



Convergence and accommodation development is pre-programmed in premature infants

Article

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1 **Convergence and accommodation development is** 2 **pre-programmed in premature infants**

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26

27

28 **Abstract**

29 **Purpose** This study investigated whether vergence and accommodation development in pre-
30 term infants is pre-programmed or is driven by experience.

31 **Methods** 32 healthy infants, born at mean 34 weeks gestation (range 31.2-36 weeks) were
32 compared with 45 healthy full-term infants (mean 40.0 weeks) over a 6 month period, starting at
33 4-6 weeks post-natally. Simultaneous accommodation and convergence to a detailed target
34 were measured using a Plusoptix PowerRefII infra-red photorefractor as a target moved
35 between 0.33m and 2m. Stimulus/response gains and responses at 0.33m and 2m were
36 compared by both corrected (gestational) age and chronological (post-natal) age.

37 **Results** When compared by their corrected age, pre-term and full-term infants showed few
38 significant differences in vergence and accommodation responses after 6-7 weeks of age.
39 However, when compared by chronological age, pre-term infants' responses were more
40 variable, with significantly reduced vergence gains, reduced vergence response at 0.33m,
41 reduced accommodation gain, and increased accommodation at 2m, compared to full-term
42 infants between 8-13 weeks after birth.

43 **Conclusions** When matched by corrected age, vergence and accommodation in pre-term
44 infants show few differences from full-term infants' responses. Maturation appears pre-
45 programmed and is not advanced by visual experience. Longer periods of immature visual
46 responses might leave pre-term infants more at risk of development of oculomotor deficits such
47 as strabismus.

48

49

50 **Introduction**

51 Bifoveal fixation is maintained by the precise coordination of vergence, versions and
52 accommodation to maintain ocular alignment and image clarity. During post natal development,
53 sensory fusion, motor fusion and accommodation become more closely coordinated¹⁻⁵ as visual
54 experience acts on a basic genetic structure. It is unclear, however, whether these systems and
55 relationships are initially pre-programmed and dependent on physical maturation, or influenced
56 by visual experience from the outset. Comparing performance between pre-term and full-term
57 infants provides an opportunity to explore these developmental processes. Figure 1 illustrates
58 the two alternative possibilities⁶. If responses are mainly pre-programmed then both full-term
59 and pre-term infants will reach maturity at the same corrected (post-conceptual / gestational)
60 age but the pre-term infants will be older when compared by chronological (post-natal) age. If
61 responses are more experience-dependent then both groups will reach maturity at similar
62 chronological ages, but the pre-term infants will have reached this at an earlier stage of physical
63 maturation (younger corrected age). Using this paradigm, previous research suggests that most
64 sensory visual development is mainly pre-programmed and the earlier visual experience
65 resulting from prematurity does not advance most aspects of visual development (for reviews
66 see ^{7,8}). The effect of prematurity on development of convergence and accommodation during
67 early infancy, has only been described in studies of very small groups, but these also suggest a
68 maturational time course for convergence ⁹ and accommodation ¹⁰.

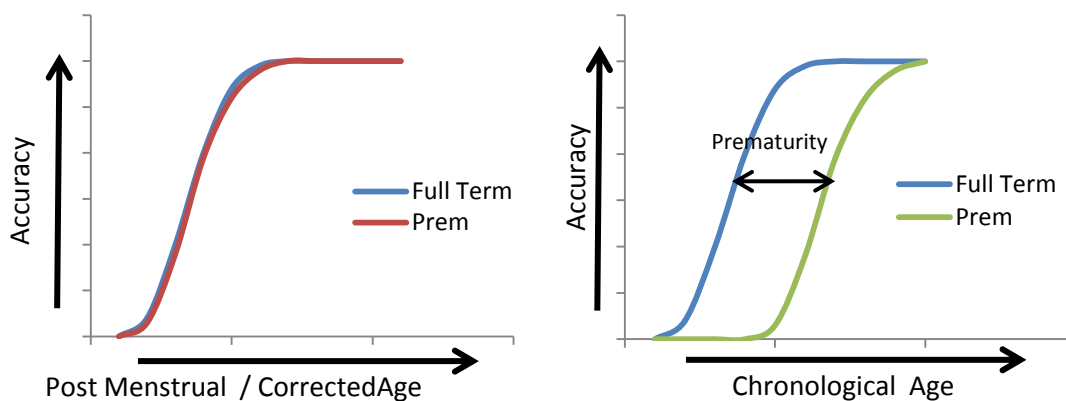
69 Importantly for this paper, however, a recent study by Jandó et al ⁶, found that the development
70 of the binocular response to dynamic random dot correlograms (DRDCs) in pre-term infants
71 depended on visual experience, not physical maturation. DRDCs are binocular stimuli that only
72 elicit a characteristic visual evoked potential (VEP) in mature binocular systems¹¹ and are

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Pre-Programmed/Maturational

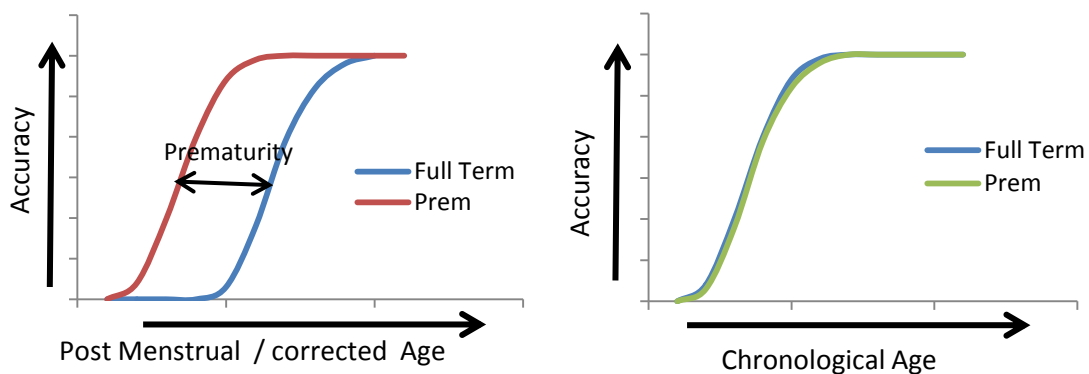


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Experience Dependent



79

80 *Figure 1. Illustration of differences in hypothetical development of mature responses (vergence*
 81 *and accommodation in this case) between full-term and pre-term infants in pre-programmed and*
 82 *experience-dependent scenarios (based on the illustration in Jandó et al⁶ – with publisher's*
 83 *permission). The maturational hypothesis predicts that full- and pre-term infants' responses*
 84 *should develop at the same rate when matched by the corrected age (top left), but pre-term*
 85 *infants will be chronologically older when they mature (top right). The experience dependent*
 86 *hypothesis predicts that pre-term infants should develop mature responses before full-term*
 87 *infants when matched by the corrected age (lower left), but at the same chronological age*
 88 *(lower right).*

89 therefore a marker for cortical binocularity in developing infants^{12,13}. The same study, however,
90 found that pattern reversal VEP latency, which is a measure of integrity of the visual pathway,
91 was not advanced by premature birth, so demonstrating that despite an immature visual
92 pathway, the visual cortex can accept environmental stimulation from birth. These results
93 provided a rationale for more detailed exploration of whether the development of convergence
94 and accommodation is maturational or experiential: but there is also clinical relevance.

