

1 **Progressive cortical thinning and subcortical atrophy in dementia with Lewy**  
2 **bodies and Alzheimer's disease**

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1 **ABSTRACT**

2

3 Patterns of progressive cortical thinning in dementia with Lewy bodies (DLB)  
4 remains poorly understood. We examined spatiotemporal patterns of cortical thinning  
5 and subcortical atrophy over 12 months in DLB (n=13), compared to Alzheimer's  
6 disease (AD) (n=23) and healthy controls (HC) (n=33). Rates of temporal thinning in  
7 DLB were relatively preserved compared to AD. Volumetric analyses subcortical  
8 changes revealed that the AD group demonstrated significantly increased  
9 hippocampal atrophy (-5.8%) relative to the HC (-1.7%;  $p<0.001$ ) and DLB groups (-  
10 2.5%,  $p=0.006$ ). Significant lateral ventricular expansion was also observed in AD  
11 (8.9%) compared to HC (4.3%;  $p<0.001$ ), and DLB (4.7%;  $p=0.008$ ) at trend level.  
12 There was no significant difference in subcortical atrophy and ventricular expansion  
13 between DLB and HC. In the DLB group, increased rates of cortical thinning in the  
14 frontal and parietal regions were significantly correlated with decline in global  
15 cognition (MMSE) and motor deterioration (UPDRS3) respectively. Overall, AD and  
16 DLB are characterized by different spatiotemporal patterns of cortical thinning over  
17 time. Our findings warrant further consideration of longitudinal cortical thinning as a  
18 potential imaging marker to differentiate DLB from AD.

19 Keywords: Dementia, Alzheimer's disease, Lewy bodies, MRI, neuroimaging,  
20 atrophy

21

## 1 **1. INTRODUCTION**

2

3 Dementia with Lewy bodies (DLB) is the second leading cause of degenerative  
4 dementia in older people after Alzheimer's disease (AD), accounting for up to 15% of  
5 cases confirmed at autopsy [1–3]. Because low sensitivity for the diagnosis for DLB  
6 remains a problem [4], there is a need for the development of reliable imaging  
7 markers to help distinguish DLB from other subtypes of dementia.

8

9 Cortical thickness is increasingly recognized as a more precise parameter of age-  
10 associated decline in grey matter compared to the voxel-based morphometry (VBM)  
11 technique [5,6]. In a previous study, we found a greater extent of cortical thinning in  
12 the AD group affecting predominantly temporo-parietal areas whereas DLB was  
13 characterized with cortical thinning in posterior structures [7]. This finding is  
14 consistent with a growing literature of reduced global atrophy in DLB compared to  
15 AD [8], while the preservation of the medial temporal lobe in DLB has been  
16 incorporated as a supportive feature in the revised criteria for the diagnosis of DLB  
17 [9].

18 As with our previous investigation, the majority of imaging studies in DLB has been  
19 cross-sectional, and no study to date has investigated the longitudinal progression of  
20 cortical thinning in DLB. To address this gap in the present literature, our aim in this  
21 study was to compare the progression of cortical thickness over a 12-month period in  
22 AD and DLB, and similarly aged healthy controls (HC). Based on earlier cross-  
23 sectional findings [8], we hypothesized that DLB would have significantly lower rates  
24 of cortical thinning compared to AD, particularly in the temporal lobe.

25

## 1 2. METHODS

### 2 2.1. Subjects, assessment and diagnosis

3 36 subjects with probable AD [10] and 35 with probable DLB [9] were recruited from  
4 a community dwelling population of patients referred to local Old Age Psychiatry,  
5 Geriatric Medicine or Neurology Services as previously described [11]. Subjects  
6 underwent clinical and neuropsychological evaluations at baseline and follow-up at 1  
7 year. Thirty-five similarly aged control subjects were recruited from relatives and  
8 friends of subjects with dementia or volunteered via advertisements in local  
9 community newsletters. For the purpose of the present study, we included only  
10 subjects with MRI assessments from both baseline and 1-year follow-up. Of the 36  
11 AD subjects, 25 were included after 11 were unable to participate in the follow-up  
12 assessment. Of the 35 DLB subjects, 14 were included after 12 declined to participate  
13 as they or their caregivers felt they were too unwell and 9 subjects had died. However,  
14 there were no significant differences in age, gender, educational level, UPDRS III,  
15 NPI, or cognitive scores between the DLB subjects who dropped out and the DLB  
16 subjects who were included in the present study (Table 2). Of the 35 HC subjects, 33  
17 were included in the present analyses after 2 declined to participate due to other  
18 reasons. The research was approved by the local ethics committee. All subjects or,  
19 where appropriate, their nearest relative, provided written informed consent. At  
20 baseline and follow-up assessments, global cognitive measures included the  
21 Cambridge Cognitive Examination (CAMCOG) [12], which incorporates the Mini-  
22 Mental State Examination (MMSE) [13] in addition to a number of subscales  
23 assessing domains including orientation, language, memory, attention, praxis,  
24 calculation, abstract thinking and perception. Visuospatial memory was assessed with  
25 the Brief Visuospatial Memory Test (BVMT) [14]. Motor parkinsonism was

1 evaluated with the Unified Parkinson's Disease Rating Scale Part III (UPDRS-III)  
2 [15]. For subjects with dementia, neuropsychiatric features were examined with the  
3 Neuropsychiatric Inventory [16], and cognitive fluctuations were assessed with the  
4 cognitive fluctuation scale [17]. Functional ability was assessed with the Bristol  
5 Activities of Daily Living Scale (BADLS) [18].

