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8 **Antimicrobial resistance in clinical bacterial isolates from horses in the UK**

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29 **Keywords:** multidrug resistance, antimicrobial resistance, intrinsic resistance, surgical site infection, equine
30 pathogens, antimicrobial susceptibility testing.

31 **Running title:** Antimicrobial resistance in clinical bacterial isolates from UK horses

32

33 **Summary**

34 **Background:** Surveillance of antimicrobial resistance (AMR) in horses is important to aid empirical
35 treatment decisions and highlight emerging AMR threats.

36 **Objective:** To describe the AMR patterns of common groups of bacteria from clinical submissions from
37 horses in the UK during 2018, and to determine how this varies by sample site and type of submitting
38 veterinary practice.

39 **Study design:** Prospective observational study.

40 **Methods:** All data on bacterial culture and subsequent antimicrobial susceptibility testing (AST) collected in
41 2018 from six large equine diagnostic laboratories were included. Resistance patterns were analysed
42 including resistance to 1 or 2 antimicrobial classes, multidrug resistance (MDR), extensively drug resistant
43 (XDR), resistance to highest priority critically important antimicrobials and isolates where there was no
44 readily available treatment for adult horses in the UK. Submitting practices were classified according to
45 whether they treated referral cases or not (first opinion). Comparisons between proportions and resistance
46 for each bacterial group and sample site was performed using Chi squared (or Fisher's exact test).

47 **Results:** A total of 6018 bacterial isolates from 4038 diagnostic submissions were included from respiratory
48 (n=1555), urogenital (n=1010), skin/hair/wound/abscess (n=753), surgical site infection (SSI) /catheter-
49 related-infection (CRI) /orthopaedic infections (n=347) and unknown/'other' submissions (n=373). There
50 were 2711 Gram-negative isolates and 3307 Gram-positive isolates. Prevalence of MDR for *E. coli* was
51 31.7%, *Staphylococcus* spp. 25.3% and >25% for the majority of bacterial isolates from
52 SSI/CRI/orthopaedic submissions. For *Enterococcus* spp. there was no readily available treatment for adult
53 horses in the UK in 30.2% of positive submissions. MDR was significantly higher from referral hospital than
54 first opinion submissions for the majority of pathogens (except *Actinobacillus* spp. and *Pasteurella* spp. and
55 β -haemolytic *Streptococcus* spp.).

56 **Main limitations:** Since culture and susceptibility results are not systematic analyses based on harmonised
57 methods, selection bias could impact the findings.

58 **Conclusions:** Ongoing surveillance is essential to understand emerging patterns of resistance. MDR is
59 high in SSI/CRI/orthopaedic infections, which is important for hospital biosecurity and guiding treatment
60 decisions. Harmonisation of diagnostic procedures and interpretation of results amongst veterinary
61 laboratories will improve AMR surveillance and data comparison amongst laboratories.

62

63 **Introduction**

64 Antimicrobial resistance (AMR) is a global problem with implications for both human and equine health [1].
65 AMR in horses poses a threat not only to the individual horse but also to the owners and caregivers as well
66 as to the environment from faecal and urine excretion of antimicrobials and their metabolites [2]. This
67 problem is more concerning since transmission of multidrug resistant (MDR, isolates with acquired non-
68 susceptibility to at least one antimicrobial in three or more antimicrobial classes) pathogenic strains from
69 animals to humans has also been reported [3]. There are also few antimicrobials available for use in UK
70 horses due to a limited number of drugs being authorised for use in this species, cost implications and
71 safety concerns due to hindgut fermentation. Certain antimicrobial classes, such as macrolides, which are
72 commonly used in humans and other veterinary species, are rarely used in horses over 12 months of age
73 (although macrolides are used in foals in the treatment of *Rhodococcus pneumoniae*). Similarly,
74 lincosamides are never used in horses due to risk of severe and potentially fatal colitis [4,5]. Some
75 antimicrobials such as doxycycline and enrofloxacin, which are considered safe for use in horses but are
76 not authorised for equine use in the UK, are frequently prescribed under the cascade for treating equine
77 infections [6]. Other antimicrobials authorised for use in other veterinary species are rarely used in adult
78 horses due to cost (e.g. amoxicillin), even though they are considered safe to use in adult horses [6].

79 Surveillance of AMR in clinical isolates is important in order to monitor and detect emerging resistance
80 patterns, which may be a threat to horse or human health. In addition, surveillance data can be used to
81 guide policies on antimicrobial use and local geographical empirical therapy. Antimicrobial stewardship and
82 appropriate antimicrobial prescribing practices are also important to ensure antimicrobials remain effective,
83 especially with limited treatment options in the horse. Intrinsic resistance (IR), the innate ability of wild type
84 bacterial species to resist activity of a particular antimicrobial [7], is particularly high in some bacterial
85 species that are commonly isolated in horses e.g. *Enterococcus* spp. and *Pseudomonas* spp. [8], which
86 further limits treatment options and may be compounded by acquired resistance also present in such
87 bacteria.

88 Previous reports in horses have mostly focused on susceptibility patterns of particular bacteria [9] or from a
89 particular sample site [10] or age group [11], or used results from a single hospital or laboratory [9]. Recent
90 publications from France have reported on susceptibility patterns from a variety of bacteria from clinical
91 submissions from 2012 to 2016 and identified increasing resistance to trimethoprim-sulfamethoxazole in
92 *Streptococcus* spp. and *E. coli* [12]. Another report from France identified a decrease in MDR in *E. coli* and
93 *Staphylococcus aureus* clinical isolates from 2006 to 2016, however prevalence of MDR still remained
94 above 18% and 22.5% for *S. aureus* and *E. coli*, respectively [13]. The Defra AHT BEVA Equine Quarterly
95 Disease Surveillance Report [14] provides information on the prevalence of bacteria such as *Streptococcus*
96 *equi* subspecies *equi* (*S. equi*), methicillin resistant *Staphylococcus aureus* (MRSA) and several other
97 bacteria; however, it does not report on antimicrobial susceptibility of these organisms. Whilst there are
98 several studies reporting on carriage of AMR in bacterial isolates in horses, to the authors' knowledge,
99 there is currently a lack of data on antimicrobial susceptibility patterns in bacterial isolates from equine
100 clinical submissions globally.

101 In the UK, a variety of different types of independent diagnostic laboratories operate; these include those
102 based within large private equine hospitals, university-based laboratories, large commercial veterinary
103 laboratories that predominantly process small animal submissions with fewer equine submissions, as well
104 as small in-house laboratories with mainly internal submissions. Currently, there are no standardised
105 veterinary laboratory methods in the UK, although most laboratories use Clinical and Laboratory Standards
106 Institute (CLSI) standards for performing antimicrobial susceptibility testing (AST) and for interpretation of
107 clinical breakpoints [15]. Culture and susceptibility data is crucial for informing treatment decisions and
108 determining emerging AMR threats. Therefore, the aim of the study was to describe the prevalence of
109 bacteria most commonly isolated from clinical specimens and patterns of AMR amongst bacterial isolates
110 from equine clinical samples submitted to diagnostic laboratories in the UK over a twelve-month period in
111 2018. We hypothesised that there would be increased MDR from submissions from referral practices
112 compared to first opinion practices, as referral caseloads are more likely to have already been administered
113 first line antimicrobial treatment, with subsequent referral only following treatment failure.

114

115 **Materials and methods**

116 Data Collection

117 Bacterial culture and subsequent AST data from bacterial isolates were obtained from clinical submissions
118 during the calendar year 2018, from six equine diagnostic laboratories across England, including
119 commercial, practice-based and University-based laboratories. Microorganisms isolated from positive
120 cultures were identified using commercial biochemical tests including API kits (Biomérieux, France) and
121 GNID and GPID Sensititre Identification plates (TREK Diagnostic Systems, West Sussex, UK) at four of the
122 laboratories, while two used the Matrix-assisted laser desorption ionisation time-of-flight mass spectrometry
123 (MALDI-TOF MS) platform for bacterial species identification (Bruker Daltonics, Germany). AST was
124 performed using minimum inhibitory concentration (MIC) at two laboratories while the remaining four used
125 Kirby-Bauer disc diffusion testing. All laboratories used CLSI methods and used CLSI breakpoints where
126 available for horses. When no breakpoints were available for horses, other veterinary breakpoints were
127 used, followed by human breakpoints (CLSI or EUCAST) if no other veterinary breakpoints were available
128 [15]. The individual breakpoints used by each lab for the most common bacteria in this study are listed in
129 Table S1 and although many breakpoints were identical (e.g. benzyl-penicillin for β -haemolytic
130 *Streptococcus* spp., *Pasteurella* spp. and *Actinobacillus* spp., oxytetracycline and doxycycline for
131 *Enterobacteriaceae* and folate pathway inhibitors for *Acinetobacter* spp.), some differed between
132 laboratories (e.g. oxytetracycline and doxycycline for bacteria other than *Enterobacteriaceae*). No
133 laboratories used urine specific breakpoints. Breakpoints were displayed to reflect whether MIC or Kirby-
134 Bauer disc diffusion testing was performed. Laboratories also used different antimicrobial susceptibility
135 panels (Table S2). From all laboratories, a range of information was provided including a unique
136 submission identification code: first part of the postcode of the submitting veterinary practice address; date

137 the results report was produced; the type or anatomical location of the submitted clinical specimen; the
138 bacterial culture and AST results for each bacterial species isolated from clinical specimens.

139 Due to laboratories using different antimicrobial panels, antimicrobials were grouped by class. IR was not
140 included when determining the susceptibility of isolates. Bacteria giving intermediate results i.e. not fully
141 susceptible were categorised as resistant [8]. Table 1 shows the classification of IR by bacterial species
142 and was developed by the authors using available relevant recent literature [8,15–18], whilst taking into
143 account equine pharmacokinetic and pharmacodynamic interactions and available antimicrobials for
144 horses, including those not authorised for horses but prescribed under the cascade. Antimicrobial
145 prescriptions under the cascade is a unique UK and Irish process [19] and antimicrobials commonly used
146 for both authorised and non-authorised use in horses and their Committee for Medicinal Products for
147 Veterinary Use (CVMP) category is shown in Table S3. In the latest documentation by the CVMP [20],
148 antimicrobials readily available in the UK for horses include Category A (“Avoid”) - rifampicin; Category B
149 (“Restrict”) – 3rd and 4th generation cephalosporins (3/4GC) and fluoroquinolones; Category C (“Caution”) –
150 aminoglycosides; Category D (“Prudence”) – metronidazole, benzylpenicillins, folate pathway inhibitors and
151 tetracyclines. Royal College of Veterinary Surgeons (RCVS) Veterinary Practice Directory (VPD) and the
152 National Statistics Postcode Look-up (NSPL) was used to determine if the submitting practice was a
153 practice that accepted referral cases. Submissions from practices that accepted referral cases and with an
154 ambulatory branch also were categorised as referral.

155 Data analysis

156 Sample sites were categorised into five different categories as follows: 1. respiratory tract/guttural pouch, 2.
157 urogenital, 3. skin/hair/wound/abscess, 4. surgical site infection (SSI)/catheter related infection
158 (CRI)/orthopaedic infections, 5. other or absent. Orthopaedic infections included positive synovial cultures,
159 septic tendinitis and osteomyelitis submissions and were grouped with SSI and CRI as these infections are
160 difficult to treat and often require surgery and hospitalisation. Unknown submissions included those where
161 no site was reported (n=520 isolates from 447 submissions), whilst ‘others’ were those present in less than
162 100 isolates and included the following sites; faecal (n=25), peritoneal fluid (n=33), liver (n=11), dental
163 (n=4), gastric (n=7) and rectal (n=5) submissions.