95 Children born pre-term are known to have a higher prevalence of accommodative^{14, 15} and non-
96 accommodative¹⁶⁻¹⁸ strabismus. However, what causes this increased prevalence is unclear^{19,}
97²⁰. We know that full-term neonates can have periods of ocular misalignment²¹, inaccurate
98 vergence and accommodation^{1,3} and even clinically diagnosed eye muscle palsies²² without any
99 apparent long term harm, but if misalignment persists or increases into the critical period for
100 binocularity, the risk of strabismus, suppression and amblyopia is known to be severe. Tyghsen
101 has suggested that decorrelated sensory input between the eyes in the critical period for
102 binocular vision is “a sufficient cause for infantile esotropia”²³.

103 We hypothesized that a mismatch in developmental timing between the sensory and motor
104 components of binocularity could increase the risk of strabismus. If vergence development
105 relates to the corrected age, it would develop later post-delivery in pre-term infants and so these
106 infants would have longer with imprecise vergence and frequent misalignments. If experience-
107 dependent sensory binocularity⁶, which normally only emerges once vergence is more stable,
108 emerges relatively earlier, immature vergence, which is normally of little consequence, would
109 become a sufficient cause of decorrelated sensory input and be an additional risk factor for the
110 development of strabismus.

111 This paper describes the development of vergence and accommodation in groups of low-risk
112 pre-term and full-term infants in order to test the experience-dependent vs. maturational
113 hypotheses.

114

115 **Methods**

116 The study adhered to the tenets of the Declaration of Helsinki and was approved and
117 scrutinised by institutional and UK National Health Service Ethics Committees. Informed
118 consent was obtained from the parents of all infants.

119 **Participants**

120 We defined the corrected age and the chronological age as recommended by the American
121 Academy of Pediatrics Committee on Fetus and Newborn²⁴. The chronological age was defined
122 as the time elapsed from birth, while the corrected age was the chronological age reduced by
123 the number of weeks born before 40 weeks of gestation. The corrected age was calculated from
124 the expected delivery date calculated from the first day of the last menstrual period. 36 pre-term
125 infants born between 31 weeks + 2 days and 36 weeks of gestational age (mean 34.09, SD
126 1.35weeks) were recruited from a local maternity hospital. Of these, 32 infants were able to be
127 tested at least once. We chose not to study more premature infants where high rates of
128 retinopathy of prematurity, general health complications, later developmental and perceptual
129 difficulties²⁵ might have confounded the data. Three infants were also defined as “small for
130 dates” (low birth weight for their gestational age) and two weighed less than 1500g (1465g and
131 1361g). None had suffered any perinatal or post-natal neurological complications, all were
132 healthy when tested and none has subsequently developed strabismus and at the time of
133 writing all are at least 2.5yrs old (corrected age).

134 Reasons for pre-term delivery were mainly twin pregnancy (53%) and pre-eclampsia (15%). We
135 were unable to analyse the twin data separately. Of the many twins, we only collected data from
136 both twins in six pairs, and rarely from both twins at the same visit. Only one set of monozygotic
137 twins were tested.

138 Pre-term infants were compared with 45 typically developing full-term infants (born between at
139 gestational age 37wks+2days – 42wks+1day: mean 40.0 weeks \pm 1.6 days), recruited from our
140 departmental Infant Database. Data from these infants contributed to a previous publication,
141 which reported data for the infants on visits when they showed no or minimal (less than +2.0D)
142 hyperopia³. This paper reports some additional from 44 testing sessions in 19 infants (out of a
143 total of 300 sessions) when these infants showed mild hyperopia (up to +3.0D at 16 weeks of
144 age).

145 All infants were recruited soon after birth. We booked the first test at between 6 weeks corrected
146 age for both groups (because younger infants are rarely testable³), although three younger
147 infants were tested in the full-term group, then every two weeks until 20 weeks of age, and
148 finally at 26 weeks of age. Since most aspects of binocular vision develop between 6 and 16
149 weeks^{3, 4, 8, 12, 26, 27} we were not expecting that attempting to collect earlier data would help
150 answer our research question.

151 **Laboratory testing**

152 A brief history was taken to confirm normal development and an orthoptic assessment excluded
153 strabismus.

154 All infants were tested with a remote haploscopic photorefractor described previously^{3, 28} (see
155 Supplementary file). It incorporates a Plusoptix SO4 photorefractor in PowerRefII mode, which
156 continuously and simultaneously records refraction and eye position at 25Hz, which allows us to
157 calculate accommodation in diopters (D) and vergence in meter angles (MA). The photorefractor

158 is set in a target presentation apparatus consisting of two concave mirrors and a moving
159 monitor. The target appears to move backwards and forwards in front of the observer between
160 distances of 0.25m and 2m (presented in a pseudo-random order of 0.33m (3D and 3MA
161 demand), 2m (0.5D and MA), 0.25m (4D and MA), 1m (1D and MA), 0.5m (2D and MA). Meter
162 angles are a preferable measure of vergence as they are a constant measure of response in
163 relation to demand in populations where IPD varies between participants, and over the course
164 of development. Thus for example, our 0.5m target presented to an infant with an IPD of 45mm
165 would demand 2MA, 13.5 prism diopters or 7.68 degrees of convergence, while for an adult with
166 an IPD of 60mm the same target would still demand 2MA, but 18 prism diopters or 10.2 degrees
167 of convergence. MAs also provide an easy comparison between the appropriateness of
168 vergence and accommodation for target demand at each distance. Data from the 0.25m target
169 were not analysed for three reasons. Most commonly and importantly we find an unacceptable
170 loss of data resulting from small pupils at this distance. There is also a small astigmatic error
171 due to the mirror offsets (of subjectively approximately 0.5D at 25cm) but which reduces below
172 0.25D and is therefore not problematic at the other distances. Thirdly, the fusional stimulus is
173 slightly different at 25cm because the far edges of the target screen fall slightly beyond the
174 binocular fusional overlap of the lower mirror which is seen in physiological diplopia. We retain
175 the target in the testing order so that a farther target always precedes a nearer one and vice
176 versa.

