



# *In vitro fermentation and prebiotic potential of selected extracts from seaweeds and mushrooms*

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1 ***In vitro* fermentation and prebiotic potential of selected extracts from**  
2 **seaweeds and mushrooms**

3

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26 **Abstract**

27 Extracts with prebiotic activity or bioactive compounds from natural sources such  
28 as seaweeds or mushrooms, combining a broad spectrum of biological properties,  
29 may offer great potential for their use as functional ingredients enabling intestinal  
30 microbiota modulation. In this context, selected enzymatic extracts from  
31 *Sargassum muticum*, *Osmundea pinnatifida* and *Pholiota nameko* were evaluated  
32 *in vitro*. Faecal fermentations were conducted anaerobically under controlled  
33 temperature and pH over 24 h. Enzymatic extracts of *Ph. nameko* and of *O.*  
34 *pinnatifida* at 1% (w/v), lead to increases in *Bifidobacterium* spp. after 6 h of  
35 fermentation in comparison to negative control, suggesting a stimulatory effect.  
36 No significant changes over 24 h were observed of *Lactobacillus* spp. In  
37 particular, the *Ph. nameko* extract obtained with Flavourzyme not only stimulated  
38 growth and/or activity of *Bifidobacterium* spp. but also led to a decrease of  
39 *Clostridium histolyticum* group upon 24 h, thus potentially benefiting colonic  
40 health. Higher percentages of this extract (2 and 3%) impaired a *C. histolyticum*  
41 reduction confirming this selective action and prebiotic potential. Differences in  
42 short chain fatty acids (SCFA) and lactic acid production between the four extracts  
43 may indicate a potential relationship between their physico-chemical properties,  
44 which differ in composition and structures, and modulation of gut bacterial  
45 species.

46 **Keywords:** Seaweeds, mushrooms, enzymatic extracts, *fluorescence in situ*  
47 *hybridization (FISH)*, prebiotic activity

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51 **1. Introduction**

52 Edible seaweeds and mushrooms are an excellent source of bioactive  
53 compounds (Pádua, Rocha, Gargiulo, & Ramos, 2015; Ruthes, Smiderle, &  
54 Iacomini, 2016) and therefore research into the biological potential of enzymatic  
55 extracts of seaweeds *S. muticum* and *O. pinnatifida* and of mushroom *Ph.*  
56 *nameko* to be explored within the functional food perspective, were selected for *in*  
57 *vitro* fermentation study to consolidate their prebiotic potential (Rodrigues et al.,  
58 2015,2016).

59 Prebiotics are defined as substrates that improve the host health by selectively  
60 stimulating the growth and/or metabolic activity of one or a limited number of  
61 beneficial bacteria in the colon (Roberfroid et al., 2010). The potential prebiotic  
62 effect of the selected extracts has been evaluated by comparison with  
63 fructooligosaccharides (FOS), the gold standard in comparison studies, using pure  
64 cultures (*Lactobacillus acidophilus* La5; *Bifidobacterium animalis* BB12)  
65 (Rodrigues et al., 2015,2016). The human gastrointestinal tract represents a  
66 complex ecosystem where the available nutrients and the diverse microbiota will  
67 influence changes within the community (Roberfroid et al., 2010). Hence, to  
68 assess the possible importance that colonic catabolism of these extracts may  
69 have on human gut microbiota, *in vitro* batch culture fermentation experiments  
70 conducted with faecal inoculum from healthy volunteers, are in order to observe  
71 changes in the main bacterial groups present within (Eid et al., 2014; Sánchez-  
72 Patán et al., 2012). The human colon is considered the most metabolically active  
73 site in the human body with over 1000 species of microorganisms reaching up to  
74  $10^{12}$ - $10^{13}$  bacteria per gram dry weight (Roberfroid et al., 2010). To study this  
75 diverse community pH controlled, anaerobic faecal batch cultures enable

76 assessment of the fermentability of substrates in the intestinal lumen, whilst  
77 simulating the conditions in the human distal colon (Bergillos-Meca, Costabile,  
78 Walton, Moreno-Montoro, Ruiz-Bravo, & Ruiz-López, 2015). A growing body of  
79 evidence suggests that the gut microbiota impacts on a wide range of host  
80 metabolic pathways, barrier function and immune modulatory function influencing  
81 the prevention and risk of a wide range of diseases, including inflammatory bowel  
82 disease, diarrhoea and colorectal cancer. Much of this impact is mediated through  
83 diet and the consumption of specific health-related foods, justifying the constant  
84 need to modulate diet or identify compounds that can positively modify the gut  
85 microbiota (Gibson, Scott, Rastall, & Tuohy, 2010).

