

ABSTRACT

4
5 The coupling between the stratosphere and the troposphere following two major strato-
6 spheric sudden warmings is studied in the Canadian Middle Atmosphere Model using a
7 nudging technique by which the zonal mean evolution of the reference sudden warmings are
8 artificially induced in an ~ 100 member ensemble spun off from a control simulation. Both
9 reference warmings are taken from a freely-running integration of the model. One event is
10 a displacement, the other a split, and both are followed by extended recoveries in the lower
11 stratosphere. The methodology permits a statistically robust study of their influence on the
12 troposphere below.

13 The nudged ensembles exhibit a tropospheric annular-mode response closely analogous
14 to that seen in observations, confirming the downward influence of sudden warmings on the
15 troposphere in a comprehensive model. This tropospheric response coincides more closely
16 with the lower stratospheric annular mode anomalies than with the mid-stratospheric wind
17 reversal. In addition to the expected synoptic scale eddy feedback, the planetary-scale
18 eddies also reinforce the tropospheric wind changes, apparently responding directly to the
19 stratospheric anomalies.

20 Furthermore, despite the zonal symmetry of the stratospheric perturbation, a highly
21 zonally asymmetric near surface response is produced, corresponding to a strongly negative
22 phase of the North Atlantic Oscillation with a much weaker response over the Pacific basin
23 which matches composites of sudden warmings from the ERA-Interim reanalysis. The Cou-
24 pled Model Intercomparison Project phase 5 models exhibit a similar response, though in
25 most models its magnitude is under-represented.

1. Introduction

The influence of the stratospheric polar vortices on the position of the tropospheric mid-latitude jets has now been well established by several lines of observational and modeling evidence. In the Northern Hemisphere, the tropospheric zonal mean jet has an observed tendency to shift equatorwards following a weakening of the Arctic stratospheric vortex (Baldwin and Dunkerton 2001, hereinafter BD). Although several possible mechanisms for the downward influence of the stratosphere have been suggested (Haynes et al. 1991; Hartley et al. 1998; Song and Robinson 2004; Wittman et al. 2007; Simpson et al. 2009), their relative importance remains unclear.

The composites of Northern Annular Mode (NAM) anomalies presented by BD remain the most important line of evidence for the shifting of the tropospheric jets following anomalous stratospheric events, and an update of such a composite following major stratospheric sudden warmings is shown in Fig. 1(a). While compelling, such composites raise a number of important questions that remain open. In this study, we focus on the following issues using controlled experiments with a comprehensive general circulation model (GCM):

1. *The downward influence of zonal mean stratospheric variability on the troposphere*

Although the downward tilt present in composites like Fig. 1(a) is visually compelling, Plumb and Semeniuk (2003) demonstrated that it is possible to obtain such apparent downward propagation in a simple model of stratospheric variability in which the anomalies at all levels are demonstrably produced directly by the upward influence from the lower boundary. Moreover, the structure of the circulation anomalies within the stratosphere itself after the wind reversal can largely be explained in a comprehensive model by the vertical structure in radiative timescales (Hitchcock and Shepherd 2013), again requiring no true downward influence.

Sudden warmings are understood to be initiated by waves produced at the surface, which

51 will doubtlessly interact with the tropospheric flow directly. The direct influence of these
52 tropospheric anomalies must be controlled for in order to definitively identify the influence
53 of the warmings on the troposphere below. This issue was addressed by Gerber et al. (2009),
54 who strongly perturbed zonal wavenumbers 4 through 10 in the troposphere following several
55 major warmings and demonstrated that the influence of the stratospheric anomalies was
56 apparent in the ensemble mean despite this perturbation of the tropospheric flow. While
57 numerous other simple model studies have demonstrated that changes imposed directly to
58 the vortex can indeed influence the tropospheric jets below (Polvani and Kushner 2002), the
59 forcing imposed on the stratosphere is typically highly idealized or causally distant from the
60 warmings themselves (Haigh et al. 2005; Simpson et al. 2009; Charlton-Perez and O’Neill
61 2010; Hitchcock et al. 2013b). Zonal asymmetries in the boundary conditions of these models
62 are also simplified or absent, as are parameterizations relevant to the details of the large-scale
63 flow.

64 *2. The separation of the ‘deterministic’ tropospheric signal from internal variability*

65 The NAM used in the composites in Fig 1(a) (see methods section) has a wintertime stan-
66 dard deviation of ~ 1.5 . Assuming the 22 events composited in Fig. 1(a) are independent,
67 fluctuations in this composite mean due to internal variability should have a standard devi-
68 ation of $1.5/\sqrt{21} \approx 0.3$. Given that the response is of the order of 0.5 standard deviations,
69 the statistics are marginal at the 95% level of confidence with this number of events, and cer-
70 tainly not sufficient to quantify the exact magnitude or the finer details of the tropospheric
71 response.

72 Issues of statistical robustness are exacerbated by the diversity of stratospheric events
73 and the fact that their influence on the troposphere may itself be quite variable. Gerber
74 et al. (2009) and Hitchcock et al. (2013a) identified the importance of the depth to which the
75 initial warming descends in the stratosphere, with those that descend right to the tropopause
76 producing the most persistent stratospheric anomalies, and the most robust tropospheric

77 response at long timescales. In particular, it is clear that the Polar-night Jet Oscillation
78 (PJO) events identified by Hitchcock et al. (2013a) exhibit a tropospheric signal that persists
79 substantially longer than the 20 days suggested by Fig. 1(a). In addition, it has been
80 proposed that whether the polar vortex splits in two or is displaced from the pole during the
81 sudden warming is relevant to the subsequent tropospheric evolution (Mitchell et al. 2013),
82 though since splitting events tend to disturb the lower stratosphere more efficiently than do
83 displacements, these two effects must be carefully distinguished.

84 Marginal statistics are problematic if one is interested in details of the coupling mech-
85 anisms. For instance, it is not clear what the time lag between the onset of stratospheric
86 variability and the tropospheric response is. One could argue the relevant timescale is that
87 of the Eliassen adjustment to the rearrangement of stratospheric PV (or the subsequent
88 diabatic adjustment), or that of baroclinic eddy growth rates. The BD composite does not
89 provide a strong observational constraint. While better statistics can now be obtained even
90 from very comprehensive models, details of the tropospheric response vary across models
91 (Gerber et al. 2010, see their Fig. 10), and tend not to be robust either to specifics of the
92 model configuration, or to which criteria are used to define stratospheric events (compare,
93 e.g. Fig. 13 of Hitchcock et al. (2013a) with Fig. 5 below). This suggests the need for a
94 more controlled approach.

95 *3. The zonally asymmetric nature of the tropospheric response*

96 Finally, although the NAM as defined by BD is not zonally symmetric, subsequent studies
97 have used fully symmetric definitions (Baldwin and Thompson 2009) and most simplified
98 modeling studies focus on the zonal mean response (e.g. Polvani and Kushner 2002; Song
99 and Robinson 2004; Simpson et al. 2009; Hitchcock et al. 2013b). Although there are good
100 reasons to do so (for instance, the zonally symmetric response is strongly constrained by
101 the conservation of zonal angular momentum), the composite surface response shown in
102 Fig. 1(b,c) is strongly localized in the Atlantic sector. With the small number of events in

103 the observational record and the large variability in the Atlantic sector, statistical issues pose
104 a challenge for understanding these local responses as well. Again, a controlled approach is
105 needed to identify the robustness of these asymmetries and to further our understanding of
106 the processes responsible.

107 The approach adopted here to address these three questions is to artificially induce sud-
108 den warmings in a comprehensive stratosphere-resolving model by nudging the zonal mean
109 component of the stratospheric circulation towards the time-dependent evolution of a sudden
110 warming, as produced by a free running version of the same model. These ensembles are
111 compared with a control ensemble, produced by nudging the zonal mean in the stratosphere
112 towards the seasonally-varying model climatology. The tropospheric initial conditions of each
113 member are, therefore, fully independent from those that occurred at the onset of the freely
114 simulated warming. Differences in the tropospheric circulation between the two ensemble
115 means are, by construction, due to the downward influence of the nudged circulation.

116 Furthermore, the zonal mean evolution in the stratosphere in each ensemble member is
117 nearly identical, so any variability within the ensemble is most likely due to variability in the
118 tropospheric dynamics, not due to variability in the zonal mean stratospheric state. Finally,
119 the zonally asymmetric component of the stratosphere is allowed to evolve freely, and can
120 respond to the constrained zonal mean flow. The nudged ensembles do not, however, exhibit
121 the strong asymmetrical displacement or splitting of the stratospheric vortex that occurs
122 during the onset of the reference warmings.

123 The primary aim of this paper is to establish the methodology and demonstrate the basic
124 features of the model response. The approach of relaxing one component of the general
125 circulation in order to understand its effects on other regions has been applied in several
126 contexts (Alexandru et al. 2009; Bielli et al. 2010; Jung et al. 2010; Hoskins et al. 2012),
127 including that of stratosphere-troposphere interaction (Douville 2009), and the approach
128 used here has recently been applied to isolate the stratospheric contribution to tropospheric
129 annular mode timescales (Simpson et al. 2011, 2013a,b). There are, however, important

130 subtleties associated with the technique and it is not immediately apparent that the response
131 induced by the nudging should be fully analogous to the freely evolving sudden warming.
132 In particular, the nudging amounts to a potential source or sink of angular momentum,
133 which has been shown in other contexts to produce spurious zonally symmetric circulations
134 below the region of relaxation (Shepherd et al. 1996). Nonetheless, it is demonstrated in a
135 companion paper Hitchcock and Haynes (in review, hereinafter HH) that no such spurious
136 circulations are playing a role in the responses seen in these experiments.

137 Complete details of the methodology and a demonstration that the nudging is indeed
138 achieving its intended purpose are given in section 2. The zonal mean response is presented
139 in section 3, and strongly suggests that the tropospheric signal seen in the BD composites
140 is a result of the downward influence of the stratosphere. Section 4 discusses the response
141 of the eddy fluxes, demonstrating the dominance of the synoptic scale eddy response in
142 the zonal mean but also a non-trivial role for planetary scale eddies. The quasi-stationary,
143 longitudinally dependent, near surface response is shown in section 5, and discussion and
144 conclusions are then given in section 6.

145 **2. Methodology**

146 *a. Model Experiments*

147 The experiments were performed with the Canadian Middle Atmosphere Model (CMAM),
148 a comprehensive GCM (Scinocca et al. 2008) run at T63 spectral truncation and 71 vertical
149 levels with a model top at 0.0006 hPa (roughly 100 km). All integrations were carried out
150 with climatological repeated annual cycle sea-surface temperatures and sea-ice (collectively,
151 SSTs). The greenhouse gases and SSTs are held fixed at levels representative of 1990, and
152 a climatological ozone field is specified, all as described in the 'DYN-MAM' configuration of
153 Scinocca et al. (2008).

154 Three sets of experiments will be discussed: a free running, 100-year time-slice integra-

155 tion (FREE), a 100-year time-slice integration (CTRL), in which the zonal mean state of
156 the stratosphere is constrained to the climatology of FREE, and finally, two 100-member
157 ensembles of integrations (SSW) spun off from CTRL in which the zonal mean state of the
158 stratosphere is constrained to follow the evolution of a specific reference stratospheric sudden
159 warming simulated by FREE.

160 The control run CTRL has been constrained by applying an additional relaxation on
161 the zonal-mean spectral components X of the temperature, vorticity, and divergence fields
162 of the form $-K(p)(X - X_0)/\tau_N$, where the reference state X_0 is the climatology X_c of the
163 respective field from FREE, τ_N is 6h, and $K(p)$ is a height dependent pre-factor which varies
164 between 0 and 1. The relaxation is applied only in the stratosphere, with a $K(p)$ that is 0
165 from the surface up to 68 hPa, rises linearly to 1 at 28 hPa, and remains at 1 above. Strictly
166 speaking the nudging is performed on model hybrid-pressure levels, but at these levels the
167 difference between the model levels and pressure surfaces is small. The zonally-asymmetric
168 components are allowed to evolve freely. FREE and CTRL have also been analyzed by
169 Simpson et al. (2011, 2013a,b) and more details can be found therein, but note that CTRL
170 was termed NUDG.

171 The goal of each SSW ensemble is to constrain the zonal mean evolution of the strato-
172 sphere to follow that of a particular stratospheric sudden warming, while permitting the
173 troposphere and the stratospheric eddies to evolve freely in response. They are performed
174 by initializing a new experiment from a boreal winter reference date each year of the CTRL
175 run. In each member, a relaxational term of the same form as above is applied, but here
176 the reference X_s is taken to be the instantaneous state of a specific sudden warming that
177 occurred in FREE.