164 Bacterial species were separated, based on their IR and genetic similarity into the following groups: for
165 Gram-negative bacteria *E. coli*; *Actinobacillus* spp. & *Pasteurella* spp.; *Citrobacter* spp., *Enterobacter* spp.,
166 *Klebsiella* spp., *Serratia* spp., & *Pantoea* spp.; *Pseudomonas* spp.; *Acinetobacter* spp.; *Proteus* spp.,
167 *Morganella* spp., and *Providencia* spp.; for Gram-positive bacteria β -haemolytic *Streptococcus* spp.; α -
168 haemolytic *Streptococcus* spp.; *Staphylococcus* spp.; *Enterococcus* spp.; *Corynebacterium* spp. &
169 *Bacillus* spp. Although there are CLSI MIC breakpoints for amikacin only for β -haemolytic *Streptococcus*
170 spp., EUCAST expert rules considers all *Streptococcus* spp. IR to all aminoglycosides [16] due to
171 increasing levels of resistance hence this classification was used for the methods for this project.
172 Confidence intervals (95% CIs) for the proportions resistant were calculated using the Wilson Score

173 intervals [21]. 'Broadly susceptible isolates' were those which were susceptible to all classes of
174 antimicrobials tested (IR excluded) and described in Table 1; 'Resistant to 1 or 2 classes' were those
175 resistant to one or two antimicrobials from different classes; MDR was defined as isolates with acquired
176 non-susceptibility to at least one antimicrobial in three or more antimicrobial classes. 'XDR isolates' were
177 those which were resistant to all classes of antimicrobials considered [8]. Isolates with 'no readily available
178 treatment for adult horses in the UK' included those that were not susceptible to any of the following
179 antimicrobials; penicillin (penicillin G), 3rd generation cephalosporins (3GC; ceftiofur), aminoglycosides
180 (gentamicin/amikacin), tetracyclines (oxytetracycline/doxycycline), folate pathways inhibitors (trimethoprim-
181 sulfamethoxazole), fluoroquinolones (enrofloxacin) or phenicols (chloramphenicol). Polymyxin B, although
182 tested for using Kirby-Bauer disc diffusion methods by two laboratories was not included due to inaccuracy
183 of this method; testing using MIC by microbroth dilution is advocated [22]. Additionally, although polymyxin
184 B may be used in horses as part of treatment of systemic inflammatory response syndrome (SIRS), it is
185 used at an anti-endotoxic dose rate and not at an antimicrobial dose rate. The recommended dose for
186 polymyxin B in the treatment of SIRS in horses is 6,000 iu/kg IV every 8 to 12 hours although the dose
187 range varies between 5,000 -10,000 iu/kg [23–26]. The antimicrobial dose is higher (20,000 iu/kg), although
188 neurotoxic and nephrotoxic effects have been seen at this dose [27,28] hence should not be used in
189 horses. The human antimicrobial polymyxin B dose is 30,000 iu/kg/day [29]. Comparisons between
190 proportions for sample site, referral or first opinion practice and resistance for each bacterial group was
191 performed using Chi squared (or Fisher's exact test, [f] when sample size in any category was <5) [21]. A
192 p-value of <0.05 was considered statistically significant. A bi-variate choropleth map was constructed
193 displaying geographical variation in the proportion of MDR isolates across all isolates and for those bacteria
194 that were present in sufficient numbers for analysis.

195

196 **Results**

197 AST data were available from 6018 bacterial isolates obtained from 4038 clinical submissions during 2018
198 and included 1555 respiratory, 1010 urogenital, 753 skin/hair/wound/abscess, 347 SSI/CRI/orthopaedic
199 infections and 373 unknown or 'other' submissions. A single pure bacterial isolate was recovered from
200 63.6% (2553/4038) of submissions, while the remaining submissions revealed polymicrobial cultures
201 ranging from 2-7 isolates. Out of the remaining 1485 submissions there were 1093, 319, 66, 3, 1 and 3
202 submissions with 2, 3, 4, 5, 6 and 7 isolates, respectively. The 6018 bacterial isolates included 2711 Gram-
203 negative isolates and 3307 Gram-positive isolates. Only isolates belonging to the major bacterial groups
204 identified in Table 1 were included (n=5698) for AMR and MDR calculations, omitting 212 and 108 other
205 Gram-negative and Gram-positive bacterial isolates, respectively (breakdown shown in Table S4).

206 The submissions came from 208 veterinary practices distributed across the UK (shown in Figure 1). The
207 most common Gram-positive bacterial isolate was β -haemolytic *Streptococcus* spp. (45.9%) followed by
208 *Staphylococcus* spp. (28.6%; in this group 56.8% were *S. aureus*, see Table S4 for further information) and

209 α -haemolytic *Streptococcus* spp. (11.0%). In the β -haemolytic *Streptococcus* spp., the majority were
210 unspecified species (54.4%) followed by *Streptococcus equi* subspecies *zoepidemicus* (*S.*
211 *zoepidemicus*) (34.2%), *Streptococcus dysgalactiae* subsp. *equisimilis* (8.4%) and *S. equi* (3.0%). *E. coli*
212 (38.3%) represented the most common Gram-negative isolates followed by *Actinobacillus* spp. &
213 *Pasteurella* spp. (22.8%) and *Citrobacter* spp., *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp., & *Pantoea*
214 spp. (16.9%). The full breakdown of bacterial isolates is shown in Table S4. The most common bacterial
215 isolates from respiratory submissions included β -haemolytic *Streptococcus* spp. (31.1%) and *Actinobacillus*
216 spp. & *Pasteurella* spp. (21.6%), while the most common urogenital pathogens included *E. coli* (31.9%) and
217 β -haemolytic *Streptococcus* spp. (29.5%). The most common bacterial isolates from
218 skin/hair/wound/abscess submissions included *Staphylococcus* spp. (32.2%) and β -haemolytic
219 *Streptococcus* spp. (20.0%), while SSI/CRI/orthopaedic infections, also most commonly included
220 *Staphylococcus* spp. (28.1%) but also *E. coli* (18.8%) and *Enterococcus* spp. (12.2%). The breakdown of
221 AMR in bacterial isolates according to sample site is shown in Table 2.

222 Antimicrobial resistance

223 The proportion of resistance of bacterial isolates is shown in Table 2 and Table 3. Resistance to 1 or 2
224 antimicrobial classes was most common in *Enterococcus* spp. (66.5%), *Acinetobacter* spp. (63.1%) and β -
225 haemolytic *Streptococcus* spp. (45.2%). In Gram-negative isolates there was high tetracycline and folate
226 pathway inhibitor resistance in *E. coli* (48.0% and 44.3%, respectively) and *Citrobacter* spp., *Enterobacter*
227 spp., *Klebsiella* spp., *Serratia* spp., and *Pantoea* spp. (42.8% and 35.1%, respectively); high folate pathway
228 inhibitor resistance in *Acinetobacter* spp. (70.2%) and *Proteus* spp., *Morganella* spp., & *Providencia* spp.
229 (57.5%); and high macrolide resistance in *Actinobacillus* spp. & *Pasteurella* spp. (82.7%). Resistance to
230 3/4GC in *E. coli* and *Citrobacter* spp., *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp. and *Pantoea* spp.
231 was 14.0% and 27.6%, respectively. Prevalence of fluoroquinolone resistance was >20% for *Pseudomonas*
232 spp., *Proteus* spp., *Morganella* spp., and *Providencia* spp. and >10% for *E. coli*, *Citrobacter* spp.,
233 *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp. and *Pantoea* spp. and *Acinetobacter* spp.

234 In Gram-positive isolates there was a very high prevalence of tetracycline resistance in *Enterococcus* spp.
235 (49.6%) and >30% for *Staphylococcus* spp. and β -haemolytic *Streptococcus* spp. Fluoroquinolone
236 resistance was also high in *Enterococcus* spp. (50.7%) but lower in β -haemolytic *Streptococcus* spp.
237 (27.9%) and <15% for other relevant Gram-positive isolates. The prevalence of oxacillin or ceftiofur
238 resistance in *Staphylococcus* spp. isolates was 15.9%, however only 34.3% of isolates (315 of 916
239 isolates) were tested against either of these antimicrobials. In *S. aureus* the prevalence of oxacillin or
240 ceftiofur resistance was 12.1% (30 of 247 isolates).

241 Multidrug and extensively drug resistant isolates

242 MDR was high in *Corynebacterium* spp. & *Bacillus* spp. (50.8%), *E. coli* (31.7%), *Citrobacter* spp.,
243 *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp., & *Pantoea* spp. (25.3%) and *Staphylococcus* spp.
244 (25.3%). Isolates with no readily available treatment for adult horses in the UK were highest in

245 *Enterococcus* spp. (30.2%) followed by *Acinetobacter* spp. (9.2%), while in all other bacterial isolates this
246 category accounted for less than 6.4% of isolates. The most broadly susceptible isolates included α -
247 haemolytic *Streptococcus* spp. (92.1%), *Pseudomonas* spp. (60.1%), *Actinobacillus* spp. & *Pasteurella* spp.
248 (51.7%). Proportion of broadly susceptible, resistant to 1 or 2 classes, MDR and XDR is shown in Table 3.

249 Resistance by sampling site

250 The most frequent source of bacterial isolates included respiratory (n=2334), urogenital (n=1286),
251 skin/hair/wound/abscess (n=1230), SSI/CRI and orthopaedic infections (n=549). The proportion of bacterial
252 isolates with resistance and MDR by species and sample site is shown in Table 2. Proportions of resistance
253 varied significantly by sample sites with SSI/CRI and orthopaedic infections having high prevalence of MDR
254 and resistance to most antimicrobials tested for many in many of the bacterial species including *E. coli*,
255 *Citrobacter* spp., *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp., & *Pantoea* and *Acinetobacter* spp. and
256 *Staphylococcus* spp. MDR was also prevalent in samples from unknown and other sites in *Actinobacillus*
257 spp. & *Pasteurella* spp., *Proteus* spp., *Morganella* spp., & *Providencia* spp., and *Staphylococcus* spp. Of
258 concern, resistance to 3/4GC was >20% in *E. coli* isolates and > 35% in *Acinetobacter* spp. from
259 SSI/CRI/orthopaedic infections and unknown/other. Resistance to 3/4GC was \geq 40% in *Citrobacter* spp.,
260 *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp., & *Pantoea* spp. from respiratory, SSI/CRI/orthopaedic
261 infections and unknown/other. Fluoroquinolone resistance was >45% in SSIs for *Citrobacter* spp.,
262 *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp., & *Pantoea* spp. and *Pseudomonas* spp. and >50% for β -
263 haemolytic *Streptococcus* spp. from SSI/CRI/orthopaedic infections and unknown/other and >75% in
264 *Enterococcus* spp. from SSI/CRI/orthopaedic infections and unknown/other.

265 Submitting practice demographics

266 From the 4038 original submissions, there were 3926 where the submitting practice details included a UK
267 veterinary practice postcode, of which 2008 were referral submissions and 1918 first opinion submissions.
268 Submissions were excluded (n=112) either due to submitting practice details not being recorded (n=6), or
269 submissions were from outside of the UK (n=106). There were significantly more respiratory and SSI/CRI
270 and orthopaedic submissions from practices with referral caseloads ($p<0.001$), while urogenital,
271 skin/hair/wound/abscess, and unknown/other submissions were higher from first opinion practices
272 ($p<0.001$) (Table 4). From the 5861 isolates which belonged to the major bacterial groups with AST results
273 presented in Table 2, postcode data was available for 5564 isolates. This included 2422 Gram-negative
274 and 3142 Gram-positive isolates with 2820 isolates from referral and 2744 isolates from first opinion
275 practices. The proportions of MDR in bacterial isolates were significantly different in referral hospitals
276 compared with first opinion practices (Table 5). MDR was significantly higher in submissions from referral
277 hospitals in *E. coli* ($p<0.001$), *Citrobacter* spp., *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp., & *Pantoea*
278 spp. ($p<0.001$), *Acinetobacter* spp. ($p<0.001$), *Staphylococcus* spp. ($p<0.001$), and *Enterococcus*
279 spp. ($p=0.01$). MDR was significantly higher in submissions from first opinion practices in *Actinobacillus* spp.
280 & *Pasteurella* spp. ($p<0.001$), and β -haemolytic *Streptococcus* spp. ($p<0.001$). The majority of *S. equi* were

281 from first opinion submissions (77.3%), while only 18.1% were from referral practices. The majority of *S.*
282 *zooepidemicus* were also from first opinion submissions (64.9%) while 33.7% were from referral practices.
283 In unspecified β -haemolytic *Streptococcus*, which made up 54.4% of all β -haemolytic *Streptococcus* spp.
284 72.2% were from referral practices. Where data were available regarding postcode (n=5861), a bi-variate
285 choropleth map displaying the proportion of MDR isolates (and standard error) for each UK constituent
286 postcode area identified variations in MDR across the UK (shown in Figure 2) across all isolates and for
287 those bacteria which were present in large enough numbers for analysis (*E. coli*, β -haemolytic
288 *Streptococcus* spp. and *Staphylococcus* spp.) Though descriptive, this revealed some postcode areas with
289 relatively higher resistance prevalence and low standard errors.