6

## 7 **2.2. MRI acquisition**

8 Subjects underwent both baseline and repeat MR imaging with a 12-month interval.  
9 At each time point, subjects underwent T1 weighted MR scanning on the same 3T  
10 MRI system using an 8 channel head coil (Intera Achieva scanner, Philips Medical  
11 Systems, Eindhoven, Netherlands). The sequence was a standard T1 weighted  
12 volumetric sequence covering the whole brain (3D MPRAGE, sagittal acquisition, 1  
13 mm isotropic resolution and matrix size of 240 (anterior-posterior) x 240 (superior-  
14 inferior) x 180 (right-left); repetition time (TR) = 9.6ms; echo time (TE) = 4.6ms; flip  
15 angle = 8°; SENSE factor = 2).

16

## 17 **2.3. Image analysis**

18 Cortical reconstruction and volumetric segmentation of MRI scans were processed on  
19 the same workstation using the Freesurfer 5.3 image analysis suite  
20 (<http://surfer.nmr.mgh.harvard.edu/>). The technical details are described previously  
21 [19,20]. The initial processing of T1w MRI images, for each subject and each time  
22 point, includes the following steps: removal of non-brain tissue, automated Talairach  
23 transformation, segmentation of the subcortical white matter and deep grey matter  
24 volumetric structures, intensity normalization, tessellation of the grey matter/white  
25 matter boundary, automated topology correction, and surface deformation to

1 optimally place the grey matter/white matter and grey matter/cerebrospinal fluid  
2 (CSF) boundaries. The cortical thickness is calculated as the closest distance from the  
3 grey/white matter boundary to the grey/CSF boundary at each vertex. All surface  
4 models in our study were inspected for accuracy and manual corrections were  
5 performed in the event of tissue misclassification / white matter errors. However, 3  
6 subjects (2 AD, 1 DLB) still had extensive pial/white matter surface errors and were  
7 excluded. The dataset for all subsequent analyses comprised of 33 HC, 23 AD, and 13  
8 DLB.

9

10 Subsequently, for the longitudinal processing, an unbiased within-subject template  
11 space [21] was created using robust, inverse consistent registration [22]. Several  
12 processing steps, such as skull stripping, Talairach transformations, atlas registration,  
13 as well as spherical surface maps and parcellations were then initialized with common  
14 information from the within-subject template, significantly increasing reliability and  
15 statistical power [23]. The cortical thickness maps were smoothed using a 15-mm full  
16 width at half maximum Gaussian kernel to reduce local variations in the  
17 measurements.

18 In addition, the following volumetric measures at both time-points were automatically  
19 obtained using Freesurfer: total intracranial volume, lateral ventricles, and 7  
20 subcortical structures including the thalamus, caudate, putamen, pallidum,  
21 hippocampus, amygdala, and the nucleus accumbens.

22

## 23 **2.4. Statistical analyses**

24 *Demographic and clinical measures*

1 Statistical analyses were performed with the STATA13 (<http://www.stata.com/>)  
2 software. The distribution of continuous variables was tested for normality using the  
3 Skewness-Kurtosis test and visual inspection of histograms. Parametric data were  
4 assessed using either t-tests or analysis of variance (ANOVA) for continuous  
5 variables. For non-parametric data, Kruskal-Wallis was used.  $\chi^2$  tests were used to  
6 examine differences between categorical measures. For each test statistic, a two-tailed  
7 probability value of  $< 0.05$  was regarded as significant.

8

### 9 *Cortical thickness comparisons*

10 For each hemisphere, vertex-wise comparisons of percent change of cortical thickness  
11 (PcCTh) among the subject groups were performed using the longitudinal two stage  
12 general linear model in Freesurfer [23]. The PcCTh was the dependent factor and the  
13 diagnostic group was the independent factor. Additionally, we examined the  
14 correlations of PcCTh with cognitive decline (baseline score – follow-up score. To  
15 assess the involvement of PcCTh in disease severity, we assessed correlations with  
16 change scores of the UPDRS. In all imaging analyses, age and gender were included  
17 as nuisance covariates, and Family Wise Error (FWE) cluster-wise correction using  
18 Monte Carlo simulations with 10,000 iterations were applied to correct for multiple-  
19 comparisons [24].

20

### 21 *Longitudinal atrophy of subcortical structures*

22 To reduce the number of comparisons, we derived a total volume for each structure by  
23 combining the volumes from both hemispheres. For each subject, we first calculated  
24 the absolute difference in volumes between both times [(volume<sub>follow-up</sub> – volume  
25 baseline)], before dividing by the volume at baseline [(volume<sub>follow-up</sub> – volume<sub>baseline</sub>) /

1 volume<sub>baseline</sub>] to quantify the amount of atrophy with respect to baseline, before  
2 multiplying by 100 to derive a percentage change score:  $[(\text{volume}_{\text{follow-up}} - \text{volume}_{\text{baseline}}) / \text{volume}_{\text{baseline}}] * 100\%$ . Subsequently, group differences in percentage change  
3 of subcortical volumes were tested with analysis of covariance (ANCOVA)  
4 controlling for age, gender, and the average of total intracranial volumes at both time-  
5 points. Post-hoc Tukey-Kramer pairwise comparisons were subsequently tested  
6 between each group.

