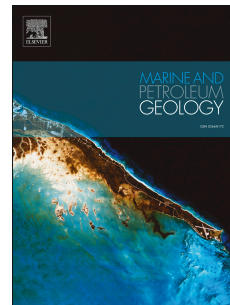


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Multiple episodes of sand injection leading to accumulation and leakage of hydrocarbons along the San Andreas/San Gregorio fault system, California

Giuseppe Palladino, Roberto Emanuele Rizzo, Gustavo Zvirtes, Antonio Grippa, Ruy Paulo Philipp, David Healy, G. Ian Alsop



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Best wishes

Giuseppe Palladino

**Multiple episodes of sand injection leading to accumulation and leakage of hydrocarbons along the San Andreas/San Gregorio fault system, California.**

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1

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2

3 **Abstract**

4 The presence of sand injections has been shown to enhance the likelihood of hydrocarbon traps  
5 within siliciclastic successions. Through the development of large interconnected networks of sills  
6 and dykes, sand injection complexes provide a volume of porous and permeable rocks within the  
7 low permeability host units. Overall, the formation of sand injection complexes requires extensive  
8 fracturing and hydrofracturing, which can be particularly pronounced when sand injections are  
9 coupled with brittle tectonic deformation. In some circumstances, this process may threaten the  
10 integrity of the reservoir top seal thereby preventing further hydrocarbon accumulation. Studying  
11 exceptional exposures along the coastal area of Santa Cruz in California, we report evidence for  
12 top seal failure associated with injection episodes. Two distinct sand injection episodes are  
13 proposed. The first event, datable to the Late Miocene, resulted in large volumes of sand being  
14 emplaced within the top-seal units, and was followed by accumulation of hydrocarbons within the

15 newly injected sandstones. Later, a series of brittle tectonic events, associated with the San  
16 Andreas/San Gregorio Fault System, caused remobilisation and accumulation of sand along newly  
17 formed fault planes. Our case study documents this combination of pervasive brittle deformation  
18 and sandstone injection along fault structures, which can ultimately disrupt the integrity of a host  
19 unit leading to seal failure and leakage of hydrocarbons.

20

21 Keywords: Sandstone intrusions; Santa Cruz Injection Complex; Santa Cruz petroleum system;  
22 Sandstone-filled faults; Hydrocarbon leakage; San Andreas/San Gregorio fault system; California.

23

## 24 **1. Introduction**

25        Emplacement of sandstone intrusions in the shallow crust is frequently associated with  
26 fracturing and faulting processes that are caused either by an excess of pore-fluid pressure, or by  
27 regional tectonic stresses. The injection of sandstone intrusions has been proposed as a potential  
28 mechanism capable of generating top seal failure in sedimentary successions where sands  
29 alternate with low-permeability mudstones (Molyneux et al., 2002; Hurst et al., 2003; Cartwright et  
30 al., 2007). Hydrofracturing and faulting processes linked to fluid overpressure commonly initiate at  
31 the interface between the reservoir rocks and top seal strata, when fluid pressure exceeds the  
32 fracture gradient and then propagates throughout the top seal sequence (Jolly and Lonergan,  
33 2002). Fractures and faults related to regional tectonic stresses also commonly involve, and cut  
34 through, the interface between the reservoir/top seal system (Palladino et al., 2018).

35        In general, fracture processes affecting poorly-consolidated top seal sediments result in a  
36 momentary failure of the system, which is immediately followed by fracture resealing similar to the  
37 mechanism described by Sibson (1990). At the end of this process, sandstone intrusions will  
38 provide additional porosity and permeability to the system, with associated positive repercussions  
39 for oil accumulation. However, fracture processes involving well-cemented sediments can lead to  
40 either the partial or complete breaching of the sealing sequence, with two contrasting outcomes for

41 oil retention: i) partial top seal breaching generally resulting in enhanced reservoir capacity, as fault  
42 and fracture apertures, together with highly-permeable sandstone intrusions, provide new capacity  
43 for hydrocarbon accumulation; ii) alternatively, complete breaching of the sealing sequence  
44 thereby preventing hydrocarbon accumulation due to bypass mechanisms or, in the case where  
45 hydrocarbons have already accumulated in the reservoir, will result in leakage phenomena  
46 (Cartwright et al., 2007).

47 Given the potential importance of the above relationships, the study of the interactions  
48 between faults, fractures and sandstone intrusions cannot be ignored when exploring for  
49 hydrocarbon reserves. In this work, we present direct field evidence for top seal failure associated  
50 with emplacement of sandstone intrusions forming the Santa Cruz Injection Complex (SCIC) in the  
51 coastal area of California (Thompson et al., 2007) (Fig. 1). The SCIC shares many of its main  
52 elements with other sand injection complexes in California (e.g. Vigorito and Hurst, 2010; Scott et  
53 al., 2013) and displays a complete suite of components which comprise a source rock, an intrusive  
54 network, and an extrudite complex (Scott et al., 2009). The host rock is represented by the Santa  
55 Cruz Mudstone. The SCIC is part of the Santa Cruz petroleum system, thereby representing an  
56 ideal analogue for hydrocarbon-bearing sand injections in the subsurface (Dixon et al., 1995;  
57 Duranti et al., 2002; Duranti and Hurst, 2004).

58 The first studies describing sand injections in the Santa Cruz area, date back to the  
59 beginning of the 20<sup>th</sup> century (Eldridge, 1901; Newsom, 1903) and focused on the potential of  
60 mining these tar-sand deposits. After tar production decreased, successive studies focussed on  
61 the mechanisms leading to the emplacement of sandstone intrusions, and on the relationships  
62 between sand injections and tectonic structures in the area (Phillips, 1990; Molyneux, 1999;  
63 Boehm and Moore, 2002; Jolly and Lonergan, 2002; Thompson et al., 2007; Scott et al., 2009;  
64 Sherry et al., 2012). Based on contradictory field evidence, which indicates the contemporaneous  
65 occurrence of sandstone intrusions emplaced along faults, and sandstone intrusions overprinted by  
66 tectonic structures, two main mechanisms invoking episodes of pore-fluid overpressure, and  
67 tectonic processes were formulated. It is important to note that in both cases, the resulting models  
68 considered that the SCIC was emplaced as a single event. More recently Palladino et al. (2018)

69 showed that two different injection phases, displaying clear cross-cutting relationships, affected the  
70 SCIC.

71 In this study we have undertaken a detailed geological survey along the coastal sector  
72 between Santa Cruz and Davenport (Fig. 1) which allowed us to relate the two previously  
73 recognized injection stages with the whole evolution of the Santa Cruz petroleum system. In  
74 particular, our results indicate that the first injection stage occurred when the Santa Cruz Mudstone  
75 was still poorly consolidated, whereas the second stage occurred after the cementation of the top  
76 seal sediments. These conclusions allow us to propose an evolutionary model incorporating the  
77 initiation, development and the successive failure of the Santa Cruz petroleum system.

78

## 79 **2. Geological setting**

### 80 *2.1 Geology of the Santa Cruz coastal area*

81 The coastal sector between the City of Santa Cruz and Davenport is part of the "Ben  
82 Lomond domain" (*sensu* Aydin and Page, 1984), which is a relatively undeformed area between  
83 the two major San Andreas and San Gregorio dextral strike-slip fault zones (Dickinson et al., 2005)  
84 (Fig. 1a-c). The outcrops consist of a Middle Miocene-Pliocene sedimentary succession  
85 unconformably overlying the granitic/metamorphic Salinian basement, which forms the southwest  
86 flank of the Ben Lomond Mountain (Clark, 1981; Page et al., 1998) (Fig. 1d). The base of the  
87 sedimentary succession consists of shallow-marine arkosic sandstone of the Middle Miocene  
88 Lompico Formation, which rests unconformably upon the crystalline basement. This formation  
89 reaches a maximum thickness of about 240m and is conformably overlain by bathyal biosiliceous  
90 mudrocks and sandstones of the Monterey Formation (Clark, 1981). The Monterey Formation  
91 reaches a thickness of 800m in the study area and is unconformably overlain by the Santa  
92 Margarita Sandstone (Fig. 1d). This formation consists of coarse-grained, large-scale cross-  
93 bedded arkosic sandstones and fine-grained bioturbated sandstones, deposited in a  
94 tidal/nearshore depositional environment. The unit has a maximum thickness of 130m and the  
95 coarse-grained facies contain accumulations of oil and tar. The Santa Margarita Sandstone is

96 considered to be the reservoir unit of the Santa Cruz petroleum system, whereas the Monterey  
97 Formation represents the source rock (see the next section). The Santa Cruz Mudstone  
98 stratigraphically overlies the Santa Margarita Sandstone (Fig. 1d), and consists of organic-rich,  
99 thickly bedded, biosiliceous mudstone and thin porcelanite layers containing dolomite and calcite  
100 concretions. Green mudstone horizons up to 10 cm thick locally alternate with the previously  
101 described mudstone lithologies. The Santa Cruz Mudstone was deposited in a shelf environment  
102 approximately 9.0–7.0 Ma ago (Barron, 1986) and reaches a maximum thickness of 2700m. It is  
103 considered to be the top seal for the Santa Cruz petroleum system. The Purisima Formation is the  
104 youngest unit recognised in the Santa Cruz area. It consists of Miocene to Pliocene, very thick-  
105 bedded tuffaceous and diatomaceous siltstones alternating with thick-bedded andesitic  
106 sandstones, deposited in a neritic environment which in places reaches 300m in thickness.

107 The Santa Cruz succession forms the southwestern flank of the of the Ben Lomond  
108 mountain fold (Stanley and McCaffrey, 1983) which is a southeast-plunging anticline formed  
109 between the San Andreas and San Gregorio fault zones (Fig. 1b). The succession is also affected  
110 by a series of minor NE-SW and N-S trending open anticlinal and synclinal folds (Phillips, 1990)  
111 (Fig. 1c). Other important tectonic structures in the area are the Ben Lomond and Zayante fault  
112 zones (Clark and Rietman, 1973, Clark, 1981, Brabb, 1989) (Fig. 1b).

113 Displacement across the Pacific-North America transform margin has been slightly  
114 compressive since 8 Ma (Atwater and Stock, 1998), resulting in a progressive tectonic uplift of the  
115 area marked by folding and faulting. In particular, a series of NW-SE trending anticlines and  
116 synclines formed between the Zayante/Ben Lomond fault system and the San Andreas Fault  
117 whereas, west of the Ben Lomond fault, a gently SW-dipping homocline forms the major structure  
118 in the study area. Uplift in the Santa Cruz area has been continuous between the late Neogene  
119 and Quaternary, with a calculated uplift rate of 0.16 m/1,000 yr (Bradley and Griggs, 1976). More  
120 recently Bürgmann et al. (1994) suggested an average uplift rate of the order of 0.8 mm/yr over the  
121 last 4.6 m.y. The SCIC is currently exposed along wave-cut platforms and cliffs in the Santa Cruz  
122 Mudstone, located on the southwestern side of Ben Lomond Mountain.

## 124 2.2. *The Santa Cruz petroleum system*

125 The Santa Cruz petroleum system (SCPS) is a fossil petroleum system that displays a  
126 complete sequence of source rock, reservoir, top seal, and overburden represented by the  
127 Monterey, Santa Margarita Sandstone, Santa Cruz Mudstone and Purisima formations,  
128 respectively (Phillips, 1990; Hosford Scheirer et al., 2013) (Fig. 1d). Petroleum generation occurred  
129 during a narrow span of time between 7Ma and 5Ma (Hosford Scheirer et al., 2013). Although the  
130 Monterey Formation is interpreted to be the source rock for the SCPS, geochemical studies  
131 suggest that the Santa Cruz Mudstone is another possible petroleum source (Lillis and Stanley,  
132 1999). SCPS mainly consists of tar-saturated sandstones, with oil and gas recognised in the Santa  
133 Cruz Mountain area, and also along the coast. Hydrocarbons represented by both oil and gas are  
134 also present offshore along the Santa Cruz continental margin (Mullins and Nagel, 1982; Heck et  
135 al., 1990). In the coastal area, the reservoir rocks mainly consist of heavy oil and tar-saturated  
136 sandstones irregularly distributed in an area of about 120 km<sup>2</sup> included between Davenport, Bonny  
137 Doon and Santa Cruz (Fig. 1). Tar-saturated sandstones and hydrocarbon seeps occurring in the  
138 Santa Cruz coastal area are well-known since the end of the 19th century (Eldridge, 1901;  
139 Newsom, 1903; Jenkins, 1930), and attained moderate economic significance following the 1906  
140 earthquake in San Francisco when sand from the Santa Margarita Sandstone was mined to  
141 provide asphalt for road rebuilding. In the same area, the occurrence of petroleum has also been  
142 ascertained and estimates of oil reserves varied from 10 million bbl to 20 million bbl which  
143 classifies the SCPS as a minor oil field (Page and Holmes, 1945; Phizackerley and Scott, 1978;  
144 Hallmark, 1980). Although the Santa Cruz petroleum system has only limited economic relevance,  
145 the significance of its study lies in the fact that it represents a valid analogue for larger subsurface  
146 oil fields.

147

## 148 **3. The Santa Cruz Injection Complex**

149 *3.1 Factors controlling emplacement, geometry and architectural organization of sandstone*  
150 *intrusions*



151 Sandstone intrusions generally originate by the forceful emplacement of fluidised sand into  
152 an actively propagating hydraulic fracture system within low permeability host rocks, although  
153 examples in high-permeability cohesionless systems have also been documented (Ross et al.,  
154 2014 and references therein). Pore-fluid pressure must exceed the fracture toughness of the host  
155 strata. Additionally, to mobilise sand from unconsolidated parent depositional units, the velocity of  
156 the pore-fluid must exceed the minimum fluidisation velocity (Lowe, 1975). For fine- to medium  
157 grained sand, the minimum fluidisation velocity is estimated to range between  $0.001 \text{ ms}^{-1}$  and  $0.01$   
158  $\text{ms}^{-1}$ , although during large-scale injection much higher velocity is inferred (Duranti and Hurst,  
159 2004; Hurst et al., 2011, Ross et al., 2014). Pore-fluid is generally driven upward following the  
160 pressure gradients that form between high-pressured zones in the shallow crust, typically at depths  
161 of less than 1.5 km burial and the Earth's surface (Vigorito and Hurst, 2010; Hurst et al., 2011).

