bmod p$. Hence the way discussed earlier for getting κ_A , y_A and w_A bonded together is more efficient.

4.3. Verifiable Symmetric Proxy Encryption of Proxy Signature Keys

We now propose methods for creating private proxy signature keys γ_A and τ_A discussed in Section 4.1 for the delegation of P_A 's signing power to A_A and P_T for proxy signature generations respectively, and then getting τ_A delivered to P_T via P_B by verifiable encryption. These methods are crucial for autonomous fair signature exchange. The signing delegation helps to achieve the autonomy, and the verifiable encryption to fulfil the fairness.

To yield γ_A and τ_A for an agreed signature exchange, A_A first gets hold of the hash d_A of its agreed document D_A (i.e. $d_A = H(D_A)$) and another hash h_A of other information related to the current session of the exchange, which will be defined in Section 5. A_A then passes h_A and d_A to the designated signers to request them for the generation of a Schnorr signature on h_A and d_A . Here we assume that upon receipt of A_A 's request, the signers are able to assess its validity with respect to possessed warrant w_A , and produce the signature for A_A only if the request is valid. In the case of the valid request, the signers jointly generate a Schnorr signature (γ_A, η_A) for A_A on P_A 's behalf, which is expressed as:

$$\beta_A = g^{\alpha_A} \text{ mod } p, \eta_A = H(h_A \parallel d_A \parallel \beta_A \parallel y_A \parallel w_A), \text{ and } \gamma_A = (\eta_A \times r_A + \alpha_A) \text{ mod } q.$$

Here, α_A is a random number $< q$, and y_A together with w_A was assigned to each signer in Section 4.2.

Having received signature (γ_A, η_A) from the signers securely, A_A keeps γ_A as its own private proxy signature key for later proxy signature generation. The public proxy signature key μ_A associated with γ_A can be computed below with P_A 's public key u_A :

$$\mu_A = (u_A^{\eta_A} \times \beta_A) \text{ mod } p.$$

This is because:

$$\mu_A = g^{\gamma_A} \text{ mod } p = g^{\eta_A \times r_A + \alpha_A} \text{ mod } p = ((g^{r_A})^{\eta_A} \times g^{\alpha_A}) \text{ mod } p = (u_A^{\eta_A} \times \beta_A) \text{ mod } p.$$

Additionally, A_A applies γ_A to construct another private proxy signature key τ_A for TTP P_T , which will be used by P_T to yield a proxy signature on P_A 's behalf when P_T is requested for signature recovery in an abnormal case of exchange, as will be detailed in Section 4.5. τ_A is defined below with x_A and $y_A = g^{x_A} \text{ mod } p$ introduced in Section 4.2 for the creation of proxy encryption key κ_A :

$$\tau_A = (\gamma_A + x_A) \text{ mod } q.$$

Its corresponding public proxy signature key can be calculated as:

$$\nu_A = (u_A^{\eta_A} \times \beta_A \times y_A) \text{ mod } p.$$

This is due to the following relationship:

$$u_A = g^{\tau_A} \bmod p = g^{\gamma_A + x_A} \bmod p = g^{\eta_A \times r_A + \alpha_A + x_A} \bmod p = (u_A^{\eta_A} \times \beta_A \times y_A) \bmod p.$$

Obviously, A_A is unable to directly produce τ_A as A_A does not know x_A . Instead, A_A generates an encrypted form of τ_A with key κ_A :

$$e_A = (\gamma_A + \kappa_A) \bmod q.$$

Since e_A can be expressed as $e_A = (\gamma_A + \kappa_A) \bmod q = (\gamma_A + x_A + k_A) \bmod q = (\tau_A + k_A) \bmod q$ with shared key k_A defined via C_A in Section 4.2, e_A is in effect the encryption of τ_A with k_A . As will be shown in the subsequent subsections, e_A is verifiable by any party to confirm that it contains correct τ_A , and τ_A is recoverable from e_A only by P_T because given certificate C_A , only P_T can retrieve k_A from C_A and then decrypt e_A with k_A for τ_A . In other words, e_A along with its related items represents a verifiable symmetric proxy encryption of proxy signature key τ_A with encryption key k_A , and e_A can be decrypted by P_T using the same key k_A . Note that e_A itself cannot be used as a valid proxy signature key of P_A , and it is hard for any other party to derive γ_A from e_A without knowing k_A , which will be justified in Section 6.

Recall the discussion in Section 4.1 that the two private proxy signature keys γ_A and τ_A defined above should be one-time keys. This will be explained in detail in Section 4.5.

After the generation of e_A , A_A defines:

$$K_A = (e_A, \eta_A, y_A, w_A)$$

as its verifiably encrypted proxy signature key τ_A . Here, A_A received (y_A, w_A) along with encryption key κ_A and certificate C_A from P_A in Section 4.2, and η_A together with signature key γ_A from the signers earlier.

A_A then transfers (K_A, C_A) along with the other related information to P_B in order to exchange for an expected signature S_B of P_B . If A_A receives correct S_B from P_B as an acknowledgement to its acceptance of (K_A, C_A) , A_A applies private proxy signature key γ_A to yield a required proxy signature S_A on h_A and d_A for P_B using the agreed signature scheme $Sign(\cdot)$ stated in Section 3.1, i.e. $S_A = Sign(H(h_A || d_A), \gamma_A)$. Note that A_A produces S_A by itself without any involvement of the signers. A_A then sends S_A to P_B . The reception of valid S_A by P_B indicates the successful completion of the exchange with no need for any involvement of P_T . This process will be discussed further in Section 5.

