1	Ideal cardiovascular health and risk of cardiovascular events in the EPIC-Norfolk prospective
2	population study
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25 Background The American Heart Association (AHA) has prioritized seven cardiovascular health metrics

26 to reduce the cardiovascular burden, including: body mass index, healthy diet, physical activity, smoking

27 status, blood pressure, HbA1c and total cholesterol. The aim of the current study was to assess the

28 association between the AHA-defined health metrics and the risk of cardiovascular events in the EPIC-

29 Norfolk prospective study.

30 **Design** Prospective cohort study.

31 Methods An overall cardiovascular health score was calculated based on the number of health metrics

32 including ideal, intermediate or poor. Cox proportional hazards models were used to describe the

association of the seven metrics separately and the overall health score with risk of coronary heart

disease, stroke and cardiovascular disease. A total of 10,043 participants were included in the analysis

35 (follow-up 1993-2008). For all individual health metrics a more ideal status was associated with a lower

36 risk of cardiovascular events

37 **Results and conclusion** As for the overall cardiovascular health score, those in the highest (i.e.

38 healthiest) category (score 12-14) had an adjusted hazard ratio for coronary heart disease of 0.07 (95 %

39 CI 0.02-0.29, P<0.001), for stroke of 0.16 (95% CI 0.02-1.37, p=0.09), and for cardiovascular disease of

40 0.07 (CI 0.02-0.23, p<0.001), compared to people in the lowest (i.e. unhealthiest) category (score 0-2).

41 The overall cardiovascular health score was strongly and inversely associated with risk of coronary heart

42 disease, stroke and cardiovascular disease. Our data suggest that even small improvements in

43 modifiable risk factors may lead to substantial reductions in the risks of cardiovascular events.

44 *Word count:* 249

45 Key words: Health metrics, risk factors, primary prevention, cardiovascular diseases

47 Introduction

Cardiovascular diseases (CVD) are the leading cause of mortality worldwide.(1) CVD is largely the
consequence of modifiable risk factors, including lifestyle.(2) The benefits of improving modifiable risk
factors are substantial. For instance, in the general population, smoking cessation, adequate physical
activity and favourable dietary changes can result in mortality reduction by 50%, 20-30% and 15-40%,
respectively.(3)

53 Clinical guidelines recognize the importance of optimizing modifiable risk factors for 54 cardiovascular risk management worldwide.(4, 5) There is, however, a rising trend in the prevalence of 55 unhealthy lifestyles, both in primary and secondary prevention settings.(6, 7) In 2010, the American 56 Heart Association (AHA) has expressed the ambition to reduce cardiovascular mortality by 20% in 2020 57 and has defined a set of 7 cardiovascular health metrics that will be used to measure progress toward 58 their 2020 goals for cardiovascular health in the general population: body mass index (BMI), healthy 59 diet, physical activity, smoking behaviour, blood pressure, fasting glucose level and cholesterol level.(8) 60 The AHA health metrics are mainly based on lifestyle related risk factors, in particular diet and physical 61 activity, which are not routinely assessed within validated risk scores such as Framingham and SCORE. 62 Furthermore, the association between the AHA health metrics and cardiovascular risk has not been 63 assessed in a European population. It was therefore our objective to assess the association between the 64 AHA-defined health metrics and the risk of cardiovascular disease in a British cohort of apparently 65 healthy individuals. 66 67 68 69

71 Methods

72 The European Prospective Investigation into Cancer (EPIC)-Norfolk cohort is a prospective population 73 study, which is part of the 10-country collaborative EPIC study. The design, methods and baseline 74 characteristics of the EPIC-Norfolk study have been described previously.(9) The cohort was designed to 75 assess dietary and other determinants of cancer. Additional data were obtained to investigate 76 determinants of other chronic diseases. Briefly, participants were recruited from age-sex registries of 77 general practices in the area of Norfolk. Participants completed a detailed health and lifestyle 78 questionnaire at the baseline survey between 1993 and 1997 and underwent physical examination, 79 blood samples were obtained and measurements were performed by trained nurses. 80 BMI was calculated by dividing weight in kilograms by height in meters squared. Dietary 81 information was obtained from a 130 item food frequency questionnaire (FFQ), see supplement .(10) 82 Physical activity was assessed using a questionnaire to quantify activity both at work and during leisure time, and categorized into four levels: active, moderately active, moderately inactive and inactive, see 83 supplement.¹⁰ This questionnaire has been validated against energy expenditure.(11) Smoking status 84 was self-reported, and derived from responses to the questions "Have you ever smoked as much as one 85 86 cigarette a day for as long as a year?" and "Do you smoke cigarettes now?". Blood pressure was 87 recorded using an Accutorr sphygmomanometer (Datascope, Huntington, UK). Serum total cholesterol 88 was measured in blood samples by colorimetry (RA 1000, Bayer Diagnostic, Basingstoke, UK).(9) HbA1c 89 was measured in baseline blood samples by Biorad Diomat high-performance liquid chromatography 90 (Richmond, California, USA). Funding only became available for HbA1c analyses halfway through the 91 study and measures are therefore only available for about 10,000 participants in the second half of the 92 recruited cohort.