162 Variations in pore-fluid pressure within the host strata and the underlying pressure cell  
163 typically form sandstone intrusion complexes that consist of four main architectural elements  
164 comprising the parent units, dikes, sills and extrudites (Vigorito and Hurst, 2010) (Fig. 2a). The  
165 distribution and geometry of sandstone intrusions in the crust are generally the result of the  
166 interaction between pore-fluid pressure and the lithostatic pressure (overburden). For example,  
167 sills mainly develop at a depth where the fluid pressure is equal or greater than the lithostatic  
168 pressure (lithostatic equilibrium surface, LES of Vigorito and Hurst, 2010) forming a sill zone in  
169 which the greatest volume of injected sand occurs (Fig. 2a). Dikes dominate immediately above  
170 the parent units (the lower dike zone) and between the sill zone and the sand extrudites (upper  
171 dike zone) (Fig. 2a). Unlike other sandstone intrusion complexes occurring in central California (i.e.  
172 the Panoche Giant Injection Complex of Vigorito and Hurst, 2010), the SCIC displays rare vertical  
173 and lateral relationships due to tectonic disturbance. However, the different architectural elements  
174 are still recognisable in different outcrops exposed along the Santa Cruz coastal area and some  
175 examples will be discussed in the next sections.

176 The distribution and geometry of sandstone intrusions also depends on whether dominant  
177 regional tectonic stress fields are developed. Based on natural examples, and laboratory  
178 experiments which modelled unconsolidated homogeneous material as the host unit, it has been

179 demonstrated that intrusions tend to fill pre-existing tectonic structures, and that they  
180 predominantly form low to high angle dikes in tectonically active areas (Galland et al., 2003; 2007;  
181 Ferre' et al., 2012; Palladino et al., 2016; 2018) (Fig. 2b). Flat-lying intrusive geometries are still  
182 possible in compressional settings, when the maximum principal stress ( $\sigma_1$ ) is horizontal and the  
183 minimum principal stress ( $\sigma_3$ ) is vertical (Galland et al., 2003).

184 The SCIC extends for 15 km between the city of Santa Cruz and Davenport and for several  
185 km inland (Fig. 1). It consists of three different architectural elements termed the parent units, the  
186 intrusive network, and extrudites that will be described in the following sections. We also include  
187 sandstone intrusions within the SCIC that are emplaced along faults and are not temporally  
188 correlated with the majority of sandstone intrusions recognised in the study area.

189

### 190 3.2. Parent units

191 Although the parent-intrusion relationships are typically not well exposed, the Santa  
192 Margarita Sandstone is generally interpreted to be a parent unit (Boehm and Moore, 2002;  
193 Thompson et al. 2007). Multiple parent sandstone units were invoked by Clark (1981) for the SCIC  
194 using mineralogical data from exposures at Panther Beach/Yellowbank Creek localities (Fig. 1).  
195 The Pliocene Purisma Formation was identified in the Panther Beach/Yellowbank Creek localities  
196 as a parent unit based on similar overall composition and the occurrence of andesine feldspar,  
197 which has a volcanic provenance and is unknown in the Santa Margarita Sandstone (Scott et al.,  
198 2009). For the Purisima Formation (Early Pliocene; Norris, 1986) to form a parent unit would  
199 require either its juxtaposition below the Miocene Santa Cruz Mudstone at the time of sand  
200 injection, or a downwards injection direction from the Pliocene onwards.

201

### 202 3.3. The intrusive sand network

203 The SCIC has a widespread and well-developed intrusive network, which mainly consists of  
204 dikes and occasional sills. Saucer-shaped intrusions are also locally present. Single intrusions

205 generally range from a few centimetres to a decimetre thick, while isolated dikes or sills can locally  
206 be several metres thick. Although the distance from one large intrusion to another can be  
207 significant (in the order of tens or hundreds of metres), the presence of minor intrusions provides  
208 good connectivity throughout the injection network. Connectivity between sandstone intrusions is  
209 demonstrated by the common occurrence of tar that has migrated along the fractures cropping out  
210 in study area.

211

### 212 3.3.1. *Dikes*

213 Dikes are very well exposed in the study area, with key localities at 4 Mile Beach and  
214 Bonny Doon (Fig. 1). Within the general Santa Cruz coastal area, dikes are sub-vertical, or at high  
215 angles to bedding, and occur as single intrusions or swarms that are water and tar saturated (Fig.  
216 3a-e). Single dikes vary in aperture from a few centimetres to more than 1m. Bifurcation (Fig. 3a),  
217 side-stepping and marked changes in orientation are common (Fig. 3b, c). Dikes are typically  
218 planar with sharp discordant margins with host strata, although undulating, irregular contacts also  
219 occur, usually in association with intensely fractured zones. Mudstone clasts of host strata  
220 commonly form “floating” textures in the sandstone dike matrix. These clasts are generally angular  
221 to slightly rounded and jigsaw textures occur (Duranti and Hurst, 2004) (Fig. 3d-e). The internal  
222 structure of the sandstone intrusions is characterised by mm- to cm-spaced banding. Dikes fall into  
223 three main trends that are oriented N-S, WSW-ENE and SW-NE (Fig. 3f).

224

### 225 3.3.2. *Sills*

226 Sills mainly crop-out at Panther Beach/Yellowbank Creek, while small-scale sills also occur  
227 at 4 Mile Beach (Fig. 1). Sills typically display low-angle ( $<5^\circ$ ) discordance with bedding (Fig. 4),  
228 and range in thickness from a few centimetres to several decimetres, with the exception of the  
229 Panther Beach/Yellowbank Creek locality where sills are up to ~20m in thickness (Thompson et  
230 al., 2007; Scott et al., 2009) (Fig. 1). Sill margins are irregular with lateral thickness variation and

231 abrupt lateral terminations. The lower contacts of sills are often erosive with scoured surfaces  
232 common, while upper boundaries are typically discordant with the host strata (Fig. 4a, b). These  
233 sharply discordant erosive contacts with overlying host strata conclusively demonstrate the  
234 intrusive origin of the sills. Meter to 10's of meters wide convex-up features, termed scallops (Hurst  
235 et al., 2005), are sometimes associated with dikes that emanate from them into the overburden.  
236 Mudstone breccias with jigsaw configuration of clasts, together with isolated mudstone rafts, occur  
237 along the upper and lower margins of sills. Internal sedimentary structures are dominated by mm-  
238 to dm-thick banding, which is oriented approximately parallel to the margins of the sandstone  
239 intrusions. Plane-parallel banding is also commonly observed (Fig. 4a, b). Within the thickest sills,  
240 sedimentary features including convolute lamination, fluid-escape structures and pipes suggest  
241 turbulent flow during emplacement (Scott et al., 2009).

242

### 243 3.3.3. Saucer-shaped intrusions

244 Saucer-shaped, tar-saturated sandstone intrusions are very well exposed at 4 Mile Beach  
245 (Fig. 1). In cross-section, they consist of inner bedding-parallel sandstone intrusions that are  
246 connected laterally with two outer sills by means of segments inclined at between 15° and 60° (Fig.  
247 4c, d). Similar features observed on seismic images are often referred to as wings (Huuse et al.,  
248 2007, Jackson et al., 2011). Inner sills, that are typical of large saucer-shaped intrusions, are not  
249 observed (Huuse et al., 2007; Hurst and Vigorito, 2017). A nested geometry of saucers (Fig. 4c, d)  
250 occurs where smaller intrusions overlie large saucers and are linked by dikes with cusped  
251 geometry (Fig. 4e, f). Saucer-shaped intrusions are 2 to 15 cm thick with undulating, stepped  
252 margins. Although sandstone intrusions probably comprise less than 10% of the rock volume, they  
253 provide excellent connectivity as demonstrated by the pervasive tar saturation.

254

### 255 3.3.4 Sandstone-filled faults

256 Sandstone intrusions emplaced directly along tectonic structures are a volumetrically small,  
257 and therefore frequently overlooked, characteristic of sandstone intrusion complexes (Palladino et  
258 al., 2016, 2018). In the SCIC, they are an integral part of the injection complex and predominantly  
259 consist of sandstone-filled normal faults (SFNF *sensu* Palladino et al., 2018) with sandstone  
260 intrusion along strike-slip and compressional fault planes being less common. We have recognized  
261 only a couple of examples of sand injection along strike-slip faults, and a few cases of  
262 compressional faults filled by sand. The number of normal faults is much greater as we will show in  
263 the following sections. Sandstone-filled normal faults (SFNF) are cm- to dm-wide, with small  
264 offsets, rarely developed shear zones and steeply-dipping attitudes.

265 Sandstone-filled normal faults are well exposed at Bonny Doon and Laguna Creek beaches  
266 (Fig. 1). At Bonny Doon Beach, a series of N-S and NNW-SSE oriented SFNF form a conjugate set  
267 that dissect the Santa Cruz Mudstone (Fig. 5a); a thin mudstone interval forms a useful marker bed  
268 (Fig. 5b). The master fault consists of a high angle SFNF with a maximum thickness of 30 cm and  
269 a throw of 15 cm. The entire exposure of the fault is propped open by a sandstone fill. A weak  
270 damage zone, consisting of closely-spaced fractures, is parallel to the fault margin. Unlike  
271 associated faults that are not intruded by sandstone and contain a fault gouge comprising  
272 cataclastic breccia and clay smear, the SFNF commonly lacks fault gouge. More details on  
273 deformation styles associated with faulted sand injection are in Palladino et al. (2018).

274 At Laguna Creek Beach, the outcrop has numerous normal fault planes that are  
275 characterised by intense cataclasis and clay smearing with occasional SFNF present. An almost  
276 vertical fault zone displays ~50 cm offset of a clay marker bed (Fig. 5c, d). The fault consists of two  
277 main fully-injected overlapping segments, which are connected by secondary *en echelon* linked  
278 fractures (Fig. 5e, f). Locally, these linked fractures have a sandstone fill. Most deformation is  
279 confined between the two fault segments whereas the external areas have very little evidence of  
280 brittle deformation. The role played by these structures in the evolution of the Santa Cruz  
281 petroleum system, and the relationships between sandstone-filled faults and the other elements of  
282 the SCIC are discussed in detail later.

### 284 3.4 *Sand extrudites*

285 Remarkable exposure of sand extrudites at Red, White and Blue Beach (Fig. 1) records  
286 phases of sand extrusion onto the paleo-seafloor (Hurst et al., 2006) (Fig. 6). They occur as tar-  
287 saturated, laterally-discontinuous, mounded sandstone units within the Santa Cruz Mudstone.  
288 Extrudites extend over hundreds of meters, are meters thick, and consist of sand bodies that  
289 display a well-developed bed-parallel lamination or cross-bedding (Fig. 6a, b). Locally, the original  
290 structure of sand volcanoes, which show multiple conduits and laminated flanks reaching  
291 inclinations approaching 30°, are still preserved. Planar basal surfaces are common, disturbed only  
292 by occasional sub-vertical 'escape' burrows.

293

## 294 4. Tectonic structures in the Santa Cruz area

295 In order to investigate the relationships that exist between tectonic structures and  
296 sandstone intrusions, a detailed structural survey has been carried out in five key outcrop  
297 locations: Shark Fin Cove, Bonny Doon Beach, Panther Beach, Laguna Beach and 4 Mile Beach  
298 (Fig. 1c). Tectonic structures mainly consist of large-scale open folds, meso-scale faults, and  
299 dilatant fractures and joints. According to our observations, and those of previous studies (Phillips,  
300 1990), the structures are interpreted as a brittle expression of the Cenozoic tectonic deformation  
301 related to the San Gregorio and San Andreas faults (Fig. 1a, b).

302

### 303 4.1. *Folds*

304 Open anticlinal and synclinal folds with decametre to kilometre wavelength are recognised  
305 throughout the study area (Fig. 7a, b). They are characterised by gently-dipping limbs and  
306 fractured fold hinges. In outcrop, the fold hinge zone is often removed by erosion. Fold axes  
307 commonly display NW-SE trends and SE plunges, which is in general agreement with observations  
308 made by Phillips (1990) however, minor folds trending NE-SW and N-S, also occur (Fig. 7c).

309

310 *4.2. Faults*

311           Sets of differently oriented faults are the most prominent tectonic feature recognised along  
312 the coastal sector (Fig. 8a). Normal faults are the most common fault type, while strike-slip and  
313 occasional reverse faults are less common.

314           In general, normal faults consist of conjugate sets with a master fault plane and a series of  
315 minor associated antithetic and synthetic faults and fractures. Usually, major structures have small  
316 offsets, ranging from a few centimetres to some metres, and form a graben-like geometry (Fig. 8b).  
317 The best exposures of normal faults are found at 4 Mile Beach, Laguna Creek Beach and Bonny  
318 Doon Beach (Fig. 8c). Normal fault kinematics are characterised by dip-slip oriented slickensides  
319 coupled with stratigraphic offsets. Fault zones are commonly marked by fault breccia, together with  
320 fine-grained cataclastic crushed material. Clay smear is locally observed along the fault planes  
321 where they cut clay-rich horizons (Fig. 8d).

322           In common with the normal faults, strike-slip (Fig. 8e, f) and reverse faults (Fig. 8g, h) are  
323 characterised by limited displacement and narrow fault zones and are best exposed around 4 Mile  
324 and Bonny Doon beaches. Fault breccia is rarely present, although thin (cm-scale) cataclastic  
325 zones and striated fault planes occur locally.