4.4. Encrypted Signature Key Verification

Having received $K_A = (e_A, \eta_A, y_A, w_A)$ and $C_A = (t_A, \delta_A, s_T)$ together with agreed document hash d_A and related information hash h_A from A_A , P_B must examine the correctness of K_A and C_A . The examination of C_A is intended to ensure that given valid C_A , P_T can recover key k_A associated with δ_A as described in Section 4.2. The verification of K_A serves to confirm that e_A is indeed the symmetric encryption of correct signature key τ_A with the encryption key (i.e. k_A) linked to δ_A . Collectively, correct K_A and C_A assure P_B that P_T can recover k_A from C_A and then apply it to decrypt e_A for τ_A , in case a signature recovery is required. The possession of τ_A enables P_T to create a proxy signature of P_A for P_B . This proves A_A 's commitment to the exchange. On the other hand, if either K_A or C_A is invalid, P_B simply rejects them.

To check the validity of C_A , P_B gets P_T 's public key u_T to verify that s_T is a valid signature of P_T on t_A and δ_A . This is done via function $Verify(\cdot)$ defined in Section 3.1, i.e., P_B confirms that $Verify(s_T, H(t_A \parallel \delta_A), u_T) = yes$. P_B must also make sure that the information in header t_A is valid to P_A , e.g., P_A 's identity and public key match those in t_A , and C_A is not expired.

After the successful validation of C_A , P_B proceeds to verify K_A by performing the following calculation with the data items in K_A and C_A together with hashes h_A and d_A :

$$\beta'_A = (g^{e_A} \times (u_A^{\eta_A} \times y_A \times \delta_A)^{-1}) \bmod p, \text{ and } \eta'_A = H(h_A \parallel d_A \parallel \beta'_A \parallel y_A \parallel w_A).$$

P_B then compares η'_A with η_A in K_A . If $\eta_A = \eta'_A$, K_A is valid. This is due to the following relationship:

$$\begin{aligned} \beta'_A &= (g^{e_A} \times (u_A^{\eta_A} \times y_A \times \delta_A)^{-1}) \bmod p \\ &= (g^{z_A + k_A} \times (g^{\eta_A \times r_A} \times g^{x_A} \times g^{k_A})^{-1}) \bmod p \\ &= (g^{\eta_A \times r_A + \alpha_A + k_A + x_A} \times g^{-(\eta_A \times r_A + k_A + x_A)}) \bmod p \\ &= g^{\alpha_A} \bmod p \\ &= \beta_A. \end{aligned}$$

The above verification of both C_A and K_A is signified by the following function in order to simplify the presentation of the exchange protocol to be proposed in Section 5:

$$o = Check(h_A, d_A, K_A, C_A).$$

The function has the result $o = yes$ if both K_A and C_A are valid in relation to h_A and d_A , and $o = no$ otherwise.

The successful validation of K_A and C_A together with other checks to be detailed in Section 5 convinces P_B that it is safe to release its agreed signature S_B to A_A . In return, A_A should send its proxy signature S_A yielded in Section 4.3 to P_B . To verify S_A , P_B computes public proxy signature key $\mu_A = (u_A^{\eta_A} \times \beta'_A) \bmod p$ as defined in Section 4.3. P_B then verifies S_A via function $Verify(\cdot)$ given in Section 3.1, namely, checking that $Verify(S_A, H(h_A \parallel d_A), \mu_A) = yes$. If S_A is valid, the exchange is completed successfully with no need for any involvement of P_T .

In case P_B fails to receive correct S_A after handing over S_B to A_A , P_B can request P_T to recover a valid proxy signature ζ_A of P_A on h_A and d_A from K_A , which will be detailed in the next sub-section.

4.5. Proxy Signature Recovery

A signature recovery is needed only when P_B is unable to obtain a valid proxy signature of P_A from A_A after releasing its own signature to A_A . The recovery is intended to assure the fair completion of the exchange in abnormal circumstances. To achieve this, P_T needs to decrypt encrypted proxy signature key τ_A using long-term key k_A shared with P_A , and then generates a proxy signature of P_A with τ_A for P_B .

To request P_T for a signature recovery, P_B should pass h_A , d_A , K_A and C_A to P_T . Upon receipt of the request, P_T examines the validity of K_A and C_A in the same way used by P_B in Section 4.4, i.e., confirming that $Check(h_A, d_A, K_A, C_A) = yes$. If the validation is successful and all the other conditions to be stated in Section 5 are satisfied, P_T applies its private key r_T and header ι_A in received C_A to compute:

$$k_A = H(r_T \parallel \iota_A), \text{ and } \tau_A = (e_A - k_A) \bmod q.$$

Here, $e_A = (\tau_A + k_A) \bmod q$ was defined in Section 4.3.

P_T then uses τ_A as a private proxy signature key to generate a proxy signature ζ_A on h_A and d_A for P_B on P_A 's behalf using the agreed signature scheme $Sign(\cdot)$ defined in Section 3.1, i.e. $\zeta_A = Sign(H(h_A \parallel d_A), \tau_A)$.

P_B can validate ζ_A by forming the corresponding public proxy signature key $\nu_A = (u_A^{\eta_A} \times \beta'_A \times \gamma_A) \bmod p$, as defined in Section 4.3, from its possessed items to confirm that $Verify(\zeta_A, H(h_A \parallel d_A), \nu_A) = yes$.

