



Barrett, B., Pratola, C., Gruber, A., and Dwyer, E. (2016) Intercomparison of soil moisture retrievals from in situ, ASAR and ECV SM data sets over different European sites. In: Srivastava, P., Petropoulos, G. and Kerr, Y. (eds.) *Satellite Soil Moisture Retrievals: Techniques and Applications*. Elsevier: Amsterdam, pp. 209-228. ISBN 9780128033883.

There may be differences between this version and the published version. You are advised to consult the publisher's version if you wish to cite from it.

<http://eprints.gla.ac.uk/109134/>

Deposited on: 26 August 2015

Enlighten – Research publications by members of the University of Glasgow  
<http://eprints.gla.ac.uk>

# Intercomparison of soil moisture retrievals from in-situ, ASAR and ECV SM datasets over different European sites

Brian Barrett<sup>1\*a</sup>, Chiara Pratola<sup>2</sup>, Alexander Gruber<sup>3</sup>, Edward Dwyer<sup>4</sup>

<sup>1</sup>School of Geography & Archaeology, University College Cork (UCC), Ireland

<sup>2</sup>Maritime and Coastal Research, Environmental Research Institute, University College Cork, Ireland

<sup>3</sup>Dept. of Geodesy and Geoinformation, Vienna University of Technology, Austria

<sup>4</sup>EurOcean – European Centre for Information on Marine Science and Technology, Lisbon, Portugal.

\* Corresponding author. Tel: +353 21 490 2391; Email address: brian.barrett@glasgow.ac.uk

## Abstract

The availability of satellite-derived global surface soil moisture products during the last decade has opened up great opportunities to incorporate these observations into applications in hydrology, meteorology and climatic modelling. This study evaluates a new global soil moisture product developed under the framework of the European Space Agency (ESA) Climate Change Initiative (CCI), using finer spatial resolution Synthetic Aperture Radar (SAR) and ground-based measurements of soil moisture. The analysis is carried out over selected in-situ networks over Ireland, Spain, and Finland with the aim of assessing the temporal representativeness of the coarse scale CCI Essential Climate Variable (ECV) soil moisture product (ECV SM) in these different areas. A good agreement (correlation coefficient ( $R$ ) values between 0.53 and 0.92) was observed between the three soil moisture datasets for the Irish and Spanish sites while a reasonable agreement ( $R$  values between 0.41 and 0.52) was observed between the SAR and ECV SM soil moisture datasets at the Finnish sites. Overall, the two different satellite derived products captured the soil moisture temporal variations well and were in good agreement with each other, highlighting the confidence of using the coarse scale ECV SM product to track soil moisture variability in time.

Keywords: Soil moisture; ASAR; Essential climate variable; In-situ; Temporal analysis.

## 1. Introduction

The amount of water stored in the soil is a key parameter for the energy and mass fluxes at the land surface-atmosphere boundary and is of fundamental importance to many agricultural, meteorological, biological and biogeochemical processes (Koster et al., 2004; Seneviratne et al., 2010; Bolten and Crow, 2012). Soil moisture dynamics are dependent on both meteorological conditions and soil physical characteristics and, as a result, exhibit large spatial and temporal variations between different areas, seasons, and years (Western and Blöschl, 1999; Schulte et al., 2005). The spatial and temporal coverage attainable by spaceborne remote sensing has demonstrated the capability to monitor soil moisture over large areas at regular time intervals, and several approaches for soil moisture retrieval have been developed using optical, thermal infrared (TIR), and microwave (MW) sensors over the last three decades (Barrett and Petropoulos, 2013; Petropoulos et al., 2015). Since the late 1970s, coarse resolution (25 - 50km) soil moisture products derived from past and present microwave radiometers (Advanced Microwave Scanning Radiometer (AMSR-E) (Njoku et al., 2003) and WindSat (Li et al., 2010)) and scatterometers (European Remote Sensing satellites (ERS) scatterometer (SCAT) (Wagner

---

<sup>a</sup> Present address: School of Geographical and Earth Sciences, University of Glasgow, Scotland.

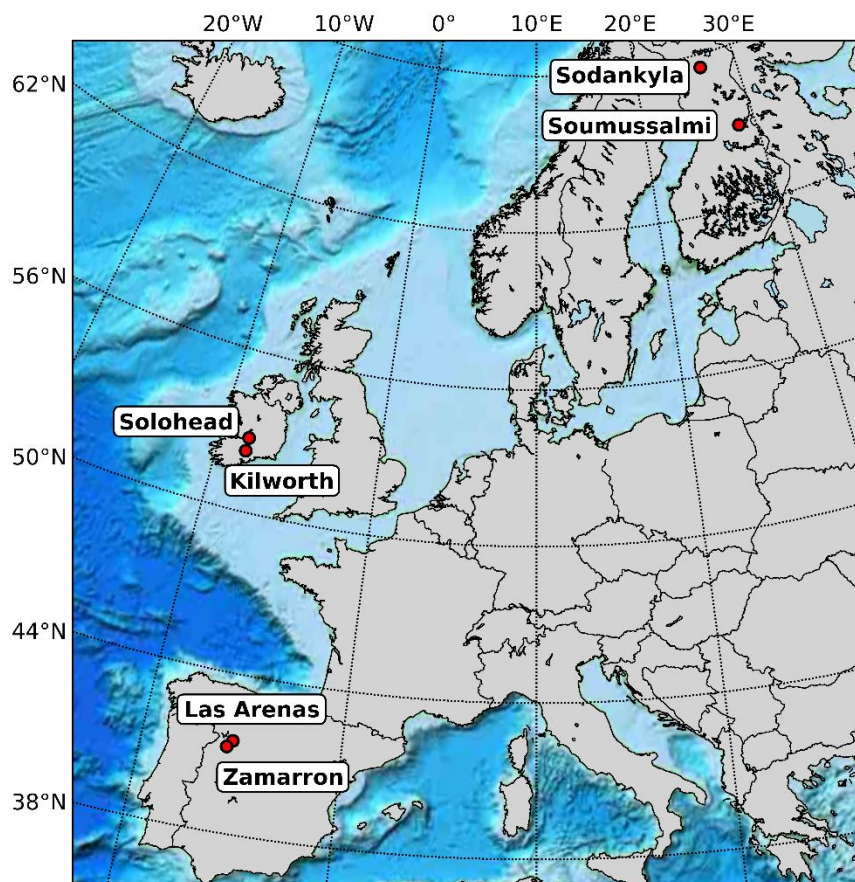
et al., 2003) & Meteorological Operational satellite (MetOp) Advanced Scatterometer (ASCAT) (Bartalis et al., 2007; Naeimi et al., 2009)) have been available on an operational basis. Data from the European Space Agency (ESA) Soil Moisture and Ocean Salinity (SMOS) (Kerr et al., 2012; Mecklenburg et al., 2012) and the National Aeronautics and Space Administration (NASA) Soil Moisture Active Passive (SMAP) (Entekhabi et al., 2010) dedicated soil moisture missions are strengthening this record of observations and further facilitating the study of long term soil moisture behaviour (please see Petropoulos et al. (2015) and Zeng et al. (2015) for further details of available satellite derived soil moisture products). With the availability of these products, it is necessary to validate them using independently derived soil moisture observations obtained through in-situ monitoring, models, or with different satellite sensors (van Doninck et al., 2012; Ochsner et al., 2013; Al-Yaari et al., 2014). In-situ validation has generally been achieved over small temporal and spatial scales but has been significantly advanced since the establishment of the Global Soil Moisture Data Bank (Robock et al., 2000) and the International Soil Moisture Network (ISMN) (Dorigo et al., 2011). For example, Albergel et al. (2013c) validated three global soil moisture products using a combination of 196 in-situ stations taken from five different soil moisture networks across the world. Similarly, Paulik et al. (2014) and Dorigo et al. (2015) used over 600 in-situ stations for validating ASCAT and ECV SM soil moisture products respectively. All these studies generally found good agreement between the satellite derived and in-situ observations.

