Novel heating/cooling stage designed for fluid inclusion microthermometry of large stalagmite sections

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Novel heating/cooling stage designed for fluid inclusion microthermometry of large stalagmite sections

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Abstract

Liquid–vapour homogenisation temperatures of fluid inclusions in stalagmites are used for quantitative temperature reconstructions in paleoclimate research. Specifically for this application, we have developed a novel heating/cooling stage that can be operated with large stalagmite sections of up to 17 × 35 mm^2 to simplify and improve the chronological reconstruction of paleotemperature time-series. The stage is designed for use of an oil immersion objective and a high-NA condenser front lens to obtain high-resolution images for bubble radius measurements. The temperature accuracy of the stage is better than ±0.1 °C with a precision (reproducibility) of ±0.02 °C.

Keywords: microthermometry, heating stage, stalagmites, homogenisation temperature, paleotemperature

1. Introduction

During past decades stalagmites have become an important archive providing information on natural climate variations in the past (*e.g.* Fairchild *et al.*, 2006; Lachniet, 2009; McDermott *et al.*, 2004). Stalagmites can grow continuously over thousands of years by precipitation of calcite from the cave drip water and their age can precisely be dated using the uranium-series dating method. In weakly ventilated caves, the cave air temperature is nearly constant throughout the year and is closely related to the mean annual surface temperature outside the cave (Wigley and Brown, 1976). Thus, stalagmite formation temperatures can be used to develop time series of paleotemperature variations. In recent

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years, different temperature proxies have been proposed to determine the formation temperatures of stalagmites: (i) the combination of δD and $\delta^{18}O$ of fluid inclusion water and speleothem calcite, respectively (*e.g.* Schwarcz *et al*., 1976; McGarry *et al*., 2004; Vonhof *et al*., 2006; Zhang *et al*., 2008; Affolter *et al*., 2014), (ii) the excess of ^{13}C–^{18}O containing molecules (so-called 'clumped isotopes') of calcite (Ghosh et al., 2006; Affek et al., 2008), and (iii) the concentration of dissolved noble gases in the fluid inclusion water (Kluge et al., 2008; Scheidegger et al., 2010).

Recently, we have evaluated the applicability of fluid inclusion liquid–vapour homogenisation temperatures as an alternative approach to determine stalagmite formation temperatures (Krüger et al., 2011). The method relies on the measurement of the temperature at which a two-phase liquid–vapour inclusion homogenises to a stable monophase liquid state upon collapse of the vapour bubble. The temperature of the bubble collapse, i.e., the observed homogenisation temperature $T_{h(obs)}$ depends on the density of the encapsulated drip water and on the volume of the fluid inclusion. $T_{h(obs)}$ can be measured using a microscope heating/cooling stage. Prior to measuring liquid–vapour homogenisation temperatures in stalagmites, however, the initially monophase liquid inclusions have to be transferred to a stable two-phase system by applying single ultra-short laser pulses to stimulate vapour bubble nucleation in the metastable liquid (Krüger et al., 2007). Since stalagmites grow under atmospheric pressure conditions, the density of the water preserved in the inclusions depends only on the calcite formation temperature. By applying a thermodynamic model that accounts for the effect of surface tension on liquid–vapour homogenisation (Marti et al., 2012) the density of the encapsulated drip water and hence the formation temperature of the stalagmite can be calculated based on the measurement of $T_{h(obs)}$ and at least one additional measurement of the vapour bubble radius at a known temperature. Previous studies on recent fluid inclusions from the top part of actively growing stalagmites revealed a potential accuracy of this new temperature proxy of approximately ±0.2 °C (Krüger et al., 2011).

2. Motivation to develop a novel heating/cooling stage

Fluid inclusions in stalagmites form during crystal growth (Kendall and Broughton, 1978) and are typically arranged along calcite growth bands. Thus, the inclusions and the surrounding host calcite have the same age, which allows for a precise chronological reconstruction of stalagmite formation temperatures determined from fluid inclusions. In previous studies performed on a conventional Linkam THMSG 600 heating/freezing stage the

chronological reconstruction of the temperature data was complicated due to the fact that the maximum sample size is limited to 7 mm in diameter. Hence, the initially ca. 20 × 35 mm^2 large and 300–400 µm thick stalagmite sections had to be broken into smaller pieces after removing them from the carrier glass that is needed to stabilize the sample during cutting. This means a considerable and time-consuming effort for sample documentation prior to microthermometric measurements. Nevertheless a precise reconstruction of the sections often failed when the sample fractured to tiny pieces upon dissolving the glue (cyanoacrylate) in an acetone bath. Therefore, the main motivation to build a new heating/cooling stage was to be able to examine entire stalagmite sections that no longer need to be removed from the carrier glass but remain fixed on a 0.3 mm thick glass substrate with 28 × 48 mm^2 standard dimensions.