As mentioned in Section 4.2, warrant w_A in K_A specifies the acceptable forms of P_A 's proxy signatures. Now we can explicitly state that these forms are restricted to those of S_A and ζ_A . More specifically, for the given exchange, session and agreed document hashes h_A and d_A must appear in both private proxy signature key γ_A (or τ_A) and proxy signature S_A (or ζ_A) in order for P_A to accept S_A (or ζ_A) as its valid proxy signature. In other words, any

signature, which does not meet this restriction, is an invalid proxy signature of P_A . This effectively turns γ_A and τ_A into the one-time keys for the specified exchange, which were discussed in Section 4.1. This is because A_A can neither yield γ_A by itself nor alter it without invalidating it, as introduced in Sections 4.3 and 4.4. Additionally, applying γ_A to sign a different hash $h'_A (\neq h_A)$ or $d'_A (\neq d_A)$ from the one in γ_A would violate the above restriction so that the proxy signature produced is invalid to P_A . Hence A_A can only use γ_A to produce S_A . The same discussion is also applicable to τ_A and ζ_A as τ_A is formed from γ_A (see Section 4.3).

Additionally, P_A is able to distinguish a proxy signature yielded by A_A from that by P_T , because they use different private proxy signature keys for the signature generations and neither of A_A and P_T knows the other's key. This is a useful feature, which allows P_A to trace the producer of a proxy signature when resolving a dispute about its validity.

It is worth emphasising that key k_A shared between P_A and P_T is used only for the encryption and decryption of private proxy signature key τ_A . k_A is not a valid key for the generation of P_A 's signatures, namely, P_T cannot employ k_A to produce any valid signature of P_A .

Since P_B only receives signature ζ_A from P_T , P_B is unable to derive key τ_A from ζ_A . This implies that P_B cannot obtain any of the other keys γ_A , κ_A and k_A either. The detailed analysis of this claim will be presented in Section 6. Therefore, κ_A is secure and reusable for further exchanges.

5. Fair Signature Exchange Protocol

Based on the verifiable proxy encryption approach proposed in Section 4, we now present a protocol for Proxy-Led Efficient Autonomous Signature Exchange (PLEASE) in u-commerce systems. It offers a series of prescribed message transmissions for A_A , P_B and P_T to follow in order to complete an agreed exchange fairly. The protocol is divided into two sub-protocols. One is for handing a normal case of exchange without signature recovery, which is denoted as PLEASE-E. The other is for processing signature recovery, which is represented as PLEASE-R, in case the normal exchange fails to complete properly. These two sub-protocols are illustrated in Fig. 2 and 3 respectively. They are based on the assumption that there is a secure channel between any two communicating parties involved, e.g., the communications can be protected using SSL/TLS [9].

The first sub-protocol PLEASE-E shown in Fig. 2 specifies a sequence of operations executed by each of proxy exchanger A_A and party P_B . The sub-protocol is initiated by A_A . To form the first message, A_A performs its top

group of operations in Fig. 2. Specifically, A_A gathers the relevant information about the current exchange to define a session header h_A . It serves to indicate the identities of P_A and P_B involved in the exchange, their agreed document hashes $d_A = H(D_A)$ and $d_B = H(D_B)$ to be signed using the agreed signature scheme, a timestamp, and a completion deadline to prevent a party from purposely delaying the completion. A_A also gets hold of the assigned key certificate, warrant and proxy encryption key introduced in Section 4.2. Based on these items, A_A requests the designated signers to jointly produce a Schnorr signature (γ_A, η_A) on hashes h_A and d_A , and then encrypts γ_A with proxy encryption key κ_A for the formation of K_A as the verifiable encryption of proxy signature key τ_A assigned to TTP P_T , as defined in Section 4.3. A_A then sends h_A , K_A and C_A as its first message to P_B .

Note that there is no need to have an additional signature for the integrity protection of A_A 's message. This is because h_A , K_A and C_A in the message are linked together, as C_A is associated with κ_A used for the encryption e_A of γ_A in signature (γ_A, η_A) on h_A as well as parameter y_A and warrant w_A in K_A .

Moreover, the authenticity of the message is established by P_B via the verification of K_A . As shown in Section 4.4, the verification resembles to that of original signature (γ_A, η_A) without revealing γ_A to P_B . This verifiable but hidden signature serves to assure P_B that P_T has been given a permission to recover a proxy signature of P_A for P_B , provided that the following conditions are met:

- (a) P_B submits a valid signature S_B on session hash $h_A = H(h_A)$ and document hash d_B to P_T , and
- (b) the exchange satisfies all the conditions specified in h_A and w_A .

Upon the reception of A_A 's message, P_B begins the execution of its top group of operations in Fig. 2. These include the validation of C_A and K_A via function $Check(\cdot)$ defined in Section 4.4 and the examination of the conditions in h_A and w_A to ensure the authenticity and integrity of A_A 's message and the satisfaction of condition (b) stated above. A failure of the verification or examination leads to protocol termination as the message is invalid for the current exchange. In this case, neither of A_A and P_B has disclosed its signature to the other. Otherwise, the valid message convinces P_B that P_T can recover a valid proxy signature of P_A from the message when P_B meets the above condition (a). With this assurance, P_B generates its signature S_B using function $Sign(\cdot)$ defined in Section 3.1, and then sends it to A_A as the second message in Fig. 2. Here, h_A in the message is used as an identifier to indicate which exchange session the message belongs to, as A_A may run multiple exchange sessions concurrently.