The comparison of time series of soil moisture datasets acquired by different sources and representing different spatial scales is challenging however, due to the scale differences between products and/or observations (Crow et al., 2012). In-situ networks represent single point locations and usually cover only limited observation periods. Gruber et al. (2013) investigated the quality of over 1400 in-situ stations of the ISMN for representing soil moisture at satellite footprint scales (~25km) on a global basis using triple collocation and highlighted the need for a comprehensive characterisation of in-situ representativeness errors in addition to measurement errors when considering satellite-derived soil moisture – in-situ soil moisture intercomparisons. Consequently, the spatial and temporal resolution provided by synthetic aperture radar (SAR) data make them a promising additional data source for measuring seasonal and long-term variations in surface soil moisture content and characterising the errors of coarse scale soil moisture products. The Advanced Synthetic Aperture Radar (ASAR) instrument onboard the ENVISAT satellite was capable of providing global measurements at 1km and 150m spatial resolution every four to seven days, depending on the acquisition plan (Desnos et al., 2000). Although there are certain technical limitations in retrieving surface soil moisture from SAR data, significant progress has been made in recent years to the point that SAR data could be used not only as another validation source for coarse-scale soil moisture products but also for applications which require finer spatial resolution soil moisture data such as hydrological or runoff modelling (Dostálová et al., 2014; Pratola et al., 2014). Furthermore, the regular temporal coverage and higher spatial resolution of current C-band sensors such as Sentinel-1 will provide greater opportunities to characterise surface soil moisture within the large areas covered by coarse-scale product cells and help strengthen the understanding of such products. In this study, the capability of the coarse scale ECV SM product in representing the temporal variations in surface soil moisture content at finer scales is evaluated using both in-situ and ASAR-derived soil moisture observations in three different European countries, characterised by contrasting climate and vegetation conditions.

## 2. Materials and Methods

### 2.1 In-situ soil moisture observations

The Irish in-situ soil moisture measurements were collected at two grassland sites: Kilworth and Solohead, located in southern Ireland (see Figure 1) using Campbell Scientific CS616 water content reflectometers, installed horizontally at a depth of 5cm below the surface under the framework of the Aeon project (<http://aeon.ucc.ie> (last accessed 1<sup>st</sup> July 2015)). Measurements were recorded continuously at 30 minute intervals between 2007 and 2010 and are expressed as the soil water-filled pore space (WFPS). These values were subsequently converted to volumetric units ( $\text{m}^3\text{m}^{-3}$ ) by multiplying by the associated soil porosity values. The network also measured precipitation and soil temperature and has been used predominantly for modelling  $\text{N}_2\text{O}$  fluxes from agricultural grasslands, but has also been used for the validation of soil moisture products (e.g. Pratola et al., 2014). The sites have a temperate maritime climate with annual precipitation of 900-1200mm and an annual average temperature of  $10^\circ\text{C}$ .



**Figure 1: Test site locations (red dots) in Ireland, Spain, and Finland.**

The Red de Medición de la Humedad del Suelo (REMEDIHUS) soil moisture network (Martínez-Fernández and Ceballos, 2005) is located in the semi-arid region of the Duero basin (Zamora) in Spain. It has a continental Mediterranean climate with a mean annual temperature of  $12^\circ\text{C}$  and mean annual precipitation of 400mm. The land use is predominantly agricultural, with small areas of forest and pasture. The network comprises of 20 soil moisture monitoring stations, each using a Stevens Hydra probe sensor integrated over a depth of 0 - 5cm below the surface. The network has been used

for several purposes, including calibration and validation campaigns in support of the SMOS mission (Sanchez et al., 2012), and the evaluation of different satellite derived soil moisture products (Ceballos et al., 2005; Wagner et al., 2008; Albergel et al., 2012).

The Sodankyla (Finnish Meteorological Institute (FMI)) and Suomussalmi (Geological Survey of Finland (GTK)) sites are located in northern Finland and have a boreal climate, with average summer temperatures of 12°-13°C and average winter temperatures of -12°C. Annual rainfall is 600 - 650mm and snow generally covers the soil surface from the beginning of November to the end of April. The predominant land cover type is forest. Table 1 details the main characteristics for each of the study sites. For the Spanish and Finnish sites, daily precipitation data from nearby meteorological stations were acquired through the European Climate Assessment & Dataset (Klein Tank et al., 2002)(Data available at <http://www.ecad.eu>). In-situ soil moisture datasets for Spain and Finland were retrieved from the ISMN (Dorigo et al., 2011; Dorigo et al., 2013) at <https://ismn.geo.tuwien.ac.at/>. At all sites, soil moisture values have been evaluated on a daily basis, by averaging the measurements recorded at 30 minute intervals from 00:00 to 23:30 of the same day as the ASAR and ECV SM acquisition.

**Table 1. Study site characteristics**

Site	Latitude	Longitude	Elevation (masl)	Land cover	Soil type
Kilworth	52°10' N	-8°14' E	51	Grassland	Sandy loam
Solohead	52°30' N	-8°12' E	98	Grassland	Loam
Zamarron	41°14' N	-5°32' E	855	Cropland	Sandy loam
Las Arenas	41°22' N	-5°32' E	745	Cropland	Sandy loam
Sodankyla	67°22' N	26°37' E	179	Forest	Sandy loam
Suomussalmi	64°54' N	28°41' E	220	Forest	Sandy loam

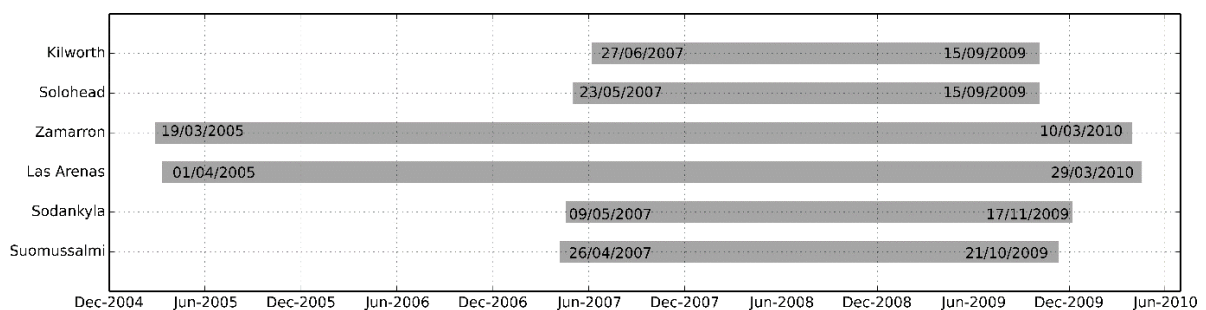
## 2.2 ECV soil moisture observations

The Essential Climate Variable Soil Moisture (ECV SM) product (Liu et al., 2011; Liu et al., 2012; Wagner et al., 2012) was initiated within the Water Cycle Multi-Mission Observation Strategy (WACMOS) project (see <http://wacmos.itc.nl/>) and is being continued and refined in the context of the ESA Climate Change Initiative (CCI) programme (<http://www.esa-soilmoisture-cci.org/>). It currently provides daily global surface soil moisture values ( $m^3 m^{-3}$ ) covering the period 1978 – 2013 at a 0.25 degree spatial resolution. To achieve this, the ECV SM product combines observations from multiple C-band scatterometers (ERS AMI and MetOp ASCAT) and multi-frequency radiometers (Advanced Microwave Scanning Radiometer (AMSR-E), Scanning Multichannel Microwave Radiometer (SMMR), Special Sensor Microwave Imager (SSM/I), Tropical Rainfall Measurement mission Microwave Imager (TRMM-TMI), and WindSat). The Water Retrieval Package (WARP) developed by TU Wien (Wagner et al., 1999a; Wagner et al., 1999b; Naeimi et al., 2009) is used to convert backscatter measurements to soil moisture values and the Land Parameter Retrieval Model (LPRM) developed jointly by VU University Amsterdam and the NASA Goddard Space Flight Center (Owe et al., 2001; De Jeu and Owe, 2003; Owe et al., 2008) is used to convert brightness temperatures to soil moisture respectively. The ECV SM product has been validated across different regions using in-situ, model and SAR-derived soil moisture datasets in previous studies (e.g. Albergel et al., 2013b; Loew et al., 2013; Dorigo et al., 2015; Pratola et al., 2014; Zeng et al., 2015) where good agreement between the datasets was generally found. For example, Zeng et al. (2015) found the ECV SM product to be highly related to in-situ data from two different soil moisture networks at the Tibetan Plateau, with the highest  $R$  values (0.70 - 0.85) and smallest  $ubRMSD$  values ( $0.034 - 0.042 m^3 m^{-3}$ )

compared to six other satellite derived soil moisture products (AMSR-E (NASA product), AMSR-E (JAXA product), AMSR-E (LPRM product), AMSR-2, ASCAT, and SMOS). Similarly, Pratola et al. (2014) found strong correlations ( $R = 0.72 - 0.88$ ) and associated low *ubRMSD* values (0.05 – 0.06) between ECV SM and SAR-derived soil moisture values across three grassland sites in Ireland.