178 The stratospheric variability in FREE has been described in detail by Hitchcock and
179 Shepherd (2013), who found it to have statistics in good agreement with observations. Two
180 sudden warmings have been chosen as reference cases for the SSW ensembles: the displace-
181 ment event in late December of model year 17, and the split event in late December of model

182 year 93 (see Fig. 1 of Hitchcock and Shepherd (2013)). The cases based on the displacement
183 and split reference events will be referred to as SSWd and SSWs, respectively.

184 In order to isolate the impact from the sudden warming itself (as opposed to any precon-
185 ditioning of the stratosphere that may have occurred prior to the warming), the reference
186 date on which these integrations begin is chosen to be 21 December, such that the instan-
187 taneous state of FREE during the reference case for both SSWd and SSWs was reasonably
188 close to X_c . The remaining discontinuity, though small, does complicate the study of the
189 initial adjustment. In the reference year for SSWs, a second stratospheric wind reversal
190 occurs in mid-March. This secondary event is classified as a sudden warming by the Charl-
191 ton and Polvani (2007) criteria, but was excluded in Hitchcock and Shepherd (2013) by the
192 McLandress and Shepherd (2009) requirement that wind reversals be separated by at least
193 60 days. Both primary events are examples of Polar-night Jet Oscillation events (Hitchcock
194 et al. 2013a), characterized by their associated lower stratospheric temperature anomalies
195 that persist for several months. These events are responsible for the persistence seen in the
196 BD composites (Hitchcock et al. 2013a), and as such are of particular interest for the cou-
197 pling to the troposphere and for their potential contribution to conditional skill in seasonal
198 forecasting (Sigmond et al. 2013). The secondary event in SSWs also shows persistent strato-
199 spheric temperature anomalies, but was not formally classified as a PJO event by Hitchcock
200 and Shepherd (2013) due to their relatively weak amplitude. Since both primary events
201 occurred in late December, these experiments cannot speak to the seasonal dependence of
202 the tropospheric response.

203 *b. The influence of the nudging*

204 The nudging technique can be seen to reproduce the zonal mean circulation of the ref-
205 erence events in Fig. 2, which shows the zonal mean zonal wind anomalies at 60°N of the
206 FREE events and the SSW ensembles. The stratospheric evolution in the SSW ensembles
207 is clearly reproducing that of the freely simulated reference events. The secondary event

208 beginning in mid-March in the SSWs case is apparent.

209 As stressed above, no relaxation is applied directly to the stratospheric eddies. There is,
210 therefore, no guarantee that the wave driving in the SSW ensembles will match that in the
211 freely simulated events, particularly during the onset of the warming. The tropospheric state
212 in each ensemble member is fully independent from the tropospheric state that produced the
213 warming in FREE, and even if the amplification of the waves in the FREE case was due
214 to resonance (Matthewman and Esler 2011, and references therein), the stratospheric state
215 in the nudged ensembles is constrained to be close to climatology until the onset of the
216 warming, allowing little time for the waves to amplify.

217 The time series of Eliassen-Palm (EP) flux divergence, integrated vertically from 100 hPa
218 to 1 hPa, is shown for the SSWd case in Fig. 3. All EP-fluxes and associated Transformed-
219 Eulerian Mean (TEM) quantities are computed for the primitive equations on log-pressure
220 coordinates as described in Andrews et al. (1987). As expected, the initial pulse of high-
221 latitude wave driving that drives the wind reversal at 10 hPa (roughly from 25-30 Dec in
222 the FREE simulation is not reproduced by the SSWd ensemble. Neither is the second pulse
223 in late January which produces the lower stratospheric anomalies in FREE. Although the
224 accelerations associated with the second pulse are smaller than the initial pulse, they occur
225 at lower altitudes (though still predominantly within the nudging region), and so contribute
226 more to the mass-weighted divergence in Fig. 3(a).

227 This anomalous wave activity represents sources and sinks of angular momentum within
228 the stratosphere that the nudging must produce to constrain the stratospheric evolution
229 to the reference events. This non-conservation of angular momentum has been shown to
230 disrupt the ‘downward control’ mechanism (Shepherd and Shaw 2004), and so it is essential
231 to understand the effects of the zonally-symmetric nudging on the meridional circulation.
232 This problem is considered in detail by HH; and indeed within the nudging region itself
233 there are significant differences in the meridional circulation, driven by differences in the
234 stratospheric wave driving between the SSW ensembles and the reference events in FREE

235 (see their Fig. 8). However, it is shown by HH that the diabatic effects of the nudging act
236 to confine this anomalous circulation within the nudged region, and thus below the level of
237 the nudging, the residual circulation (and thus the associated Coriolis force and adiabatic
238 heating) induced by the nudging will very closely resemble that produced by the stratospheric
239 forcing in the freely simulated stratospheric event.

240 To demonstrate that the tropospheric Coriolis accelerations are indeed reproduced suf-
241 ficiently well in the nudged ensemble, Fig. 4 shows the anomalous Coriolis acceleration
242 induced at 700 hPa by the stratospheric wave-driving (both resolved and parameterized) for
243 the FREE displacement event and by the wave-driving and nudging in the SSWd ensemble
244 (see, e.g. (7) of HH). This is computed using a zonally symmetric quasi-geostrophic model
245 on the sphere; details of which are given in the appendix. The details of the influence of the
246 stratospheric forcings in the reference event (Fig. 4(a)) are well reproduced by the nudging
247 in the SSWd ensemble (Fig. 4(b)). The difference (Fig. 4(c)) is most apparent through early
248 January when the difference in the wave driving is strongest (Fig. 3(c)).

249 A second issue arising from the presence of the strong nudging region that is identified
250 and quantified by HH is the presence of a spurious feedback analagous to the 'sponge-layer'
251 feedback described by (Shepherd et al. 1996), that affects the region about a scale-height
252 below the nudging layer. The strength of this feedback is closely related to the strength of the
253 confinement of the anomalous residual circulation within the nudged region, but produces
254 only weak spurious effects at the intraseasonal timescales of interest in the present work.

255 We can expect, therefore, that any coupling induced through (a) the mean meridional
256 circulation or through (b) the response of tropospheric eddies to lower stratosphere pertur-
257 bations will be active and well represented in the SSW ensembles. On the other hand, the
258 zonally asymmetric circulations in the stratosphere associated with the vortex displacement
259 or the vortex split are not present, and therefore mechanisms dependent on this stratospheric
260 zonal asymmetry will not be active. Furthermore, since the pulses of planetary waves that
261 produce the sudden warming in the FREE run are not present in the nudged ensembles by

262 construction, the tropospheric torques arising from these initial pulses of wave activity will
263 be missing from the nudged ensembles and will not be responsible for any tropospheric sig-
264 nal seen. Any two-way wave coupling (Shaw et al. 2014) present in the nudging run cannot
265 involve these pulses.

266 *c. Data and Indices*

267 The composites shown in Fig. 1 are computed from the ERA-Interim reanalysis product
268 (Dee et al. 2011) using daily geopotential heights, 10hPa zonal wind, 2m temperature and
269 10m zonal and meridional wind fields for November through March (NDJFM) from the 33
270 winters between 1979/1980 and 2011/2012. In section 5, composites of near surface fields
271 following sudden warmings are shown for the Coupled Model Intercomparison Project, phase
272 5 (CMIP-5) multi-model data set. For CMIP-5 we make use of the daily 10hPa zonal wind,
273 surface temperature (*tas*) and surface zonal (*uas*) and meridional (*vas*) wind fields for the
274 NDJFM seasons of the “historical” simulations from 1960/1961 to 2003/2004. The models
275 and ensemble members used are summarized in table 1.

276 The Northern Annular Mode (NAM) is defined here to be the first, area-weighted, EOF
277 of de-seasonalized zonal mean geopotential heights north of the equator on each pressure
278 level, following Baldwin and Thompson (2009). It is, as a result, purely a feature of the
279 zonally averaged circulation. The EOF is defined using all days of the FREE simulation.
280 The NAM indices for the SSW ensembles and CTRL are then computed by projecting the
281 daily geopotential height anomalies (from the climatology of CTRL) onto this structure.
282 The North Atlantic Oscillation (NAO) is defined as the first (area weighted) EOF of surface
283 pressure in the region 90° W to 40° E, 20° N to 80° N in the FREE simulation and the NAO
284 index in other runs is again calculated by projecting the monthly mean surface pressure
285 anomalies (also from the climatology of CTRL) onto this structure.

286 Stratospheric sudden warmings are defined following Charlton and Polvani (2007). For
287 ERA-Interim, 22 events were obtained in the 33 winters considered using this criterion. For

288 CMIP-5, only those models for which there were at least 10 sudden warming events in the
289 historical simulations were included to ensure some robustness of the sudden warmings com-
290 posite anomalies. There were 24 models that provided the necessary data to perform this
291 composites and of these 24, only 16 had at least 10 events by this criterion (Table 1). This is
292 confirmation that GCMs, in particular those with a low top, tend to underestimate strato-
293 spheric variability (Charlton-Perez et al. 2013). The composite average for each individual
294 model was first obtained before taking the multi-model mean.

295 **3. Zonal Mean Response**

296 *a. Annular mode response*

297 We consider first the zonal mean response of the troposphere in each SSW ensemble.
298 Time-height plots of the NAM in FREE are shown in Figs. 5(a) and (c). The evolution of
299 the corresponding SSW ensemble mean NAM index is shown in panels (b) and (d). The
300 stratospheric evolution in the SSW ensembles matches that of the reference events, though
301 the correspondence is not as strong as in Fig. 2. This is because, unlike the zonal wind,
302 the NAM is a vertically integrated measure of the circulation, influenced by surface pressure
303 and temperature variability below the level of the nudging (Mudryk and Kushner 2011).
304 The SSW ensembles reproduce a strong response well below the level of the nudging with a
305 magnitude that decreases markedly near the tropopause.

306 In both ensembles there is a statistically significant tropospheric response, reaching just
307 over one standard deviation in the mid-troposphere with a slightly stronger response near the
308 surface (note that the amplitude of the NAM EOFs also decreases towards the surface). Over
309 the evolution of the SSW ensembles, the largest tropospheric NAM response occurs nearly
310 simultaneously with the largest NAM anomalies in the lower stratosphere. For example,
311 in SSWd, the lower stratospheric anomaly strengthens only in early February, nearly a
312 month after the wind reversal at 10 hPa. It persists for two months, until the end of

313 March, throughout which the tropospheric response is evident. In the SSWs case, the lower
314 stratospheric anomaly strengthens in early January, about 15 days after the wind reversal,
315 as does the tropospheric NAM. In contrast, the stratospheric NAM anomaly strengthens
316 throughout the stratosphere simultaneously during the onset of the second warming in mid-
317 March. The tropospheric response coincides well with the lower stratospheric anomaly,
318 persisting to late April.

319 Figure 5(e) shows a composite of the NAM index over all sudden stratospheric warmings
320 as defined by the Charlton and Polvani (2007) criteria in the FREE simulation, from 30
321 days prior to the stratospheric wind reversal, to 150 days following. In contrast to the two
322 reference events, there is no delay between the wind reversal and the lower stratospheric
323 NAM anomaly in the composite, indicating that this delay is not a universal characteristic
324 of events in this simulation. It has been argued that this type of delay is more characteristic
325 of displacements than of splits (Matthewman et al. 2009; Hitchcock et al. 2013a) as a result
326 of the potentially larger role for the barotropic mode in the latter; the delay during the split
327 case is indeed shorter than that seen during the displacement case. In the composite there
328 is also a weak signal in the troposphere prior to the stratospheric wind reversal, which is
329 not present in the SSW ensembles by experimental design. These issues of the timing aside,
330 the vertical structure of the NAM response in the SSW ensembles closely resembles that in
331 the composite mean. The amplitude of the composite is weaker than the SSW ensembles
332 (by about a factor of 2); this is to be expected since the composite includes all sudden
333 warmings regardless of whether they are followed by a PJO event (Hitchcock et al. 2013a,
334 see their Fig. 13), and the reference events chosen for the nudging experiments are large
335 amplitude examples. The relative strengths of the lower stratospheric anomaly and the
336 tropospheric signal, as well as the persistence of the latter, agrees well between the ensemble
337 and composite means.