86 Research has been focused on 'prebiotics', and in particular the ability of certain  
87 types of dietary fibre, especially indigestible oligosaccharides, to stimulate the  
88 growth of and/or activity of beneficial gut bacteria such as bifidobacteria and  
89 lactobacilli while retarding the development of *C. histolyticum*, leading to a  
90 concomitant positive effect on colonic health (Gibson et al., 2010; Aida, Shuhaimi,  
91 Yazid & Maaruf, 2009). Better understanding of the benefits of prebiotics has  
92 urged a need to search for and develop new and alternative sources of prebiotics.  
93 According to Zaporozhets et al. (2014), the prebiotic activity of extracts or of  
94 polysaccharides from marine seaweeds, combined with a broad spectrum of  
95 biological properties, evidences great potential for their use as functional nutrition  
96 ingredients enabling modulation of intestinal microbiota and of gastrointestinal  
97 tract (GIT) inflammation as well as normalization of the immune system. Therefore  
98 the main objective in this study was to evaluate the potential of the digested  
99 (closely simulating physiological conditions) seaweed and mushroom extracts  
100 containing different polysaccharide and oligosaccharide structures on gut

101 microbial ecology. To our best knowledge there are no studies regarding the  
102 impact of water-based enzyme-assisted extracts for seaweeds *S. muticum*, *O.*  
103 *pinnatifida* or mushroom *Ph. nameko* on gut microbial ecology confirm and  
104 consolidate the biological potential of these selected extracts, for their application  
105 as functional food and bioactive ingredient sources.

106

## 107 **2. Material and methods**

### 108 2.1. Selected seaweeds and mushrooms extracts

109 In this study water-based enzyme-assisted extracts from two seaweeds and one  
110 mushroom were selected following demonstration of potential prebiotic effect with  
111 pure cultures (Rodrigues et al., 2015,2016). Selected extracts included extracts of  
112 *S. muticum* obtained with Alcalase, *O. pinnatifida* obtained with Viscozyme and  
113 *Ph. nameko* obtained with Cellulase and with Flavourzyme. For each extract, 1g  
114 of dried mushroom or 2 g of dry seaweed was dispersed in 50 mL of deionised  
115 water and incubated in an agitated water bath for 10 min. After adjusting pH to  
116 specific enzyme optimum conditions (Alcalase: pH=8 – 50 °C; Flavourzyme:  
117 pH=7.0 – 50 °C; Cellulase: pH=4.5 – 50 °C; Viscozyme® L: pH=4.5 – 50 °C; All  
118 enzymes were obtained from Sigma-Aldrich), 100 mg of enzyme was added and  
119 incubated for enzymatic hydrolysis for 24h at 50 °C. The enzymatic reaction was  
120 stopped by heating the sample at 90-100 °C for 10 min followed by immediate  
121 cooling in an ice bath. The pH of enzymatic extracts was adjusted to pH 7.0 with  
122 1M HCl and/or NaOH and then centrifuged, filtered and freeze-dried according to  
123 Rodrigues et al. (2015, 2016).

124

### 125 2.2. *In vitro* fermentation by human gut microbiota

126 Samples of the selected extracts were submitted to three consecutive steps: 1)  
127 Simulated gastrointestinal digestion; 2) Faecal batch-culture fermentation and, 3)  
128 Bacterial enumeration using FISH.

#### 129 2.2.1. Simulated gastrointestinal digestion

130 To simulate the digestion of the selected seaweed and mushroom extracts  
131 through the gastrointestinal tract and therefore evaluate the main effects of the  
132 digested extracts on human microbiota, samples were treated according to Mills  
133 et al. (2008), with slight alterations. Water (25 mL) was added to 10 g of  
134 lyophilized extract, and the mixture was stomached (Seward, UK) for 5 min using  
135 200 paddle-beats per min. The extract solution was then mixed with  $\alpha$ -amylase  
136 (A4551, Sigma; 3.33 mg) in  $\text{CaCl}_2$  (0.001 M, pH 7.0; 1.04 mL) and incubated at 37  
137 °C for 30 min and at 130 rpm in a shaker. Afterwards, the pH was decreased to  
138 2.0 with 6 M HCl and pepsin (P 7000, Sigma; 0.45 g) dissolved in HCl (0.1 M;  
139 4.16 ml) was added. The sample was incubated at 37 °C for 2 h and at 130 rpm in  
140 a shaker. After this period, the pH was increased to 7 with 6 M NaOH, and  
141 pancreatin (P 8096, Sigma; 0.93 g) and bile (B 8631, Sigma; 0.58 g) in  $\text{NaHCO}_3$   
142 (0.5 M; 20.8 mL) were added. The extract solution was then incubated at 37 °C  
143 and at 45 rpm in a shaker for 3 h and afterwards transferred to 1 kDa molecular  
144 weight cut-off regenerated cellulose dialysis tubing (Spectra/Por® 6, Spectrum  
145 Europe, Netherlands) and dialyzed against NaCl 0.01 M at 5 °C, to remove low  
146 molecular mass digestion products. After 15 h the NaCl dialysis fluid was changed  
147 and dialysis continued for an additional 2 h. Afterwards the digested samples  
148 were frozen at -80 °C and lyophilized in a freeze dryer (Armfield SB4 model,  
149 Ringwood, UK). All chemicals were purchased from Sigma (St Louis, USA).