### 2.3 ASAR soil moisture observations

The ENVISAT satellite was launched on 1<sup>st</sup> March 2002 by ESA and operated until 8<sup>th</sup> April 2012. The ASAR instrument onboard the satellite operated at C-band (5.3 GHz) and was capable of acquiring data in multiple modes (Image, Alternating Polarisation, Wave, ScanSAR (Wide Swath), and ScanSAR (Global Monitoring)) at various incidence angles and in several polarisations. This study focused on the use of Wide Swath (WS) mode data rather than Global Monitoring (GM) mode data due to its higher radiometric accuracy (0.6 dB compared to 1.2 dB) and also due to its higher native spatial resolution (150m compared to 1km). WS data have a 405km swath width and could potentially acquire 3 – 5 images a month. Acquisitions between 2005 and 2010 (see Figure 2) from both ascending and descending orbits were considered in VV polarisation. As WS mode data use ScanSAR technology to cover a much larger swath width, effects on the backscatter due to varying incidence angle and distance from the sensor are usually present in the scene. To limit the influence of the large incidence angle range ( $17^\circ - 42^\circ$ ) and to ensure inter-comparability between the different data scenes, an angular normalisation to an incidence angle of  $30^\circ$  was applied to all scenes. The WS data were geometrically and radiometrically calibrated and resampled to a regular grid with a 15 arc-second sampling interval. Consequently, the ASAR WS data were aggregated to 1km spatial resolution, supporting the comparison with the ECV product and also improving the radiometric accuracy of the satellite data.



**Figure 2. Temporal interval of study period for each of the study sites used in the dataset comparison.**

There are different approaches to soil moisture estimation using SAR data (Barrett et al., 2009; Petropoulos et al., 2015) and in this study, soil moisture values were retrieved from the ASAR WS acquisitions by applying the TU Wien change detection algorithm (Wagner et al., 1999a; Wagner et al., 1999b). This technique was originally developed for ERS scatterometer and Advanced Scatterometer (ASCAT) data but has been successfully adapted to both ASAR WS and GM data (e.g. Pathe et al., 2009; Mladenova et al., 2010; Doubková et al., 2012; Peters et al., 2012; Dostálová et al., 2014; Zribi et al., 2014). The TU Wien change detection approach derives relative changes in surface soil moisture and indirectly accounts for surface roughness and vegetation by assuming changes in these parameters will generally occur at longer temporal scales than soil moisture changes. It is based on the assumption of a linear relationship between the surface soil moisture content and the backscatter coefficient and provides soil moisture values expressed in terms of the degree of

saturation, whereby variations in a time series of soil moisture values are adjusted between the historically lowest (0% - dry soil) and highest (100% - saturated soil) values.

The retrieved soil moisture values were masked using the Corine Land Cover Map 2006 (EEA, 1995) in order to exclude pixels representing areas where the soil moisture values were unreliable (e.g. urban, water bodies, and snow and ice). Furthermore, and as demonstrated in Wagner et al. (2008), the temporal stability of soil moisture fields gives rise to an associated temporal stability in the backscatter signal. Strong correlations between local and regional backscatter is usually a good indicator of high sensitivity to soil moisture dynamics at the local scale (similarly, if the signal observed at the local scale correlates with the coarse scale measurement, then the local scale measurement is sensitive to the dynamics at the coarse scale). Areas where there are weak correlations are indicative of where either a) the backscatter response to soil moisture dynamics is dominated by noise and speckle, b) the backscatter characteristics are adversely influenced by factors such as dense vegetation or complex topography, inhibiting the retrieval of reliable soil moisture values, or c) the local-scale soil moisture dynamics are simply not representative of the coarse-scale dynamics. For each 1km x 1km ASAR pixel, the correlation between the time series of backscatter coefficients and the average of the backscattering over a 25km x 25km area encompassing the ASAR pixel was evaluated. A minimum threshold of  $R^2 = 0.3$  was applied and only those ASAR acquisitions that covered the ECV cell size for more than 50% of the available pixels were selected. The average of the soil moisture values retrieved within the corresponding ECV cell for each ASAR acquisition is considered for the dataset intercomparison. In a final step, soil moisture values were converted to volumetric units ( $\text{m}^3\text{m}^{-3}$ ) by multiplying by the associated soil porosity values, obtained from the Harmonised World Soil Database (FAO/IIASA/ISRIC/ISS-CAS/JRC, 2009).

## 2.4 Characterisation of errors

In addition to large-scale differences, systematic differences between satellite derived and in-situ soil moisture observations make it difficult to have absolute agreement between the time series of these datasets (Brocca et al., 2013). As a result, satellite derived soil moisture products typically require scaling and/or filtering before being compared to in-situ soil moisture measurements. The cumulative distribution function (CDF) matching approach has been demonstrated with various satellite derived soil moisture datasets (e.g. Scanning Multichannel Microwave Radiometer (SMMR) (Reichle and Koster, 2004), TRMM (Tropical Rainfall Measuring Mission) Microwave Imager (TMI) (Drusch et al., 2005)), and combined SMMR, SSMI, TMI & AMSR-E datasets (Liu et al., 2009) and was used in this study to adjust the satellite derived soil moisture values to the same range and distribution as the in-situ measurements. Only ECV SM and in-situ soil moisture data corresponding to the ASAR WS acquisition dates have been considered in this study.

Different metrics are commonly used for the validation of soil moisture products. In this study, the correlation coefficient ( $R$ ) was calculated to provide details of the temporal agreement between the different soil moisture datasets. In addition, the unbiased root mean square difference ( $ubRMSD$ ) was calculated instead of the conventional  $RMSD$  in order to correct for biases in the mean of the satellite derived datasets (Albergel et al., 2013a), and is given by:

$$ubRMSD = \sqrt{\frac{1}{N} \sum_{N=1}^N \{[(sat_n - \overline{sat_n}) - (insitu_n - \overline{insitu_n})]^2\}} \quad (1)$$