326           Measurement of fault orientation at all locations allows us to identify several fault sets that  
327 display a range of orientations and kinematics (see stereoplots in Fig. 8). In general, conjugate  
328 sets of NNE-SSW, N-S, NNE-SSW and NE-SW trending faults consist of extensional faults (Fig.  
329 8a-d), NW-SE and NNW-SSE trending faults comprise dextral strike slip faults (Fig. 8e-f), and E-W  
330 and WNW-ESE trending structures have either a contractional or less clear kinematic origin (Fig.  
331 8g-h).

332

333 *4.3. Fractures*

334 Fractures form a pervasive network throughout the study area. In general, fracture density  
335 increases from centimetres to a few millimetres when approaching fault planes. Conversely,  
336 fractures are regularly distributed and more widely spaced (centimetres to a few tens of  
337 centimetres) in the intra-fault areas (Rizzo et al., 2017). Outcrops at 4 Mile and Panther beaches  
338 (Fig. 1) represent typical case study fracture scenarios for the studied area.

339 The cliff-line of 4 Mile Beach is an excellent location for the study of fracture geometry, and  
340 the interactions between fractures and sandstone intrusions. In particular, most of the outcrop  
341 consists of steep, vegetation-free walls and a series of raised, intertidal terraces that together  
342 provide an exceptional 'pseudo-three dimensional' outcrop. Fractures are generally connected by  
343 abutments (Y- or T- points *sensu* Manzocchi et al., 1998 and Manzocchi, 2002) or have cross-  
344 cutting relationships (Fig. 9a). Fracture length varies from a few centimetres to some decimetres,  
345 with an average length in the order of 20 cm (Rizzo et al., 2017). Fracture apertures average on  
346 the order of 3 ( $\pm 2$ ) mm. Linkages between different fractures occur by dilatational jogs, horsetail  
347 and, without any physical intersection, by means of *en echelon* arrays (Kim et al., 2004; Peacock  
348 et al., 2016). In cross-section, the fractures usually show X and S shaped geometries (Fig. 9b).  
349 Fracture meshes (Sibson, 1996) are also common (Fig. 9c). Observations of plumose structures  
350 with well-developed hackle fringes support the hypothesis that Mode I tensile fracturing is the main  
351 mechanism by which fractures opened. Local evidence for shear fracture mechanisms is provided  
352 by calcite-filled tension gashes. As fractures cross different lithologies, diffraction phenomena may  
353 occur. Commonly, tensional fractures are filled by a hydrocarbon residue of tar (Rizzo et al., 2017)  
354 (Fig. 9d), together with less common calcite infill (Fig. 9e). Fracture distribution at 4 Mile Beach has  
355 two major conjugate fracture sets trending NNW-SSE and NW-SE (Fig. 9f).

356 At Panther Beach (Fig. 1), closely-spaced fractures are well-exposed along a series of cliff  
357 sections that are similar to those at 4 Mile Beach. Here, the thick sandstone sill (Thompson et al.,  
358 2007; Scott et al., 2009) (Fig. 4a) shows a different style of fractures, with X-shaped geometry and  
359 mm-thick deformation bands, that isolate rhomboidal segments of sandstone (Fig. 9g). Millimetre-  
360 scale offsets typify fracture intersections. Fractures either terminate along, or are deflected by, finer  
361 grained, clay-rich layers. The fracture distribution displays predominantly NNW-SSE-orientations,



362 with NW-SE-oriented fractures also abundant, while NE-SW and E-W-striking trends are less  
363 evident (Fig. 9h).

364

#### 365 4.4. Origin of tectonic structures in the Santa Cruz area

366 Studies of Pliocene-Quaternary tectonic structures in the San Francisco Bay area, which  
367 includes the Santa Cruz area, were performed by a number of authors (Wilcox et al., 1973; Aydin  
368 and Page, 1984; Page et al., 1998). Based on these studies, the orientations of the tectonic  
369 structures recognised in the study area are consistent with a "wrench tectonic" environment  
370 (Moody and Hill, 1956) developed under the control of the San Andreas (average azimuth N324°)  
371 and the San Gregorio (average azimuth N341°) dextral strike-slip fault zones (Aydin and Page,  
372 1984; Phillips, 1990) (Fig. 1b). However, comparison between outcrop fault orientations with those  
373 produced in laboratory experiments also identified some inconsistencies as discussed in Aydin and  
374 Page (1984). Primarily, these inconsistencies are attributable to the orientation of tectonic  
375 structures not being the result of movement along a single major fault, but rather the result of  
376 different interacting major faults. Secondly, fault orientation also depends on the mechanical  
377 behaviour of the varying lithologies undergoing deformation. Finally, fracture orientation is affected  
378 by crustal heterogeneity and rotation during progressive shear.

379 Orientations of tectonic structures measured in the Santa Cruz coastal area show a close  
380 similarity with a structural model consisting of dextral strike slip faults oriented similar to the San  
381 Gregorio and San Andreas faults. The main ranges of fault trends recognised in the Santa Cruz  
382 Coastal area are illustrated in Fig. 10. NW-SE and NNW-SSE trending faults are interpreted as  
383 conjugate sets of strike-slip faults developed parallel to the San Andreas and the San Gregorio  
384 fault zones, whereas NNE-SSW oriented strike slip faults correspond to the associated Riedel  
385 structures (Fig. 8e, f). NNW-SSE, N-S, NNE-SSW and NE-SW trending extensional faults are  
386 dilational step-overs between right-lateral faults (Fig. 8b, c).

387 Unlike extensional and strike slip faults, SW-NE and WSW-ENE trending contractional  
388 faults (Fig. 8g, h) are inconsistent with a wrench tectonic model. However, they could be an

389 expression of compressional deformation connected with the development of the Santa Cruz  
390 homocline between the Ben Lomond Mountains and the San Gregorio Fault (Stanley, 1990).  
391 According to Phillips (1990), southwest-plunging folds may be related to differential compaction  
392 mechanisms between thick sedimentary beds of the Santa Margarita Sandstone and the overlying  
393 Santa Cruz Mudstone.

394 Fracture orientations largely reflect the trends of the main faults. For example, at 4 Mile and  
395 Panther beaches (Fig. 9), most of the N-S, NNE-SSW and NE-SW oriented fractures are  
396 consistent with the dominant extensional fault trends throughout the area. Similarly, NNW-SSE and  
397 NW-SE fracture orientations are consistent with the dextral strike-slip faults. The NE-SW trends  
398 could however be associated with outer-arc axial fracturing related to the folding phase. As  
399 fractures that accompany the emplacement of the sandstone intrusions are distributed along well-  
400 defined trends, we exclude a possible hydraulic fracturing origin that would typically show less  
401 constrained orientations (Hurst et al., 2011).

402

## 403 **5. Relationships between sandstone intrusions and tectonic structures**

404 In the previous sections we described the main characteristics and spatial distribution of  
405 sandstone intrusions and tectonic structures occurring in the Santa Cruz coastal area. Field  
406 observations allowed us to recognise sandstone intrusions that are either related, or unrelated, to  
407 tectonic structures that are now discussed.

408

### 409 *5.1. Sandstone intrusions associated with tectonic structures*

410 Close relationships between sandstone intrusions and tectonic structures have already  
411 been ascertained by the recognition in the study area of sandstone-filled normal faults (Phillips,  
412 1990; Palladino et al., 2018) (Fig. 5). This evidence clearly indicates that fluidised sand was driven  
413 along tectonic discontinuities. The influence of tectonics on the distribution of sandstone intrusions  
414 is evident when comparing dike orientations with fault and fracture patterns (Fig. 10a, b). Although

415 sandstone dikes are spatially more dispersed than the orientation of the major faults, their  
416 orientation follows broadly similar trends of NNW-SSE, N-S, SW-NE and WSW-ENE, which are  
417 consistent with the average fault and fracture orientations. Notably, all dominant trends coincide  
418 with dilational structures. In particular, the majority of NNW-SSE, N-S and SW-NE oriented  
419 structures are compatible with extensional faults, whereas WSW-ENE oriented structures likely  
420 coincide with outer-arc extension fractures related to folding (Fig. 7). A small number of injections  
421 coincide with strike slip or contractional faults in which dilation is commonly inhibited.

422

### 423 *5.2. Sandstone intrusions not associated with tectonic structures*

424 The occurrence of sandstone-filled faults and fractures noted above markedly contrasts  
425 with sandstone intrusions which are unrelated to tectonics (Thompson et al., 1999; Boehm and  
426 Moore, 2002, Scott et al., 2009). These intrusions are interpreted to be emplaced in propagating  
427 hydraulic fracture network systems that formed during periods of severe, sometimes supra-  
428 lithostatic, pore-fluid pressure in the very shallow crust (Hurst et al., 2011). These sand injections  
429 are clearly overprinted by tectonics and do not show intrusion-parallel fractures that progressively  
430 increase toward dike margins, as expected for tectonically-related intrusive geological bodies  
431 (Delaney et al., 1986). Evidence for tectonic overprint of sandstone intrusions are particularly well-  
432 exposed at 4 Mile Beach, Shark Fin Cove and Laguna Beach.

433 At 4 Mile Beach, saucer-shaped sandstone intrusions are intensely overprinted by closely-  
434 spaced fractures which are genetically associated with larger extensional faults (Fig. 11a, b). We  
435 found no evidence of hydrofracturing and polygonal faults (Cartwright et al., 2003; Vigorito et al.,  
436 2008; Vigorito and Hurst, 2010) that are generally invoked as the mechanism which  
437 accommodates the emplacement of sandstone intrusions in sedimentary basins unaffected by  
438 tectonic deformation. Fractures systematically cut through the sandstone intrusions and continue  
439 into the host strata, indicating that the deposits were well-consolidated at the time when tectonic  
440 deformation occurred.

441 Of particular interest, is the 1.5 m thick sandstone dike cropping out in three adjacent  
442 locations at Shark Fin Cove (Fig. 11c). At two of the locations, the dike has planar margins, sharp  
443 contacts with the host strata, and no evidence of dike-parallel fracturing or mechanical brecciation,  
444 as typically associated with a fault plane (Fig. 11d). By contrast, at the third outcrop, the dike is  
445 significantly affected by post-emplacment deformation (Fig. 11e-f). In this case, deformation is  
446 concentrated along the dike margins, resulting in their reactivation. Occurrence of slickensides  
447 along the dike surfaces adds support to this interpretation. Here, stress concentration is  
448 accommodated differently by the mudstone and sandstone: in the brittle mudstone, deformation  
449 caused pervasive, intense fracturing that produced chaotic fine-grained cataclastic material; in the  
450 poorly-lithified sandstone, deformation produces conjugate, widely-spaced fracture sets (Fig. 11d).

451 A 1.5 m wide dike at Laguna Beach (Fig. 1) has evidence of post-emplacment vertical  
452 compression associated with regional extensional faulting (Fig. 11g). Consequently, we can  
453 interpret the observed conjugate fractures and shear surfaces that dip at 45° from the vertical (Fig.  
454 11h) as structures formed by a vertical maximum principle stress ( $\sigma_1$ ) that acted upon the poorly-  
455 consolidated sandstone. Prevailing arrays of NW-dipping shear planes caused the partial sinistral  
456 offset of the dike (Fig. 11h). In the mudstone host strata, brittle deformation mostly formed  
457 fractures, whereas in the sandstone deformation was accommodated mainly through conjugate  
458 deformation bands, with millimetre to centimetre offsets. When crossing the boundary separating  
459 the host strata from the dike, tectonic discontinuities are often refracted.

460

### 461 *5.3. Cross-cutting relationships*

462 Assuming a relatively synchronous faulting event in the Santa Cruz area, then the critical  
463 observations are: a) faults and associated fractures overprint and deform pre-existing sandstone  
464 intrusions, and, b) sand is injected along fault and fracture planes. These relationships make it  
465 unlikely that the SCIC was built during a single-stage emplacement event. Rather, the data shown  
466 in this work demonstrate that the present architecture of the SCIC results from distinct  
467 emplacement events. It follows that, two contrasting styles of sand injection are recorded in the

468 Santa Cruz area, the earliest associated with hydraulic fracturing in the very shallow crust (<250 m  
469 burial, Vigorito and Hurst, 2010), that was followed by a later injection event guided by tectonics,  
470 cross-cutting the earlier intrusion suites. The same brittle deformation phase caused the definitive  
471 failure of the hydrocarbon top seal.

472 The early sandstone intrusions form an assorted suite consisting of both high and low-angle  
473 dikes, sills and saucer-shaped sandstone intrusions (Fig. 4). This event is recorded by a series of  
474 extrudites documented by Hurst et al. (2006). The second generation of sandstone intrusions is  
475 emplaced along pre-to-syn-tectonic structures.

476 Clear cross-cutting relationships between the two recognised generations of sandstone  
477 intrusions are well-exposed at Panther Beach (Fig. 12). Here, in the south-eastern side of the  
478 beach, the first generation of sandstone intrusions is cut by sandstone-filled faults (Fig. 12a). The  
479 outcrop consists of a cliff made of diatomaceous mudstone hosting a 10cm thick, tar-saturated,  
480 low-angle dike belonging to the first generation of sandstone injections (Fig 12b). The dike has a  
481 reasonably constant lateral thickness, internal sedimentary structures, as well as bed-parallel mm-  
482 thick banding and no evidence of hydrofracturing associated with its emplacement. The dike is  
483 repeatedly cut by a series of conjugate sandstone-filled normal faults (Fig. 12c) showing offsets of  
484 a few centimetres.