290

291 Discussion

292 This is the largest study investigating bacterial isolates and their resistance patterns from equine clinical
293 submissions to multiple laboratories in the UK and provides important information on AMR in common
294 equine pathogens. The current study identified potential geographical differences in MDR for the most
295 common bacterial isolates as well as significantly different prevalence of resistance in bacterial isolates
296 from different sample sites and from referral practices compared to first opinion practices. These variables
297 are unlikely to be independent; for example, there was increased MDR in SSI/CRI and orthopaedic isolates,
298 however the majority of these were from referral practices (80.3%) where horses may be more likely to
299 have received previous antimicrobials, having undergone surgery, have co-morbidities such as systemic
300 inflammatory response syndrome (SIRS) after colic surgery, or be/have been hospitalised (although the
301 exact proportion hospitalised is unknown). In isolates from SSI/CRI and orthopaedic infections in the major
302 categories (listed in Table 1) from referral practices, 37.7% (160/424) were MDR. Previous studies have
303 reported increased AMR and MDR in clinical isolates from hospitalised compared with non-hospitalised
304 horses [9]. Similar to our study, previous equine studies have also reported lower prevalence of AMR in
305 bacteria from respiratory and urogenital submission compared to wounds [30]. Human [31] and companion
306 animal [32] studies have also identified high MDR in hospital-acquired infections due to a variety of factors
307 such as previous antimicrobials, co-morbidities, duration of hospitalisation and severity of disease. Gram-
308 negative MDR bacteria have been associated with increased mortality in horses with synovial sepsis
309 (orthopaedic infection) [33]. However, depending on the severity and site of the infection, MDR bacteria
310 particularly from SSI do not always require systemic antimicrobials as many are superficial infections, which
311 are often self-limiting. The current human guidelines for SSIs recommend local treatment consisting of
312 topical antimicrobials in conjunction with debridement and specialist wound dressings [34], as well as
313 regular bandage changes and close monitoring the progress of the infection.

314 Knowledge of these MDR bacteria is important in order to implement targeted biosecurity measures such
315 as increasing hand hygiene when handling surgical patients, high level cleaning of stables between
316 patients and sampling the stable environment after cleaning and before admitting the next patient in the

317 same stable in order to prevent spread of MDR bacteria in the hospital. Ideally patients with MRSA or
318 ESBL-producing bacteria should be placed in isolation to prevent spread to other horses in the hospital. By
319 monitoring bacteria in SSI/CRI and orthopaedic infections, hospitals are also better able to identify
320 breaches in biosecurity if multiple patients develop infections with the same bacteria and AST phenotype.
321 Surveillance data is also important from a public health aspect to monitor emerging zoonotic bacteria in
322 companion animals and horses such as toxigenic *Corynebacterium ulcerans* [35], *Clostridium difficile*,
323 *Leptospira* spp. or *Staphylococcus* spp. [36]. In addition to submissions with missing postcodes, 8.6%
324 (520/6018) of isolates had the sample site information missing, which is similar to human [37,38] and other
325 veterinary studies [39] where information was commonly missing from diagnostic submission forms.
326 Isolates from unknown sample sites often also had high prevalence of MDR, however this is of limited value
327 without knowing the source of the samples. We elected to include “unknown” site for completeness of
328 reporting, and to highlight the importance of encouraging submitting veterinarians to provide more complete
329 information on diagnostic submissions for improved laboratory reporting and surveillance. Knowledge of the
330 sample site is also valuable information for the microbiology laboratory to allow adherence to appropriate
331 culture protocols according to the sample site and also for the clinical microbiologist when interpreting the
332 results and deciding whether the presence of certain bacterial isolates is likely clinically significant or due to
333 contamination [40]. Unless present as a pure growth from a normally sterile site (such as urine obtained via
334 cystocentesis), it is difficult to distinguish between simple bacterial presence and true infection [41]. Many
335 bacterial isolates in equine infections are opportunistic pathogens that may colonise body sites together
336 with other commensal bacteria [42] and when the conditions are optimal, can cause infections. For
337 example, MDR *Acinetobacter baumannii* has been reported in vascular catheters in horses, but only in
338 42.9% of cases was there evidence of local infection [43]. Immunocompromised patients in particular, are
339 at risk of infections caused by diverse bacteria, including opportunistic pathogens [44] and anatomical
340 differences between different sexes and age groups may also predispose to infection [45]. Furthermore,
341 administration of antimicrobials exerts selective pressure on commensal bacterial populations within a host,
342 which can select for opportunistic pathogens, for example *S. aureus* on mucosal surfaces of carriers
343 following cephalosporin exposure will undergo collateral selective pressure, conferring advantage to
344 resistant subpopulations, including MRSA [46].

345 This study identified increased MDR in submissions from referral practices compared to first opinion
346 practices in common opportunistic pathogens, such as *E. coli*, *Citrobacter* spp., *Enterobacter* spp.,
347 *Klebsiella* spp., *Serratia* spp., and *Pantoea* spp., *Acinetobacter* spp., *Staphylococcus* spp. and
348 *Enterococcus* spp. Interestingly, there was increased MDR in submissions from first opinion practices for
349 *Actinobacillus* spp. and *Pasteurella* spp. and β -haemolytic *Streptococcus* spp. These are common
350 respiratory and mucosal pathogens [47], but surprisingly there were significantly more respiratory
351 submissions from practices with referral caseloads, which is different to a previous study where 64% of β -
352 haemolytic *Streptococcus* submissions were from non-hospitalised horses [9]. In the current study, the
353 majority of *S. equi* (77.3%) and *S. zooepidemicus* (64.9%) were from first opinion submission while the
354 majority of unspecified β -haemolytic *Streptococcus* spp. (72.2%) were from referral submissions hence it is

355 difficult to comment further on the pathogenicity of these isolates. However, as submissions from practices
356 with both referral and first opinion caseloads were categorised as referral, it was not possible to distinguish
357 further between submissions and it is possible there was some misclassification. Some of these referral
358 respiratory submissions are likely to originate from some large equine practices that have both hospital and
359 ambulatory branches and may undertake more poor performance/subclinical respiratory disease screening
360 in sport and racehorses rather than sampling horses with overt clinical disease which may have biased
361 these results. In these horses, *S. zooepidemicus*, for example, is viewed as performance limiting, which
362 may well be associated with tracheal mucus and inflammatory airway disease and is likely to be treated
363 with antimicrobials [48]. As it was not possible to distinguish between upper and lower respiratory tract
364 submissions, it is not possible to explore this further.

365 It is important to highlight that despite there being higher prevalence of MDR in β -haemolytic *Streptococcus*
366 spp. in first opinion submissions than referral submissions, overall MDR in β -haemolytic *Streptococcus* spp.
367 was only 8.3% and importantly 97.5% of isolates were susceptible to penicillin, which is the current first line
368 treatment for equine respiratory infections listed in the BEVA Protect ME toolkit [49]. As described in the
369 methods in this study, all *Streptococcus* spp. were considered intrinsically resistant to aminoglycosides
370 according to EUCAST Expert Rules [16]. Increased doses may overcome this low-level of intrinsic
371 resistance although this may not be practical or safe in equine practice. Interestingly in recent reports from
372 clinical isolates from horses in France gentamicin resistance was low (1.2%) in all *Streptococcus* spp.
373 (75.1% of all streptococci in that study were *S. zooepidemicus*), although that study used a high
374 concentration of gentamicin (500 μ g) for AST. Similarly, in respiratory submissions in horses from New
375 Zealand, gentamicin resistance was low in all *Streptococcus* spp. (7.4%) despite that study using a lower
376 standard concentration of gentamicin (10 μ g) for AST [10] than the French study. In contrast, a previous UK
377 study identified high prevalence of resistance to gentamicin (ranging from 50-74%) in β -haemolytic
378 *Streptococcus* spp. with increasing resistance in *S. equi*, *Streptococcus equisimilis* and unidentified β -
379 haemolytic *Streptococcus* spp. from 2004-2012 [9] using a standard concentration of gentamicin (10 μ g).
380 However, these results need to be interpreted with some caution, as older CLSI interpretation guidelines
381 were used [50–52]. Similarly, in β -haemolytic *Streptococcus* spp. from respiratory submissions in UK
382 horses, gentamicin and streptomycin resistance were 100%, which adds further evidence that all
383 *Streptococcus* spp. should be considered resistant to aminoglycosides [53]. Other differences include high
384 enrofloxacin (68.4%) and tetracycline (60.1%) resistance in French *Streptococcus* spp. [12], while in the
385 current study fluoroquinolone (27.9%) and tetracycline (33.8%) resistance was much lower in β -haemolytic
386 *Streptococcus* spp. Similar to the French study, there was a high prevalence of resistance to tetracycline in
387 β -haemolytic *Streptococcus* spp. (66.7-100%) in a recent study of clinical respiratory submissions from
388 horses in the UK, although MDR was low (<1%) [53]. The higher prevalence of tetracycline resistance in
389 the study by Fonseca *et al.* is in contrast to the current study despite both studies undertaken in UK horses.
390 This may reflect a temporal change in susceptibility patterns as the previously published study was
391 conducted between 2002-2012 while the current study only included isolates sampled in 2018. Other
392 differences may represent international variation which may be driven by different equine populations,

393 antimicrobial use or differences in emerging resistance in *Streptococcus* spp. and highlights the necessity
394 of local surveillance for informing current antimicrobial guidelines [54]. These results could also suggest
395 possible differences in methodology and interpretation of results between these studies, which highlights
396 the need for harmonisation of susceptibility testing amongst laboratories at country or European level for
397 enhanced AMR surveillance.

