



The role of the maternal immune system in the regulation of human birth weight

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The role of the maternal immune system in the regulation of human birth weight

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Summary

Human birth weight is subject to stabilizing selection. Large babies are at risk of obstetric complications such as obstructed labour, which endangers both mother and child. Small babies are also at risk with reduced survival. Fetal growth requires remodeling of maternal spiral arteries to provide an adequate maternal blood supply to the placenta. This arterial transformation is achieved by placental trophoblast cells, which invade into the uterine wall. Under invasion is associated with fetal growth restriction; but if invasion is excessive large babies can result. A growing body of evidence suggests that this process is controlled by interactions between KIR receptors expressed on maternal uterine NK cells (uNK) and their corresponding HLA-C ligands on invading trophoblast. Mothers with the *KIR* AA genotype and a fetus with a paternal *HLA-C2* allele tend to have small babies, because this combination inhibits cytokine secretion by uNK. Mothers with the activating *KIR2DS1* gene and an *HLA-C2* fetus are more likely to have large babies. When *KIR2DS1* binds to *HLA-C2* this increases secretion of cytokines that enhance trophoblast invasion. We conclude that specific combinations of the highly polymorphic gene systems, *KIR* and *HLA-C*, contribute to successful reproduction by maintaining birth weight between two extremes.

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8 **Index words/phrases:**

9 Birth weight, Natural Killer (NK) cells, immunology, pre-eclampsia, fetal
10 growth restriction, placental development
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For Review Only

Introduction

50 The role of the immune system in the development of both the brain and
the placenta during the evolution of modern humans may not be
immediately obvious. This paper reviews the part played by the immune
system at the moment in human life history when brain size and pelvic
anatomy interact in a way that is critical to success and failure of pregnancy.
55 Human brains are now so large that it is an extremely tight fit for them to
squeeze through the pelvis. Indeed, babies often do get stuck during the
passage through the birth canal resulting in obstructed labour. It is not just
pregnancies with very large babies where mothers and their babies are at
risk of morbidity and mortality. At the opposite end of the spectrum when
60 babies are too small this can have equally damaging consequences. Karn and
Penrose first noted this selective pressure at the extremes of the birth
weight spectrum in the 1930s but it was Bodmer who pointed out that
human birth weight is a prime example of balancing or stabilizing selection
(1,2). Although death of babies is now less frequent due to advances in
65 neonatal medicine, there is still considerable morbidity. Our recent study in
Norway shows babies were most frequently admitted to the neonatal ward
when they were born at the extremes of the birth weight spectrum (3). This
suggests there is still strong selective pressure to maintain human birth
weight between these two extremes. This situation, encapsulated in the
70 notion of the Obstetric Dilemma, is a particular problem for humans.

Placentation and fetal growth

Growth of the fetus and size at birth must depend on the delivery of
sufficient nutrients and oxygen to the placenta. The supply line in the
75 uterus is via the maternal arteries supplying blood to the placenta - so-
called spiral arteries. In humans these are structurally transformed during
early pregnancy to allow blood flow to increase about 100-fold (4). Arterial
transformation depends on an unusual process during placentation, whereby
fetal trophoblast cells from the placenta infiltrate into the uterine wall,
80 home to the arteries and destroy the smooth muscle media. As a result of
this trophoblast modification, the arteries become conduits capable of high

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3 conductance at low pressure with a reduction in velocity of the blood
4 entering the placenta. Terminal dilatation of the arteries results in a
5 further reduction in the flow rate into the intervillous space. This permits
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8 85 adequate time for gas exchange, especially towards the end of the
9 pregnancy when fetal demands are highest. When there is failure of arterial
10 conversion by trophoblast, then arterial blood will jet into the intervillous
11 space from the non-transformed arteries causing damage to the villous tree
12 (Figure 1). Transport of oxygen and nutrients to the fetus is reduced and
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16 90 this manifests as fetal growth restriction (FGR). In more severe cases the
17 mother may develop pre-eclampsia, a systemic syndrome that occurs when
18 the placenta becomes stressed as a result of the reduced blood flow (5).
19 Soluble factors released from the stressed placenta can trigger an
20 inflammatory condition with diverse clinical manifestations. These include
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24 95 oedema, proteinuria and high blood pressure and can progress to eclampsia
25 and maternal death. This is one of the principal reasons for clinical
26 problems in both mothers and babies in pregnancies with very low birth
27 weights. There are other clinical conditions besides pre-eclampsia and FGR
28 resulting from defective placentation. Known collectively as the Great
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33 100 Obstetric Syndromes (GOS), they include unexplained stillbirth, placental
34 abruption and preterm labour (6).
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38 **The Obstetric Dilemma**

