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Sodium Hydroxide Enhances Extractability and Analysis of Proanthocyanidins in Ensiled Sainfoin (*Onobrychis viciifolia*)

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1 **ABSTRACT:** Little information exists on the effects of ensiling on condensed tannins
2 or proanthocyanidins. The acetone–butanol–HCl assay is suitable for measuring
3 proanthocyanidin contents in a wide range of samples, silages included, but provides
4 limited information on proanthocyanidin composition, which is of interest for
5 deciphering the relationships between tannins and their bioactivities in terms of
6 animal nutrition or health. Degradation with benzyl mercaptan (thiolysis) provides
7 information on proanthocyanidin composition but proanthocyanidins in several
8 sainfoin silages have proved resistant to thiolysis. We now report that a pre-
9 treatment step with sodium hydroxide prior to thiolysis was needed to enable their
10 analysis. This alkaline treatment increased their extractability from ensiled sainfoin
11 and facilitated especially the release of larger proanthocyanidins. Ensiling reduced
12 assayable proanthocyanidins by 29% but the composition of the remaining
13 proanthocyanidins in silage resembled those of the fresh plants.

14

15 **KEYWORDS:** *silage, thiolysis, unextractable tannins, alkaline pre-treatment, reaction*
16 *products*

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23 INTRODUCTION

24 Sainfoin (*Onobrychis viciifolia*) is a perennial forage legume that grows in parts of
25 Europe, the U.S. and Canada.¹ Ruminant animals generate safer forms of
26 environmental nitrogen emissions on sainfoin diets,² can safely graze it as it is non-
27 bloating¹ and suffer lower intestinal parasite burdens.^{3,4} These health and
28 environmental benefits have been attributed to its proanthocyanidins (Figure 1).⁵
29 Sainfoin is suitable for processing into silage, which provides energy and protein
30 during periods of feed shortages.⁶ It has, however, also been shown that
31 preservation, such as ensiling, lowers proanthocyanidin extractability in different
32 forage legumes.^{5,7}

33 Currently, there is no information on the effects of ensiling on proanthocyanidin
34 composition. Few methods exist for analyzing tannins in fermented samples and the
35 HCl-butanol method is currently the most widely used method for silages^{6,8,9} but
36 provides only limited information on proanthocyanidin structures. In contrast, the
37 milder acid-catalyzed degradation of proanthocyanidins with benzyl mercaptan (*i.e.*
38 thiolysis) yields quantitative data on the composition of flavan-3-ols in extension and
39 terminal units and enables calculation of the mean degree of polymerization of the
40 proanthocyanidins.¹⁰ Surprisingly, however, although proanthocyanidins can be
41 quantitated by the HCl-butanol assay in silages,^{11,12} thiolysis¹⁰ with benzyl mercaptan
42 at 40 °C did not detect any proanthocyanidins in several silages.

43 There is some evidence that the decrease of extractable proanthocyanidins in
44 sainfoin and other plants after ensiling is caused by the binding of proanthocyanidins
45 to protein and fiber.^{5,7,11} Recently, White *et al.*¹³ reported that alkaline hydrolysis with
46 NaOH improved the extraction of A-type procyanidins from cranberry pomace and
47 NaOH is also commonly used to facilitate the extraction of various esterified or

48 bound phenolics.^{14,15} Therefore, the present study evaluated whether pre-treatment
49 with NaOH would enable compositional analysis of proanthocyanidins in ensiled
50 sainfoin by thiolytic degradation with benzyl mercaptan.

51

52 **MATERIALS AND METHODS**

53 **Chemicals.** Hydrochloric acid (concentrated, 36%), acetone (analytical reagent
54 grade), dichloromethane (HPLC grade) and acetonitrile (HPLC grade) were
55 purchased from ThermoFisher Scientific Ltd (Loughborough, U.K.); (±)-taxifolin
56 (98%) from Apin Chemicals (Abingdon, U.K.); benzyl mercaptan (98%), catechin (**3**),
57 epicatechin (**4**), gallocatechin (**1**), epigallocatechin (**2**), quercetin (95%), kaempferol
58 (98%), gallic acid and 3,4-dihydroxybenzoic acid from Sigma-Aldrich (Poole, U.K.);
59 rutin (98%) from Lancaster Synthesis (Lancaster, UK) and formononetin (98%) from
60 Acros Organics (Loughborough, UK). Deionized water was obtained from a Milli-Q
61 System (Millipore, Watford, U.K.). Quercetin, kaempferol and formononetin were
62 dissolved in methanol/water (1:1, v/v) using six concentrations (n = 2) and peak
63 areas were used for the calibration curve.

64

65 **Plant Materials.** Sainfoin (*Onobrychis viciifolia* 'Perly') was sown at the Swiss
66 Federal Research Station ALP (Posieux, altitude: 650 m) in April 2012 and harvested
67 at the early flowering stage on 9 July 2012. A bulked sample (5 kg) was freeze-dried
68 (= fresh/freeze-dried sample) and had a dry matter (DM) content of 169.4 g/kg fresh
69 material and an organic matter (OM) content of 923.2 g/kg DM. The samples were
70 wilted for 24 h in the field in a swath to minimize leaf loss and then chopped (1-2 cm)
71 with a Mex GT chaff cutter (Poettinger, Grieskirchen, Austria). The wilted samples
72 (DM content was 377.9 g/kg fresh wet material; OM content was 913.2 g/kg DM)

73 were ensiled without additives in 30 L barrels. The barrels were filled, compressed
74 by hand and stored at room temperature in a dark room for 86 d. The room was
75 neither cooled nor heated in the barn facilities (without insulation). The room
76 temperature was recorded every week and ranged from 23.0 °C (August) to 17.7 °C
77 (October). On average it was 20.4 °C. On opening the silo after 86 days of ensiling,
78 the pH was 4.51 ± 0.03 , the concentrations of short chain volatile fatty acids were
79 6.72 ± 0.94 (mg/kg DM) for acetate, 56.9 ± 5.96 (mg/kg DM) for lactate and $1.02 \pm$
80 0.06 (mg/kg DM) for butyrate. The silage was mixed by hand in a tray, subsamples
81 were taken, freeze-dried, ground to pass a <1 mm screen sieve with an impeller
82 SM1 cutting mill (Retsch, Haan, Germany) and stored at room temperature. The
83 silage DM content was 370.7 g/kg, and the OM content was 911.3 g/kg DM. Three
84 other sainfoin silage samples (SF1 to SF3, field replicates) were prepared in INRA
85 Theix (France) according to Copani *et al.*⁹

86

87 **Preparation of a Purified Proanthocyanidin Fraction and Acetone-Water**

88 **Extracts.** A purified proanthocyanidin fraction was isolated for use as a
89 proanthocyanidin standard in the acetone-butanol-HCl assay¹⁶ and also for the
90 proanthocyanidin degradation test with NaOH. Sainfoin 'Cotswold Common' was
91 harvested on 24 July 2007 from Hartley Farm near Seven Springs (Cheltenham,
92 Gloucestershire, U.K.), manually separated from weeds, and freeze-dried. It was
93 ground using a impeller SM1 cutting mill (Retsch, Haan, Germany) to pass <8 mm
94 and then ground again to <1 mm. The ground sainfoin (20 g) was extracted with
95 acetone/water (7:3, v/v; 250 mL, 1 h). Acetone was removed under vacuum and the
96 aqueous phase was loaded on a Sephadex LH-20 column. The column was rinsed,
97 firstly with water, and then with acetone/water (3:7), and the proanthocyanidin

98 fraction was eluted with acetone/water (1:1, v/v). Thiolysis¹⁰ coupled to HPLC-MS
99 revealed that this fraction had a very high proanthocyanidin content (98 g/100 g
100 fraction) with an mean degree of polymerization value of 9.7, a
101 procyanidin/prodelphinidin ratio of 44.1/55.9 and a *cis/trans* flavan-3-ol ratio of
102 81.9/18.1.