398 There are several bacterial isolates with high levels of IR leaving only two treatment options available in
399 adult horses, particularly for *Enterococcus* spp., *Pseudomonas* spp., and α -haemolytic *Streptococcus* spp.,
400 which are only considered susceptible to tetracyclines and fluoroquinolones; aminoglycosides and
401 fluoroquinolones; 3GC and fluoroquinolones, respectively. These bacteria are considered susceptible to
402 few other antimicrobials (e.g. ampicillin/macrolides/extended spectrum penicillins/ β -lactamase
403 inhibitors/4GC), which are not safe or available for use in horses in the UK. Thus, using a classification of
404 MDR of resistance to 3 or more classes may not be suitable for organisms such as *Pseudomonas* spp. and
405 *Enterococcus* spp., which have multiple inherent resistance mechanisms and very few antimicrobials to
406 which they are expected to be susceptible to. Therefore, for these bacterial isolates MDR is often artificially
407 low (<4% in this study) despite there often being no readily available treatment options in adult horses in
408 the UK (30.2% for *Enterococcus* spp.). It is important to recognise that bacterial isolates with high IR should
409 not be overlooked due to their low MDR as they pose a therapeutic challenge when involved in infection
410 [55]. These bacteria, as well as posing a risk for the individual horse, are also of zoonotic concern as they
411 have also been reported in humans. A genotypically identical strain of *Pseudomonas* spp. from a water
412 source has been reported as a cause of an outbreak of equine endometritis in Australia [56], from a variety
413 of equine samples in Ireland [57], from companion animals [58] and from human cystic fibrosis patients
414 [59]. *Enterococcus* spp. are common pathogens in hospital-acquired infections in humans [60], equine
415 synovial infections [61] and companion animals [62] and have been associated with increased mortality in
416 foals [63]. However, they are often present in human and animal gut flora [64], on skin [64] and urogenital
417 mucosa [65] and therefore are often present in clinical specimens as contaminants [66,67]. It is important
418 that their clinical significance is thoroughly evaluated, and susceptibility testing is issued only when their
419 clinical significance is established. Future studies should investigate the relevance of *Enterococcus* spp. by
420 including cytological evidence of association with infection. Alpha-haemolytic *Streptococcus* spp., in
421 particular *S. pneumoniae*, are also troublesome to treat and are a common cause of human sepsis [68] and
422 have been reported in bacteraemia and pneumonia in a neonatal foals [69] and companion animals [70].
423 These bacteria form an exceptional clinical challenge in human and veterinary medicine, as the isolates are
424 frequently MDR and have susceptibility patterns that are difficult to predict [60,71,72].

425 Our study identified higher MDR compared to a recent study of clinical isolates in France, where the
426 highest MDR was 22.5% (*S. aureus*). MDR in *Staphylococcus* spp. in our study was slightly higher (25.3%)
427 with high MDR in other common opportunistic pathogens such as *E. coli* (31.7%) and *Citrobacter* spp.,
428 *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp., & *Pantoea* spp. (25.3%). This is similar to other studies in
429 the UK where MDR in clinical *E. coli* from horses was 39.9% [9]. MRSA and EBSL-producing

430 *Enterobacteriaceae* are common bacterial isolates in nosocomial infections and of much clinical interest. It
431 was not possible to report the exact prevalence of these organisms in this study due to no confirmatory
432 genotyping or phenotypic testing being performed in the majority of laboratories. The prevalence of oxacillin
433 or ceftiofur resistant *S. aureus* isolates was 6.1% which is lower than the prevalence of ceftiofur resistant
434 *S. aureus* from horses in France (23%). The highest prevalence of oxacillin or ceftiofur resistant *S. aureus*
435 in the current study were from SSI/CRI/orthopaedic (21.6%, 16/74) and urogenital (6.6%, 3/44) and
436 skin/hair/wound/abscess (2.3%, 5/ 214). This included isolates from one laboratory that used PCR-assay to
437 confirm presence of *mecA* gene [73] and this gene was identified in 26.3% (5/19) of oxacillin or ceftiofur
438 resistant *S. aureus* in this laboratory. Although MRSA screening was based on oxacillin or ceftiofur testing
439 which could result in an overestimation of the real proportion of MRSA, our results indicate that oxacillin or
440 ceftiofur resistant *S. aureus* are less prevalent in UK than French submissions. Most laboratories did not
441 perform phenotypic testing to detect ESBL-producers in 3/4GC resistant Gram-negative isolates hence the
442 prevalence of ESBL-producers cannot be reported. However resistance to 3/4GC in the current study was
443 14.0% of *E. coli* and 27.6% in *Citrobacter* spp., *Enterobacter* spp., *Klebsiella* spp., *Serratia* spp., & *Pantoea*
444 spp., which is higher than in *E. coli* (7.6%) and *Klebsiella* spp. (5.2%) in clinical isolates from France [12],
445 but similar to a previous UK study where 3GC resistance in *E. coli* was 14.2% [9]. There was a lower
446 prevalence of ceftiofur resistance in *E. coli* from respiratory submissions in horses in the UK (0-2.9%) than
447 the current study, while in *Pseudomonas* spp. 3GC resistance was higher (over 64.6%) compared with the
448 current study (11.1%) [53].

449 The current study has some inherent limitations. The results were generated from different laboratories
450 using slightly different antimicrobial panels and different technical equipment and staff. Since interpretative
451 criteria of disc diffusion data are set, so there is optimal correlation with MIC from microbroth dilution, for
452 most bacterial species from both human and veterinary samples, one method of susceptibility testing is not
453 considered superior to the other [74–78] for the majority of antimicrobials against bacterial species such as
454 *Salmonella* spp., *Enterobacteriaceae*, *A. baumannii* [77,79–81]. For some bacterial species there are
455 discrepancies between the methods in particular for methicillin-resistant *Staphylococcus pseudintermedius*
456 (MRSP) and for some antimicrobials when testing against *Pseudomonas* spp. and *Corynebacterium* spp.
457 [78,82,83]. For polymyxin B and colistin disc diffusion methods are not recommended as these do not
458 diffuse well in agar [84] and microbroth dilutions are also recommended for *S. pneumoniae* (α -haemolytic
459 *Streptococcus* spp.) to penicillins and some cephalosporins due to better accuracy [85,86]. Larger and
460 more modern laboratories are commonly using automated microbroth dilution methods due to its versatility
461 and ability to determine the MIC likely to achieve effective antimicrobial plasma concentration. This means
462 that if the MIC indicates that an isolate is susceptible but at the higher end of the range, near the
463 epidemiological cut off value (ECOFF), it may require a higher dose to achieve therapeutic concentrations
464 [87]. Although there are also inaccuracies in MIC, such that the accepted MIC ranges of quality control
465 strains, often span over two to three dilutions and even four dilutions in some cases [88]. Smaller
466 laboratories often use Kirby-Bauer disc diffusion methods due to lower costs and no requirement for
467 extensive equipment. Furthermore, another limitation was that a pooled approach to reporting was utilised

468 by combining some bacterial species based on their similarities in intrinsic resistance patterns. This is
469 similar to human studies [8] and was done in order to avoid having several smaller groups making
470 conclusions and presentation of results difficult, but the authors acknowledged that this does somewhat
471 limit the application of these pooled results.

472 The current study reports on the presence of different bacterial isolates from clinical submissions and all
473 isolates with reported susceptibility were included in this study. However, some bacteria may be
474 contaminants such as *Bacillus* spp. and *Enterococcus* spp., and *Pseudomonas* spp. in some submissions.
475 Although in the majority of submissions only a single bacterial isolate was reported, it is difficult to establish
476 the main pathogenic organism in polymicrobial cultures [41], which is another limitation of the current study
477 and a general challenge of diagnostic microbiology. However, in this study we identified less polymicrobial
478 cultures (36.4%) than previously reported in equine respiratory submissions where 58.2-76.4% of cultures
479 yielded polymicrobial growth [53]. Performing AST on multiple isolates from the same specimen without
480 consideration of clinical relevance, does not promote prudent antimicrobial prescribing practices [89].
481 Bacterial isolates with intermediate susceptibility were considered resistant, as treatment with an
482 antimicrobial with intermediate susceptibility would likely not be recommended in most situations in a
483 clinical infection and is also consistent with reporting in other EU and UK surveillance projects [90,91]. In
484 circumstances where there are no other treatment options, antimicrobial therapy may be guided by MIC to
485 safely determine the antimicrobial dose for an antimicrobial agent with intermediate susceptibility. As
486 certain antimicrobials are excreted in urine, such as penicillin and folate pathway inhibitors, higher
487 concentration can be achieved in urine. There are a small number of breakpoints specific to urinary tract
488 infections (UTI) for this reason (e.g. for amoxicillin in *Enterobacteriaceae* in dogs), but it is not relevant to
489 include these breakpoints as they were not utilised by any of the laboratories in this study. Classifying
490 intermediate susceptibility as resistant, is likely to have overestimated resistance outcomes, including MDR.
491 Furthermore, intermediate susceptibility may incorrectly reflect the outcome in topical use in cases involving
492 the eye/skin/wounds where resistance was relatively high in this study. Care is also warranted over
493 overestimation of susceptibility for treatment of infections confined in the central nervous system, or
494 systemic use of antimicrobials for treatment of infections in the eye, where some antimicrobials may
495 penetrate poorly. Although these were not common sites reported in this study. It was also not possible to
496 assess the way samples were collected and for example obtaining a respiratory sample via a nasal swab
497 has higher potential for contamination compared with obtaining a trans-tracheal wash (TTW) or
498 bronchoalveolar lavage (BAL) sample.

499 Another limitation is the inherent selection bias associated with clinical submissions, as infections, which
500 are not responding to treatment, are more likely to be submitted and similarly, infections which are
501 responding to treatment, are often not sampled, particularly in non-hospitalised horses. This is a limitation
502 common to clinical diagnostic microbiology data, which is unavoidable. However these sources of data are
503 a valuable part of AMR surveillance in humans [90,92] and other veterinary animal species [91,93] and can
504 help to identify new and emerging patterns of resistance, particularly because treatment failure is a frequent

505 reason for submission of samples. Furthermore, there are likely to be differences in prudence in sampling
506 between different practices and veterinary surgeons. The use of different AST methods and different
507 clinical breakpoints is considered a major limitation but is a problem common to other multi-laboratory
508 studies [93,94] and in well-established reports of resistance on bacteria from human invasive infections
509 [90]. This limitation was unavoidable and also complicates comparison of resistance amongst current and
510 future surveillance studies. Harmonisation of methods and interpretative criteria in veterinary medicine
511 should be a priority and would allow future comparisons over time in resistance frequencies. There are
512 national and international systems for monitoring and reporting AMR in food-producing animals, such as
513 the National Antimicrobial Resistance Monitoring System in the USA and the harmonised monitoring of
514 AMR conducted in the EU. However, systematic surveillance systems for AMR in veterinary clinical
515 samples are frequently lacking, and surveillance of this kind is not currently carried out for AMR in horses.
516 Even systems such as the RESAPATH network in France [95], which is a national passive surveillance
517 system that includes equine samples, have the inherent biases associated with voluntary submission of
518 results by laboratories and selection of cases for sampling by practising vets [12]. The European
519 Antimicrobial Resistance Surveillance Network (EARS-Net) for monitoring AMR in organisms associated
520 with human diseases is based on routine clinical antimicrobial susceptibility data that is reported to the
521 European Centre for Disease Prevention and Control by EU countries and the UK [90]. The data originate
522 from national AMR surveillance initiatives and laboratory networks. Furthermore, the veterinary medicines
523 directorate (VMD) collates data from laboratories on AMR in bacteria in samples from animals in the
524 annual Veterinary Antimicrobial Resistance and Sales Surveillance (VARSS) report [91]. This is managed
525 through two programmes: EU Harmonised Monitoring, and a clinical surveillance programme, which relies
526 on voluntary submission of samples by farmers and veterinary surgeons although this has limited data from
527 equine and companion animals. Current efforts include developing a system for diagnostic surveillance of
528 AMR in veterinary medicine, European Antimicrobial Resistance Veterinary Surveillance Network (EARS-
529 VET) [96], which eventually may include equine data. The role of the veterinary committee on AST,
530 VetCAST [97] and ENOVAT (European Network for Optimization of Veterinary Antimicrobial Treatment)
531 [98] may be crucial in this harmonisation process. However, veterinary laboratories must adopt the same
532 laboratory standards in order to achieve this [99]. There are many barriers to implementation of harmonised
533 methods including cost and availability of equipment, skills and training of the laboratory staff, and the time-
534 consuming nature of updating the latest breakpoints while running a commercial service. As there is no
535 governing body which veterinary laboratories have to subscribe to that regulates or audits methods and
536 results, laboratories are able to use their own in-house methods. Despite these limitations, the results from
537 this study provide relevant and updated information on the current AMR situation in clinical bacterial
538 isolates from horses in the UK.