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40 Very large babies (>~4kg) represent the other extreme of the birth weight
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42 105 spectrum and these pregnancies are also at risk of clinical problems due to
43 the difficulty of the passage of their head through the pelvis. Fetal
44 obstruction results in prolonged labour, fetal death from asphyxia, soft
45 tissue damage to pelvic organs and post-partum haemorrhage (7).
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48 Estimation of placental size by sonography shows that, similar to the GOS,
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50 110 the origins of macrosomia are present early in gestation (8). The risk of
51 cephalopelvic disproportion has arisen because of the anatomical
52 adaptations necessary for efficient bipedalism. These resulted in narrowing
53 of the birth canal, imposing considerable constraints during parturition that
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3 115 (9). The human head followed by the shoulders needs to rotate to fit the
4 three planes of the pelvis that all have different shapes and orientations
5 unlike other primates (10).
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10 The combination of a narrow birth canal and the enlarged brain means that
11 the Obstetric Dilemma is a particular problem for humans. Fetal growth in
12 120 utero depends on development of a good maternal blood supply to the
13 placenta that requires modification of the uterine spiral arteries.
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15 Trophoblast cells from the placenta invade deeply into the stroma to effect
16 arterial conversion. The extravillous trophoblast cells (EVT) encircle the
17
18 125 arteries and then cause direct destruction of the smooth muscle of the
19 arterial wall with complete loss of vasoconstriction. In contrast, trophoblast
20 invasion is much more limited in extent in Old World monkeys where
21 trophoblast cells only move down the inside of the arteries to replace the
22 endothelium and functionally modify the media (11,12). Amongst primates,
23
24 130 deep trophoblast invasion through the decidua and into the inner
25 myometrium is characteristic of human placentation, probably as a
26 consequence of the increased blood supply needed to support the in utero
27 development of the large brain. Indeed, brain development continues for
28 some years after birth in humans (13). Thus, the extent of trophoblast
29
30 135 invasion has a critical effect on fetal access to maternal oxygen and
31 nutrients. Under-invasion is associated with GOS such as FGR and pre-
32 eclampsia, a condition only occurring in humans; over-invasion can result in
33 obstructed labour due to the large fetus. A consequence of the balancing
34 selection operating to prevent obstructed labour and the birth weight from
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36 140 being too large is the persistence of pregnancies with pre-eclampsia and
37 fetal growth restriction in all populations. The Obstetric Dilemma means
38 that the birth weight must therefore be kept closely between the two
39 dangerous extremes.
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55 **Regulation of placentation by the decidual immune system**
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3 Because trophoblast transformation of the arteries affects the growth and
4 development of the fetus and placenta, there is much interest in how
5 trophoblast invasion is controlled and thus how the supply line to the fetus
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8 150 is regulated. In vivo and in vitro observations show that human trophoblast
9 cells are inherently highly invasive and can penetrate right through the wall
10 of the uterus - *unless* they are controlled. This is seen, for example, after a
11 caesarian section if the placenta implants on the scar in a subsequent
12 pregnancy. Because the mucosal lining of the uterus (decidua) is missing at
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16 155 the site of the scar, trophoblast invasion proceeds unchecked, a condition
17 known as placenta percreta, which can lead to uterine rupture (14). The
18 same behaviour occurs in ectopic pregnancy where implantation occurs in
19 the fallopian tube, which also lacks decidua. This suggests decidual tissue
20 must be essential for regulation of trophoblast invasion.
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26 To understand how decidua regulates placentation we have focused on the
27 decidual immune system (15,16). Hints that the immune system was
28 involved came initially from studying the epidemiology of pre-eclampsia (17).
29 Classically, this is a disease of first pregnancies, giving rise to the idea that
30 women became 'immune' afterwards. Change of male partners after a
31 normal first pregnancy can trigger the condition; conversely women who
32 have already had pre-eclampsia may then be protected when they have a
33 165 baby with a new partner (18). These features, memory and specificity are
34 characteristic of the immune system. The familial and genetic contribution
35 from the mother is well established, but, importantly, several studies have
36 also shown that there is a paternal contribution to both pre-eclampsia and
37 birth weight (19,20,21). There is therefore circumstantial evidence that
38 interactions between maternal and fetal immune system genes may
39 determine successful pregnancy outcome.
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51 There are two sites where fetal cells are in direct contact with maternal
52 immune cells. Placental villi are covered by syncytiotrophoblast and present
53 a large surface area in contact with maternal blood. Intermingling of
54 maternal and fetal cells also occurs in the uterus at the site of placental
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3 180 attachment where EVT invade into decidua Figure 1). A territorial boundary
4 must be defined here between two individuals. Because of the need to
5 regulate the blood flow to the placenta and thus the birth weight, this
6 boundary needs to be established in the right place. If the placenta (fetus)
7 is too intrusive, the mother is at risk of uterine rupture and death. If
8 trophoblast invasion is not far enough, spiral arteries are not sufficiently
9 transformed, the blood flow to placenta is reduced, fetal growth is
10 compromised and the mother is at risk of pre-eclampsia. There is growing
11 evidence for the role of the decidual immune system in the subtle
12 delineation of this uterine maternal/fetal interface. Because these two
13 individuals are genetically different, the invading trophoblast cells will
14 express molecules encoded by paternal genes meaning that, in
15 immunological terms, the fetus is 'non-self' to the mother. How do
16 maternal immune cells recognize and respond to the fetal trophoblast cells
17 in the decidua, and do these interactions regulate trophoblast invasion?
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T cells and allorecognition in pregnancy