485 One of the through-going faults named Fault 1 (Fig. 12b), visible on the right-hand side of  
486 the outcrop, offsets the low-angle dike by about 10 cm. A closer examination of the fault plane (Fig.  
487 12d) highlights a very complex geometry; it mainly consists of several fault planes connected by  
488 linking damage zones represented by extensional fractures and dilatational jogs. Locally, these  
489 structures are filled by the sand which is likely to have been produced by the partial fluidisation of  
490 the faulted sand bodies. Where the fault intercepts the marker bed, the latter appears stretched  
491 and thinned rather than sharply cut by the tectonic structure.

492 We can identify three different zones along the faulted dike. In the inner zone (Fig. 12 e, f),  
493 in the proximity of the fault plane, the sand is structureless and contains floating clasts derived  
494 from the host strata. This characteristic indicates that fluidization processes predominantly

495 occurred during faulting in this portion. In the intermediate zone (Fig. 12 e, f), the dike is largely  
496 affected by fracturing (in a conjugate geometry) which overprints the original banding. Such  
497 features suggest that in this zone, the sand composing the dike was able to retain the tectonic  
498 structures and was not fluidised at the time of the deformation. In the external zone (Fig. 12 e, f),  
499 the lack of deformation structures and the occurrence of well-preserved bed-parallel banding  
500 indicates that this zone was unaffected by deformation. This lateral distribution clearly suggests  
501 that the faulted sandstone dike behaves in a progressively more ductile manner approaching the  
502 fault plane. In contrast, the host rock shows a brittle behaviour as testified by the occurrence of  
503 pervasive fractures.

504 A second fault zone, named Fault 2 (Fig. 12g), clearly offsets the low-angle dike with a  
505 different deformation style compared to Fault 1. In this case there is no evidence of ductile  
506 deformation and sand remobilisation along the exposed section. However, syn-/post-faulting  
507 sandstone intrusions occurred along a series of *en echelon* dilation fractures associated with the  
508 main fault plane (Fig. 12h, i). In this case, the fluidised sand might have been generated in distant  
509 portions of the fault and be laterally transported along dilational jogs.

510 The last fault zone, named Fault 3 (Fig. 12k), consists of a steeply-dipping normal fault  
511 discontinuously filled by tar-saturated sandstone. The fault plane shows a stepped geometry and  
512 sandstone intrusions mainly occur in correspondence with releasing steps which form lozenge-  
513 shaped cavities (Fig. 12l). Sandstone intrusions are also present along vertical fractures and minor  
514 fault planes associated with the main structure. The sandstone-filled structure cuts the low-angle  
515 dike and the offset is about 10 cm.

516

## 517 **6. Discussion**

### 518 *6.1. Evolutionary model of the Santa Cruz Injection Complex*

519 Previous attempts to comprehend both the potential of the Santa Cruz petroleum system  
520 (SCPS) and the distribution of the local paleo-stress have led to studies focussing on the

521 organization of the SCIC, and the relationships between the sandstone intrusions and tectonic  
522 structures (Clark, 1981; Phillips, 1981; 1990; Thompson et al., 1999; Boehm and Moore, 2002). In  
523 most of these studies, the regional tectonic stresses were inferred to control the emplacement of  
524 sand injections, which, in turn, influenced hydrocarbon accumulation. Field observations clearly  
525 reveal that, in places, dikes are intruded along faults. Phillips (1981; 1990) originally noticed the  
526 correspondence between fault and dike orientations, and therefore suggested that the  
527 emplacement of sandstone intrusions was mainly related to tectonic processes. Later, Thompson  
528 et al. (1999) confirmed the occurrence of sand injections along faults and fractures, however these  
529 authors also observed episodes of faulting that post-date sandstone intrusion at Yellowbank Creek.  
530 Boehm and Moore (2002) recognised a predominant NE-SW trend for the Santa Cruz sandstone  
531 intrusions, thus supporting the hypothesis of a strong tectonic control for sand injections. Similar to  
532 Thompson et al. (1999), they also provided evidence of faulting and fracturing that post-dates the  
533 sand injections. However, Boehm and Moore (2002) described a mechanical inconsistency  
534 represented by the emplacement of north-east-striking dikes, which would require a NW-SE  
535 minimum principal stress orientation ( $\sigma_3$ ), and the simultaneous intrusion of sills, which suggests a  
536 sub-vertical minimum principal stress. The authors solved this apparent inconsistency by proposing  
537 a model where dikes intruded perpendicularly to the NW-SE oriented  $\sigma_3$ , and simultaneously weak  
538 sediment cohesion of the host rock allowed sills to be emplaced parallel to bedding (i.e. pre-  
539 existing bedding-parallel weakness). Notably, these previous works considered the emplacement  
540 of the SCIC to be the result of a single injection event.

541           Based on the data presented in the previous sections, and in particular on the detailed  
542 cross-cutting relationships, it is possible to explain the inconsistencies raised by Boehm and Moore  
543 (2002) in terms of a model involving two separate phases of sand injection from the Upper  
544 Miocene onwards (Fig. 13). Although these two events involved the same stratigraphic unit, i.e. the  
545 Santa Cruz Mudstone, the mechanical response of the sedimentary sequence and the regional  
546 tectonic controls varied between the two injection events, thereby resulting in sandstone intrusions  
547 displaying different characteristics.

548 The first injection event (Fig. 13a) caused the partial fluidisation of the Santa Margarita  
549 Sandstone and the emplacement of a significant volume of remobilised sand into the overlying top  
550 seal unit represented by the Santa Cruz Mudstone. This injection event mainly led to the  
551 emplacement of sills, saucer-shaped intrusions and some low-angle dikes as seen at 4 Mile Beach  
552 and Panther Beach (Fig. 4). Isolated dikes locally connected flat-lying sandstone intrusions  
553 positioned at different stratigraphic levels in the Miocene succession.

554 The energy released during this event was large enough to create fluid-pressure gradients  
555 between buried sand bodies and the basin floor. This resulted in the development of a complete  
556 sand injection complex, spanning remobilised parent units, intrusive elements and extrudites (Hurst  
557 et al., 2006; Vigorito and Hurst, 2010). Sills and saucer-shaped intrusions were emplaced at the  
558 depth where the vertical pore-fluid pressure gradient is equal to, or exceeds the overburden  
559 pressure, resulting in the minimum principal stress ( $\sigma_3$ ) being vertical.

560 Evidence for this first top seal failure event, and initiation of the sand injection complex, are  
561 provided by the occurrence during the Miocene of sheet or mounded sandstones at Red and White  
562 Blue Beach (Fig. 1), which are interpreted as extrudites (Boehm and Moore, 2002; Hurst et al.,  
563 2006). In the field, no evidence for hydraulic fracturing attributable to this first event has been  
564 recognised. The lack of fractures is possibly related to the host strata still being poorly consolidated  
565 at the time of sand injection. If any fracture network did develop at this time, it behaved as a valve  
566 for the temporary passage of water and fluidised sands, thereby allowing overpressure to be  
567 dissipated. However, due to the unconsolidated state of the host unit, fractures were likely resealed  
568 soon after the sand emplacement. Later, diagenetic processes, leading to the litification of the host  
569 mudrock and entrapment of the injected sandstones, allowed the accumulation of hydrocarbons in  
570 the SCPS (Fig. 13b). In addition, once Opal A/Opal CT transformation involved the Santa Cruz  
571 Mudstone (El-Sabbagh and Garrison, 1990), most of the remaining fractures disappeared  
572 obliterating any evidence of hydraulic fracturing processes.

573 Several factors, in addition to rapid burial and regional tectonics, may have contributed to  
574 the build-up of the necessary fluid pressure. According to laboratory experiments (Galland et al.,  
575 2003) (Fig. 2), the occurrence of flat-lying sandstone intrusions, as well as sill and saucer-shaped



576 intrusions indicates the absence of oriented tectonic stresses, or, more probably, the development  
577 of a horizontal maximum principal stress which led to the folding of the Miocene succession.  
578 According to Phillips (1990), differential compaction and compressional tectonics created folding  
579 suitable for hydrocarbon migration and accumulation. This event could have also triggered  
580 sandstone injections as many intrusions are concentrated along the crest of the anticlines  
581 (Thompson et al. 1999; Boehm and Moore, 2002). In this context, sand injections cropping out at  
582 Major Creek (Fig. 1), one of the most extensive injected sand bodies and previously exploited for  
583 tar mining, corresponds with the crest of a major SW-plunging anticline.

584         Following this event, the system resealed, and the depositional and injected sandstones  
585 collectively created a permeable network allowing further hydrocarbon accumulation.

586         The second injection event (Fig. 13c) caused sand fluidisation and remobilization of both  
587 the Santa Margarita Sandstone and the previously injected sandstone intrusions. At this time, it is  
588 likely that the Purisima Formation sandstones were also affected by remobilization processes  
589 providing sandstone intrusions in the Panther Beach/Yellowbank Creek area. The new sand  
590 injections mainly consist of a series of high angle dikes, emplaced along extensional faults and  
591 fractures, consistently following the trend of the San Andreas/San Gregorio fault system (Fig. 8),  
592 cutting through the Santa Cruz Mudstone that were by this time fully lithified. The sudden failure  
593 and re-opening of the system caused a rapid fluid transfer from the underlying overpressured units  
594 into the newly-formed structures, according to the mechanism proposed by Palladino et al. (2018).  
595 Sandstone-filled faults and brittle deformation accompanying this injection phase clearly post-date  
596 sandstone intrusions created during the first event (Fig. 12). We then suggest that this fault system  
597 reached the basin floor or the topographic surface, breaking the top seal and triggering the  
598 consequent leak of the hydrocarbons. This chain of events is documented by the widespread  
599 occurrence of tar-saturated sandstones and fractures currently observed at Santa Cruz. Extrudites  
600 may also have been produced during this event but, unfortunately, recent erosional surfaces  
601 (Weber and Allwardt, 2001) cut the studied outcrops, and do not allow a precise age constraint for  
602 this faulting/injection event.

## 604 6.2. Implication for seal bypass system

605 The second event described in the proposed model records the failure of the SCPS top  
606 seal due to the occurrence of seal bypass systems. Seal bypass systems described by Cartwright  
607 et al. (2007) are classified into three main groups of geological structures: i) fault related, ii)  
608 intrusion related, and iii) pipe related. All these elements cause the breach of the sealing  
609 sequences and allow fluids to leak across the seal. As frequently happens, categorizing geological  
610 structures often represents an oversimplification of the phenomena observed in nature, and the  
611 Santa Cruz coastal area is no exception regarding seal bypass systems. In fact, our case study of  
612 the SCPS reveals that seal bypass systems can be created by hybrid geological structures formed  
613 between two end members. In this specific case, we consider the sandstone-filled normal faults to  
614 be the result of combining fault related and intrusion related seal bypass systems. We believe that  
615 this is applicable not only for sandstone-filled normal faults, but for all sandstone-filled faults and,  
616 as increasing numbers of these structures are recognized, they must be considered when  
617 exploring intrusive reservoirs.

618

## 619 7. Conclusions

620 We have performed a detailed study of sandstone intrusions that are well-exposed along  
621 the coast between Santa Cruz and Davenport in Central California, and this has enabled us to  
622 unravel the evolutionary history of the Santa Cruz petroleum system (Phillips, 1990; Hosford  
623 Scheirer et al., 2013). This study builds on earlier work on sandstone-filled normal faults (e.g.  
624 Palladino et al., 2018) and focuses on the paradox that sandstone intrusions generated in the  
625 study area may be either related, or indeed unrelated, to regional tectonic structures.

626 To better understand the relationships developed between tectonic structures and  
627 sandstone intrusions, the case study involved a detailed structural analysis of fractures, faults and  
628 fold axes, combined with an investigation of the orientation of the outcropping sandstone  
629 intrusions. This analysis allows us to recognise that the Santa Cruz Injection Complex (SCIC) is

630 the result of two distinct emplacement events that initiated in the Late Miocene, and is summarized  
631 in the following two-stage evolutionary model for the Santa Cruz petroleum system (Fig. 13).

632 During the first phase, the failure of the top seal, represented by the Santa Cruz Mudstone,  
633 led to the emplacement of a series of sills, saucer-shaped intrusions and dikes under the control of  
634 compaction and compressional tectonic processes. The emplacement of additional sand enhanced  
635 the permeability of the Santa Cruz Mudstone and allowed hydrocarbon accumulation. The lack of  
636 brittle deformation features associated with this first event testifies that sand injection occurred in a  
637 poorly consolidated host rock (i.e. the Santa Cruz Mudstone) and that the fractures resealed after  
638 sand emplacement. The healing of the fracture system enabled the accumulation and entrapment  
639 of hydrocarbons and building of the Santa Cruz petroleum system. Stratigraphic relationships  
640 around sandstone extrudites allow us to attribute this event to the Late Miocene.

641 The second phase of sand injection was closely associated with brittle tectonic events and is  
642 supported by the presence of sand bodies emplaced along high-angle extensional faults. Brittle  
643 deformation accompanying this event indicates that sand injection occurred in well-consolidated  
644 host strata. This event, whose age is still uncertain but ranges between the Late Miocene to  
645 Quaternary, caused a breaching of the top seal and the leaking of hydrocarbons previously  
646 accumulated in the Santa Cruz petroleum system. Most of the deformation is accommodated via  
647 normal faulting and widespread fracturing. Analysis of the trends of these structures shows that  
648 they are consistent with wrench tectonics and are compatible with the regional deformation that  
649 was predominantly controlled by the San Gregorio and the San Andreas fault zones.

650

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### Figure captions

Fig. 1 a) Sketch map of California including the location of the study area (red box) and the main tectonic structures represented by the San Andreas Fault Zone (SAFZ) and the San Gregorio Fault Zone (SGFZ). b) Schematic structural map. The study area is included between the Ben Lomond and the San Gregorio fault zones. c) Geological map of the study area (modified from Boehm and Moore, 2002). Outcrop locations and places referred to in the text are also shown. d) Stratigraphic column of the geological units cropping out in the Santa Cruz coastal area (modified from Boehm and Moore, 2002).