103 In addition, separate extracts were prepared from the fresh/freeze-dried and ensiled
104 sainfoin samples (5 g) from Posieux with acetone/water (7:3, v/v; 63 mL, 1 h) as
105 previously described.¹⁰ The residues remaining after this acetone-water extraction
106 were also kept for proanthocyanidin analysis (= solvent-extracted residue).

107

108 **Thiolysis of the Purified Proanthocyanidin Fraction, Acetone/Water Extracts**

109 **and Solvent-Extracted Residues.** The purified proanthocyanidin fraction (8 mg),

110 acetone-water extracts and plant residues that remained after the acetone-water

111 extraction (8 mg) were placed into a 100 mm x 16 mm screw cap glass tube (Fisher

112 Scientific, Loughborough, U.K.) with a stirring magnet (10 x 5 x 5 mm). Methanol (1.5

113 mL) was added followed by methanol acidified with concentrated HCl (3.3%; 500 µL)

114 and benzyl mercaptan (50 µL). Tubes were capped and placed into a water bath at

115 40 °C for 1 h under vigorous stirring. ¹⁰ The reaction was stopped by placing the tube

116 in an ice bath for 5 min. Distilled water (2.5 mL) and the internal standard, taxifolin in

117 methanol (500 µL; 0.1 mg/mL), were added and thoroughly mixed. The mixture was

118 transferred into a vial (800 µL), closed with a crimp top and analyzed by HPLC-MS

119 within 24 h.

120

121 **Sodium Hydroxide Pre-Treatment of Plant Samples and Solvent-Extracted**

122 **Residues.** Fresh/freeze-dried sainfoin, ensiled sainfoin or acetone-water extracted

123 plant residues (150 mg) were placed into a screw cap tube containing a stirring
124 magnet. Air was replaced with argon before adding aqueous NaOH (0.5, 1, 2, 3, 4 M;
125 2 mL). The tube was flushed once more with argon just before capping, placed into a
126 water bath at 40 °C and stirred for 5, 15, 30, 60 min at 1500 rpm. The tube was
127 cooled for 2 min in an ice bath, the solution was neutralized with 4 M HCl, stirred,
128 distilled water (1 mL) was added and the tube was left stirring to cool for another 2
129 min. The sample was centrifuged at 3000 rpm for 1 min, frozen and freeze-dried
130 before thiolysis.

131

132 ***In Planta* Thiolysis.** The original untreated and NaOH treated samples (150 mg),
133 which were the freeze-dried sainfoin sample from Posieux, the ensiled sainfoin
134 samples from Posieux and Theix and the acetone-water solvent extracted residues
135 from the Posieux samples, were reacted with the thiolysis reagent (2 mL methanol, 1
136 mL of 3.3% HCl in methanol, and 100 µL benzyl mercaptan). The tubes were capped
137 immediately and placed in a water bath at 40 °C for 1 h under vigorous stirring. The
138 reaction was stopped by placing the tubes in an ice bath for 5 min. The samples
139 were centrifuged at 3000 rpm for 3 min, and supernatants (1 mL) were transferred to
140 clean screw cap glass tubes. Distilled water (4 mL) was added to the supernatants
141 with the internal standard, taxifolin in methanol (500 µL; 0.1 mg/mL). The tubes were
142 capped, shaken, and analyzed by HPLC-MS within 24 h.

143

144 **HPLC-MS Analysis.** Flavan-3-ols and their benzyl mercaptan-adducts were
145 identified by HPLC-MS analysis on an Agilent 1100 Series HPLC system and an
146 API-ES Hewlett Packard 1100 MSD detector (Agilent Technologies, Waldbronn,
147 Germany). Samples (20 µL) were injected into the HPLC at room temperature and

148 the column used was a 250 mm x 4.6 mm i.d., 3 μ m, ACE C₁₈ column with a 10 mm
149 x 4.6 mm i.d. guard cartridge of the same material (Hichrom Ltd, Theale, U.K.). The
150 HPLC system consisted of a G1379A degasser, G1312A binary pump, a G1313A
151 ALS autoinjector and a G1314A VWD UV detector. Data were acquired with
152 ChemStation software (version A 10.01 Rev. B.01.03). The flow rate was 0.75
153 mL/min using 1% acetic acid in water (solvent A) and HPLC-grade acetonitrile
154 (solvent B). The following gradient was employed: 0-35 min, 0-36% B; 35-40 min,
155 36-50% B; 40-45 min, 50-100% B; 45-55 min, 100-0% B; 55-60 min, 0% B. Eluting
156 compounds were recorded at 280 nm. Mass spectra were recorded in the negative
157 ionization scan mode from *m/z* 100–1000 using the following conditions: capillary
158 voltage, -3000 V; nebulizer gas pressure, 35 psi; drying gas, 12 mL/min; and dry
159 heater temperature, 350 °C. Flavan-3-ol terminal and extension units were
160 quantitated relative to taxifolin.¹⁰ This provided information on proanthocyanidin
161 content and composition in terms of flavan-3-ol terminal and extension units. It also
162 allowed calculation of the mean degree of polymerization, the percentage of
163 procyanidin and prodelfphinidin tannins and *cis*- and *trans* flavan-3-ols.¹⁰

164

165 **Proanthocyanidin Analysis by the Acetone–Butanol–HCl Assay.** The
166 acetone–butanol–HCl reagent¹⁶ was also used to analyze freeze-dried fresh and
167 ensiled materials. Samples (5 mg) were placed in glass screw cap tubes with the
168 acetone–butanol–HCl reagent (10 mL) and a small magnetic stirrer. Tubes were
169 heated at 70 °C for 2.5 hours, cooled to room temperature and centrifuged for 1 min
170 at 3000 rpm. Absorbance was recorded at 555 nm in a CE 2040-2000 series
171 UV/visible spectrophotometer (Cecil, London, U.K.). The acetone–butanol–HCl
172 reagent was used as a blank and all samples were run in triplicate. The purified

173 proanthocyanidin fraction described above was used as standard for the calibration
174 curve.

