

## Learning to predict is spared in mild cognitive impairment due to Alzheimer's disease.

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## Abstract

1  
2 Learning the statistics of the environment is critical for predicting upcoming events.  
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4 However, little is known about how we translate previous knowledge about scene regularities  
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6 to sensory predictions. Here, we ask whether patients with mild cognitive impairment due to  
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8 Alzheimer's disease (MCI-AD) that are known to have spared implicit but impaired explicit  
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10 recognition memory are able to learn temporal regularities and predict upcoming events. We  
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12 tested the ability of MCI-AD patients and age-matched controls to predict the orientation of a  
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14 test stimulus following exposure to sequences of leftwards or rightwards orientated gratings.  
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16 Our results demonstrate that exposure to temporal sequences without feedback facilitates the  
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18 ability to predict an upcoming stimulus in both MCI-AD patients and controls. Further, we  
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20 show that executive cognitive control may account for individual variability in predictive  
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22 learning. That is, we observed significant positive correlations of performance in attentional  
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24 and working memory tasks with post-training performance in the prediction task. Taken  
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26 together, these results suggest a mediating role of circuits involved in cognitive control (i.e.  
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28 frontal circuits) that may support the ability for predictive learning in MCI-AD.  
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## Introduction

Predicting upcoming events is critical for successful everyday interactions in complex environments from avoiding obstacles to forecasting the weather. It is thought that the brain achieves this challenge by taking into account information about the structure of the environment that is acquired through experience and training (Geisler, 2008; Petrov, Doshier, & Lu, 2005). There is accumulating evidence that mere exposure to stimuli that co-occur in the environment facilitates our ability to extract spatial and temporal regularities (for reviews see: (Aslin & Newport, 2012; Perruchet & Pacton, 2006). In particular, observers report that structured combinations are more familiar than random contingencies after exposure to items (e.g. shapes, tones or syllables) that co-occur spatially or appear in a temporal sequence (Chun, 2000; Fiser & Aslin, 2002a; Saffran, Aslin, & Newport, 1996; Saffran, Johnson, Aslin, & Newport, 1999; Turk-Browne, Junge, & Scholl, 2005). This statistical learning has been shown to facilitate object recognition (Brady & Chun, 2007; Brady & Oliva, 2008), language understanding (Misyak, Christiansen, & Tomblin, 2010), social judgments (Kunda & Nisbett, 1986) and inductive reasoning (Kemp & Tenenbaum, 2009). This previous work suggests that observers acquire implicit knowledge of the regularities present in a scene, despite the fact that they may not be explicitly aware of its specific structure. However, little is known about how we translate this implicit knowledge of temporal structures to predictions of future events.

In our previous work (Baker, Dexter, Hardwicke, Goldstone, & Kourtzi, 2014), we have shown that exposure to temporal regularities in a scene facilitates observers to learn its global structure and use this implicitly acquired knowledge to predict upcoming sensory events. Neuroimaging studies have implicated the hippocampus and striatum in learning of probabilistic associations (Poldrack et al., 2001; Shohamy & Wagner, 2008) and temporal sequences (Gheysen, Van Opstal, Roggeman, Van Waelvelde, & Fias, 2011; L. T. Hsieh,

1 Gruber, Jenkins, & Ranganath, 2014; Rauch et al., 1997; Rose, Haider, Salari, & Buchel,  
2 2011; Schapiro, Gregory, Landau, McCloskey, & Turk-Browne, 2014; Schapiro, Kustner, &  
3 Turk-Browne, 2012; Schendan, Searl, Melrose, & Stern, 2003).

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7 Here, we ask whether the ability to acquire knowledge of predictive structures is  
8 maintained in patients with mild cognitive impairment due to Alzheimer's disease (MCI-  
9 AD). These patients are of particular interest, as they show explicit memory impairments  
10 (Hudon et al., 2006; Morris & Cummings, 2005; Petersen et al., 1999) and hippocampal  
11 dysfunction (Bakker et al., 2012; Celone et al., 2006; Dickerson et al., 2004; Dickerson et al.,  
12 2005), but preserve their functional independence (Albert et al., 2011) and do not to meet the  
13 clinical criteria for dementia. There is little evidence for learning of temporal structures in  
14 MCI-AD: while explicit temporal sequence learning is shown to require longer training in  
15 amnesic MCI-AD compared to age-matched controls, implicit temporal sequence learning is  
16 shown to be spared (Negash et al., 2007) (Pirogovsky et al., 2013). Interestingly, previous  
17 work suggests that explicit learning is mediated by medial temporal lobe structures (e.g.  
18 hippocampus) that show dysfunction in MCI-AD, while implicit learning is mediated by  
19 striatal areas that are spared in MCI-AD (Knowlton, Mangels, & Squire, 1996; Poldrack et al.,  
20 2001).