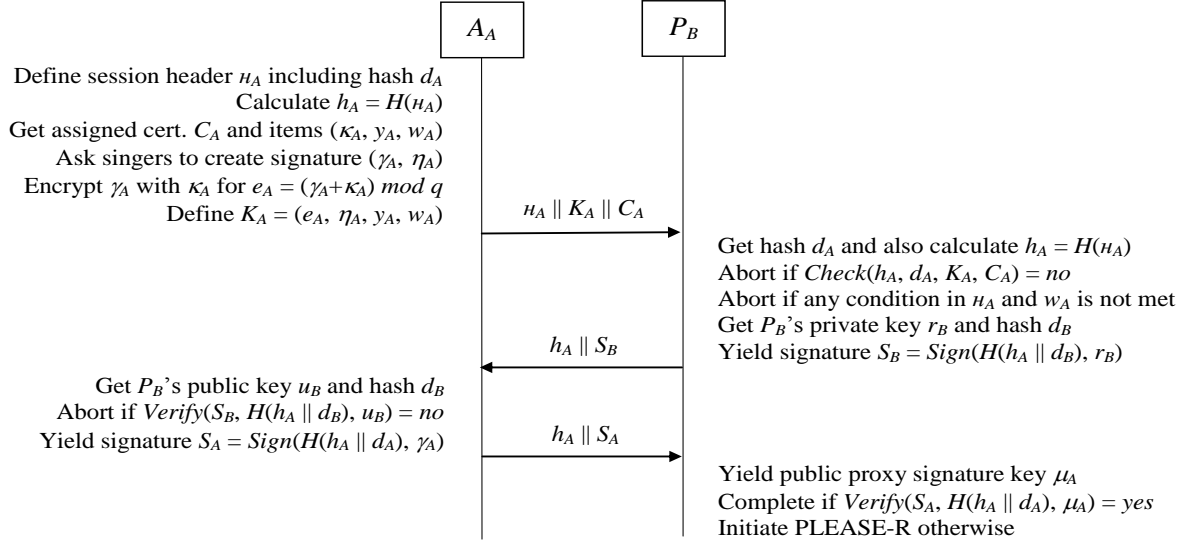


Fig. 2. Signature exchange sub-protocol PLEASE-E.

In response to the arrival of P_B 's message, A_A performs its bottom group of operations in Fig. 2. If received signature S_B is proved to be invalid by function $Verify(\cdot)$ specified in Section 3.1, A_A simply terminates the protocol run because S_B is not the signature that A_A can accept. In this situation, neither A_A nor P_B has released its valid signature to the other, although P_B has got hold of encrypted proxy signature key τ_A from A_A via the first message. Otherwise, A_A proceeds to yield a proxy signature S_A with its private proxy signature key γ_A , and then transfers S_A to P_B as the third message in Fig. 2 to complete its exchange process.

Having received S_A from A_A , P_B executes its bottom group of operations in Fig. 2. If the validity of S_A is confirmed with public proxy signature key μ_A defined in Section 4.3, the exchange has been completed successfully. Otherwise, P_B cannot accept S_A as a valid signature of P_A . In this case, P_B has already handed over its valid signature S_B to A_A but failed to get a valid signature from A_A . Thus it is essential for P_B to activate sub-protocol PLEASE-R in Fig. 3 to request P_T for the recovery of a valid signature of P_A .

To run PLEASE-R, P_B gathers its signature S_B and A_A 's first message received via PLEASE-E and then sends them to P_T as the first message in Fig. 3.

Upon the receipt of the message from P_B , P_T executes its sequence of operations defined in Fig. 3. These include extracting relevant information from the message, e.g. document hashes d_A and d_B in header h_A , and verifying the validity of S_B and A_A 's message in the ways discussed for the first two messages of PLEASE-E in Fig. 2. P_T accepts P_B 's signature recovery request only if all the verifications are passed successfully. To proceed for the recovery of a signature of P_A for P_B , P_T decrypts encrypted private proxy signature key τ_A using the information in A_A 's

message forwarded from P_B , and applies decrypted τ_A to create a proxy signature ζ_A on P_A 's behalf, as presented in Section 4.5. P_T then sends ζ_A to P_B , and forwards S_B to A_A , as the second and third messages in Fig.3 respectively.

Similar to the last two messages of PLEASE-E in Fig. 2, each of P_B and A_A validates the signature received from P_T . There is no need for A_A to verify S_B again if A_A already got correct S_B via PLEASE-E. When both P_B and A_A have obtained their expected signatures, the exchange is completed.

Note that P_B or A_A may not receive its message from P_T correctly due to communication or system failures. In this case, P_B or A_A can request P_T for the message re-transmission. Hence, P_T should keep ζ_A and S_B for a specified period to serve such a re-transmission purpose. Of course, P_B can re-run PLEASE-R if necessary.

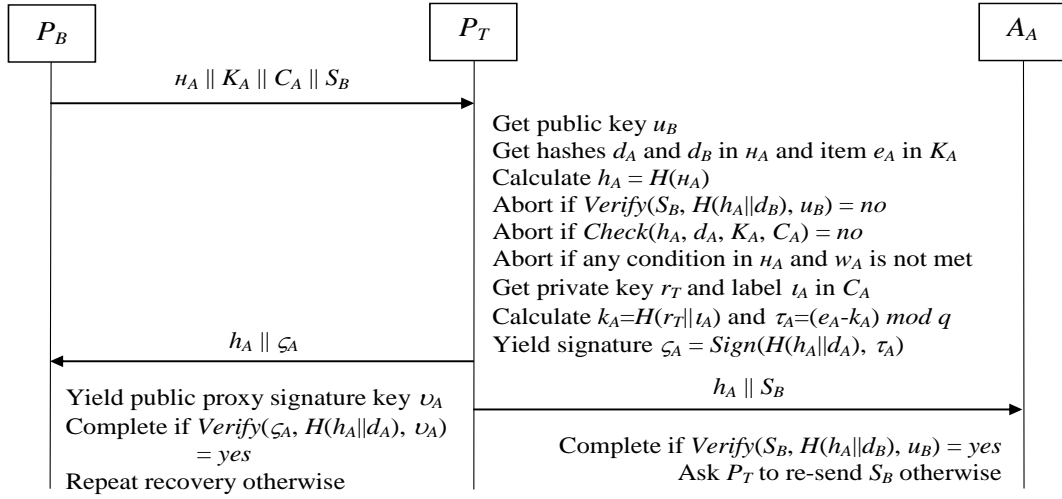


Fig. 3. Signature recovery sub-protocol PLEASE-R.