539 Apart from establishing if practices were referral or first opinion, it was not possible to determine further
540 practice characteristics such as case load. Descriptive spatial analysis suggested there may be
541 geographical differences in levels of resistance prevalence, as has been observed in humans [100,101].
542 However, in the current study, data were based on the submitting practice postcode, rather than horse or

543 owner location, and it is therefore not accurate to compare geographical differences. Furthermore, the
544 submissions were from a limited number of diagnostic laboratories, hence the results from this study are
545 not representative of all infections encountered in horses in the UK. Further research and surveillance are
546 needed to enable practitioners to utilise local resistance trends to guide prescribing. The study did identify
547 that current guidelines regarding first line antimicrobials are relevant, such as recommendation for
548 trimethoprim-sulphadiazine for first line treatment for most urogenital conditions [49] [where the most
549 common bacterial isolates were *E. coli* (31.9%) and β - haemolytic *Streptococcus* spp. (29.5%)], unless the
550 infection is due to *Proteus* spp., *Morganella* spp., and *Providencia* spp., (83.3% resistance) or
551 *Acinetobacter* spp. (62.5% resistance), or any of the bacteria which are IR to such as *Pseudomonas* spp.,
552 α -haemolytic *Streptococcus* spp. or *Enterococcus* spp. Although these bacterial isolates combined
553 accounted for only 16.1% (198/1227) of bacterial isolates from urogenital submissions in the current study,
554 it does highlight the need for culture and susceptibility testing in infections, which are not responding to first
555 line treatment.

556

557 **Conclusion**

558 This study provides important information about patterns of AMR in major equine pathogens in the UK. Our
559 results are useful for veterinarians to guide their initial empirical treatment. Our results also emphasise the
560 importance of antimicrobial stewardship and judicious use of antimicrobials especially in horses undergoing
561 surgery as SSI/CRI and orthopaedic infections had increased levels of MDR. It also highlights the need for
562 concerted efforts for harmonisation and standardisation of culture and susceptibility methods at least at
563 national level to support AMR surveillance. Furthermore, resistance patterns were different in referral and
564 first opinion submission, which is vital information for risk assessment and implementation of biosecurity
565 measures. This study only provides information on equine isolates submitted during 2018 and ongoing
566 surveillance is recommended to determine differences in seasonality and to detect emerging trends in
567 AMR.

568

569

570 **Authors' declarations of interest**

571 No competing interests have been declared.

572 **Ethical animal research**

573 Ethical approval for the study was granted by the University of Liverpool Veterinary Research Ethics
574 Committee (VREC544). Data were collected confidentially, and all laboratories provided written consent to
575 participate in the study.

576 **Informed consent**

577 Explicit owner informed consent for inclusion of samples from animals in this study was not sought but
578 owners were given the option to opt out of research. Data from laboratory submissions were excluded
579 where the option to exclude data from future research had been selected.

580 **Data accessibility statement**

581 The data that support the findings of this study are available on request from the corresponding author. The
582 data are not publicly available due to privacy or ethical restrictions.

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594 **Authorship**

595 This project was executed by C. Isgren with assistance from N. Williams, D. Timofte, O. Fletcher, R.
596 Newton, T. Maddox, P. Clegg and G. Pinchbeck who also contributed to the study conception and design.
597 G. Pinchbeck assisted with the statistical analysis. C. Isgren wrote the article, and all authors revised the
598 manuscript and approved the final version for submission.

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884

885

886 **Figure legends:**

887 **Fig 1:** Map showing the spread of postcodes of the 208 veterinary practices that contributed to 3926
888 equine diagnostic submission in this study during 2018 in the UK.

889 **Fig 2:** Quintile bivariate postcode map displaying the proportion of multidrug resistant (MDR) equine
890 bacterial isolates that were submitted by veterinary practice sites in the UK. Only bacterial isolates
891 present in sufficient numbers for analysis were included showing (A) overall, (B) β -haemolytic
892 *Streptococcus* spp., (C) *E.coli*, (D) *Staphylococcus* spp. Proportions are displayed against standard error to

893 provide a measure in relative confidence in findings depending on data volume provided within each
894 postcode area.

895

Accepted Article

896 **Table 1:** List of antimicrobial classes and agent used to define multidrug resistance (MDR) for common
 897 bacterial isolates in horses (modified from resources in literature such as Magiorakos *et al.* 2012, EUCAST
 898 3.1 and CLSI VET08 ED4:2018) and Giguère, S., Prescott, J.F. and Dowling, P.M. (Eds.). (2013).
 899 Antimicrobial Therapy in Veterinary Medicine. John Wiley & Sons.) GC- Generation Cephalosporin.
 900 Lincosamides such as Clindamycin and Lincomycin were not included where relevant (*Pasteurella spp.* &
 901 *Actinobacillus spp.*; *Staphylococcus spp.*; α and β -haemolytic *Streptococcus spp.*; *Corynebacterium spp.* &
 902 *Bacillus spp.*) as they were only rarely tested for (approx. 1% of isolates) and there is no readily available
 903 treatment option in adult horses in the UK. Intrinsic resistance (IR) to antimicrobial agents for each
 904 genus/species are listed at the bottom of each group.

	Bacterial genus or species	Antimicrobial Class	Antimicrobial Agent	
Gram-negative	<i>Escherichia coli</i>	Amino-penicillins	Ampicillin Amoxicillin	
		Beta-lactamase inhibitor combinations	Amoxicillin-clavulanic acid Ticarcillin-clavulanic Piperacillin-tazobactam	
		3 rd and 4 th GCs	Cefotaxime Ceftazidime Cefpodoxime Ceftiofur Cefquinome	
		Aminoglycosides	Gentamicin Amikacin Neomycin Framycetin Tobramycin	
		Tetracyclines	Oxytetracycline Doxycycline	
		Folate pathway inhibitors	Trimethoprim sulphadiazine Trimethoprim-sulfamethoxazole	
		Fluoroquinolones	Enrofloxacin Ciprofloxacin Marbofloxacin	
		Phenicols	Chloramphenicol	
		Intrinsic resistance: benzyl-penicillins and macrolides		
			Penicillins	Benzyl-penicillins
Amino-penicillins	Ampicillin Amoxicillin			
Beta-lactamase inhibitor combinations			Amoxicillin-clavulanic acid Ticarcillin-clavulanic acid Piperacillin-tazobactam	
3 rd and 4 th GCs			Cefotaxime Ceftazidime Cefpodoxime Ceftiofur Cefquinome	

Bacterial genus or species	Antimicrobial Class	Antimicrobial Agent
<i>Pasteurella</i> spp. & <i>Actinobacillus</i> spp.	Aminoglycosides	Gentamicin Amikacin Neomycin Framycetin Tobramycin
	Tetracyclines	Oxytetracycline Doxycycline
	Folate pathway inhibitors	Trimethoprim sulphadiazine Trimethoprim-sulfamethoxazole
	Fluoroquinolones	Enrofloxacin Ciprofloxacin Marbofloxacin
	Macrolides	Erythromycin Clarithromycin Azithromycin
	Phenicol	Chloramphenicol
	Intrinsic resistance: <i>Pasteurella</i> spp. & <i>Actinobacillus</i> spp. are considered IR to 1 & 2 nd GC, and <i>Actinobacillus</i> spp. are considered IR to benzyl-penicillins.	
<i>Citrobacter</i> spp., <i>Enterobacter</i> spp., <i>Klebsiella</i> spp., <i>Serratia</i> spp., & <i>Pantoea</i> spp.	Extended-spectrum β -lactamase inhibitor combinations	Ticarcillin-clavulanic Piperacillin-tazobactam
	3 rd and 4 th GCs	Cefotaxime Ceftazidime Cefpodoxime Ceftiofur Cefquinome
	Aminoglycosides	Gentamicin Amikacin Neomycin Framycetin Tobramycin
	Tetracyclines	Oxytetracycline Doxycycline
	Folate pathway inhibitors	Trimethoprim sulphadiazine Trimethoprim-sulfamethoxazole
	Fluoroquinolones	Enrofloxacin Ciprofloxacin Marbofloxacin
	Phenicol	Chloramphenicol
Intrinsic resistance: benzyl and amino penicillins, 1 & 2 nd GCs and macrolides		
<i>Pseudomonas</i> spp.	Extended-spectrum β -lactamase inhibitor combinations	Ticarcillin-clavulanic acid Piperacillin-tazobactam
	3 rd and 4 th GCs †	Ceftazidime Cefquinome
	Aminoglycosides	Gentamicin Amikacin Neomycin Framycetin Tobramycin

Bacterial genus or species	Antimicrobial Class	Antimicrobial Agent
<i>Acinetobacter spp.</i>	Fluoroquinolones	Enrofloxacin Ciprofloxacin Marbofloxacin
	Intrinsic resistance: benzyl and amino penicillins, 1&2 nd GCs, tetracyclines, folate pathway inhibitors, phenicols and macrolides. . † Ceftazidime/Cefquinome only	
	Extended-spectrum β -lactamase inhibitor combinations	Ticarcillin-clavulanic acid Piperacillin-tazobactam
	3 rd and 4 th GCs‡	Cefotaxime Ceftazidime Cefquinome
	Aminoglycosides	Gentamicin Amikacin Neomycin Framycetin Tobramycin
	Folate pathway inhibitors	Trimethoprim sulphadiazine Trimethoprim-sulfamethoxazole
	Fluoroquinolones	Enrofloxacin Ciprofloxacin Marbofloxacin
Intrinsic resistance: benzyl and amino penicillins, 1&2 nd GCs, tetracyclines, phenicols and macrolides. ‡Cefotaxime/Ceftazidime/Cefquinome only		
<i>Proteus spp., Morganella spp., & Providencia spp.</i>	Extended-spectrum β -lactamase inhibitor combinations	Ticarcillin-clavulanic acid Piperacillin-tazobactam
	3 rd and 4 th GCs	Cefotaxime Ceftazidime Cefpodoxime Ceftiofur Cefquinome
	Aminoglycosides	Gentamicin‡ Amikacin Neomycin Framycetin Tobramycin
	Folate pathway inhibitors	Trimethoprim sulphadiazine Trimethoprim-sulfamethoxazole
	Phenicols	Chloramphenicol
	Intrinsic resistance: benzyl and amino penicillins, 1&2 nd GCs, tetracyclines and macrolides. ‡ -Gentamicin excluded for <i>Providencia</i> spp.	
<i>Staphylococcus spp. (coagulase positive and negative)</i>	Anti-staphylococcal β -lactam	Oxacillin [®] Cefoxitin [®]
	Aminoglycosides	Gentamicin Amikacin Neomycin Framycetin Tobramycin
	Tetracyclines	Oxytetracycline Doxycycline

	Bacterial genus or species	Antimicrobial Class	Antimicrobial Agent
Gram-positive		Folate pathway inhibitors	Trimethoprim sulphadiazine Trimethoprim-sulfamethoxazole
		Fluoroquinolones	Enrofloxacin Ciprofloxacin Marbofloxacin
		Macrolides	Erythromycin Clarithromycin Azithromycin
		Phenicol	Chloramphenicol
		Fusidanes	Fusidic acid
		Ansamycins	Rifampicin
		Intrinsic resistance: benzyl and amino penicillins and all cephalosporins. * Diagnostic purpose (no treatment option horses)	
<i>Beta-haemolytic Streptococcus spp.</i>	Penicillins	Benzyl-penicillins	Penicillin G
		Amino-penicillins	Ampicillin Amoxicillin
	Beta-lactamase inhibitor combinations		Amoxicillin-clavulanic acid Ticarcillin-clavulanic acid Piperacillin-tazobactam
	3 rd and 4 th GC		Cefotaxime Ceftazidime Cefpodoxime Ceftiofur Cefquinome
	Tetracycline		Doxycycline Oxytetracycline
	Folate pathway inhibitors		Trimethoprim sulphadiazine Trimethoprim-sulfamethoxazole
	Fluoroquinolones		Enrofloxacin Ciprofloxacin Marbofloxacin
	Macrolides		Erythromycin Clarithromycin Azithromycin
	Phenicol		Chloramphenicol
	Intrinsic resistance: aminoglycosides		
<i>Alpha-haemolytic Streptococcus spp.</i>	3 rd and 4 th GCs		Ceftiofur Cefquinome
	Macrolides (only in combination)		Erythromycin Clarithromycin Azithromycin
	Fluoroquinolones		Enrofloxacin Ciprofloxacin Marbofloxacin
	Intrinsic resistance: benzyl and amino penicillins, beta-lactamase inhibitor combinations, 1&2 nd GCs, aminoglycosides, tetracyclines, folate pathway inhibitors, macrolides and phenicol.		