175

176 **Statistical Analysis.** The proanthocyanidin parameters (content, mean degree of
177 polymerization, % prodelphinidin, % *cis-flavanols*) were subjected to a two-way
178 analysis of variance (ANOVA) performed with repeated measures analysis to test the
179 effect of NaOH concentration and reaction time. All analyses were determined by
180 Systat 9 (SPSS Ltd.). Differences between means were determined using the
181 protected LSD ($\alpha = 0.01$).

182

183 **RESULTS AND DISCUSSION**

184 **Optimization of Alkaline Pre-treatment for Ensiled Sainfoin.** Several NaOH
185 concentrations, temperatures and reaction times were tested initially. These trials
186 showed that low NaOH concentrations (0.05 and 0.1 M) did not improve
187 proanthocyanidin detection after thiolysis and reactions at 60 °C induced
188 considerable proanthocyanidin degradation (data not shown). These NaOH studies
189 were repeated several times over the course of three months and generated similar
190 results. All of these initial studies were conducted under nitrogen and produced
191 rather large standard deviations that were successfully reduced once the NaOH
192 reaction was performed under argon. Subsequent experiments, therefore, explored
193 0.5, 1, 2, 3 and 4 M NaOH concentrations and 5, 15, 30, 60 min reaction times at 40
194 °C under argon. Time ($P < 0.001$) and NaOH concentration ($P < 0.001$ to 0.008) had
195 a significant effect on the thiolysis results in terms of proanthocyanidin content, mean
196 degree of polymerization, percentages of prodelphinidins and *cis* flavan-3-ols within
197 proanthocyanidins. The mean degree of polymerization was also significantly ($P <$

198 0.001) affected by a time x NaOH concentration interaction. Overall, the length of the
199 reaction time had the greatest effect on all parameters followed by NaOH
200 concentration.

201 The highest proanthocyanidin content (2.17 g/100 g dry weight; cv = 4.3%) was
202 obtained with 1 M NaOH and a 15 min reaction time. The mean degree of
203 polymerization was relatively stable at 0.5, 1 and 2 M NaOH; and a value of 9.1, with
204 the 1 M NaOH and 15 min pre-treatment, was comparable to 8.2 in the fresh sainfoin
205 (Table 1). The percentage of prodelphinidins remained stable between 15 and 60
206 min with 1 and 2 M NaOH, and the percentage of *trans* flavan-3-ols increased only
207 slightly over 60 min.

208 A closer look at the flavan-3-ol composition revealed that more terminal catechin (**3**)
209 and especially epigallocatechin (**2**) units were detectable with the lowest NaOH
210 concentrations (0.5 and 1 M) and 15 and 30 min reaction times (Table 2). The
211 epicatechin (**4**) concentration from the 1 M NaOH reaction was low, but remained
212 constant over time and produced consistently small errors in contrast to the 0.5 and
213 2 M NaOH treatments. The highest extension unit concentrations of galocatechin
214 (**1**), epigallocatechin (**2**) and epicatechin (**4**) were obtained with 1 M NaOH and 5 or
215 15 min reaction times. However, the differences were not significant and standard
216 errors were generally smaller at 15 min compared to 5 or 60 min. Thus, we chose
217 the 1 M NaOH and 15 min pre-treatment because this gave the highest
218 proanthocyanidin content based on a maximal release of epigallocatechin (**2**),
219 epicatechin (**4**) and galocatechin (**1**) units, the most stable mean degree of
220 polymerization and consistently low standard errors. Longer hydrolysis times and
221 especially higher NaOH concentrations (2 to 4 M) led to lower mean degrees of

222 polymerization, which suggested either proanthocyanidin depolymerization or
223 degradation as observed previously.¹³

224

225 **Effect of NaOH on Purified Tannins.** Next, the effects of the optimized NaOH
226 reaction conditions were tested on a purified sainfoin proanthocyanidin fraction in
227 order to identify marker compounds that might be indicative of any
228 proanthocyanidin-derived degradation products from the NaOH reaction when
229 applied directly to silage samples. HPLC chromatograms before and after NaOH pre-
230 treatment are shown in Figure 2. Flavan-3-ol terminal units (peaks 1 to 4) and
231 extension units (peaks 6 to 11) are still visible after 15 min (Figure 2B) but start to
232 disappear after 60 min (Figure 2C). These chromatograms revealed a rapid loss of
233 proanthocyanidins (from 100 g to less than 20 g/100 g fraction) and a change in the
234 mean degree of polymerization from 9.7 to less than 6 within 5 min. The most
235 noticeable effect was the appearance of a 'hump', which is likely to stem from
236 oxidized or polymerized proanthocyanidins and suggested that many more reaction
237 products were formed over time during NaOH treatment.¹⁷

238 Several of the degradation products (peaks a to g, Figure 2) were tentatively
239 assigned based on their *m/z*-values and literature reports: peak a with an *m/z* value
240 of 169.2 (RT = 13.96 min) could stem from gallic acid and peak c with an *m/z* of
241 153.2 (RT = 19.57 min) from 3,4-dihydroxybenzoic acid as these are typical products
242 from base-catalyzed/degradation reaction of the B-rings of prodelphinidins and
243 procyanidins, respectively.¹⁸ Other plausible proanthocyanidin oxidation/degradation
244 products are peaks b and d with *m/z* values of 303.3 (RT = 14.31 min) and 319.2 (RT
245 = 24.05 min), respectively, which might be α -ketoretro-chalcones derived from
246 base-catalyzed opening of the C-ring of catechin (**3**) or epicatechin (**4**) moieties in

247 procyanidins and galocatechin (1) or epigallocatechin (2) moieties in prodelphinidins
248 followed by the cleavage of the interflavanyl bond under base-catalysis.^{18,19} Peak e
249 (m/z of 309.2; RT 31.87 min) could have come from an epigallocatechin (2) oxidation
250 product as reported after H₂O₂ treatment;²⁰ peak g (m/z of 427.3; RT = 47.75 min)
251 from theacitrinin A;¹⁹ and peak f (m/z of 310.2; RT = 45.29 min) could be the
252 rearranged benzylsulfanyl indan derivative of catechinic acid.¹⁸

253

254 **NaOH Pre-Treatment for *in Planta* Analysis of Proanthocyanidins in Ensiled**

255 **Sainfoin.** Although NaOH generated several degradation products from the pure
256 proanthocyanidins (Figures 2B and C), none of these products nor the polymeric
257 hump were seen when ensiled samples were treated with NaOH (Figure 3). In
258 contrast to the NaOH-treated pure proanthocyanidin fraction, all flavan-3-ol terminal
259 and extension units were clearly detectable in the treated silage (peaks labelled 2 to
260 4 and 7 to 11; Figure 3B).

