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# GENERALIZED GOLDEN RATIOS OVER INTEGER ALPHABETS

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## Abstract

It is a well known result that for  $\beta \in (1, \frac{1+\sqrt{5}}{2})$  and  $x \in (0, \frac{1}{\beta-1})$  there exists uncountably many  $(\epsilon_i)_{i=1}^{\infty} \in \{0, 1\}^{\mathbb{N}}$  such that  $x = \sum_{i=1}^{\infty} \epsilon_i \beta^{-i}$ . When  $\beta \in (\frac{1+\sqrt{5}}{2}, 2]$  there exists  $x \in (0, \frac{1}{\beta-1})$  for which there exists a unique  $(\epsilon_i)_{i=1}^{\infty} \in \{0, 1\}^{\mathbb{N}}$  such that  $x = \sum_{i=1}^{\infty} \epsilon_i \beta^{-i}$ . In this paper we consider the more general case when our sequences are elements of  $\{0, \dots, m\}^{\mathbb{N}}$ . We show that an analogue of the golden ratio exists and give an explicit formula for it.

## 1. Introduction

Let  $m \in \mathbb{N}$ ,  $\beta \in (1, m+1]$  and  $I_{\beta, m} = [0, \frac{m}{\beta-1}]$ . Each  $x \in I_{\beta, m}$  has an expansion of the form

$$x = \sum_{i=1}^{\infty} \frac{\epsilon_i}{\beta^i},$$

for some  $(\epsilon_i)_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}}$ . We call such a sequence a  $\beta$ -expansion for  $x$ . For  $x \in I_{\beta, m}$  we denote the set of  $\beta$ -expansions for  $x$  by  $\Sigma_{\beta, m}(x)$ , i.e.,

$$\Sigma_{\beta, m}(x) = \left\{ (\epsilon_i)_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}} : \sum_{i=1}^{\infty} \frac{\epsilon_i}{\beta^i} = x \right\}.$$

In [6] the authors consider the case when  $m = 1$ , they show that for  $\beta \in (1, \frac{1+\sqrt{5}}{2})$  the set  $\Sigma_{\beta, 1}(x)$  is uncountable for every  $x \in (0, \frac{1}{\beta-1})$ . The endpoints of  $[0, \frac{1}{\beta-1}]$  trivially have a unique  $\beta$ -expansion. In [5] it is shown that for  $\beta \in (\frac{1+\sqrt{5}}{2}, 2]$  there exists  $x \in (0, \frac{1}{\beta-1})$  with a unique  $\beta$ -expansion.

For  $m \in \mathbb{N}$  we define  $\mathcal{G}(m) \in \mathbb{R}$  to be a *generalized golden ratio* for  $m$  if for  $\beta \in (1, \mathcal{G}(m))$  the set  $\Sigma_{\beta, m}(x)$  is uncountable for every  $x \in (0, \frac{m}{\beta-1})$ , and for every  $\beta \in (\mathcal{G}(m), m+1]$  there exists  $x \in (0, \frac{m}{\beta-1})$  for which  $|\Sigma_{\beta, m}(x)| = 1$ .

In [11] the authors consider a similar setup. They consider the case where  $\beta$ -expansions are elements of  $\{a_1, a_2, a_3\}^{\mathbb{N}}$ , for some  $a_1, a_2, a_3 \in \mathbb{R}$ . They show that for each ternary alphabet there exists a constant  $G \in \mathbb{R}$  such that, there exists nontrivial unique  $\beta$ -expansions if and only if  $\beta > G$ . Moreover they give an explicit formula for  $G$ .

Our main result is the following.

**Theorem 1.1.** *For each  $m \in \mathbb{N}$  a generalized golden ratio exists and is equal to:*

$$\mathcal{G}(m) = \begin{cases} k + 1 & \text{if } m = 2k \\ \frac{k+1+\sqrt{k^2+6k+5}}{2} & \text{if } m = 2k + 1. \end{cases} \tag{1}$$

**Remark 1.2.**  $\mathcal{G}(m)$  is a Pisot number for all  $m \in \mathbb{N}$ . Recall a Pisot number is a real algebraic integer greater than 1 whose Galois conjugates are of modulus strictly less than 1.

In section 6 we include a table of values for  $\mathcal{G}(m)$ . We prove Theorem 1.1 in section 3. In section 4 we consider the set of points with unique  $\beta$ -expansion for  $\beta \in (\mathcal{G}(m), m + 1]$ , and in section 5 we study the growth rate and dimension theory of the set of  $\beta$ -expansions for  $\beta \in (1, \mathcal{G}(m))$ .

## 2. Preliminaries

Before proving Theorem 1.1 we require the following preliminary results and theory. Let  $m \in \mathbb{N}$  be fixed and  $\beta \in (1, m + 1]$ . For each  $i \in \{0, \dots, m\}$  we fix  $T_{\beta,i}(x) = \beta x - i$ . The proof of the following lemma is trivial and therefore omitted.

**Lemma 2.1.** *The map  $T_{\beta,i}$  satisfies the following:*

- $T_{\beta,i}$  has a unique fixed point equal to  $\frac{i}{\beta-1}$ .
- $T_{\beta,i}(x) > x$  for all  $x > \frac{i}{\beta-1}$ ,
- $T_{\beta,i}(x) < x$  for all  $x < \frac{i}{\beta-1}$ ,
- $|T_{\beta,i}(x) - T_{\beta,i}(\frac{i}{\beta-1})| = \beta|x - \frac{i}{\beta-1}|$ , for all  $x \in \mathbb{R}$ , that is  $T_{\beta,i}$  scales the distance between the fixed point  $\frac{i}{\beta-1}$  and an arbitrary point by a factor  $\beta$ .

Understanding where in  $I_{\beta,m}$  these fixed points are will be important in our later analysis.

We let

$$\Omega_{\beta,m}(x) = \left\{ (a_i)_{i=1}^{\infty} \in \{T_{\beta,0}, \dots, T_{\beta,m}\}^{\mathbb{N}} : (a_n \circ a_{n-1} \circ \dots \circ a_1)(x) \in I_{\beta,m} \text{ for all } n \in \mathbb{N} \right\}.$$

Similarly we define

$$\Omega_{\beta,m,n}(x) = \left\{ (a_i)_{i=1}^n \in \{T_{\beta,0}, \dots, T_{\beta,m}\}^n : (a_n \circ a_{n-1} \circ \dots \circ a_1)(x) \in I_{\beta,m} \right\}.$$

Typically we will denote an element of  $\Omega_{\beta,m,n}(x)$  or any finite sequence of maps by  $a$ . When we want to emphasise the length of  $a$  we will use the notation  $a^{(n)}$ . We also adopt the notation  $a^{(n)}(x)$  to mean  $(a_n \circ a_{n-1} \circ \dots \circ a_1)(x)$ .

**Remark 2.2.** *It is important to note that if for some finite sequence of maps  $a$ ,  $a(x) \notin I_{\beta,m}$  then we cannot concatenate  $a$  by any finite sequence of maps  $b$ , such that  $b(a(x)) \in I_{\beta,m}$ .*

**Remark 2.3.** *Let  $\beta \in (1, m + 1]$ . For any  $x \in I_{\beta,m}$  there always exists  $i \in \{0, \dots, m\}$  such that  $T_{\beta,i}(x) \in I_{\beta,m}$ . For  $\beta > m + 1$  such an  $i$  does not always exist.*

**Lemma 2.4.**  $|\Sigma_{\beta,m}(x)| = |\Omega_{\beta,m}(x)|$ .

*Proof.* It is a simple exercise to show that

$$\Sigma_{\beta,m}(x) = \left\{ (\epsilon_i)_{i=1}^\infty \in \{0, \dots, m\}^\mathbb{N} : x - \sum_{i=1}^n \frac{\epsilon_i}{\beta^i} \in \left[0, \frac{m}{\beta^n(\beta-1)}\right] \text{ for all } n \in \mathbb{N} \right\}.$$

Following [8] we observe that

$$\begin{aligned} \Sigma_{\beta,m}(x) &= \left\{ (\epsilon_i)_{i=1}^\infty \in \{0, \dots, m\}^\mathbb{N} : x - \sum_{i=1}^n \frac{\epsilon_i}{\beta^i} \in \left[0, \frac{m}{\beta^n(\beta-1)}\right] \text{ for all } n \in \mathbb{N} \right\} \\ &= \left\{ (\epsilon_i)_{i=1}^\infty \in \{0, \dots, m\}^\mathbb{N} : \beta^n x - \sum_{i=1}^n \epsilon_i \beta^{n-i} \in I_{\beta,m} \text{ for all } n \in \mathbb{N} \right\} \\ &= \left\{ (\epsilon_i)_{i=1}^\infty \in \{0, \dots, m\}^\mathbb{N} : (T_{\beta,\epsilon_n} \circ \dots \circ T_{\beta,\epsilon_1})(x) \in I_{\beta,m} \text{ for all } n \in \mathbb{N} \right\}. \end{aligned}$$

Our result follows immediately. □

By Lemma 2.4 we can rephrase the definition of a generalized golden ratio in terms of the set  $\Omega_{\beta,m}(x)$ . This equivalent definition will be more suitable for our purposes. The set  $\Omega_{\beta,m,n}(x)$  will be useful when we study the growth rate and dimension theory of the set of  $\beta$ -expansions.