Fig. 2 a) Schematic organization of a sandstone intrusion complex following Vigorito and Hurst (2010). Dikes mainly occur in the lower and upper portion of the sequence. Sills are common in the middle portion. b) Possible geometries obtained for tectonically-unrelated and tectonically-controlled intrusions based on laboratory experiments (modified from Galland et al., 2007).

Fig. 3. Main characteristics of the dikes forming the SCIC. a) Bifurcating dike exposed at low tide in 4 Mile Beach. b) Photograph and interpretative line drawing (c) of dike swarm observable at Bonny Doon Beach. Note the local side stepping geometry and the occurrence of decimetre to metre scale mud-clasts. d) Close up view of mud-clasts contained in the previous dike. e) Cm-scale mud-clasts contained in a tar saturated dike at Bonny Doon Beach. Note the clast orientation probably acquired during emplacement of the sandstone intrusion. f) Rose diagram showing dike orientations in the Santa Cruz coastal area. Preferential N-S, WSW-ENE and SW-NE trends are evident.

Fig. 4. Main characteristics of sills and saucer-shaped intrusions forming the SCIC. a) Photograph and interpretative line drawing (b) of a sill cropping out at Panther Beach characterized by a marked upper erosional boundary. Internal structures are clearly visible and are mostly represented by plane-parallel banding. Note also arrays of near-vertical fractures cross-cutting the sandstone body. c) Photograph and interpretative line drawing (d) of nested tar-saturated saucer-shaped intrusions recognised at different stratigraphic levels in the Santa Cruz mudstone at 4 Mile Beach. e) Photograph and interpretative line drawing (f) of cross-section of a conical sandstone intrusion cropping out at 4 Mile Beach. Note that this sandstone body is connected with the underlying sandstone intrusion through a dike forming a cuspidate-shaped geometry.

Fig. 5. Main characteristics of sandstone-filled faults forming the SCIC. a) Photograph and interpretative line drawing (b) of a conjugate set of N-S and NNW-SSE faults recognised at Bonny Doon. The amount of offset, up to 15 cm, is provided by a dark marker clay level. c) Photograph and interpretative line drawing (d) of sandstone-filled normal fault recognised at Laguna Creek Beach. e) Photograph and interpretative line drawing (f) of detail from the previous outcrop. Note the complex structure of the fault plane and the sandstone intruded within even thin fault segments.

Fig. 6. Main characteristics of extrudites forming the SCIC at Red, White and Blue Beach. a) Photograph and interpretative line drawing (b) of bed-parallel, mound-shaped sand volcano displaying cross-bedding and isolated mud-clasts ripped up from the host strata. Note the underlying vent feeding the extrudite. The dark colour of the sandstone is attributable to the occurrence of tar.

Fig. 7. a) Photograph and interpretative line drawing (b) of NW-SE trending open fold deforming the Santa Cruz Mudstone at 4 Mile Beach. Note that the recent fluvial incision closely corresponds with the fold hinge zone where outer arc extension fracturing took place. c) Lower hemisphere equal area stereographic projection showing the orientation of the fault limbs and the resulting axial planes (great circles).

Fig. 8. a) Preferential orientations of faults recognised along the Santa Cruz coastal area. Note that faults shown on stereoplots are dominantly NNW-SSE and N-S trending. b) Conjugate normal faults forming a NNW-SSE trending graben in 4 Mile Beach. The measured amount of the offset, about 20 cm, is based on the displacement of dark marker beds alternating to the Santa Cruz Mudstone. c) Lower hemisphere equal area stereographic projections showing the orientation of the normal fault planes (great circles) in some key outcrops recognised along the investigated area. d) Normal fault showing smeared clay along the fault surface at Bonny Doon Beach. e) Striated strike slip fault exploiting a pre-existent discontinuity represented by the boundary between a sandstone intrusion and the Santa Cruz Mudstone at 4 Mile Beach. f) Lower hemisphere equal area stereographic projections showing the orientation of strike-slip faults (great circles) in some key outcrops recognised along the investigated area. g) Reverse faults recognised at 4 Mile Beach. Note the occurrence of tar-saturated injected sandstone within dilational jogs occurring along the fault plane. h) Line drawing interpretation.



Fig. 9. a) Details of the fracture network affecting the Santa Cruz Mudstone observable in plan view at 4 Mile Beach. b) S-shaped fractures and c) fracture meshes recognised at 4 Mile Beach. Note the occurrence of calcite within the fractures. d) Tar-saturated fracture recognised at 4 Mile Beach. e) Calcite-filled, *en-echelon* fractures at 4 Mile Beach. f) Lower hemisphere equal area stereographic projections showing the orientation of fractures (great circles) at 4 Mile Beach. g) Sets of conjugate fractures cutting through sandstone intrusions at Panther Beach. Note the resulting rhombohedral structure. h) Lower hemisphere equal area stereographic projections showing the orientation of fractures (great circles) at Panther Beach.

Fig. 10. a) Diagram showing the main range of trends of the tectonic structures recognised in the Santa Cruz Coastal area. The trends of the San Andres and San Gregorio fault zones are also included (red dotted lines). b) Diagram showing the main relationships between dike orientations (rose diagram) and fault and fracture patterns. Note that most dikes have been emplaced along extensional structures.

Fig. 11. Evidence for tectonic overprint of sandstone intrusions along the Santa Cruz coastal area. a) Photograph and interpretative line drawing (b) of 10 cm-thick sills overprinted by fractures recognised at 4 Mile Beach. Observable mechanical discontinuities mainly consist of fractures nearly orthogonal to the sill-host mudstone interface and bedding surfaces. Sill-parallel fractures are notably lacking. c) Sketch map of Shark Fin Cove with the sandstone intrusion shown in red. d) Portion of the considered sandstone intrusion scarcely affected by later tectonic deformation. Conjugate fractures are visible in the host strata but, importantly, do not affect the dike-host-strata interface. e) Same dike in a sector characterized by strong post-emplacment deformation probably related to a normal fault zone. The deformed dike shows steps and thickened sections due to vertical compression. f) Most of the deformation is focussed along the dike-host-strata interface where a thick unit of cataclastic material forms. g) Photograph and interpretative line

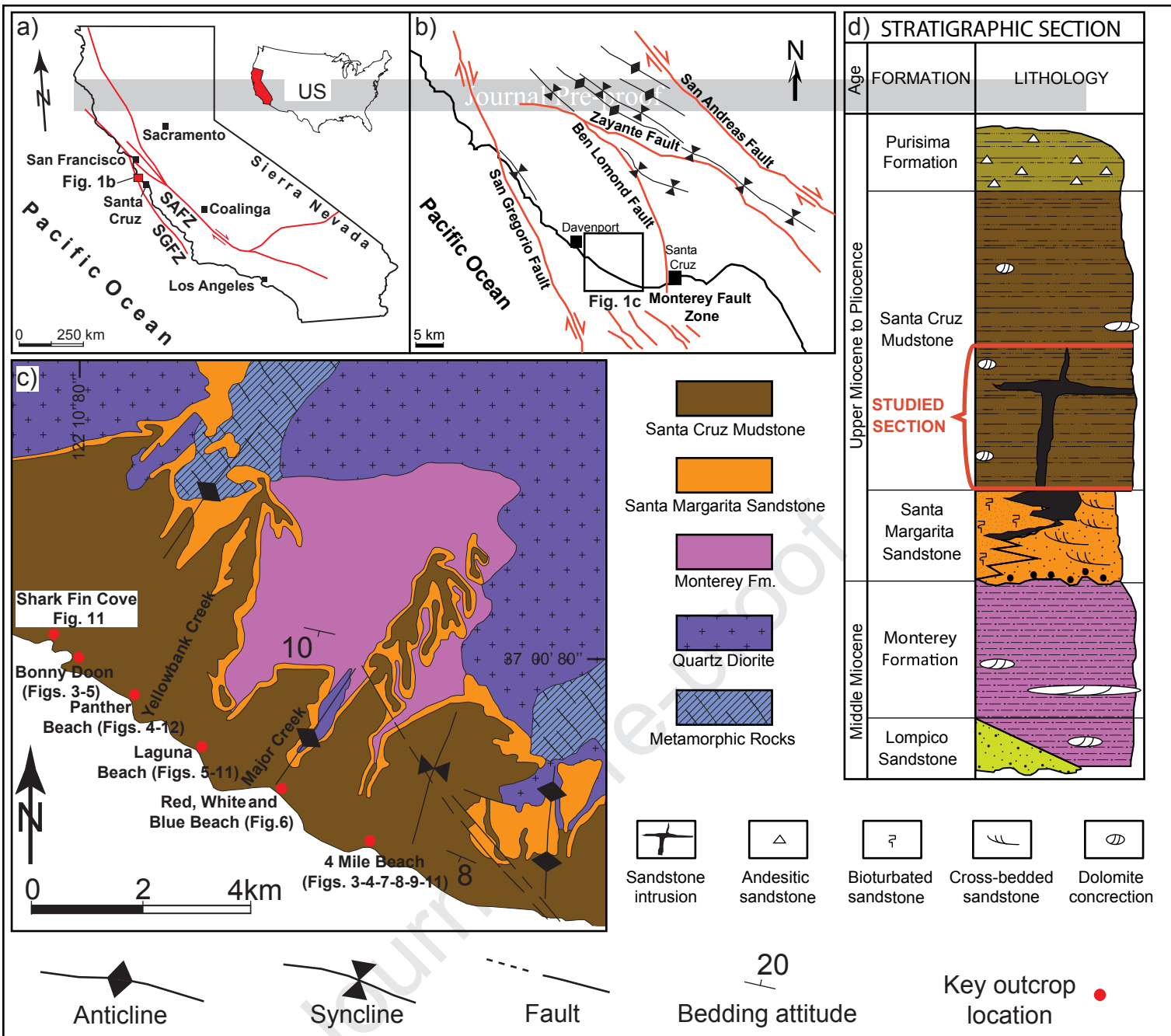
drawing (h) of a dike affected by post emplacement deformation recognised at Laguna Creek Beach. Similar to the previous example, vertical compression is accommodated by conjugate fractures, stepped geometry and thickening in the central portion of the dike.

Fig. 12. a) Photograph and interpretative line drawing (b) of cross-cutting relationships between the two recognised generations of sandstone intrusions at Panther Beach. c) Lower hemisphere equal area stereographic projections (great circles) showing the orientation of the conjugate sandstone-filled normal faults recognised at Panther Beach. d) Fault 1 shows a cm-scale offset and is only partially filled by sand. e) Close-up of Fault 1 showing the younger generation of sandstone intrusions emplaced along the fault plane, and the low-angle dike belonging to the older generation that is fractured and thinned in the proximity of the fault plane. f) Line drawing interpretation showing fracture distribution along the low-angle dike with respect to the distance from the fault plane. g) Photograph of Fault 2. h) *En echelon* dilation fractures partially filled by fluidised sand recognised along the Fault 2. i) Line drawing interpretation. k) Photograph and l) interpretation of Fault 3.

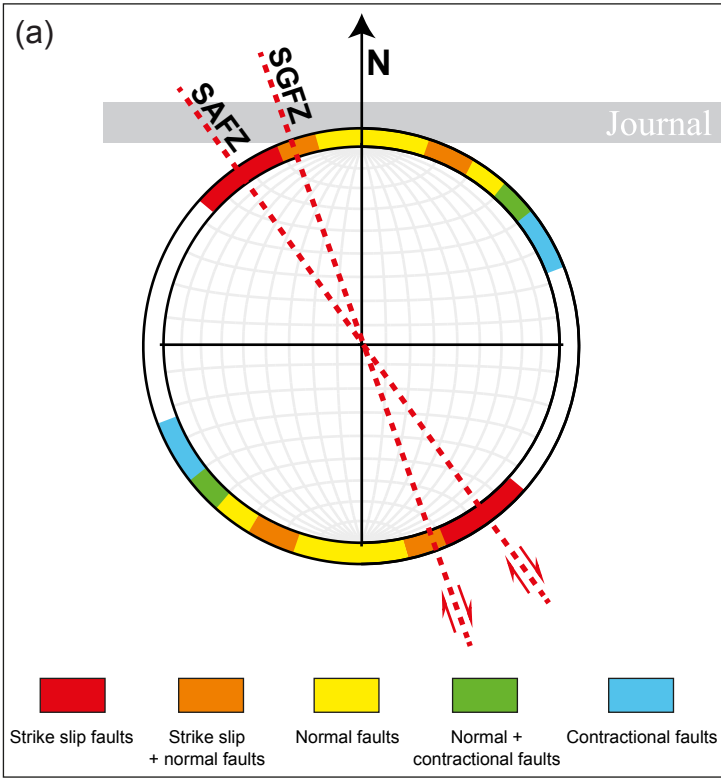
897 Fig. 13. Evolutionary model proposed to explain the occurrence of the Santa Cruz Injection  
 898 Complex and the Santa Cruz petroleum system. a) The Santa Cruz Injection Complex  
 899 emplacement mainly occurred during the Late Miocene following a contractional deformation stage  
 900 affecting the Santa Cruz sedimentary succession. Sand remobilization and emplacement related to  
 901 the first sand injection event was particularly intense in the correspondence of the anticlines where  
 902 fluid overpressure generated by the squeezing of the Santa margarita Sandstone and fracturing  
 903 promoted by outer arc extension created suitable conditions. The age of the sandstone intrusion  
 904 event is constrained to the Late Miocene by the extrudites recognised in the study area. b) The  
 905 unconsolidated state of the Santa Cruz Mudstone and the successive accumulation of sediments  
 906 at the top of the sandstone intrusion complex caused the resealing of the system and favoured the  
 907 accumulation of hydrocarbons pertaining to Santa Cruz petroleum system in the newly-formed

908 sandstone network between the Late Miocene and the Early Pliocene. c) Uplift and faulting (with  
909 the formation of sandstone-filled faults) related to the Pliocene-Quaternary strike-slip tectonic  
910 evolution of the area caused the definitive failure of the Santa Cruz petroleum system. Tar-  
911 saturated sandstones are what remain of this petroleum system.