261 Without the NaOH treatment, direct thiolytic of the plant materials yielded 3.1 and
262 0.4 g proanthocyanidins/100 g dry weight for fresh and ensiled samples, respectively
263 (Table 1). In comparison, the acetone-butanol-HCl assay gave much higher values
264 of 4.7 and 3.9 g/100 g dry weight for these samples. However, when the ensiled
265 sample was first treated with NaOH and then thiolyzed, proanthocyanidin content
266 increased from 0.4 g to 2.2 g/100 g dry weight in the silage. It can also be seen that
267 the sum of acetone/water extractable (0.4 g/100 g dry weight) plus residual (1.9
268 g/100 g dry weight) proanthocyanidins was comparable to the directly determined
269 result in the silage (2.3 g vs 2.2 g/100 g dry weight).

270 NaOH facilitated the release of prodelphinidins (69.9% with NaOH vs 50.1% without
271 NaOH) and of larger proanthocyanidin polymers (mean degree of polymerization-

272 values of 9.1 vs 4.4) from the silage and a similar trend can be seen in the NaOH-
273 treated plant residue. Table 2 shows that these changes stemmed from a 10-fold
274 increase in the concentration of epigallocatechin (**2**) extension units (1.2 vs 12.7
275 mg/g) and a 2- to 4-fold increase in all other flavan-3-ol concentrations. Taken
276 together, the results demonstrate that NaOH facilitated especially the release of
277 larger prodelphinidins from the residue, and that ensiling profoundly reduced
278 proanthocyanidin extractability. This NaOH/thiolysis reaction was then also applied
279 to other sainfoin silages, which had proanthocyanidins that had proved completely
280 resistant to thiolysis, and the results are shown in Table 3.

281 Ensiling caused proanthocyanidin contents to fall by 29% from 3.1 g in the fresh to
282 2.2 g/100 g dry weight in the ensiled sample (Table 1), whereas a loss of 17% from
283 4.7 g to 3.9 g/100 g dry weight was found with the acetone-butanol-HCl reagent.
284 These results are in line with other studies that reported lower proanthocyanidin
285 contents after ensiling.⁵ However, there is also some evidence that ensiling can
286 produce variable results, as others⁷ found no change in proanthocyanidin content
287 when birdsfoot trefoil or sulla were ensiled; although it is worth pointing out that
288 these authors had used an HCl-butanol method, which yields lower total
289 proanthocyanidin contents than the acetone-HCl-butanol method used here.¹⁶

290 Ensiling appears to affect mainly extractable proanthocyanidins, which accounted for
291 81% in the fresh but for only 18% in the ensiled samples. This implies that ensiling
292 substantially increased the proportion of residual or bound proanthocyanidins (Table
293 1) and is in accord with literature data.^{7,8,21} It would appear that NaOH affected the
294 measured proanthocyanidin content by releasing bound proanthocyanidins from the
295 residue (Tables 1 and 3).

296 However, ensiling appears to have caused hardly any changes in the composition of
297 the assayable proanthocyanidins (Table 2). In agreement with the literature,
298 epigallocatechin (**2**) and epicatechin (**4**) extension units accounted for the majority of
299 flavan-3-ols in sainfoin proanthocyanidins^{22,23} and residues contained a higher
300 percentage of prodelphinidins than extracts (Table 1).¹⁰

301 Whilst purified proanthocyanidins were readily degraded by NaOH (Figure 2) and
302 several reaction products (peaks a to g) were detected, there was some evidence
303 that proanthocyanidins in the fresh sample were also degraded by NaOH (Table 1):
304 measured proanthocyanidin contents changed from 3.1 g to 2.4 g/100 g ($P = 0.05$),
305 mean degrees of polymerization from 8.2 to 5.3 ($P = 0.01$) and the percentages of
306 prodelphinidins from 69% to 73% ($P = 0.05$), but inspection of the HPLC-MS
307 chromatograms showed no or only trace amounts of any of the proanthocyanidin
308 degradation products that had been observed with the pure proanthocyanidin
309 fraction. In contrast, we could find no evidence that NaOH caused depolymerization
310 of ensiled proanthocyanidins, as proanthocyanidins in fresh (by thiolysis) and ensiled
311 sainfoin (by NaOH-thiolysis) had similar mean degrees of polymerization (whole
312 plants: 8.2 vs 9.1; residues: 8.1 vs 8.3) and prodelphinidin percentages (whole
313 plants: 69.1 vs 69.9%; residues: 72.0 vs 72.9%).

314 It would appear that in the absence of a NaOH pre-treatment, benzyl mercaptan
315 reacted mainly with the extractable proanthocyanidins, as the quantities were the
316 same, i.e. 0.4 g/100 g for the ensiled plant and acetone/water extract (Table 1).
317 Benzyl mercaptan also seemed to react preferentially with procyanidins rather than
318 prodelphinidins in all samples, as shown by the higher procyanidin percentages
319 (31% in fresh and 50% in ensiled plants), which were almost identical for the whole
320 plants and the extracts (Table 1). This might be due to the fact that procyanidins

321 were more soluble in acetone/water than prodelphinidins in these samples and
322 seems to suggest that proanthocyanidins need to be 'free' in order to react with
323 benzyl mercaptan. An alternative explanation for these procyanidin-prodelphinidin
324 differences could be that interflavanyl links were more difficult to break with benzyl
325 mercaptan in larger than smaller proanthocyanidin polymers as larger polymers in
326 sainfoin tend to be prodelphinidins.¹⁰

327

328 **Effect of NaOH on Other Polyphenolic Compounds in Ensiled Sainfoin.** Several
329 flavonoids^{22,24} and isoflavones²⁵ were also detected in the silage and tentatively
330 assigned based on their *m/z* values (Figures 1 and 3). They were quantitated using
331 authentic standards (provided there were no co-eluting impurities) and this showed
332 that NaOH treatment reduced the concentrations of some of these compounds by up
333 to 44% (Figure 3B). The concentration without and with the NaOH treatment were as
334 follows: rutin (4.5 vs 4.3 mg/g dry weight), afzelin (1.4 vs 1.1 mg/g dry weight),
335 quercetin (1.8 vs 1.1 mg/g dry weight), kaempferol (0.9 vs 0.5 mg/g dry weight),
336 isorhamnetin (0.3 vs 0.2 mg/g dry weight), formononetin (0.10 vs 0.06 mg/g dry
337 weight) and afromosin (0.06 vs 0.04 mg/g dry weight).