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41 In light of these previous findings, we test the hypothesis that preserved ability for  
42 implicit learning, despite hippocampal dysfunction, facilitates the ability of MCI-AD patients  
43 to predict upcoming sensory events after training on structured temporal sequences. We  
44 further test the hypothesis that preserved ability for learning of predictive structures may  
45 relate to cognitive capacity as indicated by attentional and working memory skills. To this  
46 end, we used a predictive learning task (Baker et al., 2014). In particular, we presented  
47 observers (MCI-AD patients and age-matched controls) with a sequence of leftwards and  
48 rightwards oriented gratings that was interrupted by a test stimulus (Figure 1). Observers had  
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1 to maintain attention throughout the temporal sequence as the temporal position of the test  
2 stimulus was randomly chosen across trials and were asked to indicate whether the test  
3 stimulus matched their expectation or not. Participants were exposed to the sequences  
4 without feedback facilitating implicit learning of the sequence structure, but they were asked  
5 to make an explicit judgment about the identity of the upcoming test stimulus. Thus, this task  
6 provides an explicit recognition measure of implicitly acquired knowledge, avoiding reaction  
7 time measurements that may be confounded by differences in speed of processing or response  
8 time between patients and controls. Our results demonstrate that the ability to predict the  
9 orientation of the test stimulus following exposure to structured sequences improved in both  
10 MCI-AD patients and controls. Further, we show that attentional and working memory skills  
11 may account for individual differences in task performance in both patients and controls.  
12 Taken together, these results suggest a mediating role of circuits involved in cognitive control  
13 (i.e. frontal circuits) in predicting sensory events based on previous knowledge about the  
14 environment's statistics.  
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## 33 **Figure 1**

### 34 **Methods**

#### 35 **Participants**

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Twenty eight volunteers participated in this study (14 MCI-AD patients: 10 male, 4 female, mean age: 69.8 years; 14 age matched controls: 10 male, 4 female, mean age: 67.7 years). The two groups did not differ significantly in their age ( $t(26)=0.133$ ,  $p=0.896$ ). All participants (patients and controls) were naïve to the aim of the study, had normal or corrected-to-normal vision (there were no differences in visual acuity between patients and controls) and gave written informed consent. This study was approved by the University of Birmingham Ethics Committee and the NHS National Research Ethics Committee West

1 Midlands. Patients, diagnosed with MCI-AD by their consultant psychiatrist, were recruited  
2 from the Birmingham and Solihull Memory Assessment and Advisory Service. Age-matched  
3 controls were recruited through advertising at the local community (n=11) or were relatives  
4 of the MCI-AD patients that participated in the study (n=3).  
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9 The diagnosis of MCI due to Alzheimer's disease was made by an experienced  
10 consultant psychiatrist (PB) using the National Institute on Aging and Alzheimer's  
11 Association workgroup criteria (Albert et al 2011) requiring: a deterioration in cognition  
12 reported by either the patient or a close informant; objective impairment in one or more  
13 cognitive domains (including memory, executive function, visuospatial skills, attention and  
14 language); preservation of independence in daily living activities; absence of dementia and  
15 an aetiology consistent with Alzheimer's disease pathophysiological process. Age-matched  
16 controls were screened using the Addenbrookes Cognitive Examination (ACE-III) (S. Hsieh,  
17 Schubert, Hoon, Mioshi, & Hodges, 2013). Scores for the controls (mean = 94.71; standard  
18 error = 0.86) compared to MCI-AD patients (mean = 86.5; standard error = 1.65) were  
19 considered normal for the age of individual participants, indicating lack of cognitive  
20 impairment for this group.  
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### 38 **Experimental Design**

39 All participants (patients, controls) were tested in a set of cognitive tasks (working memory,  
40 selective and divided attention; see details for cognitive testing below) before starting training  
41 on the prediction task. Most participants (n= 18; patients=11, controls=7) completed 5  
42 training sessions on the prediction task (n = 8; patients=3, controls=5 completed 4 sessions; n  
43 = 2; controls=2 completed 3 sessions) depending on individuals' availability, with an average  
44 of 2.29 days between sessions (SD=0.91).  
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### 55 **Prediction Task: Stimuli**