Bacterial genus or species	Antimicrobial Class		Antimicrobial Agent
<i>Enterococcus spp.</i>	Amino/Ureido- Penicillins		Ampicillin Amoxicillin Ticarcillin
	Tetracyclines		Doxycycline Oxytetracycline
	Fluoroquinolones		Enrofloxacin Ciprofloxacin Marbofloxacin
	Intrinsic resistance: benzyl penicillin, beta-lactamase inhibitor combinations, all cephalosporins, aminoglycosides, folate pathway inhibitors, macrolides and phenicols.		
<i>Corynebacterium spp. & Bacillus spp.</i>	Penicillin	Benzyl-penicillins	Penicillin G
		Amino-penicillins	Ampicillin Amoxicillin
	Beta-lactamase inhibitor combinations		Amoxicillin-clavulanic acid Ticarcillin-clavulanic acid Piperacillin-tazobactam
	3 rd and 4 th GCs		Cefotaxime Ceftazidime Cefpodoxime Ceftiofur Cefquinome
	Aminoglycosides		Gentamicin Amikacin Neomycin Framycetin Tobramycin
	Tetracyclines		Oxytetracycline Doxycycline
	Folate pathway inhibitors		Trimethoprim sulphadiazine Trimethoprim-sulfamethoxazole
	Fluoroquinolones		Enrofloxacin Ciprofloxacin Marbofloxacin
	Macrolides		Erythromycin Clarithromycin Azithromycin
	Phenicols		Chloramphenicol
Intrinsic resistance: none			

Table 2: Proportion of resistance (in %) of 5698 bacteria isolated from clinical infections in horses classified by sample site. P value is provided for comparisons between proportions using Chi squared (or Fisher's exact test (f) when sample size in any category was <5). GC-Generation Cephalosporin, *Penicillin and Aminopenicillin combined for *Pasteurella* spp. †- Ceftazidime/Cefquinome only, ‡ Cefotaxime/Ceftazidime/Cefquinome only, † -Gentamicin excluded for *Providencia* spp. Bacterial isolates where there was <100 in a genus were not included (n=320) from the original 6018. *Unknown included those submissions where no site was reported (n=520) while 'others' were those present in low numbers (n=99) and included sample sites such as faecal, peritoneal fluid, liver, dental, gastric and rectal submissions.* Full breakdown of bacterial isolates is shown in Table S4.

Pathogen (n)	Antimicrobial	Total number of isolates tested	Proportion of resistance (% and 95%CI)	Proportion of resistant isolates by sample site, % (total tested)					P value
				Respiratory (2187)	Urogenital (1227)	Skin/Wound (1163)	SSI/CRI/Orthopaedic (526)	Unknown & Other (595)	
Gram-negative bacteria		2499		45.4 (992)	52.2 (641)	34.3 (400)	41.1 (216)	42.0 (250)	
<i>Escherichia coli</i> (958)	Total	958		8.4 (183)	31.9 (391)	13.1 (152)	18.8 (99)	22.4 (133)	
	Aminopenicillins	627	35.4 (31.8-39.2)	39.0 (141)	27.3 (300)	44.9 (91)	64.6 (48)	29.8 (47)	<0.001
	β-lactamase inhibitor combinations	402	8.7 (6.3-11.9)	7.0 (158)	12.2 (41)	9.6 (104)	12.2 (49)	6.0 (50)	0.5 (f)
	3/4 th GCs	955	14.0 (12.0-16.4)	11.5 (183)	9.0 (390)	14.6 (151)	23.5 (98)	24.8 (133)	<0.001
	Aminoglycosides	955	23.4 (20.8-26.1)	18.0 (183)	18.0 (389)	25.0 (152)	43.9 (98)	29.3 (133)	<0.001
	Tetracyclines	954	48.0 (44.9-51.2)	42.1 (183)	37.1 (388)	55.3 (152)	60.2 (98)	70.7 (133)	<0.001
	Folate pathway	945	44.3 (41.2-47.5)	37.0 (181)	38.1 (381)	53.3 (152)	60.2 (98)	50.4 (133)	<0.001

Pathogen (n)	Antimicrobial	Total number of isolates tested	Proportion of resistance (% and 95%CI)	Proportion of resistant isolates by sample site, % (total tested)					P value
				Respiratory (2187)	Urogenital (1227)	Skin/Wound (1163)	SSI/CRI/Orthopaedic (526)	Unknown & Other (595)	
	inhibitors								
	Fluoroquinolones	955	10.7 (8.9-12.8)	9.3 (183)	5.9 (389)	17.1 (152)	21.4 (98)	11.3 (133)	<0.001
	Phenicols	204	26.5 (20.9-32.9)	28.0 (25)	11.8 (34)	24.4 (41)	28.0 (25)	32.9 (79)	<0.001
	MDR	958	31.7 (28.9-34.8)	30.6 (183)	21.5 (391)	37.5 (152)	50.5 (99)	42.9 (133)	<0.001
	Total	571		21.6 (472)	1.3 (16)	3.7 (43)	3.6 (19)	3.5 (21)	
<i>Actinobacillus</i> spp. & <i>Pasteurella</i> spp. (571)	Aminopenicillins*	493	16.0 (13.1-19.5)	15.3 (425)	36.4 (11)	17.1 (35)	10.0 (10)	27.3 (11)	<0.001 (f)
	β-lactamase inhibitor combinations	462	0.6 (0.2-1.9)	0.2 (408)	25.0 (4)	3.3 (30)	0.0 (9)	0.0 (11)	<0.001 (f)
	3/4 th GCs	570	2.5 (1.5-4.1)	2.5 (471)	6.3 (16)	2.3 (43)	0.0 (19)	0.0 (21)	0.02 (f)
	Aminoglycosides	571	32.2 (28.5-36.2)	29.4 (472)	37.5 (16)	34.9 (43)	63.2 (19)	57.1 (21)	<0.001
	Tetracyclines	571	5.8 (4.1-8.0)	4.9 (472)	6.3(16)	7.0 (43)	15.8 (19)	14.3 (21)	0.03 (f)
	Folate pathway inhibitors	571	15.9 (13.2-19.2)	15.3 (472)	18.8 (16)	14.0 (43)	26.3 (19)	23.8 (21)	0.1 (f)
	Fluoroquinolones	571	3.7 (2.4-5.6)	3.2 (472)	0.0 (11)	4.7 (43)	15.8 (19)	4.8 (21)	<0.001 (f)
	Macrolides	104	82.7 (74.3-88.8)	85.3 (68)	88.9 (9)	75.0 (8)	60.0 (10)	88.9 (9)	<0.001
	Phenicols	93	5.4 (2.3-12.0)	6.7 (60)	0.0 (5)	0.0 (13)	0.0 (6)	11.1 (9)	<0.001 (f)
	MDR	571	9.3 (7.2-11.9)	7.8 (472)	18.8 (16)	9.3 (43)	15.8 (19)	28.6 (21)	<0.001 (f)
<i>Citrobacter</i> spp., <i>Enterobacte</i>	Total	423		7.2 (158)	9.9 (121)	5.5 (64)	8.7 (46)	5.9 (34)	
	Extended spectrum penicillins /β-	16	0 (0.0-19.4)	0.0 (6)	0 (4)	0 (2)	0 (5)	0 (4)	>0.9 (f)

Pathogen (n)	Antimicrobial	Total number of isolates tested	Proportion of resistance (% and 95%CI)	Proportion of resistant isolates by sample site, % (total tested)					P value
				Respiratory (2187)	Urogenital (1227)	Skin/Wound (1163)	SSI/CRI/Orthopaedic (526)	Unknown & Other (595)	
<i>r</i> spp.,	lactamase inhibitors								
<i>Klebsiella</i> spp.,	3/4 th GCs	420	27.6 (23.6-32.1)	13.9 (158)	26.4 (121)	27.0 (63)	56.8 (44)	58.8 (34)	<0.001
<i>Serratia</i> spp., & <i>Pantoea</i> spp. (423)	Aminoglycosides	423	25.3 (21.4-29.7)	15.8 (158)	18.2 (121)	21.9 (64)	73.9 (46)	35.3 (34)	<0.001
	Tetracyclines	423	42.8 (38.2-47.6)	28.5 (158)	38.8 (121)	50.0 (64)	78.3 (46)	61.8 (34)	<0.001
	Folate pathway inhibitors	416	35.1 (30.7-39.8)	21.7 (157)	33.3 (117)	38.1 (63)	75.6 (45)	44.1 (34)	<0.001
	Fluoroquinolones	423	12.8 (9.9-16.3)	5.7 (158)	9.9 (121)	9.4 (64)	47.8 (46)	14.7 (34)	<0.001
	Phenicols	101	23.8 (16.5-32.9)	34.6 (26)	0 (21)	22.7 (22)	28.6 (7)	32.0 (25)	<0.001 (f)
	MDR	423	25.3 (21.4-29.7)	13.3 (158)	16.5 (121)	25.0 (64)	76.1 (46)	44.1 (34)	<0.001
	Total	286		7.0 (152)	5.6 (69)	3.3 (38)	2.3 (12)	2.5 (15)	
<i>Pseudomonas</i> spp. (286)	Extended spectrum penicillins/ β -lactamase inhibitors	13	7.7 (1.4-33.3)	14.3 (7)	0(0)	0(0)	0 (6)	0(0)	<0.001 (f)
	3/4 th GCst	180	11.1 (7.3-16.5)	12.8 (133)	0 (3)	0 (26)	0 (10)	37.5 (8)	<0.001 (f)
	Aminoglycosides	286	19.9 (15.7-24.9)	21.7 (152)	23.2 (69)	5.3 (38)	33.3 (12)	13.1 (15)	<0.001 (f)
	Fluoroquinolones	285	23.5 (19.0-28.8)	17.8 (152)	23.5 (68)	28.9 (38)	41.7 (12)	53.3 (15)	<0.001
	MDR	286	0.7 (0.2-2.5)	1.3 (152)	0 (69)	0 (38)	0 (12)	0 (15)	>0.9 (f)
<i>Acinetobacter</i> spp. (141)	Total	141		1.1 (24)	2.6 (32)	4.4 (51)	3.6 (19)	2.5 (15)	
	Extended spectrum penicillins/ β -	6	0 (0.0-39.0)	0 (1)	0(0)	0 (1)	0 (4)	0 (1)	>0.9 (f)

