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Nearshore Monitoring with X-Band Radar: Maximising Utility in Dynamic and Complex Environments

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Key Points:

- Uncertainty in radar-derived bathymetry (2.5-10 m depths) was greatly reduced using a new data processing and quality control framework.
- Bathymetry changes were assessed for periods as short as 3 weeks were shown to be accurate to ± 0.50 m at a 40x40 m resolution.
- The volume of nearshore sediment movement over a few weeks was comparable with annual longshore transport rates reported in this area.

18 **Abstract**

19 Coastal management and engineering applications require data that quantify the nature and
20 magnitude of changes in nearshore bathymetry. However, bathymetric surveys are usually
21 infrequent due to high costs and complex logistics. This study demonstrates that ground-based
22 X-band radar offers a cost-effective means to monitor nearshore changes at relatively high
23 frequency and over large areas. A new data quality and processing framework was developed to
24 reduce uncertainties in the estimates of radar-derived bathymetry and tested using data from an
25 18-month installation at Thorpeness (UK). In addition to data calibration and validation, two new
26 elements are integrated to reduce the influence of data scatter and outliers: (a) an automated
27 selection of periods of ‘good data’ and (b) the application of a *depth-memory* stabilisation. For
28 conditions when the wave height is >1 m, the accuracy of the radar-derived depths is shown to be
29 ± 0.5 m (95% confidence interval) at 40x40 m spatial resolution. At Thorpeness, radar-derived
30 bathymetry changes exceeding this error were observed at timescales ranging from three weeks
31 to six months. These data enabled quantification of changes in nearshore sediment volume at
32 frequencies and spatial cover that would be difficult and/or expensive to obtain by other
33 methods. It is shown that the volume of nearshore sediment movement occurring at timescale as
34 short as few weeks are comparable with the annual longshore transport rates reported in this area.
35 The use of radar can provide an early warning of changes in offshore bathymetry likely to impact
36 vulnerable coastal locations.

37 **Plain Language Summary**

38 Near the shore, waves and currents can cause natural changes in seabed elevation (due to
39 removal or deposition of mud, sand and gravel). On the other hand, changes in seabed elevation
40 can alter the waves approaching the shore and influence the location and amount of coastal
41 erosion. Measurements of these changes are required for coastal management and a wide range
42 of engineering works. However, surveys of the seabed are usually infrequent owing to high costs
43 and logistical difficulties. This paper analyses data from a marine radar installed on a cliff top to
44 produce a series of seabed elevation (bathymetric) maps off the Thorpeness coast (UK). A new
45 data quality assessment was developed to produce improved estimates of water depth. Results
46 demonstrate that radar can offer a cost-effective alternative to conventional surveys and enable
47 frequent monitoring of the seabed over large areas. The use of radar in the present study enabled
48 the measurement of changes in nearshore seabed elevation within periods as short as three
49 weeks. Radar-derived bathymetric maps can provide an early warning of seabed changes and
50 allow more time to plan and implement responses to mitigate the impacts of coastal erosion.

51 **1 Introduction**

52 Being able to accurately and consistently monitor beach and nearshore processes
53 provides the foundation for understanding beach dynamics (Davidson et al., 2007). The control
54 on waves by changing nearshore bathymetry has been the subject of increased research interest,
55 primarily to understand and predict shoreline changes (Hequette et al., 2009; Hequette and
56 Aernouts, 2010; Lazarus & Murray, 2011; Ruessink et al., 2004; Stokes et al., 2015). Nearshore
57 sediment accretion provides protection to the coast during the first high energy events that follow
58 periods of low energy (Dissanayake et al., 2015). Conversely, coastal erosion hotspots have been
59 attributed to the concentration of wave energy caused by complex nearshore geology (Browder
60 and McNinch, 2006; Burningham and French, 2017; Schupp et al., 2006; Williams et al., 2019).
61 These processes are controlled further by changes in the incident wave climate (Hegermiller et

62 al., 2017; Lazarus & Murray, 2011), particularly wave direction bimodality (Burningham and
63 French, 2016, 2017; Williams et al., 2019).

64 Quantifying magnitudes of coastal change and understanding drivers of temporal and
65 spatial variability is required to inform coastal management decisions (Atkinson & Esteves,
66 2018; Pye & Blott, 2006; Smit et al., 2007). Coastal researchers and managers increasingly need
67 to employ a range of techniques to conceptualise site-specific morphodynamic behaviour.
68 Although technology advances enabled more accurate monitoring of beach changes and over
69 large areas (Burvingt et al., 2017), challenges persist regarding quantifying bathymetric changes
70 in the nearshore (Kotilainen & Kaskela, 2017; Pacheco et al., 2015).

71 Direct measurements of nearshore waves, hydrodynamics and the seabed require
72 expensive *in situ* installations of sensors that have limited spatial coverage (e.g. current meters
73 and wave buoys) and deployment from vessels (e.g. multibeam surveys), which have limitations
74 in shallow waters (Costa et al., 2009). Remote sensing methods are often constrained by the
75 sensors' ability to 'see' at times of unfavourable weather or water conditions during storms or
76 high energy events, exactly when largest nearshore changes are expected to occur. Bathymetric
77 Light Detection and Ranging (LiDAR) and satellite sensors can be used in areas of minimal
78 water turbidity but show large errors where water transparency is low and in areas of breaking
79 waves (Chust et al., 2010; Costa et al., 2009; Kotilainen & Kaskela, 2017). While results
80 obtained from multispectral Dove satellites imagery show vertical root-mean-square error
81 between 1.22 and 1.86 m for depths of 4 to 10 m at 4 m spatial resolution based on best cloud-
82 free and minimal turbidity conditions (Li et al., 2019), the temporal resolution and accuracy of
83 satellite imagery remain limited by cloud cover.

84 Video systems, such as Argus (Aarnikhof & Holman, 1999; Holman et al., 1993; Holman
85 & Stanley, 2007; Kroon et al., 2007; Smit et al., 2007) have been used to: derive water depths
86 and basic wave and current parameters (Holman et al., 2013); monitor changes in shoreline
87 position (Kroon et al., 2007); and understand surf zone bar dynamics (Masselink et al., 2014) and
88 intertidal changes (Davidson et al., 2007; Smit et al., 2007). The use of video systems is
89 restricted by daylight hours and weather-related visibility and requires image rectification and
90 geometric corrections if cameras move due to wind or other factors. Further, these systems have
91 a limited field of view (maximum 1000-1500 m per camera) and pixel resolution increases with
92 distance, exceeding 40 m at 1500 m from the camera (Holman and Stanley 2007). Radar offers
93 the benefits of being able to record data irrespective of daylight, under a wide range of weather
94 and visibility conditions (except heavy rainfall, calm winds and low waves), it does not require
95 image correction and generally has a larger field of view. Information of bathymetry, waves and
96 surface currents have been extracted from X-band radar images of the sea surface over 4-5 km
97 radius (Bell et al., 2011; McCann & Bell, 2014; Bell et al., 2016).

98 X-band radar as a remote sensing tool relies on the presence of backscatter known as 'sea
99 clutter', generated by a combination of direct reflections (sea spikes) and Bragg scattering from
100 small capillary ripples on the sea surface and further modulated by sea surface waves (Skolnik,
101 1980). Through a frequency domain analysis (e.g. Fourier transform) the spectral characteristics
102 of ocean surface waves can be inferred from the sea clutter, and from these, wave parameters
103 such as frequency and wavelength can be calculated. Hydrographic properties can also be
104 inferred using the physics of dispersive waves through the 'wave inversion' method, which is
105 well-established with X-band radar (Bell, 1999; Hessner and Bell, 2009; Ludeno et al., 2015,
106 Lund et al., 2020). Most recently, cBathy (Holman et al., 2013) has been applied to derive

107 nearshore bathymetry from both camera images and radar data (Honegger et al., 2019; Honegger
108 et al., 2020; Gawehn et al., 2020). So far, the application of radar-derived bathymetry to
109 understand nearshore change has been limited to research applications due to the complexity
110 involved in assessing data quality.

111 This paper presents a new framework of data processing and quality assessment applied
112 to data obtained from an 18-month radar deployment at Thorpeness (Suffolk) on the east coast of
113 the UK. Previous work (Atkinson et al., 2018) showed that ~90% of water depths derived from
114 these radar data were within ± 1 m of the depths measured by concurrent multibeam surveys and
115 ~60% of data were within ± 0.5 m. Results presented here advance the previous work by showing
116 that the application of this new framework has considerably improved this accuracy; warranting
117 the production of radar-derived bathymetric maps from which sediment volume changes in
118 dynamic nearshore areas can be estimated for periods as short as three weeks. To facilitate the
119 application of the framework to data obtained elsewhere and by other systems, the approaches
120 incorporated into the framework are described in more detail in the Supplementary Information.

121 **2 Study Site**

122 The radar system was installed on a clifftop at the north end of Thorpeness village
123 (52.182°N, 1.613°E, Suffolk, East England), a dynamic mixed sand and gravel coast showing a
124 prominent cusped gravel foreland (locally called the ness) to the north (Figure 1). The beach
125 morphology shows high temporal and spatial variability and is influenced by underwater
126 geology, bimodal wave direction and coastal protection works (Atkinson and Esteves, 2018).
127 The nearshore is characterised by mobile banks, and complex underlying hard geology showing
128 2-km wide underwater ridges extending 12 km SW-NE offshore from the coast. These ridges are
129 formed by cemented fine sands and silts of the Pliocene Coralline Crag formation (Long and
130 Zalasiewicz, 2011). A dynamic nearshore seabed feature south of the ness has been shown to
131 respond to the bimodal wave direction (Atkinson et al., 2018). Modelling simulations indicate
132 the feature contributes in part to the occurrence of episodic erosion hotspots along the
133 Thorpeness village frontage (Williams et al., 2019). Similar effects of nearshore banks were
134 observed elsewhere along the Suffolk coast (Burningham & French, 2016).

