

1 **Mitochondrial DNA damage and atherosclerosis**

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18

19 **Abstract**

20 Mitochondria are often regarded as the cellular powerhouses through their ability to
21 generate ATP, the universal fuel for metabolic processes. However, in recent years
22 mitochondria have been recognised as critical regulators of cell death, inflammation,
23 metabolism and generation of reactive oxygen species (ROS). Thus, mitochondrial
24 dysfunction directly promotes cell death, inflammation and oxidative stress, and
25 alters metabolism. These are key processes in atherosclerosis and there is now
26 evidence that mitochondrial DNA (mtDNA) damage leads to mitochondrial
27 dysfunction and promotes atherosclerosis directly. In this review we discuss the
28 recent evidence for and mechanisms linking mtDNA defects and atherosclerosis, and
29 suggest areas of mitochondrial biology that are potential therapeutic targets.

30

31

32

33 **Atherosclerosis- a mitochondrial disease?**

34 Despite pharmacological and surgical treatment options, atherosclerosis remains the
35 leading cause of death in the western world. The disease affects arteries and is
36 characterised by the formation of fatty plaques. These plaques can rupture,
37 potentially leading to vessel occlusion and clinical manifestations such as heart
38 attacks and strokes[1]. With such morbidity and mortality the need remains to identify
39 the underlying disease mechanisms and to find new therapeutic targets. Recent
40 evidence highlights a role for mtDNA damage and dysfunction in atherogenesis.

41

42 The atherosclerotic plaque forms when lipid accumulates at sites of endothelial
43 damage and dysfunction. The lipids are susceptible to oxidative modification by
44 reactive oxygen species (ROS, see Glossary) and act as inflammatory stimuli,
45 attracting leukocytes to the site. Monocytes therefore migrate into the developing
46 plaque and differentiate into macrophages that engulf the oxidised lipids.

47 Inflammatory factors released by macrophages stimulate the migration and/or
48 proliferation of vascular smooth muscle cells (VSMCs) [1]. VSMCs are important for
49 plaque stability, as they secrete the extra-cellular matrix that forms a fibrous cap.
50 However, VSMC death, fibrous cap thinning and subsequent plaque vulnerability
51 may be induced by inflammation [2].

52

53 Inflammation, cell death and oxidative stress are therefore key processes driving
54 plaque development and transition to a vulnerable plaque phenotype [3, 4]. As
55 mitochondrial dysfunction can promote these pro-atherogenic processes,
56 mitochondrial damage has been implicated in atherogenesis, including damage to
57 mitochondrial DNA (mtDNA) [5]. However, the finding that mtDNA defects can

58 directly promote atherogenesis and plaque vulnerability has only recently been
59 demonstrated [6]. Furthermore, emerging work has identified multiple mechanisms
60 linking mitochondria and inflammation [7], whilst the role of the vicious circle of
61 mtDNA damage leading to mitochondrial dysfunction and oxidative stress leading to
62 further mtDNA damage remains under debate [8]. In this review we will discuss the
63 recent evidence of the role and mechanisms of mtDNA damage and atherosclerosis.

64

65 **Mitochondrial DNA damage and atherosclerosis**

66 Mitochondria generate ATP through oxidative phosphorylation within the respiratory
67 chain, where electron transport is coupled with the production of ATP from ADP.

68 However, ROS are formed as a by-product of the respiratory chain making
69 mitochondria the major source of cellular ROS [9] (**Figure 1**). The respiratory

70 complexes are composed of both nuclear and mtDNA encoded subunits, meaning
71 that their formation requires careful coordination of the two genomes [10].

72 Mitochondria are unique as they are the only source of DNA within a cell apart from
73 the nucleus. The human mitochondrial genome exists as a 16569 bp loop, coding for
74 ribosomal and transfer RNAs, in addition to 13 respiratory chain subunits [10].