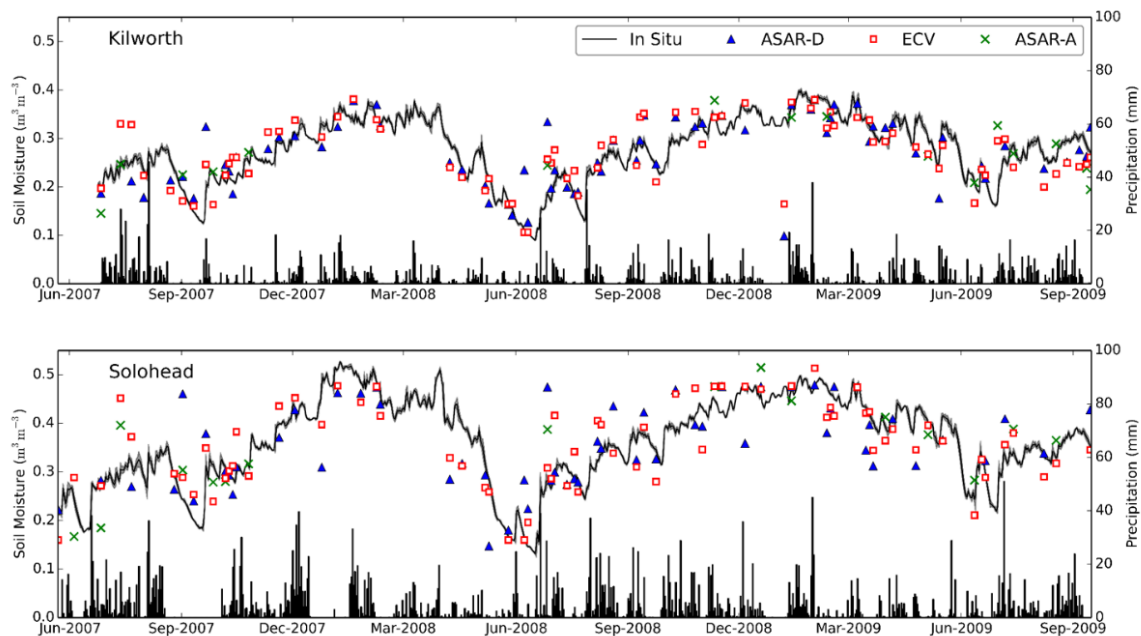
where  $sat_n$  and  $insitu_n$  represent the satellite derived and in-situ soil moisture measurements respectively, and the overbars represent averaged quantities.

In addition to the whole time series analysis, a seasonal comparison was also carried out to help evaluate the performance of ASAR and ECV SM soil moisture products in capturing the soil moisture dynamics. The time series data were analysed by season: winter (December, January, February), spring (March, April, May), summer (June, July, August), and autumn (September, October, November) and analysed with respect to the in-situ measurements which were taken as a reference.

### 3. Results and Discussion

#### 3.1 Time series temporal analysis

The temporal evolution of the ECV SM scaled surface soil moisture estimates compared to the ASAR scaled estimates and in-situ observations from the Irish, Spanish, and Finish sites are displayed in Figures 3 - 5. In general, the satellite derived datasets and the in-situ observations were in good agreement. The Irish sites, to a large extent, displayed the same temporal pattern with highest soil moisture levels observed between December and March, although the dynamic ranges differ between the sites. Soils generally dry between March and July as a result of increased surface temperature, evapotranspiration and decreasing precipitation. The characteristically high soil moisture after snowmelt can be observed at both the Finnish sites (Figure 5) and is more pronounced for the Sodankyla site. The Soumussalmi site generally displayed higher soil moisture variability during the summer months, compared to Sodankyla.



**Figure 3: Time series of in-situ (continuous black line), ASAR (ascending (green cross) and descending (blue triangle) passes), and ECV (red square) soil moisture values for Kilworth and Solohead sites in Ireland. The daily accumulated precipitation is represented by vertical bars along the x-axis.**

In Figure 3, the ability of the ECV SM and ASAR data to represent the soil moisture variability at Kilworth and Solohead is well represented. From Table 2, it can be observed that there is essentially no difference between the correlation coefficients for the ascending orbit ( $R = 0.71$ ), descending orbit



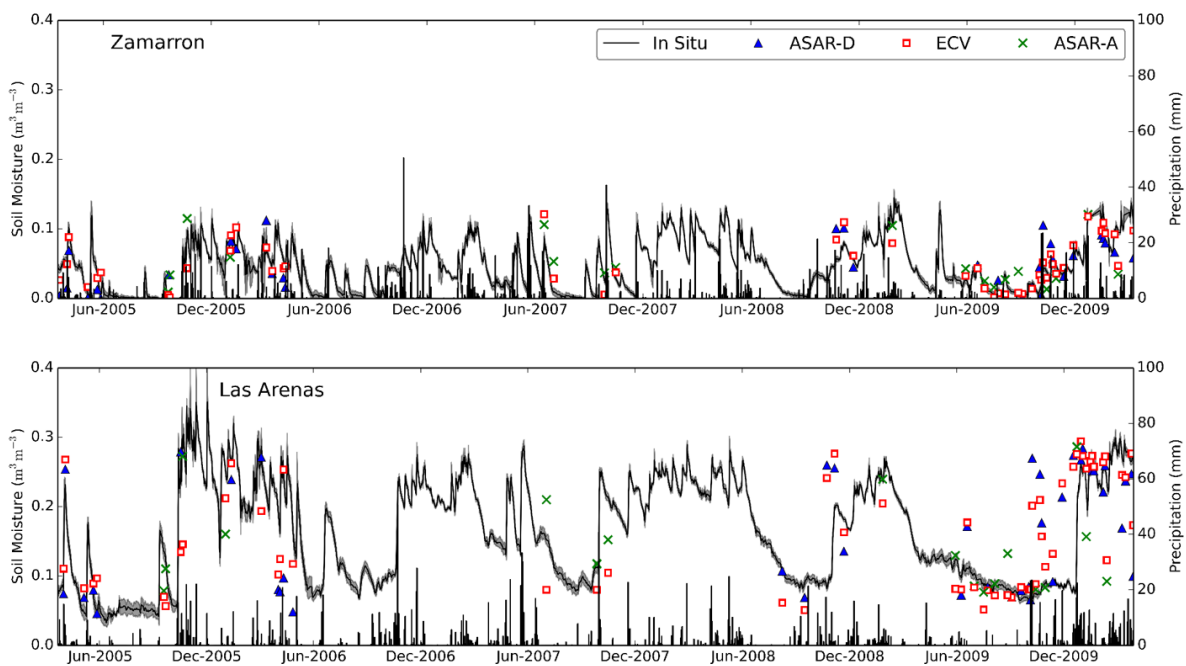
( $R = 0.71$ ) or combined ascending and descending orbit ( $R = 0.70$ ) ASAR acquisitions when compared to the in-situ measurements at the Kilworth site. When ascending and descending data were available for the same day, the average soil moisture value was taken. Although the number of ascending acquisitions is far fewer than descending, the results were still statistically significant ( $p < 0.01$ ) and the unbiased RMSD (*ubRMSD*) values remained low (0.05). The correlations between the ECV SM and in-situ measurements were also high ( $R$  between 0.63 – 0.79) with strong correlations between the ASAR and ECV SM soil moisture values ( $R$  between 0.73 – 0.83). There is a larger difference at the Solohead site between the correlations for ascending ( $R = 0.87$ ) and descending ASAR acquisitions ( $R = 0.71$ ) with the in-situ measurements. Solohead is very wet site and a possible explanation for the difference between both passes could be due to the effects of diurnal solar heating cycles (van der Velde et al., 2012). Additionally, the ECV SM data displayed higher correlations ( $R$  between 0.84 and 0.85) with the in-situ measurements in comparison to the Kilworth site. As identified in Dorigo et al. (2015), sites which exhibit a pronounced annual soil moisture cycle and where this seasonality is suitably detected by the ECV SM product, usually result in high correlations between the ECV SM and in-situ data such as those obtained at the Irish sites.