1 Stimuli comprised grayscale sinusoidal gratings that were presented at 10.8° visual angle,  
2 spatial frequency that ranged from 0.85 to 1° across trials, 100% contrast and randomized  
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4 phase. These gratings were rotated +/- 45° from vertical orientation (90°), resulting in  
5 gratings oriented at either 135° (left) or 45° (right). To avoid adaptation to the stimulus  
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7 properties due to stimulus repetition, we randomized the phase and jittered the grating  
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9 orientation within a range of -2° to 2° across trials. Stimuli were generated and presented  
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11 using Psychtoolbox-3 (Brainard, 1997; Pelli, 1997). Stimuli were presented on a 21-inch  
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13 CRT monitor (ViewSonic P225f 1280 x1024 pixel, 85 Hz frame rate) at a distance of 45 cm.  
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19 We used these stimuli to generate two sequences, each comprising of 8 gratings that  
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21 were ordered, as shown below (1 refers to the leftwards oriented grating at -10 degrees and  
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23 number 2 refers to the rightwards oriented grating at +10 degrees):  
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26 Sequence A: 2 1 2 1 1 2 1 2  
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29 Sequence B: 1 1 2 1 2 2 1 2  
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31 Each grating orientation was presented four times in each sequence. Each sequence was  
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33 repeated twice, resulting in 16 stimuli per trial. As all gratings were presented at the same  
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35 rate, participants could not use stimulus duration to group elements together or segment the  
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37 sequences. To ensure that the participants did not perform the task simply by memorizing the  
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39 first or last stimuli in the sequence, the orientation of the first stimulus was randomized in  
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41 each trial and the last three stimuli in each sequence were always the same. Finally, as the  
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43 frequency of occurrence was matched for the two grating orientations in the sequence,  
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45 participants were required to learn the order of the elements in the sequence (i.e. temporal  
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47 order associations among pairs or triplets of oriented gratings).  
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### 53 **Prediction Task: Design and Training**

54 For each trial, participants viewed 16 gratings (each sequence of 8 gratings was repeated  
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56 twice in a trial) presented sequentially on a grey background at the centre of the screen. Each  
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1 grating was presented for 0.3 s followed by a fixation interval of 0.3 s. Participants were  
2 asked to respond to a test stimulus that appeared for up to 2000 ms surrounded by a red  
3 circle. The test stimulus was preceded by a cue (red dot presented for 1 s) and was followed  
4 by a white fixation dot (200 ms), which appeared as soon as the participant responded.  
5 Participants were instructed to respond (the maximum response time allowed was 2000 ms),  
6 indicating whether the test image had the same orientation (left vs. right) as the grating they  
7 expected to appear in that position in the sequence. The test stimulus appeared only in the  
8 second repeat of the sequence and its position was randomized across trials. The test stimulus  
9 could appear in any position in the sequence except the last three positions; stimuli in these  
10 positions were the same across trials. For each block, 50% of the test stimuli were presented  
11 at the correct orientation for their position in the sequence. After the participant's response,  
12 the remaining gratings in the sequence were presented followed by a black cross (1 s)  
13 indicating the end of the sequence and the start of a new trial. There was no feedback across  
14 all sessions. In each training session, participants performed the prediction task for 4 blocks  
15 of 40 trials each (20 per sequence type) with a minimum two-minute break between blocks.  
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### 36 **Prediction task: Data analysis**

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39 We assessed behavioral performance by accuracy (percent correct) across trials; that is we  
40 computed whether the test grating was predicted correctly or not (i.e. the participants  
41 response matched the grating expected based on the presented sequence in each trial).  
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### 47 **Cognitive testing**

#### 48 *ACE-III*

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50 ACE-III is a clinical tool used to assess cognitive functioning, which takes approximately 25  
51 minutes to administer. The Addenbrookes Cognitive Assessment (ACE) was developed as a  
52 brief test of cognitive function with the aim of early detection of dementia and differentiation  
53 into diagnostic subtypes (Mathuranath, Nestor, Berrios, Rakowicz, & Hodges, 2000). The  
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1 ACE was revised to improve its administration and sensitivity and has been shown to have  
2 very good psychometric properties (Mioshi, Dawson, Mitchell, Arnold, & Hodges, 2006).  
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4 Due to copyright issues some items required modification resulting in the ACE-III version  
5  
6 which has been utilized in this study (S. Hsieh et al., 2013) .  
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9 ACE-III has a maximum score of 100 and comprises of five subtests. *Attention*: the  
10 date, season, and general knowledge questions are asked (18 points); *memory*: a list of three  
11 items and a name and address is recalled (26 points); *fluency*: the interviewee lists as many  
12 words in a category as they can within one minute (14 points); *language*: images of objects  
13 and animals of varying familiarity are identified and two sentences are written about a recent  
14 event, (26 points); and *visuospatial skills*: a wire cube and intersecting shapes are drawn (16  
15 points).  
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26 ***Memory: Working memory task***  
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28 The working memory task was designed following Luck and Vogel (1997). Coloured dots  
29 were displayed on a grey background for 500ms, followed by a 1000ms delay. After the  
30 delay, the dot display re-appeared and one of the dots was highlighted by a white square.  
31 Participants reported whether the highlighted dot had remained the same colour on the second  
32 presentation. An initial display of two dots was used. By using a two down one up staircase  
33 and a step size of 1 we manipulated the number of dots in the display, resulting in 70.7%  
34 performance. For example; each time the participant had two responses correct in a row an  
35 additional dot would be added to the next trial's display, while for every incorrect answer,  
36 one dot was removed from the display for the next trial. Working memory thresholds (i.e.  
37 number of dots in the display) were calculated by averaging the last two-third reversals in  
38 each staircase. For each trial, each dot was randomly assigned a colour, and one dot was  
39 randomly chosen as the target. Each dot had a radius of 12 pixels and dots were displayed in  
40 random locations within a 10 x 10 grid (jittered +/- 10 pixels). Each block consisted of 10  
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1 staircase reversals, participants completed 3 blocks, after which we computed the average  
2 threshold as their working memory score. In this task, a higher score (greater number of items  
3 in display) denotes better performance.  
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### 6 ***Attention: Useful Field of View***