338

339 **On the Nature and Reactivity of Residual Proanthocyanidins in Silage.**

340 Relatively little is known about the reactions of proanthocyanidins with other plant
341 constituents in processed plant samples. The method of Terrill *et al.*⁸ distinguishes
342 between extractable and protein-bound proanthocyanidins using solvents designed
343 to dissociate hydrogen bonds and hydrophobic interactions. However, Hagerman²⁶
344 reported that covalent bonds can also be formed between proanthocyanidins and
345 amino acids such as L-lysine and L-cysteine under neutral to alkaline conditions. The

346 reaction between proanthocyanidins and the amino group in L-lysine gives rise to *N*-
347 quinoyls or Schiff's bases and the sulfhydryl group of L-cysteine can generate a
348 covalent thioether linkage. Other studies reported oxidative coupling between
349 catechin (**3**) and L-lysine²⁷ and also between thiols in cysteine, glutathione, 3-
350 mercaptohexan-1-ol and the A- or B-rings of epigallocatechin gallate (**2**) or between
351 thiols in peptides and rosmarinic acid.^{28,29} All of these reactions can take place under
352 slightly acidic conditions, *i.e.* at a pH of 4 to 6, and could, therefore, occur during
353 ensiling.³⁰ Covalent linkages may prevent reaction with benzyl mercaptan as
354 reported recently for proanthocyanidin-glycosides, which were, however, detected
355 with butanol-HCl;³¹ this could account for the larger proanthocyanidin loss measured
356 by thiolysis (29%) than by acetone-HCl-butanol (17%).

357 Covalent links could also have been generated via proanthocyanidin oxidation by
358 oxidases, which are released upon cell death and remain active during the initial
359 stages of ensiling.^{32,33} Thus, any intermolecular oxidative reactions formed between
360 proanthocyanidins and other cellular components are likely to generate covalent
361 cross linkages that may resist thiolysis. In addition, flavan-3-ols reacting with the
362 carbonyl group in aldehydes could also generate thiolysis-resistant bonds and such
363 flavan-3-ol-aldehyde adducts were reported in wine.³⁴ Aldehydes are present in
364 legume silages and result from the degradation of amino acids, organic acids and
365 fatty acids.³⁵

366 Sodium hydroxide (0.1 to 10 M NaOH under nitrogen for 30 to 60 min)³⁶ is widely
367 used for releasing phenolics from cell wall carbohydrates that are linked via ester or
368 ether bonds.^{14,36,37} Ensiling may have given rise to enzymatic esterification and ester
369 bonds are the most likely bonds to be hydrolyzed by this short, 15 min, 1 M NaOH
370 treatment at 40 °C, although hydrogen bonds in tannin-protein or tannin-

371 carbohydrate complexes might also be disrupted. Interestingly, Grabber *et al.*³⁷
372 successfully incorporated epicatechin (4) into lignin with peroxidase and ester-linked
373 components were subsequently analyzed after hydrolysis with NaOH.
374 In conclusion, this is the first report of an analytical method capable of characterizing
375 proanthocyanidin contents and composition in an ensiled animal feed. A 15 min pre-
376 treatment at 40 °C with 1 M NaOH under argon was required to release bound
377 proanthocyanidins and enabled their subsequent analysis by thiolytic degradation.
378 The composition of assayable proanthocyanidins resembled that of the original
379 proanthocyanidins in the fresh plant, but 29% of the original proanthocyanidins could
380 not be detected by this NaOH-thiolysis treatment and 17% by the acetone-butanol-
381 HCl reagent. This suggests that fermentation had caused considerable
382 proanthocyanidin losses or structural changes. Ensiling also had a major effect on
383 the extractability of proanthocyanidins and most remained in the silage residue after
384 the acetone/water extraction, *i.e.* 86%. It would be interesting to explore whether the
385 presence of such unextractable proanthocyanidins may be responsible for the
386 enhanced anthelmintic (deworming) activities, which have been observed when
387 feeding ensiled proanthocyanidin-containing samples.^{38,39} We venture to hypothesize
388 that these bound proanthocyanidins may act post-uminally in the form of 'slow-
389 release' compounds against parasitic nematodes in the small intestine, although this
390 will require further research.

391

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395

396 **NOTE**

397 The authors declare no competing financial interest.

398

399 **AUTHORS CONTRIBUTION**

400 AR and IMH designed the study. AGB and MG produced sainfoin silage samples
401 from Posieux (Switzerland) under the supervision of FDM and GB. GC produced
402 silages samples from Theix (France) under the supervision of VN. AR carried out the
403 study and analyzed the data. AR co-wrote the manuscript with IMH. CD contributed
404 to analysis of proanthocyanidins. All authors critically read and approved the final
405 manuscript.

406

407 **Supporting Information**

408 Analysis of variance used to assess effects of reaction times and NaOH
409 concentrations on the proanthocyanidin parameters (Table S1). Thiolytic degradation
410 of proanthocyanidins with benzyl mercaptan (Figure S1). Changes in
411 proanthocyanidin contents and mean degrees of polymerization with different NaOH
412 concentrations over a 60 minute time period (Figure S2). Changes in molar
413 percentages of prodelphinidins and *trans* flavan-3-ols with different NaOH
414 concentrations over a 60 minute time period (Figure S3). Changes in the contents of
415 terminal flavan-3-ol units with different NaOH concentrations over a 60 minute time
416 period (Figure S4). Changes in the contents of extension flavan-3-ol units with
417 different NaOH concentrations over a 60 minute time period (Figure S5). Tentative
418 assignments of several reaction products after treating a pure sainfoin
419 proanthocyanidin fraction with NaOH and benzylmercaptan (Figure S6). This
420 material is available free of charge via the Internet at <http://pubs.acs.org>.

421

422

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546

547

FIGURE CAPTIONS

Figure 1. Flavan-3-ol monomeric subunits of proanthocyanidins and other phenolics detected in sainfoin silage (dashed numbers, 1' to 10', refer to peak numbers in Figure 3).

Figure 2. HPLC chromatograms after thiolysis of a pure sainfoin proanthocyanidin fraction. A. without NaOH pre-treatment, B. after 15 min, and C. after 60 min of NaOH pre-treatment. **1**, gallocatechin; **2**, epigallocatechin; **3**, catechin; **4**, epicatechin; **5**; internal standard (taxifolin); **6**, 3,4-*trans*-gallocatechin-benzyl mercaptan; **7**, 3,4-*cis*-gallocatechin-benzyl mercaptan; **8**, epigallocatechin-benzyl mercaptan; **9**, 3,4-*trans*-catechin-benzyl mercaptan; **10**, 3,4-*cis*-catechin-benzyl mercaptan; **11**, epicatechin-benzyl mercaptan; *, unidentified peaks.

Figure 3. HPLC chromatograms after *in situ* thiolysis of proanthocyanidins in ensiled sainfoin without (A) and with (B) NaOH pre-treatment (1 M NaOH, 40 °C, 15 min). **2**, epigallocatechin; **3**, catechin; **4**, epicatechin; **5**, internal standard (taxifolin); **7**, 3,4-*cis*-gallocatechin-benzyl mercaptan; **8**, epigallocatechin-benzyl mercaptan; **9**, 3,4-*trans*-catechin-benzyl mercaptan; **10**, 3,4-*cis*-catechin-benzyl mercaptan; **11**, epicatechin-benzyl mercaptan; *, unidentified compound; **1'**, coumaric acid glycoside; **2'**, rutin; **3'**, coumaric acid; **4'**, afzelin; **5'**, isorhamnetin-rutinoside; **6'**, quercetin; **7'**, kaempferol; **8'**, isorhamnetin; **9'**, formononetin; **10'**, afromosin.