#### 150 2.2.2. Faecal batch-culture fermentation



151 Three independent fermentation experiments were carried out. Faecal samples  
152 were obtained fresh at the premises of the Department of Food and Nutritional  
153 Sciences from 3 apparently healthy adult volunteers who ingested a normal diet,  
154 had not ingested any antibiotics for at least 6 months and were not regular  
155 consumers of pre or probiotics. Samples were collected into sterile vials and kept  
156 in an anaerobic cabinet and used within 30 min of collection. A 1/10 (w/w) dilution  
157 in phosphate buffer saline (PBS) was prepared and homogenized using a  
158 stomacher (Serward, Worthing, UK) for 2 min at 460 paddle-beats per min.

159 Sterile stirred batch culture fermentation vessels (50 mL working volume) were set  
160 up and aseptically filled with 45 mL sterile, pre-reduced, basal medium [peptone  
161 water 2 g/L, yeast extract 2 g/L, NaCl 0.1 g/L, K<sub>2</sub>HPO<sub>4</sub> 0.04 g/L, KH<sub>2</sub>PO<sub>4</sub> 0.04 g/L,  
162 MgSO<sub>4</sub>·7H<sub>2</sub>O 0.01 g/L, CaCl<sub>2</sub>·6H<sub>2</sub>O 0.01 g/L, NaHCO<sub>3</sub> 2 g/L, Tween 80 2 mL/L  
163 (BDH, Poole, UK), Hemin 0.05 g/L, vitamin K1 10 µL/L, L-cysteine-HCl 0.5 g/L,  
164 bile salts 0.5 g/L, pH 7.0] and gassed overnight with O<sub>2</sub>-free N<sub>2</sub> (15 mL/min) with  
165 constant agitation. All media and chemicals were purchased from Oxoid  
166 (Basingstoke, UK) and Sigma (St Louis, USA). The temperature was kept at 37 °C  
167 and pH was controlled between 6.7 and 6.9 using an automated pH controller  
168 (Fermac 260, Electrolab, Tewkesbury, UK), which added acid or alkali (0.5 M HCl  
169 and 0.5 M NaOH) in order to mimic conditions that resemble the distal region of  
170 the human large intestine (Sánchez-Patán et al., 2012).

171 Six stirred pH-controlled batch fermenters were run in parallel. The different  
172 digested extracts (1% w/v) were aseptically added to four vessels, the other two  
173 vessels were used as controls. For the positive control vessel 1% (w/v) of FOS  
174 (95% oligofructose, 0.5 kDa dextran with 25% α-1,2 branching, 1 kDa dextran with  
175 32% α-1,2 branching; Orafti®P95, Oreye, Belgium) was used. To the negative

176 control vessel no source of carbon was added. The experiment was performed in  
177 triplicate, using one faecal sample given by a different donor for each run of six  
178 batch fermenters. Each vessel, with 45 mL sterile medium and digested extract,  
179 was inoculated with 5 mL of fresh faecal slurry (1/10 w/w). The batch cultures  
180 were run under anaerobic conditions for a period of 24 h, during which 5 mL  
181 samples were collected from each vessel at 0, 6, 12 and 24 h for FISH and  
182 analysis of lactic acid and short chain fatty acids (SCFA). For this latter analysis,  
183 samples were stored at -70 °C until required.

184 In order to assess the effect of the addition of 2 and 3% of digested extracts of *O.*  
185 *pinnatifida* obtained with Viscozyme and of *Ph. nameko* obtained with  
186 Flavourzyme, faecal batch-culture fermentations were repeated, in triplicate,  
187 under similar conditions but non-pH controlled and at lower volumes (10 mL) and  
188 for a period of 12 h.

### 189 2.2.3. Bacterial enumeration using FISH.

190 To assess differences in bacterial composition, FISH was used with  
191 oligonucleotide probes designed to target specific diagnostic regions of 16S rRNA  
192 based on the method described by Daims, Stoecker, & Wagner (2005). A total of  
193 6 different probes commercially synthesized and 5'-labelled with the fluorescent  
194 dye (Sigma Aldrich, St Louis, USA) were used in addition to an overall stain with  
195 4,6-diamidino-2-phenylindole (DAPI), which measures all cells by staining DNA  
196 (Harmsen, Wildeboer-Veloo, Grijpstra, Knol, Degener, & Welling, 2000a; Harmsen  
197 et al., 2000b).

198 Samples (375 µL) obtained from each vessel and sampling time were fixed for a  
199 minimum of 4 h (4 °C) in 1125 µL 4% (w/v) paraformaldehyde. Fixed cells were  
200 centrifuged at 13,000 g for 5 min and washed twice in 1 mL filtered sterilized PBS.