The second reason to design a new stage was the application of a microscope objective and a condenser front lens with high numerical apertures (NA) to improve the optical resolution and the quality of the vapour bubble images that are used for determining the bubble radius (Spadin et al., in prep.). The bubble images are taken with a CCD camera at known sub-ambient temperatures: commonly at 5.1 °C, when the bubble radius reaches its maximum (Marti et al., 2009). Since conventional heating/freezing stages like the Linkam THMSG 600 allow for microthermometric measurements from –180 up to 600 °C, their design requires the application of long working distance (LWD) optics that, however, exhibit lower numerical apertures than objectives and condenser front lenses with short working distances. For example, the Olympus LMPlanFL 100x LWD objective we use for the Linkam stage has a NA of 0.8, while the condenser front lens with a working distance of approximately 13 mm has a NA of approximately 0.4. For the new heating/cooling stage that was designed for applications in a narrow temperature range between –15 and 35°C, we wanted to use a 1.3 NA oil immersion objective (Olympus UPLFLN 100x) and a dry short working distance condenser front lens with a NA of 0.9. The application of an oil immersion objective suggests itself due to the fact that we do not polish the stalagmite sections but instead, we use immersion oil to reduce light scattering at the rough surfaces and thus make the sections transparent for microscopic observations in transmission. To illustrate the improvements of the image quality and resolution, Fig. 1 shows a comparison of two microphotographs of the same vapour bubble taken with the new (Fig. 1a) and the old (Fig. 1b) system. In Fig. 1a the bubble image shows a dark ring with high contrast, whereas in Fig. 1b the dark ring appears much broader and blurred due to lower contrast. Based on a simple ray–tracing model, Spadin et al. (in prep.) found that reflection of light at the bubble meniscus results in a bright ring

surrounding the dark one. The intensity of this bright ring depends on the NA of the objective, which is illustrated in Fig. 1c for the oil immersion objective (NA 1.3) and in Fig. 1d for the LWD objective (NA 0.8). The intensity profile in Fig. 1c displays two distinct intensity maxima outside the dark ring, whereas in Fig. 1d the two peaks can hardly be distinguished from the background. In Fig. 1a and 1b the bright outer ring is only partially visible, which is likely due shadow effects occurring in the stalagmite section and resulting in a non-uniform illumination of the vapour bubble.

An additional advantage of the oil immersion objective compared to the LWD objective is the smaller focal spot size due to the higher numerical aperture and a significantly higher transmission of the 800 nm laser wavelength emitted from the Ti:sapphire femtosecond (fs) laser that is used to induce bubble nucleation. This means that for a given energy and duration of the fs-laser pulse, the oil immersion objective provides a much higher pulse intensity in the focus than the LWD objective, and in consequence, allows us to induce vapour bubble nucleation in inclusions that are located up to 250 µm below the sample surface.