75 However mtDNA is vulnerable to damage, partly because it lies close to the site of
76 ROS production and also because it lacks protection by histones. It has been
77 proposed that mtDNA damage can impair respiratory chain function, and eventually
78 compromise cellular function promoting ageing and disease [11].

79

80 mtDNA lesions are found in circulating cells and hearts of patients with coronary
81 artery disease, suggesting that mtDNA damage may contribute towards
82 atherosclerosis development [12, 13]. mtDNA damage is an early event in

83 atherogenesis [5], and recent work shows that both mtDNA damage and
84 mitochondrial dysfunction are present in a model of atherosclerosis and metabolic
85 syndrome [14]. However, although this study suggested that mtDNA damage
86 promotes both atherosclerosis and the metabolic syndrome, the effects of mtDNA
87 damage could not be separated from those of nuclear DNA damage.

88

89 To directly study the effects of mtDNA lesions, recent studies have utilized mice with
90 extensive mtDNA damage, such as the mutator mice. These mice express mtDNA
91 polymerase with impaired proof-reading ability that introduces point mutations and
92 deletions into mtDNA [15, 16]. The mice show an aged phenotype, with kyphosis,
93 anaemia and weight loss. Mutator mice that were also deficient for apolipoprotein E
94 showed that mtDNA defects promote atherosclerosis and plaque vulnerability, and
95 can do so without any increase in ROS. In contrast, VSMC and monocyte apoptosis
96 was increased, and monocytes showed a pro-inflammatory profile. Furthermore,
97 mtDNA lesions were associated with high-risk atherosclerotic plaques in humans [6].
98 Taken together, we now have evidence that mtDNA defects can have a causal role in
99 atherosclerosis, that the effects can be independent of oxidative stress, and that the
100 findings may be relevant to human disease.

101

102 **Mechanisms of mtDNA damage**

103 Although mtDNA damage has been identified in human atherosclerotic disease,
104 these studies did not examine the underlying cause. One possibility is that mtDNA
105 undergoes cumulative, oxidative damage from ROS generated by the nearby
106 respiratory chain [11] (**Figure 1**). This hypothesis appears plausible given that
107 atherosclerotic risk factors are associated with increased oxidative stress. For

108 example, hyperglycaemia increases superoxide production whilst cigarette smoking
109 promotes the reduction of oxygen to form ROS [17]. Interestingly mtDNA defects in
110 circulating cells can be associated with diabetes, although a causal role could not be
111 proven [6].

112

113 Apart from oxidative damage, replication errors are another source of mtDNA
114 defects. Lesions such as point mutations can form in early life, and undergo clonal
115 expansion to reach a threshold level where mitochondrial function is impaired [11].
116 mtDNA lesions may persist as mitochondria have a reduced capacity for DNA
117 damage repair; whilst mitochondrial base excision repair has been well described,
118 nucleotide excision repair is lacking [10].

119

120 **Inflammation - a link between mitochondria and atherosclerosis**

121 Once mtDNA defects are present, they can result in decreased respiratory subunit
122 formation, impaired mitochondrial respiration and reduced ATP content [18].

123 Furthermore, cellular phenotype is also altered as mtDNA defects and dysfunction
124 result in a pro-inflammatory profile that likely promotes plaque vulnerability [6].

125 Thinning of the fibrous cap and increased necrotic core area (features of vulnerable
126 plaque) were observed in apolipoprotein E deficient recipients of mutator mouse
127 bone marrow. Isolated monocytes from mutator mice show mtDNA damage and
128 increased release of tumour necrosis factor alpha (TNF α) and interleukin 1 β (IL1 β)
129 [6]. These findings are consistent with the growing body of work identifying multiple
130 mechanisms linking mitochondria and inflammation, a key atherogenic process.