177 Vergence and accommodation responses were measured while the infant watched a binocular,
178 cartoon clown target containing a range of spatial frequencies as it moved backwards and
179 forwards. Some target details were only separated by one pixel (visual angle of approximately 1
180 min arc at 0.33m) but it also contained large elements, high contrast edges, bright colours,
181 alternating elements, eyes and a hairline to be maximally interesting to neonates with poorer
182 visual acuity. The target subtended 3.15° at 2m and 18.3° at 0.33m. If possible each child was

183 tested twice in each session and the data were averaged. The Plusoptix monitor allowed the
184 tester to watch the infant in real time to assess attention and fixation and also to follow recording
185 traces even when the accommodation responses exceeded the operating range of the
186 photorefractor. We only report data collected when the infant was observed to have fixated the
187 target steadily for at least 2 seconds at each fixation distance. The Plusoptix SO4 has a linear
188 operating range of -7.0/+5.0D (i.e. up to 7D of accommodation and 5D of hyperopia). Beyond
189 this, our unpublished calibrations and those of others²⁹ demonstrate that although the
190 photorefractor continues to calculate a figure for refraction, this is an underestimation of the true
191 value. This varies between individuals, so without individual calibration is not precisely
192 quantifiable. Data from infants who demonstrated hyperopic refractive error over +5.0D
193 estimated using maximum hyperopic refraction found during testing (MHR) were excluded
194 before quantitative analysis. We have reported that MHR correlates closely with cycloplegic
195 refraction in other child and infant groups³⁰.

196 Raw data were processed offline^{3, 28}. Vergence in MA was calculated from the horizontal eye
197 position of each eye, correcting for individually calculated angle lambda and inter-pupillary
198 distance. Individual refraction calibrations and repeatability calculations were not possible for
199 such young infants, but for group comparison studies such as this, averaged data is acceptable
200²⁹. We calculated accommodation in diopters, using the increasingly myopic photorefractation
201 which occurs on accommodation, with a correction for a slight systematic error (the
202 photorefractor underestimates accommodative response by approximately 0.5D) using a
203 formula derived from group calibration studies²⁸ using young adults. Calculations of response
204 gain in relation to target demand (the slope of the stimulus response functions) used at least
205 three data points (four if possible) at the different fixation distances. Where we report
206 responses to particular targets, we have limited them to the nearest (0.33m, 3 MA & D) and the
207 furthest (2 m, 0.5 MA & D).

208 **Statistical Analysis and Data Presentation**

209 We present our results in two ways. Firstly we provide descriptive figures to indicate the spread
210 of responses. Since accommodation responses beyond the linear operating range of the
211 photorefractor are likely to underestimate the degree of refraction to an unknown extent, this full
212 dataset was not analysed statistically. If we had excluded these data completely, however, we
213 felt we would have misrepresented the spread of infant behaviour.

214 We then calculated group means and 95% confidence intervals (CI) of all data within range.
215 These data were analysed using two-way between-groups ANOVA (with age group and pre-
216 term/full-term as factors), to investigate between-group differences in vergence and
217 accommodation responses and gains at intervals of two weeks. A main effect of age indicates
218 that vergence and/or accommodation change with age and a main effect of group indicates
219 overall differences between pre-term and full-term infants. Most importantly, any age x group
220 interaction would suggest that the two groups differ only at certain ages. If more between-group
221 differences in responses are found when groups are compared by their corrected age, this
222 would indicate that development of vergence and/or accommodation is experience-dependent.
223 More group differences when groups are compared by their chronological age would suggest
224 development is more maturational.

225 Post hoc testing used Bonferroni correction for multiple comparisons where appropriate.

226 **Results**

227 *Testability and Repeatability*

228 Numbers testable at each age point for both the corrected age and chronological age are
229 illustrated in Table 1. While most infants provided usable data on most visits, only 4 pre-term
230 and 13 full-term infants provided such data at every visit, so data were treated as cross-

231 sectional. Of the maximum potential number of testing sessions over the study period, 55% of
232 the pre-term infants and 18% of in the full-term infants either were unable to attend or were not
233 able to be tested at all due to being asleep or fretful on a booked session. Premature infants,
234 particularly the large number of twins, were especially difficult to test regularly. These factors
235 added to the normal difficulties of testing infants. But if an infant attended and was attentive,
236 complete runs of targets at the different fixation distances were always recorded. Repeated
237 measurements within a single visit were more often possible for older infants, whether full term
238 or pre-term (e.g. 23% repeatable at 6-7 weeks and 58% at 12-13 weeks of corrected age for the
239 pre-term infants). Repeated measurements were averaged where available. Variability in
240 repeated measurements *within* individuals was similar to that *between* different infants at each
241 corrected age point (95% confident intervals were not significantly different), but younger infants
242 were much more variable overall (95%CI for vergence gain at 6-7 weeks: between individuals =
243 ± 0.12 ; within an individual = ± 0.09 ; while at 12-13 weeks: between individuals = ± 0.045 ; within
244 an individual = ± 0.04).

245 Exclusions and Refraction

246 Myopia did not exceed -0.5D for any infant tested. Some of the youngest infants behaved
247 myopically (over accommodated) for distance fixation. However, their accommodation relaxed
248 at least once during testing to an emmetropic or hyperopic refraction, confirming that they were
249 not genuinely myopic.