Pathogen (n)	Antimicrobial	Total number of isolates tested	Proportion of resistance (% and 95%CI)	Proportion of resistant isolates by sample site, % (total tested)					P value
				Respiratory (2187)	Urogenital (1227)	Skin/Wound (1163)	SSI/CRI/Orthopaedic (526)	Unknown & Other (595)	
	lactamase inhibitors								
	3/4 th GCs‡	118	23.7 (17.0-32.2)	20.8 (24)	13.3 (15)	18.4 (49)	41.2 (17)	38.5 (13)	<0.001 (f)
	Aminoglycosides	141	19.2 (13.5-26.4)	8.3 (24)	6.3 (32)	17.6 (51)	57.9 (19)	20.0 (15)	<0.001 (f)
	Folate pathway inhibitors	141	70.2 (62.2-77.1)	62.5 (24)	71.9 (32)	68.6 (51)	73.7 (19)	80.0 (15)	0.09
	Fluoroquinolones	139	15.8 (10.7-22.8)	8.3 (24)	9.4 (32)	15.7 (51)	29.4 (17)	26.7 (15)	<0.001 (f)
	MDR	141	13.5 (8.8-20.1)	12.5 (24)	0.0 (32)	11.8 (51)	36.8 (19)	20.0 (15)	<0.001 (f)
<i>Proteus</i> spp., <i>Morganella</i> spp., & <i>Providencia</i> spp., (120)	Total	120		0.1 (3)	1.0 (12)	4.5 (52)	4.0 (21)	5.4 (32)	
	Extended spectrum penicillins/β-lactamase inhibitors	7	0 (0-35.4)	0 (2)	0 (3)	0 (2)	0 (0)	0 (0)	>0.9 (f)
	3/4 th GCs	120	19.2 (13.1-27.1)	0 (3)	8.3 (12)	7.7 (52)	19.0 (21)	43.8 (32)	<0.001 (f)
	Aminoglycosides‡	120	32.5 (24.8-41.3)	0 (3)	33.3 (4)	23.1 (52)	33.3 (21)	50.0 (32)	<0.001 (f)
	Folate pathway inhibitors	120	57.5 (48.6-66.0)	33.3 (3)	83.3 (12)	42.3 (52)	76.2 (21)	62.5 (32)	<0.001 (f)
	Fluoroquinolones	120	25.0 (18.1-33.4)	0 (3)	16.7 (12)	23.1 (52)	28.6 (21)	31.3 (32)	<0.001 (f)
	Phenicolns	53	34 (22.7-47.4)	0 (0)	0 (2)	30.8 (13)	45.5 (11)	30.0 (30)	<0.001 (f)
MDR	120	26.7 (19.6-35.2)	0 (3)	16.7 (12)	15.4 (52)	33.3 (21)	46.9 (32)	<0.001 (f)	
Gram-positive bacteria		3199		54.6 (1195)	47.8 (586)	65.6 (763)	58.9 (310)	58.0 (345)	
Beta	Total	1467		31.3 (685)	29.5 (362)	20.0 (233)	10.3 (54)	22.0 (131)	

Pathogen (n)	Antimicrobial	Total number of isolates tested	Proportion of resistance (% and 95%CI)	Proportion of resistant isolates by sample site, % (total tested)					P value
				Respiratory (2187)	Urogenital (1227)	Skin/Wound (1163)	SSI/CRI/Orthopaedic (526)	Unknown & Other (595)	
haemolytic <i>Streptococcus</i> spp (1467)	Penicillin	1466	2.5 (1.8-3.4)	3.1 (684)	0.8 (362)	3.0 (233)	1.9 (54)	3.0 (133)	0.9 (f)
	β-lactamase inhibitor combinations	756	0.7 (0.3-1.5)	0.9 (533)	0 (30)	0.0 (145)	0.0 (26)	0.0 (22)	>0.9 (f)
	3/4 th GCs	1467	1.7 (1.2-2.5)	1.8 (685)	0.8 (362)	2.6 (233)	1.9 (54)	2.3 (133)	>0.9 (f)
	Tetracycline	1460	33.8 (31.5-36.3)	37.8 (685)	19.4 (355)	33.1 (233)	59.3 (54)	42.9 (133)	<0.001
	Folate pathway inhibitors	1465	15.0 (12.2-16.9)	15.7 (683)	15.8 (362)	10.7 (233)	20.4 (54)	14.3 (133)	0.5
	Fluoroquinolones	1467	27.9 (25.7-30.2)	25.0 (685)	11.9 (362)	33.9 (233)	50.0 (54)	66.9 (133)	<0.001
	Macrolides	599	15.4 (12.7-18.5)	12.8 (258)	20.3 (64)	11.1 (126)	26.7 (30)	19.8 (121)	<0.001
	Phenicols	393	13.7 (10.7-17.5)	13.0 (146)	14.0 (43)	16.5 (79)	13.3 (15)	12.7 (110)	>0.9 (f)
	MDR	1467	8.3 (7.0-9.8)	7.5 (685)	3.9 (362)	6.4 (233)	18.5 (54)	21.8 (133)	<0.001
<i>Staphylococcus</i> spp. (916)	Total	916		7.1 (155)	8.8 (108)	32.2 (374)	28.1 (148)	22.0 (131)	
	Oxacillin/Cefoxitin	315	15.9 ^o (12.3-20.3)	10.7 (28)	28.6 (14)	8.2 (98)	38.6 (70)	7.6 (105)	<0.001 (f)
	Aminoglycosides	894	24.9 (22.2-27.9)	11.0 (154)	22.4 (107)	18.4 (370)	51.5 (132)	35.1 (131)	<0.001
	Tetracyclines	894	35.6 (32.5-38.8)	26.0 (154)	34.6 (107)	27.0 (370)	65.2 (132)	42.0 (131)	<0.001
	Folate pathway inhibitors	894	25.8 (23.1-28.8)	15.6 (154)	22.4 (107)	19.5 (370)	47.0 (132)	37.4 (131)	<0.001
	Fluoroquinolones	893	13.1 (11.3-15.7)	6.5 (154)	8.3 (108)	8.4 (370)	22.9 (131)	30.0 (130)	<0.001
	Macrolides	407	34.6 (30.2-39.4)	18.6 (59)	29.0 (31)	25.8 (120)	32.6 (86)	55.9 (111)	<0.001
	Phenicols	259	6.2 (3.8-9.8)	7.4 (27)	27.3 (11)	5.4 (93)	7.4 (27)	4.0 (101)	<0.001 (f)

Pathogen (n)	Antimicrobial	Total number of isolates tested	Proportion of resistance (% and 95%CI)	Proportion of resistant isolates by sample site, % (total tested)					P value
				Respiratory (2187)	Urogenital (1227)	Skin/Wound (1163)	SSI/CRI/Orthopaedic (526)	Unknown & Other (595)	
	Fusidanes	736	15.6 (13.2-18.4)	17.3 (139)	10.9 (46)	11.9 (337)	12.6 (87)	27.6 (127)	<0.001
	Ansamycins (Rifampicin)	724	6.5 (4.9-8.5)	4.5 (112)	7.0 (43)	4.1 (321)	10.7 (122)	10.3 (126)	0.2 (f)
	MDR	916	25.3 (22.6-28.2)	14.2 (155)	17.6 (108)	16.6 (374)	44.6 (148)	48.1 (131)	<0.001
Alpha haemolytic <i>Streptococcus</i> spp. (353)	Total	353		12.7 (277)	1.8 (22)	2.4 (28)	3.8 (20)	1.0 (6)	
	3/4 th GCs	353	0.85 (0.3-2.5)	0.36 (277)	0.0 (22)	3.6 (28)	5.0 (20)	0.0 (6)	<0.001 (f)
	Fluoroquinolones	352	7.1 (4.9-10.3)	4.7 (276)	13.6 (22)	0.0 (28)	35.0 (20)	33.3 (6)	<0.001 (f)
	Macrolides	29	10.3 (3.6-26.4)	12.5 (8)	25.0 (4)	0.0 (1)	7.7 (13)	0.0 (3)	<0.001 (f)
	MDR	353	0 (0.0-1.1)	0.0 (277)	0.0 (22)	0.0 (28)	0.0 (20)	0.0 (6)	>0.9 (f)
<i>Enterococcus</i> spp. (278)	Total	278		2.7 (58)	5.1 (63)	4.0 (46)	12.2 (64)	7.9 (47)	
	Aminopenicillins	137	10.2 (6.2-16.4)	2.9 (34)	2.8 (36)	12.0 (25)	27.3 (33)	0.0 (9)	<0.001 (f)
	Tetracyclines	276	49.6 (43.8-55.5)	22.4 (58)	48.4 (62)	54.3 (46)	77.8 (63)	42.6 (47)	<0.001
	Fluoroquinolones	276	50.7 (44.9-56.7)	13.8 (58)	41.3 (63)	43.5 (46)	79.0 (62)	78.7 (47)	<0.001
	MDR	278	0.0 (0.0-1.4)	0.0 (58)	0.0 (63)	0.0 (46)	0.0 (64)	0.0 (47)	>0.9 (f)
<i>Corynebacterium</i> spp. & <i>Bacillus</i> spp (185)	Total	185		0.9 (20)	2.5 (31)	7.1 (82)	4.6 (24)	4.7 (28)	
	Penicillins	185	70.3 (63.3-76.4)	60.0 (20)	58.1 (31)	72.0 (82)	70.8 (24)	85.7 (28)	<0.001
	β -lactamase inhibitor combinations	85	27.1 (18.8-37.3)	25.0 (12)	50.0 (6)	30.4 (46)	7.7 (13)	25.0 (8)	<0.001 (f)
	3/4 th GCs	184	52.2 (45.0-59.3)	55.0 (20)	56.7 (30)	53.7 (82)	33.3 (24)	57.1 (28)	<0.001

Pathogen (n)	Antimicrobial	Total number of isolates tested	Proportion of resistance (% and 95%CI)	Proportion of resistant isolates by sample site, % (total tested)					P value
				Respiratory (2187)	Urogenital (1227)	Skin/Wound (1163)	SSI/CRI/Orthopaedic (526)	Unknown & Other (595)	
	Aminoglycosides	185	17.8 (13.0-24.0)	10.0 (20)	9.7 (31)	17.1 (82)	16.7 (24)	35.7 (28)	<0.001 (f)
	Tetracyclines	185	21.6 (16.3-28.1)	20.0 (20)	9.7 (31)	17.1 (82)	16.7 (24)	35.7 (28)	<0.001 (f)
	Folate pathway inhibitors	185	41.6 (34.8-48.8)	35.0 (20)	41.9 (31)	45.1 (82)	45.8 (24)	32.1 (28)	0.1
	Fluoroquinolones	185	12.4 (8.4-18.0)	5.0 (20)	9.7 (31)	7.3 (82)	25.0 (24)	25.0 (28)	<0.001 (f)
	Macrolides	76	60.5 (49.3-70.8)	75.0 (4)	70.0 (10)	50.0 (32)	60.0 (10)	70.0 (20)	<0.001 (f)
	Phenicols	89	36.0 (26.8-46.3)	12.5 (8)	22.2 (9)	40.5 (42)	40.0 (10)	40.0 (20)	<0.001 (f)
	MDR	185	50.8 (43.7-57.9)	45.0 (20)	45.2 (31)	51.2 (82)	41.7 (24)	67.9 (28)	<0.001

Φ = For *S. aureus* prevalence of oxacillin/cefoxitin resistance was 12.1% (30 of 247 isolates tested).

Table 3: Proportions (in % with 95% CI) of antimicrobial resistance (AMR) in 5698 bacterial isolates from clinical infections from horses in the UK from 2018. Broadly susceptible isolates were susceptible to all antimicrobials tested. Multidrug resistant (MDR) isolates were those with acquired non-susceptibility to at least one antimicrobial in three or more different antimicrobial classes. Extensively drug resistant (XDR) isolates were those, which were resistant to all classes of antimicrobials tested. ‘No readily available treatment for adult horses in the UK’ included those isolates, which were resistant to commonly used (authorised or non-authorised) antimicrobials available for adult horses in the UK. All calculations are based on antimicrobials considered in Table 1 and excludes intrinsic resistance.