338 The nudged, zonal-mean stratospheric anomalies associated with the reference events
339 produce an ensemble-mean tropospheric annular mode response that strongly resembles the

340 signal following sudden warmings produced by the freely running model. Therefore, we
341 may consider the SSW ensemble response to be representative of the response to similar
342 magnitude events in the free running model or, indeed, the real world.

343 The variability of the tropospheric response is addressed in Fig. 6. Figure 6(a) shows
344 histograms of the daily NAM indices at 500 hPa in January through March for the two
345 SSW ensembles and CTRL. The negative NAM seen in the ensemble average is a result of
346 a uniform shift of the distribution towards negative values: little change in the variance is
347 seen. The likelihood of extreme negative NAM events is substantially increased: the fraction
348 of days with a 2σ negative NAM anomaly is 4.4% in CTRL and increases to 11.9% in SSWs
349 and 13.2% in SSWd. Since the variability around the ensemble mean does not change, these
350 histograms are consistent with the characterization of the stratospheric influence as simply
351 biasing the mean state of the tropospheric annular modes (Simpson et al. 2011; Sigmond
352 et al. 2013).

353 This is further borne out by considering the autocorrelation function of the NAM during
354 DJFMA. Figure 6(b) shows the autocorrelation function at 500 hPa for the FREE run, for
355 CTRL, and for the two SSW ensembles. In the case of the latter two, the autocorrelation
356 is computed from anomalies from the ensemble mean. The autocorrelation function in the
357 SSW ensembles closely match that of CTRL. The serial correlations are somewhat stronger
358 in the FREE run, consistent with the influence of stratospheric variability (Simpson et al.
359 2011). This holds at other tropospheric levels as well (not shown).

360 The character of the variability within the ensemble is further illustrated in Figs. 6(c,d)
361 which show the 500 hPa annular mode time series for each member of the SSWd and SSWs
362 ensemble, respectively. The time evolution of the ensemble mean response is shown, with
363 95% confidence intervals for 22-member sub-samples and the full 100-member ensemble.
364 The 22-member confidence interval agrees well with the rough estimate of 0.3σ given in
365 the introduction. The 100-member confidence interval is small enough to conclude that the
366 finer scale temporal features of the response are in the ensemble mean and therefore reflect

367 the response to the details of the particular stratospheric circulation that occurred in the
368 reference runs.

369 *b. Non-annular mode response*

370 In addition to the NAM response, the full, zonal-mean, tropospheric response in the SSW
371 ensembles shows some further latitudinal structure (Fig. 7). The connection of the tropo-
372 spheric wind anomalies with lower stratospheric temperatures is confirmed by the strong
373 correlation between the 500 hPa wind anomalies and the 200 hPa temperature anomalies
374 near the pole. The projection of the response on to the 1st EOF of zonal wind variability in
375 the FREE run is shown in Fig. 7(c) and (d), and the difference between the full field and
376 the projected anomalies are shown in Fig. 7(e) and (f). There is a high latitude response
377 that does not project on to the leading EOF in both cases for several weeks following the
378 wind reversals (including the secondary event in SSWs). This response arises before the
379 annular mode response. The non-annular mode response more closely resembles the merid-
380 ional structure of the Coriolis term shown in Fig. 4(b), though a more quantitative analysis
381 that is beyond the scope of the present work is required to say definitively whether it can
382 be attributed to the Coriolis term itself. The meridional structure of the non-annular mode
383 response does not correspond to the second EOF (which describes a broadening or narrowing
384 of the mid-latitude jet). It is apparent at all tropospheric levels, and is robust to the use of
385 a seasonally-dependent annular mode structure in the troposphere.

386 **4. Response of the eddy fields**

387 A key feature of the extended recoveries that characterize PJO events such as the two
388 reference events considered here is the suppression of planetary waves entering the vortex in
389 the months following the sudden warming. Figure 8 shows the difference in planetary-scale
390 (zonal wavenumbers 1 to 3) vertical EP fluxes, averaged from 50 to 90 N between the SSW

391 ensembles and the control. Like in the PJO event composites of Hitchcock et al. (2013a), the
392 planetary wave driving is suppressed in the vortex following the warming. The suppression
393 extends down into the troposphere below. While this suppression must ultimately arise
394 in the SSW ensembles from the imposed zonal mean stratospheric circulation anomalies,
395 it is unfortunately not possible to attribute this suppression directly to the stratospheric
396 circulation on the basis of experimental design alone, since the tropospheric circulation
397 changes systematically as well.

398 We turn now to the tropospheric eddy fluxes in the SSWd ensemble, though the response
399 of SSWs is similar. The top row of Fig. 9 shows the planetary scale EP fluxes averaged
400 over January through March from the CTRL run, as well as the zonal mean zonal wind.
401 The climatological vertical fluxes maximize near the surface at 50°N, significantly north
402 of the maximum in the surface westerlies. Much of the flux turns equatorwards into the
403 upper-tropospheric jet to the south of this maximum. The second row of panels show the
404 anomalous fluxes during the first 15 days of the SSWd ensemble, when the high-latitude
405 winds have responded, but preceding the strong annular mode response. The high-latitude
406 suppression of vertical fluxes seen in Fig. 8 is already apparent at this phase, and is accom-
407 panied by an increase in vertical fluxes to the south of the climatological maximum, though
408 this lower latitude increase does not extend into the stratosphere (not shown). This pattern
409 amplifies through February of the SSWd ensemble (Fig. 9(e,f)), during which the zonal mean
410 tropospheric response more closely resembles the annular mode.

411 To compare these responses to the eddy flux perturbations associated with the internal
412 tropospheric variability, Fig. 9(g,h) shows the eddy flux fields from FREE regressed (as
413 a function of latitude and pressure) against the NAM index at 300 hPa, scaled by the
414 anomalous NAM at 300 hPa in the SSWd ensemble (Fig. 5(b)) averaged over February
415 and March, so their amplitudes are comparable to the signals just discussed. The wind
416 anomalies (computed similarly) associated with the NAM for the most part resemble the FM
417 response, although there are some differences in the lower troposphere at high latitude. The

418 meridional fluxes associated with the NAM variability also match the response. However,
419 the high-latitude reduction in the vertical fluxes apparent in Fig. 9(e) are not a feature
420 of the NAM variability, suggesting that this suppression is a response to the stratospheric
421 circulation anomalies. It is also unlikely that this is a response to the lower-tropospheric
422 wind anomalies that are not present in the NAM variability, since similar regressions using
423 an index based on the structure of wind response in Fig. 9(e,f) also fails to reproduce this
424 suppression. Similar structures are obtained if the regression is performed against the NAM
425 at other levels in the troposphere, or if the CTRL variability is used. One possible mechanism
426 for this reduction in the vertical fluxes is enhanced reflection from the stratosphere (Shaw
427 et al. 2014), though as noted by Hitchcock et al. (2013a), the upper stratospheric shears
428 at this point are strongly positive, which is in the opposite sense of that suggested to be
429 required by the index of Perlwitz and Harnik (2004). Another possibility is that barotropic
430 modes Matthewman and Esler (2011) that can normally be excited by the topography are
431 simply not present in this stratospheric configuration.

432 Similar plots for the tropospheric EP-fluxes for higher zonal wave numbers (4 and above)
433 are shown in Fig. 10. In contrast to the planetary scales, the maximum in near-surface
434 vertical fluxes coincides with the maximum in surface westerlies, as expected. The initial,
435 non-annular mode phase of the SSWd ensemble shows a very weak response in the vertical
436 fluxes, and a reduction of the upper tropospheric equatorward flux of a similar magnitude to
437 that seen at planetary scales. In contrast, the response of these eddies during the annular-
438 mode phase is substantially stronger than the planetary scale response, with a clear dipolar
439 response in the vertical fluxes that aligns with the dipolar wind response and a decrease in
440 the meridional flux of 20 to 30% of the fluxes in the control run. These anomalous fluxes
441 dominate those of the planetary-scale meridional fluxes at this point in the response. Unlike
442 the planetary scale fluxes, features of both the meridional and vertical fluxes closely resemble
443 anomalies associated with the NAM itself.

444 It is clear that the synoptic scale eddy feedback identified by Polvani and Kushner (2002)

445 plays a large role in the zonal mean annular mode response. The tropospheric planetary
446 scales, however, are also responding significantly with a distinct meridional and temporal
447 signature. The role of the planetary-scale fluxes in this response was identified by Song and
448 Robinson (2004), and has been discussed recently by Hitchcock et al. (2013b); Domeisen et al.
449 (2013); Martineau and Son (2013). Unlike the synoptic scale fluxes, at least the vertical flux
450 anomalies appear to be a direct response to the stratospheric anomalies themselves.

451 **5. Zonally asymmetric response**

452 As discussed in the introduction, composites of observed sudden warmings in the reanal-
453 ysis show strong zonal asymmetries at the surface. The 2m temperature anomalies and 10m
454 zonal wind anomalies are shown averaged over January and February for SSWs in Figs. 11(a-
455 d) and SSWd in Figs. 11(e-h). Despite the absence of strong displacement or splitting of
456 the stratospheric polar vortex during the onset of the warming in the nudged ensembles,
457 a zonally asymmetric surface response emerges. Comparison of February with January re-
458 veals, for the most part, broadly similar temperature and wind anomaly patterns but with
459 an amplified magnitude in February. Regions where this is not true are in the Pacific, where
460 the location of the maximum zonal wind anomaly differs slightly and over the east coast of
461 the US, where the temperature anomalies change sign from January to February.

462 When compared with the ERA-Interim composites (Figs. 1(b,c)), the ERA-Interim com-
463 posites are noisier, because fewer warmings are considered, but there is a remarkable similar-
464 ity. In both cases, the response closely resembles a large amplitude, negative NAO anomaly.
465 The equatorward shift of the mid-latitude circulation that is seen in the zonal mean in
466 response to the stratospheric events is in fact strongly zonally asymmetric. A large equator-
467 ward shift occurs in the Atlantic sector in both CMAM and ERA-Interim, whereas in the
468 mid-latitudes of the Pacific the surface wind response is very weak in ERA-Interim and the
469 agreement with the nudged ensembles is less robust.

470 Although the SSTs are prescribed in the nudged ensembles, the near-surface wind anoma-
471 lies over the Atlantic basin are likely sufficiently persistent and large-amplitude to make a
472 significant impact on the ocean circulation (Reichler et al. 2012).

473 Referenced against the reversal of the 10 hPa winds, the January response in the SSW
474 ensemble corresponds more directly to the 30 days following the warmings used in the ERA-
475 Interim composite. However, referenced against the lower stratospheric anomalies it may be
476 more appropriate to compare the ERA-Interim composite with the February signal in the
477 SSW ensembles. Since the spatial patterns do not differ strongly, this is primarily an issue
478 for comparisons of the magnitude of the response.

479 There are three prominent regions where substantial temperature anomalies occur in
480 the SSW ensembles and where the response is in agreement with the ERA-Interim compos-
481 ite. Firstly, a substantial warming occurs over western Greenland, eastern Canada and the
482 Labrador sea. This warming is around 2K in ERA-Interim and up to 4K in February of
483 the nudged SSW runs. Secondly, a substantial cooling is produced over Northern Europe
484 and Siberia which is of the order of 2K in the 30 days following the stratospheric events in
485 both ERA-Interim and January of the CMAM nudged runs but reaches 4K in February of
486 the nudged runs. Finally, the SSW ensembles also show a substantial warming over North
487 Africa and the Middle East. This warming in ERA-Interim is stronger than occurs in the
488 January of the nudged run but by February it is of comparable magnitude.

489 The close resemblance between the surface response in the CMAM nudged warmings and
490 that in the ERA-Interim composite is remarkable given that

- 491 • In the nudged run, only the zonal mean component of the warming has been imposed
492 whereas the warmings in ERA-Interim have considerable zonal asymmetry to them
493 with some being vortex splits and some being vortex displacements.
- 494 • In the CMAM nudged run we deliberately chose a warming that was characterized
495 by a very long timescale recovery in the lower stratosphere, whereas the ERA-Interim

496 composite is averaging over all different “flavours” of sudden warming, including those
497 with much shorter timescales (Hitchcock et al. 2013a).

- 498 • The CMAM nudged events all occur in January, whereas the events in the ERA-Interim
499 composite occur throughout the winter season.
- 500 • Climatological SSTs are prescribed in the ensembles and so the ability of near surface
501 temperatures to change over the ocean is restricted.