8

### 9 **3. Results**

#### 10 *Subject characteristics*

11 The demographic and clinical data for dementia and control subjects are summarized  
12 in Table 1. Subject groups were well matched for age, gender, and educational level,  
13 and there was no difference in inter-scan intervals among all subject groups ( $p=0.21$ ).  
14 As expected, the DLB group had significantly higher UPDRS scores than the AD and  
15 HC groups at both time-points. Functional ability (BADLS) was similar in DLB and  
16 AD ( $p=0.23$ ). Disease duration was comparable in both DLB (52.2 months) and AD  
17 (51.8 months;  $p=0.96$ ), and the proportion of subjects on cholinesterase inhibitors was  
18 also similar ( $p=0.23$ ). There were no significant differences in changes of NPI  
19 ( $p=0.50$ ), CogFluct ( $p=0.52$ ), between DLB and AD.

20

#### 21 *Longitudinal analyses of cognitive decline in DLB and AD*

22 AD and DLB did not differ on global cognitive measures such as MMSE and  
23 CAMCOG at baseline or follow-up. Although both DLB and AD performed poorer  
24 over time, the decline in global cognition did not differ between groups. BVMT



1 scores were similar for both groups at baseline and follow-up, including change  
2 scores.

3

#### 4 *Comparisons of longitudinal cortical thinning: AD vs HC*

5 Compared to HC, the AD subjects had significantly greater PcCTh in the bilateral  
6 frontal and temporo-parietal cortices: left precuneus, left rostral middle frontal gyrus,  
7 left isthmus cingulate, left temporal pole, left superior parietal gyrus, left superior  
8 frontal gyrus, left inferior parietal gyrus, left middle temporal gyrus, left caudal  
9 middle frontal gyrus left cuneus, right superior parietal gyrus, right precuneus, right  
10 superior frontal gyrus, right paracentral gyrus and right middle temporal gyrus.

11 Compared to AD, no increased rates of cortical thinning was found in the HC group  
12 (Figure 1; Table e-1; FWE Monte Carlo cluster-wise corrected).

13

#### 14 *Comparisons of longitudinal cortical thinning: AD vs DLB*

15 Compared to DLB, the AD subjects had significantly greater PcCTh in the left middle  
16 and superior temporal gyrus, extending to the left lingual gyrus. No increased  
17 progressive cortical thinning was found in the DLB group compared to AD (Figure 1;  
18 Table e-1; FWE Monte Carlo cluster-wise corrected).

19

#### 20 *Comparisons of longitudinal cortical thinning: DLB vs HC*

21 There were no significant differences in PcCTh in any regional areas between the  
22 DLB and HC groups.

23

#### 24 *Clinical and cognitive associations of cortical thinning*

25 The anatomical results for the vertex-wise correlational analyses are displayed in

1 Figure 2; Table e-2; controlled for age and gender and FWE Monte Carlo cluster-wise  
2 corrected. In the DLB group, increased PcCTH in the left frontal lobe was  
3 significantly correlated with decline in MMSE scores, CAMCOG Orientation and  
4 Expressive Language performances. Decline in UPDRS was also significantly  
5 correlated with increased rates of thinning in the right superior parietal region. In the  
6 AD group, increased PcCTH in the bilateral frontal regions were significantly  
7 correlated with decline in BVMT scores. No significant correlations between rates of  
8 cortical thinning and cognitive decline were demonstrated in the HC group.

9

#### 10 *Longitudinal comparisons of subcortical atrophy and ventricular expansion*

11 Table 3 and Figure 3 show the percentage change in subcortical volumes between  
12 baseline and follow-up. After Bonferroni correction for multiple comparisons, the AD  
13 group demonstrated significantly increased longitudinal hippocampal atrophy (-5.8%)  
14 relative to the HC (-1.7%;  $p < 0.001$ ) and DLB groups (-2.5%,  $p = 0.006$ ). Significant  
15 lateral ventricular expansion was also observed in AD (8.9%) compared to HC (4.3%;  
16  $p < 0.001$ ), and DLB (4.7%;  $p = 0.008$ ) at trend level. There was no significant  
17 difference in subcortical atrophy and ventricular expansion between DLB and HC.

18

#### 19 **4. Discussion**

20 Previous longitudinal studies in DLB have focused on the assessment of global brain  
21 measures such as whole brain atrophy rates, yielding somewhat conflicting results.  
22 O'Brien and colleagues found no significant differences in whole brain atrophy rates  
23 between AD and DLB [25]. In contrast, another study with pathological confirmation  
24 of diagnosis revealed significantly greater global atrophy rates in AD compared to  
25 DLB [26]. To our knowledge, this is the first study to evaluate the topographical

1 differences in the progression of cortical thinning between DLB and AD. The main  
2 findings are: (i) DLB and AD are characterized by distinct spatial and temporal  
3 patterns of cortical thinning. Consistent with our *a priori* hypothesis, the temporal  
4 lobe showed significantly greater cortical thinning in AD compared to DLB over the  
5 follow-up period; (ii) regional cortical thinning over time was correlated with  
6 cognitive decline in both AD and DLB groups; (iii) significantly greater loss of  
7 hippocampal volume and lateral ventricular expansion over 1 year was also observed  
8 in the AD group.