135 The site is exposed to a semi-diurnal mesotidal regime with peak astronomical range ~2.5
136 m and storm surges that can exceed 2 m, with water levels reaching 3.78 m above Ordnance
137 Datum Newlyn, ODN (Wadey et al., 2015). Offshore waves show bimodal direction, with the
138 peak direction (DirP) oscillating between southwest and northeast (based on the Cefas WaveNet
139 West Gabbard buoy, 51.952°N 002.109°E, 41 m depth) year to year and within the years,
140 without reflecting a strong seasonal signal (Atkinson and Esteves, 2018).

141 3 Methods

142 This section describes the new data processing and quality assessment framework used to
143 analyse the X-band radar data collected between 16-Sep-2015 and 18-Apr-2017 (Atkinson et al.,
144 2020). The workflow of the individual steps involved in the framework is shown in Figure 2. For
145 brevity and to improve readability, this section focuses on the new data quality assessment
146 (DQA) steps and the selection of ‘good data’ (H_s threshold filter). Further details of the methods
147 are provided in the Supporting Information.

148 3.1 The radar system

149 The radar system comprised a Kelvin Hughes 10 kW, 9.41 GHz marine X-band radar
150 system with a 2.4 m horizontally polarised antenna has a 3 dB horizontal beamwidth of ~0.8
151 degrees and a mean rotation time of ~2.6 seconds. The radar was set to transmit 60ns pulses of
152 radar energy at 3000 pulses per second. The radar transceiver and antenna were installed on a 12-
153 m high scaffold tower on a cliff top overlooking the study area, at a total elevation of ~20 m
154 above mean sea level (Figure 1). The data analysis focused on an area of 3.3 km² of the radar
155 view (1.5 km alongshore x 2.2 km offshore).

156 The radar was set up to record 256 rotations of the antenna, (2.63 seconds per rotation) in
157 approximately 11-minute data bursts every 30 minutes; generating a time-series ‘image stack’
158 each time. The radar was connected to the commercially available WaMoS II analogue-to-digital
159 converter developed by OceanWaveS GmbH, which digitised the radar video signal in raw ‘B-
160 scan’ polar coordinate format at 32 MHz, corresponding to a range resolution of 4.68m. The
161 WaMoS II wave processing software was used to derive wave spectral parameters from the radar
162 data immediately after each record was digitised, yielding an estimated (uncalibrated) significant
163 wave height (H_s), peak wave period (T_p), mean wave period (T_m), mean wave direction (DirM)
164 and peak mean direction (DirP). WaMoS II uses algorithms well-documented in the literature to
165 measure sea state conditions from X-band radar data (Hessner et al., 2014, 2015; Reichert et al.,
166 1999; Wyatt et al., 2003).

167 Following digitisation and derivation of ‘raw’ wave parameters, each raw ‘B-scan’ polar-
168 coordinate radar image stack was then pre-processed automatically on-site to remove non-
169 uniformities in the antenna rotation rate due to wind effects. The resulting data were then
170 resampled to produce a final uniform angular resolution of three pulses per degree to reduce the
171 files sizes stored on an internal hard drive. The compressed polar files were downloaded during
172 site visits and, off-site, they were converted from polar to cartesian coordinates on a 5m grid
173 (OSGB36) via bi-linear interpolation. The processing described in this paragraph is represented
174 in the workflow (Figure 2) as ‘NOC’ functions (as they were undertaken using scripts written by
175 the authors affiliated at the NOC). The wave inversion method was then applied to generate
176 bathymetric maps (Section 3.2).

177 3.2 Estimating water depth

178 This section describes the data processing and quality control involved in the estimation
179 of water depth from radar data, including the size of the analysis window, which defines the
180 resolution of the bathymetric maps, and the new *depth memory* stabilisation, a decaying average
181 procedure to improve the estimation of water depth. Water depths were estimated using the
182 bathymetric inversion algorithms based on the linear wave theory (Borge et al. 2004; Bell, 1999;
183 Bell & Osler, 2011):

184

$$\omega = \sqrt{gk \tanh(kh)} + \mathbf{u} \cdot \mathbf{k} \quad 1$$

185 where ω is angular wave frequency, g is the acceleration due to gravity, k is the wavenumber, h
 186 is the mean water depth, and \mathbf{u} is the surface current velocity. Many approaches have been
 187 proposed to derive the wave parameters from radar data (see Chernyshov et al., 2020). Here, the
 188 wave parameters were calculated using a 3D Fast Fourier Transform (FFT) over a finite water
 189 surface area (i.e. the ‘analysis window’). The analysis window must be large enough to cover at
 190 least one wavelength in all directions and homogeneity is assumed of both k and the frequency
 191 spectra. Crucially, the wave inversion method can only function with enough wavelengths within
 192 the analysis window. Therefore, selecting the size of the analysis window is important (see
 193 Section 3.2.1 and Supporting Information S1).

194 For simplicity, underlying currents are often assumed to have minimal effect on wave
 195 propagation (Bell, 1999; Bell & Osler, 2011; Honegger et al., 2019). At the study site, tidally-
 196 induced currents exceed 1.5 m s^{-1} (Lees, 1983) and waves often approach the coast at an oblique
 197 angle, implying the potential for significant wave-current interaction. The near-surface currents
 198 were obtained by calculating the Doppler shift for each wavenumber within each analysis
 199 window, given a water depth value. Incorporating the depth and current analysis within each
 200 analysis window provides an ‘instantaneous’ estimation of the water depth as a non-gaussian
 201 probability density function (PDF) for each image stack. The peak of the PDF describes the
 202 ‘most probable depth’ at a point centred in the analysis window.

203 The iteration for each analysis box is obtained using proprietary NOC algorithms, in a
 204 manner similar to that of Senet et al. (2001). The results usually converge on the best estimates
 205 for each parameter given the observed wave signatures in each analysis box for each individual
 206 record. Due to the non-gaussian nature of the PDF, instantaneous measurements are generally
 207 noisy and are likely to introduce a bias to the final result. An average of sequential PDFs for a
 208 given analysis window can be taken to mitigate this bias and determine a more representative
 209 ‘most probable depth’. The calculation also needs to allow the seabed to evolve over time, which
 210 is achieved through (a) a windowing function or (b) by allowing each PDF to decay in
 211 importance with time in the manner of a radioactive half-life. The latter technique (hereafter the
 212 *depth-memory*) is used in this framework (see Section 3.2.2). The *depth-memory* has been
 213 developed initially for operational near-real-time use of X-band radar as a practical monitoring
 214 tool, a medium to long-term goal of the authors.

215 3.2.1 The analysis window size

216 In effect, the 3D-FFT approach counts the number of waves in a given analysis window,
 217 split by observed frequency. FFTs only distinguish integer numbers of waves in each dimension.
 218 The higher the numbers of waves within the analysis window, the better the wavelength
 219 resolution. As the wave energy spreads across adjacent bins, the ability to accurately measure
 220 wavelengths decreases when the size of the analysis window is small relative to the wavelength
 221 of the waveforms. The closer the wavelength is to the size of the analysis box, the worse this
 222 ‘spectral leakage’ effect becomes. The method limits the spatial resolution of the resulting
 223 bathymetric maps by requiring that each side of the analysis window be at least 100-200 m
 224 depending on wave characteristics (Honegger et al., 2019). To mitigate the low wavenumber
 225 issue, the 3D-FFT was augmented using a Phase-Locked Loop type algorithm to precisely
 226 identify the dominant 2D wavelength signal at each wave frequency (Bell & Osler, 2011).

227 The size of the analysis window also influences the dimensions of the morphological
 228 features that can be resolved. Only features of the same order of magnitude or larger than the
 229 analysis window can be resolved. There is no ‘one size fits all’ solution regarding the size of the
 230 analysis window, as this depends on local wave conditions and the needs of specific applications.
 231 Larger analysis windows include more wave data, generally producing less noisy results due to
 232 greater spatial averaging. This, in turn, is likely to violate the assumption of homogeneity.
 233 Considering the characteristics of local waves with 90% of observed wave periods < 8s and
 234 maximum water depths in the nearshore under 13m (Figure S1), an analysis window of 160x160
 235 m was used in this study. The reasoning underpinning this selection is explained in the
 236 Supporting Information S1.

237 To create a spatial map of calculated hydrographic parameters, the analysis window is
 238 stepped spatially with the parameters calculated for the centre of the box. After optimisation
 239 against water depths measured by multibeam surveys (described in Atkinson et al., 2018),
 240 bathymetry was derived by shifting the 160x160 m analysis window in 40 m increments
 241 throughout the radar field-of-view (Figure 3). The window size acts inherently as a low pass
 242 filter on the detected bathymetry. This process resulted in a 40x40 m bathymetry grid that
 243 enabled seabed changes and features of interest to be resolved.

244 The sampling theorem might suggest that a 50% overlap between successive box
 245 positions in a given dimension would be the optimum translation interval to capture the
 246 variations in water depths detectable by this method. Based on the authors’ experience in the
 247 analysis of radar data, this spacing is shown to be too coarse. The translation of a quarter of the
 248 analysis window size yields a significantly smoother result without excessive oversampling, and
 249 this has been adopted here. Other methods could be used to estimate the wavenumber-frequency
 250 pairs on an almost pixel-by-pixel basis using cross-spectral analysis. However, they show the
 251 same signal-to-noise limitations as the FFT-based spectral methods and, under normal
 252 operational conditions, would require an equivalent amount of spatial averaging to overcome
 253 this. Wavelet analysis can also be applied, but the level of smoothing required was shown to
 254 have limited ability to resolve variable bathymetry (Chernysov et al., 2020).

255 3.2.2 *Depth-memory* stabilisation

256 In the *depth-memory* approach, an integration *half-life time* is defined in terms of the
 257 number of records (R_n). When the approach is first initialised at a new site, each new probability
 258 function for a given geographic location is corrected for the tide level. This ensures that depths
 259 are relative to the chosen datum. The records are then added together until the defined
 260 consecutive R_n value is reached. If R_n is set to 10, record 1 makes up 1/10 (0.1) of the total PDF
 261 after the tenth record is reached. In this case, records 1 to 10 are defined as the *depth-memory*
 262 stabilisation period so that:

- 263 • After 11 records, record 1 makes up $(1/10)*(1-1/10) = 0.090$ of the total;
- 264 • After 12 records, record 1 makes up $0.09*(1-1/10) = 0.081$ of the total; and
- 265 • After 13 records, record 1 makes up $0.081*(1-1/10) = 0.073$ of the total and so on.