502 This provides strong evidence that the temperature anomalies over Greenland, Eastern
503 Canada and the Labrador sea, North Africa and the middle east and Northern Europe and
504 Siberia as well as the equatorward shift of the Atlantic jet are indeed a robust response to
505 the stratospheric anomalies during a sudden warming.

506 To emphasize the magnitude of this surface response, histograms of the monthly averaged
507 NAO state in the two SSW ensembles and in the CTRL run are shown in Fig. 12. As with
508 the NAM histograms (Fig. 6(a)), the change in the distribution is consistent with a shift of
509 the mean, though the use of monthly averages makes the histograms noisier. The shift in the
510 mean, which exceeds 1 standard deviation in both SSW ensembles, is larger than the shift
511 in the daily NAM indices. The change in frequency of large negative monthly NAO events is
512 even more pronounced than for the daily NAM; the frequency of a -2σ monthly mean event
513 is 1.3% in CTRL, and increases to 13.5% in SSWs and 16.2% in SSWd.

514 Since the structure of this response is remarkably robust in the ERA-Interim composite
515 and the two SSW ensembles, it is worth asking whether the CMIP-5 models behave similarly.
516 The multi-model mean composites for the CMIP-5 models (described in Table 1) are shown
517 in Fig. 13(a) and (b). Qualitatively similar structures to those in the CMAM nudged run
518 and in ERA-Interim are found with a warming centered over the Labrador sea, a cooling
519 over Northern Europe and Siberia and warming over North Africa and the Middle East.
520 These anomalies, again, accompany an equatorward shift of the Atlantic jet. The magni-
521 tude of the anomalies (shown for three regions in Fig. 13(c)), however, particularly over

522 the Labrador sea, are considerably reduced from those in ERA-Interim and in the CMAM
523 nudged run. This is equally true of high-top models as it is of low-top models (following the
524 classification of Charlton-Perez et al. (2013)) which produce sudden warmings. This defi-
525 ciency warrants further investigation, in particular, as to whether this is a result of a poor
526 representation of deeply penetrating, long timescale stratospheric variability or whether the
527 model tropospheres don't respond as strongly to similar SSW events.

528 **6. Conclusions**

529 A nudging technique has been introduced in order to efficiently produce a large ensem-
530 ble of sudden warming analogues in a comprehensive stratosphere-resolving GCM. This is
531 achieved by spinning off simulations from a long control run every December, and relaxing
532 the stratospheric zonal mean state towards that obtained during a reference sudden warm-
533 ing, produced by a free-running version of the GCM. This technique has been shown to
534 reproduce the non-local influence associated with the Eliassen and subsequent diabatic ad-
535 justments to the stratospheric forcings produced in the free running reference event (HH).
536 Any potential spurious or missing sources of angular momentum are confined to the period
537 when the stratospheric winds reverse (or immediately preceding), prior to the main tropo-
538 spheric response (Fig. 3). The method therefore captures tropospheric feedbacks associated
539 with the zonal mean anomalies in the lower stratosphere; however, the strong zonal asym-
540 metries associated with the displacement or splitting of the vortex during the reference event
541 are not reproduced in the SSW ensemble.

542 The two SSW ensembles described here exhibit an annular-mode type response whose
543 structure closely resembles the composite response to sudden warmings in the free running
544 model, and in ERA-Interim. By experimental design, the tropospheric response is produced
545 as a result of the stratospheric manifestation of the sudden warming, not through any purely
546 tropospheric pathways. The strong resemblance between the SSW ensemble response and the

547 composite response in ERA Interim provides strong evidence that the downward influence
548 implied by the dripping paint diagram of BD is real (issue 1 discussed in the introduction).
549 Moreover, feedbacks involving tropospheric eddies at both planetary and synoptic scales play
550 a significant role. Importantly, the timing of the tropospheric response in the SSW ensembles
551 suggests that the most relevant aspect of the stratospheric variability is not the wind reversal
552 in the mid-stratosphere, but the anomalies in the lower stratosphere, immediately above the
553 troposphere.

554 The two ensembles also suggest that the intermittency or inconsistency of the tropo-
555 spheric response (in the sense that during individual events the tropospheric jets can shift in
556 the opposite direction to that favoured by the forcing) is likely a consequence of the signal to
557 noise ratio (cf. issue 2). The mean response is of a similar order to the internal variability of
558 the troposphere, and the magnitude and persistence of the intrinsic tropospheric variability
559 is not strongly influenced by the stratospheric anomalies (Fig. 6).

560 The tropospheric response at longer timescales also does not depend strongly upon the
561 strong stratospheric zonal asymmetries associated with whether the warming was a split or
562 a displacement event (provided that the zonal mean anomalies are equally persistent). Since
563 there are a number of limitations of this nudging technique at timescales of a week or two
564 following the sudden warming, it does not preclude the relevance of these asymmetries on
565 shorter timescales. Nor, given the limited statistical precision to which we know the observed
566 response, does it rule out the possibility of higher order effects due to such asymmetries.
567 However, at the timescales most relevant to seasonal forecasting, the observed response can
568 be explained without invoking these effects.

569 Despite the fact that no asymmetries are explicitly induced in the stratosphere, the
570 nudged ensembles exhibit a zonally asymmetric response that closely resembles that seen
571 in composites of sudden warmings in the free running CMAM integration and in the ERA
572 Interim reanalysis. This surface signature also strongly resembles the response described by
573 Sigmond et al. (2013). The statistical robustness and causality implied by the experimental

574 design (both of which are absent from the observational record alone) lend strong confidence
575 to the claim that this is a deterministic response to large-amplitude sudden warmings with
576 an extended timescale recovery (cf. issue 3).

577 It must be stressed that the stratospheric anomalies in a real sudden warming are ul-
578 timately caused by planetary waves produced by the tropospheric flow. The downward
579 influence in this sense is simply part of a causal chain of events, preceeded by the ampli-
580 fication of the planetary waves at the onset of the warming. Nonetheless, in the light of
581 these results, a model which does not properly capture the structure of the stratospheric
582 circulation anomalies during and following a sudden warming can not be expected to cap-
583 ture the tropospheric response. Nor would it capture the change in near surface circulation
584 statistics implied by any secular change in the stratospheric polar vortex such as may be
585 expected under an increase in well-mixed greenhouse gases. It is therefore of some concern
586 that the near-surface, multi-model mean response of the CMIP-5 simulations is significantly
587 weaker than that seen in the ERA Interim reanalysis composite. Whether the weak near-
588 surface response seen in the CMIP-5 simulations is a result of deficiencies in stratospheric
589 variability or of the tropospheric response, however, is not yet clear. The methodology in-
590 troduced here provides a powerful tool for further investigating such questions, and, more
591 generally, for clarifying the mechanisms underlying the coupling between the stratosphere
592 and troposphere.

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606 Portals.

APPENDIX

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Tropospheric response to the Nudging

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The Coriolis acceleration induced by the stratospheric forcings is computed using the zonally symmetric quasi-geostrophic model on the sphere of Plumb (1982), which, solved for the residual vertical velocity gives

$$\begin{aligned} \frac{\partial}{\partial z} \left(\frac{1}{\rho_0} \frac{\partial \rho_0 \bar{w}^*}{\partial z} \right) + \frac{N^2}{(2\Omega a)^2} \frac{\partial}{\partial \mu} \left(\frac{1 - \mu^2}{\mu^2} \frac{\partial \bar{w}^*}{\partial \mu} \right) \\ = \frac{1}{2\Omega a} \frac{\partial}{\partial \mu} \left(\frac{\sqrt{1 - \mu^2} \partial \mathcal{F} - k(z)u}{\mu} \frac{\partial}{\partial z} \right) + \frac{H}{R} \frac{1}{(2\Omega a)^2} \frac{\partial}{\partial \mu} \left(\frac{1 - \mu^2}{\mu^2} \frac{\partial Q - \alpha(z)T}{\partial \mu} \right), \end{aligned}$$

where $\mu = \sin \phi$. The lower boundary condition used is that of Haynes and Shepherd (1989); here the surface heat fluxes are assumed not to influence the lower boundary condition

$$\frac{1}{\rho_0} \frac{\partial \rho_0 \bar{w}^*}{\partial z} - \frac{g}{4\Omega^2 a^2} \frac{\partial}{\partial \mu} \left(\frac{1 - \mu^2}{\mu^2} \frac{\partial \bar{w}^*}{\partial \mu} \right) = 0.$$

In the above, ρ_0 is the background density profile, Ω and a are the angular velocity and radius, respectively, of the Earth, R is the specific dry gas constant, H is the density scale height, and N^2 is the buoyancy frequency squared. The Rayleigh drag term is used to model surface friction and is set to $k(z) = \max(k_f(z - z_s)/z_s, 0)$ with $k_f = 2 \text{ d}^{-1}$ and $z_s = 1.5 \text{ km}$, while the Newtonian cooling term represents the radiative terms and the analytical fit to the radiative timescales estimated to be relevant for the FREE run given in Hitchcock et al. (2013b) is used.

This equation is solved following the method described in the appendix of Hitchcock et al. (2013b). In this case the first 24 Hough modes are used to describe the meridional structure of the forcing, while a vertical domain from 0 to 100 km in log-pressure height is used at a vertical resolution of 50m. In all cases the global, DJF mean profile of N^2 from the FREE simulation is imposed. Stratospheric forcings are computed by applying a mask that ramps

633 linearly from zero at a lower pressure level p_b to one at an upper pressure level p_t ; the results
634 presented here use $p_b = 100$ hPa and $p_t = 50$ hPa, but they are not strongly dependant
635 on these choices so long as p_b is above the tropopause. Note that the nudging itself is only
636 active above 68 hPa. The momentum forcing \mathcal{F} includes the resolved wave drag and all
637 parameterized zonal momentum tendencies (including both orographic and non-orographic
638 gravity wave drag and the zonal wind nudging tendencies). The thermodynamic forcing Q
639 includes the thermodynamic nudging term alone.

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758 **List of Tables**

759 1 Number of ensembles and sudden warming events for which a given field
760 is available from CMIP-5 and ERA-Interim data used for the composites of
761 surface fields following sudden warming events. Extended winters (November
762 through March) from the CMIP-5 historical runs from 1960/1961 to 2003/2004
763 were used and only the models for which there were more than 10 events in
764 the available ensemble members were included in the composites. For ERA-
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TABLE 1. Number of ensembles and sudden warming events for which a given field is available from CMIP-5 and ERA-Interim data used for the composites of surface fields following sudden warming events. Extended winters (November through March) from the CMIP-5 historical runs from 1960/1961 to 2003/2004 were used and only the models for which there were more than 10 events in the available ensemble members were included in the composites. For ERA-Interim, winters from 1979/1980 to 2011/2012 were included.