6. Security Analysis

We now evaluate the security assurance of the approach to the verifiable proxy encryption of proxy signature keys proposed in Section 4. This includes analysing the security strength of the approach, its signature recoverability and exchange fairness. We begin with the following claim about the approach's security strength:

Claim 1: *The approach to the verifiable encryption K_A of private proxy signature key τ_A presented in Section 4.3 is as secure as the Schnorr signature scheme introduced in Section 3.2.*

Analysis: As shown in Section 4.3, the encrypted item e_A in K_A can be expressed as:

$$e_A = (\gamma_A + \kappa_A) \bmod q = (\gamma_A + x_A + k_A) \bmod q = (k_A + \tau_A) \bmod q.$$

Here, γ_A and τ_A are the two private proxy signature keys created for A_A and P_T , respectively. k_A is the key shared

between P_A and P_T . κ_A is the symmetric proxy encryption key produced from a random number $x_A (< q)$ and key k_A for A_A .

Moreover, an original Schnorr signature (s_A, m_A) on a document hash d'_A is yielded with a random number $a_A < q$ and P_A 's private key r_A in the following way introduced in Section 3.2:

$$b_A = g^{a_A} \bmod p, m_A = H(d'_A \parallel b_A), \text{ and } s_A = (m_A \times r_A + a_A) \bmod q.$$

By comparing $e_A = (k_A + \tau_A) \bmod q$ with s_A , it is evident that the form of e_A is a special case of the form of s_A with $m_A = 1$, where k_A corresponds to r_A , which both remain unchanged, and τ_A matches a_A , which both vary, for different encryptions and signatures, respectively. Note that the variation of $\tau_A = (\gamma_A + x_A) \bmod q$ for different exchanges is owing to the inclusion of a new random number $\alpha_A < q$ in the formation of each $\gamma_A = (\eta_A \times r_A + \alpha_A) \bmod q$ as shown in Section 4.3. Thus, deriving k_A or τ_A from e_A is as hard as obtaining r_A or a_A from s_A .

Similarly, k_A embedded in proxy encryption key $\kappa_A = (k_A + x_A) \bmod q$ is as secure as r_A in s_A , and also κ_A is only known by P_A and A_A . Note that A_A cannot change κ_A without invalidating it. This is because k_A in κ_A is attached to $\delta_A = g^{k_A} \bmod p$ in certificate $C_A = (t_A, \delta_A, s_T)$ issued by P_T in Section 4.2, and x_A is fixed via $y_A = g^{x_A} \bmod p$ in hash η_A in Schnorr signature (γ_A, η_A) produced jointly by the appointed signers for A_A in Section 4.3. For example, if A_A is compromised, it may attempt to maliciously alter κ_A and y_A to get different values $\kappa'_A = (\kappa_A + \sigma) \bmod q = (k_A + x_A + \sigma) \bmod q$ and $y'_A = (y_A \times g^\sigma) \bmod p = g^{y_A + \sigma} \bmod p$ with a random number $\sigma < q$, and apply κ'_A and y'_A to the formation of verifiably encrypted proxy signature key K'_A , which is then sent to P_B . Although the relationship between κ'_A and y'_A appears to be right, the verification of K'_A performed by P_B in Section 4.4 will fail due to $\eta_A \neq H(h_A \parallel d_A \parallel \beta'_A \parallel y'_A \parallel w_A)$, where $\eta_A = H(h_A \parallel d_A \parallel \beta_A \parallel y_A \parallel w_A)$, $\beta_A = \beta'_A$ but $y_A \neq y'_A$. Consequently, P_B rejects K'_A , so A_A gains no benefit from its misbehaviour.

Note that A_A itself is unable to generate any valid signature of P_A , and no adversary could compromise enough signers to obtain a valid signature of P_A illegitimately, as assumed in Section 2.1. This implies that A_A cannot get enough signers to sign altered value y'_A illicitly.

Additionally, since γ_A in signature (γ_A, η_A) is directly used by A_A as a private proxy signature key for the generation of proxy signature S_A , γ_A inherits the security of the Schnorr signature scheme. This also applies to private proxy signature key $\tau_A = (\gamma_A + x_A) \bmod q$ passed to P_T using e_A , because the only difference from γ_A is that τ_A contains extra random number x_A with $y_A = g^{x_A} \bmod p$ included in η_A , namely, (τ_A, η_A) can also be seen as a Schnorr

signature of P_A .