266 After ~20 records, the influence of record 1 to the integrated PDF has halved to 1/20. By
 267 records 32 and 54, it has decayed to less than 1/100 and 1/1000, respectively. The selected value
 268 for R_n is highly dependent on the activity of the seabed. At sites where the seabed is immobile, a

269 large R_n value can be defined and a long term, stable bathymetric map can be derived. At sites
 270 where the seabed is dynamic and complex, a shorter R_n value is required to prevent previous
 271 records dominating the average and a change 'lag' occurring (i.e. the depth memory is
 272 continually catching up with the present state).

273 When defining the *depth-memory* R_n the interplay between the processing resolution and
 274 wave climate needs to be established. The effect of wave climate is shown from two starting
 275 points selected within 72 hours of each other (Figure 4): Scenario 1 (09-Oct-2019 0000 to 1130)
 276 occurred during low waves ($H_s < 1$ m) with variable peak direction (DirP) indicative of a low
 277 energy sea; and Scenario 2 (11-Oct- 2015 1200 to 2330) occurred during moderate wave heights
 278 ($H_s = 1.25$ to 1.8 m) with a sustained northerly DirP. Figure 4 shows the derived bathymetric
 279 maps after 1, 6 and 24 records (30 minutes, 3 and 12 hours respectively) for Scenario 1 (top row,
 280 1a-c) and 2 (bottom row 2a-c). Although distinct bathymetric features emerge in both cases, after
 281 24 records of low wave height (Scenario 1), the shape of the nearshore seabed is less well
 282 defined than after 6 records of wave heights exceeding 1 m (Scenario 2).

283 Although the scenarios above focus on the differences in wave height, the detectability of
 284 waves in sea clutter is affected by the angle between the radar antenna look and peak wave
 285 direction (Lund et al. 2014) and depends on the combination of wind speed and wave height.
 286 This wind speed and wave height interplay is particularly important in relatively fetch-limited
 287 coasts where locally-generated waves dominate, such as in the area of Thorpeness. The radar
 288 ability to register the sea surface is impaired under low wind (< 3 m/s) and wave conditions (0.5-
 289 1 m significant wave height). During periods of low sea clutter, the data processing algorithms
 290 struggled to define wave parameters and to obtain an accurate wave inversion. Consequently,
 291 there is greater uncertainty in depth estimations under Scenario 1 conditions, and longer R_n
 292 values are required to produce a stable bathymetric map. However, seabed changes more often
 293 occur under high wave conditions; therefore, there is generally more need and interest in
 294 measuring changes caused by these conditions.

295 3.3 Selecting 'good data'

296 Low wave and wind conditions impose limitations on radar-derived data that can greatly
 297 increase the uncertainty of water depth estimates and the resulting bathymetric maps. To ensure
 298 consistency in data quality, bathymetric maps were created only for periods (defined by R_n) of
 299 'good data'. In the absence of wind data and considering that wave heights < 1 m result in low
 300 rates of bedload sediment transport and small bathymetric changes, 'good data' was identified
 301 through an H_s threshold filter and a 'stable memory finder' (Figure 2). $H_s > 1$ m was the simplest
 302 and most influential variable and threshold to identify blocks of 'good data' to produce
 303 bathymetric maps. Combining other variables as part of the filter would add complexity to the
 304 automated data quality control but may improve the selection of 'good data'. The H_s filter was
 305 applied on calibrated radar-derived data after the *depth-memory* length and quality control
 306 procedures described above were performed. The selection of 'good data' involved the following
 307 steps:

- 308 a) The filter was used to identify all records showing calibrated $H_s > 1$ m;
- 309 b) The 'stable memory finder' screened the filtered records to identify all periods in which
 310 $H_s > 1$ m for at least 12 hours (24 records).

- 311 c) The screening identified the first *data block* in which the *depth memory* had stabilised
 312 (i.e. depths within the PDF were calculated from data exceeding the wave height
 313 threshold in the previous 12 hours).
- 314 d) If H_s dropped below the threshold, the *data block* was closed, and a new *data block*
 315 initiated when data met the criteria. Bathymetric maps were then produced for each *data*
 316 *block* fitting the criteria.

317 During the radar deployment period, 53 *data blocks* were identified using this filtering
 318 method. The longest gap between *data blocks* was 80 days (between 06-Mar-2016 and 25-May-
 319 2016). Using a bespoke graphical user interface developed in Matlab, bathymetric changes
 320 within each *data block* were analysed to identify outliers informed by known magnitudes of
 321 change obtained from multibeam surveys. Changes that were too large or in areas expected to be
 322 immobile were filtered out of the analysis. The water depth variance was then assessed to
 323 remove artefacts related to changes in water level, variations in wave direction and nonlinearities
 324 in the wave climate across radar field-of-view. The bathymetric maps derived from radar data
 325 passing the quality control screening were then analysed to: (a) quantify the magnitude and
 326 location of significant bathymetric changes, and (b) identify the driving metocean conditions.
 327 This step identified areas where significant seabed changes were expected, and calculations of
 328 sediment volume changes were then performed only for these areas.

329 3.4 Tidal correction

330 To relate radar-derived depth to a datum and to allow averaging over consecutive records,
 331 the algorithms require tidal elevation data that include astronomical and meteorological forcing.
 332 This can be provided from a tide gauge or through a ‘synthetic’ tide approach, in which a
 333 meteorological (residual) value from a nearby tide gauge can be added to the harmonic
 334 prediction at the site (e.g. Bell et al., 2016).

335 In this study, a synthetic tide approach was validated against data from a pressure sensor
 336 deployed for 3 months (27-Apr-2016 to 31-Jul-2016) within a drainage sluice located 2 km south
 337 of Thorpeness. The pressure sensor was installed approximately at mean sea level (the lowest
 338 possible elevation due to site limitations); thus, only water levels above this elevation could be
 339 recorded (see Figure 5). These data allowed calibration of observed water levels against (a) a
 340 synthetic tide derived from residuals from a permanent Class 1 tide gauge at Lowestoft (45 km
 341 north of Thorpeness); and (b) POLPRED harmonic prediction (NOC, 2019) close to the radar
 342 deployment site. A good agreement was obtained between the measured and synthetic tidal time-
 343 series; except during a storm surge (14-15 May 2016) when the model underestimated the
 344 observed water levels (Figure 5a). This illustrates well the need to include the meteorological
 345 component (tidal residual) in the synthetic tide. Adding the Lowestoft tidal residual values to the
 346 astronomical predictions improved the correlation coefficient R^2 from 0.75 (Figure 5b) to 0.96
 347 (Figure 5c).

348 For this study, the synthetic tide (comprising the predicted and meteorological
 349 components of the tide) was subtracted from the water levels derived from each radar record to
 350 reference those depths to chart datum, thus allowing the estimated depths to be integrated over
 351 time relative to a static vertical reference (i.e. chart datum). To achieve this in an (ideal) situation
 352 with near-real-time processing, the system would need to receive a real-time water level
 353 measurement from a (local) tide gauge. A tidal prediction could be substituted in the absence of

354 suitable tide gauge data, but the absence of the meteorological component would inevitably
 355 introduce errors. Accounting for the meteorological component is very important, since the radar
 356 typically delivers the best quality wave imagery when waves are high, and these are often
 357 associated with a positive surge.

358 3.5 Wave height calibration

359 Due to the nonlinearity of the radar imaging mechanism, wave height cannot be inferred
 360 directly from the raw data (Borge et al., 1999). However, a calibration can be applied to the radar
 361 data using coincident wave measurements from another instrument (Alpers & Hasselmann,
 362 1982). Here, time-series of significant wave height (H_s) from the Cefas wave buoy located ~1900
 363 m north and ~3500 m east of the radar were used to calibrate the radar-derived wave height
 364 (Figure 5d) using the relationship:

$$365 \quad H_s = A + B \sqrt{SNR} \quad 2$$

366 where A is the intercept and B the slope of the fit between the Signal-to-Noise Ratio of the
 367 dispersion relation fit (SNR, calculated by the WaMoS II software). The resulting calibrated H_s
 368 relationship was used subsequently in the radar data quality control process (Figure 2) as
 369 described in Section 3.2.2. A strong correlation ($R^2=0.74$) was found between calibrated radar-
 370 derived H_s and the wave measurements (Figure 5d). Some uncertainty remains in the estimates of
 371 wave heights and thus in the accuracy of the H_s threshold filter. Although some of the selected
 372 ‘good data’ might not have an actual $H_s > 1$ m, the filter offers a simple means to identify data
 373 with reasonable wave signal. It is important to note that Figure 5d shows good agreement for H_s
 374 < 2 m reassuring that the radar-derived estimates are suitable to identify the low wave periods that
 375 will be excluded from the analysis.

376 3.6 Validation of radar-derived bathymetry

377 Validation of radar-derived bathymetry was undertaken using concurrent multibeam
 378 surveys conducted in January 2017 (during a period of wave heights ~1.5 m) resampled to
 379 40x40m, the same spatial resolution as the radar wave inversion (Atkinson et al., 2018). The
 380 multibeam surveys conducted at the time of the radar installation were commissioned by the
 381 Maritime Coastguard Authority and were independent of this research. Using the data quality
 382 control framework described here, the validation was redone and compared with the results of
 383 Atkinson et al. (2018) to assess the improvements that can be achieved. Results of this validation
 384 are presented in Section 4 and improvements discussed in Section 5.