Model	# ensembles		# warmings		warmings/dec
	T	U/V	T	U/V	
ACCESS1-0	1	-	12	-	2.8
CanESM2	5	5	231	231	10.7
CMCC-CMS	1	1	36	36	8.4
CNRM-CM5	1	1	18	18	4.2
GFDL-CM3	4	4	31	31	1.8
HadCM3	10	-	113	-	2.6
HadGEM2-CC	3	2	102	63	7.9
inmcm4	1	1	19	19	4.4
IPSL-CM5A-LR	4	4	75	75	4.4
IPSL-CM5A-MR	3	3	84	84	6.5
MIROC-ESM-CHEM	1	1	28	28	6.5
MIROC-ESM	3	3	87	87	6.7
MPI-ESM-LR	3	3	97	97	7.5
MPI-ESM-MR	2	2	67	67	7.8
MPI-ESM-P	1	1	27	27	6.3
NorESM1-M	3	-	29	-	2.2
ERA-Interim	1	1	22	22	6.7

766 List of Figures

- 767 1 (a) Composite of the NAM index following the 22 sudden warmings identi-
768 fied in the ERA Interim reanalysis in the 33 winters between 1979/1980 and
769 2011/2012. Composite of (b) 2 m temperature and (c) 10 m wind anomalies
770 for the 30 days following the warmings. The shading in (c) shows the zonal
771 component of the wind. 38
- 772 2 Zonal mean zonal winds at 60°N in (a,b) the FREE reference events and (c,d)
773 the SSW ensembles. The contours are at intervals of 10 m s^{-1} . In panels (c)
774 and (d), the lower boundary of the nudging region is indicated by the dashed
775 horizontal lines, and height at which the nudging reaches full strength by the
776 solid horizontal lines. The reference date, 21 December, when the nudging
777 in the SSW ensemble starts to force towards the instantaneous state of the
778 FREE event, is indicated by the vertical lines. 39
- 779 3 Anomalous zonal mean wave driving (the acceleration due to EP Flux diver-
780 gence of both resolved and unresolved waves) integrated in a mass-weighted
781 sense from 100 hPa to 1 hPa, for (a) the FREE displacement event, (b) the
782 SSW displacement ensemble and (c) the difference between the two. In (a)
783 and (b) the anomalies are defined relative to the CTRL integration. 40
- 784 4 Anomalous Coriolis accelerations (associated with the residual meridional ve-
785 locity) induced by stratospheric forcings in (a) the FREE event, (b) the dis-
786 placement SSW ensemble and (c) the difference between the two at 700 hPa.
787 Contour intervals are $0.1 \text{ m s}^{-1} \text{ d}^{-1}$. In (a) and (b) the anomalies are defined
788 relative to the CTRL climatology. 41

789 5 NAM index in (a, c) the FREE event and in (b, d) the SSW composite for
790 (a, b) the displacement case and (c, d) the split case. Solid and dashed lines
791 in (b) and (d) as in Fig. 2. (e) Composite of NAM index following sudden
792 warmings in the FREE run. Gray shading in panels (b), (d), and (e) indicate
793 where the averages are not statistically different from zero at the 95% level. 42

794 6 (a) Histogram of the tropospheric NAM index at 500 hPa in CTRL and the
795 SSW ensembles. (b) Autocorrelations of the NAM at the same level for the
796 two cases. The 95% confidence intervals in (b) are estimated by computing
797 the autocorrelation function for each winter independently, and assuming the
798 sample mean is t-distributed. The time evolution of the 500 hPa NAM index
799 is shown in the thin grey lines for each ensemble member of (c) SSWd and
800 (d) SSWs. The bold lines show the ensemble means, the dashed lines indicate
801 the standard deviation, and the red and green lines show the 95% confidence
802 interval of a 22-member and 100-member ensemble, respectively, estimating
803 by sub-sampling with replacement. 43

804 7 Zonal mean zonal wind anomalies at 700 hPa (filled contours) and zonal mean
805 temperature anomalies at 200 hPa (contours, 1 K interval) in (a) the SSWd
806 ensemble and (b) the SSWs. (c, d) The same zonal wind anomalies projected
807 onto the leading EOF of the zonal wind at 700 hPa in the FREE event (using
808 data from December through May). (e, f) The difference between the full
809 anomaly field and the projection onto the leading EOF. 44

810 8 Vertical component of the anomalous EP flux, averaged in an area-weighted
811 sense from 50° to 90° N, in (a) the SSWd ensemble and (b) the SSWs ensemble.
812 In both cases anomalies are defined relative to the CTRL integration. 45

813	9	(a, b) Planetary scale ($k = 1$ to 3) EP fluxes (filled contours) in the CTRL run for January through March. Zonal mean zonal winds (contour lines) over the same period, at intervals of 5 m s^{-1} . (c-f) Anomalous EP fluxes (filled contours) during (c,d) 1-15 January and (e,f) February and March in the SSWd ensemble. Zonal mean zonal wind anomalies (contour lines) over the same periods at intervals of 0.5 m s^{-1} are also shown. (g,h) EP fluxes and winds regressed against the NAM index at 300 hPa, scaled by the magnitude of the FM NAM response in SSWd at 300 hPa (see text for details). Panels (a,c,e,g) show the vertical component of the flux, while (b,d,f,h) show the meridional component.	46
822	10	Same as Fig. 9 but for synoptic scale and smaller eddies ($k > 3$).	47
823	11	Composites of near surface temperature (left) and winds (right) for SSWs-CTRL in (a,b) January and (c,d) February, and for SSWd-CTRL in (e,f) January and (g,h) February. Shown in the left panels is the 2 m temperature, and in the right panels the 10 m zonal wind (shading) and the surface wind stress (vectors). The contours are the same as those in Fig. 1(b,c).	48
824	12	(a) Histogram of the monthly NAO index in CTRL and the SSW ensembles.	
825		(b) Difference in the histograms for the SSW ensembles relative to CTRL.	49
826	13	Near surface (a) temperatures and (b) winds for the 30 days following a sudden warming in the CMIP-5 multi-model mean composites. The filled contours are as in Figs. 1 and 11. (c) Area-averaged temperatures for three regions shown in the map for each CMIP-5 model in the multi-model ensemble, the two SSW ensembles, and the ERA-Interim composite. Confidence intervals (at 95%) are shown only for the SSW ensembles and ERA-Interim composite for clarity. The multi-model mean response for each region is shown by the dotted line.	50
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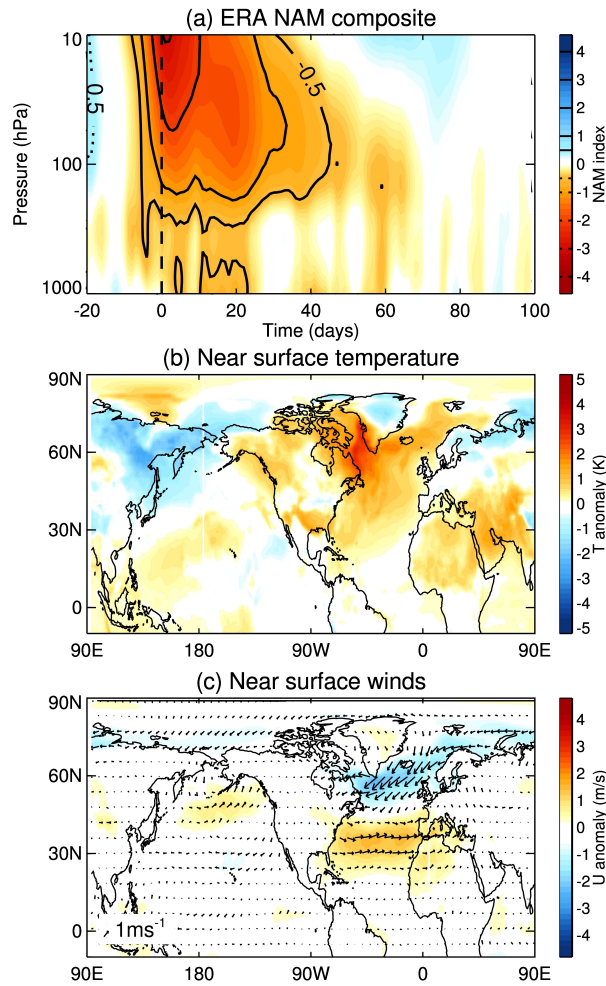


FIG. 1. (a) Composite of the NAM index following the 22 sudden warmings identified in the ERA Interim reanalysis in the 33 winters between 1979/1980 and 2011/2012. Composite of (b) 2 m temperature and (c) 10 m wind anomalies for the 30 days following the warmings. The shading in (c) shows the zonal component of the wind.

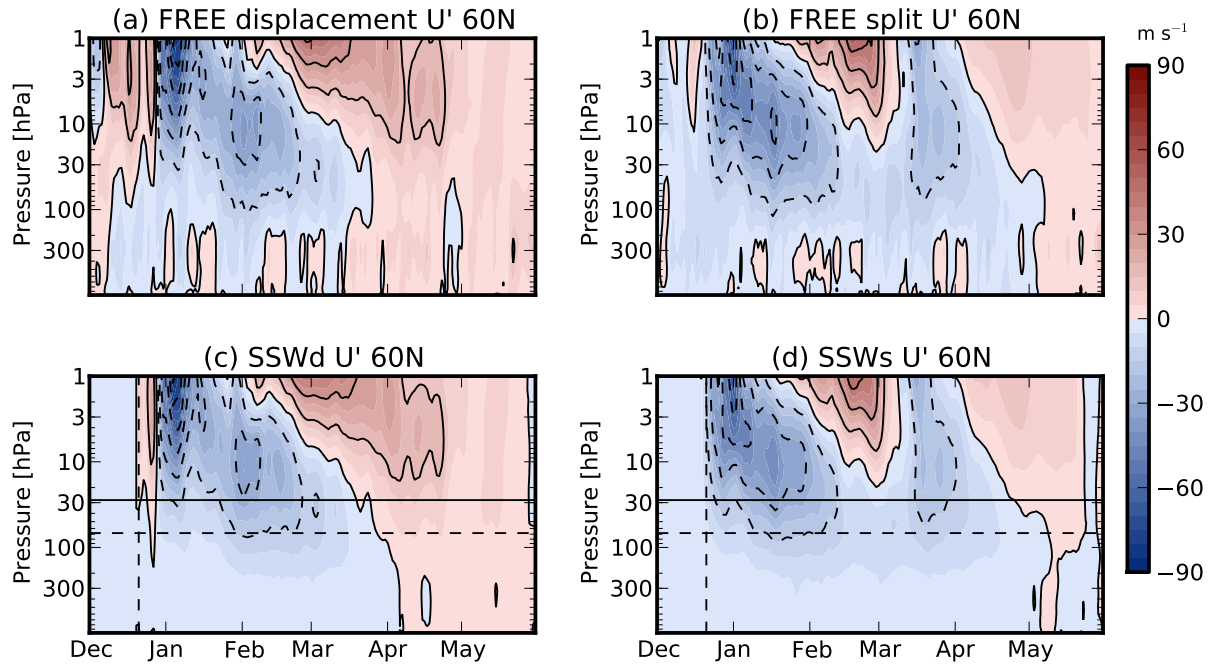


FIG. 2. Zonal mean zonal winds at 60°N in (a,b) the FREE reference events and (c,d) the SSW ensembles. The contours are at intervals of 10 m s^{-1} . In panels (c) and (d), the lower boundary of the nudging region is indicated by the dashed horizontal lines, and height at which the nudging reaches full strength by the solid horizontal lines. The reference date, 21 December, when the nudging in the SSW ensemble starts to force towards the instantaneous state of the FREE event, is indicated by the vertical lines.

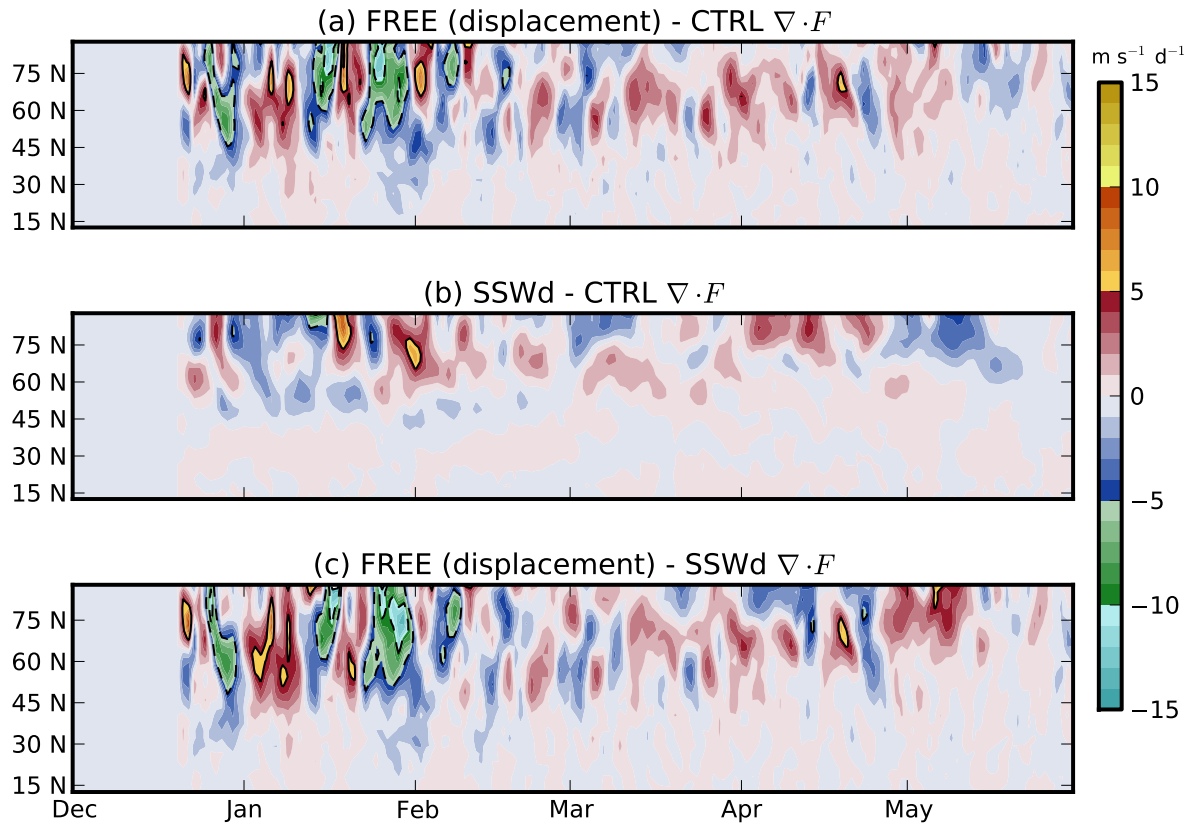


FIG. 3. Anomalous zonal mean wave driving (the acceleration due to EP Flux divergence of both resolved and unresolved waves) integrated in a mass-weighted sense from 100 hPa to 1 hPa, for (a) the FREE displacement event, (b) the SSW displacement ensemble and (c) the difference between the two. In (a) and (b) the anomalies are defined relative to the CTRL integration.