201 The washed cells were re-suspended in 150  $\mu$ L filtered PBS and stored in 150  $\mu$ L  
202 ethanol (99%) at -20  $^{\circ}$ C until further processing. Samples were then diluted in a  
203 suitable volume of PBS in order to obtain countable fluorescent cells in each field  
204 of view and 20  $\mu$ L of the above solution was added to each well of a 6 well  
205 PTFE/poly-L-lysine coated slide (Tekdon Inc., Myakka City, USA). The samples  
206 were dried for 15 min in a drying chamber (46  $^{\circ}$ C).

207 To permeabilize cells for use with probes Bif164 and Lab 158 (Table 1), samples  
208 were treated with 20  $\mu$ L of lysozyme at room temperature for 15 min before being  
209 washed briefly in water. Slides were dehydrated, using an alcohol series (50, 80  
210 and 96% (v/v) ethanol) for 3 min in each solution. Slides were returned to the  
211 drying oven for 2 min to evaporate excess ethanol before adding the hybridization  
212 mixture to each well [(0.9 M NaCl, 0.02 M Tris/HCl (pH 8.0), 0.01% sodium  
213 dodecyl sulphate and 4.55 ng/mL probe]. For probes EUB338 I-II-III, the  
214 hybridization mixture contained formamide [(0.9 M NaCl, 0.02 M Tris/HCl (pH 8.0),  
215 35% formamide, 0.01% sodium dodecyl sulphate and 4.55 ng/mL probe].  
216 Hybridization occurred for 4 h in a microarray hybridization incubator (Grant-  
217 Boekel, Cambridge, UK). After hybridization, slides were washed in 40 mL  
218 washing buffer [0.9 M NaCl and 0.02 M Tris/HCl (pH 8.0)], and 0.005 M  
219 ethylenediaminetetraacetic acid for the EUB338 I-II-III probes with 20  $\mu$ L nucleic  
220 acid stain 4', 6-diamidino-2- phenylindole (DAPI; 50 ng/ $\mu$ L) for 15 min. They were  
221 then dipped in cold water for a few seconds and dried with compressed air. Five  
222 microlitres of polyvinyl alcohol mounting medium with 1,4-  
223 diazabicyclo(2,2,2)octane (DABCO) was added onto each well and a cover slip  
224 was placed on each slide (20 mm, thickness No 1, VWR, Lutterworth, UK). Slides  
225 were examined by epifluorescence microscopy (Eclipse 400, Nikon, Surrey, UK)

226 using the Fluor 100 lens. For each well, 15 fields with a maximum of 300 positive  
227 cells were counted.

#### 228 2.2.4. Lactic acid and SCFA analysis

229 Samples were collected from each batch culture at each sampling point (0, 6, 12  
230 and 24 h) and frozen at -70 °C until required. Samples were assessed for lactic  
231 acid and SCFA (acetic, propionic, butyric, isobutyric and isovaleric acids) using an  
232 HPLC apparatus from Merck LaChrom (Fullerton CA, USA), in a single run, based  
233 on calibration curves previously prepared with appropriate chromatographic  
234 standards; an Aminex HPX-87X cation exchange column from BioRad (Richmond  
235 CA, USA) was used for separation; the eluant was pumped at 0.8 mL/min and  
236 consisted of 13 mM H<sub>2</sub>SO<sub>4</sub> (Merck); and detection was by UV absorbance at 220  
237 nm. Prior to analysis, samples were defrosted, centrifuged (13,000 g for 10 min at  
238 4 °C) and filtered through a 0.22-µm membrane filter (Millipore, USA) to remove  
239 all particulate matter.

#### 240 2.3. Statistical Analysis

241 A paired Student's *t* test was used to test for significant differences in the bacterial  
242 group populations between extracts and controls as well as for time *in vitro*  
243 fermentation experiments by human gut microbiota. All data of bacterial  
244 populations (Log<sub>10</sub> cell/mL) are expressed as average of three replicates (donors)  
245 plus or minus standard deviation, justifying the high variability reported in several  
246 cases.

247

### 248 **3. Results and discussion**

249 3.1. Modulation of intestinal microbiota by seaweed and mushroom digested  
250 extracts.