9

10 Firstly, the present longitudinal findings should be interpreted in light of the baseline  
11 comparison [7]. Compared to similarly aged HC, we have previously reported that  
12 AD was characterized by cortical thinning in the temporo-parietal cortices extending  
13 into the frontal lobes while a milder degree of cortical thinning in the parietal regions  
14 was evident in DLB. Furthermore, cortical thickness of the left temporal lobe was  
15 relatively preserved in DLB compared to AD at baseline. As such, it is noteworthy  
16 that our present longitudinal study has revealed a similar spatial pattern of accelerated  
17 thinning in the cortical regions that were already thinner in AD compared to HC and  
18 DLB at baseline.

19

20 At present, the longitudinal progression of cortical thinning in DLB is relatively  
21 unknown. Moreover, the cellular mechanisms through which alpha-synuclein  
22 pathology – the characteristic hallmark of Lewy body disease – contributes to  
23 neurodegeneration remains poorly understood [27]. Increasing *in vitro* evidence also  
24 suggests that alpha-synuclein is not a direct causative factor of neurodegeneration.  
25 Rather, it triggers a series of secondary molecular processes that eventually leads to

1 neuroinflammation, disruption of neurotransmitters, and eventually cell loss [27,28].  
2 Consistent with this view, we found no differences in the rates of regional cortical  
3 thinning between DLB and HC over 12 months. Although it is possible that our  
4 negative finding might represent a Type-II error due to the relatively small sample  
5 size of DLB (n=13) and short duration of follow-up (1 year), corroborative evidence  
6 have come from previous studies. A larger study has found similar global and  
7 regional brain atrophy rates in pathologically confirmed DLB (n = 20) and HC (n =  
8 15) subjects over a long follow-up period of 2 years [29]. In addition, using a  
9 Boundary Shift Integral method, Whitwell and colleagues (2007) also reported  
10 minimal global atrophy rates in DLB (n = 9) compared to HC (n = 25). Similar  
11 patterns of atrophy rates have also been reported in subjects with Parkinson's disease  
12 (PD), another Lewy body disease [30,31]. These convergent findings, despite  
13 methodological differences and sampling (clinical and autopsy confirmation), support  
14 the view that alpha-synuclein pathology – a major constituent of Lewy bodies – has  
15 limited direct involvement in cerebral atrophy. This notion is also consistent with  
16 evidence demonstrating a strong correlation between hippocampal atrophy and  $\beta$ -  
17 amyloid plaques and neurofibrillary tangles but not synuclein pathology [32].  
18  
19 Compared to AD, DLB was characterized by a significantly slower rate of temporal  
20 thinning compared to AD. It is well-established that the relative preservation of the  
21 MTL in DLB compared to AD is recognized as the most consistent structural MRI  
22 finding at the cross-sectional level [8], and is in keeping with the different  
23 neuropsychological profiles of both groups. Considered with our baseline observation  
24 of reduced temporal thickness in AD [7], the present findings extend the literature by  
25 elucidating the differential trajectories of temporal thinning in both conditions over

1 time, thereby validating the inclusion of medial temporal lobe preservation as a  
2 supportive biomarker for the clinical diagnosis of DLB [9]. While the diagnostic  
3 value of FP-CIT for DLB has been established to be the “gold standard” in the clinical  
4 community [33], there are clinical benefits to be gained with multimodal imaging (i.e.  
5 integrating SPECT and MRI in conjunction). In terms of improving accuracy in  
6 differential diagnosis, MRI striatal volumetric data have been combined with occipital  
7 perfusion SPECT to distinguish subjects with mild DLB from subjects with mild AD  
8 with a high degree of sensitivity and specificity [34].

9

10 The clinical implications of cortical thinning in DLB are still poorly understood. Our  
11 correlational analyses of the UPDRS change scores among the DLB subjects revealed  
12 a significant association between increased thinning in the superior parietal cortex and  
13 greater motor deterioration. Our findings are in accord with recent VBM studies  
14 demonstrating significant atrophy of the parietal cortex in PD subjects presenting with  
15 freezing of gait compared to PD subjects without freezing symptoms [35,36]. In  
16 addition, white matter hyperintensities in the parietal lobe has been linked to impaired  
17 balance and postural support [37]. Taken together, these findings fits within the  
18 framework that the superior parietal lobe is part of the motor system involved in  
19 sensorimotor integration.

20 The frontal lobe was also involved with cognitive decline in the DLB group.  
21 Increased thinning in the superior frontal regions was associated with greater decline  
22 in MMSE, an index of global cognition. In addition, increased thinning in the left  
23 rostral middle frontal regions was correlated with both the orientation and language  
24 components of the CAMCOG assessment. Similarly, reductions in prefrontal volumes  
25 have been correlated with attentional deficits [38]. Despite the small sample size in

1 our study, the potential of the frontal lobe as a plausible biomarker for cognitive  
2 impairment in DLB should be established further in a larger cohort of DLB subjects.

3

4 Increased cortical thinning over 1 year in AD relative to HC was found in the  
5 temporo-parietal areas extending to the frontal regions. Our results are thus in  
6 agreement with earlier studies demonstrating that AD is associated with progressive  
7 loss of whole brain volumes, particularly in the medial temporal structures [25].

8 Increased rates of cortical thinning were also found in the precuneus and the isthmus  
9 of the cingulate gyrus. Both structures are involved in the default mode network,  
10 which has been found to be impaired in AD [39]. Indeed, the precuneus has been  
11 implicated in episodic memory [40] while the posterior cingulate projects strongly to  
12 the enthorinal and parahippocampal cortices, both of which are among the earliest  
13 sites of pathological changes in AD [41].