250 One pre-term infant appeared consistently significantly more than 5.0D hyperopic on multiple
251 visits and their data were excluded completely from further analysis. 2 (6.2%) premature infants,
252 and 4 (8.8%) full-term infants showed >5.0D hyperopia (beyond the linear operating range of
253 the photorefractor) fleetingly (i.e. for a single data point) at some time, all in the first 12 weeks of
254 life and the data from that single session were excluded (Table 1). No refraction from these

Age at testing	4-5 wks	6-7 wks	8-9 wks	10-11 wks	12-13 wks	14-15 wks	16-17 wks	18-19 wks	24-27 wks
FULL-TERM									
Total tested (of 45 in study)	1*	31	36	37	33	31	29	31	36
Hyperopic session excluded		2	3	1	0	0	0	0	0
Unrecordable e.g. pupils/lids, point excluded	0	2	2	1	0	0	0	1	0
Accom out of range (>7D) point excluded	0	3	6	2	0	0	0	0	0
% datapoints excluded	0%	4.0%	5.5%	2.0%	0%	0%	0%	0.6%	0%
PRE-TERM									
(of 32 in study)									
Corrected Age Total tested	16	24	22	19	22	16	4	7	24
Hyperopic session excluded	1	1	0	1	1	0	0	0	0
Unrecordable e.g. pupils/lids point excluded	0	0	1	0	1	2	0	0	0
Accom out of range (>7D) point excluded	5	5	3	1	2	0	0	0	0
% datapoints excluded	7.8%	5.2%	4.5%	1.3%	3.4%	3.1%	0%	0%	0%
Chronological Age Total tested			3	17	24	16	23	16	27
Hyperopic session excluded			1	0	1	0	0	0	0
Unrecordable e.g. pupils/lids point excluded			1	0	0	1	1	1	0
Accom out of range (>7D) point excluded			0	6	6	3	1	0	0
% datapoints excluded			8.3%	8.8%	6.2%	6.2%	2.1%	1.5%	0%

255 *Table 1. Numbers testable at each age point. Pre-term infants were delivered on average six*
256 *weeks early. At 8-9 weeks chronological age a pre-term infant would be equivalent*
257 *developmentally to a 2-3 week full-term infant and therefore less likely to supply usable data.*

258 * only three infants were enrolled in the study at this age, but for all other participants the first
259 scheduled appointment was at 6 weeks

260 infants ever exceeded a photorefractor calculation of +7.0D hyperopia. No infant whose session
261 data were excluded showed evidence of manifest refraction $>+3.00D$ by 16 weeks of age, so all
262 had emmetropized to within normal limits

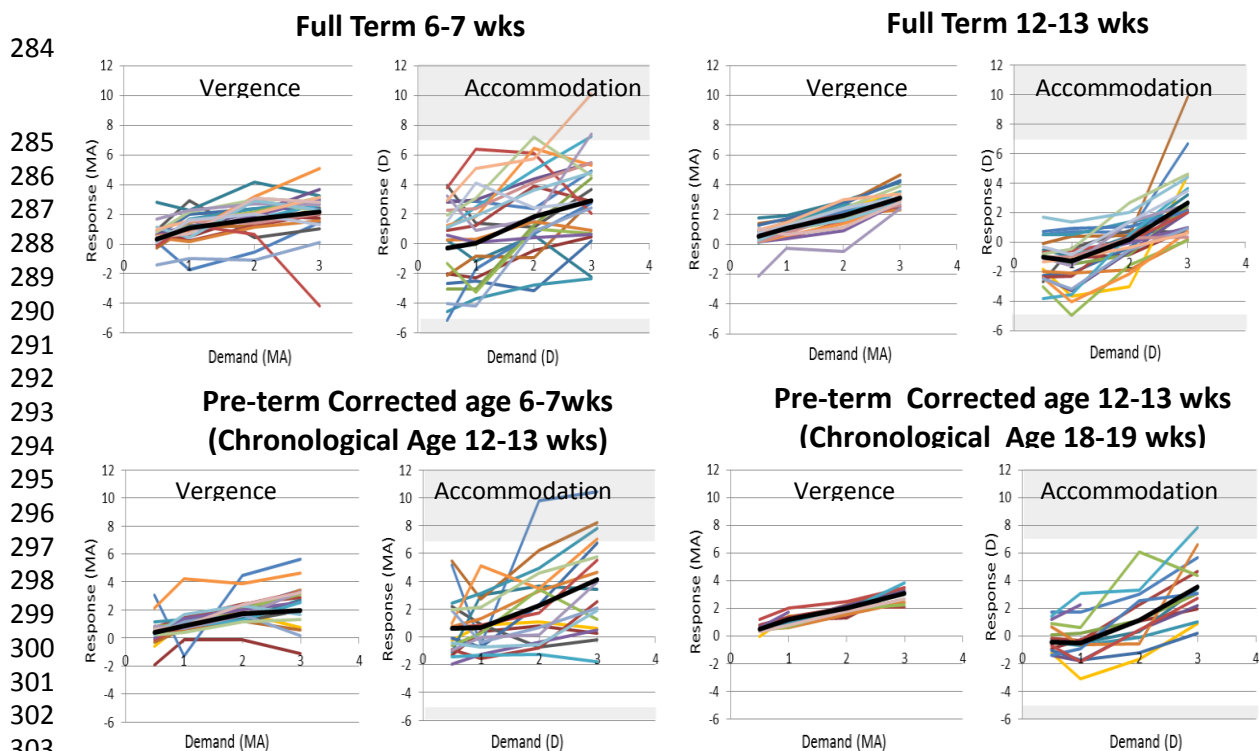
263 The proportion of infants with hyperopia greater than +2.0D in each group were similar across
264 time when compared by their corrected age e.g. 39% vs 33% respectively at 10-11 weeks and
265 29% vs 25% at 14-15 weeks. At 24-27 weeks of corrected age the infants' mean refraction
266 estimated by the MHR measured during the testing session was +0.18D (95%CI -0.25D /
267 +0.66D) in the full-term infants and +0.28D (95%CI -0.43 / +0.99D) in the pre-term infants
268 ($t(55)=1.36$, $p=0.178$, n.s.).

269 Full Dataset

270 Figure 2 illustrates the ranges of vergence and accommodation responses at two time points, 6-
271 7 weeks of corrected age (which was on average 12-13 weeks of chronological age for the pre-
272 term group), and again at 12-13 weeks of corrected age (18-19 weeks of chronological age for
273 the pre-term infants). We chose these two time points as 6-7 weeks is before mature binocular
274 responses develop in full-term infants, while 12-13 weeks is when vergence and
275 accommodation are not significantly different from adults³, and sensory binocularity is typically
276 emerging⁴.

277 Figure 2 illustrates the whole dataset including out-of-range accommodation estimates (gray
278 shaded areas). 42 individual datapoints (2.3% of the total tested) exceeded the linear operating
279 range of the photorefractor ($>7D$ accommodation). 24 infants (evenly distributed between pre-
280 term and full-term) provided these datapoints fleetingly for the nearest targets in their first 12
281 weeks (corrected age if pre-term) and for all except one infant in each group these were

282 between approximately 7.0D and 10.0D. The other two infants contributed six datapoints
 283 between approximately 10.0D and 12.0D).