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9 Useful Field of View (UFOV; Visual Awareness Inc.) is a task that assesses three attentional  
10 processes: processing speed, divided attention and selective attention (Edwards et al., 2006;  
11 Edwards et al., 2005). The task has been validated by a test-retest reliability of 0.74. Each  
12 trial started with a fixation bounding box (1s duration), followed by the test stimuli (variable  
13 duration between 16.7ms and 500ms;; see below), a white noise visual mask to control for  
14 after images (1s duration), and the response screen (displayed until a response was made).  
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16 Participants responded using the mouse. The first test, ‘processing speed’, required  
17 participants to identify a centrally presented stimulus. This stimulus (a silhouette of a 2 cm x  
18 1.5 cm of a car or a truck) was presented on a black background inside a 3cm x 3cm white  
19 bounding box. Participants were asked to indicate whether the central stimulus comprised a  
20 car or truck by mouse click. The second task, ‘divided attention’, required participants to  
21 identify the central stimulus (car vs. truck), and also identify the location of a simultaneously  
22 presented peripheral stimulus (2 cm x 1.5 cm silhouette of a car). This peripheral stimulus  
23 was fixed at 11cm from the central stimulus at one of 8 radial locations. The third task  
24 ‘selective attention’ followed the same procedure as ‘divided attention’ but the target stimuli  
25 were presented in the context of distractors (47 triangles of the same size and luminance as  
26 the targets). Participants were instructed to ignore the triangles, and indicate whether the  
27 central stimulus comprised a car or a truck, as well as the location of the peripheral target.  
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29 Using a double staircase method the duration of the display within each task varied between  
30 16.7ms and 500ms. This allowed us to establish the minimal display duration at which the  
31 participant could correctly perform each of the three tests 75% of the time. Thus, a lower  
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score (shorter display duration) indicates better performance in these tasks.

## Results

Performance on the prediction task improved for most participants (11/14 MCI-AD patients, 11/14 controls) as they gained more exposure to the temporal sequences. MCI-AD patients (n=3) who did not improve during training showed mean performance of 40.4% correct at the last training session. Healthy controls (n=3) who did not improve during training showed mean performance of 48.3% correct at the last training session. To quantify this learning effect we compared the mean of the first two training blocks with the last two training blocks across participants (Figure 2A), excluding participants that did not improve during training. To compare performance between MCI-AD patients and controls before and after training, we used a 2 (*session: pre- vs. post-test*) x 2 (*group: MCI-AD controls*) mixed design ANOVA. Our results showed that both MCI-AD patients and controls improved after training in the prediction task. That is, there was a main effect of Session  $F(1,20)=36.1, p < 0.001$  and significantly higher performance after than before training for both patients ( $t(10)=-3.855, p = 0.003$ ) and controls ( $t(10) = -4.846, p=0.001$ ). Further, controls showed overall higher performance than patients as indicated by a significant main effect of Group ( $F(1,20) = 6.32, p=0.021$ ). In particular, higher performance for controls was significant before ( $t(20)=-2.479, p=0.022$ ) but only marginally after ( $t(20)=-1.94, p=0.067$ ) training. Interestingly, both patients and controls improved similarly after training as indicated by a non-significant Session x Group interaction ( $F(1,20)=0.1, p=0.921$ ). This was confirmed by an additional analysis (Figure 2B) that showed no significant difference ( $t(20)=0.101, p=0.921$ ) in behavioral improvement as calculated by subtracting performance in the last two training blocks from performance in the first two training blocks.

To control for possible differences in learning-dependent improvements due to differences in the numbers of training sessions across participants, we conducted two

1 additional analyses. First, we considered all participants that had at least four training  
2 sessions (11 patients and 9 controls), excluding participants (3 patients and 2 controls) who  
3 did not improve during training. This analysis showed the same pattern of results as in Figure  
4 2; that is, we observed a significant main effect of Session ( $F(1,18)=25.0$ ,  $p<0.001$ ), but no  
5 significant interaction between Session and Group ( $F(1,18)=0.752$ ,  $p=0.397$ ), suggesting  
6 similar improvement for patients and controls. Second, we conducted the same analyses using  
7 the data from the third session as the final session for all participants (11 patients and 11  
8 controls). This analysis showed the same pattern of results as in Figure 2; that is, we observed  
9 a significant main effect of Session ( $F(1,20)=18.18$ ,  $p<0.001$ ), but no significant interaction  
10 between Session and Group ( $F(1,20)=1.046$ ,  $p=0.319$ ). Further comparisons, showed a  
11 significant difference in performance between the first and the third session ( $t(25)=-4.35$ ,  
12  $p<0.001$ ), but not between the third and the last session ( $t(25)=-1.35$ ,  $p=0.188$ ), suggesting  
13 that the first three training sessions provide adequate information to capture learning  
14 improvement in both young and older participants.  
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## 37 **Figure 2**