14

15 Consistent with the differential patterns of progressive cortical thinning in AD and  
16 DLB, our longitudinal analyses of subcortical changes also revealed significantly  
17 faster atrophy in the AD group, particularly in the hippocampus, and the thalamus  
18 albeit at trend level. The finding of increased ventricular expansion in AD compared  
19 to HC and DLB also agrees with previous studies [42].

20

21 The major strengths of the study include the comprehensive neuropsychological  
22 assessment and a well-characterized group of probable DLB and AD patients. In  
23 addition, all the groups were matched for age, gender, and educational level. The  
24 longitudinal design, a rarity in the DLB imaging literature, allowed us to address  
25 unanswered questions related to the progression and clinical implications of cortical

1 thinning in Lewy body dementia. Some potential limitations of this study include the  
2 lack of neuropathological verification of AD and DLB, as subject groups were based  
3 on clinical diagnosis, though this is an inherent limitation of all ante-mortem imaging  
4 studies. Furthermore, we have previously demonstrated good agreement between  
5 clinical and pathological diagnosis using the consensus clinical diagnostic method  
6 adopted here [43]. Attrition of subjects is also a common drawback in longitudinal  
7 studies. Less than half (n=14) of the originally recruited DLB subjects (n=35)  
8 returned for a follow-up assessment due to disease progression including 9 deaths.  
9 However, they did not differ from those who were unable to complete the 12-month  
10 assessment (n=21) in age or measures of global cognition, neuropsychiatric features  
11 or motor parkinsonism (Table 2). Finally, to minimize the number of comparisons  
12 between the DLB, AD and HC groups, we have summed the left and right  
13 hemispheric measures of each subcortical structure. Although there is no evidence to  
14 indicate systematic laterality of subcortical changes in AD and DLB, our combined  
15 volumes for each structure might have resulted in a loss of potential information about  
16 asymmetrical disease-related changes.

17

## 18 **5. Conclusion**

19 In accordance with our hypothesis, faster thinning over 1 year was found in the  
20 temporal lobe in AD relative to DLB. Besides validating the inclusion of the medial  
21 temporal lobe as a supportive biomarker in the revised diagnostic criteria for DLB,  
22 our findings also highlight the clinical utility of longitudinal cortical thinning as a  
23 complementary imaging marker to differentiate DLB from AD. Greater cortical  
24 thinning could exert deleterious effects on global cognitive decline and was associated  
25 with increasing motor severity in DLB. However, our finding of similar rates of

1 cortical thinning in DLB and HC underscores the ongoing need to develop other  
2 surrogate biomarkers of disease progression in DLB.

3

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12

#### 13 **Contributions**

14 Elijah Mak formulated the research question, performed the statistical analyses,  
15 interpreted the results, and wrote the manuscript.

16 Li Su and Guy Williams assisted with the interpretation of the results, and provided  
17 comments and additional suggestions for revisions of the draft.

18 Rosie Watson, recruited and assessed study participants, assisted with the  
19 interpretation of the results, and reviewed the manuscript.

20 Michael Firbank designed the imaging protocol, assisted with the interpretation of the  
21 results, and reviewed the manuscript.

22 Andrew Blamire obtained funding for the project, designed the imaging protocol,  
23 undertook routine quality assurance on the MR system, assisted with the  
24 interpretation of the results, and reviewed the manuscript.



1 John O'Brien obtained funding for the project, designed the imaging protocol,  
2 assisted with recruitment of study participants, assisted with the interpretation of the  
3 results, and reviewed the manuscript.

4 All authors approved the final manuscript.

5

6 **Disclosures**

7 Elijah Mak has no conflict of interests.

8 Li Su has no conflict of interests.

9 Guy Williams has no conflict of interests.

10 Rosie Watson has no conflict of interests.

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12 Michael Firbank has no conflict of interests.

13 John O'Brien has acted as a consultant for GE Healthcare, Lilly, TauRx and Cytos.

14

	HC	DLB	AD	p value
<i>n</i>	33	13	23	
Gender (m:f)	20:13	12:1	13:10	$\chi^2=5.28, 0.07^{\S}$
Age (yrs)	76.7 ± 5.3	77.0 ± 8.3	76.5 ± 5.4	$F_{2,68}=0.03, p=0.97^*$
Education (yrs)	11.8 ± 2.6	10.6 ± 1.9	11.3 ± 3.8	$p=0.16^k$
Disease duration (mths)		52.2 ± 20.4	51.8 ± 26.5	$p=0.96^{\dagger}$
ChEI (%)		76.92	91.30	$\chi^2=1.44, p=0.23^{\S}$
BADL		17.41 ± 10.17	14.09 ± 7.87	$p=0.23^w$
UPDRS				
Baseline	1.9 ± 1.8	27.7 ± 8.0	4.7 ± 4.1	$p<0.01^k$
Follow-up	2.1 ± 2.0	32.6 ± 13.2	5.7 ± 4.8	$p<0.01^k$
Change	-0.2 ± 2.0	-4.9 ± 8.4	-1.0 ± 2.4	$p=0.09^w$
NPI Total				
Baseline		21.1 ± 16.8	19.4 ± 12.4	$p=0.81^w$
Follow-up		24.8 ± 14.9	19.7 ± 15.0	$p=0.30^w$
Change		-3.7 ± 17.4	-0.3 ± 11.8	$p=0.50^t$
CogFluct				
Baseline		8.1 ± 3.4	2.8 ± 3.6	$p<0.01^w$
Follow-up		7.8 ± 5.4	1.8 ± 3.3	$p<0.01^w$
Change		-0.1 ± 4.4	1.0 ± 4.6	$p=0.52^t$
MMSE				
Baseline	29.2 ± 0.9	21.3 ± 6.3	20.9 ± 4.0	$p=0.80^{\dagger}$
Follow-up	29.2 ± 0.9	19.8 ± 5.8	18.8 ± 4.2	$p=0.60^{\dagger}$
Change	-0.1 ± 1.0	2.6 ± 2.9	2.0 ± 3.2	$P=0.63^{\dagger}$
CAMCOG				
Baseline	97.8 ± 3.3	69.9 ± 18.0	69.2 ± 11.3	$p=0.90^{\dagger}$
Follow-up	98.6 ± 2.8	66.8 ± 17.9	62.2 ± 14.4	$p=0.42^{\dagger}$
Change	-0.8 ± 2.50	5.8 ± 10.8	7.0 ± 10.2	$p=0.74^{\dagger}$
BVMT-Total				
Baseline	18.9 ± 6.7	6.23 ± 6.7	4.2 ± 2.7	$p=0.51^w$
Follow-up	21.9 ± 5.8	7.8 ± 7.7	5.2 ± 2.6	$p=0.51^w$
Change	-3.0 ± 5.3	-0.1 ± 4.5	-1.0 ± 2.7	$p=0.48^{\dagger}$
Interscan interval (days)	370.9 ± 13.3	379.1 ± 18.8	379.6 ± 17.8	$p=0.21^k$