385 4 Results

386 Following the quality control assessment, a comparison between calibrated radar-derived
 387 and measured bathymetry showed that 96% of radar-derived values were within ± 0.5 m of the
 388 measured data and 100% within ± 1 m (Figure 6a). A very strong linear correlation ($R^2=0.98$,
 389 95% confidence interval) between radar-derived depths and multibeam survey measurements
 390 was obtained even for uncalibrated data (Figure 6b). Results indicate a slight deviation from the
 391 line of equivalence whereby shallower depths tend to be overestimated, and deeper depths are
 392 underestimated, similar to results reported by Rutten et al. (2017). Comparing radar-derived
 393 bathymetry (Figure 6c) with the concurrent multibeam survey show an underestimation of radar-
 394 derived depths along the beach foreshore south of the radar and an overestimation in an area
 395 north of the radar extending south and offshore from the ness (Figure 6d). The multibeam data

396 are the only available ‘ground truth’ for the radar-derived bathymetry shown here; however, they
 397 are not a perfect measure of the seabed. For example, Figure 7a shows evidence of vessel track
 398 lines within the data, suggesting imperfect correction for vessel motion. These data are
 399 referenced vertically using kinematic GPS and thus translation to chart datum is independent of
 400 the water level at the vessel. In contrast, the radar-derived depth is corrected to chart datum
 401 through a synthetic tidal elevation (Section 3.3), which is assumed flat across the study area at a
 402 point in time corresponding to the middle of the radar image sequence.

403 Differences between the radar-derived depth and multibeam data may result from several
 404 factors, and it is not possible to isolate which may be the greatest contributor:

- 405 (a) Nonlinearities in wave behaviour due to increasing wave steepness and breaking increase as
 406 water depth decreases. This will manifest as waves travelling slightly faster than linear wave
 407 theory might predict and hence lead to an overestimation of depth in shallower water.
- 408 (b) The discontinuity of the rapidly shallowing seabed and shoreline representing the worst-case
 409 scenario for an FFT based analysis that assumes homogeneity within the analysis window.
- 410 (c) The predominance of locally generated short wavelength, short period waves becoming less
 411 sensitive to water depth in deeper areas of the study areas. Figure S1 demonstrates that only
 412 waves of approximately 6 seconds and above would feel the seabed adequately to fulfil either
 413 criteria of 90% or 95% of deep-water behaviour down to the 13m maximum water depth
 414 expected in the study area.
- 415 (d) The predominance of short period, short wavelength waves may have an adverse impact on
 416 the determination of currents. The effective depth of a current corresponding to a given
 417 wavelength moves towards the surface as the wavelength of the waves decreases (Campana
 418 et al., 2016, 2017; Lund et al, 2020). At a certain point, the wind-driven surface current will
 419 disproportionately start to manifest in the Doppler shift (used to infer the current) of the
 420 shortest waves that have a very near surface effective depth, affecting the calculated water
 421 depth.

422 Despite the factors described above, Figure 6d shows conclusively that the differences are
 423 partially attributable to actual seabed changes measured between the multibeam survey periods.
 424 The multibeam bathymetry was produced by surveys undertaken over four weeks in January
 425 2017 when relatively high energy events occurred. The radar-derived bathymetry was produced
 426 with ‘good data’ obtained on 13-Jan-2017 when waves approached from N.E. with $H_s > 1.5$ m and
 427 peak period of 10 s.

428 4.1 Identifying areas of nearshore change

429 Knowing where seabed changes are expected, and the magnitude of changes, can help
 430 scrutinise radar data. Comparing the bathymetry recorded by two multibeam surveys undertaken
 431 in July 2014 (Figure 7a) and January 2017 (Figure 7b), it was possible to identify areas of
 432 mobile and immobile seabed (Figure 7c). Except for areas closest to shore and across the central
 433 sector of the radar view (indicated by the black outline in Figure 7 c,d) where largest changes are
 434 evident, the seabed is mostly immobile (i.e. changes are within ± 0.125 m the error band of the
 435 data). Bands of erosion aligned approximately north-south across the survey area (Figure 7c) are
 436 artefacts of the 2014 survey data (Figure 7a), as they align with the trajectory of the vessel.
 437 Figure 7d represents the bathymetric changes shown in Figure 7c resampled to the spatial
 438 resolution (40x40m) of the radar-derived depth, and the depth values accounted for the estimated

439 radar uncertainty. This allows identification of three areas (numbered 1, 2, 3) where seabed
440 changes and their probable magnitudes could be expected to be measured by radar data.

441 Area 1 shows erosion (up to -2 m) of an oblique bar extending off the ness evident in the
442 2014 survey. In Area 2, accretion occurs (up to +2 m) just south of Area 1. There is an abrupt
443 transition between erosion in Area 1 and accretion in Area 2. Area 3 shows erosion (up to -1.5
444 m) in the surf zone along most of the southern half of the survey area, including the beach
445 frontage of Thorpeness village. The large changes observed offshore of Area 2 (Figure 7c) are
446 reduced to just a few pixels in Figure 7d and, therefore, are likely too narrow to be adequately
447 resolved by the radar at the spatial resolution of the wave inversion analysis. These narrow bands
448 of erosion and accretion suggest a north-westerly migration of large (c. 2 m high, 20-50 m wide)
449 bedforms.

450 4.2 Quantifying nearshore changes

451 To illustrate the radar-derived bathymetry produced in this study and the ability to
452 measure changes at a range of time-frames, examples are provided here of longer-term (4-6
453 months, Figure 8) and short-term (3-9 weeks, Figure 9) changes. This analysis only considered
454 changes exceeding 0.5 m. Changes observed in Areas 1, 2 and 3 for selected periods of 4 to 6
455 months (Figure 8e-g) and 3 to 9 weeks (Figure 9e-g) are used to estimate changes in sediment
456 volumes (Table 1).

457 Analysis of the radar-derived bathymetry show marked changes over 4 to 6 months,
458 particularly in Areas 1 and 2 (Figure 8, Table 1), commensurate with the differences observed
459 between the two multibeam surveys (Figure 7d). At these timescales, accretion in Area 1 seems
460 to occur alongside erosion of Area 2 (Figure 8e) and *vice-versa* (Figure 8 f,g). From 11-Oct-2015
461 to 06-Feb-2016, depths increased in Areas 2 and 3 (Figure 8e) resulting in an estimated sediment
462 volume loss of 26,063 m³ and 11,653 m³, respectively (Table 1). During the same period,
463 sediment accretion in Area 1 amounted to 112,196 m³ (Table 1), with maximum changes in
464 seabed elevation reaching +1.75 m. Between 06-Feb-2016 and 20-Aug-2016, magnitudes of
465 change were considerably lower, and the pattern of change reversed in Areas 1 and 2, with
466 erosion continuing in Area 3 (Table 1). Area 1 lost 36,453 m³ of sediment volume (a maximum
467 bathymetric change of -1.15 m), and Area 2 gained 16,818 m³ (a maximum vertical change of
468 +0.89 m). Changes intensified from 20-Aug-2016 to 23-Feb-2017, with erosion continuing in
469 Area 1 (-71,343 m³) and accretion in Area 2 (+35,241 m³), with no changes in Area 3.

470 Short-term analysis of sediment volume changes focused on three consecutive periods
471 spanning from October 2015 to February 2016 (Figure 9, Table 1). The two first periods provide
472 insights into the changes occurring within the longer-term period 11-Oct-2015 to 06-Feb-2016
473 analysed previously. From 11-Oct-2015 to 10-Dec-2015, accretion occurred in Area 1 (+44,588
474 m³), with erosion dominating in Area 2 (a net loss of -5,272 m³). In the subsequent period (10-
475 Dec-2015 and 06-Feb-2016), changes continued and intensified, with larger volume gain (in less
476 time) occurring in Area 1, greater sediment loss in Area 2 (-8,763 m³) and erosion (-10,635 m³)
477 also extending into Area 3 (Figure 9f). In the following three weeks, there was a switch in the
478 pattern of changes, Area 1 experienced net erosion and Area 2 accretion, with magnitudes of
479 volume gain (31,116 m³) similar to the changes estimated over five months from Aug 2016 to
480 Feb 2017 (Table 1).

481 The losses and gains in sediment volume presented in Table 1 are conservative and
482 exclude all areas where changes are within the error of radar-derived bathymetry. Over shorter
483 periods, magnitudes of bathymetric change are often small, except for some areas. Consequently,
484 more areas are excluded from the analysis when compared with analyses over longer periods.
485 The short-term analyses, therefore, can underestimate the volume changes. This is apparent when
486 comparing the changes in Area 1 for the period 11-Oct-2015 to 06-Feb-2016 ($112,196 \text{ m}^3$) with
487 the sum of the changes in the two shorter-periods (a total of $98,083 \text{ m}^3$) that cover the same time
488 (Table 1). There is a difference of $14,113 \text{ m}^3$ or 12.5 % of the volume. Similarly, a difference of
489 42% was found between the shorter-term ($14,904 \text{ m}^3$) and the longer-term ($26,083 \text{ m}^3$) estimated
490 erosion volume in Area 2.

491 **5 Discussion**

492 The framework for data quality assessment applied here, includes water level and wave
493 height calibration, ground-truth of radar-derived bathymetry with simultaneous multibeam
494 surveys, and a rigorous selection of data based on optimum site-specific wave conditions. This
495 new framework has enabled an improved quantification of uncertainties associated with radar-
496 derived bathymetric data and resulted in enhanced accuracy of results. The application of this
497 framework in the validation of radar-derived bathymetry using multibeam survey data showed
498 results much improved than the ones reported by Atkinson et al. (2018). These authors reported
499 uncertainty of $\pm 1 \text{ m}$ for ~90% of radar-derived depths and $\pm 0.5 \text{ m}$ for ~60% of grid cells with
500 linear regression correlation coefficient $R^2 = 0.93$. In the present study, 96% of radar-derived
501 values were within $\pm 0.5 \text{ m}$ of the measured data and 100% within $\pm 1 \text{ m}$ with a stronger linear
502 correlation ($R^2 = 0.98$, 95% confidence interval) (Figure 6). The improved accuracy enabled, for
503 the first time, insight into the rates and patterns of sediment volume changes in the nearshore at
504 time-frames from a few weeks to a few months were obtained from radar data.

