ARMA OPEN ACCESS SPECIAL INTEREST GROUP: 2ND JUNE 2015

Many thanks for your participation in the recent Open Access Special Interest Group meeting.

Below is a summary of the actions if you do not want to read the entire detail of the meeting.

TOPIC	ACTION	ACTIONEE
REF	Rob Johnson to take the concerns back to HEFCE. Valerie McCutcheon to input these to the forthcoming E2E REF workshop (planned for 10th September in Southampton) as well as sharing them with Jisc.	ROB JOHNSON VALERIE MCCUTCHEON
CONNECTING GRANTS WITH PUBLICATIONS	All to consider how the community can help. Will showing how others have done this be any use?	ALL
RCUK OA REPORTING	Action: Valerie McCutcheon will contact RCUK to ask them for clarification of content of next return.	VALERIE MCCUTCHEON
MULTI AUTHOR OUTPUTS	How can we better manage recording of multi author/multi award outputs Action: Valerie McCutcheon and Jennifer Evans to discuss initial ideas.	VALERIE MCCUTCHEON JENNIFER EVANS
DATA MANAGEMENT	Requesting data infrastructure support in RCUK grants good practice? Action: Valerie McCutcheon to check in a few months to see if UCL managed to get this recommendation accepted and how they plan to implement it and share this information with the SIG.	VALERIE MCCUTCHEON
SHARING BEST PRACTICE	Best practice and case studies. Action – does the community want some? If so please try to describe what aspects and we can seek some out and share them.	ALL
JOINING UP OPEN ACCESS SUPPORT	Joining up Research Office and Library activity and roles. Action: Chris Awre and Valerie McCutcheon agreed to outline a proposal.	VALERIE MCCUTCHEON CHRIS AWRE
COMPLIANCE TESTING	(Voluntary) informal compliance testing across the UK? Coordinated by ARMA? Possibly a buddy system. Possible use of a CASRAI profile. Action: David Baker and Valerie McCutcheon to discuss possible proposal for CASRAI profile. Valerie McCutcheon to discuss idea of informal testing network with ARMA directors.	VALERIE MCCUTCHEON DAVID BAKER
HEFCE OA	Have an activity where HEFCE change something (e.g. compliance guidance).	TBD
ARMA OA POSITION	Action: Jayne Hall will circulate an invite to attend a meeting regarding an ARMA position statement on OA.	JAYNE HALL
SHARING IDEAS	Action: Valerie McCutcheon to liaise with Sarah Fahmy of Jisc to help improve sharing of ideas and consultation on open access	VALERIE MCCUTCHEON

If your issue was not discussed on the day why not use the ARMA mailing list to pose the question and we can try to come up with some suggestions from the community?

Login to the ARMA site https://www.arma.ac.uk/ by clicking on the small 'Log in' text in the top right hand corner. Click on your name in the same top right hand corner and select 'My Profile'. On the left hand menu select 'My Mailing Lists'.

I will revisit these actions in a few months. Please feel free to contact me directly and I look forward to hearing from you all via the lists.

Valerie McCutcheon valerie.mccutcheon@glasgow.ac.uk

15th June 2015

1) ISSUES

These are more or less verbatim except where acronyms had to be explained or additional explanation added. Where the same issue was raised several times we have tried to avoid repeating it below.

1.1. RESEARCH EXCELLENCE FRAMEWORK (REF)

Compliance with REF requirements starting with April 2016 deadline

- HEFCE have said that SHERPA FACT should facilitate this

What does a great OA research environment look like? (REF brownie points on offer!)

International authors difficult to comply if they are not at concerned.

Audit of date acceptance

Engagement of academic staff with OA agenda

If I have lots of publications with long embargoes I will have lots of exceptions – worried!

Resource increase required for managing acceptance date workflow

How to find out when a paper is published

Compliance –

Are we breaking our backs when HEFCE won't really care?

WHY DON'T THEY CARE?! (In theory) it's easy to provide compliance data.

ORCID mandate for REF

Convincing publishers to supply DOI on acceptance? (Where is the driver for them to do so currently?)

How will HEFCE collect REF audit info from repositories?

Why 90 days? (There will always be the need for exceptions, but with no apparent way to set an exception on this issue).