1 **Table 1. Demographics, clinical and neuropsychological measures.**

2 Values expressed as Mean ± 1SD.  $^{\S}$   $\chi^2$  – DLB, AD, Controls; \*ANOVA – HC, DLB,

3 AD.  $^k$  Kruskal-Wallis test.  $^w$  Wilcoxon rank-sum test – AD and DLB.  $^{\dagger}$  Student's t-

4 test – AD and DLB. Abbreviations: DLB = dementia with Lewy bodies; AD =

5 Alzheimer's disease; HC = Healthy control; UPDRS III = Unified Parkinson's

6 Disease Rating Scale, Part III; NPI Total = Neuropsychiatry Inventory; CogFluct =

7 Cognitive Fluctuation Scale; MMSE = Mini-Mental State examination; CAMCOG =

1 Cambridge Cognitive Examination; BADLS = Bristol Activities of Daily Living  
2 Scale.

3  
4

	DLB dropped-out	DLB returned	P value	5 6 7 8 9
<i>n</i>	21	14		10
Gender (m:f)	14:7	13:1	$\chi^2=3.3, 0.07^{\S}$	11
Age (yrs)	79.1 ± 6.2	77.2 ± 8.0	p=0.43 <sup>†</sup>	12 13 14 15
Education (yrs)	11.0 ± 3.0	10.5 ± 1.9	p=0.69 <sup>w</sup>	16 17 18
UPDRS	25.1 ± 12.3	27.2 ± 7.9	p=0.58 <sup>†</sup>	19 20
NPI Total	21.4 ± 18.1	21.5 ± 16.1	p=0.70 <sup>w</sup>	21 22 23
CogFluct	4.6 ± 3.3	8.4 ± 3.4	p<0.01 <sup>†</sup>	24 25 26
MMSE	19.7 ± 4.7	21.2 ± 6.0	p=0.42 <sup>†</sup>	27 28 29
CAMCOG	66.2 ± 14.0	69.9 ± 17.3	p=0.49 <sup>†</sup>	30 31 32

33

34 **Table 2. Demographics and clinical characteristics of DLB subjects.**

35

36 Values expressed as Mean ± 1SD

37 <sup>§</sup>  $\chi^2$ – Chi-Square test; <sup>w</sup> Wilcoxon rank-sum test. <sup>†</sup> Student's t-test.

38 Abbreviations: DLB = dementia with Lewy bodies; UPDRS III = Unified Parkinson's

39 Disease Rating Scale, Part III; NPI Total = Neuropsychiatry Inventory; CogFluct =

40 Cognitive Fluctuation Scale; MMSE = Mini-Mental State examination; CAMCOG =

41 Cambridge Cognitive Examination.

42

Subcortical structures & lateral ventricle	Percentage of change <sup>a</sup>			Group comparisons of longitudinal subcortical atrophy <sup>b</sup>		
	HC	DLB	AD	AD vs HC	DLB vs HC	AD vs DLB
Thalamus	-0.87%	-2.01%	-2.41%	0.001*	0.06	0.82
Caudate	-1.47%	-3.77%	-3.20%	0.23	0.21	0.93
Putamen	-0.39%	-0.30%	-0.82%	0.80	0.99	0.82
Pallidum	-0.04%	-0.58%	0.20%	0.97	0.52	0.45
Hippocampus	-1.70%	-2.51%	-5.76%	<0.001**	0.90	0.006**
Amygdala	-2.41%	-6.25%	-4.80%	0.17	0.11	0.84
Accumbens	-0.33%	-1.00%	-2.05%	0.87	0.94	0.76
Lateral ventricle	+ 4.29%	+ 4.70%	+ 8.91%	<0.001**	0.990	0.008*

1

2 **Table 3. Comparisons of longitudinal atrophy in subcortical structures and**  
3 **lateral ventricle expansion between groups.**

4 <sup>a</sup> Percentage of change in volumes between baseline and follow-up, measured  
5 according to baseline.