505 In accord with work from less complex coastal environments (e.g. Hessner and Bell,
506 2009; Ludeno et al., 2015), the present work has shown that the accuracy of radar-derived
507 bathymetric obtained during ideal conditions is $\pm 0.5 \text{ m}$ in depths down to 10m. This figure is in
508 line with the higher end of the 5-10% accuracy range quoted by Piotrowski & Dugan (2002) for
509 data originating from an optical system onboard a military drone and using similar mathematical
510 techniques. This accuracy is equivalent to depths extracted from video-systems (Holman et al.,
511 2013) and considerably better than reported from bathymetric lidar (Chust et al., 2010); and
512 satellite data (Li et al., 2019; Traganos et al., 2018). In contrast to Rutten et al. (2017) who
513 showed the greatest accuracy was achieved in water depths greater than 6 m below MSL, this
514 study showed the highest accuracy in shallower waters between 2 to -8 m ODN, with the deeper
515 regions within the radar field-of-view showing significant inaccuracies. It is considered that
516 these differences are attributable to the size of the analysis window ($160 \times 160 \text{ m}$ in this study and
517 $960 \times 960 \text{ m}$ in Rutten et al. (2017).

518 When compared with other ground-based remote sensing techniques, the radar shows
519 greater limitations on the spatial resolution and advantages concerning the range of conditions it
520 can be operational. X-band radar can capture good quality data under most weather conditions,
521 independently of water transparency (a limitation of bathymetric lidar) and daylight (limitations
522 of video-systems). Further, its range extends beyond that of most shore-based camera systems.
523 Both the video-systems (Holman et al., 1991) and the radar (Bell et al., 2016) enable bathymetric
524 mapping in the intertidal zone using a waterline tracing method. However, the relatively small

525 tidal range and the steepness of the mixed sand-gravel beach at the study site were not conducive
526 to the application of this technique.

527 The evidence presented here shows that radar can be used as a nearshore monitoring tool
528 for general trends in erosion or accretion and define the sediment volume changes in specified
529 areas at a temporal resolution spanning weeks or months. This evidence contrasts with Rutten et
530 al. (2017) conclusions which argued that due to substantial bias in shallower regions and to the
531 resolution of the radar, daily to monthly volume changes estimated from radar data are
532 unrealistic. The present accurate nearshore volume change estimates have been made possible in
533 the present study by the analysis framework employed, which focusses on the nearshore region
534 with higher resolution at the cost of data quality loss in deeper water.

535 In order to put the scale of the observed sediment volume changes into context it is useful
536 to note that the volume change figures for regions 1 and 2 in particular listed in Table 1 for each
537 event are of a similar order of magnitude to the estimated annual longshore sediment transport
538 budget of that part of the coast (Vincent, 1979; Royal Haskoning, 2009). Given that the
539 movement of such large scale sediment features will be dependent on the directional balance,
540 intensity and sequencing of wave events in any given year, it is now intuitively easy to
541 understand how that section of coastline at Thorpeness may be prone to fluctuations in erosion
542 and deposition, which was the underlying reason for deploying the radar system for this project.

543 **6 Conclusions**

544 Using multibeam survey validation data, and robust quality control and data analysis
545 procedures, bathymetric maps have been derived from X-band radar data acquired during an 18-
546 month installation at Thorpeness, UK. This paper shows that the accuracy of the radar-derived
547 nearshore bathymetry can be improved through the application of a new framework of data
548 processing and quality assessment described here. Two new elements are included in this
549 framework, a *depth memory* stabilisation and a filter to select ‘good data’. Using this analysis
550 framework, the radar-derived bathymetry is shown to be accurate to ± 0.50 m down to 10 m water
551 depth at a 40x40 m resolution, and changes exceeding this error were measured in time-spans of
552 weeks. The results obtained in this study would not have been possible using traditional survey
553 methods without an extensive and expensive field monitoring campaign.

554 Radar-derived bathymetry enabled observation of two distinct nearshore morphology
555 states in which seabed features formed and subsequently eroded on timescales between 4 and 12
556 months. Quantification of bathymetric changes and estimated sediment volumes were possible
557 for periods as short as three weeks. These data show that, in dynamic areas within the radar view,
558 changes within a few weeks can have magnitudes similar to the observed within 4-6 months. The
559 results demonstrate, therefore, the viability of X-Band radar as a cost-effective tool for
560 monitoring nearshore changes in bathymetry along dynamic coasts.

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574 All data used in the production of this paper (Atkinson et al., 2020) has been banked with the
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782 **Captions of Figures**

783 **Figure 1.** Aerial view of the X-band radar installation site at the north end of the Thorpeness
784 village, Suffolk (UK).

785 **Figure 2.** Flow diagram of the data processing and quality control framework employed to
786 produce the radar-derived data.

787 **Figure 3.** An example of the 160x160 m analysis window (yellow) and the 40m step length
788 (green) used in wave-inversion calculations to infer the water depth overlaid on a raw backscatter
789 image showing waves approaching from the southeast.

790 **Figure 4.** Time-series (top panel) of significant wave height (H_s) and peak wave direction (DirP)
791 identifying two 12-hour periods used to demonstrate the effects of the depth memory for low
792 (Scenario 1) and moderate (Scenario 2) wave conditions on derived bathymetric maps at (a)
793 record 1, instantaneous map, (b) record 6, 3 hours, and (c) record 24, 12 hours. Radar position is
794 indicated by a red star. Land above MW is masked in black. Note that the visible artefact ($\sim 104^\circ$
795 from north) was caused by a mechanical issue explained in Supporting Information S2.

796 **Figure 5.** (a) Synthetic water levels (incorporating tidal residuals at Lowestoft), POLPRED
797 model predictions and observed water level recorded within the sluice over a three-day window
798 (b) RMS analysis of POLPRED model and (c) synthetic water levels against observed water
799 levels (>0 m only to reflect recorded data). (d) Calibrated radar-derived wave height against
800 Sizewell directional Waverider data.

801 **Figure 6.** (a) Difference between multibeam measured water depths and radar-derived depths
802 before (red line) and after (blue histogram) water level calibration with the synthetic tide. (b)
803 Scatter plot of the depths obtained from multibeam surveys and from radar data (uncalibrated)
804 showing the linear regression equation. (c) Radar-derived bathymetry concurrent to the
805 multibeam survey. (d) Differences between multibeam and radar-derived depths, where negative
806 values indicate underestimation of the radar data and positive values are an overestimation.

807 **Figure 7.** Bathymetry (1 m grid resolution) from two multibeam surveys covering the study area
808 obtained (a) by the Environment Agency in June 2014 and (b) by the Maritime Coastal Authority
809 in January 2017. (c) Differences between the two bathymetric maps, where negative values
810 indicate an increase in depth and positive values indicate a reduction in depth (changes within
811 the error band of the method ± 0.125 m are blanked). (d) Resampling of map (c) to the same
812 spatial resolution of the radar-derived depth and excluding changes within the error of the radar.
813 The black line boundary in c,d indicates the area within the radar view used in the analysis.
814 Thorpeness beach frontage buildings are identified in all figures.

815 **Figure 8.** Radar-derived bathymetry of the study area for (a) 11-Oct-2015, (b) 06-Feb-2016, (c)
816 20-Aug-2016, and (d) 23-Feb-2017 and (e, f, g) maps showing areas of large bathymetric
817 differences (>0.5 m) between these dates. The numbered areas in (e) identify the three areas of
818 largest changes. The red circle indicates radar position. The mean water line is shown as a black
819 line.

820 **Figure 9.** Radar-derived bathymetry of the study area for (a) 11-Oct-2015, (b), 10-Dec-2015, (c)
 821 06-Feb-2016, and (d) 28-Feb-2016 and (e, f, g) maps showing areas of large
 822 bathymetric differences (>0.5 m) between these dates. The red circle indicates the radar position.
 823 The mean water line is shown as a black line.

824 **Table 1.** Areas where bathymetric changes exceed radar accuracy (± 0.5 m) and respective
 825 estimated changes in sediment volume during longer and shorter periods within the three
 826 nearshore areas of interest.

Period		Nearshore change	Area 1		Area 2		Area 3	
			Area [m ²]	Volume [m ³]	Area [m ²]	Volume [m ³]	Area [m ²]	Volume [m ³]
Longer-term changes (4-6 months)								
11-Oct-2015	06-Feb-2016	Accretion	118,400	+112,196				
		Erosion			36,800	-26,063	19,200	-11,653
06-Feb-2016	20-Aug-2016	Accretion			25,600	+16,818		
		Erosion	48,000	-36,453			1,600	-1,068
20-Aug-2016	23-Feb-2017	Accretion			46,400	+35,241		
		Erosion	92,800	-71,343				
Shorter-term changes (3-9 weeks)								
11-Oct-2015	10-Dec-2015	Accretion	72,000	+44,588	1,600	+869		
		Erosion			9,600	-6,141		
10-Dec-2015	06-Feb-2016	Accretion	67,200	+53,495				
		Erosion			16,000	-8,763	17,600	-10,635
06-Feb-2016	28-Feb-2016	Accretion	6,400	+3,410	41,600	+31,116	1,600	+884
		Erosion	16,000	-9,382				

827

Figure 1.



Figure 2.