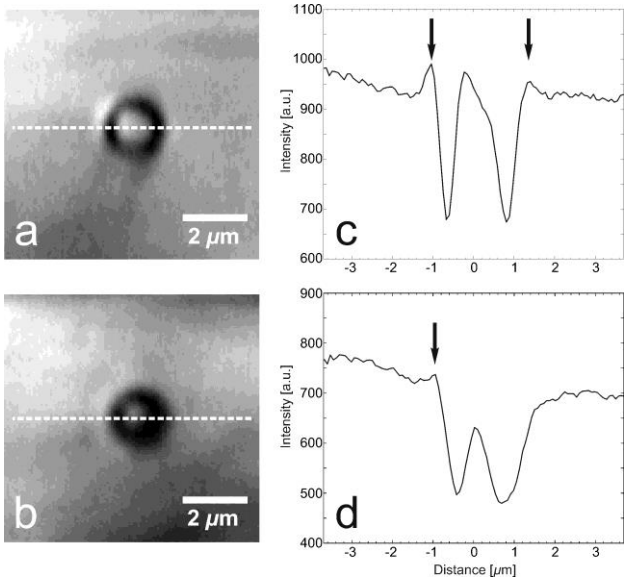

Fig. 1: Comparison of bubble images: a) microphotograph using a 100x oil immersion objective (NA 1.3) and a condenser NA of 0.9. b) microphotograph using a 100x LWD objective (NA 0.8) and a condenser NA of 0.4. c) and d) intensity profiles along the dashed lines indicated in a) and b). Arrows indicate the intensity maximum of the outer bright ring.

3. Design of the heating/cooling stage

In the following we describe the design of the novel heating/cooling stage that is

illustrated in Fig. 2a–e. All components described in the text are labelled in the figures accordingly. The heating/cooling stage is mounted on a Olympus BX51 microscope (Fig. 2c) and its dimensioning complies with the requirements set by the large sample size and the short working distances of the microscope optics. To meet these demands we constructed a large-sized (Ø 116 mm) heating/cooling block (A) made from copper, a material with excellent thermal conductivity. The rear side of this copper block features a central recess to bring the condenser front lens (C) close to the sample (Fig. 2b). The sample (III) is placed on the top face of the block (Fig. 2a). The 0.9 NA condenser front lens used has a working distance of ~3.5 mm and illuminates the sample through a tapered aperture with a final diameter of 1.5 mm (Fig. 2b). The heating/cooling block (A) comprises (i) a sheathed twin-core heating conductor (1; Thermocoax) of 1.7 m length and a diameter of 1.5 mm, which is soldered in a spirally routed groove closely below to the surface (Fig. 2b); (ii) a cooling unit (II) consisting of a cooling circuit (2) with inlet and outlet connections for the cooling liquid, a water-ethylene glycol mixture. The cooling unit is placed below the heating conductor; (iii) a Pt-100 thin-film temperature sensor (3; Heraeus) embedded in a slot on the top face of the copper block (Fig. 2a).

The sample (III), i.e., the 0.3 mm carrier glass with the stalagmite section, is fixed in a 2 mm thick fibreglass frame that prevents leakage of the immersion oil on the copper block (Fig. 2a). For the preparation of the sample we use UV curable epoxy resins that are inert against the immersion oil and can be applied at sub-ambient temperatures. Prior to the cutting of the sections, the stalagmite sample is fixed on the carrier glass using a low-viscous resin (Epo-Tek OG603) that closely matches the refractive index of calcite ($n_\varepsilon = 1.486$) in the cured state. The carrier glass is then fixed to the bottom side of the fibreglass frame using a different, higher viscous resin (Epo-Tek OG142). The fibreglass frame can be magnetically attached to the sample holder (V) that is mounted on an x-y translation stage (IV). The translation stage is moved by means of two micrometre gauges (4) with travel lengths of 50 mm and 25 mm, respectively. The position of the sample can be read off from the scales on the micrometre gauges, which allows for a precise localisation of the analysed fluid inclusions mandatory for the chronological reconstruction of the temperature data. The maximum size of the stalagmite sections that can be scanned with the oil immersion objective is 17×35 mm^2.

The application of an immersion objective (D) that is in direct thermal contact with the oil film covering the stalagmite sample requires an active control of the objective temperature to minimise vertical temperature gradients and to achieve faster equilibration of the sample temperature. Therefore, we have developed a copper heating/cooling jacket (B) that allows for