### 38 ***Relating cognitive abilities to learning performance***

39 We then asked whether cognitive control abilities (i.e. attention, working memory) relate to  
40 learning improvement in the prediction task. Although MCI-AD patients and controls did not  
41 differ significantly in processing speed ( $t(20)=1.306$ ,  $p=0.206$ ), MCI-AD patients showed  
42 lower performance in selective attention ( $t(17) = 2.952$ ,  $p = 0.009$ ), divided attention ( $t(20) =$   
43  $3.474$ ,  $p = 0.002$ ) and working memory ( $t(20) = -2.377$ ,  $p = 0.028$ ) tasks. This is consistent  
44 with the assessment of the patients based on ACE-III that tests similar cognitive abilities and  
45 showed lower scores for MCI-AD patients compared to controls ( $t(20) = -3.78$ ,  $p<0.001$ ).  
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59 Interestingly, we observed individual variability in cognitive testing and predictive learning  
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1 scores in both patients and controls. That is, performance in the prediction task after training  
2 ranged from 45% to 91.25% correct for MCI-AD patients and from 53.75% to 93.75%  
3 correct for controls. Performance in selective attention ranged from 156.7ms to 500ms for  
4 MCI-AD patients and from 30.1ms to 183.3 ms for controls; performance in divided attention  
5 ranged from 16.7ms to 296.7ms for MCI-AD patients and from 16.7ms to 133.4ms for  
6 controls; performance in working memory ranged from 1.17 to 5.16 number of dots for MCI-  
7 AD patients and from 2.05 to 5.16 number of dots for controls.

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17 To further investigate whether individual variability in cognitive abilities relates to  
18 performance in predictive learning, we correlated scores in these tasks collected before  
19 training with performance in the prediction task after training (Figure 3). In particular, we  
20 observed a significant correlation between working memory scores ( $r = 0.665$ ,  $N = 28$ ,  
21  $p < 0.001$ ) and divided attention scores ( $r = -0.463$ ,  $p = 0.009$ ;  $N = 26$ : missing data for 2  
22 participants due to technical problems) with post-training performance in the prediction task  
23 across all participants (i.e. patients and controls). Further, scores in the divided attention task  
24 showed significant correlations with behavioral improvement in the prediction task (that is  
25 difference in performance between sessions) for both patients ( $R = -0.468$ ,  $p = 0.05$ ) and  
26 controls ( $R = -0.468$ ,  $p = 0.05$ ). We also observed a marginally significant correlation between  
27 selective attention scores and post-training performance in the prediction task ( $r = -0.341$ ,  
28  $p = 0.056$ ;  $N = 23$ : missing data for 5 participants due to technical problems). The negative  
29 correlations for the divided and selective attention are due to faster display times (i.e. lower  
30 values) indicating better attentional performance.

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51 Further, we conducted the same correlations with the data from participants that  
52 completed at least four sessions. This analysis showed a significant correlation between  
53 performance in the fourth session and working memory ( $R = 0.377$ ,  $p = 0.05$ ), divided attention  
54 ( $R = -0.425$ ,  $p = 0.031$ ), and selective attention ( $R = -0.408$ ,  $p = 0.05$ ). In addition, the same