For a point  $x \in I_{\beta,m}$  we can take  $i$  to be the first digit in a  $\beta$ -expansion for  $x$  if and only if  $\beta x - i \in I_{\beta,m}$ . This is equivalent to

$$x \in \left[ \frac{i}{\beta}, \frac{i\beta + m - i}{\beta(\beta - 1)} \right],$$

as such we refer to the interval  $\left[ \frac{i}{\beta}, \frac{i\beta + m - i}{\beta(\beta - 1)} \right]$  as the  $i$ -th digit interval. Generally speaking we can take  $i$  to be the  $j$ -th digit in a  $\beta$ -expansion for  $x$  if and only if there exists  $a \in \Omega_{\beta,m,j-1}(x)$  such that,  $a(x) \in \left[ \frac{i}{\beta}, \frac{i\beta + m - i}{\beta(\beta - 1)} \right]$ . When  $x$  or an image of  $x$  is contained in

the intersection of two digit intervals we have a choice of digit in our  $\beta$ -expansion for  $x$ . Generally speaking any two digit intervals may intersect for  $\beta$  sufficiently small, however for our purposes we need only consider the case when the  $i$ -th digit interval intersects the adjacent  $(i - 1)$ -th or  $(i + 1)$ -th digit intervals, for some  $i \in \{0, \dots, m\}$ . Any intersection of this type is of the form

$$\left[ \frac{i}{\beta}, \frac{(i - 1)\beta + m - (i - 1)}{\beta(\beta - 1)} \right],$$

for some  $i \in \{1, \dots, m\}$ . In what follows we refer to the interval  $\left[ \frac{i}{\beta}, \frac{(i - 1)\beta + m - (i - 1)}{\beta(\beta - 1)} \right]$  as the  $i$ -th choice interval. Both  $T_{\beta, i-1}$  and  $T_{\beta, i}$  map the  $i$ -th choice interval into  $I_{\beta, m}$ . These intervals always exist and are nontrivial for  $\beta \in (1, m + 1)$ .

**Proposition 2.5.** *Suppose for any  $x \in (0, \frac{m}{\beta-1})$  there always exists a finite sequence of maps that map  $x$  into the interior of a choice interval, then  $\Omega_{\beta, m}(x)$  is uncountable.*

The proof of this proposition is essentially contained in the proof of Theorem 1 in [17].

*Proof.* Let  $x \in (0, \frac{m}{\beta-1})$ . Suppose there exists  $n \in \mathbb{N}$  and  $a \in \Omega_{\beta, m, n}(x)$  such that  $a(x) \in (\frac{i}{\beta}, \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)})$ , for some  $i \in \{1, \dots, m\}$ . As  $a(x)$  is an element of the interior of a choice interval both  $T_{\beta, i-1}(a(x)) \in (0, \frac{m}{\beta-1})$  and  $T_{\beta, i}(a(x)) \in (0, \frac{m}{\beta-1})$ . As such our hypothesis applies to both  $T_{\beta, i-1}(a(x))$  and  $T_{\beta, i}(a(x))$ , and we can assert that there exists a finite sequence of maps that map these two distinct images of  $x$  into the interior of another choice interval. Repeating this procedure arbitrarily many times it is clear that  $\Omega_{\beta, m}(x)$  is uncountable.  $\square$

By Proposition 2.5, to prove Theorem 1.1 it suffices to show that for  $\beta \in (1, \mathcal{G}(m))$  every  $x \in (0, \frac{m}{\beta-1})$  can be mapped into the interior of a choice interval, and for  $\beta \in (\mathcal{G}(m), m + 1]$  there exists  $x \in (0, \frac{m}{\beta-1})$  that never maps into a choice interval.

We define the *switch region* to be the interval

$$\left[ \frac{1}{\beta}, \frac{(m - 1)\beta + 1}{\beta(\beta - 1)} \right].$$

The significance of this interval is that if a point  $x$  has a choice of digit in the  $j$ -th entry of a  $\beta$ -expansion, then there exists  $a \in \Omega_{\beta, m, j-1}(x)$  such that  $a(x) \in [\frac{1}{\beta}, \frac{(m-1)\beta+1}{\beta(\beta-1)}]$ . The following lemmas are useful in understanding the dynamics of the maps  $T_{\beta, i}$  around the switch region, understanding these dynamics will be important in our proof of Theorem 1.1.

**Lemma 2.6.** *For  $\beta \in (1, \frac{m+\sqrt{m^2+4}}{2})$  and  $x \in (0, \frac{m}{\beta-1})$  there exists a finite sequence of maps that map  $x$  into the interior of our switch region.*

*Proof.* If  $x$  is contained within the interior of the switch region we are done, let us suppose otherwise. By the monotonicity of the maps  $T_{\beta, 0}$  and  $T_{\beta, m}$  it suffices to show that

$$T_{\beta, 0}\left(\frac{1}{\beta}\right) < \frac{(m - 1)\beta + 1}{\beta(\beta - 1)} \text{ and } T_{\beta, m}\left(\frac{(m - 1)\beta + 1}{\beta(\beta - 1)}\right) > \frac{1}{\beta}.$$

Both of these inequalities are equivalent to  $\beta^2 - m\beta - 1 < 0$ , applying the quadratic formula we can conclude our result.  $\square$

**Remark 2.7.** When  $m = 1$  the switch region is a choice interval. An application of Lemma 2.4, Proposition 2.5 and Lemma 2.6 yields the result stated in [6], i.e, for  $\beta \in (1, \frac{1+\sqrt{5}}{2})$  and  $x \in (0, \frac{1}{\beta-1})$  the set  $\Sigma_{\beta,1}(x)$  is uncountable.

**Lemma 2.8.** For  $\beta \in (1, \frac{m+2}{2})$  every  $x$  in the interior of the switch region is contained in the interior of a choice interval.

*Proof.* It suffices to show that for each  $i \in \{1, 2, \dots, m - 1\}$  the  $(i - 1)$ -th and  $(i + 1)$ -th digit intervals intersect in a nontrivial interval. This is equivalent to

$$\frac{i + 1}{\beta} < \frac{(i - 1)\beta + m - (i - 1)}{\beta(\beta - 1)},$$

a simple manipulation yields that this is equivalent to  $\beta < \frac{m+2}{2}$ .  $\square$

We refer the reader to Figure 1 for a diagram depicting the case where  $\beta < \frac{m+2}{2}$ . For  $i \in \{1, 2, \dots, m - 1\}$  and  $\beta \geq \frac{m+2}{2}$  the interval

$$\left[ \frac{(i - 1)\beta + m - (i - 1)}{\beta(\beta - 1)}, \frac{i + 1}{\beta} \right]$$

is well defined. We refer to this interval as the  $i$ -th fixed digit interval. The significance of this interval is that if a point  $x$  is contained in the interior of the  $i$ -th fixed digit interval only  $T_{\beta,i}$  maps  $x$  into  $I_{\beta,m}$ . Similarly we define the 0-th fixed digit interval to be  $[0, \frac{1}{\beta}]$  and the  $m$ -th fixed digit interval to be  $[\frac{(m-1)\beta+1}{\beta(\beta-1)}, \frac{m}{\beta-1}]$ . Understanding how the different  $T_{\beta,i}$ 's behave on these intervals will be important when it comes to constructing generalized golden ratios in the case where  $m$  is odd.

### 3. Proof of Theorem 1.1

We are now in a position to prove Theorem 1.1, for ease of exposition we reduce our analysis to two cases, when  $m$  is even and when  $m$  is odd.

#### 3.1. Case where $m$ is even

In what follows we assume  $m = 2k$  for some  $k \in \mathbb{N}$ .

**Proposition 3.1.** For  $\beta \in (1, k + 1)$  every  $x \in (0, \frac{m}{\beta-1})$  has uncountably many  $\beta$ -expansions.



Figure 1: The case where  $\beta \in (1, \frac{m+2}{2})$

*Proof.* By Lemma 2.4 and Proposition 2.5 it suffices to show that every  $x \in (0, \frac{m}{\beta-1})$  can be mapped into the interior of a choice interval. It is a simple exercise to show that  $\frac{m+2}{2} < \frac{m+\sqrt{m^2+4}}{2}$  for all  $m \in \mathbb{N}$ , therefore for  $\beta \in (1, k + 1)$  we can apply Lemma 2.6, therefore there exists a sequence of maps that map  $x$  into the interior of the switch region. By Lemma 2.8 every point in the interior of our switch region is contained in the interior of a choice interval.  $\square$

**Proposition 3.2.** For  $\beta \in (k + 1, m + 1]$  there exists  $x \in (0, \frac{m}{\beta-1})$  with a unique  $\beta$ -expansion.

*Proof.* It suffices to show that there exists  $x \in (0, \frac{m}{\beta-1})$  that never maps into a choice interval. We consider the point  $\frac{k}{\beta-1}$ , we will show that this point has a unique  $\beta$ -expansion. This point is contained in the  $k$ -th digit interval and is the fixed point under the map  $T_{\beta,k}$ . To show that it has a unique  $\beta$ -expansion it suffices to show that it is not contained within the  $(k - 1)$ -th or  $(k + 1)$ -th digit intervals, this is equivalent to

$$\frac{(k - 1)\beta + m - (k - 1)}{\beta(\beta - 1)} < \frac{k}{\beta - 1} < \frac{k + 1}{\beta}.$$

Both of these inequalities are equivalent to  $\beta > k + 1$ .  $\square$

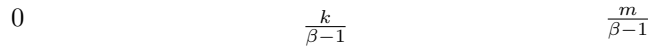


Figure 2: A point with unique  $\beta$ -expansion for  $\beta \in (k + 1, m + 1]$ .

Figure 2 describes the construction of our point with unique  $\beta$ -expansion for  $\beta \in (k + 1, m + 1]$ . By Proposition 3.1 and Proposition 3.2 we can conclude Theorem 1.1 in the case where  $m$  is even.

**3.2. Case where  $m$  is odd**

The analysis of the case where  $m$  is odd is somewhat more intricate. In what follows we assume  $m = 2k + 1$  for some  $k \in \mathbb{N}$ . Before finishing our proof of Theorem 1.1 we require the following technical results.