30 T cells recognise antigenic peptides presented by MHC molecules. These
31 genes were originally discovered by Peter Medawar as the gene system
32 whose products determine rejection or acceptance of transplants (22).
33 Unlike somatic cells, syncytiotrophoblast lacks expression of both MHC class
34 I and class II molecules, so cannot be recognized by T cells. However
35 maternal T cell responses against fetal alloantigens do occur during
36 pregnancy, generating fetal-specific T cells and humoral responses. (23).
37 During pregnancy, temporary breaks in the syncytiotrophoblast layer can
38 permit fetal cells to enter the maternal circulation. It is likely that these
39 deported cells give rise to most of the fetal antigen-specific responses that
40 have been described in T cells in maternal blood such as that to male HY
41 minor histocompatibility antigen (24). There is no evidence that any of
42 these responses can directly affect syncytiotrophoblast.
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53 EVT in the decidua also express an unusual MHC profile: they lack class II
54 expression and do not express the HLA-A or -B molecules normally found on
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3 all somatic cells (25). Instead EVT express classical HLA-C as well as non-
4 classical molecules, HLA-E and -G. The latter two are essentially
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6 215 monomorphic in human populations so will not vary from pregnancy to
7 pregnancy (25). Since most T cells are restricted to recognize self HLA-A or-
8 B molecules in association with a non-self peptide, the unusual MHC profile
9 of EVT means that only HLA-C restricted T cells are capable of directly
10 recognizing EVT in the decidua. A recent study has shown that in HLA-C
11
12 220 mismatched pregnancies there are decidual T cells present that can
13 recognize fetal HLA-C when stimulated with fetal cord blood. These are not
14 present in HLA-C matched pregnancies. HLA-C mismatched pregnancies
15 were also associated with an increase in CD4⁺CD25^{bright} regulatory T cells
16 (Tregs) in the decidua (26). Tregs represent a subset of CD4⁺ T cells that are
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18 225 act to suppress immune responses against self-antigens. They are
19 characterised by expression of the transcription factor Foxp3 (27). However
20 since EVT does not express MHC class II, these CD4⁺ Tregs presumably
21 recognize fetal HLA-C through indirect allo-recognition via presentation of
22 HLA-C peptides on maternal antigen presenting cells (APC). To date there is
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24 230 no evidence that T cells are present in human decidua that can directly
25 recognize fetal alloantigens presented by HLA-C on trophoblast. However
26 decidual Tregs are generated in HLA-C mismatched pregnancies and these
27 may contribute to generation of a tolerogenic environment in the decidua.
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40 235 Although there are significant differences in placental anatomy and
41 trophoblast MHC expression compared to humans, elegant experiments
42 possible in mice have given broadly similar results to those seen in humans.
43 T cells specific for paternal antigens are generated in allogeneic matings,
44 but do not lead to pregnancy failure in normal pregnancies (28). Adoptive
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46 240 transfer of CD8⁺ T cells specific for H2-K^b, to H2-K^b negative females
47 carrying H2-K^b positive pups, did not result in pregnancy failure even though
48 H2-K^b is expressed on trophoblast (29,30). However expansion of Tregs is
49 seen during both syngeneic and allogeneic pregnancies and depletion of
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51 Tregs during pregnancy results in fetal resorption only in allogeneic matings
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56 245 (31). Tregs appear to play an important role in preventing rejection of
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3 allogeneic pregnancies but the exact mechanism is unclear. In both mouse
4 and humans multiple other mechanisms have been described that contribute
5 to T cell tolerance. These include: 'trapping' of APCs within the decidua
6 reducing migration to regional lymph nodes, reduced T cell entry into
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10 250 decidua by silencing of chemokine expression, stimulation of APCs by HLA-G
11 to induce a tolerogenic cytokine secretion and many others (reviewed in
12 32,16). However, in humans there is no evidence that T cell dependent
13 adaptive responses to fetal antigens, either systemically or in decidua, are
14 involved in damaging placental cells or causing pregnancy failure.
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Uterine NK cells and recognition of trophoblast HLA class I molecules