1 correlational analyses using the data from the third session as the final session for all  
2 participants showed significant correlations with working memory ( $R=0.447$ ,  $p=0.017$ ),  
3 selective attention ( $R=-0.405$ ,  $p=0.055$ ) and marginally with divided attention ( $R=-0.367$ ,  
4  $p=0.065$ ). Finally, performance in the fourth ( $R=0.473$ ,  $p=0.018$ ) or third ( $R=0.850$ ,  $p<0.001$ )  
5 session was significantly correlated with performance in the final session, suggesting that  
6 learning-dependent improvement was not confounded by differences in the numbers of  
7 training sessions across participants.  
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17 Separate correlations for MCI-AD patients and controls showed similar trends with  
18 correlations across all participants (Figure 3); however, these correlations need to be  
19 interpreted cautiously due to the smaller number of participants per group. In particular, for  
20 controls we observed significant correlations for all tasks (WM:  $R=0.603$ ,  $p=0.011$ ; DA:  $R=-$   
21  $0.824$ ,  $p<0.001$ , SA:  $R=-0.661$ ,  $p=0.013$ ). For patients, we observed similar correlations that  
22 reached significance for working memory ( $R=0.504$ ,  $p=0.033$ ) but not for the attentional  
23 tasks (DA:  $R=-0.066$ ,  $p=0.41$ , SA:  $R=-0.109$ ,  $p = 0.35$ ). A Fishers z test did not show any  
24 significant differences in the correlations between groups for working memory ( $z=0.34$ ,  
25  $p=0.734$ ), or selective attention ( $z=-1.61$ ,  $p=0.107$ ), consistent with similar trends between  
26 patients and controls. Taken together, these analyses suggest similar patterns of correlations  
27 for patients and controls, although correlations for patients were weaker possibly due to  
28 higher variability between participants in this group.  
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46 These results were confirmed by a multiple regression analysis showing that attention  
47 and working memory explain significantly ( $F(3,18)=4.19$ ,  $p = 0.024$ ) 45.6% of the variance  
48 in performance in the prediction task ( $R=0.347$ ). Similar analysis conducted separately for  
49 patients and controls showed significant results for controls ( $F(3,9)=5.200$ ,  $p = 0.02$ ) and a  
50 marginal effect for patients ( $F(3,11)= 2.366$ ,  $p = 0.07$ ). Taken together these results suggest  
51 that participants (MCI-AD patients and controls) with better attentional and working memory  
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1 skills are more likely to improve in predicting future events following training on temporal  
2 sequences.  
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### 4 **Figure 3**

#### 5 **Discussion**

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7 Our results demonstrate that exposure to temporal sequences without feedback facilitates the  
8 ability of MCI-AD patients to predict upcoming events. Both patients and controls showed  
9 similar improvement after training in the prediction task that correlated with performance in  
10 working memory and attention tasks, suggesting a role of cognitive control abilities in  
11 learning to predict sensory events based on previous knowledge of temporal regularities.  
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21 Consistent with our previous behavioral work (Baker et al., 2014), we demonstrate  
22 that MCI-AD patients accumulate information about temporal regularities through repeated  
23 exposure to an environment and predict future events. Although we used deterministic  
24 sequences, we ensured that observers learned the global sequence structure (i.e. temporal  
25 order statistics across items rather than temporal item positions in the sequence) by matching  
26 the frequency of occurrence of each item (i.e. grating orientation) in the sequence. Previous  
27 studies have suggested that learning of regularities may occur implicitly in a range of tasks:  
28 visuomotor sequence learning (Nissen & Bullemer, 1987), artificial grammar learning  
29 (Reber, 1967), probabilistic category learning (Knowlton, Squire, & Gluck, 1994), and  
30 contextual cue learning (Chun & Jiang, 1998). In our study, participants were exposed to the  
31 sequences without feedback but were asked to make an explicit judgment about the identity  
32 of the upcoming test stimulus (leftward vs. rightward oriented grating) making them aware of  
33 the dependencies between the stimuli presented in the sequence. However, our experimental  
34 design makes it unlikely that the participants memorized specific item positions or the full  
35 sequences. Further, debriefing the participants showed that it was unlikely that the  
36 participants explicitly memorized the sequences. In particular, participants could not freely  
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1 recall the sequences after training or correctly indicate the number of trained sequences.

2 Our study tests the role of sequence learning on explicit predictive judgments related  
3 to visual recognition in MCI-AD patients. Previous work on learning temporal sequences has  
4 focused on implicit measures of sequence learning, such as familiarity judgments or reaction  
5 times. For example, the Serial Reaction Time Task (Nissen & Bullemer, 1987); for review  
6 see (Schwarb & Schumacher, 2012) involves participants learning visuomotor associations  
7 between spatial locations on a computer screen and response keys; locations on the screen are  
8 activated following a pre-determined sequence and participants are asked to press the  
9 corresponding keys. Training results in faster reaction times for trained than random  
10 sequences. However, using reaction times as a measure of anticipation of upcoming events  
11 may be problematic with patients and older adults that show generally reduced speed of  
12 processing and longer response times (Curran, 1997; Simon, Yokomizo, & Bottino, 2012). In  
13 contrast, using an explicit prediction test, we demonstrate that predictions related to  
14 identification of objects are facilitated by implicit knowledge of temporal context.  
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17 Our findings are consistent with previous work suggesting that MCI patients are not  
18 impaired in implicit learning tasks (Negash et al., 2007), while explicit learning may require  
19 longer training periods for MCI patients (Pirogovsky et al., 2013). This is in accordance with  
20 studies proposing that explicit learning is mediated by medial temporal lobe structures (e.g.  
21 hippocampus) that show dysfunction in MCI, while implicit learning is mediated by a fronto-  
22 striatal network that is spared in MCI. Interestingly, our study shows that patients and  
23 controls with better attentional and working memory skills show better performance after  
24 training on the prediction task. These skills are thought to implicate frontal circuits (Corbetta  
25 & Shulman, 2002) that when damaged are shown to impair performance in tasks that involve  
26 updating strategies for future predictions (Danckert, Stoettinger, Quehl, & Anderson, 2012).  
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Taken together, these findings suggest a mediating role of frontal circuits in MCI-AD that may facilitate learning and compensate against hippocampal dysfunction.