251 Assessment of the prebiotic potential of bioactive compounds or extracts by *in*  
252 *vitro* fermentation with human faecal microbiota provides a cost-effective and  
253 rapid alternative to assess the fermentation and modulation capacity of different  
254 substrates on a laboratory scale comparative basis (Gullon, Gullon, Tavaría,  
255 Pintado, Gomes, Alonso, & Parajo, 2014). It is important to note that before  
256 performance of *in vitro* fermentations care was taken to submit each extract to  
257 simulated gastrointestinal digestion because resistance to gastric acidity and  
258 hydrolysis by mammalian enzymes are limiting factors that have to be assured in  
259 order to enable the substrate to reach the colon and be fermented by intestinal  
260 microbiota, meeting the pre-requisite for a prebiotic effect or gut modulation effect.  
261 According to Gibson et al. (2010) any dietary material that is non-digestible and  
262 enters the large intestine is a candidate prebiotic. The few studies that have  
263 evaluated the prebiotic potential of seaweed polysaccharides using *in vitro*  
264 fermentation (laminarin and low molecular weight polysaccharides from agar and  
265 alginate) did not undergo previous gastrointestinal digestion, hindering analysis of  
266 true effectiveness of intact compounds (Devillé, Gharbi, Dandriofosse, & Peulen,  
267 2007; Ramnani et al., 2012). During the experimental time course (0, 6, 12 and 24  
268 h) of the *in vitro* fermentation of the digested seaweed and mushroom extracts at  
269 1% (w/v) changes in the different bacterial populations and accumulation of lactic  
270 acid and SCFA (acetic, propionic, butyric acids) were assessed. For comparative  
271 purposes, the same experimental strategy was used with the well-established  
272 prebiotic FOS (positive control) and with medium without carbon source present  
273 (negative control) (Fig. 1).

274 FISH was used to monitor the modifications among populations of selected  
275 bacterial species caused by the different digested *S. muticum*, *O. pinnatifida* and

276 *Ph. nameko* enzymatic extracts added at 1%, on a comparative basis. Depending  
277 on the bacterial group different effects were observed. Both enzymatic extracts of  
278 *Ph. nameko* and that of seaweed *O. pinnatifida* obtained with Viscozyme lead to  
279 overall increases in *Bifidobacterium* spp. populations as compared to the negative  
280 control between 6 and 24 h of fermentation, confirming a stimulatory effect (Fig.  
281 1.a). Highest shift was observed for medium containing *Ph. nameko* extract  
282 obtained with Flavourzyme raising bifidobacterial counts from  $8.06 \pm 0.66 \text{ Log}_{10}$   
283 cell/mL at 0h to  $8.49 \pm 0.06 \text{ Log}_{10}$  cell/mL at 24 h ( $p=0.391$ ) in comparison to the  
284 negative control at 24 h ( $p=0.021$ ). Evidence for a potential prebiotic effect for  
285 pure culture of *B. animalis* BB12 was observed for undigested *Ph. nameko*  
286 enzymatic extracts (Rodrigues et al., 2016). The positive control FOS, did  
287 however induce a higher increase in bifidobacterial numbers after 24 h of  
288 fermentation; from  $8.04 \pm 0.47$  at 0 h to  $8.98 \pm 0.13$  at 24 h ( $p=0.017$ ). Indeed a two-  
289 fold higher increase was observed for FOS ( $0.94 \text{ log}_{10}$ ) when compared to *Ph.*  
290 *nameko* extract obtained with Flavourzyme ( $0.43 \text{ log}_{10}$ ).

291 No significant lactobacilli populations changes were observed over the 24 h in  
292 comparison to the negative control for any of the four extracts tested at 1% except  
293 for FOS (Fig. 1.b). These results contrast with those reported in previous studies  
294 obtained with pure cultures of *L. acidophilus* La-5; significant higher values  
295 ( $p<0.05$ ) of viable cells were observed for the majority of culture media enriched  
296 with seaweed water-based extracts (Rodrigues et al., 2015) and with *Ph. nameko*  
297 extracts (Rodrigues et al., 2016) after 24 h of incubation in comparison to growth  
298 in media with glucose or FOS. Ramnani et al. (2012) also reported absence of  
299 effect on gut lactobacilli populations by low molecular weight polysaccharides  
300 from agar and alginate seaweeds.

301 The stimulation of growth and/or activity of beneficial gut bacteria such as  
302 *Bifidobacterium* by the digested extract of *Ph. nameko* obtained with Flavourzyme  
303 was associated with a decrease in numbers of the *C. histolyticum* after 24 h  
304 fermentation, in comparison to the negative control (Fig. 1.e). Such a change can  
305 be considered a concomitant positive effect on colonic health (Gibson et al., 2010;  
306 Aida et al., 2009). Furthermore, it can also be highlighted that although not  
307 statistically significant, the positive control FOS was associated with an increased  
308 number of cells of *C. histolyticum* after 12 and 24 h in comparison to the negative  
309 control (Fig. 1.e); in contrast, decreased numbers of *C. histolyticum* were  
310 observed in particular for the extracts of *O. pinnatifida*, *S. muticum* and *Ph.*  
311 *nameko* obtained with Flavourzyme. Although there is a clear difference in  
312 response between FOS and studied extracts, it must be mentioned that some  
313 authors have mentioned that an increase in *C. histolyticum* numbers may be a  
314 consequence of culture conditions rather than a specific effect mediated by the  
315 tested prebiotic compounds (Bergillos-Meca et al., 2015). Bergillos-Meca et al.  
316 (2015) reported such an increase in *C. histolyticum* numbers for both positive  
317 control (FOS) and for tested probiotic/prebiotic conditions which is not the case  
318 presented herein. The digested extract of *S. muticum* obtained with Alcalase  
319 seems to be the less promising of the tested extracts considering the absence of  
320 a positive shift for both the *Bifidobacterium* and lactobacilli groups and their  
321 positive influence on the *Clostridium* groups at 6 and 12 h (Fig 1.a,b and e).