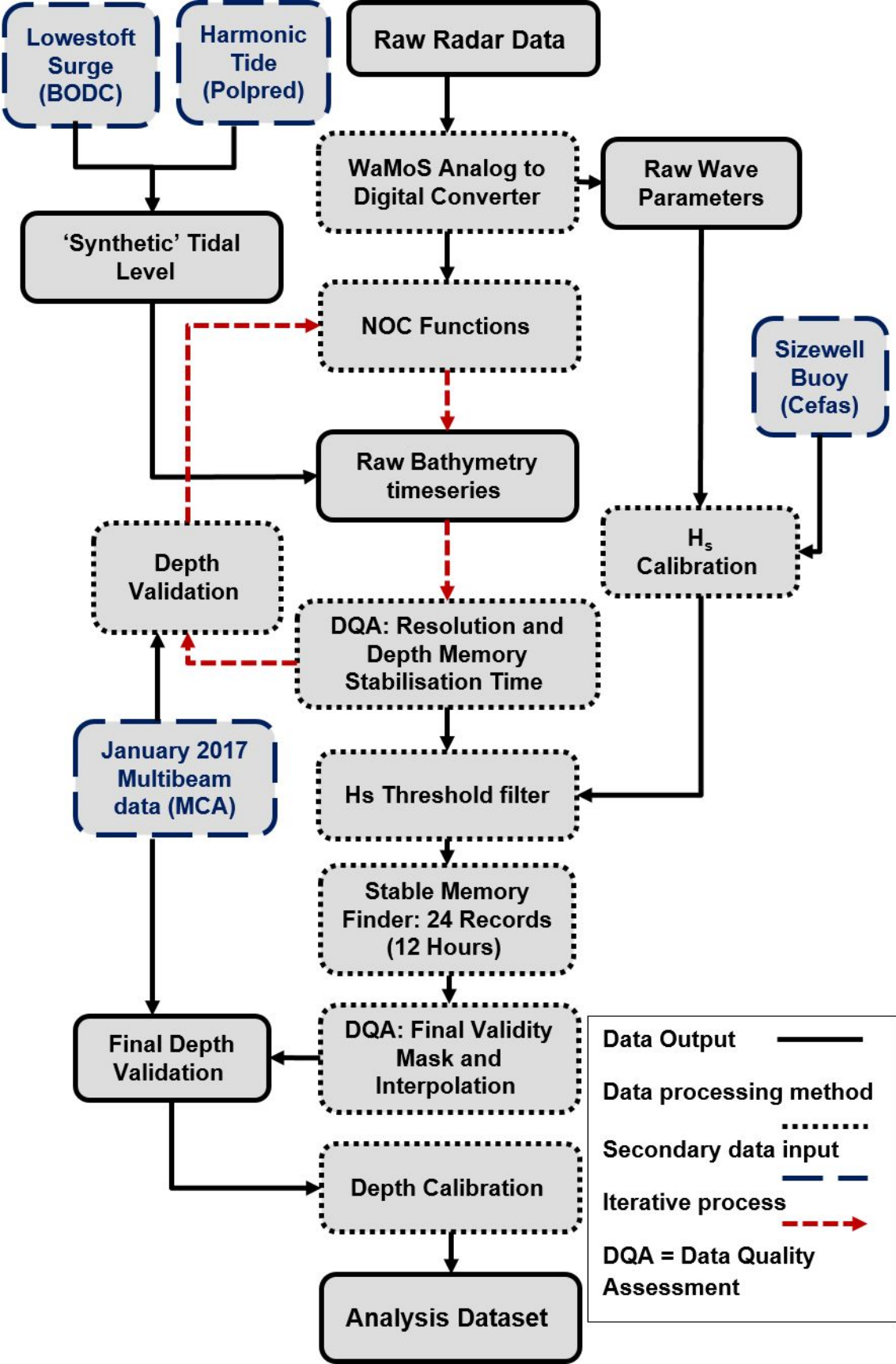


Figure 3.

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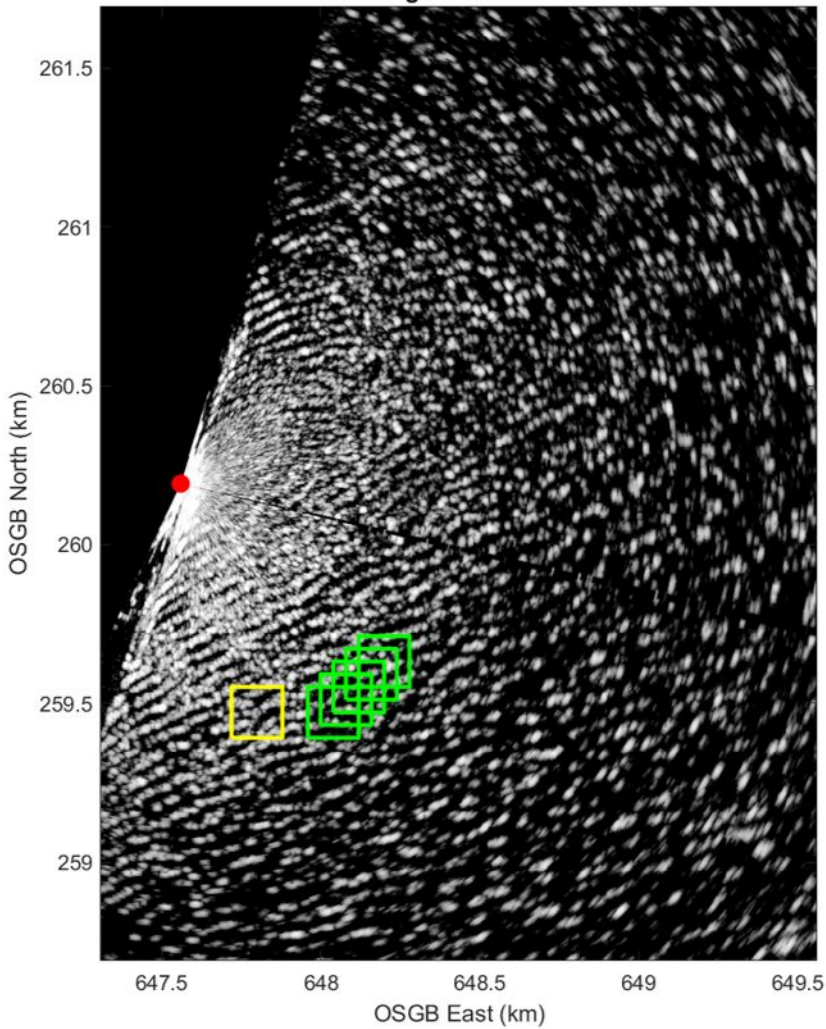


Figure 4.