**Lemma 3.3.** *For  $\beta \in (1, k + 2)$  the fixed point of  $T_{\beta,i}$  is contained in the interior of the choice interval  $[\frac{i}{\beta}, \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)}]$  for  $i \in \{1, \dots, k\}$ , and in the interior of the choice interval  $[\frac{i+1}{\beta}, \frac{i\beta+m-i}{\beta(\beta-1)}]$  for  $i \in \{k + 1, \dots, m - 1\}$ .*

*Proof.* Let  $i \in \{1, \dots, k\}$ . To show that the fixed point  $\frac{i}{\beta-1}$  is contained in the interior of the interval  $[\frac{i}{\beta}, \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)}]$  it suffices to show that

$$\frac{i}{\beta - 1} < \frac{(i - 1)\beta + m - (i - 1)}{\beta(\beta - 1)}.$$



This is equivalent to  $\beta < m + 1 - i$ , which for  $\beta \in (1, k + 2)$  is true for all  $i \in \{1, \dots, k\}$ . The case where  $i \in \{k + 1, \dots, m - 1\}$  is proved similarly.  $\square$

**Corollary 3.4.** For  $\beta \in [\frac{2k+3}{2}, k + 2)$  the map  $T_{\beta,i}$  satisfies  $T_{\beta,i}(x) - \frac{i}{\beta-1} = \beta(x - \frac{i}{\beta-1})$  for all  $x$  contained in the  $i$ -th fixed digit interval for  $i \in \{1, \dots, k\}$ , and  $\frac{i}{\beta-1} - T_{\beta,i}(x) = \beta(\frac{i}{\beta-1} - x)$  for all  $x$  contained in the  $i$ -th fixed digit interval for  $i \in \{k + 1, \dots, m - 1\}$ .

*Proof.* Let  $i \in \{1, \dots, k\}$ . By Lemma 3.3 the  $i$ -th fixed digit interval is to the right of the fixed point of  $T_{\beta,i}$ , our result follows from Lemma 2.1. The case where  $i \in \{k + 1, \dots, m - 1\}$  is proved similarly.  $\square$

**Lemma 3.5.** Suppose  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$  and  $x$  is an element of the  $i$ -th fixed digit interval for some  $i \in \{1, \dots, m - 1\}$ . For  $i \in \{1, \dots, k\}$

$$T_{\beta,i}(x) < \frac{k\beta + m - k}{\beta(\beta - 1)}$$

and for  $i \in \{k + 1, \dots, m - 1\}$

$$T_{\beta,i}(x) > \frac{k + 1}{\beta}.$$

*Proof.* By the monotonicity of the maps  $T_{\beta,i}$  it is sufficient to show that

$$T_{\beta,i}\left(\frac{i + 1}{\beta}\right) < \frac{k\beta + m - k}{\beta(\beta - 1)}$$

for  $i \in \{1, \dots, k\}$ , and

$$T_{\beta,i}\left(\frac{(i - 1)\beta + m - (i - 1)}{\beta(\beta - 1)}\right) > \frac{k + 1}{\beta},$$

for  $i \in \{k + 1, \dots, m - 1\}$ . Each of these inequalities are equivalent to  $\beta^2 - (k + 1)\beta - (k + 1) < 0$ . Our result follows by an application of the quadratic formula.  $\square$

**Proposition 3.6.** For  $\beta \in (1, \frac{k+1+\sqrt{k^2+6k+5}}{2})$  every  $x \in (0, \frac{m}{\beta-1})$  has uncountably many  $\beta$ -expansions.

*Proof.* The proof where  $\beta \in (1, \frac{2k+3}{2})$  is analogous to that given in the even case. As such, in what follows we assume  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$ . We remark that

$$\frac{k + 1 + \sqrt{k^2 + 6k + 5}}{2} \leq \frac{m + \sqrt{m^2 + 4}}{2}$$

and

$$\frac{k + 1 + \sqrt{k^2 + 6k + 5}}{2} < k + 2,$$

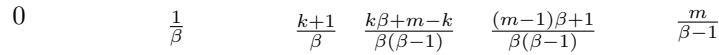


Figure 3: A diagram of the case where  $m = 2k + 1$  and  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$

for all  $k \in \mathbb{N}$ . We can therefore use Lemma 2.6 and Corollary 3.4. Let  $x \in (0, \frac{m}{\beta-1})$ . We will show that there exists a sequence of maps that map  $x$  into the interior of a choice interval, by Lemma 2.4 and Proposition 2.5 our result follows. By Lemma 2.6 there exist a finite sequence of maps that map  $x$  into the interior of the switch region. Suppose the image of  $x$  is not contained in the interior of a choice interval, then it must be contained in the  $i$ -th fixed digit interval for some  $i \in \{1, \dots, m - 1\}$ . By repeatedly applying Corollary 3.4 and Lemma 3.5 the image of  $x$  must eventually be mapped into the interior of a choice interval.  $\square$

We refer the reader to Figure 3 for a diagram illustrating the case where  $m = 2k + 1$  and  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$ .

**Proposition 3.7.** For  $\beta \in (\frac{k+1+\sqrt{k^2+6k+5}}{2}, m + 1]$  there exists  $x \in (0, \frac{m}{\beta-1})$  that has a unique  $\beta$ -expansion.

*Proof.* We will show that the points

$$\frac{k\beta + (k + 1)}{\beta^2 - 1} \text{ and } \frac{(k + 1)\beta + k}{\beta^2 - 1}$$

have a unique  $\beta$ -expansion. The significance of these points is that

$$T_{\beta,k}\left(\frac{k\beta + (k + 1)}{\beta^2 - 1}\right) = \frac{(k + 1)\beta + k}{\beta^2 - 1}$$

and

$$T_{\beta,k+1}\left(\frac{(k + 1)\beta + k}{\beta^2 - 1}\right) = \frac{k\beta + (k + 1)}{\beta^2 - 1}.$$

To show that these points have a unique  $\beta$ -expansion it suffices to show that  $\frac{k\beta+(k+1)}{\beta^2-1}$  and  $\frac{(k+1)\beta+k}{\beta^2-1}$  belong to the  $k$ -th and  $(k + 1)$ -th fixed digit intervals, respectively, i.e.

$$\frac{(k - 1)\beta + m - (k - 1)}{\beta(\beta - 1)} < \frac{k\beta + (k + 1)}{\beta^2 - 1} < \frac{k + 1}{\beta}, \tag{2}$$

and

$$\frac{k\beta + (m - k)}{\beta(\beta - 1)} < \frac{(k + 1)\beta + k}{\beta^2 - 1} < \frac{k + 2}{\beta}. \tag{3}$$

The left hand side of (2) is equivalent to  $0 < \beta^2 - k\beta - (k + 2)$  which is equivalent to

$$\frac{k + \sqrt{k^2 + 4k + 8}}{2} < \beta,$$

in the same time

$$\frac{k + \sqrt{k^2 + 4k + 8}}{2} < \frac{k + 1 + \sqrt{k^2 + 6k + 5}}{2}$$

for all  $k \in \mathbb{N}$ , therefore the left hand side of (2) holds. The right hand side of (2) is equivalent to  $0 < \beta^2 - (k + 1)\beta - (k + 1)$ . So (2) holds by the quadratic formula.

The right hand side of (3) is equivalent to  $0 < \beta^2 - k\beta - (k + 2)$  which we know to be true by the above. Similarly the left hand side of (3) is equivalent to  $0 < \beta^2 - (k + 1)\beta - (k + 1)$ , which we also know to be true. It follows that both  $\frac{k\beta+(k+1)}{\beta^2-1}$  and  $\frac{(k+1)\beta+k}{\beta^2-1}$  are never mapped into a choice interval and have a unique  $\beta$ -expansion for  $\beta \in (\frac{k+1+\sqrt{k^2+6k+5}}{2}, m + 1]$ .  $\square$

We refer the reader to Figure 4 for a diagram describing the points we constructed with unique  $\beta$ -expansion for  $\beta \in (\frac{k+1+\sqrt{k^2+6k+5}}{2}, m + 1]$ . By Proposition 3.6 and Proposition 3.7 we can conclude Theorem 1.1.

#### 4. The set of points with unique $\beta$ -expansion

In this section we study the set of points whose  $\beta$ -expansion is unique for  $\beta \in (\mathcal{G}(m), m + 1]$ . Let

$$U_{\beta,m} = \left\{ x \in I_{\beta,m} \mid |\Sigma_{\beta,m}(x)| = 1 \right\}$$

$$0 \qquad \frac{k\beta+(k+1)}{\beta^2-1} \quad \frac{(k+1)\beta+k}{\beta^2-1} \qquad \frac{m}{\beta-1}$$

Figure 4: A point with unique  $\beta$ -expansion for  $\beta \in (\frac{k+1+\sqrt{k^2+6k+5}}{2}, m + 1]$ .

and

$$W_{\beta,m} = \left\{ x \in \left( \frac{m+1-\beta}{\beta-1}, 1 \right) \mid |\Sigma_{\beta,m}(x)| = 1 \right\}.$$

The significance of the set  $W_{\beta,m}$  is that if  $x \in U_{\beta,m}$ , then it maps to  $W_{\beta,m}$  under a finite sequence of  $T_{\beta,i}$ 's. In [9] the authors study the case where  $m = 1$ , they show that the following theorems hold.

**Theorem 4.1.** *The set  $U_{\beta,1}$  satisfies the following:*

1.  $|U_{\beta,1}| = \aleph_0$  for  $\beta \in (\frac{1+\sqrt{5}}{2}, \beta_c)$
2.  $|U_{\beta,1}| = 2^{\aleph_0}$  for  $\beta = \beta_c$
3.  $U_{\beta,1}$  is a set of positive Hausdorff dimension for  $\beta \in (\beta_c, 2]$ .

**Theorem 4.2.** *The set  $W_{\beta,1}$  satisfies the following:*

1.  $|W_{\beta,1}| = 2$  for  $\beta \in (\frac{1+\sqrt{5}}{2}, \beta_f]$ , where  $\beta_f$  is the root of the equation  $x^3 - 2x^2 + x - 1 = 0$ ,  $\beta_f = 1.75487\dots$

2.  $|W_{\beta,1}| = \aleph_0$  for  $\beta \in (\beta_f, \beta_c)$
3.  $|W_{\beta,1}| = 2^{\aleph_0}$  for  $\beta = \beta_c$
4.  $W_{\beta,1}$  is a set of positive Hausdorff dimension for  $\beta \in (\beta_c, 2]$ .