131

132 **How do mitochondria regulate inflammation?**

133 The innate immune system has developed to detect and protect against dangerous
134 stimuli. Toll-like receptors (TLRs) are a key part of detection, and recognise a broad
135 range of pathogen-associated and damage-associated molecular patterns (PAMPs
136 and DAMPs). Similar to bacterial genomes, mtDNA has significant amounts of
137 unmethylated DNA as CpG islands. Recent work has shown that mtDNA, with its
138 inflammatory motifs, can act as a DAMP to directly activate the immune response
139 through activation of TLR9 [19]. mtDNA can be circulating or, for example, come from
140 damaged mitochondria that have escaped from autophagy [19, 20]. Activation of
141 TLR9 leads to increased nuclear factor kappa beta (NFκβ) signaling and pro-
142 inflammatory cytokine expression (**Figure 2**).

143

144 Mitochondria also regulate cytokine release by affecting post-translational
145 modification (**Figure 2**). Emerging work has identified a key role for mitochondrial
146 dysfunction in the activation of the NLRP3 inflammasome, a multi-protein complex
147 composed of NLRP3, ASC and caspase 1. A number of stimuli may act as
148 endogenous danger signals to activate NLRP3, such as low intracellular potassium
149 concentration, bacterial toxins such as nigericin, and indeed cholesterol crystals [21],
150 which may be particularly relevant in atherosclerosis. Upon activation NLRP3
151 redistributes from the endoplasmic reticulum (ER) to co-localise with its adapter ASC
152 at perinuclear ER-mitochondrial clusters [22]. Recently NLRP3 was shown to be
153 recruited to mitochondria, an action mediated by the mitochondria-associated
154 adaptor molecule MAVS [23]. Once the inflammasome is activated, active caspase 1
155 assembles which cleaves pro-IL1β into its mature form.

156

157 Initial work linking mitochondrial dysfunction and inflammasome activation showed
158 that the accumulation of dysfunctional, ROS-generating mitochondria activates
159 NLRP3 [22]. Mitochondrial dysfunction also reduces mitochondrial membrane
160 potential and increases mitochondrial permeability transition pore (MPTP) opening.
161 mtDNA is released into the cytosol, where it directly binds with and activates the
162 NLRP3 inflammasome [24, 25]. Mitochondria can also signal danger through
163 cardiolipin, a lipid usually found in the inner mitochondrial membrane. In
164 dysfunctional mitochondria cardiolipin localises to the outer mitochondrial membrane,
165 where it recruits, binds to, and activates NLRP3 [26, 27].

166

167 Mitochondrial dysfunction also promotes inflammasome activation through altered
168 levels of the coenzyme nicotinamide adenine dinucleotide (NAD⁺). The ratio of
169 NAD/NADH indicates cellular nutrient and energy status, with an increased ratio in
170 starvation, and decreased levels with mitochondrial dysfunction [28]. Changes in the
171 ratio of NAD/NADH are sensed by the NAD-dependent enzymes deacetylases,
172 sirtuins. Decreased NAD⁺ concentration during mitochondrial dysfunction leads to
173 reduced Sirtuin 2 activity and the accumulation of acetylated α -tubulin at the
174 perinuclear region. The change in acetylated tubulin increases co-localisation of
175 NLRP3 with ASC and hence inflammasome activation [28].

176

177 Mitochondria are therefore a site of NLRP3 inflammasome activation, and when
178 dysfunctional can activate NLRP3 through ROS, mtDNA release, cardiolipin and
179 altering NAD/NADH (**Figure 2**). mtDNA damage may therefore result in mitochondrial
180 dysfunction, and lead to increased IL1 β through the above mechanisms to promote
181 atherosclerosis. However, recent data highlights that IL1 α is also important in

182 atherogenesis, and perhaps even more so than IL1 β [29]. In mice deficient for low-
183 density lipoprotein receptor (LDLR), bone marrow transplantation from IL1 α deficient,
184 but not IL1 β -deficient donors led to a significant reduction in atherosclerosis. IL1 α is
185 therefore an important inducer of vascular inflammation and, similar to IL1 β , its
186 secretion is increased by mitochondrial dysfunction. The dietary fatty acid oleic acid
187 accumulates in mouse atherosclerotic plaques and selectively stimulates
188 macrophage IL1 α production. Mitochondrial uncoupling induced by oleic acid triggers
189 intracellular calcium fluxes that activate the protease calpain. Active calpain then
190 cleaves pro-IL1 α into its mature form [29]. In contrast with IL1 β secretion, oleic acid
191 induced IL1 α secretion is independent of the NLRP3 inflammasome [29].