Participants were identified as having been hospitalized or having died because of a
 cardiovascular event if the corresponding International Classification of Disease (ICD)-10 code was

95 recorded as the underlying cause of hospitalization or mortality. Hospitalized participants were 96 identified using their unique National Health Service number linked with the East Norfolk Health 97 Authority (ENCORE) database. The ENCORE database identified all hospital contacts throughout England 98 and Wales for residents of Norfolk. Death certificates were coded by trained nosologists according to 99 the International Classification of Diseases 10 (ICD-10). Deaths or hospitalizations were attributed to 100 coronary heart disease (CHD) if the underlying cause was coded by as ICD-10 codes 120-125, which 101 encompass the clinical spectrum of CHD, including unstable angina, stable angina and myocardial 102 infarction. Deaths or hospitalizations were attributed to stroke, if the underlying cause was coded as 103 ischemic (I63) or haemorrhagic stroke (I60-62). Cardiovascular disease was defined as either a CHD or 104 stroke. The follow-up was censored on March 31th 2008. The study protocol was approved by the 105 Norwich District Health Authority Ethics Committee and all participants gave written informed consent.

106

107 Definition of health metrics

108 The AHA defined seven cardiovascular health metrics, namely BMI, healthy diet score (HDS), physical 109 activity, smoking status, blood pressure, fasting plasma glucose and total cholesterol. These metrics 110 were classified as ideal, intermediate or poor according to the following definitions. BMI was classified as ideal if < 25 kg/m², as intermediate if 25-30 kg/m² and as poor if \ge 30 kg/m². The HDS was based on an 111 112 intake of \geq 5.0 cups fruit and vegetables; a participant with a value \geq 5.0 (representing \geq 5 cups per day) 113 was considered to meet the guidelines. The weight of the included fish items was multiplied by 7 and 114 divided by 3.5 oz (portion size); if the value was ≥ 2 , the participant was considered to consume ≥ 2 115 servings per week. For fibre-rich whole grains, participants consuming \geq 3 servings per day of 1 oz each 116 were considered to meet the guideline, as were participants with a sodium intake < 1500 mg per day 117 and ≤ 450 kcal sugar-sweetened beverages per week. The HDS was calculated as the sum of the number 118 of healthy food items, yielding a HDS range of 0 to 5. HDS was categorized as ideal (> 4), intermediate (2-

119 3), or poor (< 2). Physical activity was defined as ideal, intermediate, and poor if the status was active, 120 moderately active or moderately inactive, and inactive, respectively. Smoking status was classified as 121 ideal, intermediate or poor if the study participant had never smoked, previously smoked, or was a 122 current smoker, respectively. Blood pressure was defined as ideal if systolic pressure was < 120 mmHg 123 and diastolic pressure was < 80 mmHg, as intermediate if systolic pressure was 120-139 mmHg or 124 diastolic pressure was 80-89 mmHg with or without antihypertensive drug treatment, or poor if systolic 125 pressure was \geq 140 or diastolic pressure \geq 90 mmHg. Total cholesterol levels were classified as ideal (< 126 5.2 mmol/l), intermediate (5.2-6.2 mmol/l) or poor (≥ 6.2 mmol/l). In EPIC-Norfolk, HbA1c levels were 127 used instead of fasting glucose levels which were not available. HbA1c plasma levels were classified as 128 ideal (< 5.7 %), intermediate (5.7-6.5 %), or poor (\geq 6.5 %).

The overall cardiovascular health score (CHS) was calculated based on these 7 health metrics, giving 2 points for an ideal metric, 1 point for an intermediate metric, and 0 points for a poor metric, thus yielding an overall CHS between 0 and 14. The CHS was divided into 5 categories as follows: 0-2 (unhealthy), 3-5, 6-8, 9-11 and 12-14 (healthy).

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134 Statistical analysis

Descriptive data were presented as percentage and number for categorical variables, mean and standard deviation for continuous variables with a normal distribution, and median with interquartile range for continuous variables not normally distributed. Study participants with missing data for any of the cardiovascular health metrics, as well as those who had prevalent CHD or stroke, were excluded from this analysis.