21 T cells comprise only 5-20% of decidual leukocytes. The overwhelming
22 majority of leukocytes in the decidua are uterine Natural Killer cells (uNK).
23 These are uniquely found in the endometrium during the luteal phase of the
24 menstrual cycle when implantation occurs, and in decidua during early
25 pregnancy (5). Uterine NK cells are phenotypically and functionally quite
26 distinct from NK cells circulating in peripheral blood and do express a range
27 260 of receptors that can bind molecules expressed by placental cells (33,34).
28 Of these, our focus has been on those receptors that could be involved in
29 specific recognition of paternal difference (5,16). We have therefore
30 studied NK receptors that can bind the MHC molecules expressed by EVT:
31 HLA-C, -E and -G. The latter two are essentially monomorphic in human
32 populations so will not vary from pregnancy to pregnancy (25). Uterine NK
33 cells express Killer Immunoglobulin-like Receptors (KIR) that can recognize
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35 265 HLA-C, and invading trophoblast cells abundantly express both maternal and
36 paternal HLA-C molecules (35). However, the usual simple relationship
37 between receptor and ligand is complicated by extreme polymorphism of
38 both the KIR expressed by uNK and their trophoblast HLA-C ligands, with
39 many different variants found within all populations. This means that there
40 is a range of possible interactions between variants of maternal KIR and
41 alleles of fetal HLA-C ligands to which uNK cells bind. Each pregnancy will
42 thus bring together different combinations of maternal KIR and fetal HLA-C,
43 270 with potentially different outcomes.
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280 **Killer Immunoglobulin-like Receptors (KIR) expressed by NK cells**

281 The *KIR* gene family is complex with a wide range in both the number of *KIR*
282 genes inherited by the mother as well as allelic variation of individual *KIR*
283 genes (36). Up to 14 different *KIR* genes are present in a linear array in the
284 Leucocyte Receptor Complex (LRC) on chromosome 19q13.4. KIR are major
285 regulators of NK cell function with different KIR conferring either an
286 inhibitory or activating signal to the NK cell. Their most important known
287 ligands are HLA-C molecules. KIR are named based on the number of
288 extracellular Ig-like domains (2D or 3D) and whether they have a long or
289 short cytoplasmic tail (eg KIR2DL1 or KIR2DS1). Binding of KIR with a long
290 cytoplasmic tail to their cognate ligands results in transduction of an
291 inhibitory signal, whereas ligation of KIR with a short tail results in
292 activation of NK cells. This complexity has been simplified by the clear
293 distinction between two *KIR* haplotypes, A and B. The *KIR* A haplotype is
294 the most common and contains six inhibitory genes in most individuals. The
295 *KIR* B haplotype is much more variable in gene content and many of the
296 additional KIR are activating. An individual's *KIR* genotype can thus be
297 designated 'AA' 'AB' or 'BB' (Figure 2). Although there are over 1000 alleles
298 of HLA-C, these can be distinguished by KIR as two distinct groups, HLA-C1
299 and -C2, based on a dimorphism at position 80 of the $\alpha 1$ domain of the HLA-
300 C molecule (36). To date there is evidence that five members of the KIR
301 family are capable of binding to HLA-C allotypes. The known KIR
302 specificities for HLA-C are shown in Figure 2. The strongest and most
303 specific binding is of KIR2DL1 to HLA-C2 allotypes resulting in a strong
304 inhibitory signal to NK cells.

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306 **Combinations of maternal KIR and paternal HLA-C genotypes regulate 307 birth weight**

308 To discover how this great polymorphism of maternal *KIR* and fetal *HLA-C*
309 genes affects human reproductive success we have studied pregnancies
310 where the *KIR* genotype of the mother and *HLA-C* group of her fetus is
311 known. Our results show that there is a consistent relationship between

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3 particular combinations of maternal *KIR* and fetal *HLA-C* ligands and birth
4 weight (3,35,37,38). We compared maternal *KIR* and fetal *HLA-C* genotypes
5 from a large number of first pregnancies selected from the Norwegian
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8 315 Mother-Baby cohort (MoBa) with known birth weight corrected for
9 gestational age and fetal sex. A *HLA-C2* group in the fetus is associated with
10 pregnancies at the two extremes of birth weight especially when the *HLA-C2*
11 allele is inherited from the father rather than the mother (3). If the mother
12 has two *KIR A* haplotypes (*KIR AA* genotype), then she will have inherited
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16 320 two copies of the inhibitory *KIR* for *HLA-C2* allotypes, namely *KIR2DL1*.
17 Functionally, when uNK cells from a *KIR AA* woman bind trophoblast
18 expressing a paternal *HLA-C2* allotype this results in a strong inhibitory
19 signal. In contrast, high birth weight pregnancies are associated with a
20 paternally-derived *HLA-C2* allele, when the mother has inherited the
21 activating *KIR* for *HLA-C2*, *KIR2DS1* that is located on the *KIR B* haplotype (3).
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24 325 This effect is quite significant; the average increase in birth weight in
25 pregnancies with a fetus with paternal *HLA-C2* and *KIR2DS1* in the mother is
26 ~200g, more than the differences found with sex of the baby (~50g) and high
27 altitude (100g/1000 metres).
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34 This immune interaction is not comparable to other situations such as organ
35 transplantation where there is clear self/non-self discrimination because it
36 is only *HLA-C* alleles carrying the C2 epitope that confer any effect. To date,
37 in pregnancies where the fetus is homozygous for *HLA-C1* there is no
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41 335 influence of any *KIR* genotype on any clinical outcome in pregnancy that we
42 have studied. This may be due to the tight specificity and strong inhibition
43 conferred by *KIR2DL1/HLA-C2* interactions compared to the weaker and
44 more promiscuous *KIR2DL2/3* interactions with *HLA-C* molecules (39). To
45 summarize, at the site of placentation all the influences of *HLA-C* in
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50 340 pregnancy are mediated by paternal *HLA-C2* with the maternal *KIR* genotype
51 determining the outcome (high or low birth weight). In pregnancies where
52 the fetus is homozygous for *HLA-C1*, then the effect of the maternal *KIR* is
53 neutral and no associations are seen (3,35).
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3 345 **Functions of uNK cells during placentation**