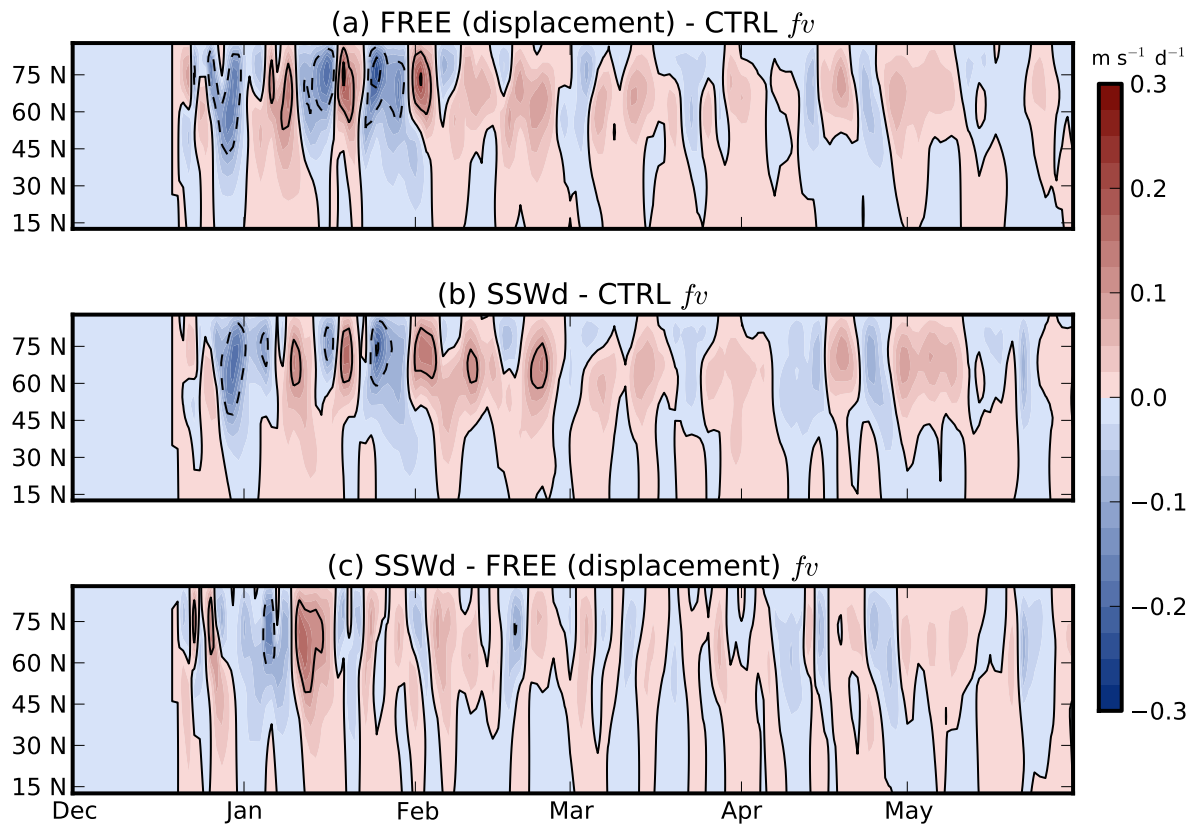


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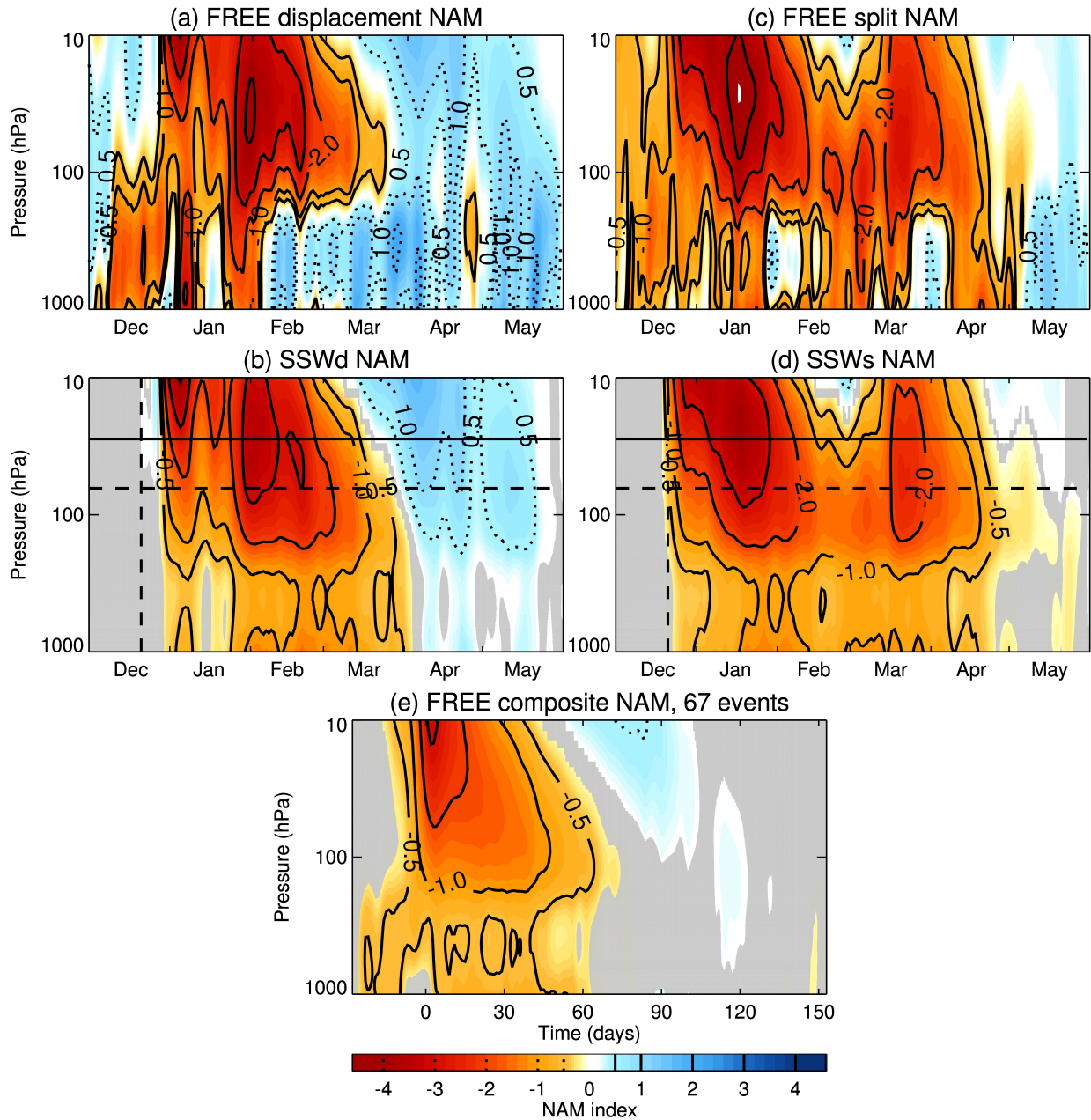


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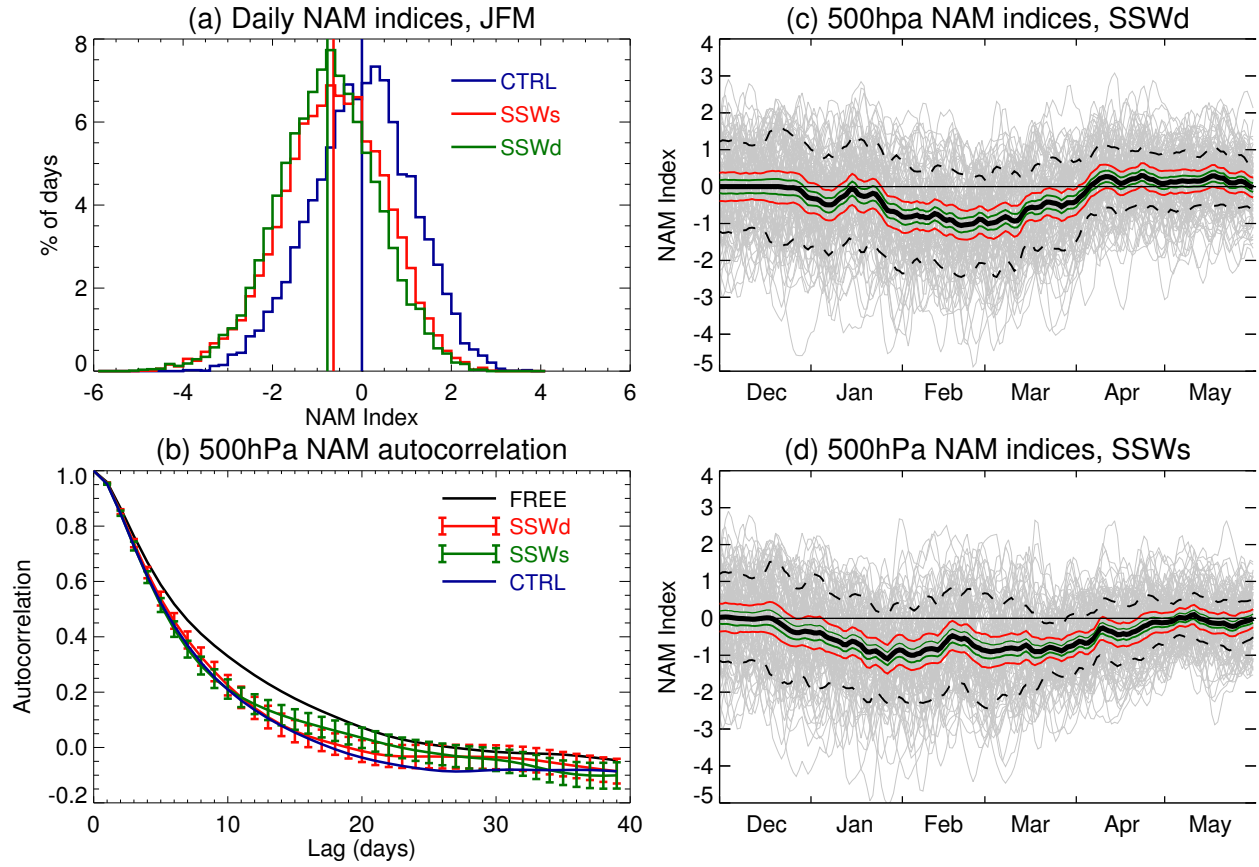