306 *Figure 2 . Recorded responses (y-axis) in relation to demand (x-axis), including out-of-linear-*
 307 *range accommodation estimates (gray shaded areas). Black line = mean response.*
 308 *Left: Full-term infants at 6-7 weeks of age (top), and pre-term infants of 12-13 weeks of*
 309 *chronological age (bottom), but equivalent corrected age.*
 310 *Right: Full-term infants at 12-13 weeks of age (top), and pre-term infants of 18-19 weeks of age*
 311 *(bottom).*

312

313 There are two important comparisons in Figure 2. The first is a corrected age match
 314 comparison (full-term (top charts) vs pre-term infants (bottom charts)), where performances are
 315 similar. Many of the youngest full-term and corrected age pre-term infants (left charts in figure)
 316 showed highly erratic accommodation. What we have previously termed “all or nothing” patterns
 317 ³ were common, where accommodation response to an approaching target was flat for the more
 318 distant targets, but then was either appropriate or excessive (and sometimes out-of-range) for
 319 the nearest target, despite concurrent linear vergence. 11 (6.9%) of the 198 individual data

320 points collected at 0.33m in the pre-term infants, and 19 (6.5%) of the 291 points collected in the
321 full-term infants were greater than 7.0D. Before 12 weeks of age, over-accommodation for the
322 nearest target exceeded 4.5D at 0.33m in 28.5% of full-term infants and 38.5% of the corrected
323 age pre-term infants.

324 The second comparison is between full-term infants with pre-term infants matched by
325 chronological age. It was not possible to compare full term with pre-term infants at 6-7 weeks
326 since insufficient data was collected from the pre-term infants, but the comparison at 12-13
327 weeks is illustrated in the top right and bottom left of the figure. This shows that full-term
328 infants' vergence and accommodation is more linear than chronologically age-matched pre-term
329 infants.

330 Analysis of Data in Range

331 For statistical analysis we compared infants matched by both their corrected age and
332 chronological age, considering response gain as well as responses for near (0.33m) and
333 distance (2m). Vergence measurements were all within the linear range of the photorefractor
334 across the range tested, so all infants' vergence gains were calculated using responses at 4
335 distances. For accommodation, out-of-range points were excluded and gains were calculated
336 from the responses to the three remaining distances. Gains thus calculated are likely to be a
337 slight underestimate of the true gain. Such exclusions occurred most frequently at 8-9 weeks
338 corrected age. Here the median accommodation response for the 0.33m target of the full data
339 set (using out-of-range point which we know are inaccurate) was 0.34D more than the mean of
340 the more selected data. If the median from the full dataset had been used to calculate the gain,
341 it would have increased the gain by 0.12. At other ages differences were less. Four
342 accommodation data points were available for 93% of the target runs for the full-term infants
343 and 90% of those from the pre-term infants.

344

345

		Corrected Age			Chronological Age		
		F	p	η^2	F	p	η^2
Vergence Gain	Age in weeks	11.68	.000	.207	20.625	.000	.044
	Prem /Term	1.32	.251	.003	5.299	.000	.106
	Age x Prem/Term interaction	4.46	.000	.091	4.819	.000	.079
Vergence at 2m	Age in weeks	3.36	.000	.070	3.919	.048	.009
	Prem /Term	0.01	.934	.000	3.053	.001	.064
	Age x Prem/Term interaction	1.02	.428	.022	2.108	.034	.036
Vergence at 0.33m	Age in weeks	14.31	.000	.249	12.785	.000	.029
	Prem /Term	0.39	.533	.001	7.383	.000	.145
	Age x Prem/Term interaction	4.18	.000	.088	5.733	.000	.096
Accom Gain	Age in weeks	2.31	.012	.049	.039	.843	.000
	Prem /Term	2.29	.131	.005	2.397	.009	.051
	Age x Prem/Term interaction	2.73	.003	.057	3.819	.000	.064
Accom at 2m	Age in weeks	2.33	.011	.050	11.885	.001	.026
	Prem /Term	14.94	.000	.033	1.135	.334	.025
	Age x Prem/Term interaction	1.98	.033	.043	3.933	.000	.066
Accom at 0.33m	Age in weeks	1.97	.035	.045	11.583	.001	.027
	Prem /Term	29.46	.000	.065	3.105	.001	.068
	Age x Prem/Term interaction	1.67	.086	.038	1.429	.182	.026