Table 1. Proanthocyanidin Contents and Compositions of Fresh/Freeze-Dried and Ensiled Sainfoin after Thiolyis in the Absence and Presence of a NaOH (1M, 40 °C, 15 min) Pretreatment (SD in parenthesis, n = 3).

	PA (g/100 g DW)	mDP	PC %	PD %	cis %	trans %
Fresh/freeze-dried sainfoin (Posieux)						
Plant	3.1 (0.2)	8.2 (0.0)	30.9 (0.1)	69.1 (0.1)	82.3 (0.1)	17.3 (0.1)
Plant + NaOH	2.4 (0.1)	5.3 (0.1)	27.3 (0.5)	72.7 (0.5)	83.2 (0.1)	16.8 (0.1)
Acetone/water extract	2.5 (0.1)	11.4 (0.1)	30.3 (0.6)	69.7 (0.6)	82.2 (0.4)	17.8 (0.4)
Residue	0.6 (0.1)	8.1 (0.1)	28.0 (0.1)	72.0 (0.1)	87.5 (0.0)	12.5 (0.0)
Residue + NaOH	0.7 (0.1)	6.6 (0.3)	30.3 (0.5)	69.7 (0.5)	85.7 (0.3)	14.3 (0.3)
Ensiled sainfoin (Posieux)						
Plant	0.4 (0.2)	4.4 (0.4)	49.9 (6.2)	50.1 (6.2)	82.6 (0.5)	17.5 (0.5)
Plant + NaOH	2.2 (0.1)	9.1 (0.2)	30.1 (0.4)	69.9 (0.4)	83.0 (0.8)	17.0 (0.8)
Acetone/water extract	0.4 (0.1)	11.5 (1.5)	49.5 (5.0)	50.5 (2.8)	87.0 (5.5)	13.0 (5.5)
Residue	0.6 (0.1)	6.2 (0.7)	27.4 (0.7)	72.6 (0.7)	87.3 (0.7)	12.7 (0.7)
Residue + NaOH	1.9 (0.1)	8.3 (0.1)	27.3 (0.8)	72.9 (0.8)	79.4 (0.6)	20.5 (0.6)

cis: molar percentage of epicatechin plus epigallocatechin subunits; DW: dry weight; mDP: mean degree of polymerization; PA: proanthocyanidins; PC: procyanidins (molar percentage of catechin plus epicatechin subunits); PD: prodelphinidins (molar percentage of galocatechin plus epigallocatechin subunits); SD: standard deviation; *trans*: molar percentage of catechin plus galocatechin subunits.

Table 2. Concentrations of Flavan-3-ol Terminal and Extension Units (mg flavan-3-ol/g DW) and Relative Molar Percentages (%) in Fresh/Freeze-Dried and Ensiled Sainfoin after Thiolyis in the Absence and Presence of a NaOH (1M, 40 °C, 15 min) Pretreatment (SD in parentheses, n = 3).

	Terminal units						Extension units							
	EGC		C		EC		GC		EGC		C		EC	
	mg/g	%	mg/g	%	mg/g	%	mg/g	%	mg/g	%	mg/g	%	mg/g	%
Fresh sainfoin														
Plant	2.1 (0.1)	6.7 (0.1)	0.7 (0.1)	2.1 (0.0)	1.0 (0.1)	3.3 (0.1)	3.6 (0.2)	11.7 (0.1)	15.7 (0.7)	50.7 (0.1)	1.1 (0.1)	3.5 (0.0)	6.8 (0.3)	21.9 (0.1)
Plant+NaOH	3.3 (0.1)	13.9 (0.1)	0.4 (0.1)	1.7 (0.1)	0.8 (0.1)	3.4 (0.1)	2.6 (0.1)	11.0 (0.2)	11.5 (0.4)	47.8 (0.2)	1.0 (0.2)	4.1 (0.1)	4.3 (0.1)	18.1 (0.2)
AW extract	1.0 (0.1)	4.1 (0.1)	0.6 (0.1)	2.3 (0.1)	0.6 (0.1)	2.5 (0.1)	3.1 (1.2)	12.4 (0.1)	13.3 (0.1)	53.3 (0.5)	0.8 (1.5)	3.1 (0.4)	5.6 (0.3)	22.5 (0.3)
Residue	0.5 (0.1)	7.6 (0.1)	0.1 (0.1)	1.9 (0.0)	0.2 (0.1)	2.9 (0.1)	0.5 (0.1)	8.0 (0.0)	3.4 (0.1)	56.4 (0.1)	0.2 (0.1)	2.6 (0.0)	1.2 (0.1)	20.6 (0.1)
Residue+NaOH	0.7 (0.1)	10.0 (0.4)	0.2 (0.1)	2.2 (0.2)	0.2 (0.1)	3.0 (0.2)	0.3 (0.1)	4.4 (1.1)	3.9 (0.1)	55.3 (3.3)	0.3 (0.1)	4.9 (0.8)	1.4 (0.2)	20.1 (0.8)
Ensiled sainfoin														
Plant	0.4 (0.3)	10.2 (4.1)	0.1 (0.1)	3.5 (0.4)	0.4 (0.1)	9.4 (2.2)	0.4 (0.2)	8.8 (0.6)	1.2 (0.5)	31.0 (1.6)	0.2 (0.1)	5.1 (0.4)	1.3 (0.3)	31.9 (3.9)
Plant+NaOH	1.3 (0.7)	6.1 (0.3)	0.4 (0.1)	2.0 (0.1)	0.7 (0.1)	3.0 (0.1)	1.4 (0.1)	6.4 (0.1)	12.7 (0.1)	57.5 (0.1)	0.5 (0.1)	4.0 (0.0)	4.6 (0.1)	21.0 (0.3)
AW extract	0.1 (0.1)	1.0 (0.4)	0.2 (0.1)	4.0 (0.4)	0.2 (0.1)	4.0 (0.4)	0.2 (0.1)	4.1 (5.8)	1.8 (0.0)	45.4 (3.0)	0.2 (0.3)	4.9 (1.2)	1.5 (0.7)	36.7 (3.5)
Residue	0.7 (0.1)	12.1 (1.7)	0.1 (0.1)	2.0 (0.1)	0.1 (0.1)	2.3 (0.3)	0.5 (0.1)	8.3 (0.5)	3.1 (0.1)	52.2 (2.8)	0.1 (0.1)	2.3 (0.2)	1.2 (0.2)	20.7 (0.1)
Residue+NaOH	1.5	8.1	0.4	1.9	0.4	2.1	2.6	13.9	9.7	50.9	0.9	4.9	3.5	18.3

(0.1) (0.1) (0.0) (0.1) (0.1) (0.1) (0.1) (0.1) (0.1) (0.6) (0.1) (0.7) (0.1) (0.1)

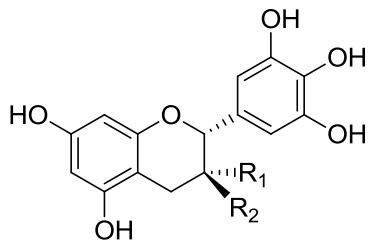
AW: acetone-water; C: catechin; DW: dry weight of plant material; EC: epicatechin; EGC: epigallocatechin; GC: gallocatechin; SD: standard deviation.