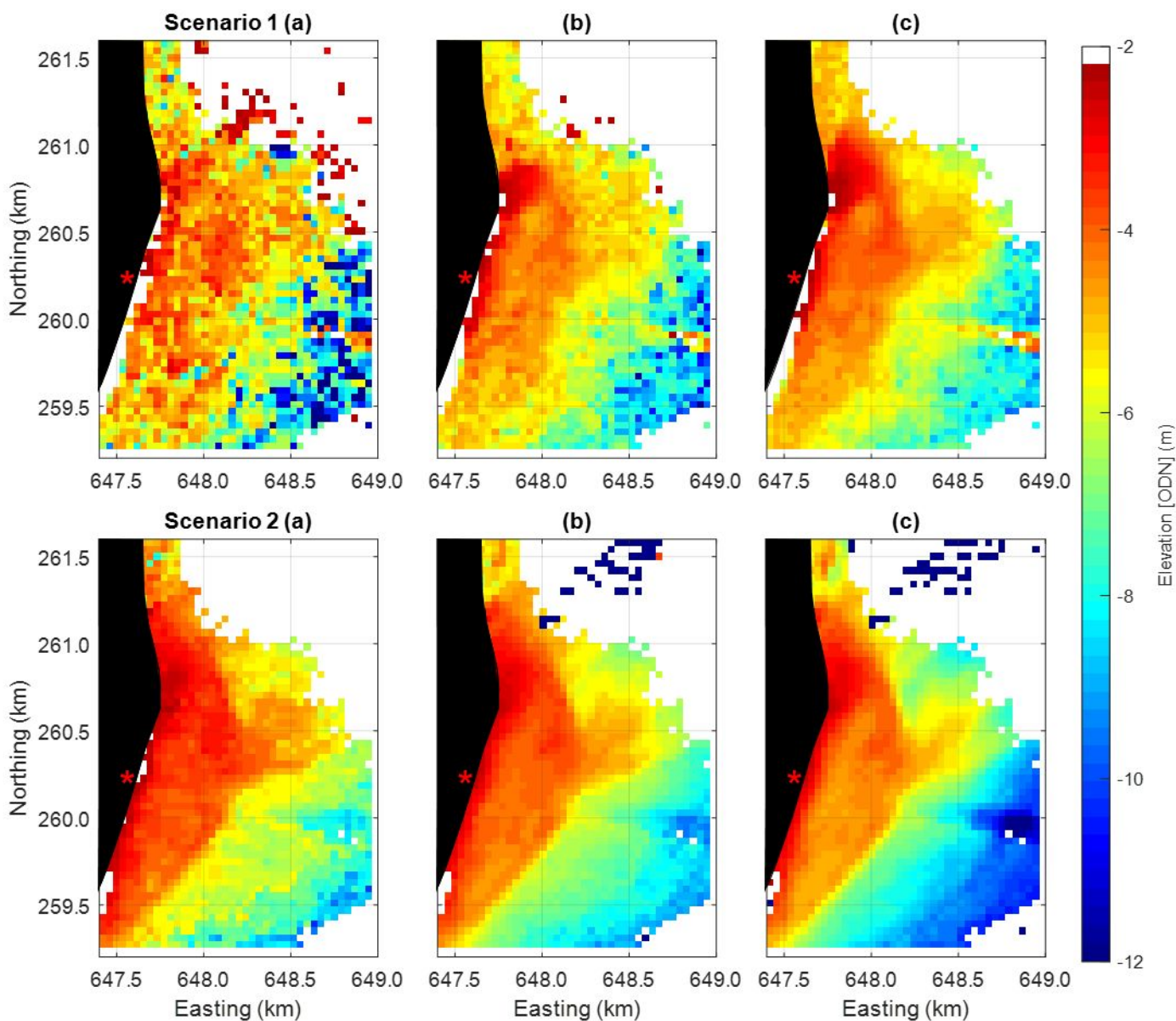
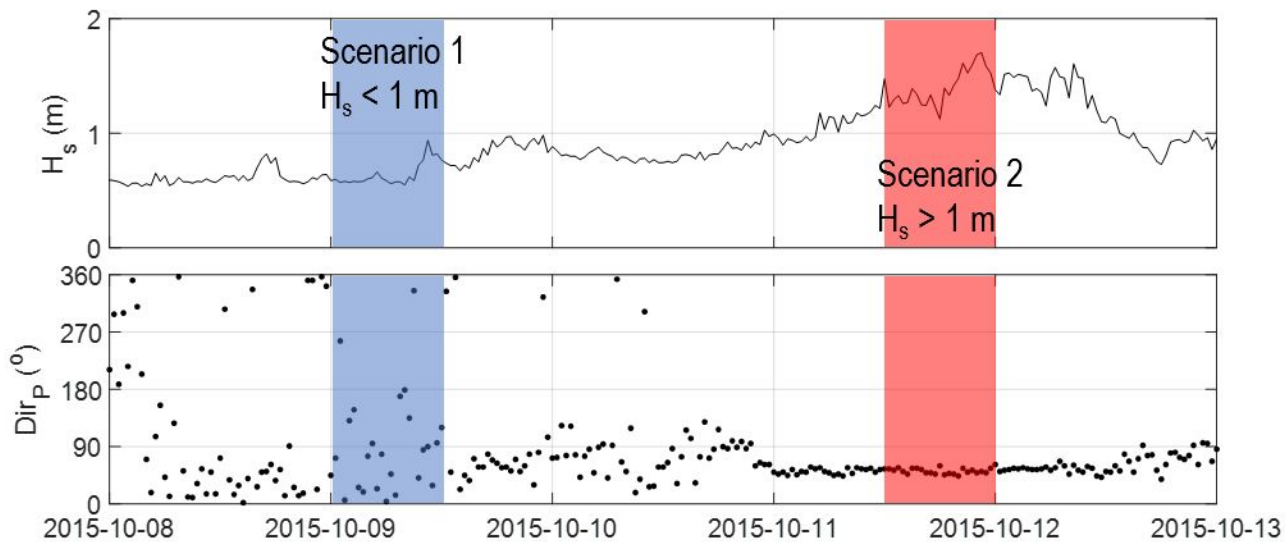
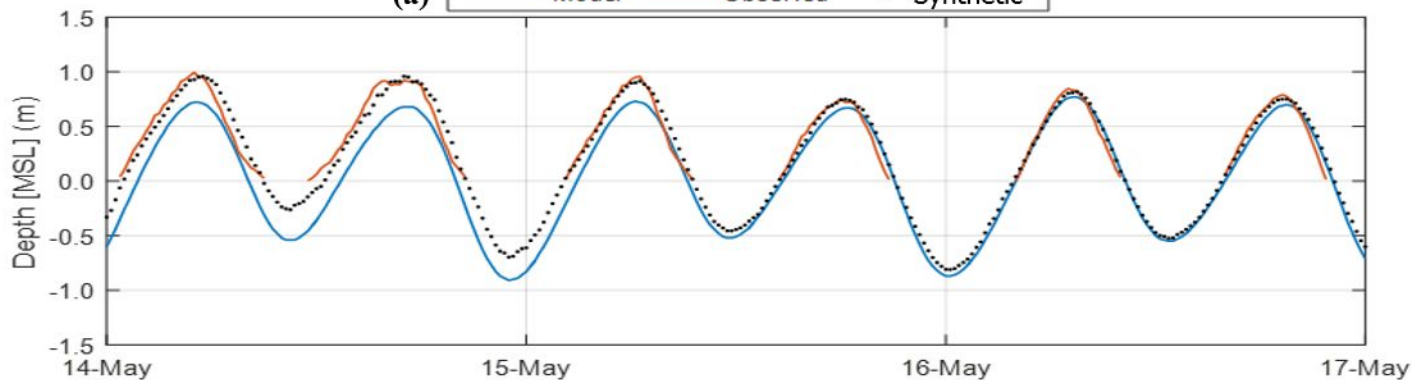
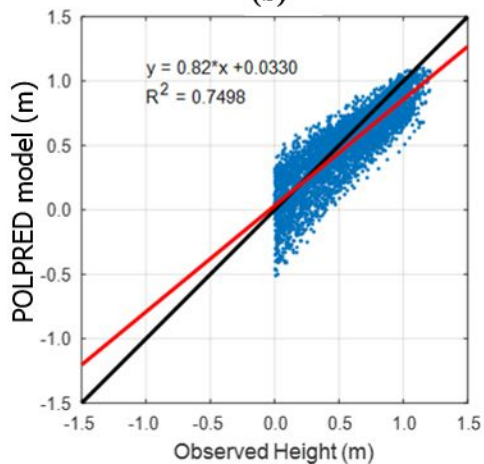


Figure 5.

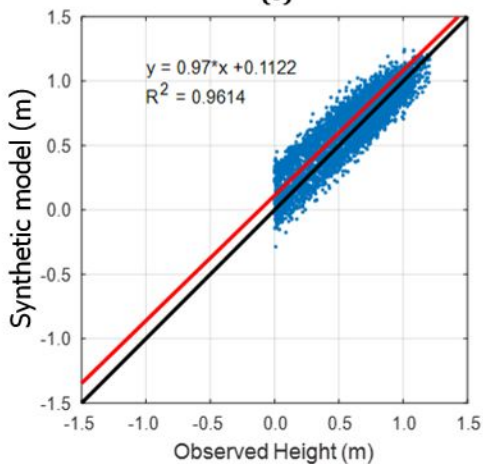
(a) — Model — Observed • Synthetic



(b)



(c)



(d)

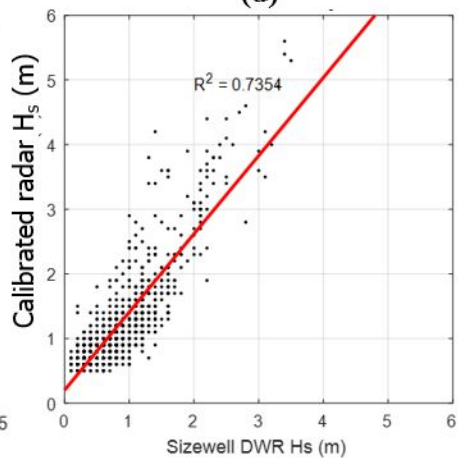


Figure 6.

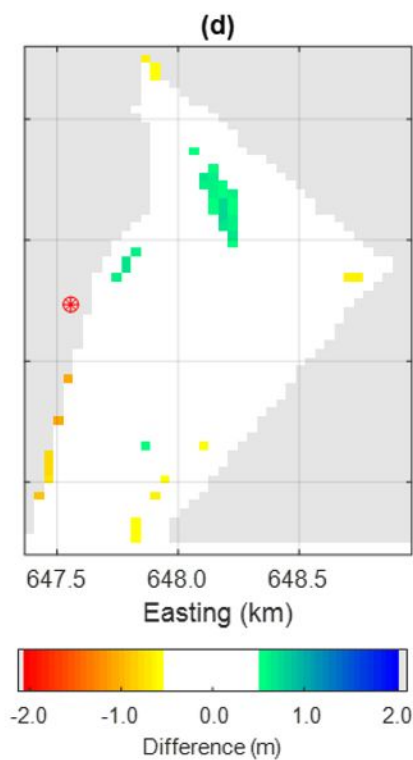
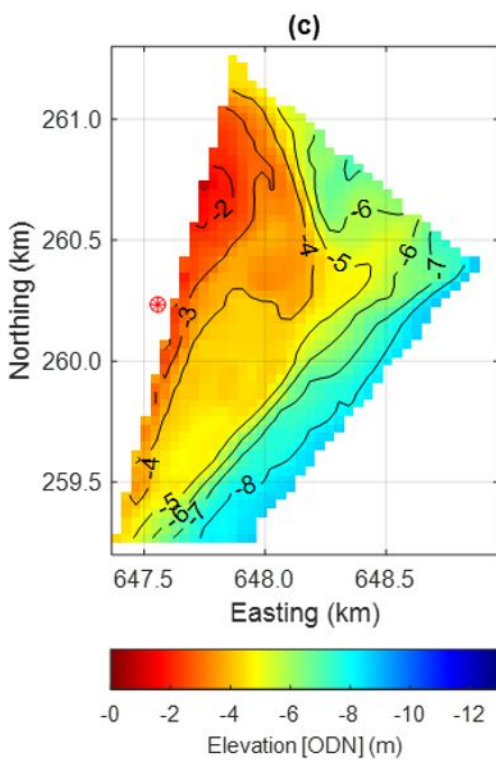
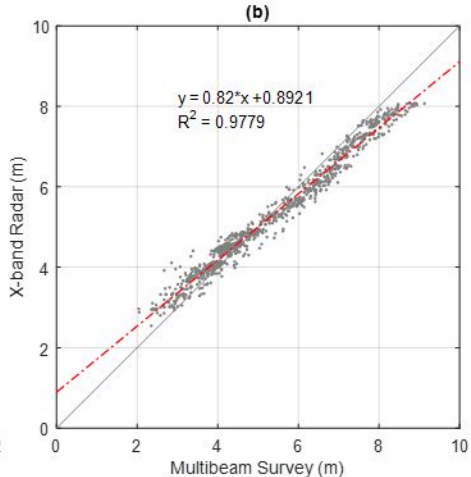
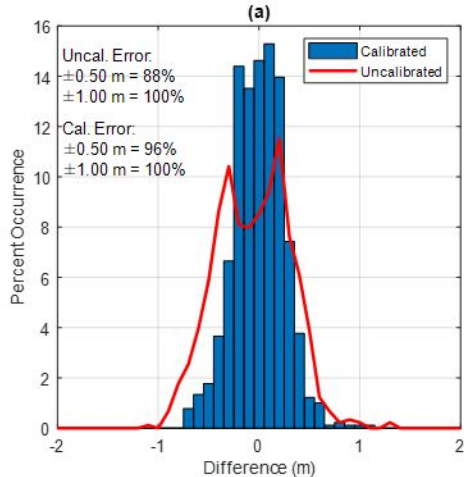


Figure 7.