Staff are mobile; SO, How can we get information from other HEI's, relevant to the REF, if and when staff move from one HEI to another close to the next REF submission date? We will need metadata on publications for OA and not just a note of outputs themselves. There is an exception if movement is recent.

Our systems can capture data but is it reliable?

Action: Rob Johnson to take these concerns back to HEFCE. Valerie McCutcheon to input these to the forthcoming E2E REF workshop (planned for 10th September in Southampton) as well as sharing them with Jisc.

1.2. FUNDER OA ISSUES (RCUK, Wellcome, EU etc.)

Reporting OA compliance to RCUK

- Connecting grants with publications still a problem for some. **Action – how can we help? Will showing how others have done this be any use?** (Example from Glasgow EPrints below)

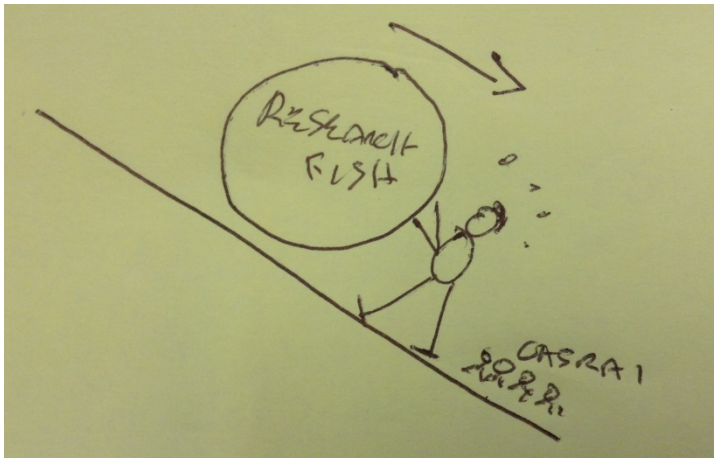
Social interactions, emotion and sleep: a review and research agenda

Beattie, L., Kyle, S. D., Espie, C. A., and Biello, S. M. (2015) Social interactions, emotion and sleep: a review and research agenda. *Sleep Medicine Reviews*. (doi:10.1016/j.smrv.2014.12.005) (In Press)

Text
101085.pdf - Published Version
Available under License Creative Commons Attribution.
821Kb

Project Code	Award No	Project Name	Principal Investigator	Funder's Name	Funder Ref	Lead Dept
57726	1	Glasgow - ESRC Standard Research Transition Standard Quota DTG	Mary Beth Kneafsey	Economic & Social Research Council (ESRC)	ES/I902414/1	RSI - RESEARCH STRATEGY & INNOVATION

- We need advance notice of what RCUK require for future reporting
  Action: Valerie McCutcheon will contact RCUK to ask them for clarification
- Integration of outcomes reporting activity with open access reporting



Funder policies confusing and keep changing.

What to do when policies clash?

- Can funders better align their policies?

Cost! Who pays? Any Why?

What level of gold OA funding is it reasonable to request in H2020?

Everyone does things differently.

- One institution might not know what another is doing with co-authored articles. Some duplication.
- How can we better manage recording of multi author/multi organisation/multi award outputs Action**:**
  Valerie McCutcheon and Jennifer Evans to discuss initial ideas.

Weaponise open access.

Licensing what should be applied and how?

- Not clear which licences we should use for ESRC funding.

  CC-BY/CC-BY-NC/CC-BY-NC-ND do ESRC insist on?

- Deposit licences.

Higher education in back foot with OA - shambles - try better plan advance notice

1.3. RESEARCH DATA MANAGEMENT (RDM)

Where to begin?

Who should take the lead on OA at institutional level? Research Office or Library?

(Valerie notes – I don't think it matters who leads as long as there is a clear agreement on who is leading and communication on who is doing what)

Requesting data infrastructure support in RCUK grants good practice?

Action: Valerie McCutcheon to check in a few months to see if UCL managed to get this recommendation accepted and how they plan to implement it and share this information with the SIG.

Cost

Cheap, on demand, resilient, secure data storage

National network of disciplinary data centres

How can we be sure that we are including all relevant issues when developing our RDM POLICY?

Ownership of data

How can we be sure that we are including all relevant issues when developing our RDM policy?