192

193 Collectively this work suggests that mitochondrial dysfunction can promote
194 inflammation through upregulation of cytokine expression and inflammasome-
195 dependent and -independent pathways. Metabolic stress such as cholesterol crystals
196 and fatty acids may be sensed by mitochondria in atherogenesis, leading to an
197 inflammatory response that drives plaque development. This response is amplified if
198 there is underlying mtDNA damage and dysfunction.

199

200 **Oxidative stress, mtDNA damage and atherosclerosis**

201 Although inflammation is an important link between mtDNA damage, dysfunction and
202 atherosclerosis, oxidative stress may also mediate the effects of mtDNA defects. As
203 suggested in the mitochondrial/free-radical theory of ageing, a vicious cycle can exist
204 where ROS production leads to mtDNA damage and impaired respiratory chain
205 function; this results in increased ROS generation, fuelling the cycle [8].

206

207 The free radical theory is attractive in the setting of atherosclerosis as oxidative
208 stress appears to be a key part of the disease. Increased ROS are present in the
209 vessel wall at all stages of atherogenesis [30]. ROS can modify DNA, proteins and
210 lipids, with lipid oxidation being an important event in atherogenesis [31]. Oxidative
211 DNA damage has also been observed in VSMCs in human atherosclerotic plaques,
212 associated with upregulation of DNA damage repair proteins [32]. Further evidence
213 supporting the role of ROS in atherosclerosis comes from several murine models.
214 For example, impaired anti-oxidant activity, such as decreased glutathione
215 peroxidase activity, promotes atherogenesis whilst decreased superoxide production
216 leads to a reduction of atherosclerosis [5, 33, 34]. Furthermore, macrophage-specific
217 expression of mitochondrial-targeted catalase decreases both mitochondrial oxidative
218 stress and plaque formation [35]. The ectopic expression of catalase also decreases
219 NF κ B pathway activation and plaque monocyte infiltration [35], again highlighting the
220 link between mitochondria, ROS and inflammation.

221
222 Overall the evidence from human and mouse studies suggests that oxidative stress
223 is present and promotes atherosclerosis. However, whether increased ROS mediates
224 the effects of mtDNA damage is more uncertain. For example, when first described
225 mutator mice showed no evidence of increased oxidative stress despite extensive
226 mtDNA lesions [16, 36]. In contrast, later work showed that reducing mitochondrial
227 ROS with over-expression of mitochondrial-targeted catalase partially rescued a
228 cardiomyopathy phenotype [37]. One of the difficulties in the field has been the ability
229 to assess ROS accurately *in vivo*, and this may account for differing findings in
230 published studies. The conventional methods of measuring ROS rely on redox-
231 sensitive dyes that are taken up into the cytoplasm or mitochondria. In

232 atherosclerosis these dyes can lack both sensitivity and specificity, in part because of
233 autofluorescence of both the normal vessel wall and plaque components. In contrast,
234 the mitochondria-targeted ratiometric probe MitoB accumulates within mitochondria,
235 where it reacts with hydrogen peroxide to form MitoP [38]. Quantifying the
236 mitoP/mitoB ratio enables measurement of mitochondrial hydrogen peroxide. MitoB
237 showed no difference in mitochondrial ROS levels in young mutator mice [39] even
238 when crossed with apolipoprotein E deficient mice [6]. This suggested that mtDNA
239 damage and mitochondrial dysfunction do not necessarily lead to increased ROS, but
240 can still promote atherosclerosis. The finding may explain why in clinical studies
241 antioxidants such as Vitamins C and E fail to reduce events in atherosclerosis, and a
242 mitochondria-targeted antioxidant did not affect plaque burden in mice [40].