**Table 2. Comparison (correlation coefficient –  $R$ , and unbiased root mean square difference – *ubRMSD*) between ASAR derived soil moisture and in-situ soil moisture, ECV and in-situ, and ASAR and ECV at the six study sites. A represents ASAR ascending acquisitions, D represents ASAR descending acquisitions, and AD represents combined ASAR ascending and descending acquisitions (daily averages were calculated if there were ascending and descending passes on the same day).**

		n	ASAR vs In-situ			ECV vs In-situ			ASAR vs ECV		
			$R$	$p$	<i>ubRMSD</i>	$R$	$p$	<i>ubRMSD</i>	$R$	$p$	<i>ubRMSD</i>
Kilworth	AD	85	0.70	<0.001	0.05	0.77	<0.001	0.04	0.81	<0.001	0.04
	A	17	0.71	0.001	0.05	0.63	0.007	0.05	0.73	<0.001	0.05
	D	68	0.71	<0.001	0.05	0.79	<0.001	0.04	0.83	<0.001	0.04
Solohead	AD	76	0.71	<0.001	0.07	0.85	<0.001	0.05	0.77	<0.001	0.06
	A	16	0.87	<0.001	0.04	0.84	<0.001	0.05	0.92	<0.001	0.03
	D	60	0.71	<0.001	0.07	0.85	<0.001	0.05	0.77	<0.001	0.06
Zamarron	AD	51	0.71	<0.001	0.03	0.86	<0.001	0.02	0.77	<0.001	0.02
	A	16	0.67	0.004	0.03	0.90	<0.001	0.02	0.79	<0.001	0.02
	D	32	0.63	<0.001	0.03	0.87	<0.001	0.02	0.71	<0.001	0.02
Las Arenas	AD	60	0.56	<0.001	0.07	0.64	<0.001	0.07	0.80	<0.001	0.05
	A	19	0.63	0.004	0.06	0.72	<0.001	0.05	0.69	0.001	0.05
	D	41	0.53	<0.001	0.08	0.66	<0.001	0.07	0.82	<0.001	0.05
Sodankyla	AD	121	-0.18	0.048	0.05	-0.24	0.008	0.05	0.52	<0.001	0.03
	A	73	-0.17	0.150	0.05	-0.24	0.041	0.05	0.55	<0.001	0.03
	D	48	-0.19	0.195	0.05	-0.25	0.086	0.05	0.47	<0.001	0.03
Suomussalmi	AD	101	-0.09	0.370	0.07	0.14	0.162	0.06	0.41	<0.001	0.05
	A	62	-0.15	0.244	0.07	0.30	0.018	0.05	0.28	0.027	0.05
	D	39	0.05	0.762	0.07	-0.11	0.505	0.07	0.95	<0.001	0.04

At the Spanish sites, the soil moisture is a lot less variable at Zamarron, compared to Las Arenas (see Figure 4). Interestingly, the ASAR and ECV SM datasets displayed a better overall agreement with the in-situ measurements at Zamarron, compared to Las Arenas, given the lower soil moisture variability ( $R = 0.71$  compared to 0.56 for the ASAR – in-situ comparison, and  $R = 0.86$  compared to 0.64 for the ECV – in-situ comparison). As expected, the Zamarron site had a much lower *ubRMSD* (0.02 – 0.03) compared to the Las Arenas site (0.05 – 0.08), as the area is much dryer and the soil moisture less variable in this region. At Las Arenas, the highest soil moisture values were observed between December and May for most years, with lowest values occurring between June and November. The trend is similar for Zamarron, although the overall range of soil moisture values were

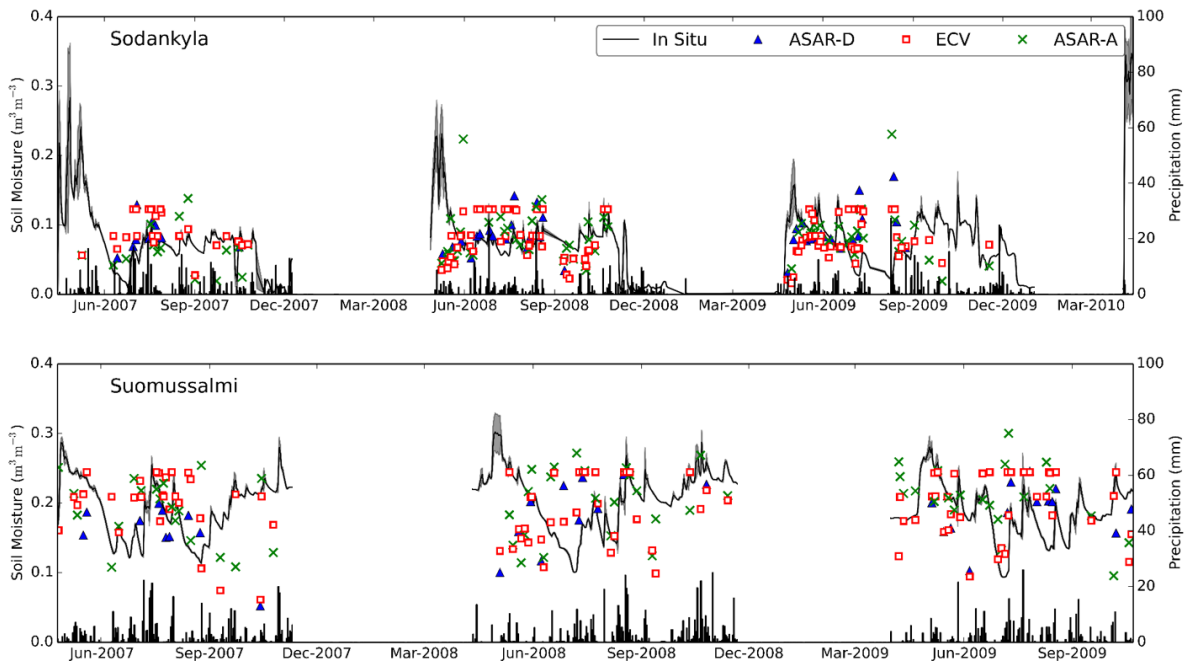
much lower. Similar to the Irish sites, the correlation between the ASAR and ECV SM soil moisture values was high for the Zamarron and Las Arenas sites, with  $R = 0.77$  and  $0.80$  respectively. However, the correlations for ASAR against the in-situ measurements were generally weaker than for the ECV SM dataset, despite the scale gap between measurements being less pronounced. Similar findings were observed by Pathe et al. (2009) when comparing ASAR-GM derived soil moisture to ERS scatterometer and in-situ soil moisture measurements (Oklahoma MESONET. Zribi et al. (2014) also found similar results for ASAR-WS derived soil moisture (resampled to 1km resolution) and ERS/ASCAT products covering a semi-arid region in central Tunisia. Moreover, both of these studies demonstrated a strong correlation between coarse scale soil moisture products and the finer resolution ASAR derived soil moisture products ( $R > 0.8$ ). This is an indication of the representativeness errors in the in-situ sites which were very likely to be larger than those of the ASAR data and supports the argument of using finer spatial resolution SAR data as another source for intercomparison.



**Figure 4: Time series of in-situ (continuous black line), ASAR (ascending (green cross) and descending (blue triangle) passes), and ECV (red square) soil moisture values for Zamarron and Las Arenas sites in Spain. The daily accumulated precipitation is represented by vertical bars along the x-axis.**

The weakest correlations across all dataset comparisons occurred at the Finnish sites (Figure 5). There are several possible reasons for this poor performance. Firstly, the area contained within these ECV cells is dominated by forests. Dense vegetation cover attenuates the backscattered signal and decreases the sensitivity of the radar backscatter to soil moisture (Ulaby et al., 1986). Secondly, the GTK Soumussalmi in-situ soil moisture sensor is buried at a depth of 0.1m which may be considered beyond the depth at which the satellite is sensitive to surface soil moisture (generally only sensitive to top few centimetres of the soil surface at C-band). Despite this, the correlations were no better for the FMI Sodankyla in-situ sensor which is buried at a depth of 0.05m. Similar low correlations have been observed by Paulik et al. (2014) using ASCAT data and by Al-Yaari et al. (2014) for the northern latitudes. Conversely, Griesfeller et al. (2015) found relatively high correlations at stations (with soil sensors buried at a depth of 0.1m) located in Norway (ranging in latitude from  $58^{\circ}45'$  to  $69^{\circ}01'$ ) for both ASCAT ( $R$  ranging from 0.68 to 0.72) and AMSR-E ( $R$  ranging from 0.52 to 0.64) data. Despite

this, the ASAR – ECV SM comparison at both Soumussalmi and Sodankyla displayed moderate agreement with  $R$  values of 0.41 and 0.51 respectively, indicating the two independently satellite derived datasets were in reasonable agreement with one another. A further possible explanation for the lower correlation values, in comparison to the Irish and Spanish sites, may be as a result of the increased presence of surface water bodies. Most soil moisture validation studies occur around the central latitudes and there is a need for further studies concentrating on the northern high latitudes, as indicated by our results and those of Al-Yaari et al. (2014) and Griesfeller et al. (2015), and in light of the rapid warming occurring in these regions in recent decades (Xu et al., 2013).