a precise regulation of the objective temperature. To accomplish an efficient heat transfer, the heating/cooling jacket is attached directly to the inner brass cylinder that houses the objective lenses (D). To this end, we removed the outer protective sleeve of the objective and modified the spring system that protects the front lens from mechanical damage. The cylindrical heating/cooling jacket consists of a heater element (I) that is made up of a coiled twin-core heating conductor (1) embedded between two copper sleeves and an outer cooling unit (II) with inlet and outlet connections (2) for the cooling liquid. The heater element (I) is screwed on the objective (D) and features a 2 mm spring deflection relative to the cylindrical cooling unit (II) that serves as guidance for the spring suspension of the objective. An insulating sheath (9) made from Polyoxymethylene (POM), finally, encases the heating/cooling jacket. The back aperture of the POM sheath is sealed with a 1 mm glass window (10) to prevent condensation of moisture on the objective rear lens and within the heating/cooling jacket. The whole assembly is fixed to the microscope frame by means of a detachable single-position nosepiece (VII) with centring screws to align the objective to the optical axis. A lateral through-hole (11, Fig. 2d) in the nosepiece can be used to flush the glass window with nitrogen gas to remove the condensed air moisture from the glass window. The objective temperature, finally, is measured by a Pt-100 thin-film sensor (3) that is placed close to the objective front lens (Fig. 2d).

The sample chamber is placed inside a sealed off aluminium housing (VIII) to minimise condensation of air moisture and thermal effects induced by air circulation. An opening in the base plate (Ø 34 mm) provides access to enter the condenser front lens (C) and is sealed off by a V-seal (7) mounted on the outer face of the adapter tube (8) that tightly connects the aperture diaphragm and the front lens of the condenser (Fig. 2e). From above, the objective with the heating/cooling jacket can be entered through an opening (Ø 60 mm) in the centre of the detachable cover plate (5). A V-seal (7) mounted on the POM insulation sheath seals off the port when the objective comes close to the sample. The cover plate is fixed to the housing by means of two clamping levers (6) and thus can be easily and quickly removed to load the sample. Finally, a plug board (VI) in the side wall of the aluminium housing is used as lead-through to connect the lower heating/cooling block (A) to the electric and the hydraulic circuits (Fig. 2a,c).

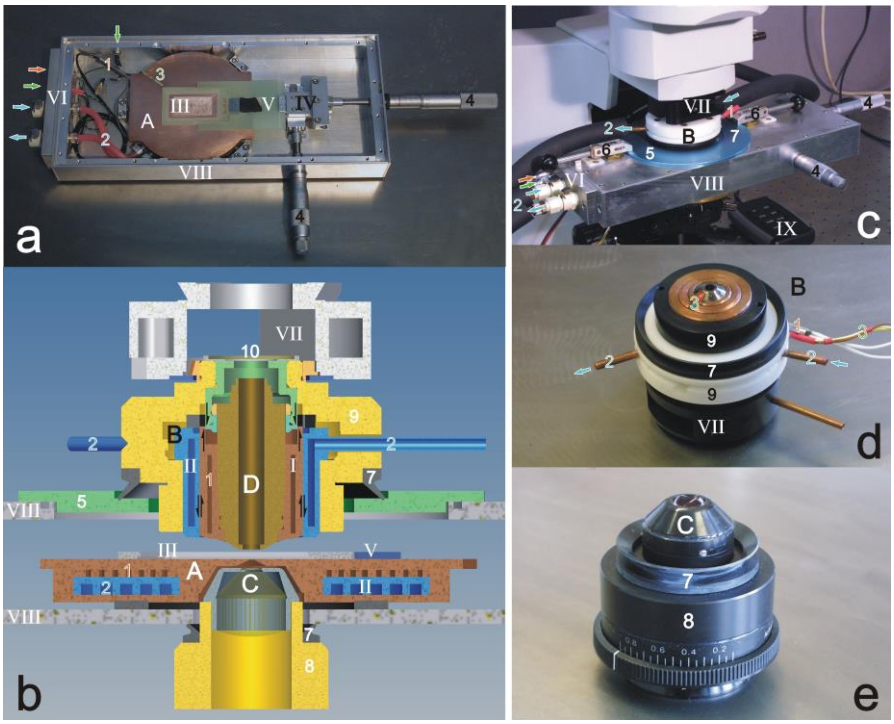

Fig. 2: a) View of the heating/cooling stage with removed cover b) Cross-section through the stage and the objective heating/cooling jacket c) stage mounted on the microscope d) objective with heating/cooling jacket e) condenser assembly.

Legend:

A: heating/cooling block B: objective heating/cooling jacket C: condenser lens D: oil immersion objective.

I: heater element II: cooling element III: sample IV: x-y translation stage V: sample holder VI: plug board VII: objective nose piece VIII: stage housing IX remote control.