4 The functional effects mediated by uNK cells at the uterine/placental
5 interface relating to these different *KIR/HLA-C* combinations are hard to
6 determine given the ethical and logistical problems of studying the site of
7 placentation in early pregnancy in humans. The great disparity in placental
8 strategies in different species also means animal models are generally
9 uninformative. Furthermore, *KIR* genes are only a feature of simian
10 primates, but even in these species there are fundamental differences in
11 placentation with interstitial trophoblast invasion only a feature of the
12 great apes (11). A further major difficulty has been the lack of any
13 reproducible and reliable in vitro model systems to study human trophoblast
14 function in vitro. Nonetheless, uterine NK cells can be isolated and, despite
15 their name, are poorly cytotoxic (40,41). The input NK cells receive from
16 *KIR* is either activating or inhibitory and NK function is a result of
17 integrating this information and responding accordingly. When uterine NK
18 cells receive an overall activating signal in a woman with a *KIR B* haplotype
19 containing *KIR2DS1*, the output of soluble mediators like chemokines and
20 cytokines (eg GM-CSF) is increased after co-culture with target cells bearing
21 HLA-C2 molecules. This supernatant containing GM-CSF stimulates
22 trophoblast invasion and migration in vitro (42). In contrast, when uNK cells
23 from *KIR AA* women who only have the inhibitory *KIR2DL1* for HLA-C2 were
24 ligated with HLA-C2, trophoblast migration was not stimulated. This
25 suggests there is reduced cytokine output by uNK cells in a woman with a
26 *KIR AA* genotype when the overall signal is inhibitory. Thus, women with too
27 much uNK cell inhibition (*KIR AA*) or too much activation (*KIR B* haplotype
28 with *KIR2DS1* present) are more likely to have babies with low or high birth
29 weight respectively, when their uNK cells encounter trophoblast cells
30 displaying a paternal HLA-C2 allotype. The interpretation we have made
31 based on the genetic and functional evidence is that the overall uNK
32 response determines how far the trophoblast moves into the uterus and
33 modifies the arteries (Figure 3). Thus, there is a direct link between the
34 function of the uterine immune system and the birth weight of the baby.
35 This specialized immune interaction may be essential to maintain the fetal

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3 maternal interface within the strict limits dictated by the Obstetric
4 Dilemma.
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8 Uterine NK cells also occur in the decidua in mice and appear to play an
9 important role in vascular remodeling during placentation. Murine
10 trophoblast invades into the decidua to a lesser degree than in humans and
11 does not express non-classical MHC, so there are significant differences
12 compared to human trophoblast (30). Although the major murine NK
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15 385 receptors that recognize MHC are the Ly49 family of receptors, they appear
16 to function analogously to the human KIR. Mouse studies in which a single
17 additional MHC molecule H2-D^d was introduced, showed impaired vascular
18 remodeling and reduced fetal growth compared with identical mice lacking
19 only H2-D^d (43). This MHC molecule binds the inhibitory receptor Ly49A and
20 can inhibit additional uNK subsets when present. Particularly notable was
21 the fact that reduced fetal growth was seen regardless of the parental
22 origin of the H2-D^d molecule. These results confirm the idea that certain
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25 390 molecules can influence trophoblast invasion and vascular remodeling. In
26 both human and murine pregnancies, excessive inhibition of NK cell function
27 is associated with reduced fetal growth.
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38 **Variation of *KIR* and *HLA-C* genes affects other diseases of pregnancy**