322 The four digested extracts at 1% led to similar increases in numbers of total  
323 bacteria after 24 h fermentation in comparison to the negative control, which y  
324 reported a slight reduction in numbers by 24 h (Fig. 1.f). Furthermore, the four  
325 digested extracts at 1%, as well as the negative and positive controls, all led to a

326 decrease in numbers of the *Clostridium cocoides/E. rectale* group (Fig. 1.d), which  
327 is a major anaerobic population in the human gut. Statistically significant  
328 decreases ( $p < 0.05$ ) were observed for *S. muticum* extract alongside both negative  
329 and positive controls for *C. cocoides/E. rectale*.

330 *Bacteroides/Prevotella* population (*Bacteroides* group) showed an increase over  
331 the 24 h of fermentation for all the four digested extracts, being statistically  
332 significant for the *S. muticum* extract and for both extracts of *Ph. nameko* in  
333 comparison to the negative control or to the positive FOS which revealed no  
334 significant shift in numbers over the 24 h fermentation period (Fig. 1c). It is known  
335 that these genera vary greatly with the nature of the diet and while studies have  
336 revealed increased proportions of *Bacteroides* in vegetarians (Matijasic,  
337 Obermajer, Lipoglavsek, Grabnar, Avgustin, & Rogelj, 2014), or upon ingestion of  
338 resistant starch type 4 (Martinez, Kim, Duffy, Schlegel, and Walter, 2010), further  
339 studies have detected no alterations in *Bacteroides* upon ingestion of formula diet  
340 containing FOS and pea fibre (Benus et al., 2010) or upon blueberry drink  
341 consumption (Vendrame, Guglielmetti, Riso, Arioli, Klimis-Zacas, & Porrini, 2011).  
342 In contrast, in a study by Vulevic, Juric, Tzortzis, & Gibson (2013),  
343 galactooligosaccharide consumption by overweight adults led to a reduction in the  
344 *Bacteroides* population. Although *Bacteroides/Prevotella* populations increased  
345 with the addition of the digested extracts to the medium it is important to correlate  
346 shift in population with the production of SCFA. *Bacteroides* and *Prevotella*  
347 genera are organisms capable of using a very wide range of substrates and are  
348 major producers of propionic acid. As may be seen from data listed in Table 2 and  
349 discussed further, propionic production is significant by 24 h fermentation, and of  
350 the same order of magnitude, for the positive control FOS and for both the *Ph.*



351 *nameko* extracts, yet branched-chain fatty acids are reduced, which is concurrent  
352 with a decrease in protein fermentation and of positive influence as far as  
353 *Bacteroides* modulation is concerned.

354 In order to observe if increasing concentrations of the digested enzymatic  
355 seaweeds and mushroom extracts would have a higher impact on gut microbiota  
356 modulation, similar *in vitro* fermentations were repeated with 2 and 3% (w/v) of  
357 extracts of *O. pinnatifida* obtained with Viscozyme and of *Ph. nameko* obtained  
358 with Flavourzyme. The selection of these two extracts was based on the best  
359 prebiotic potential selectivity effect demonstrated within each group of extracts,  
360 seaweeds and mushroom. The respective results are displayed in Figure 2.

361 Interesting results were obtained for *Bifidobacterium* spp., *Lactobacillus* spp. and  
362 *C. histolyticum* group (Fig. 2.a, 2.b and 2.e), respectively; - an increase in the  
363 concentration of the digested extracts did not bring about a higher impact on  
364 *Bifidobacterium* spp. abundance and increases in population numbers were  
365 similar between digested extracts and the positive control FOS in comparison to  
366 the negative control which registered no alteration over 12 h fermentation; -  
367 abundance in the lactobacilli group was significantly increased with 2 and 3%  
368 digested extracts in comparison to the digested extracts at 1% fermentation (Fig.  
369 1.b) where no significant increases had been observed for the extracts; - higher  
370 increases in lactobacilli populations, although not statistically significant ( $p>0.05$ ),  
371 were observed between 0 and 6h for both concentrations of *Ph. nameko* extracts  
372 than with FOS at 2% and at 12 h of fermentation similar numbers of cells were  
373 observed for both *Ph. nameko* extracts and these were higher than those  
374 obtained with FOS 2% and with the negative control; - although the experiments  
375 with 2 and 3% *Ph. nameko* extract started with the lowest level of *C. histolyticum*