Table 3. Analysis of Thiolytic-Resistant Sainfoin Silages from Theix by the Acetone-Butanol-HCl (ABH) and the Thiolytic Assays in the Absence and Presence of the NaOH Pretreatment (SD in parentheses, n = 3).

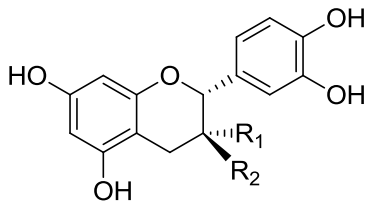
	PA	PA	mDP	PC	PD	cis	trans	Terminal units (%)			Extension units (%)		
	(ABH) g/100 g DW	(thiolysis) g/100 g DW						C	EC	EGC	C	EC	EGC
SF1 (INRA Theix)													
Plant	2.6 (0.1)	nd	nd	nd	nd	nd	nd	nd	nd	nd	nd	nd	
Plant+NaOH		1.2 (0.1)	2.2 (0.1)	27.3 (0.1)	72.7 (0.1)	96.5 (0.1)	3.5 (0.1)	1.6 (0.1)	3.4 (0.1)	40.8 (1.5)	2.0 (0.1)	20.4 (0.1)	31.9 (1.5)
SF2 (INRA Theix)													
Plant	2.8 (0.1)	nd	nd	nd	nd	nd	nd	nd	nd	nd	nd	nd	
Plant+NaOH		1.1 (0.1)	2.7 (0.1)	28.0 (0.2)	72.0 (0.2)	96.2 (0.0)	3.8 (0.0)	1.8 (0.1)	3.3 (0.1)	32.2 (1.7)	2.1 (0.1)	20.9 (0.1)	39.9 (1.9)
SF3 (INRA Theix)													
Plant	2.5 (0.1)	nd	nd	nd	nd	nd	nd	nd	nd	nd	nd	nd	
Plant+NaOH		0.5 (0.1)	4.3 (0.9)	42.8 (0.2)	57.3 (0.2)	93.6 (0.3)	6.4 (0.3)	2.8 (0.3)	5.9 (0.7)	15.5 (4.3)	3.5 (0.1)	30.5 (0.8)	41.8 (4.5)

%; relative molar percentages; C: catechin; *cis*: epicatechin plus epigallocatechin subunits; DW: dry weight; EC: epicatechin; EGC: epigallocatechin; mDP: mean degree of polymerization; nd: none detected; PA: proanthocyanidins; PC: procyanidins (catechin plus

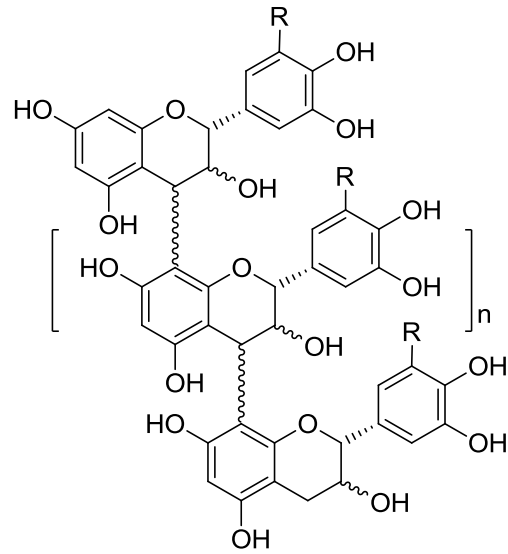
epicatechin subunits); PD: prodelphinidins (gallocatechin plus epigallocatechin subunits); SD: standard deviation; *trans*: catechin plus gallocatechin subunits.



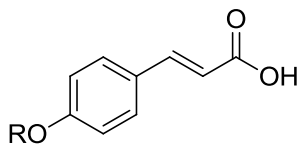
- 1, $R_1 = H, R_2 = OH$, Gallocatechin (a *trans*-flavan-3-ol)
 2, $R_1 = OH, R_2 = H$, Epigallocatechin (a *cis*-flavan-3-ol)



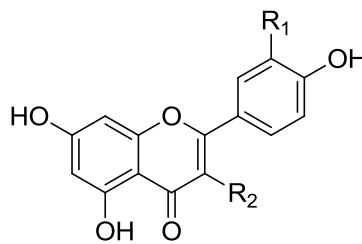
- 3, $R_1 = H, R_2 = OH$, Catechin (a *trans*-flavan-3-ol)
 4, $R_1 = OH, R_2 = H$, Epicatechin (a *cis*-flavan-3-ol)



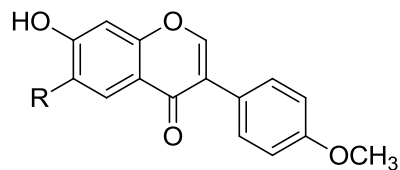
Example of proanthocyanidins:
 $R = H$, procyanidins
 $R = OH$, prodelphinidins



- 1', $R = \text{hexoside}$, Coumaroyl-O-glycoside
 3', $R = H$, Coumaric acid



- 2', $R_1 = OH, R_2 = O\text{-rutinoside}$, Rutin
 4', $R_1 = H, R_2 = O\text{-rhamnoside}$, Afzelin
 5', $R_1 = OCH_3, R_2 = O\text{-rutinoside}$, Isorhamnetin-rutinoside
 6', $R_1 = OH, R_2 = OH$, Quercetin
 7', $R_1 = H, R_2 = OH$, Kaempferol
 8', $R_1 = OCH_3, R_2 = OH$, Isorhamnetin