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40 There is other independent evidence that will substantiate this model in
41 humans. In common with low birth weight, obstetric syndromes such as pre-
42 eclampsia, and recurrent miscarriage are associated with reduced uterine
43 invasion by trophoblast (44). Mothers at highest risk of these diseases are
44 homozygous for the *KIR A* haplotype, and carry a fetus that has inherited
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48 405 *HLA-C2* from the father (35,37,38). Women with a *HLA-C2* fetus are
49 protected from these disorders by the *KIR B* haplotype that contributes to
50 protection in two possible ways. Firstly, many *KIR B* haplotypes include the
51 activating *KIR2DS1* that enhances trophoblast migration through enhanced
52 secretion of 'pro-invasion' cytokines as described above. Secondly, the
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57 410 commonest *KIR2DL1* allele on the *B* haplotype is *KIR2DL1*004*. This binds
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3 more weakly to HLA-C2 than KIR2DL1*003, the most common allele on the A
4 haplotype (45). We predict that there would be reduced inhibition of uNK
5 cells by KIR2DL1*004 on the *KIR B* haplotype. The fact that diverse GOS with
6 a common underlying pathology of reduced trophoblast invasion, also
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10 415 exhibit a similar *KIR/HLA-C* risk profile, supports the idea that
11 uNK/trophoblast interactions play an important role in all these pregnancy
12 disorders.
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17 If *KIR AA* and *HLA-C2* combinations are significantly disadvantageous in
18 420 pregnancy, this raises the question as to why they have not been eliminated
19 from human populations by natural selection. All populations have both *KIR*
20 *A* and *KIR B* haplotypes and *HLA-C* alleles with both C1 and C2 epitopes,
21 suggesting that a balance of *KIR A* and *B* haplotypes and their ligands is
22 essential for the survival of a community (46). However different
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26 425 populations across the world have different frequencies of *KIR AA* genotypes
27 and *HLA-C2* alleles. Evidence that there is strong selective pressure from
28 reproduction comes from the inverse correlation between *KIR AA* and *HLA-*
29 *C2* frequencies (37,47).
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34 35 430 **Pregnancy in African women**

36 There are a few populations that do not fit this picture as they have high
37 frequencies of both *KIR AA* genotypes and *HLA-C2* alleles. Notably these are
38 all found in sub-Saharan Africa where high *HLA-C2* frequencies are
39 characteristic. Sub-Saharan Africa is especially important in consideration of
40 reproduction and birth, as maternal mortality rates are the highest in the
41 world. Figures of 600/100,000 births compared with only ~15 in high-
42 income countries mean this is one of the most extreme disparities in any
43 435 health outcome (48,49). Obviously poverty and lack of access to medical
44 care are major problems but there are several indications that pregnancy
45 and parturition in women from sub-Saharan Africa have many different
46 features compared with women in other parts of the world (50). Indeed, it
47 appears that the Obstetric Dilemma is more of a problem for African women.
48 Although there are no reliable records from Africa itself, studies of women
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3 of recent African ancestry in Europe and the Americas all indicate that all
4 445 the GOS (pre-eclampsia, stillbirth, FGR and prematurity) occur more
5 commonly as would be predicted by the high prevalence of *HLA-C2*
6 combined with *KIR AA* genotypes in these African populations (51). One
7 explanation for maintenance of these 'reproductively risky' genotypes is the
8 observation that an individual's resistance to infections such as hepatitis C
9 virus is correlated with a *KIR AA* genotype and *HLA-C1* (52). A simple model
10 450 would be that human populations must maintain the *KIR A* haplotype and
11 *HLA-C1* in order to ensure resistance to pathogens. In contrast, reproductive
12 success and the development of the larger human brain and more robust
13 progeny are dependent on maintenance of *KIR B* haplotypes and *HLA-C2*. In
14 each human population the relative frequencies of these haplotypes will be
15 455 subject to natural selection depending on pathogen load, reproductive
16 pressure and of course will change of time (12). The fact that all human
17 populations maintain both *KIR A* and *B* haplotypes as well as *HLA-C1* and *-C2*,
18 suggests that populations that lose one of these components are doomed to
19 extinction when subjected to extreme selection by events such as infection,
20 460 famine and warfare.

21
22 While the factors responsible for the high incidence of *HLA-C2* and the *KIR A*
23 haplotype in current African populations are not yet clear, this undoubtedly
24 465 contributes to the high frequency of pre-eclampsia, stillbirth and fetal
25 growth restriction found in sub-Saharan Africa. It is interesting to note that
26 in such populations there is also an increased frequency of obstructed
27 labour (50). This may be connected to the bony pelvis as measurements
28 obtained by pelvimetry indicate that in African women some aspects of the
29 470 birth canal are smaller (53). A high frequency of *HLA-C2* and the *KIR A*
30 haplotype may therefore reflect selection to reduce the birth weight but
31 this will also result in an increased risk of the GOS. In keeping with this,
32 the development of the fetal organs is accelerated and the gestational age
33 is shorter at 38 compared to 40 weeks (50,54). This clearly illustrates how
34 475 the complex selective pressures acting on the evolution of the *KIR/HLA-C*

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3 system could regulate human reproductive fitness, brain size, pathogen
4 resistance and even the rate of fetal development.
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8 **Conclusion**