376 in the faecal inocula these extracts brought about the only statistical significant  
377 decreases of *C. histolyticum* by 12 h ( $p=0.0003$  for 2% and  $p=0.028$  for 3%,  
378 respectively) in comparison to 0 h and in comparison to FOS ( $p>0.05$ ) and  
379 negative control ( $p>0.05$ ). Numbers of *C. histolyticum* continued to diminish with  
380 higher percentages of *Ph. nameko* Flavourzyme extracts (in comparison to 1%  
381 extract) confirms their selectivity properties and prebiotic potential, although this  
382 result could be a consequence of the lower pH (non-pH controlled experiment)  
383 inhibitory effect on the microbial group, must not be overlooked. At higher  
384 percentages cross-feeding may become more predominant and selectivity could  
385 in fact be lost, yet this is not the case since *C. histolyticum* showed no proliferation  
386 and decreased in numbers.

### 387 3.2 Lactic acid and SCFA production

388 Lactic acid and SCFA, the main products arising from the microbial fermentation  
389 of carbohydrates, can provide energy to the colonic epithelium, modulate  
390 cholesterol and lipid metabolism, suppress pathogenic intestinal bacteria and  
391 modulate the immune system (Salazar, Prieto, Leal, Mayo, Bada-Gancedo, &  
392 Madiedo, 2009). Furthermore, they act as electron sinks of anaerobic respiration  
393 in the gut and decrease the intestinal pH, thus enhancing the bioavailability of  
394 minerals such as calcium and magnesium (Gullon et al., 2014). The concentration  
395 of acetic, propionic, butyric, isobutyric and isovaleric acids as well as lactic acid  
396 produced during 24 h fermentation of the different extracts added at 1% is shown  
397 in Table 2. Significant differences were found between donors with respect to the  
398 levels of the different SCFA, in particular with acetic and butyric acids. In some  
399 cases the SCFA were detected in only one donor, particularly for propionic,

400 butyric and branched-chain fatty acids. It is estimated that 90% of SCFA are  
401 absorbed and therefore low levels may be found in faeces  
402 , SCFA production in the negative control was the lowest (14.3 mM by 24 h) in  
403 comparison with the media containing either the seaweed or the mushroom  
404 extracts. The lack of a carbohydrate may ascribe SCFA production in the negative  
405 control to protein degradation by putrefactive bacteria (Gullon et al., 2014) or to  
406 residual undigestive components within the faeces. The total SCFA  
407 concentrations achieved were highest for medium containing the positive control  
408 FOS (75.1 mM by 24 h), followed by medium added with the *Ph. nameko* extracts  
409 (50.9 and 50.5 mM by 24 h for *Ph. nameko* Flavourzyme extract and *Ph. nameko*  
410 Cellulase extract, respectively) and lastly by media added with *O. pinnatifida*  
411 Viscozyme extract (26.7 mM) and *S. muticum* Alcalase extract (20.0 mM by 24 h).  
412 These observations correlate well with the observed modulation by the associated  
413 extracts of SCFA producer bifidobacterial and lactobacilli bacterial populations  
414 discussed in the previous section. Importantly, most of the SCFA production  
415 occurred during the first 12 h of fermentation. Acetic and propionic acids were the  
416 main SCFA produced in all media containing any of the four extracts or FOS.  
417 Highest values for both acetic and propionic acids were observed in media  
418 containing FOS, yet importantly concentrations were also produced in media  
419 containing either of the *Ph. nameko* extracts. Propionic acid concentrations were  
420 highest for media containing FOS or the *Ph. nameko* extract obtained with  
421 Flavourzyme. These concentrations may be related to the high numbers of  
422 *Bacteroides* present or to the presence of specific compounds in the extracts.  
423 Broekaert, Courtin, Verbeke, Van de Wiele, Verstraete, & Delcour (2011),  
424 associated propionic acid production with the side chains found in

425 xilooligosaccharides. The acetic-to-propionic ratio increased along fermentation  
426 for all substrates except for the *Ph. nameko* Flavourzyme extract, which  
427 registered an effective decrease between 12 and 24 h (1.1 to 0.8). Low acetic-to-  
428 propionic ratios have been proposed as a positive marker for a hypolipidemic  
429 effect consequence of cholesterol biosynthesis inhibition (Salazar, Gueimonde,  
430 Hernández-Barranco, Ruas- Madiedo, & de los Reyes-Gavilán, 2008). All tested  
431 extracts led to the production of low levels of butyric acid by 24 h fermentation in  
432 comparison to the positive control FOS. In general, levels of butyric acid were  
433 either similar to those obtained by FOS, as is the case of *O. pinnatifida* extract  
434 (average values of 5.9 mM against 6.6 mM FOS) or ca. two-fold lower as for *Ph.*  
435 *nameko* extracts (average values of 3.0 and 2.3 mM); values reported for FOS  
436 were however quite moderate in comparison to values reported for other studies  
437 (for example 12-24 mM in Gullon et al., 2014) albeit a high variability between  
438 donors must be highlighted in this latter case. Related results were reported by  
439 Benus et al. (2010) who showed that butyric acid was reduced following the fibre-  
440 supplemented diet (FOS and pea fibre).