Recall that A_A is only permitted to hold κ_A and γ_A . As analysed above, deriving k_A or x_A from κ_A by A_A is as hard as breaking the security of the Schnorr scheme. Thus, it is hard for A_A to construct τ_A from κ_A and γ_A . On the other hand, P_T is only allowed to get τ_A and k_A , so it cannot compute γ_A without knowing x_A or κ_A . Moreover, since each of γ_A and τ_A together with η_A is a Schnorr signature of P_A on given session and document hashes h_A and d_A , γ_A and τ_A cannot be altered without invalidating them. They are acceptable by P_A if and only if they are used to sign both h_A and d_A that must also appear in η_A , as discussed about warrant w_A in Section 4.5. In other words, γ_A and τ_A are invalid for signing any hashes other than h_A and d_A . Here, no adversary could illegitimately obtain a signature of P_A from its appointed signers, as assumed in Section 2.1. These features enable P_A to distinguish proxy signatures produced by A_A from those by P_T .

It is worth pointing out that the security of proxy signatures S_A and ζ_A signed with keys γ_A and τ_A respectively as illustrated in Fig. 2 and 3 relies completely on the agreed signature scheme signified by functions $Sign(\cdot)$ and $Verify(\cdot)$ specified in Section 3.1, because the work proposed in this paper makes no change to the scheme.

There is also a possibility that party P_B tries to illicitly obtain key k_A from certificate $C_A = (t_A, \delta_A, s_T)$ defined in Section 4.2. As $k_A = H(r_T || t_A)$, it is hard for P_B to directly compute k_A without knowing P_T 's private key r_T . Moreover, recovering k_A from $\delta_A = g^{k_A} \bmod p$ (i.e., k_A and δ_A actually form a pair of private and public keys) is equivalent to deriving a private key from its associated public key, under the Schnorr signature scheme. This is hard to achieve. Otherwise, the Schnorr scheme would not be secure.

Furthermore, any other party ($\notin \{P_A, P_B, P_T\}$) cannot even get K_A and C_A , as they are sent from A_A to P_B via sub-protocol PLEASE-E, or forwarded from P_B to P_T via PLEASE-R, over a secure channel, as stated in Section 5.

It is evident from the above analysis that the security of encryption K_A is as strong as that of the Schnorr signature scheme. \square

We now proceed to confirm the recoverability of the proposed approach with the following claim:

Claim 2: *Given valid certificate C_A and encryption K_A , only P_T apart from P_A can certainly recover correct private proxy signature key τ_A for the generation of a required proxy signature of P_A without any involvement of A_A and P_A .*

Analysis: As presented in Section 4.4, valid $C_A = (t_A, \delta_A, s_T)$ means that it is indeed P_A 's certificate issued by P_T , namely, P_T can recover shared key k_A from C_A , which is guaranteed to be the exponent in $\delta_A = g^{k_A} \text{ mod } p$. Moreover, valid $K_A = (e_A, \eta_A, y_A, w_A)$ indicates that it meets the condition $\eta_A = H(h_A \parallel d_A \parallel \beta'_A \parallel y_A \parallel w_A)$ with $\beta'_A = (g^{e_A} \times (u_A^{\eta_A} \times y_A \times \delta_A)^{-1}) \text{ mod } p$, where $u_A = g^{r_A} \text{ mod } p$ is P_A 's public key associated with its private key r_A . This verification resembles to that of Schnorr signature (γ_A, η_A) created in Section 4.3, with extra numbers y_A and δ_A fixed by η_A and C_A , respectively. The validity of K_A implies that β'_A is equal to $\beta_A = g^{e_A} \text{ mod } p$ included in η_A .

By re-arranging $\beta_A = (g^{e_A} \times (u_A^{\eta_A} \times y_A \times \delta_A)^{-1}) \text{ mod } p$, we have:

$$(u_A^{\eta_A} \times \beta_A \times y_A) \text{ mod } p = (g^{e_A} \times \delta_A^{-1}) \text{ mod } p.$$

This leads to:

$$g^{\eta_A \times r_A + \alpha_A + x_A} \text{ mod } p = g^{e_A - k_A} \text{ mod } p.$$

Since private proxy signature key τ_A was defined as $\tau_A = (\gamma_A + x_A) \text{ mod } q = (\eta_A \times r_A + \alpha_A + x_A) \text{ mod } q$ in Section 4.3, the above equation can be expressed as:

$$g^{\tau_A} \text{ mod } p = g^{e_A - k_A} \text{ mod } p.$$

This is equivalent to:

$$\tau_A = (e_A - k_A) \text{ mod } q.$$

The above result means that given valid K_A and C_A , P_T can certainly regain k_A from C_A as discussed in Section 4.5, and then use e_A in K_A to calculate $(e_A - k_A) \text{ mod } q$ for τ_A . Recovered key τ_A enables P_T to create a required proxy signature on h_A and d_A for P_B , provided that all the conditions discussed in Section 5 are satisfied. Clearly, the recovery of τ_A by P_T does not need any involvement of A_A and P_A .

It is clear from the above analysis that P_T can certainly obtain a valid proxy signature of P_A from correct K_A and C_A independently of A_A and P_A . \square

The two claims analysed above can be applied to support the following claim:

Claim 3: *The protocol PLEASE presented in Section 5 can satisfy the fairness requirement stated in Section 2.2.*

Analysis: To confirm the validity of this claim, we need to demonstrate two cases of signature acquirement according to the fairness requirement. The first case is to prove that if A_A has obtained the valid signature S_B of

P_B , then P_B has gained or can get a valid proxy signature of P_A . The second case swaps the positions of A_A and P_B , i.e., showing that if P_B has got a valid proxy signature of P_A , A_A has obtained or can get the valid signature S_B of P_B . Collectively, the two cases confirm that either each of A_A and P_B or neither of them has gained the other's valid signature at the end of the exchange, which is required for the exchange fairness.