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10 480 The adaptations of the pelvis associated with bipedalism coupled with the
11 larger human brain, severely limit human birth weight. Conversely neonatal
12 survival of smaller babies is greatly reduced. Human birth weight is thus
13 subject to stabilizing selection. Although the control of birth weight is
14 complex, adequate placentation to permit access to nutrients in maternal
15 blood is essential for normal fetal growth. In humans this is achieved by
16 trophoblast cells, which remodel maternal spiral arteries. Inadequate
17 trophoblast invasion is associated with obstetric syndromes including pre-
18 eclampsia, recurrent miscarriage and FGR. We have shown that recognition
19 of HLA-C on invading trophoblast by KIR receptors on maternal uNK plays a
20 role in controlling trophoblast invasion and hence birth weight. Because
21 both *KIR* and *HLA-C* genes are highly polymorphic, each pregnancy will bring
22 together different combinations of maternal activating or inhibitory KIR that
23 can recognize fetal HLA-C. Our findings suggest that binding of strongly
24 inhibitory KIR to HLA-C2 expressed on trophoblast is detrimental to
25 placentation. This combination is found more frequently in small babies.
26
27 490 The presence of maternal activating receptor *KIR2DS1* together with fetal
28 *HLA-C2* is associated with increased birth weight, suggesting the activation
29 of uNK favours placentation. The association is strongest when a fetal *HLA-*
30 *C2* allele is inherited from the father. These findings suggest that a balance
31 of maternal activating and inhibitory *KIR* and *HLA-C2* genotype frequencies
32 act to maintain birth weight at an optimum for maternal and fetal survival.
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Figure Legends**Figure 1.**

Fetal growth restriction is associated with reduced remodelling of maternal spiral arteries by trophoblast cells. In normal pregnancy (right hand panel), extravillous trophoblast cells migrate as far as the myometrium and also infiltrate into the arterial media and endothelium of maternal spiral arteries. This results in dilatation and increased flow of maternal blood at low pressure into the intervillous space (red arrow). In pregnancies affected by pre-eclampsia or FGR (left panel), the depth of trophoblast invasion is reduced with less spiral artery remodelling. Blood flows at higher pressure and is more pulsatile, resulting in placental stress, reduced placental development and poor fetal growth.

(Figure adapted from Moffett-King, 2002, reference 5, with permission).

Figure 2.

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3 535 Representative *KIR A* and *B* haplotypes of the *KIR* gene family, together with
4 their known HLA-C binding specificities (C1 and C2) depicted above their
5 cognate *KIR* receptors. *KIR2DS4* binds a limited number of C1 and C2
6 allotypes. Activating *KIR* are shown as blue boxes, inhibitory *KIR* are shown
7 in red. Framework *KIR* genes that are present in all haplotypes are shown in
8 black. Note many of the *KIR* shown on the *B* haplotype are not present in all
9 individuals.
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17 **Figure 3.**