243

244 Collectively, recent studies indicate that prolonged mtDNA damage and dysfunction
245 may lead to increased oxidative stress [39]. However, ROS-independent
246 mechanisms also link mtDNA damage and mitochondrial dysfunction with
247 atherosclerosis. We may therefore need to consider the timing of anti-oxidant
248 therapies and to target other aspects of mitochondrial dysfunction when developing
249 treatments for atherosclerosis.

250

251 **Cell death, mtDNA damage and atherosclerosis**

252 mtDNA damage not only promotes inflammation and oxidative stress but also
253 regulates cell death, which has a well-recognised role in atherogenesis. Selective
254 VSMC apoptosis accelerates plaque growth [4], and promotes thinning of the fibrous
255 cap, an increase of the necrotic core, and intimal inflammation - all features of the
256 vulnerable plaque [41]. Monocyte/macrophage apoptosis also affects plaque

257 composition and development, with suppression of circulating monocytes reducing
258 atherogenesis [42], but macrophage apoptosis leading to an expansion of the
259 necrotic core [43].

260

261 Cell death therefore influences plaque development and mitochondria have an
262 essential role in the intrinsic apoptotic pathway (**Figure 3**). Signalling of apoptotic
263 stimuli converges on the Bcl2 proteins Bax and Bak, which form pores leading to
264 mitochondrial outer membrane permeabilisation. The release of pro-apoptotic factors
265 such as cytochrome c leads to activation of the cascade that executes apoptosis [44].
266 Cytochrome c release may also result from opening of the MPTP. MPTP opening
267 leads to equilibration of the ions between the mitochondrial matrix and cytosol,
268 leading to mitochondrial swelling. Pressure is exerted on the outer mitochondrial
269 membrane that then ruptures, releasing cytochrome c, which binds with the adapter
270 protein apaf 1 and activates caspases. MPTP is an important regulator of cell death
271 as transient opening may allow apoptosis, whilst prolonged opening results in
272 collapse of oxidative phosphorylation and necrosis [45].

273

274 mtDNA damage and dysfunction result in decreased ATP and mitochondrial
275 membrane potential, both factors that increase MPTP opening and apoptosis.
276 Indeed, several studies have identified increased apoptosis in mutator mice [16, 18],
277 and we found increased apoptosis in their plaques *in vivo*, and in both VSMCs and
278 monocytes *in vitro* [6]. mtDNA damage and dysfunction therefore increase apoptosis
279 and thus can promote atherosclerosis.

280

281 Although there is evidence that mtDNA defects promote apoptosis, the exact
282 mechanisms of how mitochondria regulate cell death remain unclear. One particular
283 area of debate is the composition and regulation of the MPTP, with only cyclophilin D
284 confirmed as an essential component [46] Although the voltage-dependent anion
285 channel (VDAC) and the adenine nucleotide transporter (ANT) were proposed to
286 form the MPTP, genetic studies have shown that they are not essential components
287 [47]. Recent work now suggests that dimers of the ATP synthase form the MPTP
288 [48], and others have shown novel roles for p53 and Bax in triggering MPTP-
289 mediated necrosis [49, 50]. As p53 and Bax are well-recognised pro-apoptotic
290 signals, regulation of MPTP is clearly important for determining the mode of cell
291 death (**Figure 3**).