1: heating conductors 2: cooling circuits 3: Pt-100 sensors 4: micrometer gauge 5: detachable cover plate 6: clamping lever 7: V-seal 8: adapter tube 9: insulating sheath 10: window 11:gas inlet

Connections are indicated by arrows: red for heating conductor, blue for cooling circuit, green for Pt-100 sensor and grey for nitrogen gas.

4. Mode of operation of the stage

The twin-core heating conductors (1) in the lower copper block (A) and in the objective jacket (B) are connected to separate power supply units (Elektro Automatik EA-PS 3150-04 B) to enable independent temperature control of the two heating/cooling elements. A cryo-thermostat (Lauda ProLine RP 845) is used to feed the two cooling circuits and provides for a constant temperature and flow rate of the cooling liquid. The stage is operated under permanent circulation of the coolant, while the temperature of the two heating/cooling

elements is regulated individually by varying the voltage impressed on the heating conductors. The stage reaches a maximum sample temperature that is 50°C in excess of the coolant temperature set at the thermostat. Considering the specific application to fluid inclusions in stalagmites and the use of immersion oil, a 50 °C temperature range of the stage is more than sufficient. The two Pt-100 sensors (3) attached to the lower heating/cooling block and to the microscope objective, respectively, are connected to a four-channel temperature converter (National Instruments). The temperature converter and the two power supply units are connected to a computer via USB interfaces. A LabView® based PID (Propotional-Integral-Derivative) controller is used to regulate the temperature of the two heating/cooling elements. For convenience, the stage can be operated via the function keys of a remote control (IX, Fig. 2c). A flow chart of the temperature control system is shown in Fig. 3.

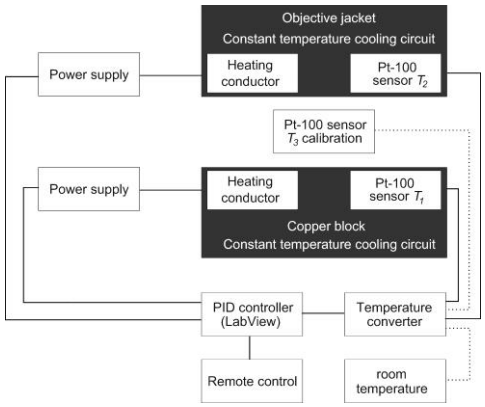

Fig. 3: Scheme of the temperature control of the heating/cooling stage. For the calibration of the stage additional Pt-100 sensors can be connected to measure the sample temperature and to monitor room temperature variations (see section below)

5. Thermal characterisation and temperature calibration of the stage

To characterise the thermal properties of the heating cooling stage such as precision, accuracy and equilibration times, we used an additional Pt-100 thin-film sensor fixed on a 0.3 mm glass substrate and embedded in epoxy resin (Fig. 4a). This sensor allows us to measure the sample temperature (T_3) in the centre of the lower copper block, i.e., in the optical path of the microscope. The regular Pt-100 sensor (T_1) embedded in the lower copper block is about 40 mm off the centre, which means that T_3 is not equal T_1 due to lateral thermal gradients. The same applies for the objective temperature T_2 that is not equal to the

temperature of the front lens T_4 (Fig 4b). Since we cannot directly measure the sample and front lens temperatures during fluid inclusion microthermometry, we need a calibration to determine T_3 and T_4 based on the two set temperatures T_1 and T_2. Furthermore, T_4 should equal T_3 to avoid vertical temperature gradients within the sample. We recall that the objective front lens is in contact with sample via the immersion oil. The PID controller is capable of holding T_1 and T_2 constant within ±0.01 °C, which determines the fluctuation of T_3 and T_4.