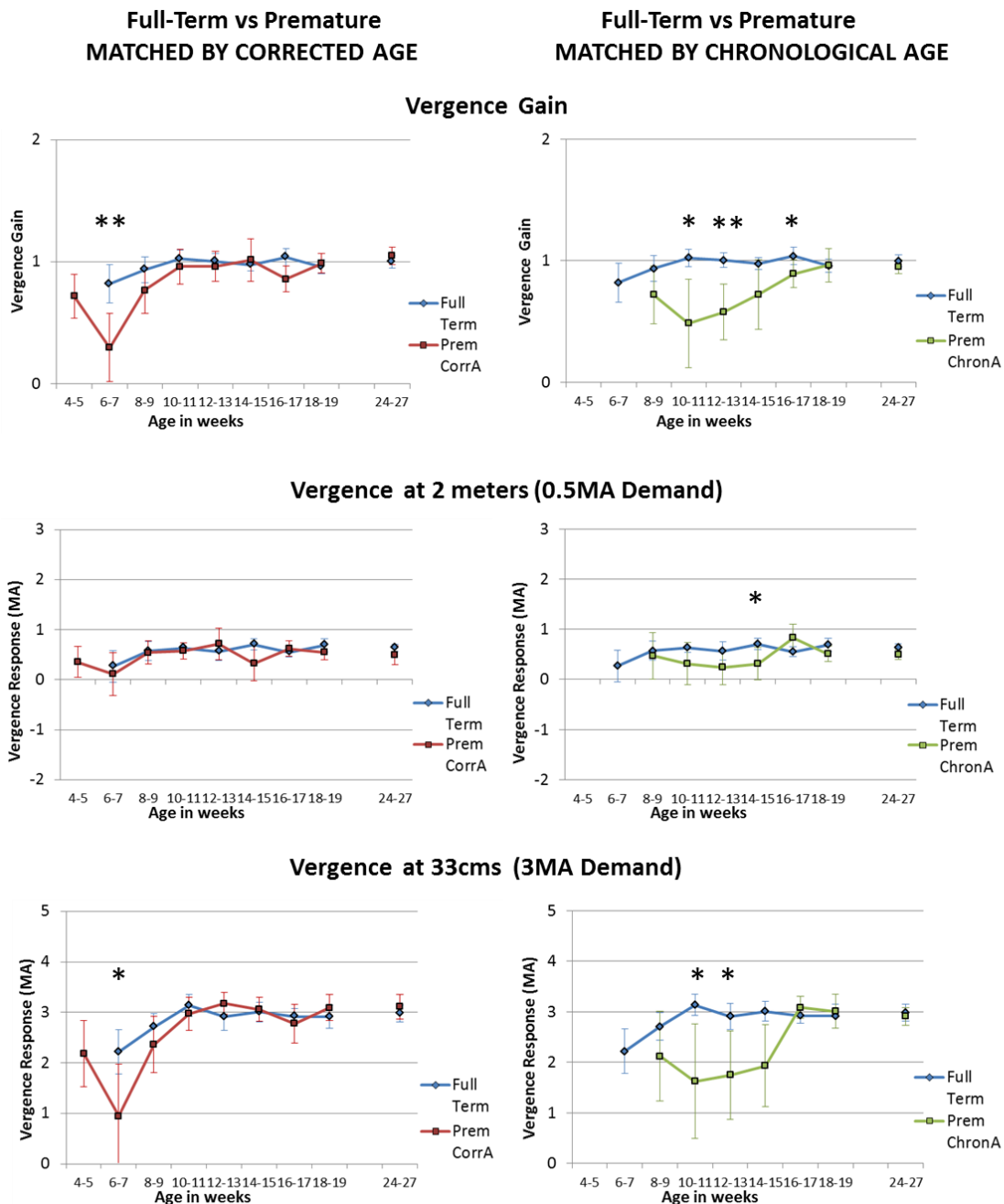
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347 *Table 2 Results of ANOVA of vergence and accommodation gains and responses at 2m and*
348 *0.33m. Significant differences are shaded.*

349

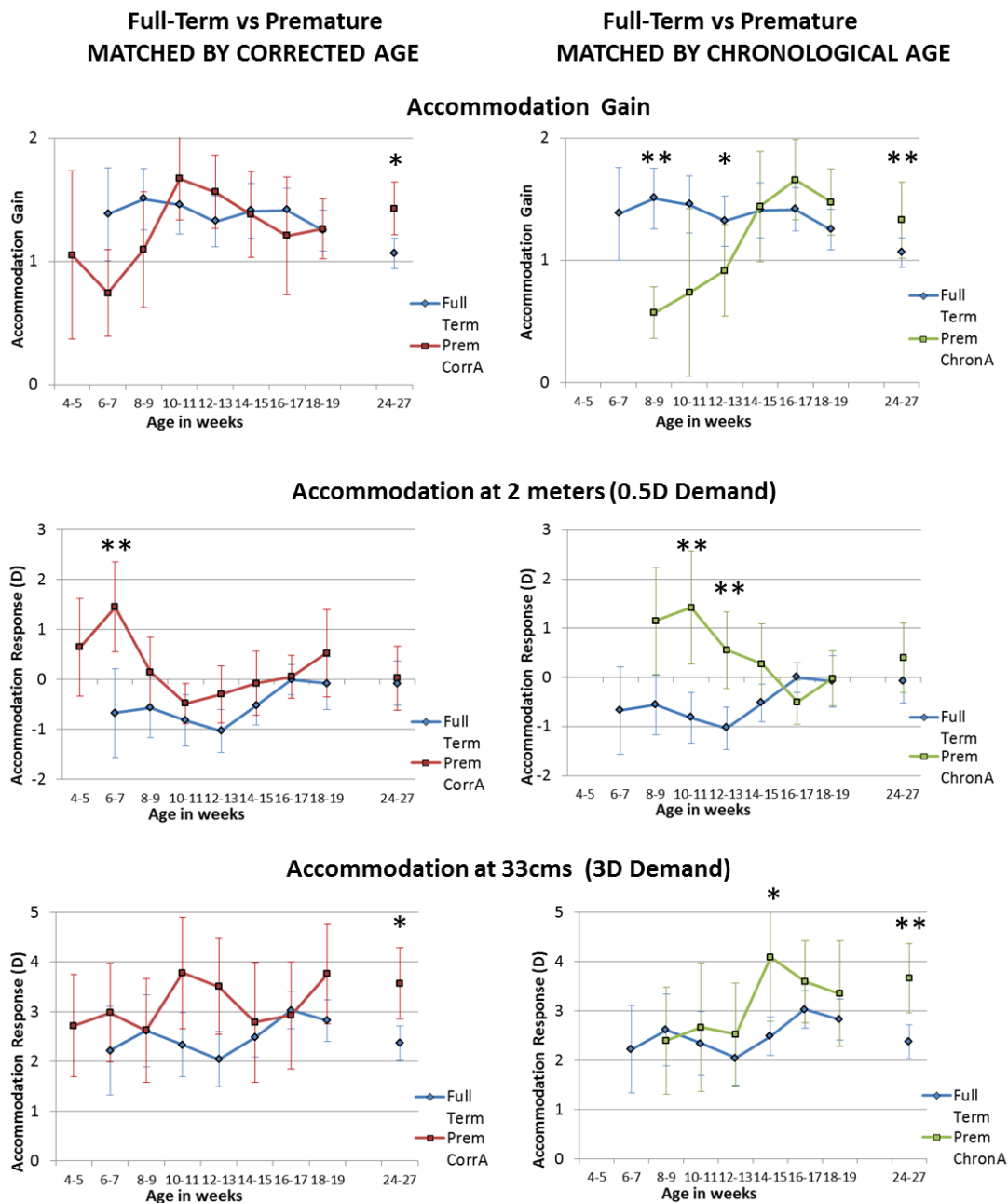
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352

353 Figure 3 Vergence gain (top), vergence responses to target at 2 meters (center) and vergence
 354 responses to target at 0.33m (lower). Left column: responses matched by corrected age. Right
 355 column: responses matched by chronological age. Statistically significant differences on post-
 356 hoc testing indicated by asterisks. Error bars indicate 95% confidence intervals. * indicates
 357 $p < 0.05$; ** indicates $p < 0.01$



358
 359 *Figure 4 Accommodation gain (top) calculated from at least three fixation distances, and actual*
 360 *responses at 2 meters (center) and 0.33m (lower). Left column: responses matched by*
 361 *corrected age. Right column: responses matched by chronological age. Statistically significant*
 362 *differences on post-hoc testing indicated by asterisks. Error bars indicate 95% confidence*
 363 *intervals. * indicates $p < 0.05$; ** indicates $p < 0.01$*

364

365 Results of the ANOVAs comparing response gains and responses at 2m and 0.33m between
366 groups are shown in Table 2 and post hoc significant differences are indicated in Figures 3
367 (vergence) and 4 (accommodation).