We start with the analysis of the first case. This means that A_A is supposed to have obtained valid signature S_B from P_B or through P_T , as there is no other way for A_A to acquire S_B , assuming that P_B 's private signature key is not compromised. We then must show that P_B has gained or can get a valid proxy signature of P_A . In this case, the attainment of S_B by A_A indicates that P_B has certainly received the correct items from A_A in the first message of sub-protocol PLEASE-E in Fig. 2. Otherwise, P_B would not have released S_B to A_A . According to Claim 2 discussed earlier, the possession of A_A 's correct message guarantees P_B that P_T can certainly recover P_A 's proxy signature ζ_A for P_B , when P_B makes a valid signature recovery request to P_T as discussed in Section 5. More specifically, P_B could have received P_A 's proxy signature S_A directly from A_A in the third message of PLEASE-E, or P_B can definitely obtain ζ_A indirectly from P_T by activating PLEASE-R in Fig. 3. Hence, P_B can certainly get one of S_A and ζ_A , which are equally valid for given session and document hashes h_A and d_A .

For the second case stated earlier, suppose that P_B have obtained a valid proxy signature of P_A . In other words, P_B has received either S_A from A_A in the third message of PLEASE-E or ζ_A from P_T in the second message of PLEASE-R, as there is no illicit way for P_B to obtain the signature according to the analysis of Claim 1. We now show that A_A has gained or can get P_B 's signature S_B . Since P_B obtained either S_A via PLEASE-E or ζ_A through PLEASE-R, A_A is able to get S_B via one of these two sub-protocols as well. If P_B gained S_A , A_A had certainly received S_B from P_B via the second message of PLEASE-E. Otherwise, A_A would not have released S_A to P_B through the third message of PLEASE-E. If P_B got ζ_A , A_A should have received S_B from P_T , because P_T passed ζ_A to P_B , while forwarding S_B to A_A , via PLEASE-R. A_A can also request P_T for the re-transmission of S_B in case A_A failed to receive S_B from P_T , as discussed in Section 5. Thus, A_A can obtain S_B .

Additionally, P_B may not follow PLEASE-E properly after the reception of the first message from A_A . Instead P_B uses the message to activate PLEASE-R for signature recovery. However, P_B cannot get P_A 's signature unfairly in this case. According to PLEASE-R defined in Fig. 3, P_B has to provide its valid signature for the signature recovery, and P_T recovers P_A 's signature for P_B while forwarding P_B 's signature to A_A , in order to ensure that the signature recovery is fair to both P_A and P_B .

The above analysis demonstrates that either each of A_A (or P_A) and P_B , or neither of them, can get the expected signature from the other at the end of the exchange, namely, protocol PLEASE satisfies the fairness requirement stated in Section 2.2. \square

7. Comparison with Related Work

The issue of fair signature exchange between two distrusted parties over networks has been well studied, e.g. [2-5, 7, 14, 18-20, 22-25]. As pointed out in Section 1, the existing approaches to such exchange can be classified into the public-key and symmetric-key based categories in terms of the types of verifiable signature encryption employed to achieve the exchange fairness. A common way for public-key based verifiable signature encryption (e.g. [3]) is to require that one party P_A apply a public key of a TTP P_T to encrypt its signature S_A , and the other party P_B can verify that the encryption contains correct S_A and P_T has the associated private key to decrypt the encryption for the recovery of S_A . Evidently, such public-key based encryption can be delegated by P_A to its agent(s) because the agent only needs to know the public key to perform the encryption. While the approaches in this public-key based category offer the benefits of assuring the exchange fairness and enabling the signature exchange delegation, they are in general mathematically complex and computationally expensive to operate.

On the other hand, the symmetric-key based category of approaches to verifiable signature encryption has also been proposed (e.g. [19, 25]). They exhibit better simplicity and efficiency in comparison with any public-key based solutions. For instance, the modular exponentiations (the most expensive computations) needed by the symmetric-key based approach for a verifiable RSA [15] signature encryption and its verification given in [25] are about one quarter of those needed by a well-known public-key based method presented in [3].

However, as pointed out in Section 1, the existing symmetric-key based approaches do not normally offer the capability of delegating the task of verifiable signature encryption to a selected agent, which are ineffective for u-commerce systems. A recently proposed approach [20] attempts to address the problem by offering such delegation capability for signature exchange. Nevertheless, this approach utilises two separate signatures for one verifiable encryption. As discussed in Section 2.1, the joint signature generation is important for u-commerce systems to achieve better security and reliability, but it requires much more computation and communication than the signature generation only by one signer. Hence, the use of two signatures instead of one for a single verifiable encryption significantly weakens the efficiency of the approach in u-commerce settings.

The above discussion on the related work highlights that the public-key based approaches to fair signature

exchange are usable for u-commerce systems but inefficient, and that the existing symmetric-key based approaches are ineffective or inefficient in u-commerce settings.