Here  $\beta_c \approx 1.78723$  is the Komornik-Loreti constant introduced in [12]. It is the smallest value of  $\beta$  for which  $1 \in U_{\beta,1}$ . Moreover  $\beta_c$  is the unique solution of the equation

$$\sum_{i=1}^{\infty} \frac{\lambda_i}{\beta^i} = 1,$$

where  $(\lambda_i)_{i=0}^{\infty}$  is the Thue-Morse sequence (see [3]), i.e.  $\lambda_0 = 0$  and if  $\lambda_i$  is already defined for some  $i \geq 0$  then  $\lambda_{2i} = \lambda_i$  and  $\lambda_{2i+1} = 1 - \lambda_i$ . The sequence  $(\lambda_i)_{i=0}^{\infty}$  begins

$$(\lambda_i)_{i=0}^{\infty} = 0110\ 1001\ 1001\ 0110\ 1001\ \dots$$

In [2] it was shown that  $\beta_c$  is transcendental. For  $m \geq 2$  we define the sequence  $(\lambda_i(m))_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}}$  as follows:

$$\lambda_i(m) = \begin{cases} k + \lambda_i - \lambda_{i-1} & \text{if } m = 2k \\ k + \lambda_i & \text{if } m = 2k + 1. \end{cases}$$

We define  $\beta_c(m)$  to be the unique solution of

$$\sum_{i=1}^{\infty} \frac{\lambda_i(m)}{\beta^i} = 1.$$

In [13] the authors proved that  $\beta_c(m)$  is transcendental and the smallest value of  $\beta$  for which  $1 \in U_{\beta,m}$ . In section 6 we include a table of values for  $\beta_c(m)$ . We begin our study of the sets  $U_{\beta,m}$  and  $W_{\beta,m}$  by showing that the following proposition holds.

**Proposition 4.3.** *If  $m \geq 2$ , then  $|U_{\beta,m}| \geq \aleph_0$  for  $\beta \in (\mathcal{G}(m), m + 1]$ .*

In [14] the following statements were shown to hold: if  $\beta \in (1, \beta_c(m))$  then  $U_{\beta,m}$  is countable,  $U_{\beta_c(m),m}$  has cardinality equal to that of the continuum, and for  $\beta \in (\beta_c(m), m + 1]$  the Hausdorff dimension of  $U_{\beta,m}$  is strictly positive. Combining these results with Proposition 4.3 the following analogue of Theorem 4.1 is immediate.

**Theorem 4.4.** *For  $m \geq 2$  the set  $U_{\beta,m}$  satisfies the following:*

1.  $|U_{\beta,m}| = \aleph_0$  for  $\beta \in (\mathcal{G}(m), \beta_c(m))$
2.  $|U_{\beta,m}| = 2^{\aleph_0}$  for  $\beta = \beta_c(m)$
3.  $U_{\beta,m}$  is a set of positive Hausdorff dimension for  $\beta \in (\beta_c(m), m + 1]$ .

*Proof of Proposition 4.3.* To begin with let us assume  $m = 2k$  for some  $k \in \mathbb{N}$ , in this case  $\mathcal{G}(m) = k + 1$ . It is a simple exercise to show that for  $\beta \in (k + 1, m + 1]$

$$T_{\beta,0}^{-n}\left(\frac{k}{\beta-1}\right) = \frac{k}{\beta^n(\beta-1)} < \frac{1}{\beta} \tag{4}$$

for all  $n \in \mathbb{N}$ . By the proof of Proposition 3.2 we know that  $\frac{k}{\beta-1}$  has a unique  $\beta$ -expansion. It follows from (4) that  $T_{\beta,0}^{-n}\left(\frac{k}{\beta-1}\right)$  is never mapped into a choice interval and therefore has a unique  $\beta$ -expansion. As  $n$  was arbitrary we can conclude our result. The case where  $m = 2k + 1$  is proved similarly, in this case we can consider preimages of  $\frac{k\beta+(k+1)}{\beta^2-1}$ .  $\square$

We also show that the following analogue of Theorem 4.2 holds.

**Theorem 4.5.** *If  $m = 2k$  the set  $W_{\beta,m}$  satisfies the following:*

1.  $|W_{\beta,m}| = 1$  for  $\beta \in (\mathcal{G}(m), \beta_f(m)]$ , where  $\beta_f(m)$  is the root of the equation

$$x^2 - (k + 1)x - k = 0, \beta_f(m) = \frac{k + 1 + \sqrt{k^2 + 6k + 1}}{2}$$

2.  $|W_{\beta,m}| = \aleph_0$  for  $\beta \in (\beta_f(m), \beta_c(m))$
3.  $|W_{\beta,m}| = 2^{\aleph_0}$  for  $\beta = \beta_c(m)$
4.  $W_{\beta,m}$  is a set of positive Hausdorff dimension for  $\beta \in (\beta_c(m), m + 1]$ .

*If  $m = 2k + 1$  the set  $W_{\beta,m}$  satisfies the following:*

1.  $|W_{\beta,m}| = 2$  for  $\beta \in (\mathcal{G}(m), \beta_f(m)]$ , where  $\beta_f(m)$  is the root of the equation

$$x^3 - (k + 2)x^2 + x - (k + 1) = 0$$

2.  $|W_{\beta,m}| = \aleph_0$  for  $\beta \in (\beta_f(m), \beta_c(m))$
3.  $|W_{\beta,m}| = 2^{\aleph_0}$  for  $\beta = \beta_c(m)$
4.  $W_{\beta,m}$  is a set of positive Hausdorff dimension for  $\beta \in (\beta_c(m), m + 1]$ .

**Remark 4.6.**  $\beta_f(m)$  is a Pisot number for all  $m \in \mathbb{N}$ .

Using Theorem 4.4, to prove Theorem 4.5 it suffices to show that statement 1 holds in both the odd and even cases and  $|W_{\beta,m}| \geq \aleph_0$  for  $\beta > \beta_f(m)$  in both the odd and even cases. In section 6 we include a table of values for  $\beta_f(m)$ .

**4.1. Proof of Theorem 4.5**

The proof of Theorem 4.5 is more involved than Theorem 4.4 and as we will see requires more technical results. The following is taken from [14]. Firstly let us define the lexicographic order on  $\{0, \dots, m\}^{\mathbb{N}}$ : we say that  $(x_i)_{i=1}^{\infty} < (y_i)_{i=1}^{\infty}$  with respect to the lexicographic order if there exists  $n \in \mathbb{N}$  such that  $x_i = y_i$  for all  $i < n$  and  $x_n < y_n$  or if  $x_1 < y_1$ . For a sequence  $(x_i)_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}}$  we define  $(\bar{x}_i)_{i=1}^{\infty} = (m - x_i)_{i=1}^{\infty}$ . We also adopt the notation  $(\epsilon_1, \dots, \epsilon_j)^{\infty}$  to denote the element of  $\{0, \dots, m\}^{\mathbb{N}}$  obtained by the infinite concatenation of the finite sequence  $(\epsilon_1, \dots, \epsilon_j)$ . Let the sequence  $(d_i(m))_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}}$  be defined as follows: let  $d_1(m)$  be the largest element of  $\{0, \dots, m\}$  such that  $\frac{d_1(m)}{\beta} < 1$ , and if  $d_i(m)$  is defined for  $i < n$  then  $d_n(m)$  is defined to be the largest element of  $\{0, \dots, m\}$  such that  $\sum_{i=1}^n \frac{d_i(m)}{\beta^i} < 1$ . The sequence  $(d_i(m))_{i=1}^{\infty}$  is called the quasi-greedy expansion of 1 with respect to  $\beta$ ; it is trivially a  $\beta$ -expansion for 1 and the largest infinite  $\beta$ -expansion of 1 with respect to the lexicographic order not ending with  $(0)^{\infty}$ . We let

$$S_{\beta,m} = \left\{ (\epsilon_i)_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}} : \sum_{i=1}^{\infty} \frac{\epsilon_i}{\beta^i} \in W_{\beta,m} \right\},$$

it follows from the definition of  $W_{\beta,m}$  that  $|W_{\beta,m}| = |S_{\beta,m}|$  and to prove Theorem 4.5 it suffices to show that equivalent statements hold for  $S_{\beta,m}$ . The following lemma which is essentially due to Parry [15] provides a useful characterisation of  $S_{\beta,m}$ .

**Lemma 4.7.**

$$S_{\beta,m} = \left\{ (\epsilon_i)_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}} : (\epsilon_i, \epsilon_{i+1}, \dots) < (d_{1,m}, d_{2,m}, \dots) \text{ and } (\bar{d}_{1,m}, \bar{d}_{2,m}, \dots) < (\epsilon_i, \epsilon_{i+1}, \dots) \text{ for all } i \in \mathbb{N} \right\}$$

**Remark 4.8.** *If  $\beta < \beta'$  then the quasi-greedy expansion of 1 with respect to  $\beta$  is lexicographically strictly less than the quasi-greedy expansion of 1 with respect to  $\beta'$ . As a corollary of this we have  $S_{\beta,m} \subseteq S_{\beta',m}$  for  $\beta < \beta'$ .*

**Proposition 4.9.** *For  $\beta \in (\mathcal{G}(m), \beta_f(m)]$   $|S_{\beta,m}| = 1$  when  $m$  is even,  $|S_{\beta,m}| = 2$  when  $m$  is odd and  $|S_{\beta,m}| \geq \aleph_0$  for  $\beta \in (\beta_f(m), m + 1]$ .*

By the remarks following Theorem 4.5 this will allow us to conclude our result.

*Proof.* We begin by considering the case where  $m = 2k$ . When  $\beta = \beta_f(m)$  we have  $(d_i(m))_{i=1}^{\infty} = (k + 1, k - 1)^{\infty}$  and by Lemma 4.7

$$S_{\beta_f(m),m} = \left\{ (\epsilon_i)_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}} : (\epsilon_i, \epsilon_{i+1}, \dots) < (k + 1, k - 1)^{\infty} \text{ and } (k - 1, k + 1)^{\infty} < (\epsilon_i, \epsilon_{i+1}, \dots) \text{ for all } i \in \mathbb{N} \right\}.$$

By our previous analysis we know that for  $\beta \in (\mathcal{G}(m), m + 1]$  the point  $\frac{k}{\beta - 1}$  has a unique  $\beta$ -expansion, the  $\beta$ -expansion of this point is the sequence  $(k)^{\infty}$ . By Remark 4.8, to prove

$|S_{\beta,m}| = 1$  for  $\beta \in (\mathcal{G}(m), \beta_f(m)]$  it suffices to show that  $S_{\beta_f(m),m} = \{(k)^\infty\}$ . If  $(\epsilon_i)_{i=1}^\infty \in S_{\beta_f(m),m}$ , then clearly  $\epsilon_i$  must equal  $k - 1, k$  or  $k + 1$ . If  $\epsilon_i = k + 1$  then by Lemma 4.7  $\epsilon_{i+1} = k - 1$ , similarly if  $\epsilon_i = k - 1$  then  $\epsilon_{i+1} = k + 1$ . Therefore if  $\epsilon_i \neq k$  for some  $i$ , then  $(\epsilon_i, \epsilon_{i+1}, \dots)$  must equal  $(k - 1, k + 1)^\infty$  or  $(k + 1, k - 1)^\infty$ . By Lemma 4.7 this cannot happen and we can conclude that  $S_{\beta_f(m),m} = \{(k)^\infty\}$ . For  $\beta \in (\beta_f(m), m + 1]$ , we can construct a countable subset of  $S_{\beta,m}$ ; for example all sequences of the form  $(k)^j(k + 1, k - 1)^\infty$  where  $j \in \mathbb{N}$ .