368 Again, we compared groups matched by both corrected and chronological age. When matched
369 by their corrected age there were the expected significant developmental improvements in all
370 infants. Pre-term infants relaxed their accommodation significantly less at 2m than the full-term
371 infants, but there were no other overall group differences. There were significant age x group
372 interactions in four of the six comparisons but post-hoc testing showed that differences were
373 only significant at 6-7 weeks of age (Figures 3 and 4), where the pre-term infants under-
374 converged for near, and over-accommodated for distance targets. Subsequently, up to 24-27
375 weeks, there were no differences in accommodation and vergence responses between full-term
376 and pre-term infants matched by their corrected age.

377 When infants were matched by chronological age there were significant pre-term/ full-term
378 group differences for all comparisons except accommodation at 2m. Full-term infants showed
379 more appropriate responses than the chronologically age matched pre-term infants (gain closer
380 to 1, responses closer to the target demand). There was also a significant age x group
381 interaction for all comparisons except accommodation at 0.33m. Post hoc testing showed that
382 the majority of significant differences were found between infants aged between 10-16 weeks
383 and were particularly clear at 10-11 weeks of age. While the full-term infants' responses
384 appeared to have matured (were similar to responses at the oldest age tested), those of the pre-
385 term infants were still immature.

386 To test the linearity of vergence and accommodative responses for each group we calculated
387 correlation coefficients (r^2) for individual stimulus response slopes where four data points (at
388 0.33m, 0.5m, 1m and 2m) were available. Infants matched by their corrected age demonstrated

389 similar linearity of response e.g. for vergence at 12-13 weeks mean r^2 were 0.94 and 0.91
390 respectively for full-term and the corrected age pre-term infants. However, when matched by
391 chronological age 12-13 week pre-term infants demonstrated less linear vergence ($r^2 = 0.77$ for
392 pre-term infants and 0.94 for full-term infants)($t=2.57, p=0.019$), not significantly different from
393 full-term infants at 6-7 weeks. Similar analysis for accommodation showed that mean r^2 for the
394 full-term and the corrected age pre-term infants did not differ significantly (0.74 and 0.77
395 respectively), but pre-term infants of the same chronological age had a lower mean r^2 of only
396 0.53 ($t(39)=2.4, p=0.02$), again not-significantly different from full-term infants at 6-7 weeks.

397

398 **Discussion**

399 This study investigated the developmental time course for vergence and accommodation
400 responses in full-term and pre-term infants matched by both chronological and corrected age.
401 Our results suggest that vergence and accommodation in pre-term infants follow a maturational
402 developmental trajectory and that responses are not accelerated by the additional visual
403 experience of earlier birth. Full-term infants show more adult-like vergence and accommodation
404 responses when compared to chronologically age-matched pre-term infants.

405 These results contrast with those of Jandó et al⁶ who showed an experience-dependent
406 development of sensory binocularity, where the additional visual experience in preterm infants
407 resulted in earlier development. 50% of Jandó et al's⁶ pre-term infants responded to DRDCs by
408 1.92 months post-natally (approximately 8 weeks). If sensory binocularity develops earlier in
409 pre-term infants, but accommodation and vergence responses do not, then early development
410 of sensory binocularity is unlikely to be the cause of maturation of vergence and
411 accommodation. Instead, it is possible that the oculomotor system supports or reinforces the
412 development of sensory binocularity.

413 *Vergence*

414 Vergence accuracy and a gain close to one characterize adult-like responses. More recent
415 research has demonstrated that, in full-term infants, vergence is adult-like by 8-9 weeks^{1,3},
416 earlier than suggested by older literature where such young infants were not assessed³¹ or
417 good vergence responses less commonly found⁴. The early large neonatal misalignments found
418 in infants younger than 2 months of age are also reducing dramatically^{21,4,31}. Thus good
419 alignment for targets at all fixation distances is typically in place before the onset of stereopsis
420 and sensory binocularity (Wong A et al. IOVS 2008;49:e-abstract 3748)^{8,26,32-34}. In contrast, our
421 pre-term infants still showed immature vergence until about 15 weeks of age.

422 If sensory and oculomotor visual systems had been found to mature in parallel, then the effects
423 of prematurity on visual development would be insignificant as the onset of critical periods for
424 vergence control and sensory binocularity would be similarly delayed. However, if any aspect of
425 sensory binocularity (with concurrent susceptibility to suppression and amblyopia) can be
426 advanced by experience, while oculomotor control is not, a mismatch of developmental
427 trajectories might result in decorrelated input from each eye to the visual cortex at a time when
428 cortical binocularity is entering a critical period that has been advanced through early visual
429 experience.

430 Additional infant studies have demonstrated that development of stereopsis does not depend on
431 the development of vergence^{35,4}. Thorn et al⁴ suggest that good alignment is not necessary for
432 *development* of the neural mechanisms underlying binocular vision, but is necessary for
433 *maintenance* of these mechanisms. Tychsén argues that “binocular decorrelation is a sufficient
434 cause of infantile esotropia when imposed during a critical period of visuomotor development”²³.
435 Immature biases to esodeviation such as asymmetrical monocular OKN²⁷ and better
436 convergence than divergence³⁶ may be retained in premature infants, resulting in an increased

437 risk of infantile esotropia. Our findings therefore suggest a mechanism that might account for
438 increased prevalence of strabismus in pre-term infants.

439 *Accommodation*

440 Immature accommodation is more erratic and less linear than vergence at the same age. In pre-
441 term infants, this variability is extended for longer after birth. Lower gain was often the result of
442 over-accommodation in the distance, but excessive accommodation for near was also common,
443 often after almost flat responses to the three farther targets, as has been found in previous
444 studies^{3,37}. Accommodation development in pre-term infants also related to their corrected age
445 rather than their chronological age, with the same gradual increase in accommodation gains
446 over the first weeks that Banks found for two younger full-term infants using dynamic
447 retinoscopy¹⁰. Banks' research also suggested a similar pre-programmed course of
448 development. We did not detect, however, the same clear developmental trajectory of
449 accommodation development in full-term infants as reported by Banks¹⁰ because most of our
450 full-term infants were already showing response gains of well over 1.0 (and which related to
451 their refraction) by 6-7 weeks.