A Cox proportional hazards model was used to assess the association between each health metric and the risk of cardiovascular events. Hazard ratios (HR) and 95% confidence intervals (95%CI) for the risk of cardiovascular events were calculated for study participants classified as having an ideal or

143 intermediate health metric, using those in the 'poor' category as reference. Hazard ratios were 144 calculated according to an unadjusted model and a model that adjusted for sex and age. Separate 145 analyses were performed for CHD, stroke and CVD events. HRs for CHD, stroke and CVD events were 146 also calculated according to categories of the overall CHS using the lowest category (score range 0-2) as 147 reference group. Given the fact that HbA1c levels were available in approximately half of the cohort, 148 analyses were repeated without taking HbA1c levels into account as one of the health metrics. This 149 caused the study cohort to double in size, but only 6 of the 7 AHA health metrics could be evaluated. 150 Statistical analyses were performed in SPSS version 20. A p-value < 0.05 was considered as statistically 151 significant.

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153 Results

154 The EPIC-Norfolk cohort comprised 25,663 study participants. A total of 15,620 (61%) were excluded 155 because of missing data for any of the cardiovascular health metrics (mostly HbA1c), and 2,160 (8.1%) 156 were excluded because of prevalent CHD or stroke. A complete dataset on the AHA defined health 157 metrics was available for 10,043 study participants. A total of 1,004 (10%) participants experienced a 158 CHD event during follow-up, 171 (1.7%) experienced a stroke event, and 50 (0.5%) experienced both a 159 CHD and a stroke event. Mean follow-up was 10 years, yielding a total of 103,961 person-years follow-160 up. The characteristics of the EPIC-Norfolk participants are presented in table 1. The participants' age 161 ranged between 39 to 79 years, and 44.1% were men. The distribution of the health metrics is 162 presented in table 2. An ideal status for BMI, healthy diet, physical activity, and smoking status was 163 present in 40.8%, 9.6%, 18.4% and 47.3%, respectively. An ideal status for blood pressure, HbA1c and 164 total cholesterol was present in 18.5%, 81.3% and 19.6%, respectively. 165 In table 3 the risk of CVD events is shown by each health metric separately. For those with an

166 intermediate and ideal BMI the adjusted HR was 0.69 (95% CI 0.62-0.77) and 0.54 (95% CI 0.48-0.61),

167 respectively. For those with an intermediate and ideal HDS, the adjusted HRs were 0.96 (95% CI 0.87-168 1.06) and 1.22 (95% CI 1.00-1.51), respectively. The adjusted HRs for the intermediate and ideal physical 169 activity status were 0.90 (95% Cl 0.82-0.98, p=0.02) and 0.88 (95% Cl 0.77-1.00, p=0.04), respectively. 170 Similar associations between more favourable health metrics and lower risk for CVD events were 171 demonstrated for smoking, blood pressure, total cholesterol, and HbA1c. Table 4 shows the risk of CHD, 172 stroke, and CVD events according to 5 categories of the overall CHS (i.e. 0-2, 3-5, 6-8, 9-11 and 12-14). 173 Ideal cardiovascular health (overall CHS 12-14) was prevalent in only 2.8% of this cohort. People in the 174 highest (healthy) category had a 93% reduced risk of CHD compared to those in the lowest (unhealthy) 175 category (HR 0.07; 95% CI 0.02-0.29). For stroke, the HR for those in the highest versus lowest category 176 was 0.16 (95% CI 0.02-1.37). For all CVD events, the adjusted HRs for participants in the consecutive 177 categories were 0.48 (95% CI 0.31-0.76), 0.33 (95% CI 0.21-0.52), 0.19 (95% CI 0.12-0.30), and 0.07 (95% 178 Cl 0.02-0.23), compared to those in the lowest category (Figures 1A-C). 179 A complete dataset available based on six AHA health metrics, excluding HbA1c, comprised 180 21,856 people. Baseline characteristics did not show any clinically relevant differences between the 181 study populations comprising 10,043 and 21,856 people (Supplementary tables 1 and 2). The 182 associations between the individual health metrics and CVD risk and the associations between the 183 overall CD and risk of CVD events in the extended data set are presented in Supplementary tables 3 and 184 4.

185 Discussion

Our analysis in apparently healthy participants of the EPIC-Norfolk prospective population study shows that the prevalence of ideal cardiovascular health was low. All AHA-defined health metrics, except healthy diet, were significantly and inversely associated with the risk of CHD, stroke, and CVD events. The room for improvement in these modifiable risk factors is very large, which is in support of the approach selected by the AHA.