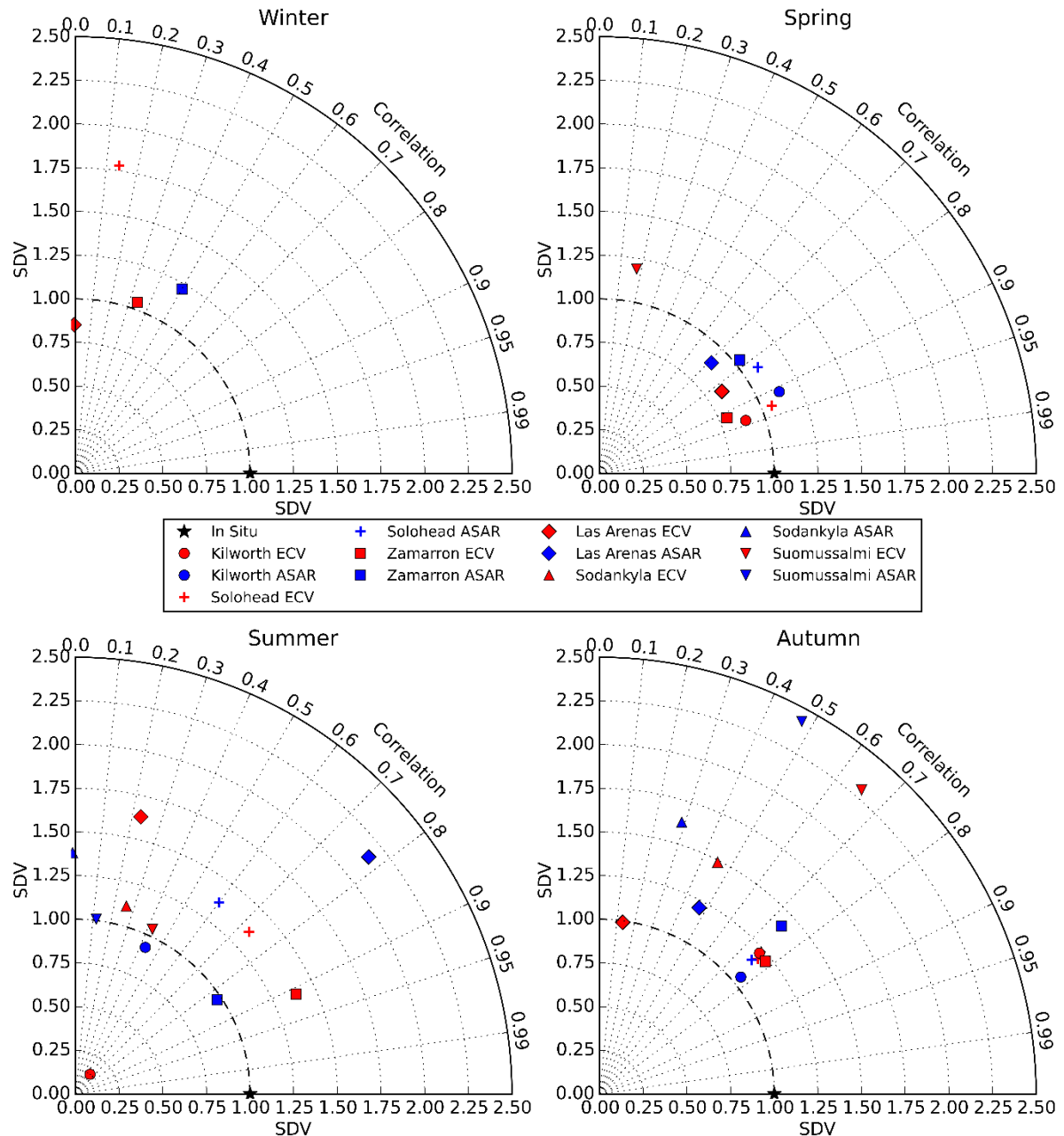


**Figure 5: Time series of in-situ (continuous black line), ASAR (ascending (green cross) and descending (blue triangle) passes), and ECV (red square) soil moisture values for Sodankyla and Suomussalmi sites in Finland. The daily accumulated precipitation is represented by vertical bars along the x-axis. There are missing values between the months of December and April as the soil is frozen during this time period.**

### 3.2 Seasonal analysis

Figure 6 displays four Taylor diagrams, illustrating the statistics from the comparison between ASAR and in-situ, and ECV and in-situ soil moisture measurements for the six different study sites on a seasonal basis. There was less agreement during winter acquisitions, where negative correlations were not displayed, and hence there were fewer symbols. Additionally, as the soil at the Finnish sites was frozen during the winter months, no values were included in the analysis. The ECV (red) and ASAR (blue) soil moisture values were less variable in spring, as demonstrated by their proximity to the dashed arc, representing a normalised standard deviation (SDV) value of one. The ECV values for Kilworth, Zamarron, and Las Arenas exhibited less variability than the in-situ measurements ( $SDV < 1$ ). However, there was generally no tendency for the stations located in Ireland, Spain, and Finland, as symbols were present on either side of the dashed line for all seasons. Overall, the highest correlation values and lowest SDV values occurred in spring for the Irish and Spanish sites. This would suggest that the ECV SM and ASAR product have a reduced capability for capturing the driest

and wettest soil conditions at these sites, occurring during summer and winter, respectively. The grassland vegetation also reaches maximum growth during the summer months which may reduce the quality of the soil moisture retrievals. In contrast, the Zamarron ECV SM and ASAR values displayed high correlations during summer and autumn, while the results for Las Arenas were more variable.



**Figure 6. Taylor diagram illustrating the seasonal comparison metrics between ASAR and in-situ soil moisture (blue), and ECV and in-situ soil moisture (red) for the six study sites. The SDV represents the normalised standard deviation and is calculated as the ratio between satellite and in-situ standard deviations.**

The poorest agreement generally occurred at the Finnish sites across spring and summer but was much improved during autumn. Nonetheless, they still represented weak to moderate correlations, where the ECV SM product outperformed the ASAR product. These poor results were likely due to

the dense forest cover in these areas and also the reduced capability of the ASAR soil moisture retrieval algorithm for data acquired above 60° latitude. The challenges for deriving soil moisture in high latitudes has been documented by Bartsch et al. (2011) and further explored by Gouttevin et al. (2013) and Högström et al. (2014). The presence of permanent open water surfaces within the ECV cell size may be an additional source of disagreement between the satellite derived and in-situ soil moisture values.

## **4. Conclusions**

An intercomparison study of two satellite derived surface soil moisture products with in-situ measurements in three European countries has been presented in this chapter. The study focused on the ability of the satellite soil moisture datasets to capture the same relative temporal behaviour and this was compared to the in-situ soil moisture observations as a reference. The study demonstrated that the coarse scale ECV SM product was representative of the temporal soil moisture variations observed through finer scale ASAR-derived and in-situ soil moisture observations at the selected study sites. Strong correlations were observed over humid (Irish) and semi-arid (Spanish) sites, while weak correlations were observed over the boreal (Finnish) sites. Given the current large data volumes being generated by Sentinel-1A, and the significant increase when Sentinel-1B is launched in 2016, soil moisture change detection techniques such as the TU Wien approach adapted for ASAR data are likely to be applicable and improved for Sentinel-1 data (Hornacek et al., 2012). The benefits of using SAR data with a higher radiometric resolution has been demonstrated by Dostálová et al. (2014) and this new dataset could be used not only as another validation source for coarse-scale soil moisture products but also for applications which require finer spatial resolution soil moisture data such as hydrological or runoff modelling.