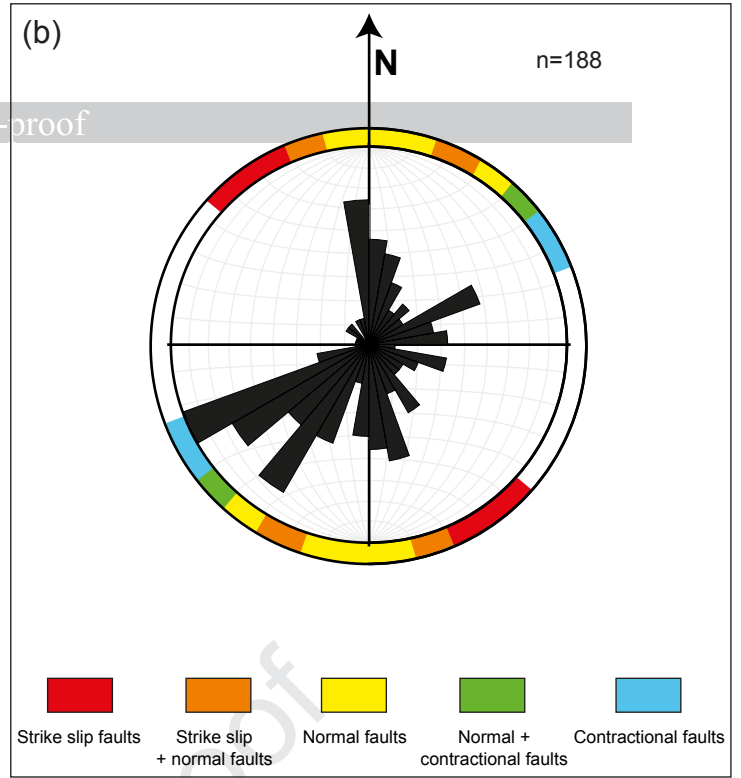
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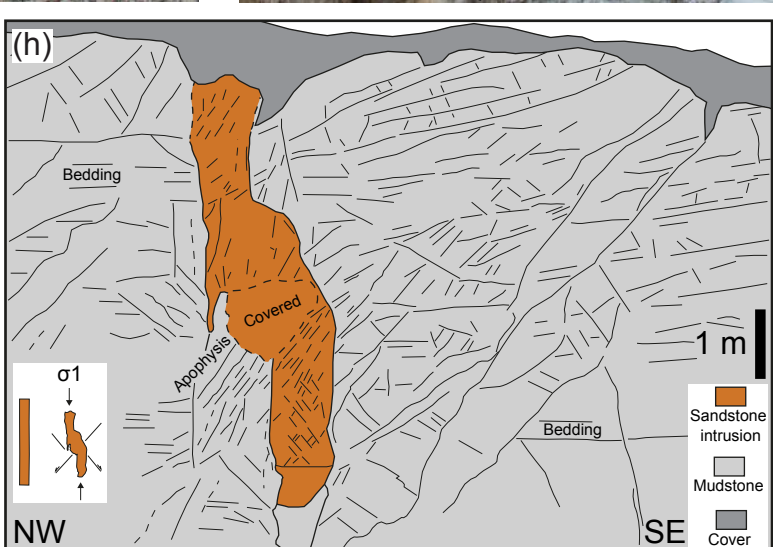
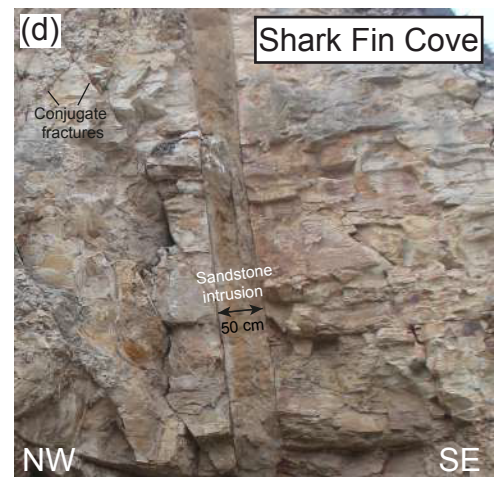
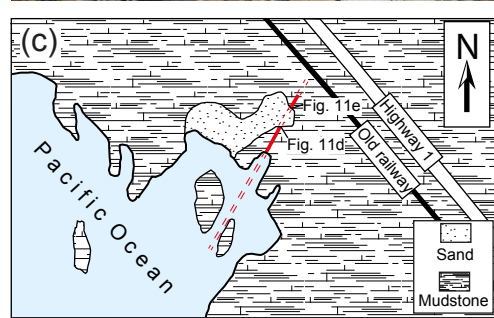
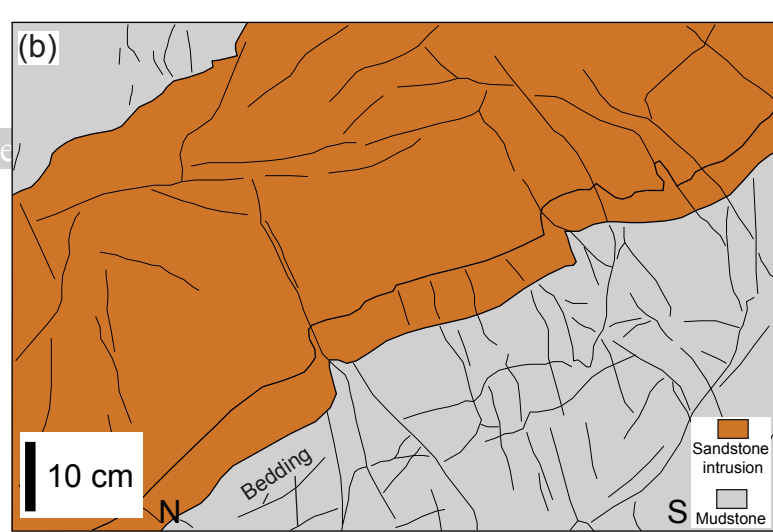
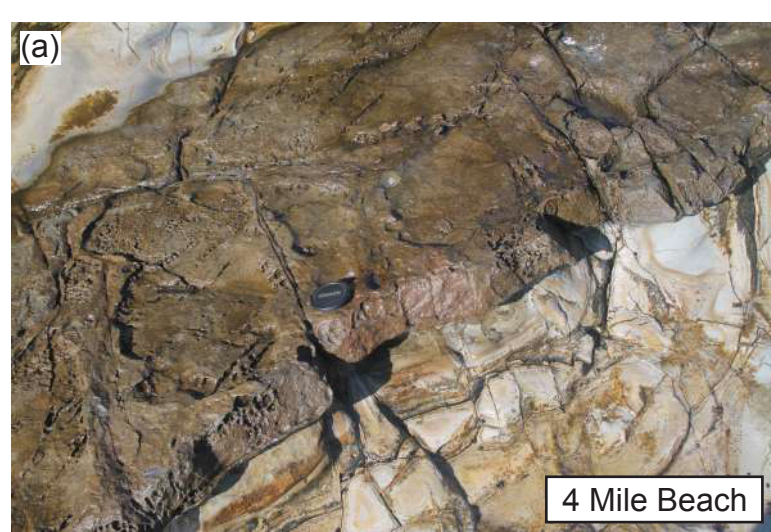


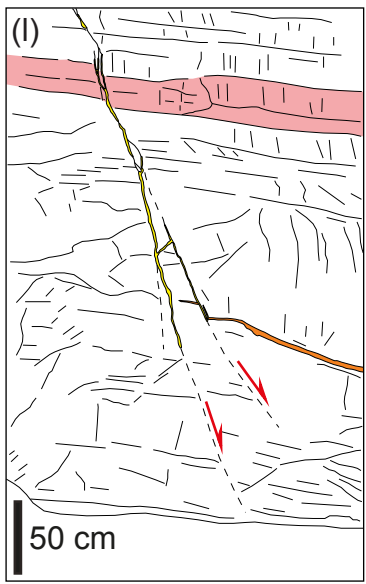
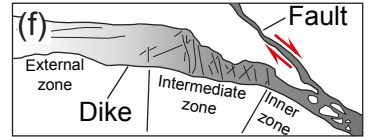
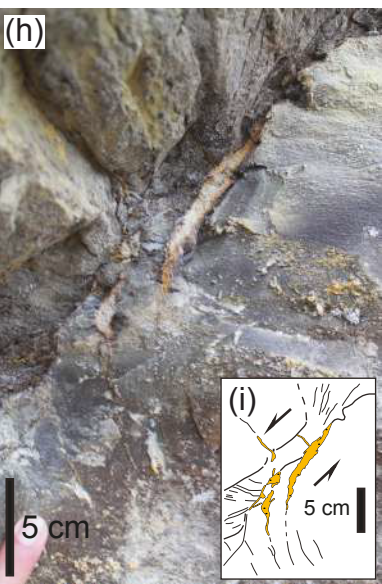
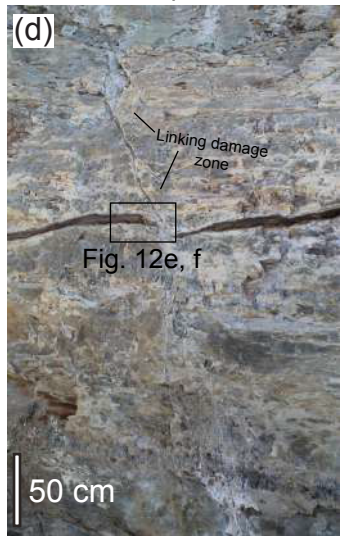
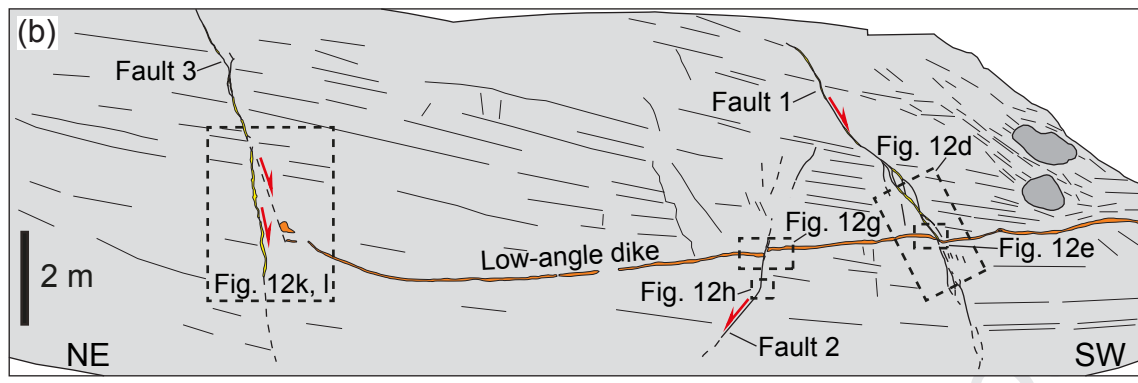
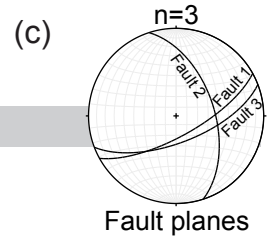
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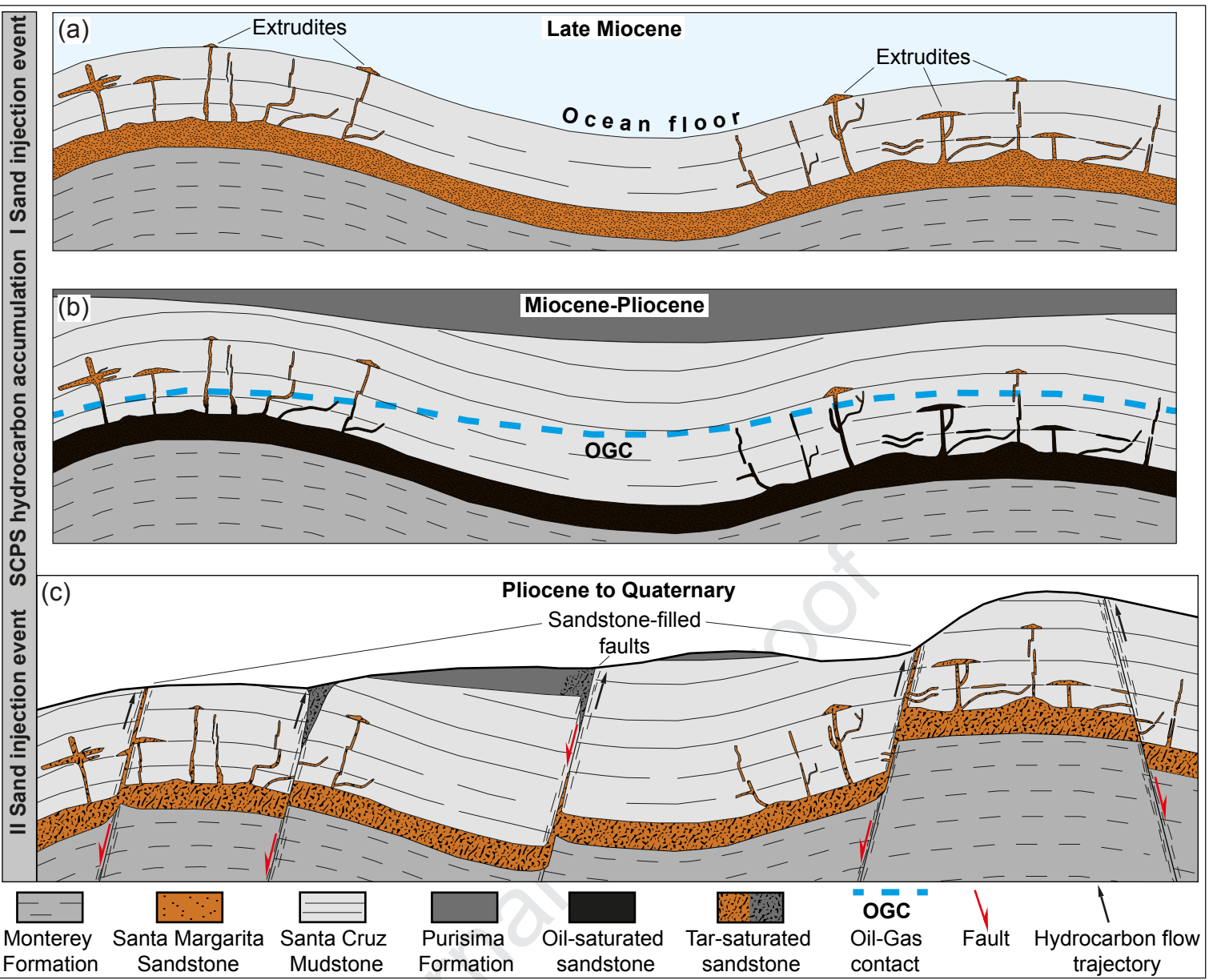
(b)