441 Concentrations of the branched chain fatty acids, isobutyric and isovaleric acids  
442 were either below detection limit or, in many cases, were detected in only one  
443 donor.

444 Lactic acid production was highest when FOS was used as a substrate correlating  
445 well with the predominant *Bifidobacterium/Lactobacillus* populations. Lactic acid  
446 was also produced in the media containing the tested substrates during the first 6  
447 h of fermentation, in contrast to medium with FOS which achieved maximum lactic  
448 acid production by 12 h fermentation. Thereafter, lactic acid was consumed  
449 independently of the substrate in question. Consumption rate was highest in

450 media containing FOS. This observation may eventually suggest a cross-feeding  
451 mechanism (Gullon et al., 2014).

452 The differences observed in SCFA and lactic acid production for the four  
453 substrates tested tend to indicate that a relationship may exist between physico-  
454 chemical properties of extracts and modulation of individual bacterial species and  
455 SCFA production in the gut. The four extracts tested displayed different  
456 composition (for example, higher content of sugars was observed in extracts  
457 obtained with Viscozyme and Cellulase) and structures (less sulphated  
458 polysaccharides in *O. pinnatifida* extract or presence of  $\alpha$  and  $\beta$ -glycosidic  
459 structures such as glucans and glucan-protein complexes in both *Ph. nameko*  
460 extracts) some of which may be more accessible for use (Rodrigues et al.,  
461 2015,2016). The different enzymatic treatments on the different seaweed or  
462 mushroom sources lead to the release of different oligomer residues from the  
463 structural and storage polysaccharides making these susceptible to degradation.  
464 Similarly, Ramnani et al. (2012) showed that low molecular weight extracts  
465 derived from agar and alginate seaweeds were fermentable by gut microbiota  
466 leading to important increases in acetate and propionate.

467

#### 468 **4. Conclusions**

469 All tested extracts had an influence on the composition of human gut microbiota,  
470 albeit to different extents. The digested *Ph. nameko* extract obtained with  
471 Flavourzyme was found to hinder growth of *C. histolyticum* and growth of  
472 members of the *C. coccoides*–*E. rectale* group, while growth of *Bifidobacterium*  
473 spp. was enhanced and *Lactobacillus* spp. remained relatively unaffected. This

474 selective increase in bifidobacteria coupled to a consistent increase in total SCFA  
475 and lactic acid production suggest its potential prebiotic character.

476 Seaweed extracts, in particular that of *O. pinnatifida* obtained with Viscozyme,  
477 were fermentable by gut microbiota as indicated by an increase in SCFA.  
478 Increase in SCFA was not always correlated with an increase in bacterial  
479 populations for the seaweed extracts.

480

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492

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623

#### 624 **Figure captions**

625 **Figure 1.** Bacterial populations (a) *Bifidobacterium* spp.; b) *Lactobacillus*  
626 spp./*Enterococcus* spp.; c) Bacteroides group; d) *C. cocoides*/*E. rectale* group; e)  
627 *C. histolyticum* group; f) Total bacteria) analysed by FISH in batch cultures  
628 containing 1% (w/v) of digested extracts of seaweeds *O. pinnatifida* obtained by  
629 Viscozyme (*O.pin\_Visc*) and *S. muticum* obtained by Alcalase (*S.mut\_Alc*) and of  
630 mushroom *Ph. nameko* obtained by Flavourzyme (*Ph.nam\_Flav*) and by Cellulase  
631 (*Ph.nam\_Cell*) and the respective controls. Error bars indicate SD of the replicates  
632 involving 3 adult donors. <sup>a</sup>p<0.05; significantly different compared to 0h within the  
633 same substrate. \*p<0.05; significantly different compared to negative control.  
634 #p<0.05; significantly different compared to FOS, positive control.

635

636 **Figure 2.** Bacterial populations (a) *Bifidobacterium* spp.; b) *Lactobacillus*  
637 spp./*Enterococcus* spp.; c) Bacteroides group; d) *C. cocoides*/*E. rectale* group; e)  
638 *C. histolyticum* group; f) Total bacteria) analysed by FISH in batch cultures  
639 containing 2 and 3% (w/v) of digested extracts of seaweed *O. pinnatifida* obtained

640 by Viscozyme (O.pin\_Visc) and of mushroom *Ph. nameko* obtained by  
641 Flavourzyme (Ph.nam\_Flav) and the respective controls. Error bars indicate SD of  
642 the replicates involving 3 adult donors. <sup>a</sup>p<0.05; significantly different compared to  
643 0h within the same substrate. \*p<0.05; significantly different compared to negative  
644 control. #p<0.05; significantly different compared to 2% FOS, positive control.