The ECV SM product uses multiple active and passive sensors; however, the future NASA Soil Moisture Active Passive (SMAP) mission (Entekhabi et al. 2010), a successor to the cancelled Hydrosphere State (HYDROS) mission (Entekhabi et al., 2004), will integrate L-band radar and radiometer measurements from a single platform to provide high-resolution and high-accuracy global maps of surface SMC every two to three days and may thereby overcome some of the limitations of using individual active or passive MW observations to determine soil moisture content. Further improvements in the ECV SM product such as eliminating the use of any ancillary data (e.g. soil texture maps (de Jeu et al., 2014) or rescaling to GLDAS-Noah land surface model) within the retrieval routine are highly desired by the scientific community (Loew et al., 2013). Incorporating additional sensors and improving their intercalibration will also lead to an improved product that will further strengthen our knowledge on observed soil moisture dynamics.

## **Acknowledgements**

The authors gratefully acknowledge the ESA CCI Soil Moisture project for supporting this work (ESRIN Contract No. 4000104814/11/I-NB) and Wolfgang Wagner and Wouter Dorigo for their guidance. We would also like to thank Ger Kiely for providing access to the Irish in-situ soil moisture datasets. The authors would also like to thank the anonymous reviewer and George Petropoulos for their helpful suggestions and comments.

## References

- Al-Yaari, A. et al., 2014. Global-scale comparison of passive (SMOS) and active (ASCAT) satellite based microwave soil moisture retrievals with soil moisture simulations (MERRA-Land). *Remote Sensing of Environment*, 152: 614-626.
- Albergel, C. et al., 2012. Evaluation of remotely sensed and modelled soil moisture products using global ground-based in situ observations. *Remote Sensing of Environment*, 118: 215-226.
- Albergel, C., Brocca, L., Wagner, W., de Rosnay, P. and Calvet, J.-C., 2013a. Selection of Performance Metrics for Global Soil Moisture Products: The Case of ASCAT Product. *Remote Sensing of Energy Fluxes and Soil Moisture Content*: 427.
- Albergel, C. et al., 2013b. Monitoring multi-decadal satellite earth observation of soil moisture products through land surface reanalyses. *Remote Sensing of Environment*, 138: 77-89.
- Albergel, C. et al., 2013c. Skill and global trend analysis of soil moisture from reanalyses and microwave remote sensing. *Journal of Hydrometeorology*, 14(4): 1259-1277.
- Bartsch, A., Sabel, D., Wagner, W., & Park, S.E. 2011. Considerations for derivation and use of soil moisture data from active microwave satellites at high latitudes. Proceedings of IEEE International Geoscience and Remote Sensing Symposium (IGARSS 2011), Vancouver, British Columbia, Canada, 24 – 29 July 2011.
- Barrett, B., Dwyer, E. and Whelan, P., 2009. Soil moisture retrieval from active spaceborne microwave observations: An evaluation of current techniques. *Remote Sensing*, 1(3): 210-242.
- Barrett, B. and Petropoulos, G.P., 2013. Satellite Remote Sensing of Surface Soil Moisture. In: G.P. Petropoulos (Editor), *Remote Sensing of Energy Fluxes and Soil Moisture Content*. CRC Press, Boca Raton, FL, pp. 85 - 120.
- Bartalis, Z. et al., 2007. Initial soil moisture retrievals from the METOP-A Advanced Scatterometer (ASCAT). *Geophysical Research Letters*, 34(20): L20401.
- Bolten, J. and Crow, W., 2012. Improved prediction of quasi-global vegetation conditions using remotely-sensed surface soil moisture. *Geophysical Research Letters*, 39(19).
- Brocca, L., Melone, F., Moramarco, T., Wagner, W. and Albergel, C., 2013. Scaling and filtering approaches for the use of satellite soil moisture observations. In: G.P. Petropoulos (Editor), *Remote Sensing of Energy Fluxes and Soil Moisture Content*. CRC Press pp. 411 - 426.
- Ceballos, A., Scipal, K., Wagner, W. and Martínez-Fernández, J., 2005. Validation of ERS scatterometer-derived soil moisture data in the central part of the Duero Basin, Spain. *Hydrological Processes*, 19(8): 1549-1566.
- Crow, W.T. et al., 2012. Upscaling sparse ground-based soil moisture observations for the validation of coarse-resolution satellite soil moisture products. *Rev. Geophys.*, 50(2): RG2002.
- De Jeu, R. and Owe, M., 2003. Further validation of a new methodology for surface moisture and vegetation optical depth retrieval. *International Journal of Remote Sensing*, 24(22): 4559-4578.
- De Jeu, R.A., Holmes, T.R., Parinussa, R.M. and Owe, M., 2014. A spatially coherent global soil moisture product with improved temporal resolution. *Journal of Hydrology*, 516: 284-296.
- Desnos, Y. et al., 2000. ASAR-Envisat's Advanced Synthetic Aperture Radar. *ESA BULLETIN*, 102: 91-100.
- Dorigo, W. et al., 2011. The International Soil Moisture Network: a data hosting facility for global in situ soil moisture measurements. *Hydrology and Earth System Sciences*, 15(5): 1675-1698.
- Dorigo, W. et al., 2013. Global automated quality control of in situ soil moisture data from the International Soil Moisture Network. *Vadose Zone Journal*, 12(3).
- Dorigo, W. et al., 2015. Evaluation of the ESA CCI soil moisture product using ground-based observations. *Remote Sensing of Environment*, 162: 380-395.
- Dostálová, A., Doubková, M., Sabel, D., Bauer-Marschallinger, B. and Wagner, W., 2014. Seven Years of Advanced Synthetic Aperture Radar (ASAR) Global Monitoring (GM) of Surface Soil Moisture over Africa. *Remote Sensing*, 6(8): 7683-7707.
- Doubková, M., Van Dijk, A.I., Sabel, D., Wagner, W. and Blöschl, G., 2012. Evaluation of the predicted error of the soil moisture retrieval from C-band SAR by comparison against

- modelled soil moisture estimates over Australia. *Remote Sensing of Environment*, 120: 188-196.
- Drusch, M., Wood, E. and Gao, H., 2005. Observation operators for the direct assimilation of TRMM microwave imager retrieved soil moisture. *Geophysical Research Letters*, 32(15).
- European Environment Agency (EEA), 1995. CORINE Land Cover Project. *Commission of the European Communities*.
- Entekhabi, D. et al., 2004. The hydrosphere State (hydros) Satellite mission: an Earth system pathfinder for global mapping of soil moisture and land freeze/thaw. *IEEE Transactions on Geoscience and Remote Sensing*, 42(10): 2184-2195.
- Entekhabi, D. et al., 2010. The soil moisture active passive (SMAP) mission. *Proceedings of the IEEE*, 98(5): 704-716.
- FAO/IIASA/ISRIC/ISS-CAS/JRC, 2009. Harmonized World Soil Database (version 1.1). FAO, Rome, Italy and IIASA, Laxenburg, Austria. Available at <http://www.fao.org/docrep/018/aq361e/aq361e.pdf> (last accessed 1st July 2015).
- Gouttevin, I., Bartsch, A., Krinner, G. and Naeimi, V., 2013. A comparison between remotely-sensed and modelled surface soil moisture (and frozen status) at high latitudes. *HESSD*, 10, 11241-11291.
- Griesfeller, A. et al., 2015. Evaluation of satellite soil moisture products over Norway using ground-based observations. *International Journal of Applied Earth Observation and Geoinformation*.
- Gruber, A., Dorigo, W., Zwieback, S., Xaver, A. and Wagner, W., 2013. Characterizing coarse-scale representativeness of in situ soil moisture measurements from the International Soil Moisture Network. *Vadose Zone Journal*, 12(2).
- Högström, E., Trofaiier, A.M., Gouttevin, I. and Bartsch, A., 2014. Assessing seasonal backscatter variations with respect to uncertainties in soil moisture retrieval in Siberian Tundra regions. *Remote Sensing*, 6(9): 8718-8738.
- Hornacek, M. et al., 2012. Potential for high resolution systematic global surface soil moisture retrieval via change detection using Sentinel-1. *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*, 5(4): 1303-1311.
- Kerr, Y.H. et al., 2012. The SMOS soil moisture retrieval algorithm. *IEEE Transactions on Geoscience and Remote Sensing*, 50(5): 1384-1403.
- Klein Tank, A. et al., 2002. Daily dataset of 20th century surface air temperature and precipitation series for the European Climate Assessment. *International Journal of Climatology*, 22(12): 1441-1453.
- Koster, R.D. et al., 2004. Regions of strong coupling between soil moisture and precipitation. *Science*, 305(5687): 1138-1140.
- Li, L. et al., 2010. WindSat global soil moisture retrieval and validation. *IEEE Transactions on Geoscience and Remote Sensing*, 48(5): 2224-2241.
- Liu, Y. et al., 2012. Trend-preserving blending of passive and active microwave soil moisture retrievals. *Remote Sensing of Environment*, 123: 280-297.
- Liu, Y. et al., 2011. Developing an improved soil moisture dataset by blending passive and active microwave satellite-based retrievals. *Hydrology and Earth System Sciences*, 15(2): 425-436.
- Liu, Y.Y., van Dijk, A.I., de Jeu, R.A. and Holmes, T.R., 2009. An analysis of spatiotemporal variations of soil and vegetation moisture from a 29-year satellite-derived data set over mainland Australia. *Water Resources Research*, 45(7).
- Loew, A., Stacke, T., Dorigo, W., Jeu, R.d. and Hagemann, S., 2013. Potential and limitations of multidecadal satellite soil moisture observations for selected climate model evaluation studies. *Hydrology and Earth System Sciences*, 17(9): 3523-3542.
- Martínez-Fernández, J. and Ceballos, A., 2005. Mean soil moisture estimation using temporal stability analysis. *Journal of Hydrology*, 312(1): 28-38.
- Mecklenburg, S. et al., 2012. ESA's soil moisture and ocean salinity mission: Mission performance and operations. *IEEE Transactions on Geoscience and Remote Sensing*, 50(5): 1354-1366.
- Mladenova, I. et al., 2010. Validation of the ASAR global monitoring mode soil moisture product using the NAFE'05 data set. *IEEE Transactions on Geoscience and Remote Sensing*, 48(6): 2498-2508.