We now consider the case where  $m = 2k + 1$ , when  $\beta = \beta_f(m)$  we have  $(d_i(m))_{i=1}^\infty = (k + 1, k + 1, k, k)^\infty$  and

$$S_{\beta_f(m),m} = \left\{ (\epsilon_i)_{i=1}^\infty \in \{0, \dots, m\}^\mathbb{N} : (\epsilon_i, \epsilon_{i+1}, \dots) < (k + 1, k + 1, k, k)^\infty \text{ and } (k, k, k + 1, k + 1)^\infty < (\epsilon_i, \epsilon_{i+1}, \dots) \text{ for all } i \in \mathbb{N} \right\}.$$

By our earlier analysis we know that  $\{(k, k + 1)^\infty, (k + 1, k)^\infty\} \subset S_{\beta,m}$  for  $\beta \in (\mathcal{G}(m), m + 1]$ . By Remark 4.8 to prove  $|S_{\beta,m}| = 2$  for  $\beta \in (\mathcal{G}(m), \beta_f(m)]$  it suffices to show that  $S_{\beta_f(m),m} = \{(k, k + 1)^\infty, (k + 1, k)^\infty\}$ . By an analogous argument to that given in [9] we can show that if  $(\epsilon_i)_{i=1}^\infty \in S_{\beta_f(m),m}$  then  $\epsilon_i = k$  implies  $\epsilon_{i+1} = k + 1$ , and  $\epsilon_i = k + 1$  implies  $\epsilon_{i+1} = k$ . Clearly any element of  $S_{\beta_f(m),m}$  must begin with  $k$  or  $k + 1$  and we may therefore conclude that  $S_{\beta_f(m),m} = \{(k, k + 1)^\infty, (k + 1, k)^\infty\}$ . To see that  $|W_{\beta,m}| \geq \aleph_0$  for  $\beta > \beta_f(m)$  we observe that  $(k + 1, k)^j(k + 1, k + 1, k, k)^\infty \in S_{\beta,m}$  for all  $j \in \mathbb{N}$ , for  $\beta > \beta_f(m)$ .  $\square$

**4.2. The growth rate of  $\mathcal{G}(m)$ ,  $\beta_f(m)$  and  $\beta_c(m)$**

In this section we study the growth rate of the sequences  $(\mathcal{G}(m))_{m=1}^\infty$ ,  $(\beta_f(m))_{m=1}^\infty$  and  $(\beta_c(m))_{m=1}^\infty$ . The following theorem summarises the growth rate of each of these sequences.

**Theorem 4.10.** 1.  $\mathcal{G}(2k) = k + 1$  for all  $k \in \mathbb{N}$ .

- 2.  $\beta_f(2k) - (k + 2) = O(\frac{1}{k})$ .
- 3.  $\beta_c(2k) - (k + 2) \rightarrow 0$  as  $k \rightarrow \infty$ .
- 4.  $\mathcal{G}(2k + 1) - (k + 2) = O(\frac{1}{k})$ .
- 5.  $\beta_f(2k + 1) - (k + 2) \rightarrow 0$  as  $k \rightarrow \infty$ .
- 6.  $\beta_c(2k + 1) - (k + 2) \rightarrow 0$  as  $k \rightarrow \infty$ .

The proof of this theorem is somewhat trivial but we include it for completion. To prove this result we firstly require the following lemma.

**Lemma 4.11.** The sequence  $\beta_c(m)$  is asymptotic to  $\frac{m}{2}$ , i.e.,  $\lim_{m \rightarrow \infty} \frac{\beta_c(m)}{m/2} = 1$ .



*Proof.* Suppose  $m = 2k$ . It is a direct consequence of the definition of  $\lambda_i(m)$  and  $\beta_c(m)$  that the following inequalities hold

$$\sum_{i=0}^{\infty} \frac{k-1}{\beta_c(m)^i} \leq \beta_c(m) \leq \sum_{i=0}^{\infty} \frac{k+1}{\beta_c(m)^i},$$

which is equivalent to

$$\frac{k-1}{1 - \frac{1}{\beta_c(m)}} \leq \beta_c(m) \leq \frac{k+1}{1 - \frac{1}{\beta_c(m)}}.$$

Dividing through by  $m/2$  and using the fact that  $\beta_c(m) \rightarrow \infty$  we can conclude our result. The case where  $m = 2k + 1$  is proved similarly.  $\square$

We are now in a position to prove Theorem 4.10.

*Proof of Theorem 4.10.* Statements 1, 2 and 4 are an immediate consequence of Theorem 1.1 and Theorem 4.5. It remains to show statements 3 and 6 hold; statement 5 will follow from the fact that  $\mathcal{G}(2k+1) < \beta_f(2k+1) < \beta_c(2k+1)$ . It is immediate from the definition of  $\lambda_i(m)$  that if  $m = 2k$  then

$$\beta_c(m) = k + 1 + \frac{k}{\beta_c(m)} + \sum_{i=2}^{\infty} \frac{\lambda_{i+1}(m)}{\beta_c(m)^i}.$$

It is a straightforward consequence of  $1 \in U_{\beta_c(m),m}$  that  $|\sum_{i=1}^{\infty} \frac{\lambda_{i+j}(m)}{\beta_c(m)^i}| \leq 1$ , for all  $j, m \in \mathbb{N}$ . Therefore  $\sum_{i=2}^{\infty} \frac{\lambda_{i+1}(m)}{\beta_c(m)^i} \rightarrow 0$  as  $m \rightarrow \infty$ , combining this statement with Lemma 4.11 we may conclude our result when  $m = 2k$ . The case where  $m = 2k + 1$  is proved similarly.  $\square$

### 5. The growth rate and dimension theory of $\Sigma_{\beta,m}(x)$

To describe the growth rate of  $\beta$ -expansions we consider the following. Let

$$\mathcal{E}_{\beta,m,n}(x) = \left\{ (\epsilon_1, \dots, \epsilon_n) \in \{0, \dots, m\}^n \mid \exists (\epsilon_{n+1}, \epsilon_{n+2}, \dots) \in \{0, \dots, m\}^{\mathbb{N}} : \sum_{i=1}^{\infty} \frac{\epsilon_i}{\beta^i} = x \right\}.$$

We define an element of  $\mathcal{E}_{\beta,m,n}(x)$  to be a  $n$ -prefix for  $x$ . Moreover, we let

$$\mathcal{N}_{\beta,m,n}(x) = |\mathcal{E}_{\beta,m,n}(x)|$$

and define the *growth rate* of  $\mathcal{N}_{\beta,m,n}(x)$  to be

$$\lim_{n \rightarrow \infty} \frac{\log_{m+1} \mathcal{N}_{\beta,m,n}(x)}{n},$$

when this limit exists. When this limit does not exist we can consider the *lower and upper growth rates* of  $\mathcal{N}_{\beta,m,n}(x)$ , these are defined to be

$$\liminf_{n \rightarrow \infty} \frac{\log_{m+1} \mathcal{N}_{\beta,m,n}(x)}{n} \text{ and } \limsup_{n \rightarrow \infty} \frac{\log_{m+1} \mathcal{N}_{\beta,m,n}(x)}{n}$$

respectively.

In this paper we also consider  $\Sigma_{\beta,m}(x)$  from a dimension theory perspective. We endow  $\{0, \dots, m\}^{\mathbb{N}}$  with the metric  $d(\cdot, \cdot)$  defined as follows:

$$d(x, y) = \begin{cases} (m + 1)^{-n(x,y)} & \text{if } x \neq y, \text{ where } n(x, y) = \inf\{i : x_i \neq y_i\} \\ 0 & \text{if } x = y. \end{cases}$$

We will consider the Hausdorff dimension of  $\Sigma_{\beta,m}(x)$  with respect to this metric. It is a simple exercise to show that the following inequalities hold:

$$\dim_H(\Sigma_{\beta,m}(x)) \leq \liminf_{n \rightarrow \infty} \frac{\log_{m+1} \mathcal{N}_{\beta,m,n}(x)}{n} \leq \limsup_{n \rightarrow \infty} \frac{\log_{m+1} \mathcal{N}_{\beta,m,n}(x)}{n}. \quad (5)$$

The case where  $m = 1$  is studied in [4], [8] and [10]. In [4] and [8] the authors show that for  $\beta \in (1, \frac{1+\sqrt{5}}{2})$  and  $x \in (0, \frac{1}{\beta-1})$  we can bound the lower growth rate and Hausdorff dimension of  $\Sigma_{\beta,1}(x)$  below by some strictly positive function depending only on  $\beta$ , in [10] the growth rate is studied from a measure theoretic perspective. Our main result is the following.

**Theorem 5.1.** *For  $\beta \in (1, \mathcal{G}(m))$  and  $x \in (0, \frac{m}{\beta-1})$  the Hausdorff dimension of  $\Sigma_{\beta,m}(x)$  can be bounded below by some strictly positive constant depending only on  $\beta$ .*

By (5) a similar statement holds for both the lower and upper growth rates of  $\mathcal{N}_{\beta,m,n}(x)$ . Replicating the proof of Lemma 2.4 it can be shown that the following result holds.