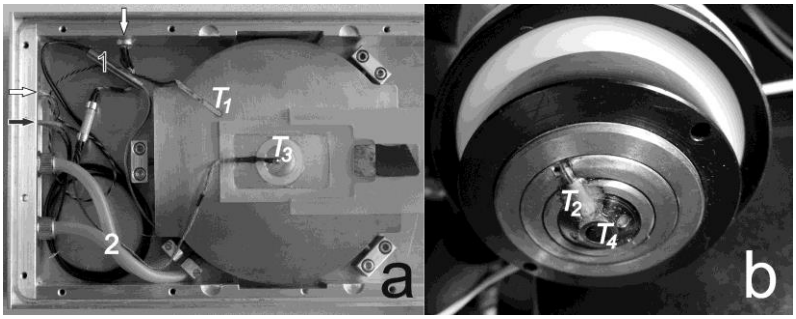

Fig. 4: Positions of temperature measurements for the stage calibration. a) T_1: surface temperature of the copper block 40 mm apart from the sample position, measured with a permanent Pt-100 sensor. T_3: sample temperature measured with an additional Pt-100 sensor used only for calibration. b) T_2: objective temperature close to the front lens measured with a permanent Pt-100 sensor. T_4: temperature of the objective front lens, not measured directly (see text for details).

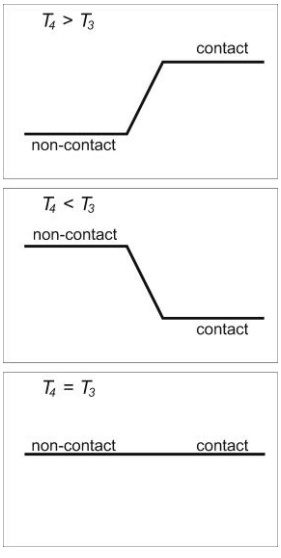

Fig. 5: Schematic representation of the measurement procedure used to calibrate of the objective front lens temperature T_4 by minimising the temperature gradient between T_3 and T_4 (see text for details)

For the calibration of the stage it was not practicable to measure both the front lens temperature T_4 and the sample temperature T_3 independently. Instead of measuring T_4 directly, we only measured T_3 as a function of T_1 and minimised the vertical temperature gradient between T_3 and T_4 by adjusting the objective set temperature T_2. In practice, we measured T_3, first, in a non-contact configuration with the objective front lens about 0.3 mm above the immersion oil covering the embedded Pt-100 sensor and, subsequently, in a contact configuration with the front lens immersed in the oil and thus in thermal contact with the Pt-100 sensor. In this way, we were able to detect thermal gradients between the objective front lens and the sample (Pt-100 sensor) on the basis of the temperature change of T_3. By adjusting the objective set temperature T_2 in repeated measurements, we finally minimised the thermal gradients and thus the change of T_3 when switching over to the contact configuration. This calibration procedure is illustrated schematically in Fig. 5. Calibration measurements were performed at different sample temperatures T_3 and for different coolant temperatures $T_{coolant}$. The results are shown in Fig. 6. The diagram displays the deviation of the two set temperatures, T_1 and T_2, from the calibrated sample temperature $T_{3(cal)}$ ($\Delta T_{set} = T_{set} - T_{3(cal)}$) as function of $T_{3(cal)}$ for coolant temperatures of 0, –5, –10 and –15 °C. The relation between ΔT_{set} and $T_{3(cal)}$ is nearly linear for both, the lower copper block (T_1) and the objective (T_2). To test our calibration, we repeated the measurements of the sample temperature in the contact configuration. Measurements were taken in 5 °C increments using a heating/cooling rate of 5 °C/min and an equilibration time of 15 minutes after each temperature step. Fig. 7 illustrates that the deviation of the measured sample temperatures $T_{3(meas)}$ from the calibration temperature $T_{3(cal)}$ is less than ±0.02 °C, except for some measurements performed with a coolant temperature of –15 °C, which show a slightly larger deviations above 15 °C. This is due to the limited cooling capacity of the thermostat, which results in a slight increase of $T_{coolant}$ with increasing stage temperature and larger temperature fluctuations. In addition, Fig. 7 indicates a small hysteresis between heating and cooling runs. Repeated measurements with the same coolant temperature yield a precision (reproducibility) of T_3 of ±0.01 °C.

The temperature tolerance of the Pt-100 sensors ($^1/_3$ Class B) used for the calibration is ±0.1 °C at 0 °C and ±0.15 °C at 30 °C. To further improve the accuracy of the sample temperature T_3 below ±0.1°C, we used synthetic H_2O and H_2O-CO_2 fluid inclusions for absolute temperature calibrations. The use of synthetic fluid inclusions allows us to compare our measurements with well-known reference temperatures, namely the melting temperature of ice at 0.0 °C and the critical homogenisation of CO_2 in the H_2O- CO_2 system at 31.42 °C (Morrison 1981). Measurements were preformed with different coolant temperatures yielding

a precision of ±0.02 °C and a slight offset of T_3 to lower temperatures: –0.1 ° at 0.0 °C and –0.15 ° at 31.42 °C. Using these offset values for a correction of the calibration, we can achieve a temperature accuracy of the heating/cooling stage of approximately ±0.05 °C.