191 In the EPIC-Norfolk cohort, the association between health behaviours and overall mortality was 192 previously addressed.(12) Non-smoking, physical activity, moderate alcohol intake and plasma vitamin C 193 as a proxy for fruit and vegetable intake, were associated with a four-fold difference in total mortality, 194 particularly from cardiovascular causes. In the current analysis we used the seven AHA-defined health 195 metrics, which contains a slightly different set of modifiable risk factors, also comprising non-196 behavioural risk factors such as cholesterol and blood pressure. We observed a 93% lower risk of CVD 197 events (HR 0.07; 95% CI 0.02-0.23) among people with the highest overall CHS (> 12 points) compared to 198 those with the lowest score (< 2 points). Our findings from the EPIC-Norfolk cohort are consistent with 199 previous validation studies performed in the Atherosclerosis Risk in Communities (ARIC) Study and the 200 National Health and Nutrition Examination Survey (NHANES).(6, 13) In ARIC, Folsom et al. studied the 201 AHA-defined health metrics among 12,744 healthy participants, aged 45 to 64 years and 0.1% had an 202 ideal CHS, compared to 2.8% in the current study.(6) In NHANES, Ford et al. showed that only 1.1% met 203 all seven health metrics. Compared to those meeting none of the health metrics, those meeting \geq 5 204 health metrics had 88% reduction in the risk of cardiovascular mortality.(13) A similar trend was 205 observed by Wu et al. in a large cohort of 101,510 apparently healthy Chinese, where 0.1% met all seven 206 health metrics.(14) They observed similar associations between health metrics and the risk of CVD 207 events.

208

Current strategies aimed at improving guidelines adherence in cardiovascular prevention still 209 has room for improvement in the organization and there should be more focus on high risk patients 210 (15). The AHA health metrics provides some relevant lifestyle goals in order to lower the risk of CVD and 211 these lifestyle goals might be applied to high risk individuals as well.

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213 Limitations

214 This cohort study has some limitations in the assessment of the health metrics. First, the level of 215 physical activity was assessed by a questionnaire, which was validated against energy expenditure.(16) 216 Nevertheless, the questionnaire referred to the past year, whereas physical activity levels may have 217 changed over time. Second, the HDS was based on five dietary components that were quantified by FFQ. 218 The FFQ is designed to estimate intake of foods and nutrients in the past year, which may also change 219 over time. In addition, FFQ relies on self-reported intakes, which carry an inherent degree of inaccuracy. 220 Also, as the AHA-defined healthy diet parameters used absolute cut-offs, we used FFQ derived absolute 221 estimates of dietary intake. However, FFQ should ideally be used only for relative ranking of participants 222 within cohorts. More detailed and complex instruments for assessing dietary intake are available (17), 223 but the FFQ is commonly used because it is a feasible method for large-scale studies. 224 Since the EPIC-Norfolk study participants were recruited from age-sex registries from general practice, 225 there might be potentially selection bias. However, the current analysis is based on an apparently 226 healthy population in a very large cohort which is observed for a long time period which forms a 227 strength of the study. Potential measurement bias was also reduced by standardized measurements of 228 the study parameters which were assessed and conducted by trained nurses. 229 Our main analyses were based on a study population defined by the availability of all 7 AHA health

230 metrics including HbA1c. In this dataset of 10,043, we did not observe an association between a healthy

231 diet and the risk of CHD, stroke or CVD. However, when we performed a sensitivity analyses without

taking HbA1c into account, the study population increased to 21,856. In this larger study population,

healthy diet was significantly associated with the risk of CVD.

234

235 Conclusion

- 236 Our findings in the EPIC-Norfolk population support a strong inverse association between six of the
- 237 seven AHA-defined health metrics and the risk of CVD events in this European population, and support
- the current AHA health metrics strategy for prevention of cardiovascular disease. Importantly, even a
- 239 moderately unhealthy lifestyle was associated with a significantly lower risk of CVD events compared to
- those with a very unhealthy lifestyle. These data suggest that even small improvements may result in a
- substantial reduction of the risk of CVD events.
- 242

243 Acknowledgements

- The authors wish to thank the participants and staff of the EPIC-Norfolk prospective population study.
- 245 The EPIC-Norfolk Study is funded by Cancer Research UK grant number 14136 and the Medical Research
- 246 Council grant number G1000143, SL is supported by a studentship from Unilever Corporate Research,
- 247 UK. The funders had no role in the study design, data collection, analysis, decision to publish or
- 248 preparation of the manuscript.
- 249

250 Funding sources

251 Cancer Research UK grant number 14136 and the Medical Research Council grant number G1000143.

- 253 Disclosures
- 254 None

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