- Naeimi, V., Scipal, K., Bartalis, Z., Hasenauer, S. and Wagner, W., 2009. An improved soil moisture retrieval algorithm for ERS and METOP scatterometer observations. *IEEE Transactions on Geoscience and Remote Sensing*, 47(7): 1999-2013.
- Njoku, E.G., Jackson, T.J., Lakshmi, V., Chan, T.K. and Nghiem, S.V., 2003. Soil moisture retrieval from AMSR-E. *IEEE Transactions on Geoscience and Remote Sensing*, 41(2): 215-229.
- Ochsner, T.E. et al., 2013. State of the art in large-scale soil moisture monitoring. *Soil Science Society of America Journal*, 77(6): 1888-1919.
- Owe, M., de Jeu, R. and Holmes, T., 2008. Multisensor historical climatology of satellite-derived global land surface moisture. *Journal of Geophysical Research*, 113(F1): F01002.
- Owe, M., de Jeu, R. and Walker, J., 2001. A methodology for surface soil moisture and vegetation optical depth retrieval using the microwave polarization difference index. *IEEE Transactions on Geoscience and Remote Sensing*, 39(8): 1643-1654.
- Pathe, C., Wagner, W., Sabel, D., Doubkova, M. and Basara, J.B., 2009. Using ENVISAT ASAR global mode data for surface soil moisture retrieval over Oklahoma, USA. *IEEE Transactions on Geoscience and Remote Sensing*, 47(2): 468-480.
- Paulik, C., Dorigo, W., Wagner, W. and Kidd, R., 2014. Validation of the ASCAT Soil Water Index using in situ data from the International Soil Moisture Network. *International Journal of Applied Earth Observation and Geoinformation*, 30: 1-8.
- Peters, J., Lievens, H., Baets, B.D. and Verhoest, N., 2012. Accounting for seasonality in a soil moisture change detection algorithm for ASAR Wide Swath time series. *Hydrology and Earth System Sciences*, 16(3): 773-786.
- Petropoulos, G.P., Ireland, G. and Barrett, B., 2015. Surface soil moisture retrievals from remote sensing: Current status, products & future trends. *Physics and Chemistry of the Earth, Parts A/B/C*.
- Pratola, P., Barrett, B., Gruber, A., Kiely, G. and Dwyer, N., 2014. Evaluation of a Global Soil Moisture Product from Finer Spatial Resolution SAR Data and Ground Measurements at Irish Sites. *Remote Sensing*, 6, 8190 – 8219.
- Reichle, R.H. and Koster, R.D., 2004. Bias reduction in short records of satellite soil moisture. *Geophysical Research Letters*, 31(19).
- Robock, A. et al., 2000. The global soil moisture data bank. *Bulletin of the American Meteorological Society*, 81(6): 1281-1299.
- Sanchez, N., Martinez-Fernandez, J., Scaini, A. and Perez-Gutierrez, C., 2012. Validation of the SMOS L2 Soil Moisture Data in the REMEDHUS Network (Spain). *IEEE Transactions on Geoscience and Remote Sensing*, 50(5): 1602-1611.
- Schulte, R., Diamond, J., Finkle, K., Holden, N. and Brereton, A., 2005. Predicting the soil moisture conditions of Irish grasslands. *Irish Journal of Agricultural and Food Research*, 44(1): 95-110.
- Seneviratne, S.I. et al., 2010. Investigating soil moisture–climate interactions in a changing climate: A review. *Earth-Science Reviews*, 99(3): 125-161.
- Ulaby, F.T., Moore, R.K. and Fung, A.K., 1986. *Microwave Remote Sensing: Active and Passive. Volume Scattering and Emission Theory, Advanced Systems and Applications, Volume III*, 3. Artech House, Dedham, Massachusetts.
- van der Velde, R. et al., 2012. Soil moisture mapping over the central part of the Tibetan Plateau using a series of ASAR WS images. *Remote Sensing of Environment*, 120: 175-187.
- van Doninck, J., Peters, J., Lievens, H., de Baets, B. and Verhoest, N., 2012. Accounting for seasonality in a soil moisture change detection algorithm for ASAR Wide Swath time series. *Hydrology and Earth System Sciences*, 16: 773-786.
- Wagner, W. et al., 2012. Fusion of active and passive microwave observations to create an Essential Climate Variable data record on soil moisture, XXII ISPRS Congress, Melbourne, Australia, pp. (In Press).
- Wagner, W., Lemoine, G., Borgeaud, M. and Rott, H., 1999a. A study of vegetation cover effects on ERS scatterometer data. *IEEE Transactions on Geoscience and Remote Sensing*, 37(2): 938-948.
- Wagner, W., Lemoine, G. and Rott, H., 1999b. A method for estimating soil moisture from ERS scatterometer and soil data. *Remote Sensing of Environment*, 70(2): 191-207.

- Wagner, W. et al., 2008. Temporal Stability of Soil Moisture and Radar Backscatter Observed by the Advanced Synthetic Aperture Radar (ASAR). *Sensors*, 8(2): 1174-1197.
- Wagner, W. et al., 2003. Evaluation of the agreement between the first global remotely sensed soil moisture data with model and precipitation data. *J. geophys. Res.*, 108(D19): 4611.
- Western, A.W. and Blöschl, G., 1999. On the spatial scaling of soil moisture. *Journal of Hydrology*, 217(3): 203-224.
- Xu, L. et al., 2013. Temperature and vegetation seasonality diminishment over northern lands. *Nature Climate Change*, 3(6): 581-586.
- Zeng, J. et al., 2015. Evaluation of remotely sensed and reanalysis soil moisture products over the Tibetan Plateau using in-situ observations. *Remote Sensing of Environment*, 163: 91-110.
- Zribi, M. et al., 2014. Soil moisture mapping in a semiarid region, based on ASAR/Wide Swath satellite data. *Water Resources Research*, 50(2): 823-835.