292

293 **Mitochondrial dynamics**

294 Mitochondria form a dynamic network within the cell, undergoing constant fusion and
295 fission. Mitochondrial dynamics not only regulate mitochondrial morphology and
296 number, but are also a determinant of cell death [51]. Mitochondrial fission occurs in
297 apoptosis, with loss of mitochondrial membrane potential facilitating release of
298 cytochrome c. Although fission is largely mediated by the GTPase dynamin-1-like
299 protein (Drp1), Drp1-independent mechanisms may also exist [52]. Conversely, optic
300 atrophy 1 (Opa1) is an inner mitochondrial membrane fusion protein with anti-
301 apoptotic effects. Opa1 controls mitochondrial cristae shape, and reduces
302 cytochrome c release by keeping the cristae junctions tight during apoptosis [53].
303 These effects are proposed to be independent of mitochondrial fusion, and indeed
304 the relationship between fusion and cell death is more uncertain. Inhibiting fission
305 leads to a fused phenotype, and decreased MPTP opening and subsequent cell

306 death in cardiomyocytes [54]. In contrast, recent work shows that inhibiting fission
307 potentiates MPTP opening in fibroblasts [49]. The role of mitochondrial dynamics in
308 regulating cell death is therefore complex and remains to be fully understood.

309

310 **mtDNA damage, altered metabolism and atherosclerosis**

311 Atherosclerosis is not only promoted by local effects on plaque cells, but also by
312 systemic metabolic effects, including hypercholesterolaemia, diabetes and
313 hypertension. It is increasingly recognised that mtDNA defects not only affect
314 mitochondrial and cellular function but also whole organism metabolism [55].
315 Mitochondria generate ATP using substrates from the Krebs cycle that can be
316 derived from lipids, carbohydrates and proteins. Mitochondria therefore coordinate
317 metabolism and energy production, with energy levels signalled by the AMP/ATP
318 ratio. In mitochondrial dysfunction AMP/ATP increases, activating AMP-activated
319 protein kinase (AMPK), which has multiple effects including inhibition of
320 gluconeogenesis and adipogenesis [56]. Indeed, mutator mice show decreased body
321 weight and reduced subcutaneous fat [15].

322

323 Recent studies have shown that mitochondrial dysfunction also disrupts fat
324 metabolism through fibroblast growth factor 21 (FGF21), a hormone that regulates
325 lipolysis [57]. Human and mouse muscle fibres that have defective oxidative
326 phosphorylation release FGF21, leading to mobilisation of lipids from adipose tissue
327 [58, 59]. Such lipolysis is associated with decreased fat mass, but increased serum
328 glycerol and free fatty acids [60]. Similarly, mutator mice that were also deficient for
329 apolipoprotein E showed reduced fat mass but exaggerated pro-atherogenic
330 hypercholesterolaemia [6].

331
332

333 **Protection against mtDNA damage and potential therapeutics**

334 Taken together, current evidence indicates that mtDNA damage and dysfunction can
335 promote atherosclerosis through inflammation, oxidative stress, cell death and
336 altered lipid metabolism. Protecting against mtDNA damage and dysfunction is
337 therefore a potential therapeutic strategy, and could utilise the multiple processes
338 that already exist to protect against mtDNA damage. First line is the mitochondrial
339 anti-oxidant system that scavenges ROS generated by the respiratory chain. Matrix
340 and inter-membrane space superoxide dismutases convert superoxide ($O_2^{\cdot-}$) into
341 hydrogen peroxide (H_2O_2). H_2O_2 is then safely reduced to water by glutathione
342 peroxidase or catalase (**Figure 1**). However, the balance between ROS generation
343 and antioxidant activity determines the mitochondrial oxidative status, and mtDNA
344 damage may still occur.