Finally, predicting conversion rate from MCI to Alzheimer's disease is a key question in clinical neuroscience. 14-18% of those aged over 70 years meet the criteria for MCI, and patients are likely to develop dementia, in the order of 10-15% per annum (Petersen et al., 2009). Future work including larger numbers of patients and follow-ups would allow us to test whether this prediction task could serve as a diagnostic tool and/or form the basis of a rehabilitative training program. Although, in this study we did not test long-term effects of training, our previous studies (Baker et al., 2014) have shown that improvement in the prediction task lasted for a prolonged period (up to 3 months), suggesting that training resulted in consolidated knowledge of the sequence. Future work is needed to investigate whether longer-term training may result in stronger and longer-lasting improvement following training on the prediction task. Further brain imaging work testing for compensatory involvement of frontal circuits consistent with improved cognitive control skills after training on the prediction task will advance our understanding about the neural mechanisms that may support training of cognitive abilities in MCI against the progression of cognitive decline.

## Figures

**Figure 1: Stimuli and Design.** Diagram illustrating the trial design: a first sequence of eight gratings was presented, followed by a second sequence that was interrupted by the presentation of a cue and test stimulus. The sequence continued after the participants indicated their response until all eight gratings were presented indicating the end of the trial.

**Figure 2: Training on prediction task.** A. Mean (across participants) percent correct performance is shown for the start (mean of first two training blocks of the first session), and end (mean of last two training blocks of the last session) blocks of the two sequences. B. Mean improvement for MCI-AD patients and controls calculated by subtracting performance at the end from performance at the start of training. Data is shown separately for MCI-AD patients. Error bars indicate standard error of the mean.

### **Figure 3: Correlating cognitive skills with performance in the prediction task**

**A. Divided Attention.** Correlation of performance in the divided attention task and the prediction task after training for all participants. A lower score (SOA: stimulus onset asynchrony; i.e. shorter display duration) indicates better performance in the divided attention task, resulting to a negative correlation.

**B. Selective Attention.** Correlation of performance in the selective attention task and the prediction task after training for all participants. A lower score (SOA: stimulus onset asynchrony; i.e. shorter display duration) indicates better performance in the selective attention task, resulting to a negative correlation.

**C. Working Memory.** Correlation of performance in the working memory task and the prediction task after training for all participants. A higher score (larger number of dots in the display) indicates better performance in the working memory task, resulting to a positive correlation.