**Proposition 5.2.**  $\mathcal{N}_{\beta,m,n}(x) = |\Omega_{\beta,m,n}(x)|$

By Proposition 5.2 we can identify elements of  $\Omega_{\beta,m,n}(x)$  with elements of  $\mathcal{E}_{\beta,m,n}(x)$ , as such we also define an element of  $\Omega_{\beta,m,n}(x)$  to be a *n-prefix* for  $x$ . To prove Theorem 5.1 we will use a method analogous to that given in [4]. We construct an interval  $\mathcal{I}_\beta \subset I_{\beta,m}$  such that, for each  $x \in \mathcal{I}_\beta$  we can generate multiple prefixes for  $x$  of a fixed length depending on  $\beta$  that map  $x$  back into  $\mathcal{I}_\beta$ . As we will see Theorem 5.1 will then follow by a counting argument. As was the case in our previous analysis we reduce the proof of Theorem 5.1 to two cases.

**5.1. Case where  $m$  is even**

In what follows we assume  $m = 2k$  for some  $k \in \mathbb{N}$ . To prove Theorem 5.1 we require the following technical lemma.

**Lemma 5.3.** *For each  $\beta \in (1, k + 1)$  there exists  $\epsilon_0(\beta) > 0$  such that, if  $x \in [\frac{1}{\beta}, \frac{1}{\beta} + \epsilon_0(\beta)]$  then  $T_{\beta,0}(x) \in [\frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$ , and similarly if  $x \in (\frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)}]$  then  $T_{\beta,m}(x) \in [\frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$ .*

*Proof.* This follows from Lemma 2.6 and a continuity argument. □

For each  $i \in \{1, \dots, m - 1\}$  we let  $\epsilon_i(\beta) = \frac{1}{2}(\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \frac{i+1}{\beta})$ . If  $\beta \in (1, k + 1)$  then  $\epsilon_i(\beta) > 0$  for each  $i \in \{1, \dots, m - 1\}$ . We define the interval  $\mathcal{I}_\beta = [L(\beta), R(\beta)]$  where  $L(\beta)$  and  $R(\beta)$  are defined as follows:

$$L(\beta) = \min \left\{ T_{\beta,1} \left( \frac{1}{\beta} + \epsilon_0(\beta) \right), \min_{i \in \{1, \dots, m-1\}} T_{\beta,i+1} \left( \frac{i+1}{\beta} + \epsilon_i(\beta) \right) \right\}$$

and

$$R(\beta) = \max \left\{ T_{\beta,m-1} \left( \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta) \right), \max_{i \in \{1, \dots, m-1\}} T_{\beta,i-1} \left( \frac{i+1}{\beta} + \epsilon_i(\beta) \right) \right\}.$$

We refer to Figure 5 for a diagram illustrating the interval  $\mathcal{I}_\beta$  in the case where  $m = 2$  and  $\beta \in (1, 2)$ .

**Proposition 5.4.** *Let  $\beta \in (1, k + 1)$ . There exists  $n(\beta) \in \mathbb{N}$  such that, for each  $x \in \mathcal{I}_\beta$  there exists two elements  $a, b \in \Omega_{\beta,m,n(\beta)}(x)$  such that  $a(x) \in \mathcal{I}_\beta$  and  $b(x) \in \mathcal{I}_\beta$ .*

*Proof.* Let  $x \in \mathcal{I}_\beta$ . Without loss of generality we may assume that  $\epsilon_0(\beta)$  is sufficiently small such that  $\mathcal{I}_\beta$  contains the switch region. By Lemma 2.6 there exists a sequence of maps  $a$  that map  $x$  into the interior of our switch region. By Lemma 5.3 we may assume that  $a(x) \in [\frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$ .

The distance between the endpoints of  $\mathcal{I}_\beta$  and the endpoints of  $I_{\beta,m}$  (the fixed points of the maps  $T_{\beta,0}$  and  $T_{\beta,m}$ ) can be bounded below by some positive constant, by Lemma 2.1  $T_{\beta,0}$  and  $T_{\beta,m}$  both scale the distance between their fixed points and a general point by a factor  $\beta$ , therefore we can bound the length of our sequence  $a$  above by some constant  $n_s(\beta) \in \mathbb{N}$  that does not depend on  $x$ . We will show that we can take  $n(\beta) = n_s(\beta) + 1$ .

We remark that:

$$L(\beta) \qquad \frac{1}{\beta} + \epsilon_0(\beta) \qquad \frac{2}{\beta} + \epsilon_1(\beta) \qquad \frac{\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta) \qquad R(\beta)$$

Figure 5: The interval  $\mathcal{I}_\beta$  in the case where  $m = 2$  and  $\beta \in (1, 2)$ .

$$\begin{aligned} \left[ \frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta) \right] &= \left[ \frac{1}{\beta} + \epsilon_0(\beta), \frac{2}{\beta} \right] \\ &\cup \left[ \frac{(m-2)\beta+2}{\beta(\beta-1)}, \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta) \right] \\ &\cup_{i=1}^{m-2} \left[ \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)}, \frac{i+2}{\beta} \right] \\ &\cup_{i=1}^{m-1} \left[ \frac{i+1}{\beta}, \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} \right]. \end{aligned}$$

We now proceed via a case analysis.

- If  $a(x) \in [\frac{1}{\beta} + \epsilon_0(\beta), \frac{2}{\beta}]$  then  $T_{\beta,0}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,1}(a(x)) \in \mathcal{I}_\beta$ .
- If  $a(x) \in [\frac{(m-2)\beta+2}{\beta(\beta-1)}, \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$  then  $T_{\beta,m-1}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,m}(a(x)) \in$

$\mathcal{I}_\beta$ .

- If  $a(x) \in [\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)}, \frac{i+2}{\beta}]$  for some  $i \in \{1, \dots, m-2\}$  then  $T_{\beta,i}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,i+1}(a(x)) \in \mathcal{I}_\beta$ .
- We reduce the case where  $a(x) \in [\frac{i+1}{\beta}, \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)}]$  for some  $i \in \{1, \dots, m-1\}$  to two subcases. If  $a(x) \in [\frac{i+1}{\beta}, \frac{i+1}{\beta} + \epsilon_i(\beta)]$  then by the monotonicity of our maps, both  $T_{\beta,i-1}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,i}(a(x)) \in \mathcal{I}_\beta$ . Similarly, in the case where  $a(x) \in [\frac{i+1}{\beta} + \epsilon_i(\beta), \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)}]$  both  $T_{\beta,i}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,i+1}(a(x)) \in \mathcal{I}_\beta$ .

We have shown that for any  $x \in \mathcal{I}_\beta$  there exists  $n(x) \leq n_s(\beta) + 1$  such that two distinct elements of  $\Omega_{\beta,m,n(x)}(x)$  map  $x$  into  $\mathcal{I}_\beta$ . If  $n(x) < n_s(\beta) + 1$  then we can concatenate our two elements of  $\Omega_{\beta,m,n(x)}(x)$  by an arbitrary choice of maps of length  $n_s(\beta) + 1 - n(x)$  that map the image of  $x$  into  $\mathcal{I}_\beta$ . This ensures that we can take our sequences of maps to be of length  $n_s(\beta) + 1$ .  $\square$

For  $\beta \in (1, k + 1)$  and  $x \in (0, \frac{m}{\beta-1})$  we may assume that there exists a sequence of maps  $a$  that maps  $x$  into  $\mathcal{I}_\beta$ . We denote the minimum number of maps required to do this by  $j(x)$ . Replicating arguments given in [4] we can use Proposition 5.4 to construct an algorithm by which we can generate two prefixes of length  $n(\beta)$  for  $a^{(j(x))}$ . Repeatedly applying this algorithm to successive images of  $a^{(j(x))}$  we can generate a closed subset of  $\Sigma_{\beta,m}(x)$ . We denote this set by  $\sigma_{\beta,m}(x)$  and the set of  $n$ -prefixes for  $x$  generated by this algorithm by  $\omega_{\beta,m,n}(x)$ . Replicating the proofs given in [4] we can show that the following lemmas hold.

**Lemma 5.5.** *Let  $x \in (0, \frac{m}{\beta-1})$ . Assume  $n \geq j(x)$  then*

$$|\omega_{\beta,m,n}(x)| \geq 2^{\frac{n-j(x)}{n(\beta)}-1}.$$

**Lemma 5.6.** *Let  $x \in (0, \frac{m}{\beta-1})$ . Assume  $l \geq j(x)$  and  $b \in \omega_{\beta,m,l}(x)$ , then for  $n \geq l$*

$$|\{a = (a_i)_{i=1}^n \in \omega_{\beta,m,n}(x) : a_i = b_i \text{ for } 1 \leq i \leq l\}| \leq 2^{\frac{n-l}{n(\beta)}+2}.$$

With these lemmas we are now in a position to prove Theorem 5.1 in the case where  $m$  is even. The argument used is analogous to the one given in [4], which is based upon Example 2.7 of [7].

*Proof of Theorem 5.1 when  $m = 2k$ .* By the monotonicity of Hausdorff dimension with respect to inclusion it suffices to show that  $\dim_H(\sigma_{\beta,m}(x))$  can be bounded below by a strictly positive constant depending only on  $\beta$ . It is a simple exercise to show that  $\sigma_{\beta,m}(x)$  is a compact set; by this result we may restrict to finite covers of  $\sigma_{\beta,m}(x)$ . Let  $\{U_n\}_{n=1}^N$  be a finite cover of  $\sigma_{\beta,m}(x)$ . Without loss of generality we may assume that all elements of our cover satisfy  $\text{Diam}(U_n) < (m + 1)^{-j(x)}$ . For each  $U_n$  there exists  $l(n) \in \mathbb{N}$  such that

$$(m + 1)^{-(l(n)+1)} \leq \text{Diam}(U_n) < (m + 1)^{-l(n)}.$$

It follows that there exists  $z^{(n)} \in \{0, \dots, m\}^{l(n)}$  such that,  $y_i = z_i^{(n)}$  for  $1 \leq i \leq l(n)$ , for all  $y \in U_n$ . We may assume that  $z^{(n)} \in \omega_{\beta, m, l(n)}(x)$ , if we supposed otherwise then  $\sigma_{\beta, m}(x) \cap U_n = \emptyset$  and we can remove  $U_n$  from our cover. We denote by  $C_n$  the set of sequences in  $\{0, \dots, m\}^{\mathbb{N}}$  whose first  $l(n)$  entries agree with  $z^{(n)}$ , i.e.

$$C_n = \left\{ (\epsilon_i)_{i=1}^{\infty} \in \{0, \dots, m\}^{\mathbb{N}} : \epsilon_i = z_i^{(n)} \text{ for } 1 \leq i \leq l(n) \right\}.$$

Clearly  $U_n \subset C_n$  and therefore the set  $\{C_n\}_{n=1}^N$  is a cover of  $\sigma_{\beta, m}(x)$ .