345

346 If mitochondrial damage develops during disease, then mitochondrial dynamics can
347 have a protective effect. For example, mitochondrial fusion allows mtDNA and
348 substrates to be shared, alleviating the effects of mtDNA damage or substrate
349 deprivation [61]. Indeed, multiple defects in mtDNA copy number, mitochondrial
350 function and tissue function are observed when mitochondrial fusion is impaired [61].
351 Finally, if the levels of mtDNA damage and dysfunction overwhelm the protective
352 anti-oxidant and fusion processes, mitophagy is an important determinant of
353 mitochondrial health. Mitophagy is a mechanism by which dysfunctional mitochondria
354 are cleared from the cell by lysosomal degradation and components recycled ready
355 for further use. To signal mitophagy dysfunctional mitochondria accumulate PTEN-
356 induced putative kinase 1 (Pink1) on the outer mitochondrial membrane. Parkin, a

357 component of the E3 ubiquitin ligase complex, is subsequently recruited by
358 mitofusin 2 and ubiquitinates multiple proteins, including VDAC, to mark mitochondria
359 for autophagic degradation [62-64]. All of these pathways may be useful therapeutic
360 targets in the treatment of atherosclerosis.

361

362 **Concluding Remarks and Future Perspectives**

363 The dynamic mitochondrial network generates ATP, the universal fuel for metabolic
364 processes. Mitochondria are thus vital for eukaryotic function, yet they retain some
365 independence, with their own genome and time-scale of replication. Mitochondria are
366 also critical regulators of cell death, inflammation, generation of ROS, and
367 metabolism. Recent evidence indicates that mtDNA damage and dysfunction disrupts
368 these processes and can promote atherosclerosis. However many questions remain
369 that need to be answered by future studies (Box 1). Although ROS are associated
370 with both atherosclerosis and mtDNA damage, whether there are other significant
371 causes of mitochondrial dysfunction in atherosclerosis remains to be seen. Future
372 work may also clarify the mechanisms linking mitochondrial dysfunction and
373 inflammation. For example, are there other mitochondrial-derived ligands for the
374 NLRP3 inflammasome in addition to mtDNA and cardiolipin, and does mitochondrial
375 dysfunction promote other inflammasome-independent pathways? We also do not
376 know what determines whether mtDNA damage and dysfunction leads to oxidative
377 stress. Finally, it is critical to determine whether protecting against mtDNA damage
378 and mitochondrial dysfunction reduces atherogenesis and/or promotes plaque
379 stability in atherosclerosis.

380

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534

535

536 **Glossary box**

537

538 **Apoptosis:** a process of programmed cell death, involving caspase activation and
539 degradation of cellular components. The intrinsic apoptotic pathway converges on
540 the proteins Bax and Bak that form pores which mediate mitochondrial outer
541 membrane permeabilisation (MOMP). MOMP releases pro-apoptotic factors such as
542 cytochrome c.

543 **Mitochondrial DNA (mtDNA):** a circular loop of DNA found in the mitochondrial
544 matrix. mtDNA encodes 13 of the respiratory chain polypeptides and tRNAs and
545 rRNAs.

546 **Mitochondrial dynamics:** mitochondria constantly undergo fission and fusion.
547 Fission is mediated by Drp-1. Mitochondrial fusion is mediated by mitofusins 1 and 2
548 (located on the outer mitochondrial membrane) and Opa-1, found on the inner
549 mitochondrial membrane.

550 **Mitochondrial Permeability Transition Pore (MPTP):** A pore formed on the inner
551 mitochondrial membrane that is regulated by ciclosporin A, and which is permeable
552 to small solutes and ions. MPTP opening is increased in conditions of mitochondrial
553 dysfunction- decreased mitochondrial membrane potential, increased ROS,
554 decreased ATP, and promotes cell death.

555 **Mitophagy:** process where dysfunctional mitochondria are recognised and targeted
556 to the autophagy pathway for degradation.

557 **Mutator mouse:** a mouse model of mtDNA disease that has multiple mutations and
558 deletions in the mtDNA.

559 **NLRP3 inflammasome:** a complex formed from NLRP3, ASC adapter protein and
560 caspase 1. The active NLRP3 inflammasome activates caspase 1, which cleaves
561 pro-IL1 β and pro-IL18 into their mature forms.

562

563 **Nuclear Factor Kappa Beta (NFκβ):** a transcription factor that regulates many
564 genes involved in the immune response, including cytokines and growth factors.