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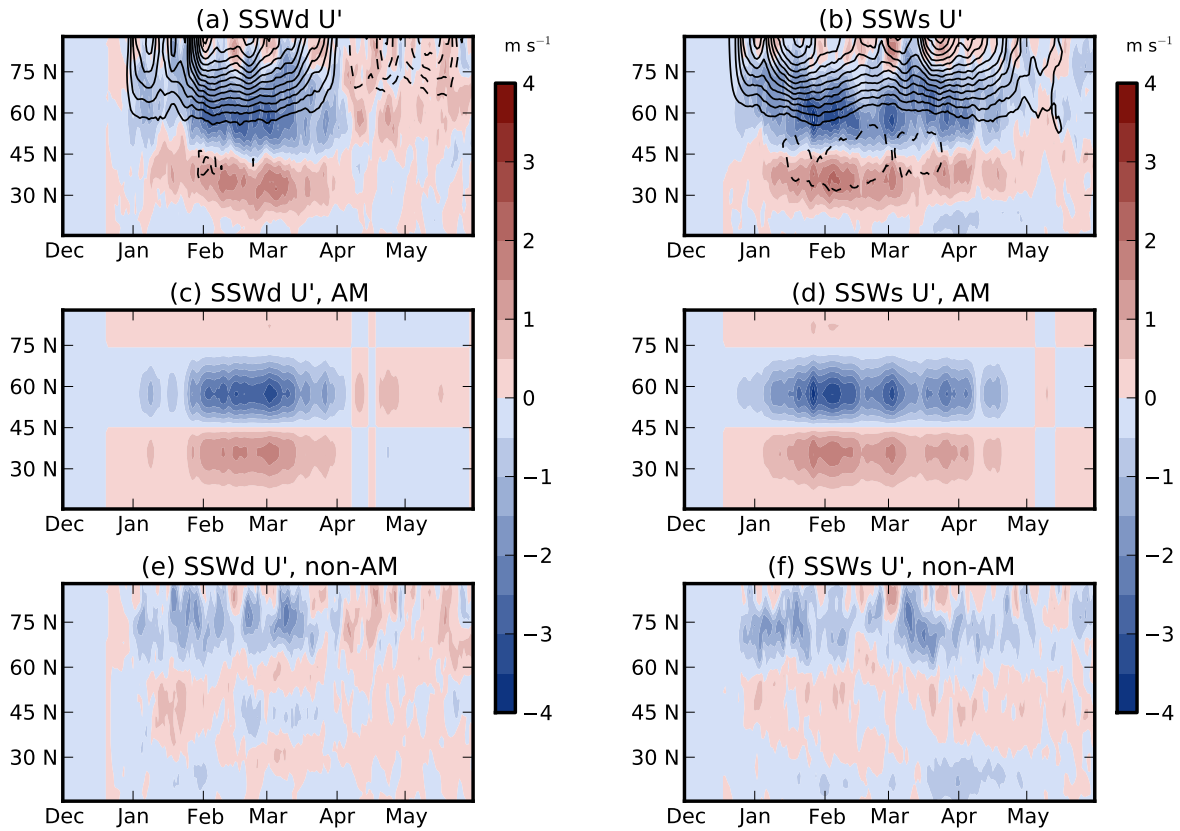


FIG. 7. Zonal mean zonal wind anomalies at 700 hPa (filled contours) and zonal mean temperature anomalies at 200 hPa (contours, 1 K interval) in (a) the SSWd ensemble and (b) the SSWs. (c, d) The same zonal wind anomalies projected onto the leading EOF of the zonal wind at 700 hPa in the FREE event (using data from December through May). (e, f) The difference between the full anomaly field and the projection onto the leading EOF.

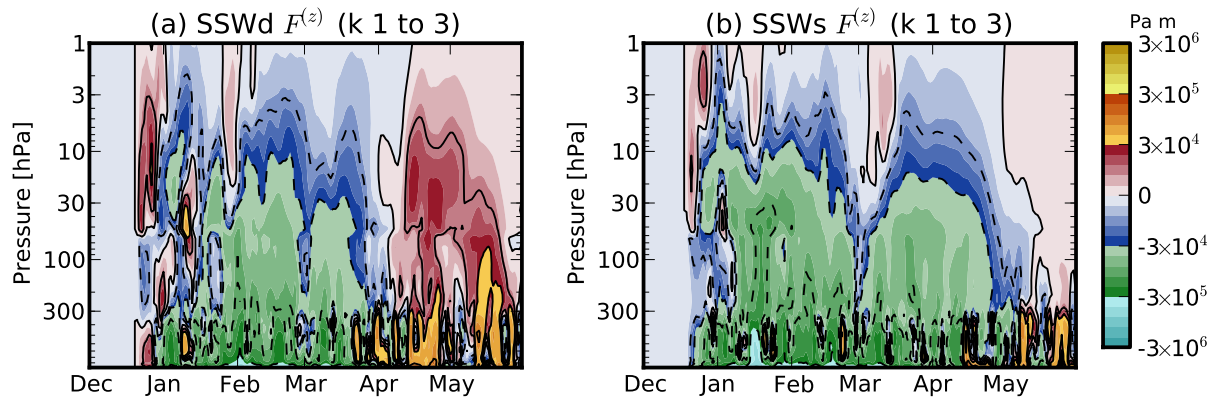


FIG. 8. Vertical component of the anomalous EP flux, averaged in an area-weighted sense from 50° to 90° N, in (a) the SSWd ensemble and (b) the SSWs ensemble. In both cases anomalies are defined relative to the CTRL integration.

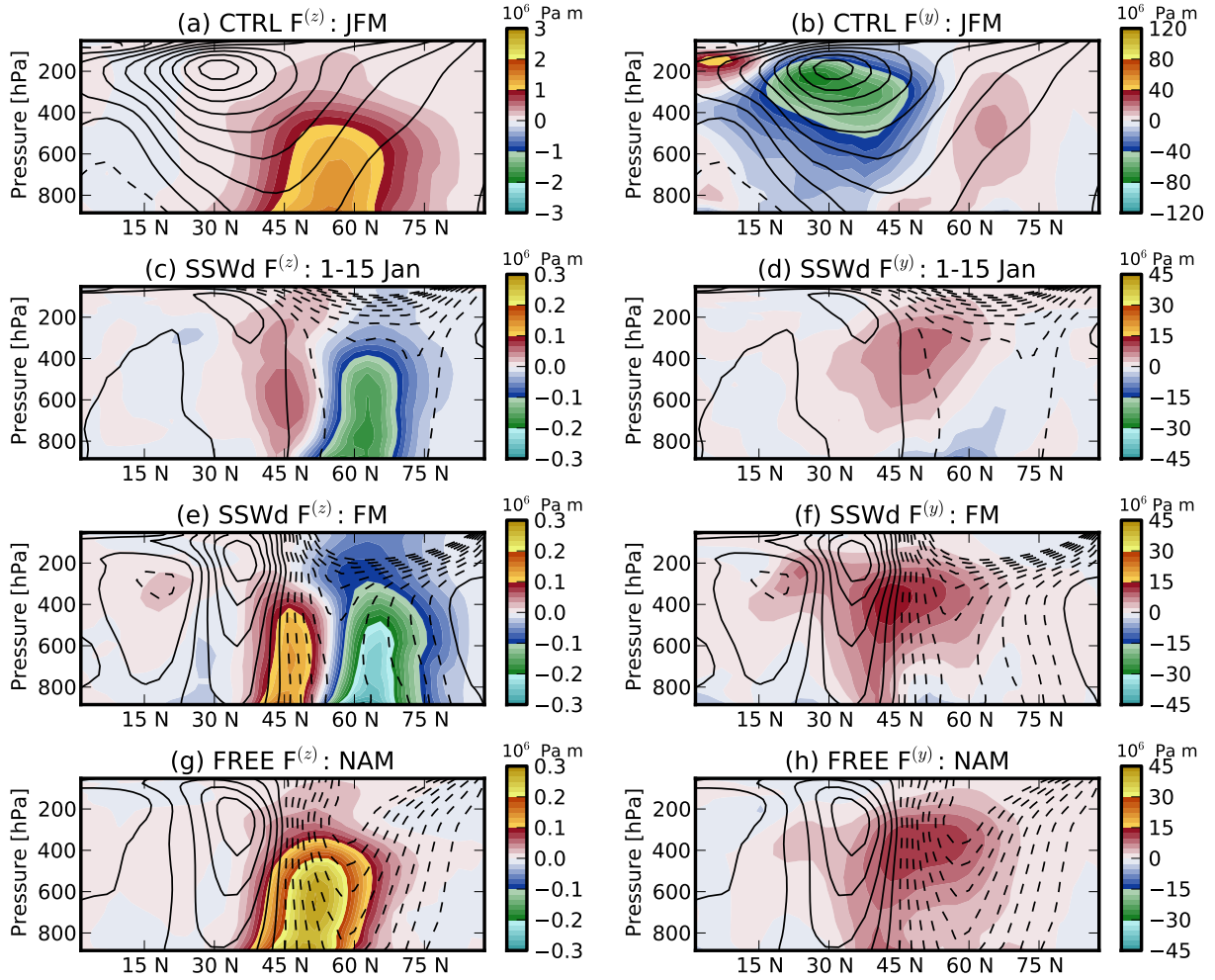


FIG. 9. (a, b) Planetary scale ($k = 1$ to 3) EP fluxes (filled contours) in the CTRL run for January through March. Zonal mean zonal winds (contour lines) over the same period, at intervals of 5 m s^{-1} . (c-f) Anomalous EP fluxes (filled contours) during (c,d) 1-15 January and (e,f) February and March in the SSWd ensemble. Zonal mean zonal wind anomalies (contour lines) over the same periods at intervals of 0.5 m s^{-1} are also shown. (g,h) EP fluxes and winds regressed against the NAM index at 300 hPa, scaled by the magnitude of the FM NAM response in SSWd at 300 hPa (see text for details). Panels (a,c,e,g) show the vertical component of the flux, while (b,d,f,h) show the meridional component.

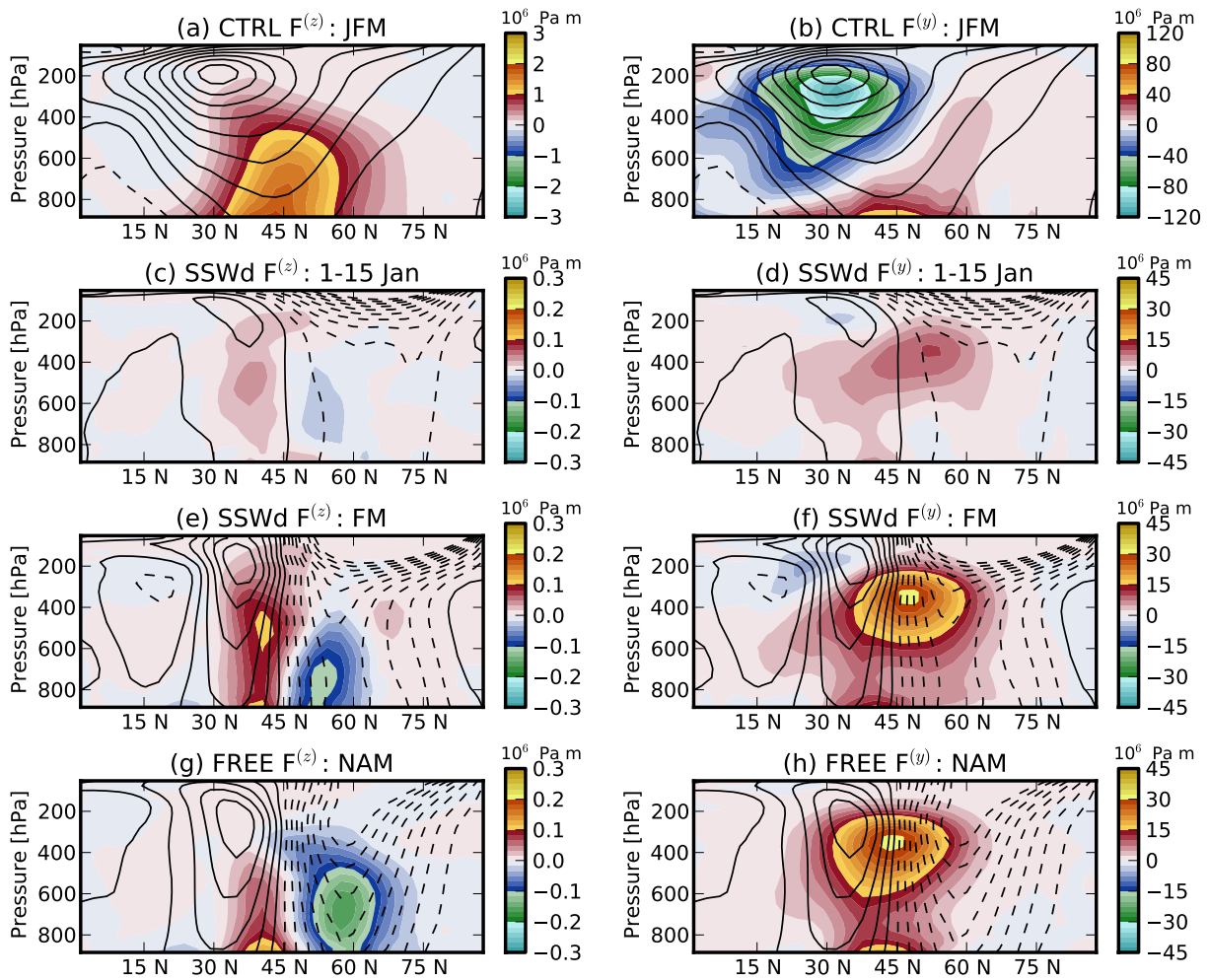
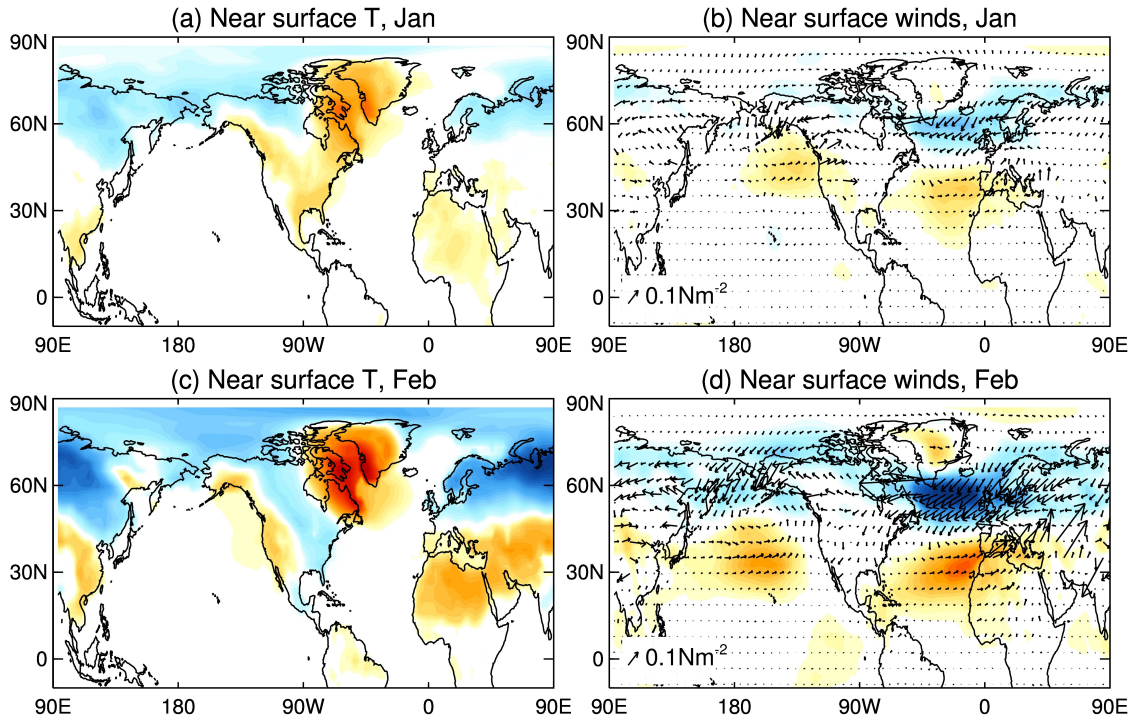