Since there are only finitely many elements in our cover there exists  $J$  such that  $(m + 1)^{-J} \leq \text{Diam}(U_n)$  for all  $n$ . We consider the set  $\omega_{\beta, m, J}(x)$ . Since  $\{C_n\}_{n=1}^N$  is a cover of  $\sigma_{\beta, m}(x)$  each  $a \in \omega_{\beta, m, J}(x)$  satisfies  $a_i = z_i^{(n)}$  for  $1 \leq i \leq l(n)$ , for some  $n$ . Therefore

$$|\omega_{\beta, m, J}(x)| \leq \sum_{n=1}^N \left| \{a \in \omega_{\beta, m, J}(x) : a_i = z_i^{(n)} \text{ for } 1 \leq i \leq l(n)\} \right|.$$

By counting elements of  $\omega_{\beta, m, J}(x)$  and Lemmas 5.5 and 5.6 we observe the following;

$$\begin{aligned} 2^{\frac{J-j(x)}{n(\beta)}-1} &\leq |\omega_{\beta, m, J}(x)| \\ &\leq \sum_{n=1}^N \left| \{a \in \omega_{\beta, m, J}(x) : a_i = z_i^{(n)} \text{ for } 1 \leq i \leq l(n)\} \right| \\ &\leq \sum_{n=1}^N 2^{\frac{J-l(n)}{n(\beta)}+2} \\ &= 2^{\frac{J+1}{n(\beta)}+2} \sum_{n=1}^N 2^{-\frac{l(n)+1}{n(\beta)}} \\ &\leq 2^{\frac{J+1}{n(\beta)}+2} \sum_{n=1}^N \text{Diam}(U_n)^{\frac{\log_{m+1} 2}{n(\beta)}}. \end{aligned}$$

Dividing through by  $2^{\frac{J+1}{n(\beta)}+2}$  yields

$$\sum_{n=1}^N \text{Diam}(U_n)^{\frac{\log_{m+1} 2}{n(\beta)}} \geq 2^{\frac{-j(x)-3n(\beta)-1}{n(\beta)}},$$

the right hand side is a positive constant greater than zero that does not depend on our choice of cover. It follows that  $\dim_H(\sigma_{\beta, m}(x)) \geq \frac{\log_{m+1} 2}{n(\beta)}$ , our result follows.  $\square$

**5.2. Case where  $m$  is odd**

In what follows we assume  $m = 2k + 1$  for some  $k \in \mathbb{N}$ . For  $\beta \in (1, \frac{2k+3}{2})$  the proof of Theorem 5.1 is analogous to the even case for  $\beta \in (1, k + 1)$ . As such, in what follows

we assume  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$ . The significance of  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$  is that for  $i \in \{1, \dots, m-1\}$  the  $i$ -th fixed digit interval is well defined.

Before defining the interval  $\mathcal{I}_\beta$  we require the following. We let

$$\epsilon_i(\beta) = \begin{cases} \frac{1}{2} \left( \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \frac{i}{\beta-1} \right) & \text{if } i \in \{1, \dots, k\} \\ \frac{1}{2} \left( \frac{i}{\beta-1} - \frac{i+1}{\beta} \right) & \text{if } i \in \{k+1, \dots, m-1\} \end{cases}$$

By Lemma 3.3,  $\epsilon_i(\beta) > 0$  for all  $i \in \{1, \dots, m-1\}$  for  $\beta \in (1, k+2)$ . Before proving an analogue of Proposition 5.4 we require the following technical lemmas. It is a simple exercise to show that the following analogue of Lemma 5.3 holds.

**Lemma 5.7.** *For each  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$  there exists  $\epsilon_0(\beta) > 0$  such that, if  $x \in [\frac{1}{\beta}, \frac{1}{\beta} + \epsilon_0(\beta))$  then  $T_{\beta,0}(x) \in [\frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$ , and similarly if  $x \in (\frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)})$  then  $T_{\beta,m}(x) \in [\frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$ .*

**Lemma 5.8.** *Let  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$ . For each  $i \in \{1, \dots, k-1\}$  there exists  $\epsilon_i^*(\beta) > 0$  such that, if  $x \in [\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i(\beta), \frac{i+1}{\beta} + \epsilon_i^*(\beta)]$  then  $T_{\beta,i}(x) < \frac{k+2}{\beta} + \epsilon_{k+1}$ . Similarly for  $i \in \{k+2, \dots, m-1\}$  there exists  $\epsilon_i^*(\beta) > 0$  such that, if  $x \in [\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i^*(\beta), \frac{i+1}{\beta} + \epsilon_i(\beta)]$  then  $T_{\beta,i}(x) > \frac{(k-1)\beta+m-(k-1)}{\beta(\beta-1)} - \epsilon_k$ .*

*Proof.* By the analysis given in the proof of Lemma 3.5 for  $i \in \{1, \dots, k-1\}$ , we have  $T_{\beta,i}(\frac{i+1}{\beta}) < \frac{k\beta+m-k}{\beta(\beta-1)}$  for  $\beta \in (1, \frac{k+1+\sqrt{k^2+6k+5}}{2})$ . However, for  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$   $\frac{k\beta+m-k}{\beta(\beta-1)} \leq \frac{k+2}{\beta}$ . The existence of  $\epsilon_i^*(\beta)$  then follows by a continuity argument and the monotonicity of the maps  $T_{\beta,i}$ . The case where  $i \in \{k+2, \dots, m-1\}$  is proved similarly. □

We are now in a position to define the interval  $\mathcal{I}_\beta$ . Let  $\mathcal{I}_\beta = [L(\beta), R(\beta)]$  where

$$L(\beta) = \min \left\{ T_{\beta,1} \left( \frac{1}{\beta} + \epsilon_0(\beta) \right), T_{\beta,k+1} \left( \frac{k\beta+k+1}{\beta^2-1} \right), \min_{i \in \{2, \dots, k\}} \left\{ T_{\beta,i} \left( \frac{i}{\beta} + \epsilon_{i-1}^*(\beta) \right) \right\}, \min_{i \in \{k+2, \dots, m\}} \left\{ T_{\beta,i} \left( \frac{i}{\beta} + \epsilon_{i-1}(\beta) \right) \right\} \right\}$$

and

$$R(\beta) = \max \left\{ T_{\beta,k} \left( \frac{(k+1)\beta+k}{\beta^2-1} \right), T_{\beta,m-1} \left( \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta) \right), \max_{i \in \{1, \dots, k\}} \left\{ T_{\beta,i-1} \left( \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i(\beta) \right) \right\}, \max_{i \in \{k+2, \dots, m-1\}} \left\{ T_{\beta,i-1} \left( \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i^*(\beta) \right) \right\} \right\}.$$

$$\begin{array}{ccc}
 L(\beta) & & R(\beta) \\
 \\
 \frac{1}{\beta} + \epsilon_0(\beta) & \frac{3}{\beta(\beta-1)} - \epsilon_1(\beta) \frac{\beta+2}{\beta^2-1} & \frac{2\beta+1}{\beta^2-1} \quad \frac{3}{\beta} + \epsilon_2(\beta) \quad \frac{2\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)
 \end{array}$$

Figure 6: The interval  $\mathcal{I}_\beta$  in the case where  $m = 3$  and  $\beta \in [\frac{5}{2}, 1 + \sqrt{3})$ .

For ease of exposition in Figure 6 we give a diagram illustrating the interval  $\mathcal{I}_\beta$ , in the case where  $m = 3$  and  $\beta \in [\frac{5}{2}, 1 + \sqrt{3})$ .

**Proposition 5.9.** *Let  $\beta \in [\frac{2k+3}{2}, \frac{k+1+\sqrt{k^2+6k+5}}{2})$ . There exists  $n(\beta) \in \mathbb{N}$  such that, for each  $x \in \mathcal{I}_\beta$  there exists two elements  $a, b \in \Omega_{\beta,m,n(\beta)}(x)$  such that  $a(x) \in \mathcal{I}_\beta$  and  $b(x) \in \mathcal{I}_\beta$ .*

*Proof.* Without loss of generality we may assume that  $\epsilon_0(\beta)$  is sufficiently small such that  $\mathcal{I}_\beta$  contains the switch region. By Lemma 2.6 there exists a sequence of maps  $a$  that map  $x$  into the switch region. As the endpoints of  $\mathcal{I}_\beta$  are bounded away from the endpoints of  $I_{\beta,m}$  we can bound the length of  $a$  above by some  $n_s(\beta) \in \mathbb{N}$ . Moreover, by Lemma 5.7 we may assume that  $a(x) \in [\frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$ . As in the even case it is useful



to treat  $[\frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$  as the union of subintervals. We observe that

$$\begin{aligned} \left[\frac{1}{\beta} + \epsilon_0(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)\right] &= \left[\frac{1}{\beta} + \epsilon_0(\beta), \frac{m}{\beta(\beta-1)} - \epsilon_1(\beta)\right] \\ &\cup \left[\frac{m}{\beta} + \epsilon_{m-1}(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)\right] \\ &\cup \left[\frac{(k-1)\beta+m-(k-1)}{\beta(\beta-1)} - \epsilon_k(\beta), \frac{k+2}{\beta} + \epsilon_{k+1}(\beta)\right] \\ &\cup_{i=2}^k \left[\frac{i}{\beta} + \epsilon_{i-1}^*(\beta), \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i(\beta)\right] \\ &\cup_{i=k+2}^{m-1} \left[\frac{i}{\beta} + \epsilon_{i-1}(\beta), \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i^*(\beta)\right] \\ &\cup_{i=1}^{k-1} \left[\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i(\beta), \frac{i+1}{\beta} + \epsilon_i^*(\beta)\right] \\ &\cup_{i=k+2}^{m-1} \left[\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i^*(\beta), \frac{i+1}{\beta} + \epsilon_i(\beta)\right]. \end{aligned}$$

Without loss of generality we may assume that  $\epsilon_0(\beta), \epsilon_i(\beta), \epsilon_i^*(\beta)$  are all sufficiently small such that each of the above intervals in our union are well defined and nontrivial. We now proceed via a case analysis.