To manage raw or cleaned data

Demonstrating compliance

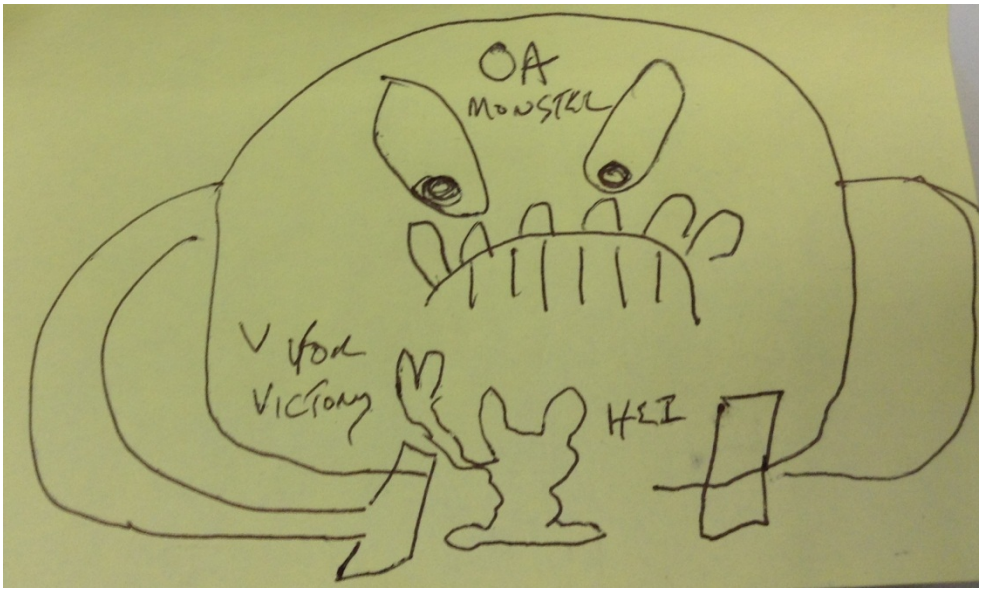
Who owns student research data (undergraduates)?

How long should data be held for?

Standard metadata profile for dataset

Which data should be preserved?

1.4. OTHER ISSUES



How to capture the 'hearts & minds' of researchers, to ensure engagement

Complex messages – over-arching message is simple but the devil is in the detail

Roll out of CRIS (PURE, EPrints, Symplectic etc.) – ensuring system integration

Jisc working positively with CRIS vendors (includes non-commercial suppliers)

Issues and pitfalls – how to overcome them

Best practice and case studies. **Action – does the community want some? If so please try to describe what aspects and we can seek some out and share them.**

A researcher has asked how we can ensure papers are properly referenced when accessed as an author accepted manuscript (AAM) on our repository, with no journal title, pages etc. – is this an issue elsewhere?

Publisher and institutional processes need streamlining.

What value do publishers add to the sector?

Publishers not set up for OA – restricts journals we can publish in

HR and Recruitment – how will we ensure our new appointments (post April 2016) are REF compliant?

2.0 OUTCOMES OF THE REF OA REVIEW

(Insert Link to Rob Johnson Presentation Review of REF Implementation)

3.0 OPEN ACCESS METADATA EXAMPLE

(Insert link to Valerie McCutcheon presentation eprints open access work)

4.0 CASRAI OA STANDARD

(Insert link to Valerie McCutcheon presentation eprints open access work)

5.0 SUGGESTIONS FOR SIG ACTIVITIES

Joining up Research Office and Library activity and roles. **Action: Chris Awre and Valerie McCutcheon agreed to outline a proposal.**

Facilitating an inter-institutional OA approach for co-authors??? Or is that the job of academics?

(Voluntary) informal compliance testing across the UK? Coordinated by ARMA? Possibly a buddy system. Possible use of a CASRAI profile. **Action: David Baker and Valerie McCutcheon to discuss possible proposal. Valerie McCutcheon to discuss idea of informal testing network with ARMA directors.**

Have an activity where HEFCE change something (e.g. compliance guidance).

Action: To be discussed

Action: Jayne Hall will circulate an invite to attend a meeting regarding an ARMA position statement on OA.

Action: Valerie McCutcheon to liaise with Sarah Fahmy of Jisc to help improve sharing of ideas and consultation on open access with wider community.