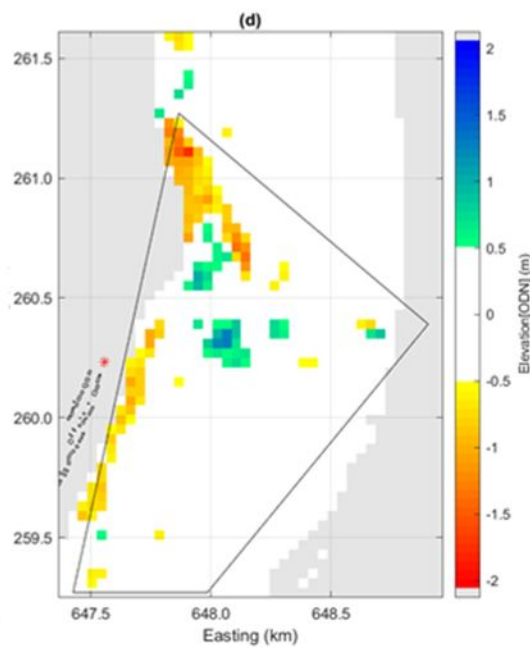
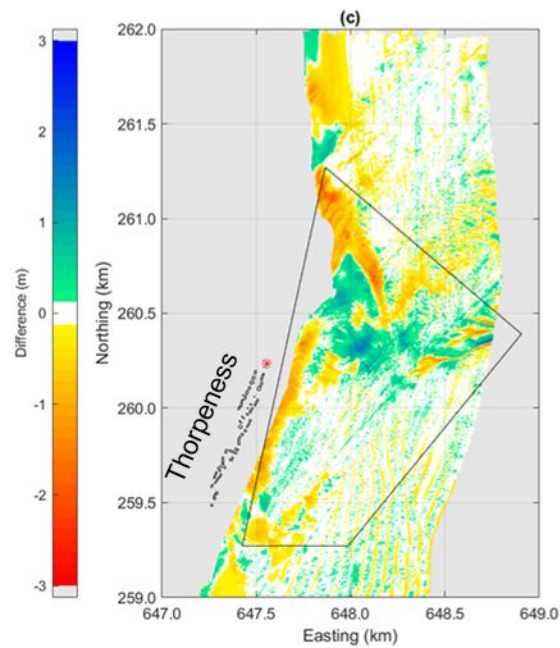
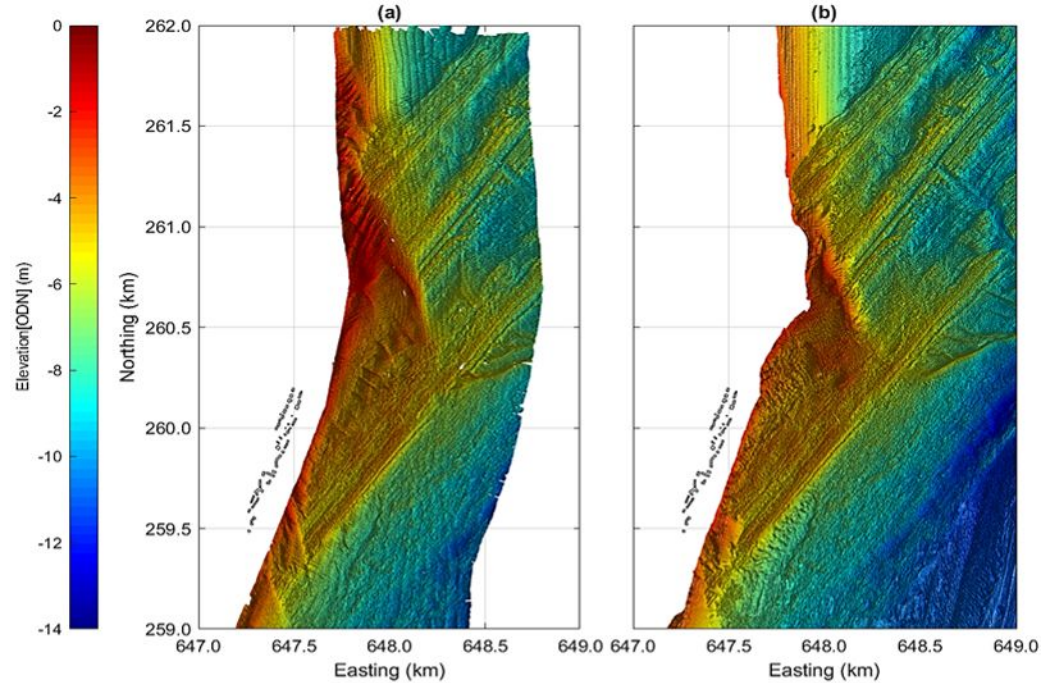


Figure 8.

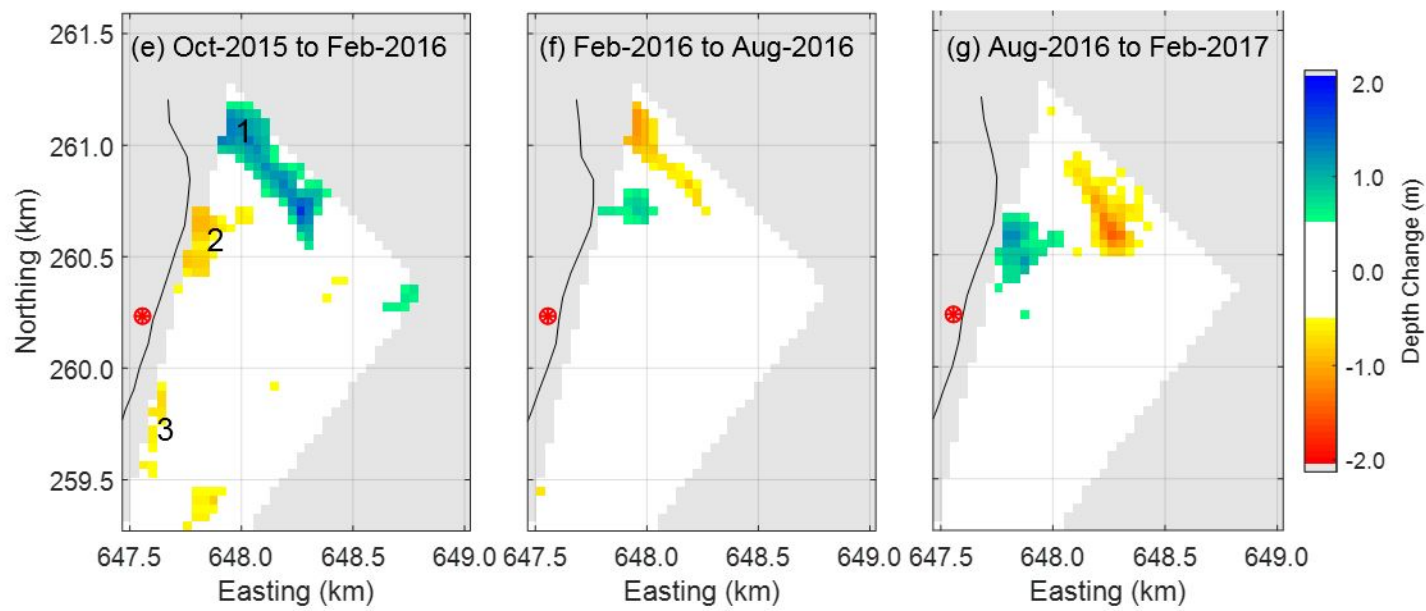
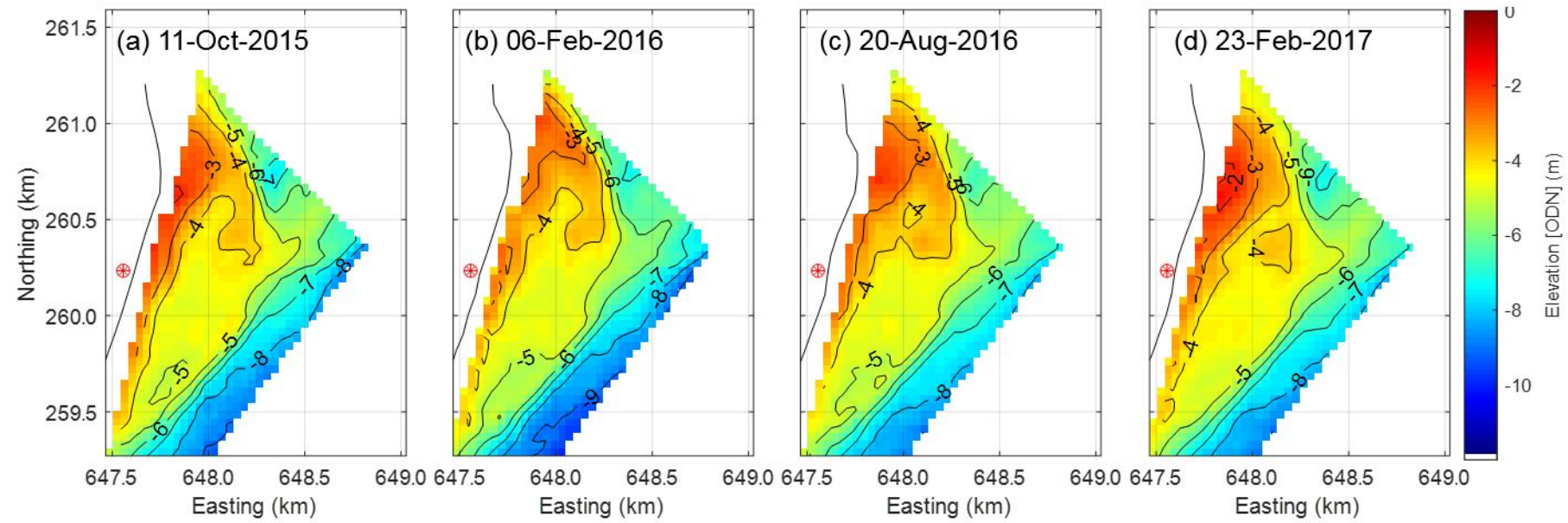


Figure 9.