Comparing with the related work, the new protocol PLEASE presented in this paper not only achieves the exchange fairness as analysed in the previous section but also shows the following novel characteristics:

- (a) The approach proposed in Section 4 is the first one offering verifiable symmetric proxy encryption based on the Schnorr signature scheme.
- (b) The proxy encryption of private proxy signature keys instead of proxy signatures, which differs from existing approaches, helps to achieve a better level of simplicity, efficiency and flexibility. Our approach is simpler and more efficient because it is built solely on the Schnorr signature scheme itself, which will be justified further later. It also uses only one signature (i.e. (γ_A, η_A)) for each verifiable encryption, which overcomes the weakened efficiency problem discussed earlier about the existing symmetric-key based approaches. These make our approach easier to implement on small resource-limited devices normally used in u-commerce systems. This advantage is important, particularly when the approach will be extended to allow joint proxy encryption by multiple agents for better security and reliability. The approach is also flexible as the private proxy signature keys proposed in Section 4 are applicable to the whole family of discrete logarithm based signature schemes for proxy signature generations [16]. In other words, the approach is suited to fair exchanges of any such signatures.
- (c) The proxy encryption is supported by the issuance of a short-term purpose-restricted proxy encryption key κ_A from a long-term key k_A shared between P_A and P_T with a warrant w_A . This enables party P_A to delegate the verifiable encryption to its exchanger A_A while still keeping k_A secure from A_A . Thus our approach resolves the encryption delegation problem, experienced by the existing symmetric-key based approaches, in a simple way.
- (d) The proposed private proxy signature keys for proxy signature generations are also augmented with the one-time property, which permits each signature key to be valid only for one specific exchange signified by a pair of session and document hashes. This feature prevents the signature keys from being used for any illegitimate purposes.

To support the above claim on the better simplicity and efficiency of our approach, we compare it with the well-known public-key based approach to the verifiable encryption of Schnorr signatures, which was proposed by

Ateniese in [3] and could be applied to fair signature exchange in u-commerce. The simplicity of our approach lies in the fact that it is based solely on the Schnorr signature scheme itself, as analysed in Section 6. However, Ateniese’s approach requires two additional techniques, the Naccache-Stern cryptosystem [11] for signature encryption and a proof-of-knowledge scheme for encrypted signature verification, so our approach is mathematically much simpler.

To compare the efficiency of the two approaches, we consider the generation, verification and recovery of a verifiably encrypted key or signature with regard to the number of the most expensive computations, i.e. modular exponentiations. As listed in Table 2, our approach needs 2, 2 and 3 modular exponentiations for the generation, verification and recovery of a verifiably encrypted signature key, respectively. Here, the generation and recovery include the production of proxy signatures S_A and ζ_A by A_A and P_T , respectively. Also we only count one exponentiation for the joint generation of Schnorr signature (γ_A, η_A) in Section 4.3 as such joint signature generation should also be used by Ateniese’s approach for u-commerce systems. Moreover, the creation of encryption key κ_A in Section 4.2 is excluded because κ_A is used for multiple exchanges, and the verification of certificate C_A defined in Section 4.2 is not counted as Ateniese’s approach needs a similar verification as well.

Number of Modular Exponentiations			
Approach	Generation	Verification	Recovery
Ateniese’s Approach	5	5	4
Our Approach	2	2	3

Table 2: Computation comparison between our and Ateniese’s approaches for the generation, verification and recovery of a verifiably encrypted key and signature.

In contrast, Ateniese’s approach requires 5, 5 and 4 modular exponentiations for the generation, verification and recovery of a verifiably encrypted Schnorr signature, respectively. Since Ateniese did not define the recovery details in [3], we assume that the encrypted signature is decrypted first and the recovered signature is then verified, which is more efficient than verifying the encrypted signature and then decrypting it. Also we count only 2 modular exponentiations for the decryption as mentioned in [3].

Additionally, Table 3 provides a comparison between our and Ateniese’s approaches in terms of the numbers of data items produced for a verifiably encrypted key and signature. Here, a q -size, p -size or hash-size data item means that its length is not longer than that of q , p or a hash, respectively, with one exception that one of the 2 p -

size data items for Ateniese’s approach can be larger than p due to the use of the Naccache-Stern cryptosystem for signature encryption [3]. Also, warrant w_A specified in Section 4.2 is not counted as it should also be adopted by Ateniese’s approach in u-commerce settings. Moreover, certificate C_A is not considered because a similar certificate is used by Ateniese’s approach as well. From Table 3, it is evident that our approach yields less data than Ateniese’s approach.

Number of Data Items			
Approach	q-size	p-size	Hash-size
Ateniese’s Approach	1	2	2
Our Approach	1	1	1

Table 3: Data comparison between our and Ateniese’s approaches in terms of the numbers of data items generated for a verifiably encrypted key and signature.

The above comparisons clearly illustrate that our approach is much more efficient and simpler than Ateniese’s approach. This indicates that our approach is much easier to implement and more efficient to run on small computing devices used in u-commerce systems.

8. Conclusions and Future Work

We have identified the shortcomings of the existing work on fair signature exchange in relation to the emerging exchange scenarios of u-commerce. This has motivated us to propose a novel approach to the verifiable symmetric proxy encryption of one-time proxy signature keys in Section 4 to rectify the identified weaknesses. Such proxy encryption enables the task of verifiable encryption to be delegated to a chosen agent without disclosing any long-term key to the agent, whilst retaining the simplicity and efficiency of the symmetric-key based encryption. The use of proxy signature keys instead of proxy signatures for their verifiable encryption makes the approach flexible for its application to the whole family of discrete logarithm based signature schemes with no need for any modification. Also the one-time property of the proxy signature keys prevents them from being abused for illegitimate purposes.

In addition, the proposed approach has been applied to the design of a new protocol suited to emerging autonomous fair signature exchange in u-commerce in Section 5. The aforementioned characteristics of the approach distinguish the protocol from other existing ones, and help to bridge a critical gap in the security

protection of u-commerce. The protocol analysis conducted in Section 6 has confirmed that it can assure the security and fairness of signature exchanges. The comparison with related work presented in Section 7 has justified that the new approach is much simpler and more efficient than the relevant existing ones.

For the future work, we intend to extend the approach for joint verifiable proxy encryption using multiple distributed agents for better security and reliability.

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Author Biographies



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