FIG. 10. Same as Fig. 9 but for synoptic scale and smaller eddies ($k > 3$).

SSWs - CTRL



SSWd - CTRL

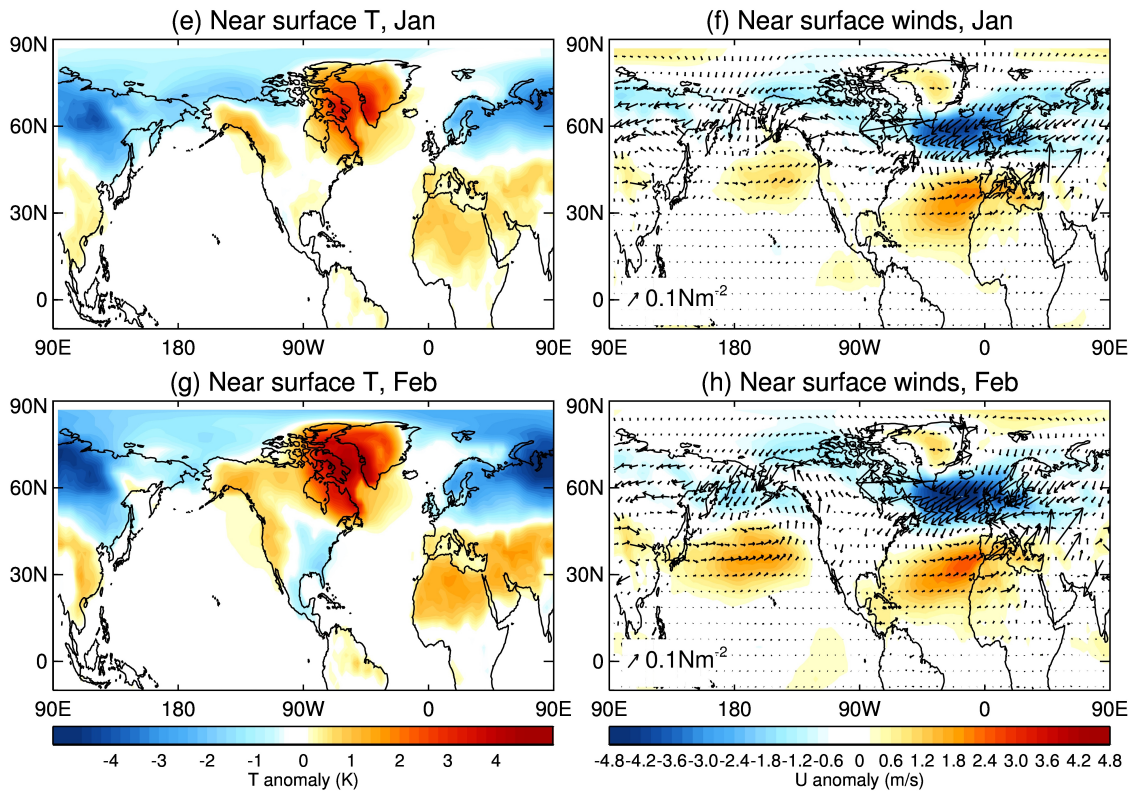


FIG. 11. Composites of near surface temperature (left) and winds (right) for SSWs-CTRL in (a,b) January and (c,d) February, and for SSWd-CTRL in (e,f) January and (g,h) February. Shown in the left panels is the 2 m temperature, and in the right panels the 10 m zonal wind (shading) and the surface wind stress (vectors). The contours are the same as those in Fig. 1(b,c).

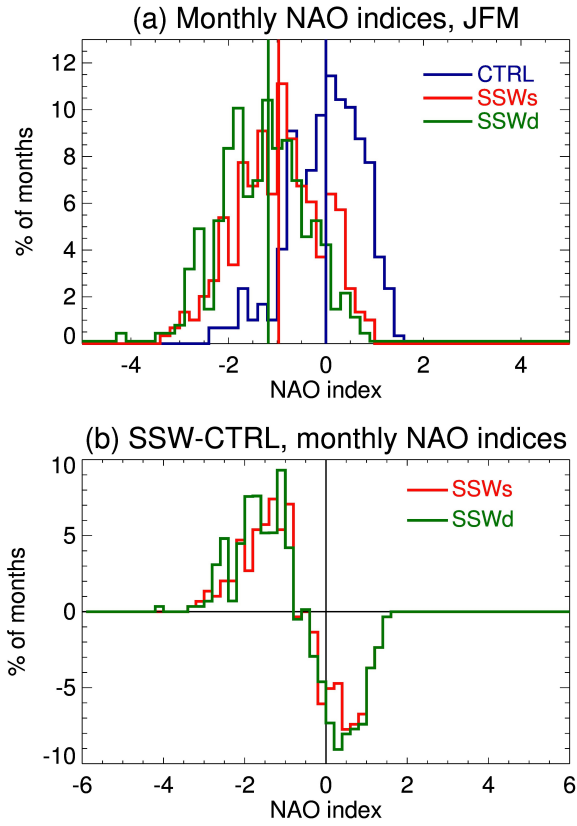


FIG. 12. (a) Histogram of the monthly NAO index in CTRL and the SSW ensembles. (b) Difference in the histograms for the SSW ensembles relative to CTRL.

CMIP-5 multi-model mean, SSW composite

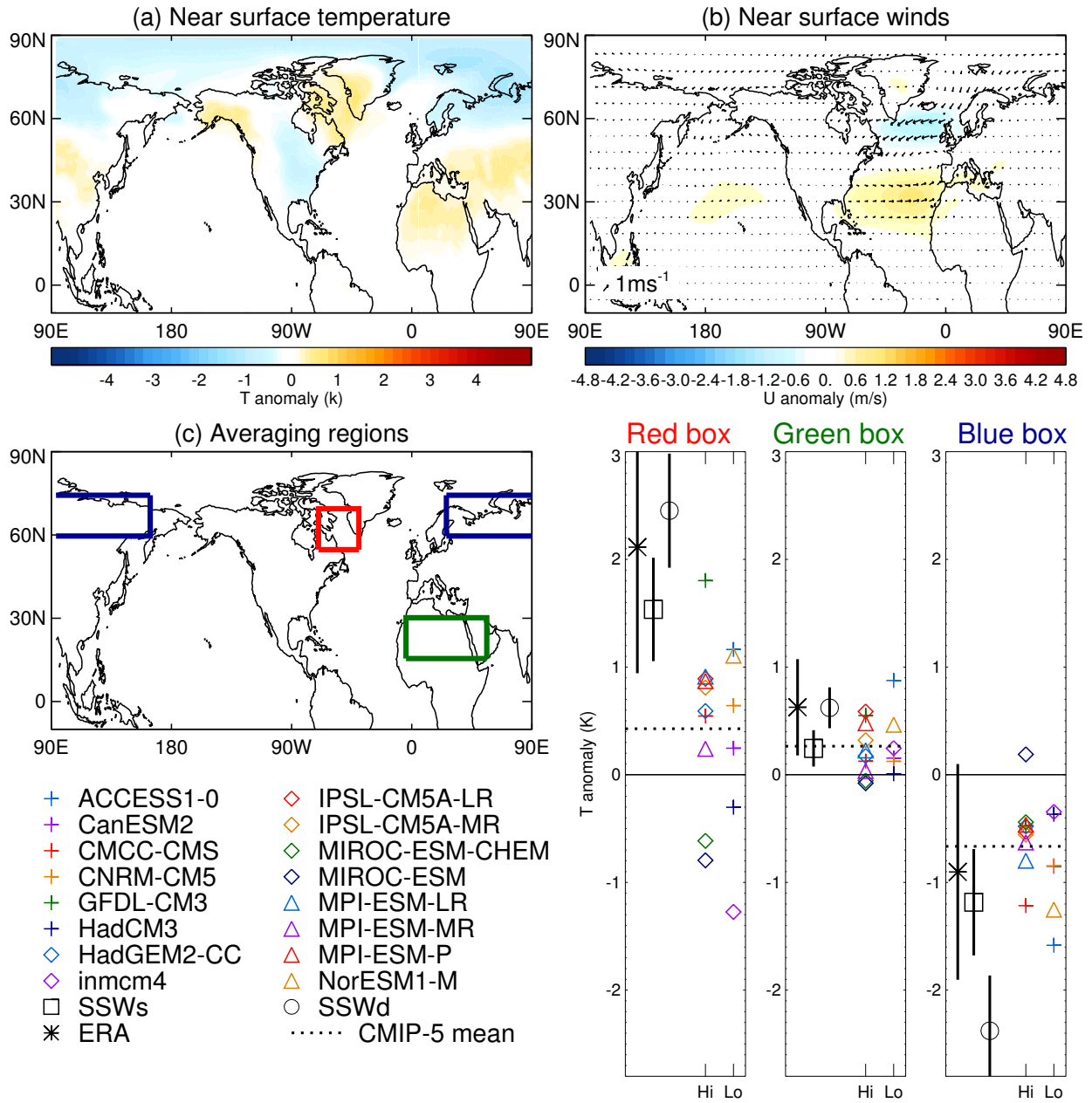


FIG. 13. Near surface (a) temperatures and (b) winds for the 30 days following a sudden warming in the CMIP-5 multi-model mean composites. The filled contours are as in Figs. 1 and 11. (c) Area-averaged temperatures for three regions shown in the map for each CMIP-5 model in the multi-model ensemble, the two SSW ensembles, and the ERA-Interim composite. Confidence intervals (at 95%) are shown only for the SSW ensembles and ERA-Interim composite for clarity. The multi-model mean response for each region is shown by the dotted line.