565 **Reactive oxygen species (ROS):** reactive oxygen species, primarily superoxide,
566 hydrogen peroxide and the hydroxyl radical. ROS are produced by the respiratory
567 chain when electrons leak away, predominantly at complexes I and III, leading to the
568 partial reduction of oxygen.

569 **Respiratory chain:** is composed of respiratory complexes I-IV that mediate electron
570 transfer, reducing oxygen to water.

571 **Vascular smooth muscle cell (VSMC):** cells present in the atherosclerotic plaque
572 that secrete the extracellular matrix cap. The cap stabilises the plaque.

573 **Box 1. Outstanding questions**

- 574 • Apart from mtDNA damage, are there other factors that promote mitochondrial
575 dysfunction and atherosclerosis?
- 576 • Are there other pathways linking mitochondrial dysfunction and inflammation. Do
577 other mitochondrial-derived ligands for the NLRP3 exist? Are there additional
578 inflammasome independent pathways?
- 579 • What determines whether mtDNA damage and dysfunction leads to oxidative
580 stress?
- 581 • Does protecting against mtDNA damage and mitochondrial dysfunction decrease
582 atherosclerosis?

583

584

585 **Figure legends**

586 **Figure 1. Mitochondrial respiratory chain**

587 The electron transport chain receives electrons (e^-) from NADH and $FADH_2$ and
588 mediates electron transfer from complex I to complex IV, via ubiquinone (Ub) and
589 cytochrome c (C). At complex IV electrons reduce molecular oxygen to form water.
590 As the electrons are transported a proton (H^+) gradient is created across the inner
591 mitochondrial membrane (IMM). Complex V (ATP synthase) uses this gradient to
592 convert ADP to ATP. As a by-product of the respiratory chain reactive oxygen
593 species (ROS) are generated. Superoxide ($O_2^{\bullet-}$) is formed at complexes I and III,
594 and is dismutated to hydrogen peroxide (H_2O_2) by matrix manganese superoxide
595 dismutase (MnSOD). H_2O_2 can then be safely reduced to water by catalase or
596 glutathione peroxidase (GPX).

597

598 **Figure 2. Mechanisms linking mitochondrial dysfunction and inflammation**

599 The NLRP3 inflammasome is a multi-protein complex composed of NLRP3, the
600 adapter protein ASC and pro-caspase 1 (pro casp 1). Once activated caspase 1
601 cleaves pro-IL1 β into its mature form. Dysfunctional mitochondria generate reactive
602 oxygen species (ROS), externalize cardiolipin (CL) and release mitochondrial DNA
603 (mtDNA), all of which can activate NLRP3. NAD/NADH levels are also decreased,
604 leading to decreased sirtuin 2 activity and the accumulation of α -acetylated tubulin
605 that promotes NLRP3 and ASC colocalisation. mtDNA also has significant amounts
606 of unmethylated DNA as CpG islands, which activate NF κ B signaling and increased
607 cytokine expression through toll-like receptor 9 (TLR9).

608

609

610 **Figure 3 Mitochondrial regulation of cell death**

611 Apoptotic stimuli converge on Bax and Bak, which oligomerise to form pores that
612 mediate mitochondrial outer membrane permeabilisation. Cytochrome c is released
613 from the intermembrane space and binds with the adapter protein Apaf-1 to activate
614 caspase 9. The ensuing caspase cascade leads to apoptosis. Apoptosis can be
615 amplified by opening of the mitochondrial permeability transition pore (MPTP), which
616 allows entry of water and solutes (<1.5 kDa). Mitochondrial swelling leads to rupture
617 of the outer mitochondrial membrane and cytochrome c release; however prolonged
618 MPTP opening results in collapse of oxidative phosphorylation and necrosis. MPTP
619 opening is increased in conditions associated with mitochondrial dysfunction
620 including increased reactive oxygen species and depletion of ATP. The pro-apoptotic
621 signals Bax and p53 also promote MPTP opening.

622