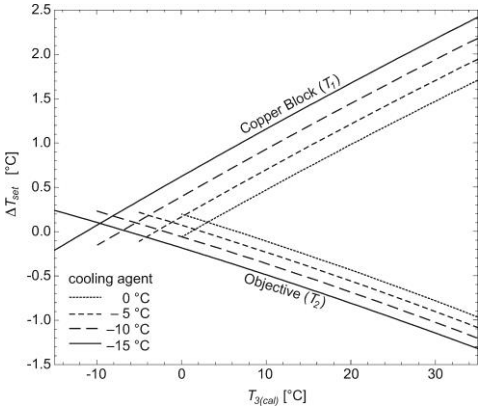

Fig 6: Deviation of the set temperatures T_1 and T_2 from the calibrated sample temperature $T_{3(cal)}$ for different coolant temperatures indicated by colours (see text for details).

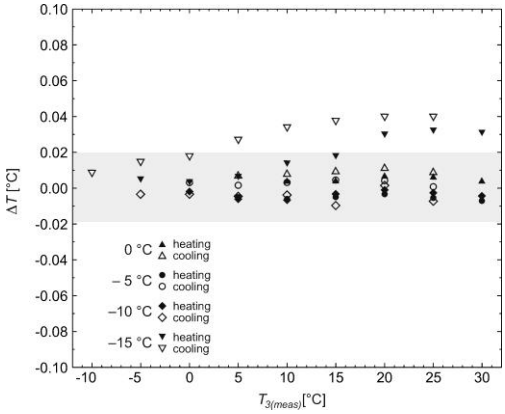

Fig. 7: Calibration check illustrating the deviation of the measured sample temperature value $T_{3(meas)}$ from the calibration $T_{3(cal)}$. Filled symbols denote measurements upon heating, open symbols upon cooling. Colours indicate different coolant temperatures.

The measurements of the ice melting temperature have also shown that absorption of light in the sample can increase T_3 by up to 0.05 °C, in spite of using a heat-absorbing IR-filter (Schott KG5 IR) in the illumination light path. In consequence of the absorption the effective sample temperature is higher than predicted by the calibration, which results in an underestimation of the ice melting temperature. To deal with this potential uncertainty we are working with a pre-defined setting of the light intensity and of the field diaphragm for sample illumination. Finally, we investigated the effect of room temperature fluctuations on the sample temperature. Both T_3 and the room temperature were measured with Pt-100 sensors. The results of these measurements indicate that a change in room temperature of 1 °C affects the sample temperature by less than 0.01 °C, which is a negligible error in an air-conditioned lab with room temperature fluctuations of less than ±2 °C.

Besides precision and accuracy we also analysed the dynamic properties of the stage, namely the temperature equilibration times. The equilibration time t_{eq} denotes the time lag of the system to reach a stable temperature state after a temperature change ΔT. The time at which the set temperature reaches its new value serves as reference time t_0. Equilibration times were measured for T_1, the temperature of the lower heating/cooling block, for T_2, the

objective temperature and for the sample temperature T_3. Figure 8 illustrates the nominal (dashed lines) and the measured temperature trends (solid lines) of T_1, T_2 and T_3 as a function of time for different temperature changes ΔT and heating rates. The diagrams show that T_1 and T_2 slightly over-shoot the set temperatures after heating is stopped and then return to their set values. The equilibration times t_{eq} of T_1 and T_2 are very similar and depend only on the heating rate (~10 s at 1 °C/min, ~20 s at 10 °C/min). The sample temperature T_3, in contrast, approaches its set temperature asymptotically from below with a considerable time lag. The time the system needs to reach a stable sample temperature is too long for practical use (up to 360 seconds) and therefore we defined three time markers t_1, t_2 and t_3 at which the sample temperature is 0.1, 0.05 and 0.02 °C, respectively, below the final sample temperature $T_3(eq)$. Fig. 8 shows that t_1, t_2 and t_3 depend on the heating rate as well as on the temperature change ΔT. With respect to fluid inclusion measurements t_1 implies a temperature overestimation of 0.1 °C, t_2 of 0.05 °C and t_3 of 0.02 °C. This means that the accuracy of the T_h measurements also depends on the measuring routine that needs to be adjusted accordingly.