- If  $a(x) \in [\frac{1}{\beta} + \epsilon_0(\beta), \frac{m}{\beta(\beta-1)} - \epsilon_1(\beta)]$  then  $T_{\beta,0}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,1}(a(x)) \in \mathcal{I}_\beta$ .
- If  $a(x) \in [\frac{m}{\beta} + \epsilon_{m-1}(\beta), \frac{(m-1)\beta+1}{\beta(\beta-1)} - \epsilon_0(\beta)]$  then  $T_{\beta,m-1}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,m}(a(x)) \in \mathcal{I}_\beta$ .
- Suppose  $a(x) \in [\frac{(k-1)\beta+m-(k-1)}{\beta(\beta-1)} - \epsilon_k(\beta), \frac{k+2}{\beta} + \epsilon_{k+1}(\beta)]$ . If  $a(x) \in [\frac{k\beta+k+1}{\beta^2-1}, \frac{(k+1)\beta+k}{\beta^2-1}]$  then  $T_{\beta,k}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,k+1}(a(x)) \in \mathcal{I}_\beta$ . If  $a(x) \in [\frac{(k-1)\beta+m-(k-1)}{\beta(\beta-1)} - \epsilon_k(\beta), \frac{k\beta+k+1}{\beta^2-1}]$  then we are a bounded distance away from the fixed point of the map  $T_{\beta,k}$ , by Lemma 2.1 we know that  $T_{\beta,k}$  scales the distance between  $a(x)$  and the fixed point of  $T_{\beta,k}$  by a factor  $\beta$ , therefore we can bound the number of maps required to map  $a(x)$  into  $[\frac{k\beta+k+1}{\beta^2-1}, \frac{(k+1)\beta+k}{\beta^2-1}]$ . By a similar argument, if  $a(x) \in [\frac{(k+1)\beta+k}{\beta^2-1}, \frac{k+2}{\beta} + \epsilon_{k+1}(\beta)]$  we can bound the number of maps required to map  $a(x)$  into  $[\frac{k\beta+k+1}{\beta^2-1}, \frac{(k+1)\beta+k}{\beta^2-1}]$ . By the above we can assert that when  $a(x) \in [\frac{(k-1)\beta+m-(k-1)}{\beta(\beta-1)} - \epsilon_k(\beta), \frac{k+2}{\beta} + \epsilon_{k+1}(\beta)]$  there exists two distinct sequences of maps whose length we can bound above by some  $n_c(\beta) \in \mathbb{N}$  that map  $a(x)$  into  $\mathcal{I}_\beta$ .
- If  $a(x) \in [\frac{i}{\beta} + \epsilon_{i-1}^*(\beta), \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i(\beta)]$  for some  $i \in \{2, \dots, k-1\}$  then  $T_{\beta,i-1}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,i}(a(x)) \in \mathcal{I}_\beta$ .

- If  $a(x) \in [\frac{i}{\beta} + \epsilon_i(\beta), \frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i^*(\beta)]$  for some  $i \in \{k+2, \dots, m-1\}$  then  $T_{\beta,i-1}(a(x)) \in \mathcal{I}_\beta$  and  $T_{\beta,i}(a(x)) \in \mathcal{I}_\beta$ .
- If  $a(x) \in [\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i(\beta), \frac{i+1}{\beta} + \epsilon_i^*(\beta)]$  for some  $i \in \{1, \dots, k-1\}$  then  $a(x)$  is a bounded distance away from the fixed point of the map  $T_{\beta,i}$ , by Lemma 2.1 we know that  $T_{\beta,i}$  scales the distance between  $a(x)$  and its fixed point by a factor  $\beta$ , therefore we can bound the number of maps required to map  $a(x)$  outside of the interval  $[\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i(\beta), \frac{i+1}{\beta} + \epsilon_i^*(\beta)]$  by some  $n_i(\beta) \in \mathbb{N}$ . If  $a(x)$  has been mapped into an interval covered by one of the above cases we are done, if not it has to be mapped into another interval of the form  $[\frac{(j-1)\beta+m-(j-1)}{\beta(\beta-1)} - \epsilon_j(\beta), \frac{j+1}{\beta} + \epsilon_j^*(\beta)]$ . By Corollary 3.4 and Lemma 5.8 we know that  $i < j \leq k+1$ . Repeating the previous step as many times as is necessary we can ensure that within  $\sum_{i=1}^{k-1} n_i(\beta)$  maps,  $a(x)$  has to be mapped into an interval that was addressed in one of our previous cases.
- The case where  $a(x) \in [\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i^*(\beta), \frac{i+1}{\beta} + \epsilon_i(\beta)]$  for some  $i \in \{k+2, \dots, m-1\}$  is analogous to the case where  $a(x) \in [\frac{(i-1)\beta+m-(i-1)}{\beta(\beta-1)} - \epsilon_i(\beta), \frac{i+1}{\beta} + \epsilon_i^*(\beta)]$  for some  $i \in \{1, \dots, k-1\}$ .

We have shown that for any  $x \in \mathcal{I}_\beta$  there exists  $n(x) \in \mathbb{N}$  such that, two distinct elements of  $\Omega_{\beta,m,n(x)}(x)$  map  $x$  into  $\mathcal{I}_\beta$ , moreover  $n(x) \leq n_s(\beta) + n_c(\beta) + \sum_{i=1}^{k-1} n_i(\beta)$ . We take  $n(\beta)$  to equal  $n_s(\beta) + n_c(\beta) + \sum_{i=1}^{k-1} n_i(\beta)$ . If  $n(x) < n(\beta)$  then as in the even case we concatenate our image of  $x$  by an arbitrary sequence of maps of length  $n(\beta) - n(x)$  that map  $x$  into  $\mathcal{I}_\beta$ , this ensures our sequences of maps are of length  $n(\beta)$ . □

Repeating the analysis given in the case where  $m$  is even we can conclude Theorem 5.1 in the case where  $m$  is odd.

### 6. Open questions and a table of values for $\mathcal{G}(m)$ , $\beta_f(m)$ and $\beta_c(m)$

We conclude with a few open questions and a table of values for  $\mathcal{G}(m)$ ,  $\beta_f(m)$  and  $\beta_c(m)$ .

- In [1] the authors study the order in which periodic orbits appear in the set of uniqueness. When  $m = 1$  they show that as  $\beta \nearrow 2$  the order in which periodic orbits appear in the set of uniqueness is intimately related to the classical Sharkovskii ordering. It is natural to ask whether a similar result holds in our general case.
- In [18] it is shown that when  $m = 1$  and  $\beta = \frac{1+\sqrt{5}}{2}$  the set of numbers:  $x = \frac{(1+\sqrt{5})n}{2} \pmod{1}$  for some  $n \in \mathbb{N}$  have countably many  $\beta$ -expansions, while the other elements of  $(0, \frac{1}{\beta-1})$  have uncountably many  $\beta$ -expansions. Does an analogue of this statement hold in the case of general  $m$ ?

- Let  $p_1, \dots, p_k$  be points in  $\mathbb{R}^d$  such that the polyhedra  $\Pi$  with these vertices is convex. Let  $\{f_i\}_{i=1}^k$  be the one parameter family of maps given by

$$f_i(x) = \lambda x + (1 - \lambda)p_i,$$

where  $\lambda \in (0, 1)$  is our parameter. As is well know there exists a unique compact non-empty  $S_\lambda$  such that  $S_\lambda = \cup_{i=1}^k f_i(S_\lambda)$ . We say that  $(\epsilon_i)_{i=1}^\infty \in \{1, \dots, k\}^\mathbb{N}$  is an address for  $x \in S_\lambda$  if  $\lim_{n \rightarrow \infty} (f_{\epsilon_n} \circ \dots \circ f_{\epsilon_1})(\mathbf{0}) = x$ . We ask whether an analogue of the golden ratio exists in this case, i.e, does there exists  $\lambda^*$  such that for  $\lambda \in (\lambda^*, 1)$  every  $x \in S_\lambda \setminus \{p_1, \dots, p_k\}$  has uncountably many addresses, but for  $\lambda \in (0, \lambda^*)$  there exists  $x \in S_\lambda \setminus \{p_1, \dots, p_k\}$  with a unique address. In [16] the author shows that an analogue of the golden ratio exists in the case when  $d = 2$  and  $k = 3$ .

Table 1: Table of values for  $\mathcal{G}(m)$ ,  $\beta_f(m)$  and  $\beta_c(m)$

$m$	$\mathcal{G}(m)$	$\beta_f(m)$	$\beta_c(m)$
1	$\frac{1+\sqrt{5}}{2} \approx 1.61803 \dots$	1.75488...	1.78723...
2	2	$1 + \sqrt{2} = 2.41421 \dots$	2.47098...
3	$1 + \sqrt{3} \approx 2.73205 \dots$	2.89329...	2.90330...
4	3	$\frac{3+\sqrt{17}}{2} = 3.56155 \dots$	3.66607...
5	$\frac{3+\sqrt{21}}{2} \approx 3.79129 \dots$	3.93947	3.94583...
6	4	$2 + \frac{\sqrt{28}}{2} = 4.64575 \dots$	4.75180...
7	$2 + 2\sqrt{2} \approx 4.82843 \dots$	4.96095...	4.96496...
8	5	$\frac{5+\sqrt{41}}{2} = 5.70156 \dots$	5.80171...
9	$\frac{5+\sqrt{45}}{2} \approx 5.85410 \dots$	5.97273...	5.97537...
10	6	$3 + \sqrt{14} = 6.74166 \dots$	6.83469...

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