- 9', $R = H$, Formononetin
 10', $R = OCH_3$, Afromosin

Figure 1

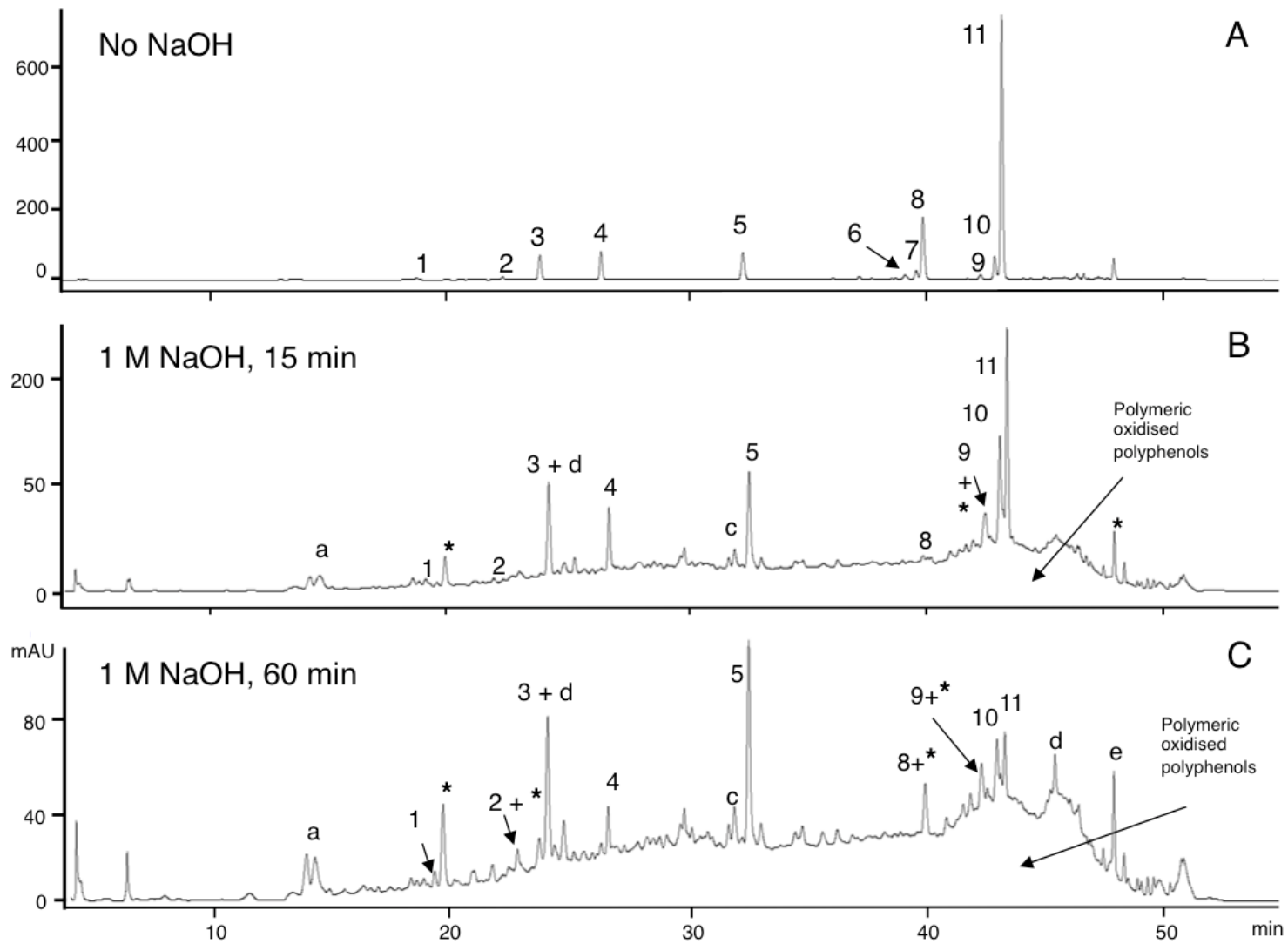


Figure 2

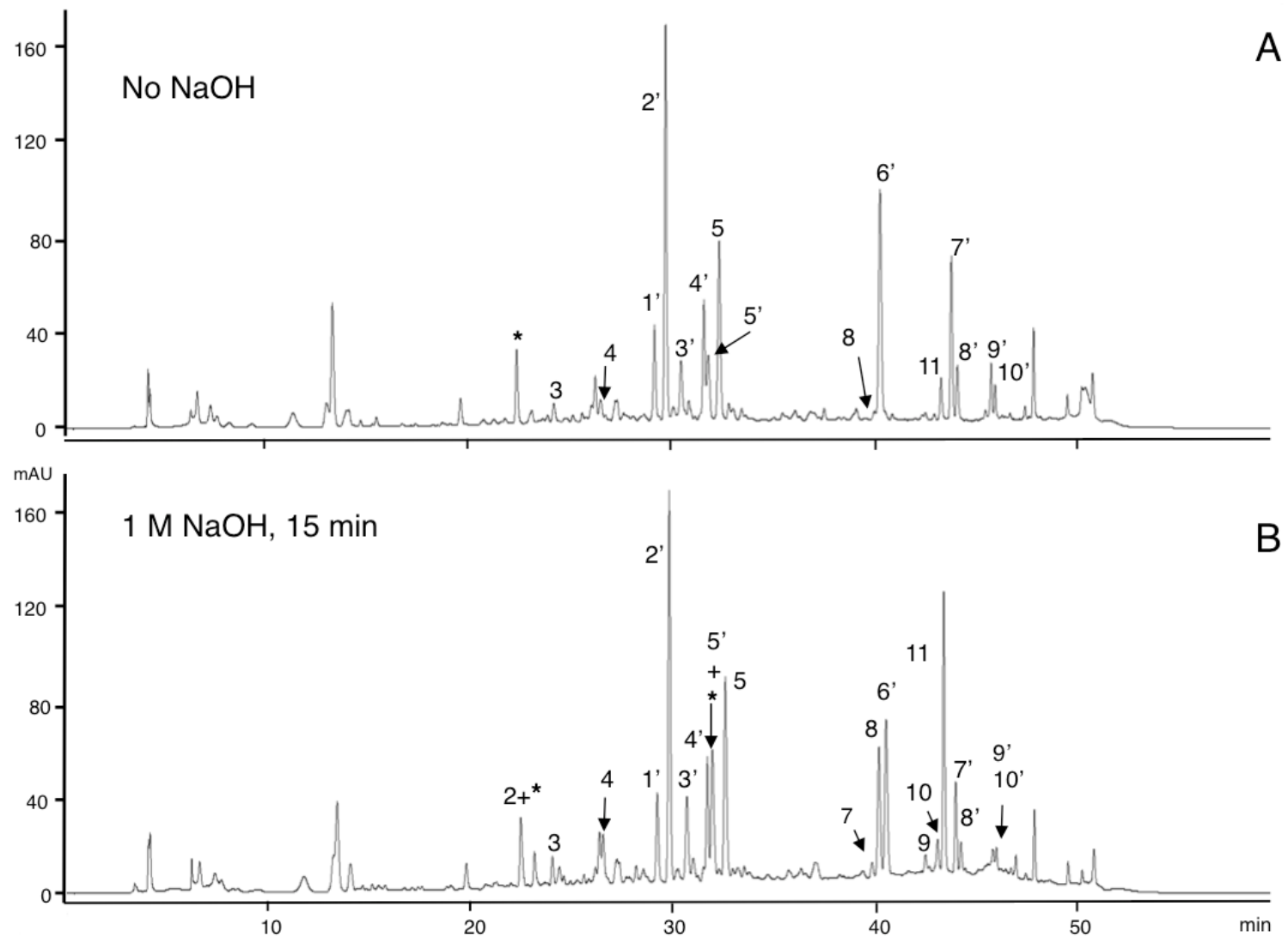


Figure 3

Table of Contents Graphic