Bacteria (total number of isolates)	Susceptibility patterns of isolates	Number of isolates	Proportion (%) [95% CI]
Gram-negative bacteria			
<i>Escherichia coli</i> (958)	Broadly susceptible	342	35.7 (32.7-38.8)
	Resistant to 1 or 2 classes	312	32.6 (29.7-35.6)
	MDR	304	31.7 (28.9-34.8)
	XDR	23	2.4 (1.6-3.6)
	No readily available treatment for adult horses in the UK	31	3.2 (2.3-4.6)
<i>Actinobacillus</i> spp. & <i>Pasteurella</i> spp. (571)	Broadly susceptible	295	51.7 (47.6-55.7)
	Resistant to 1 or 2 classes	223	39.1 (35.1-43.1)
	MDR	53	9.3 (7.2-11.9)
	XDR	0	0.0 (0.0-0.6)
	No readily available treatment for adult horses in the UK	0	0.0 (0.0-0.6)
<i>Citrobacter</i> spp., <i>Enterobacter</i> spp., <i>Klebsiella</i> spp., <i>Serratia</i> spp., & <i>Pantoea</i> spp. (423)	Broadly susceptible	174	41.1 (36.6-45.9)
	Resistant to 1 or 2 classes	142	33.6 (29.3-38.2)
	MDR	107	25.3 (21.4-29.7)
	XDR	6	1.4 (0.7-3.1)
	No readily available treatment for adult horses in the UK	26	6.1 (4.2-8.9)
<i>Pseudomonas</i> spp. (286)	Broadly susceptible	172	60.1 (54.4-65.6)
	Resistant to 1 or 2 classes	112	39.2 (33.7-44.9)
	MDR	2	0.7 (0.2-2.5)
	XDR	0	0.0 (0.0-1.3)
	No readily available treatment for adult horses in the UK	18	6.3 (4.0-9.7)
<i>Acinetobacter</i> spp. (141)	Broadly susceptible	33	23.4 (17.2-31.0)
	Resistant to 1 or 2 classes	89	63.1 (54.9-70.6)
	MDR	19	13.5 (8.8-20.1)
	XDR	6	4.3 (2.0-9.0)

Bacteria (total number of isolates)	Susceptibility patterns of isolates	Number of isolates	Proportion (%) [95% CI]
	No readily available treatment for adult horses in the UK	13	9.2 (5.5-15.1)
<i>Proteus</i> spp., <i>Morganella</i> spp., & <i>Providencia</i> spp., (120)	Broadly susceptible	36	30.0 (22.5-38.7)
	Resistant to 1 or 2 classes	52	43.3 (34.8-52.3)
	MDR	32	26.7 (19.6-35.2)
	XDR	3	2.5 (0.9-7.1)
	No readily available treatment for adult horses in the UK	3	2.5 (0.9-7.1)
Gram-positive bacteria			
β -haemolytic <i>Streptococcus</i> spp. (1467)	Broadly susceptible	683	46.6 (44.0-49.1)
	Resistant to 1 or 2 classes	663	45.2 (42.7-47.8)
	MDR	121	8.3 (7.0-9.8)
	XDR	1	0.1 (0.0-0.4)
	No readily available treatment for adult horses in the UK	1	0.1 (0.0-0.4)
<i>Staphylococcus</i> spp. (916)	Broadly susceptible	427	46.6 (43.4-49.9)
	Resistant to 1 or 2 classes	257	28.1 (25.3-31.1)
	MDR	232	25.3 (22.6-28.2)
	XDR	2	0.2 (0.0-0.8)
	No readily available treatment for adult horses in the UK	4	0.4 (0.2-1.1)
α -haemolytic <i>Streptococcus</i> spp. (353)	Broadly susceptible	325	92.1 (88.8-94.5)
	Resistant to 1 or 2 classes	28	7.9 (5.5-11.2)
	MDR / XDR (*all classes)	0	0.0 (0.0-1.1)
	No readily available treatment for adult horses in the UK	1	0.3 (0.0-1.6)
<i>Enterococcus</i> spp. (278)	Broadly susceptible	84	30.2 (25.1-35.9)
	Resistant to 1 or 2 classes	185	66.5 (60.8-71.8)
	MDR / XDR (*all classes)	9	3.2 (1.7-6.0)
	No readily available treatment for adult horses in the UK	84	30.2 (25.1-35.9)
<i>Bacillus</i> spp. & <i>Corynebacterium</i> spp. (185)	Broadly susceptible	26	14.1 (9.8-19.8)
	Resistant to 1 or 2 classes	65	35.1 (28.6-42.3)
	MDR	94	50.8 (43.7-57.9)
	XDR	0	0.0 (0.0-2.0)
	No readily available treatment for adult horses in the UK	1	0.5 (0.1-3.0)

*For α -haemolytic *Streptococcus* spp. and *Enterococcus* spp. only three classes of antimicrobials were considered hence multidrug resistance is the same as resistance to all classes of antimicrobials tested (XDR).

Table 4: Proportion of clinical submissions (n=3926) from different sample sites (in % with 95% CI) from clinical infections in horses at referral and first opinion equine practices in the UK in 2018. P-value is provided for comparisons between the proportions of submissions from different practices using Chi squared. Clinical submissions without information regarding referral status of submitting practice including submissions from abroad were excluded from analysis (n=112). SSI-surgical site infection, CRI-catheter related infection.

Sample Site (n)	Referral hospital (n=2008)		First opinion practice (n=1918)		P value
	Total number of submissions	Proportion of isolates (% and 95%CI)	Total number of submissions	Proportion of isolates (% and 95%CI)	
	Respiratory tract (1505)	885	58.8 (56.3-61.3)	620	
Urogenital (990)	406	41.0 (38.0-44.1)	584	59.0 (55.9-62.0)	<0.001
Skin/Hair/Wound/Abscess (723)	293	40.5 (37.0-44.2)	430	59.5 (55.9-63.0)	<0.001
SSI/CRI/Orthopaedic Infection (342)	283	82.8 (78.4-86.4)	59	17.3 (13.6-21.6)	<0.001
Unknown and other (366)	141	38.5 (33.7-43.6)	225	61.5 (56.4-66.3)	<0.001

Table 5: Proportion of multidrug resistance (MDR) (in % with 95% CI) in bacteria isolated from clinical infections in horses at referral and first opinion equine practices in the UK in 2018 based on 5564 isolates with UK postcode data in the major bacterial genera included in this study. P-value is provided for

comparisons between proportions using Chi squared (or Fisher's exact test (f) when sample size in any category was <5).

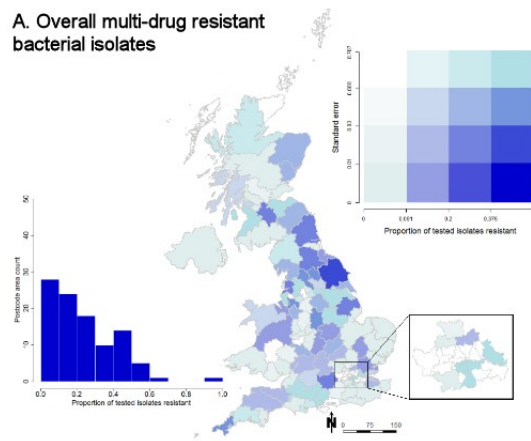
Pathogen (n)	Referral hospital (n=2820)		First opinion practice (n=2744)		P value
	Total number of isolates	Proportion of MDR (% and 95%CI)	Total number of isolates	Proportion of MDR (% and 95%CI)	
Gram-negative bacteria (n=2422)					
<i>Escherichia coli</i> (926)	387	36.7 (32.0-41.6)	539	27.1 (23.5-31.0)	<0.001
<i>Actinobacillus spp.</i> & <i>Pasteurella spp.</i> (569)	425	6.4 (4.4-9.1)	144	18.1 (12.6-25.1)	<0.001
<i>Citrobacter spp.</i> , <i>Enterobacter spp.</i> , <i>Klebsiella spp.</i> , <i>Serratia spp.</i> , & <i>Pantoea spp.</i> (406)	142	35.2 (27.8-43.4)	264	20.8 (16.4-26.2)	<0.001
<i>Pseudomonas spp.</i> (267)*	121	0 (0-3.1)	146	1.4 (0.4-4.9)	0.5 (f)
<i>Acinetobacter spp.</i> (135)	44	27.3 (16.4-41.9)	91	6.6 (3.1-13.7)	<0.001
<i>Proteus spp.</i> , <i>Morganella spp.</i> , & <i>Providencia spp.</i> (119)	58	34.5 (23.6-47.3)	61	19.7 (11.6-31.3)	0.1
Gram-positive bacteria (n=3142)					
Beta haemolytic <i>Streptococcus spp.</i> (1455)	789	5.1 (3.7-6.8)	666	11.7 (9.5-14.4)	<0.001
<i>Staphylococcus spp.</i> (888)	405	34.8 (30.3-39.6)	483	18.4 (15.2-22.1)	<0.001
Alpha haemolytic <i>Streptococcus spp.</i> (351)*	273	0.0 (0.0-1.4)	78	0.0 (0.0-4.7)	>0.9 (f)
<i>Enterococcus spp.</i> (271)*	127	6.3 (3.2-11.9)	144	0.7 (0.1-3.8)	0.01 (f)
<i>Bacillus spp.</i> & <i>Corynebacterium spp.</i> (177)	49	44.9 (31.9-58.7)	128	50.8 (42.2-59.3)	0.6

*There are several bacterial isolates with high levels of IR leaving limited treatment options available in adult horses (for example *Enterococcus spp.*, *Pseudomonas spp.*, and α -haemolytic *Streptococcus spp.*). Thus, using a classification of MDR of resistance to 3 or more classes results often results in artificially low MDR estimates despite there being limited treatment options for adult horses hence MDR calculations in bacterial isolates with high IR should be interpreted in light of IR.

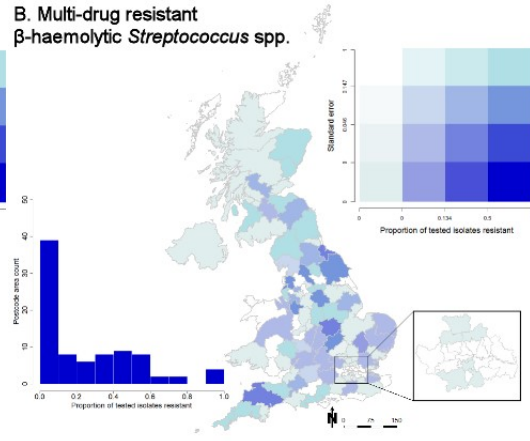


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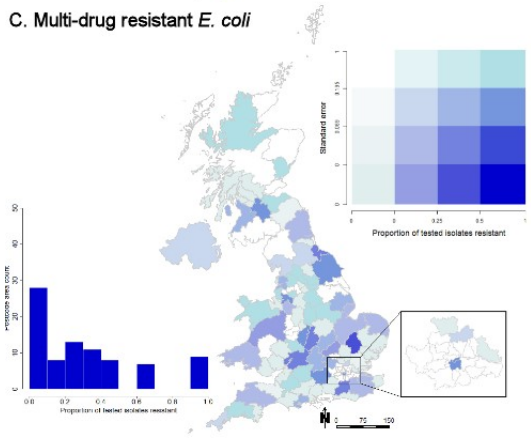
A. Overall multi-drug resistant bacterial isolates



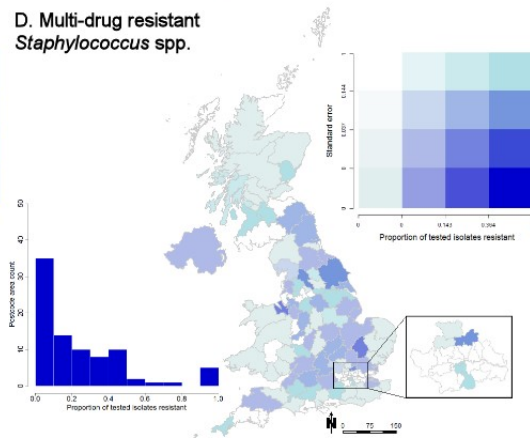
B. Multi-drug resistant β -haemolytic *Streptococcus* spp.



C. Multi-drug resistant *E. coli*



D. Multi-drug resistant *Staphylococcus* spp.



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