18 Presence of maternal *KIR2DS1* is associated with increased birth weight.

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20 545 A. Distribution of birth weights in the Norwegian MoBa cohort correlates
21 with increased neonatal morbidity (frequency of transfer to special care
22 baby unit) at the extremes of birth weight (3). The cohort was divided into
23 low (<5th centile) normal (6-89th centile) and high (>90th centile) birth
24 weight babies. The frequency of maternal *KIR AA* genotype + fetal *HLA-C2* is
25 increased in the small babies. Presence of maternal *KIR2DS1* and fetal *HLA-*
26 *C2* is associated with increased birth weight.
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31 B. Proposed model for maternal *KIR*/fetal *HLA-C* interactions at the site of
32 placentation. In this model the fetus has inherited a paternal *HLA-C2* allele
33 and is homozygous for *HLA-C2*. If the mother has a *KIR AA* genotype, then
34 *KIR2DL1* binds strongly to trophoblast *HLA-C2* molecules resulting in strong
35 inhibition of uNK cells. This is associated with defective placentation. In
36 contrast, when the mother has a *KIR AB* or *BB* genotype, the *KIR2DL1* alleles
37 on the *B* haplotype tend to inhibit uNK function more weakly when they bind
38 *C2*. The *KIR B* haplotypes also contain the activating *KIR2DS1*. In this
39 situation uNK cells are stimulated to produce increased levels of cytokines
40 such as GM-CSF that can enhance placentation.
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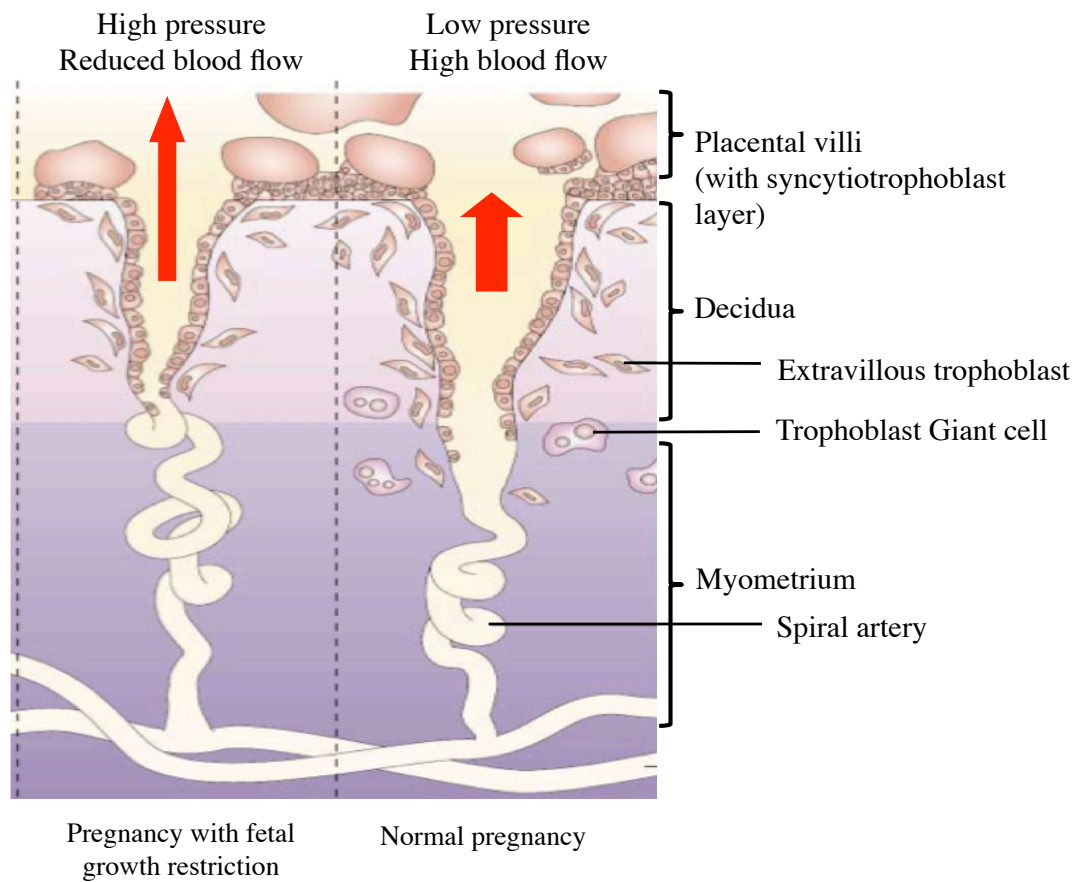
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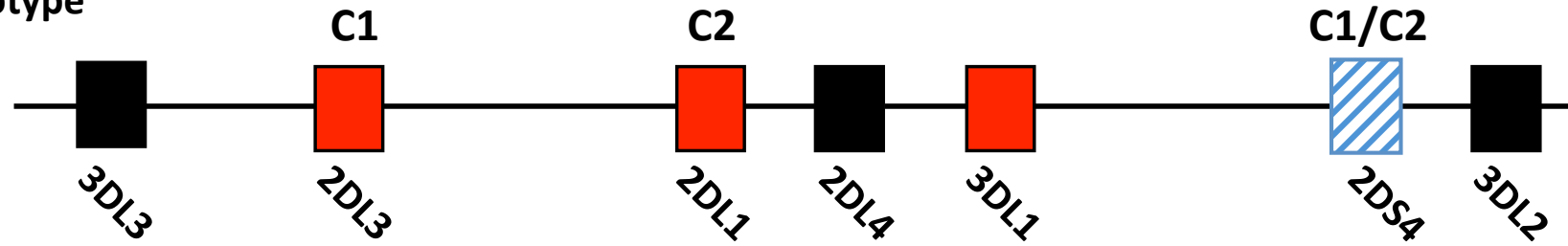
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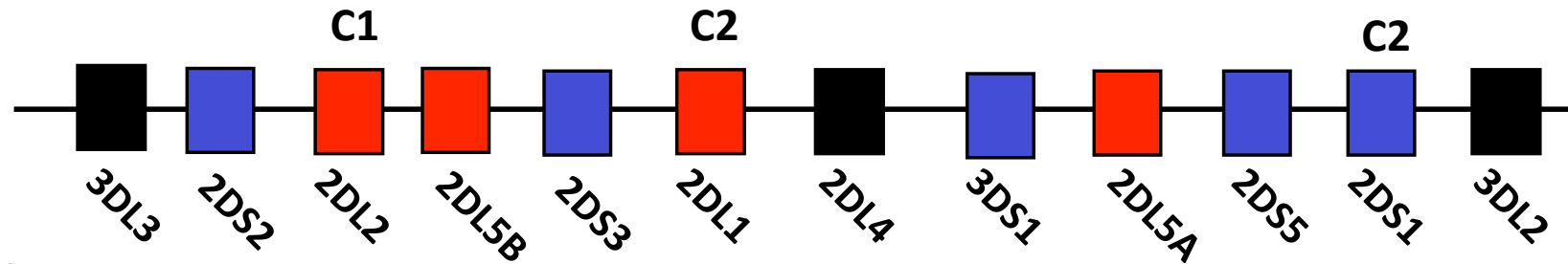
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KIR A haplotype



KIR B haplotype



Framework gene



>60% of individuals lack full length form of activating KIR2DS4



Activating KIR



Inhibitory KIR