6 <sup>b</sup> Group-comparisons were performed with ANCOVA, correcting for age, gender and  
7 the average total intracranial volume, followed by post-hoc Tukey-Kramer pairwise  
8 comparisons.

9 \* Significant difference at standard threshold of  $p < 0.05$  without correction for  
10 multiple comparisons.

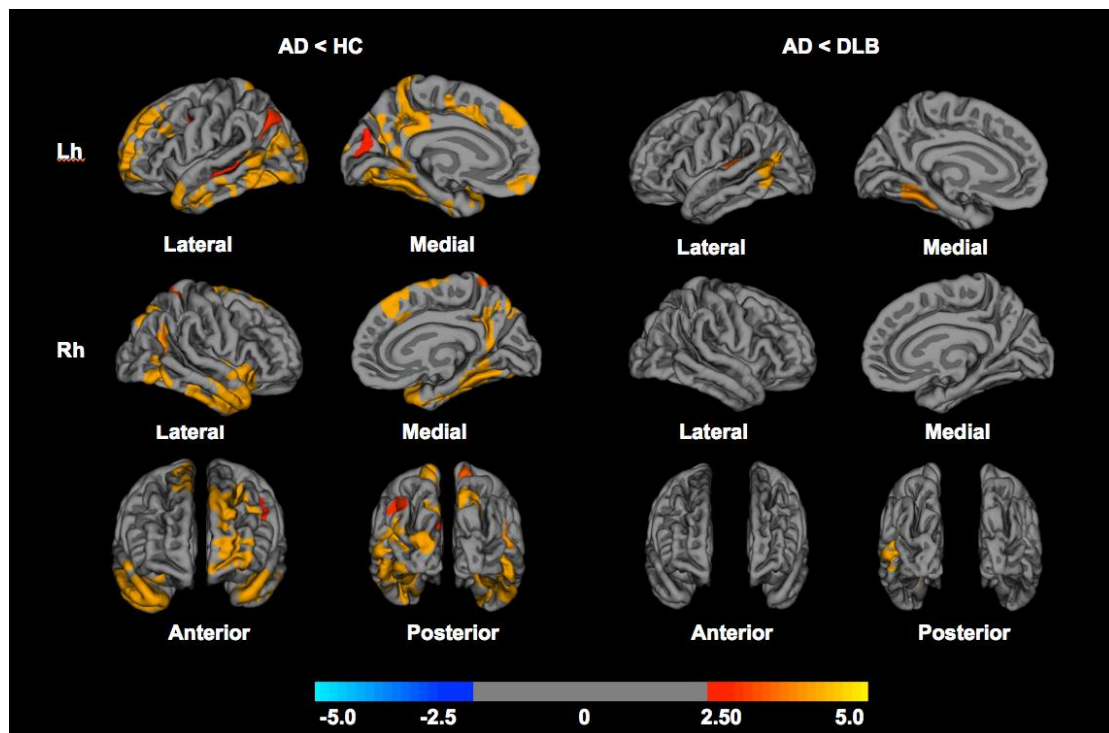
11 \*\* Significant difference between groups after Bonferroni correction for multiple  
12 comparisons.

13 Abbreviations: DLB, dementia with Lewy bodies; AD, Alzheimer's disease; HC,  
14 Healthy control.

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1

2 **Figure 1. Vertex-wise comparisons of progressive cortical thinning between (A)**3 **AD and HC, (B) AD and DLB.** Results were corrected using family-wise error

4 correction with Z Monte Carlo simulation (10,000) iterations and thresholded at a

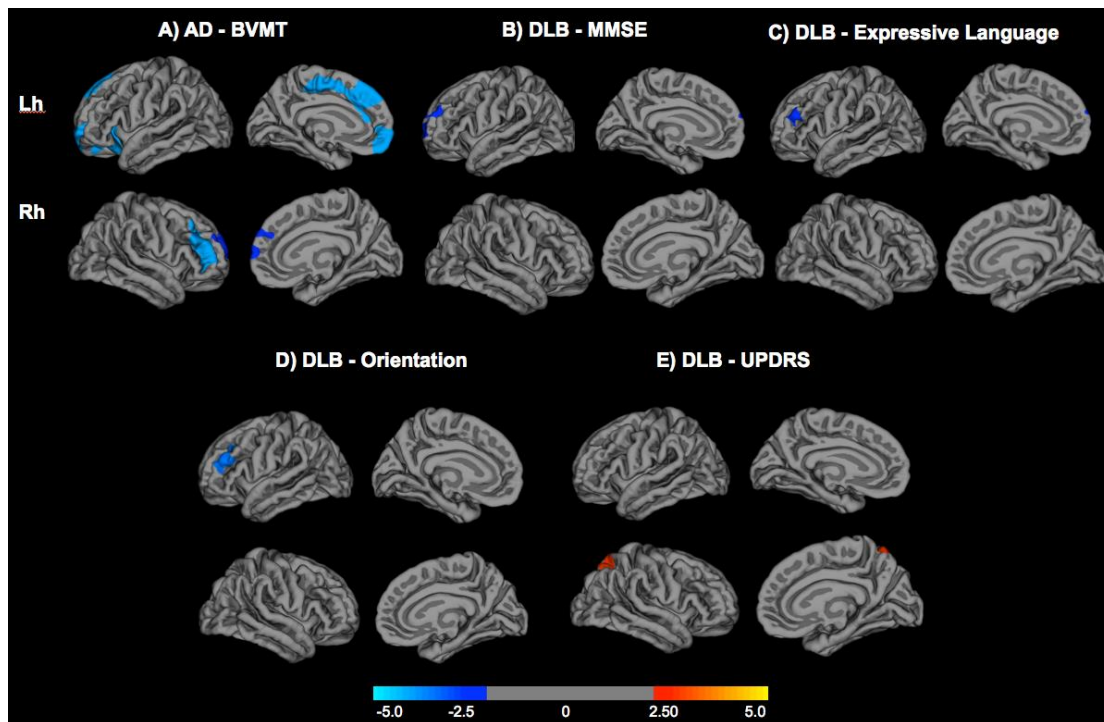
5 corrected P value of 0.01 ( $Z=2.0$ ). Age and sex were included as nuisance covariates.6 The color bar shows the logarithmic scale of p values ( $-\log_{10}$ ).