452 Our results suggest that not only are vergence inaccuracies occurring when cortical binocularity
453 could be emerging, but the linkages between vergence and accommodation will be less
454 consistent during this extended period of mismatched retinal input and imprecise
455 accommodation. Although we have reported that *mean* full-term infant AC/A ratios are not
456 significantly different from those of adults⁵, the variability of response in preterm infants would
457 result in a weaker linkage between vergence and accommodation responses for a greater
458 developmental period. Thus, increased risk of strabismus in preterm infants might also be driven
459 by lack of reinforcement of AC/A and CA/C ratio linkages.

460 Finally, good accommodation is also implicated in emmetropization^{38, 39}. Previous studies have
461 shown that binocular input dramatically enhances not only vergence but also accommodation in
462 full-term infants^{1, 3}, older children and adults²⁸. As well as inaccurate vergence (and so inter-
463 ocular decorrelation) being a “sufficient” cause of esotropia, any damage to cortical binocularity
464 might then also damage accommodation, and thus be implicated in the defective
465 emmetropization that is more common in those born both pre-term⁴⁰ and with strabismus⁴¹.
466 Thus, prematurity may not only cause infantile esotropia, but might also be implicated in
467 strabismus with an accommodative element.

468 **Study Limitations**

469 While comparisons of these data with those of Jandó et al⁶ support our arguments above, there
470 are differences in testing paradigm between the two studies which might explain apparent
471 differences between developmental time courses between the groups for other reasons. Jandó
472 et al⁶ measured cortical activity which required no behavioural response. VEP is easier to test
473 successfully in very young infants and VEP testing is a less demanding task than our paradigm.
474 Our task involves a longer processing time, requires a motor response to a sensory signal, and
475 is more likely to be susceptible to attentional variation. It is therefore possible that the attentional
476 system in premature infants needs to have reached a sufficient level of maturity for them to
477 perform the tests used here. In this case, the difference in timing between full term and preterm
478 infants might be the result of differences in maturation of higher order behavioural mechanisms
479 rather than maturation of vergence and accommodation per se.

480 All infants, especially pre-term twins, present a significant challenge in testing, so a complete
481 set of longitudinal data was rare, and many testing sessions were abandoned or cancelled for
482 reasons unrelated to the study. However, this is only likely to affect the quantity, not the quality

483 of the results. Despite small numbers in the youngest infant groups, statistical significance was
484 still reached.

485 We could not definitively differentiate attentional and physical immaturity, but either means that
486 pre-term infants will have inaccurate vergence and accommodation for longer after birth.
487 Immature responses could be due to immaturity of the control mechanisms, so despite sensory
488 detection of the change of target distance, rapid, co-ordinated physical responses cannot yet
489 occur. Alternatively, acuity, attention or interest in detailed targets may be insufficiently
490 developed to drive appropriate responses. Accommodation is certainly active in very early
491 infancy, as evidenced by the difference between cycloplegic (generally hyperopic) and non-
492 cycloplegic (generally myopic) refraction of neonates (for review see Thorn et al ⁴²), and
493 convergence is also clearly possible during frequent large neonatal misalignments²¹, but seems
494 poorly controlled. We also accept that the reduction in variability of responses from the older
495 infants could also partly be due to averaging of more infants' data, but even the averaged data
496 became less variable with time.

497 A major limitation of the Plusoptix photorefractor is its relatively small operating range. Although
498 out-of-range accommodation responses were still collected, we could not measure them
499 accurately because calculations from the Plusoptix become non-linear, so a reading of 8D might
500 be the given from an accommodative response of between 7D and 9D, and this error may vary
501 between individuals. By excluding these points our statistical testing used a slightly smaller
502 dataset (and probably under-estimated mean over-accommodation), but the type and
503 proportions of excluded data were similar in each group. We continue to use the Plusoptix
504 photorefractor because it is one of the few instruments able to refract and assess eye position
505 binocularly, naturalistically, simultaneously and continuously.

506 We considered excluding the very non-linear responses, where a pattern of flat or low gain
507 responses was found to targets at 0.5m or beyond, with a sudden large over-accommodation
508 response to the 0.33m target. These responses are different from largely linear adult responses
509 and were sometimes out of the linear range of the photorefractor. By excluding them, however,
510 we would miss-describe neonatal responses, of which they are a feature. We accept that when
511 the excessive near response is out-of-linear-range they are difficult to quantify using our
512 equipment, but they are of interest for two reasons. Flat accommodation responses for more
513 distant targets, followed by appropriate or excessive accommodation for near suggest that while
514 vergence seems generally well controlled over the linear range of target distances,
515 accommodation can be driven independently once a level of blur (or disparity) reaches a
516 threshold. These responses also have implications for the development of the AC/A ratio
517 because they suggest that the relationship between accommodation and vergence is different at
518 different target demands, suggesting that in infancy A/C linkages are unstable.

519 We could also not perform the individual calibrations for accommodation that would have been
520 ideal for such studies²⁹, although group comparisons are often used in studies such as this. The
521 Plusoptix photorefractor accuracy compares well with refraction derived from retinoscopy
522 (around +/- 0.75D)^{28, 43}, while our measure of vergence change is more precise because we
523 correct for variables such as IPD and angle lambda²⁸. There may therefore have been some
524 individual between-participant differences in accuracy of refraction within the operating range of
525 the photorefractor, but there should be no optical reasons why calculation of refraction of
526 younger or premature infants *per se* should be less accurate (once data is captured). The fact
527 that more linear vergence was demonstrated simultaneously with erratic accommodation shows
528 the infants were attending to the target and refraction was on-axis, but frequently well outside
529 ranges which could be attributed to measurement error.

530 We had too few significantly hyperopic infants to investigate early hyperopia as a separate
531 issue. We had similar proportions of apparently hyperopic infants in each of our groups when
532 matched by their corrected age, so this is unlikely to have affected our results.

533 In conclusion, vergence and accommodation follow a pre-programmed developmental trajectory
534 so pre-term infants appear to have longer visual experience of immature responses. This may
535 extend into the period when experience-dependent cortical binocularity emerges. A mismatch in
536 the time course between the development of oculomotor and sensory binocularity might
537 contribute to the increased risk of strabismus in children born pre-term.

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