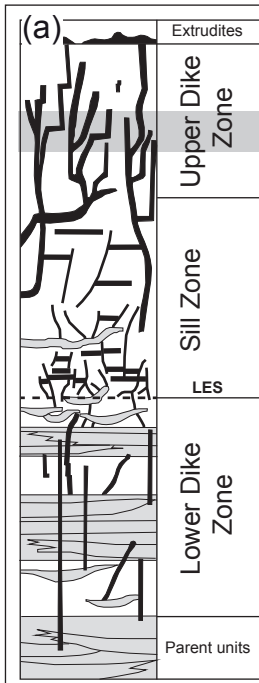




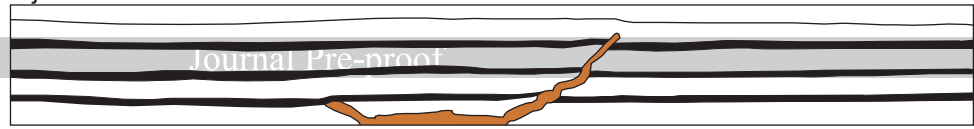
I generation sandstone intrusion   
  II generation sandstone intrusion   
  Santa Cruz Mudstone   
  Nodular concretion   
  Marker bed   
  Fault



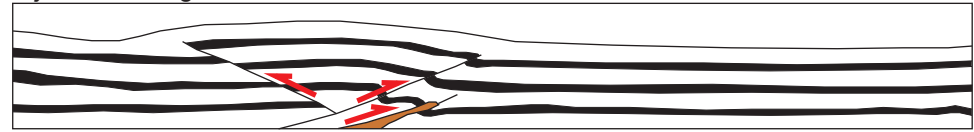




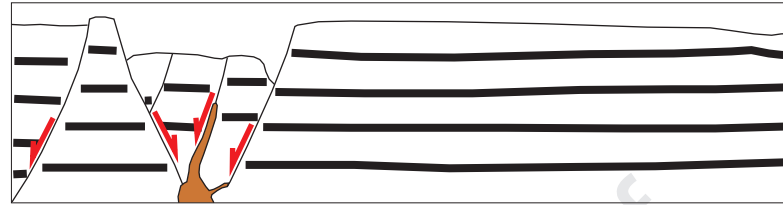
(b) Injection without tectonic deformation



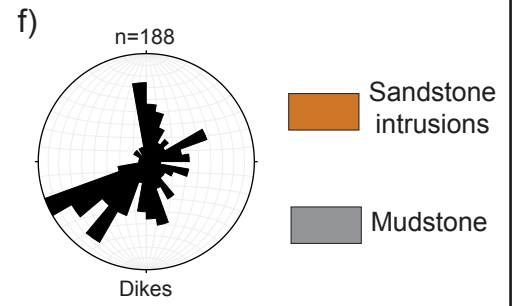
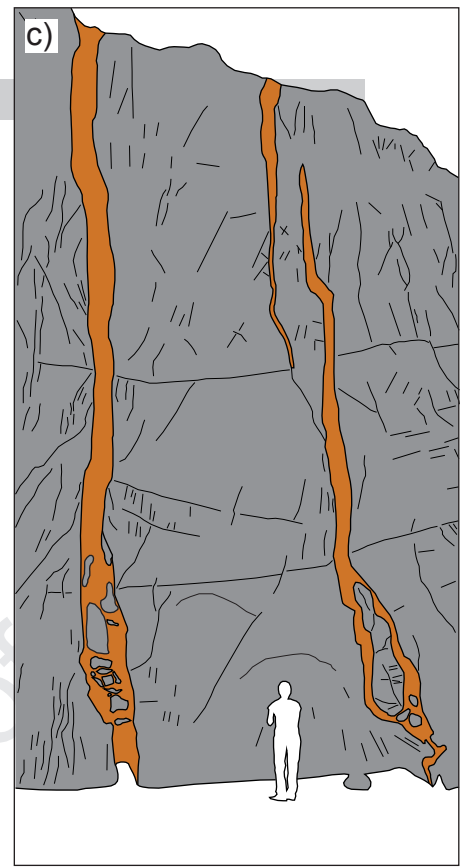
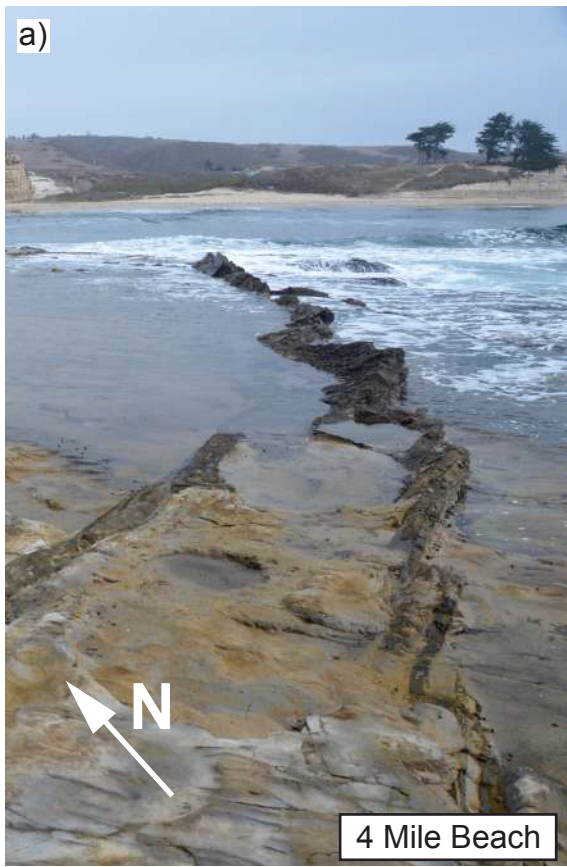
Injection during contractional deformation

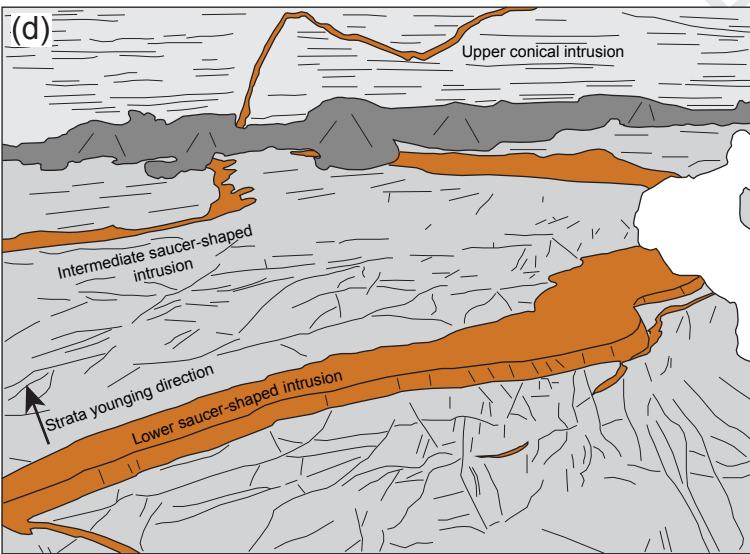
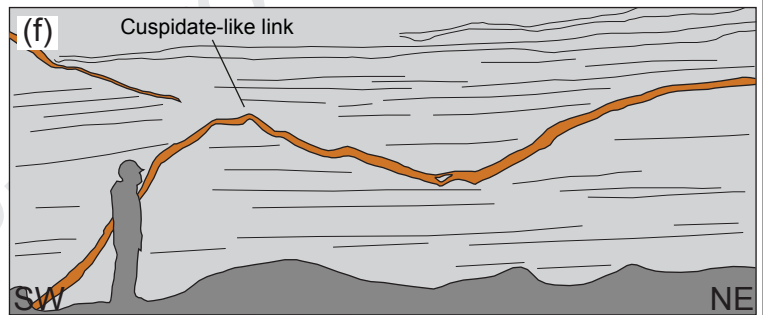
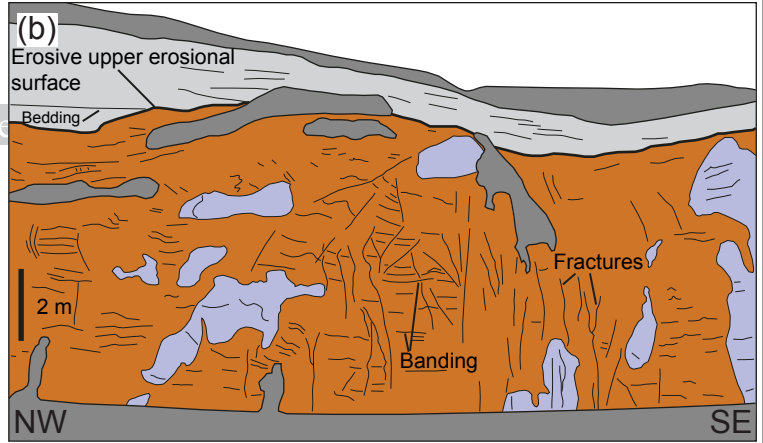


Injection during extensional deformation

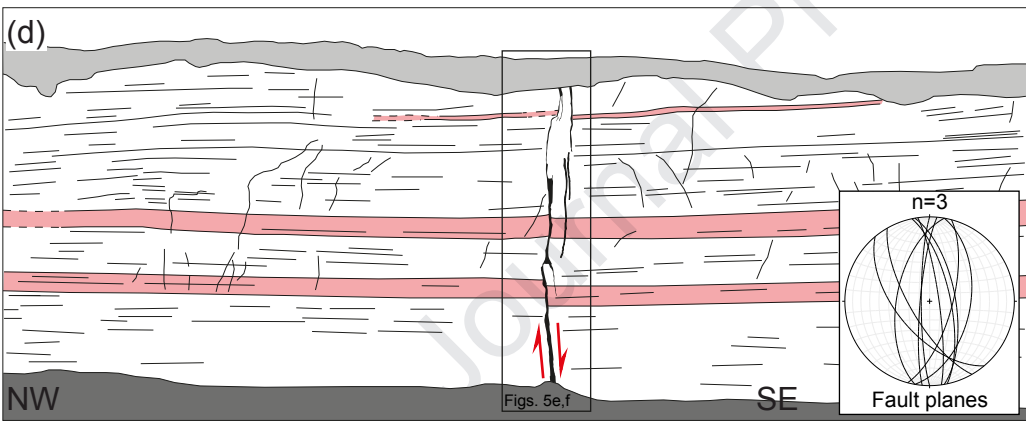
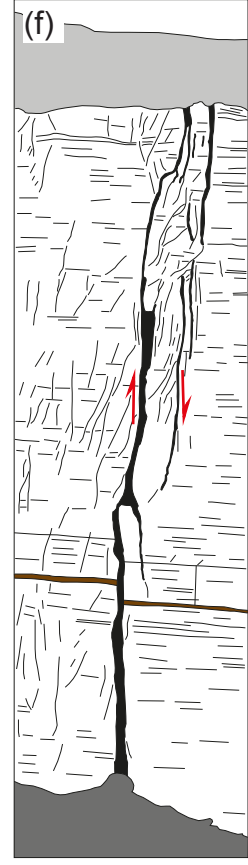
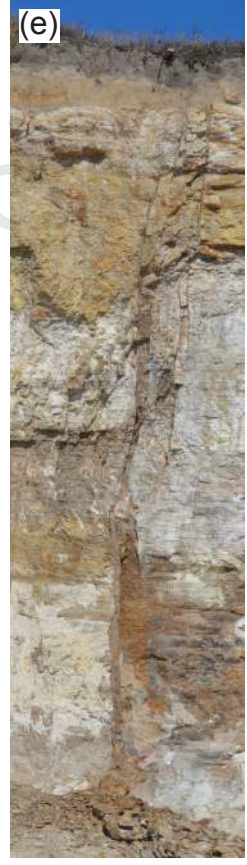
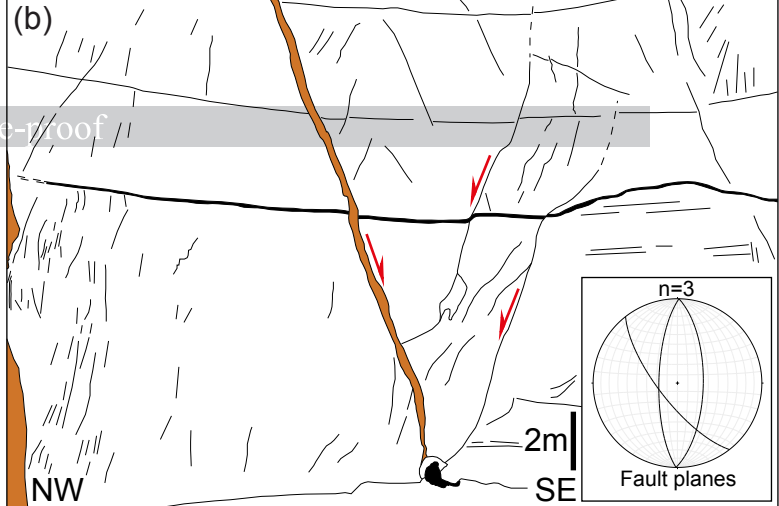