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Figure 1

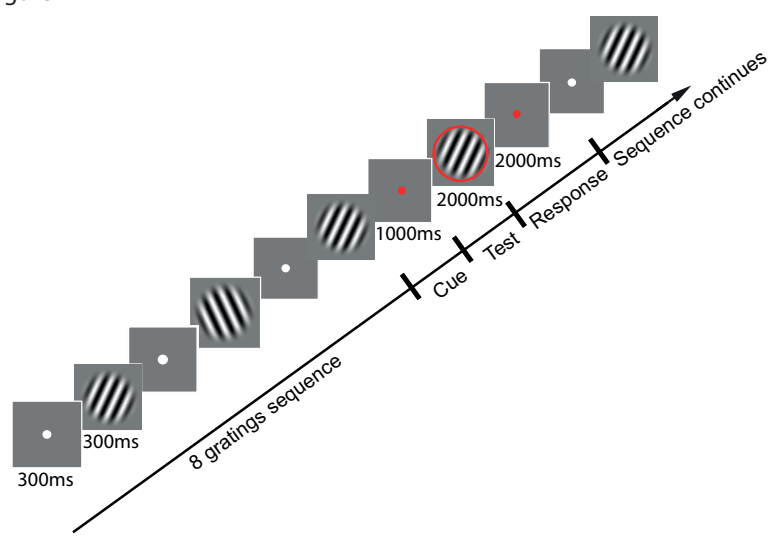




Figure 2

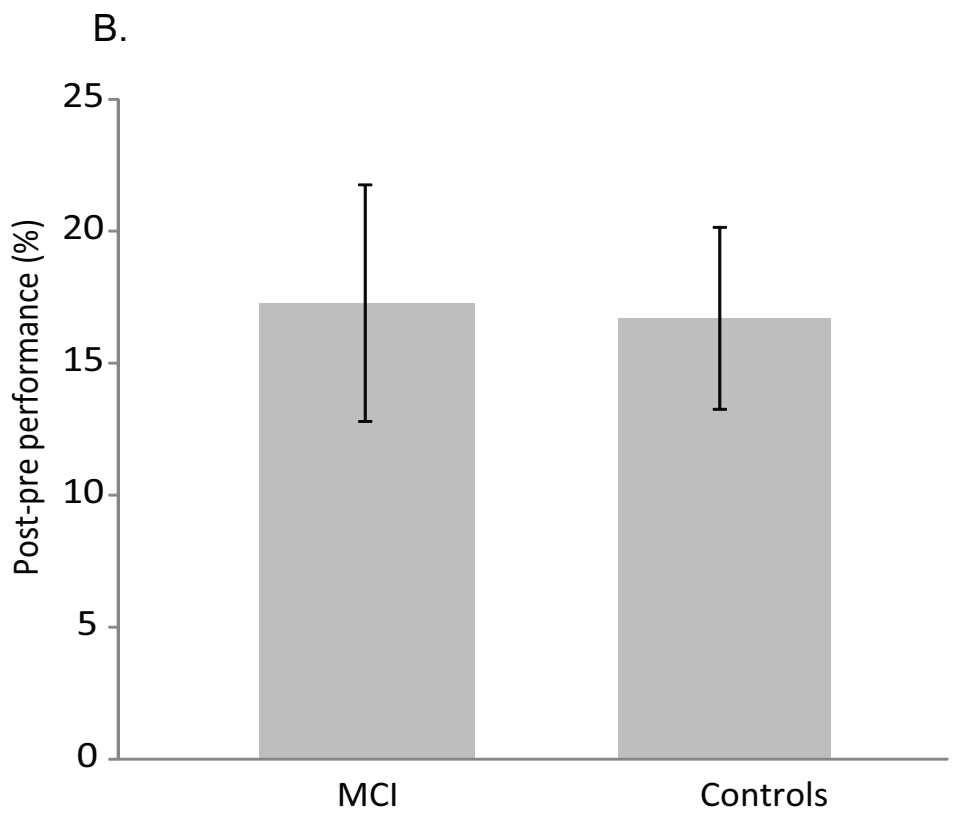
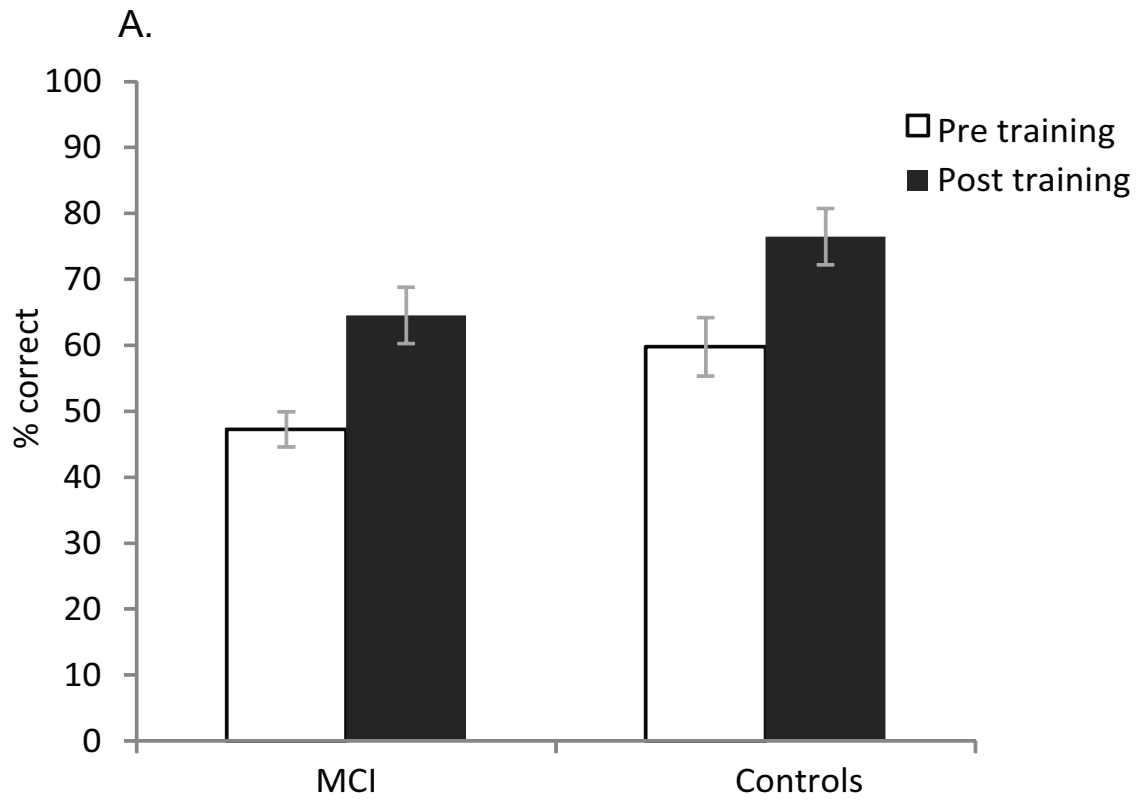


Figure3  
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Figure 3