7 Abbreviations: DLB = dementia with Lewy bodies; AD = Alzheimer's disease; HC =

8 healthy controls; Lh = left hemisphere; Rh = right hemisphere.

9

1



2

3 **Figure 2. Vertex-wise correlations between percent of cortical thinning and**  
 4 **longitudinal decline in (A) BVMT total scores in AD, (B) MMSE in DLB, (C)**  
 5 **CAMCOG-Expressive Language in DLB, (D) CAMCOG-orientation scores in**  
 6 **DLB, (E) UPDRS progression in DLB.** Results were corrected using family-wise  
 7 error correction with Z Monte Carlo simulation (10,000) iterations and thresholded at  
 8 a corrected P value of 0.01 ( $Z=2.0$ ). The color bar shows the logarithmic scale of p  
 9 values ( $-\log_{10}$ ). Abbreviations: DLB = dementia with Lewy bodies; AD = Alzheimer's  
 10 disease; Lh = left hemisphere; Rh = right hemisphere; MMSE = Mini-Mental State  
 11 examination; CAMCOG = Cambridge Cognitive Examination; BVMT = Brief  
 12 Visuospatial Memory Test; UPDRS = Unified Parkinson's Disease Rating Scale.

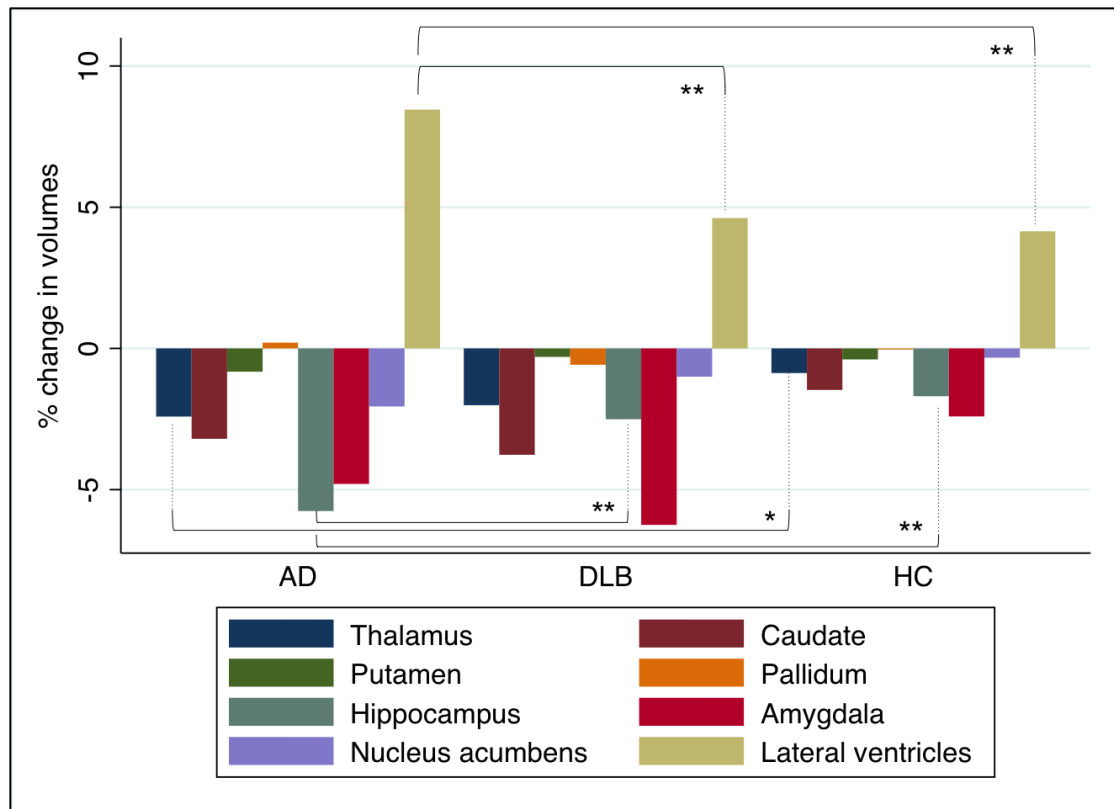
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2

3 **Figure 3. Longitudinal atrophy in subcortical structures and lateral ventricle**  
4 **expansion.**

5 \* Significant difference at standard threshold of  $p < 0.05$  without correction for  
6 multiple comparisons.

7 \*\* Significant difference between groups after Bonferroni correction for multiple  
8 comparisons.

9 Abbreviations: DLB, dementia with Lewy bodies; AD, Alzheimer's disease; HC,  
10 Healthy control.

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