In practise, we use a rate of 10 °C/min to heat the stage to a temperature that is approximately 2–3 °C below the expected homogenisation temperature. After an equilibration time of 60-90 seconds, further heating is accomplished with a rate of 1 °C/min. Close to the homogenisation, finally, when the vapour bubble becomes very small, the temperature is increased stepwise in 0.05 °C increments until T_h is reached. During this last phase of the measurement the sample temperature closely follows the set temperature.

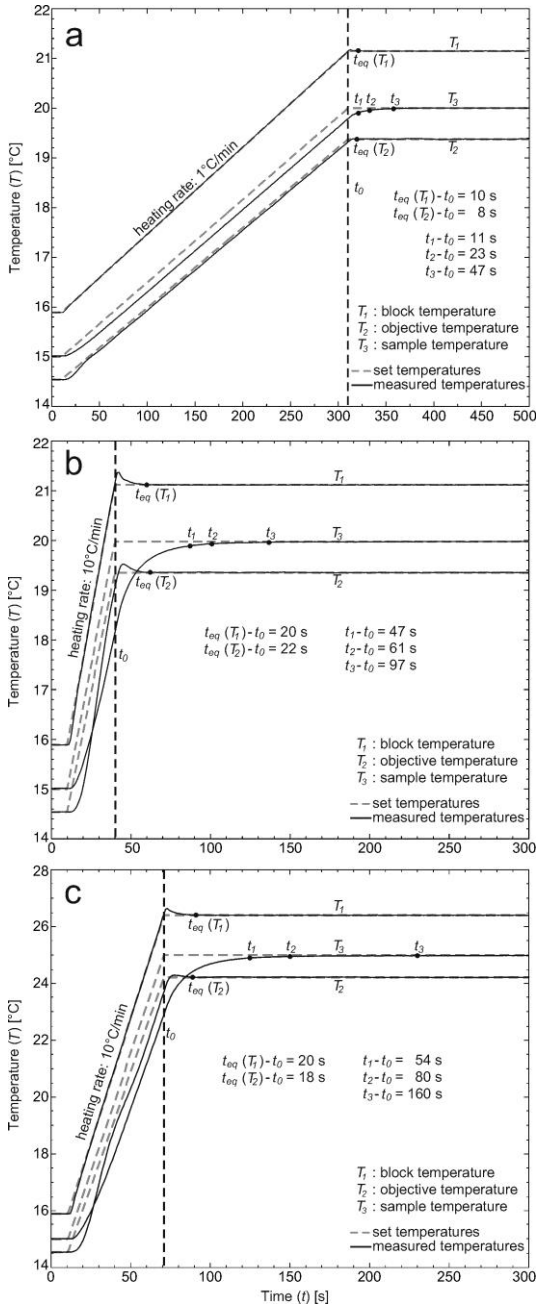

Fig. 8: Temperature-time plots illustrating the equilibration times of T_1, T_2 and T_3 after temperature increases: a) 5 °C at a heating rate of 1 °C/min b) 5 °C at a heating rate of 10° C/min and c) 10 °C at a heating rate of 10 °C/min. Dashed lines indicate the set temperatures and solid lines represent the measured temperature trends. The reference time t_0 denotes the end of the heat-up phase (dashed vertical line).

6. Conclusions

Liquid–vapour homogenisation of fluid inclusions in stalagmites is a promising new approach to accurately reconstruct paleotemperature variations with high temporal resolution. For this specific application, we have developed a novel heating/cooling stage for operation with large stalagmite sections and high-NA optics. With a temperature accuracy well below ±0.1 °C and a precision of ±0.02 °C the stage meets the requirements for fluid inclusion measurements in this temperature range. In a next step of development we will factor the dynamic properties of the stage into the PID temperature control to further reduce the equilibration times after large temperature changes and thus, to increase the efficiency of the T_h measurements.

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