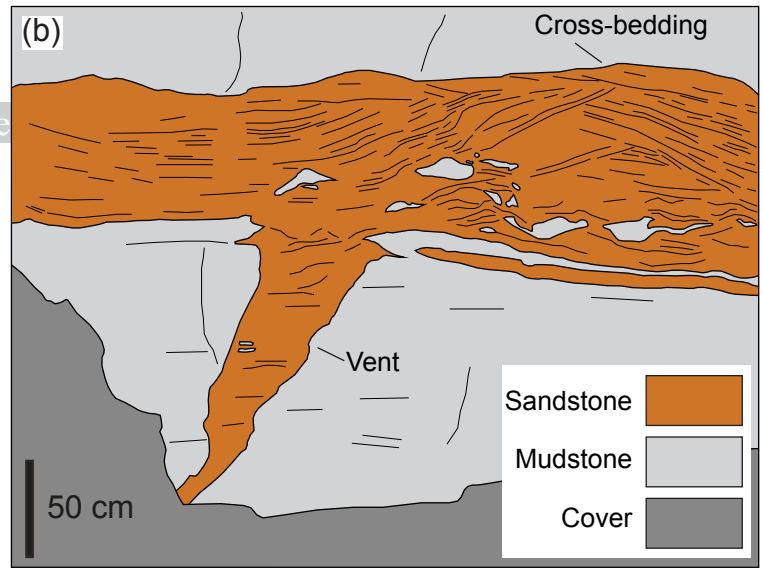
Journal Pre-proof



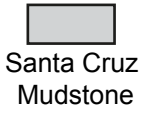
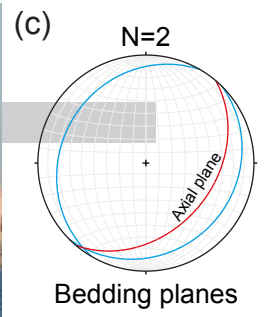
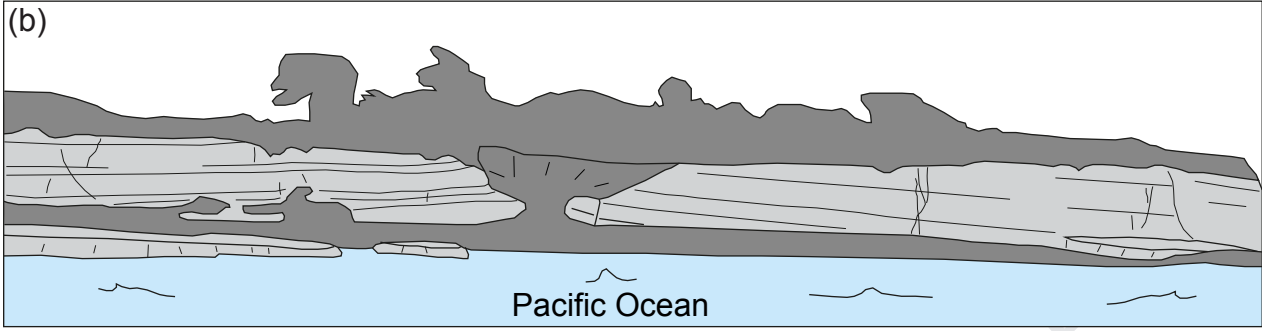


- Sandstone intrusion
- Mudstone
- Dolomite-cemented sandstone
- Cover

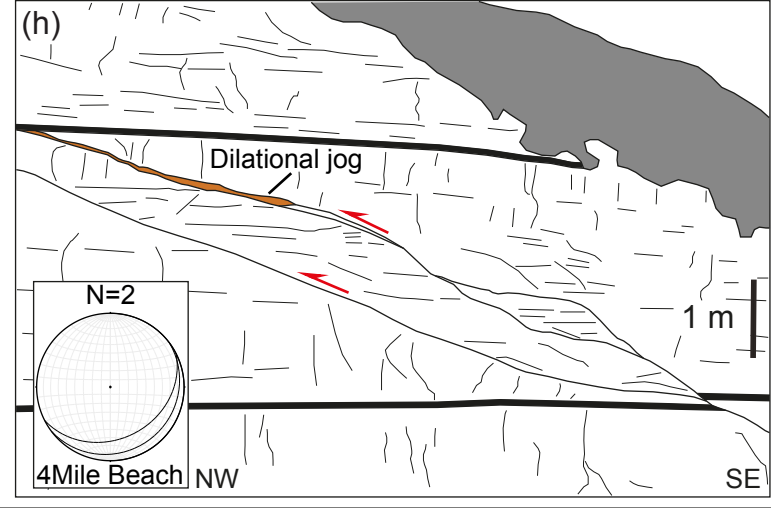
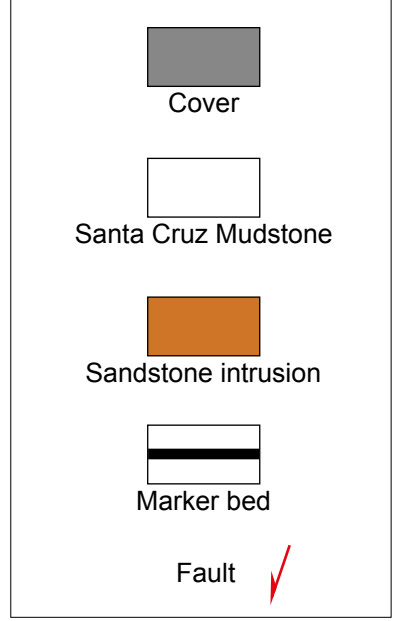
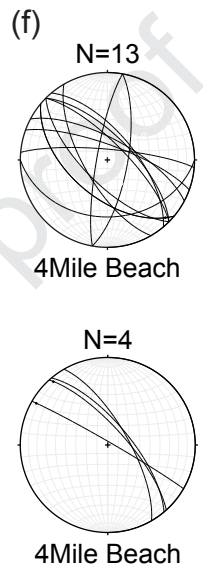
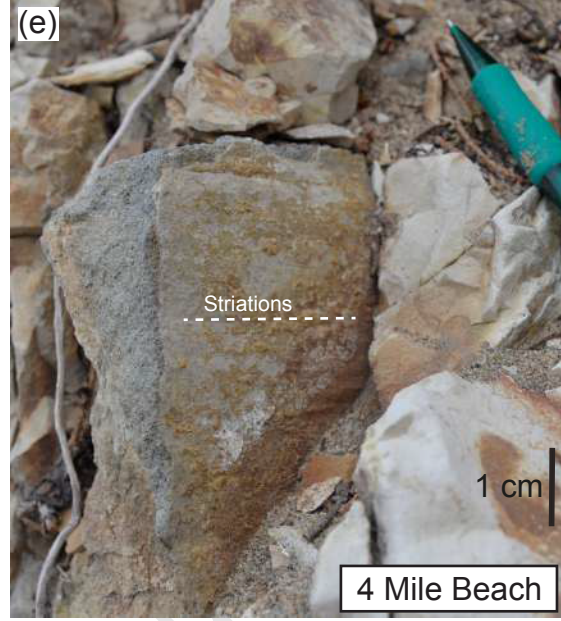
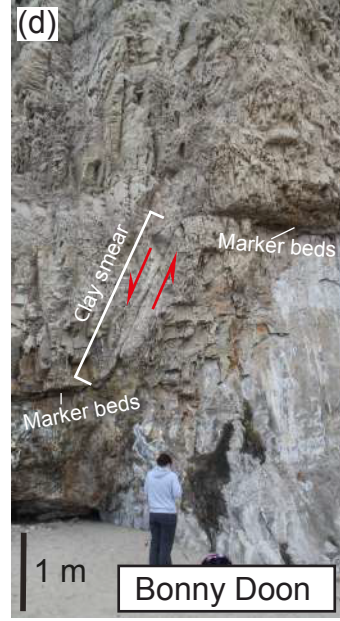
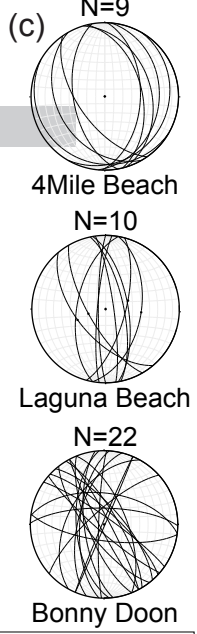
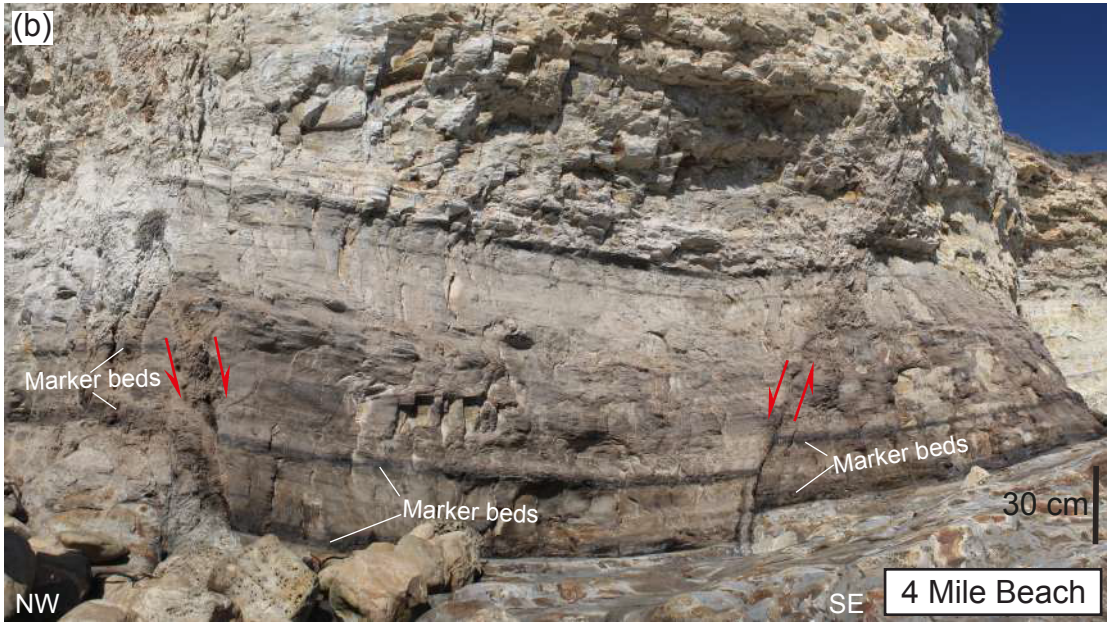
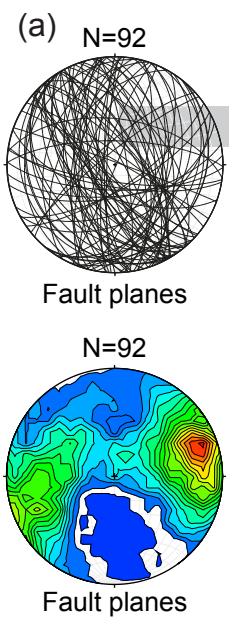


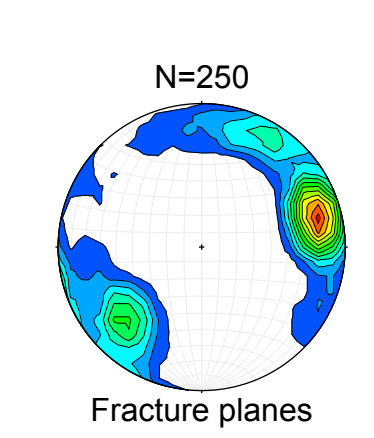
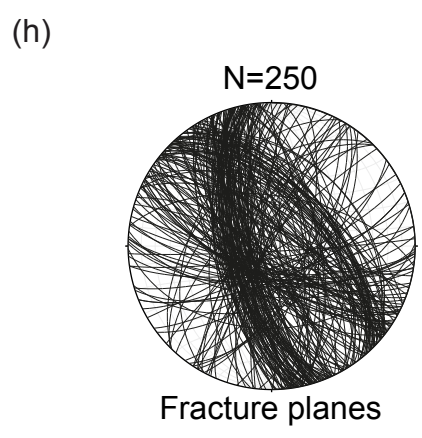
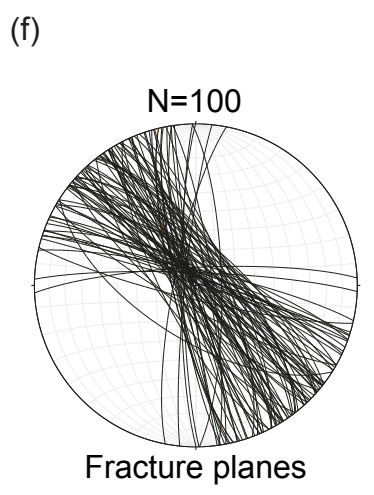


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- Evolution of the Santa Cruz petroleum system was accompanied by sandstone intrusion.
- Sandstone-filled faults are consistent with tectonics of the San Andreas Fault.
- Hydrocarbon leakage occurred through fractures and sandstone-filled faults.

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The authors declare that there is no conflict of interest.

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