

1 **China's soil and groundwater management challenges: lessons from the** 2 **UK's experience and opportunities for China**

3
4 Frédéric Coulon¹, Kevin Jones², Hong Li², Qing Hu³, Jingyang Gao³, Fasheng Li⁴, Mengfang
5 Chen⁵, Yong-Guan Zhu⁶, Rongxia Liu⁷, Ming Liu⁸, Kate Canning⁹, Nicola Harries¹⁰, Paul
6 Bardos¹¹, Paul Nathanail¹², Rob Sweeney¹⁰, David Middleton¹³, Maggie Charnley¹³, Jeremy
7 Randall¹⁴, Martin Richell¹⁴, Trevor Howard¹⁵, Ian Martin¹⁵, Simon Spooner¹⁶, Jason Weeks¹,
8 Mark Cave¹⁷, Fang Yu¹⁸, Fang Zhang¹⁹, Ying Jiang¹, Phil Longhurst¹, George Prpich¹,
9 Richard Bewley²⁰, Jonathan Abra²¹, and Simon Pollard¹

10
11 ¹Cranfield University, School of Energy, Environment and Agrifood, Cranfield, MK430AL, UK

12 ²Lancaster Environment Centre, Lancaster University, LA1 4YQ, UK

13 ³Engineering Innovation Centre, South University of Science and Technology of China, 1088 Xue Yuan Da
14 Dao, Nanshan, Shenzhen, Guangdong, 518055China

15 ⁴Department of Soil Pollution Control, Chinese Research Academy of Environmental Sciences (CRAES), 8
16 Dayangfang BeiYuan Road., Chaoyang District, Beijing 100012, China

17 ⁵Institute of Soil Science, Chinese Academy of Science (ISSAS), 71 East Beijing Road, Nanjing, 210008,
18 China

19 ⁶The Institute of Urban Environment (IUE), Chinese Academy of Sciences (CAS), 1799 Jimei Road, Xiamen
20 361021 China

21 ⁷The Administrative Centre for China's Agenda21 (ACCA21), 8 Yuyuantan Nanlu, Haidian District, Beijing
22 100038, China

23 ⁸Department of Science, Technology & Innovation, British Consulate-General Guangzhou, 5 Zhujiang Road
24 West, Zhujiang New Town, Guangzhou, 510623 China

25 ⁹Arup, Energy and Resources, 6th floor, 3 Piccadilly place, Manchester M3 1 BN, UK

26 ¹⁰CL:AIRE, 32 Bloomsbury Street, London, WC1B 3QJ, UK

27 ¹¹University of Brighton, Environment and Technology, Moulsecoomb, Brighton, BN2 4GJ, UK

28 ¹²School of Geography, The University of Nottingham, University Park, Nottingham, NG7 2RD, UK & Land
29 Quality Management Ltd, University of Innovation Park, Sir Colin Campbell Bldg, Nottingham NG7 2TU,
30 UK

31 ¹³Department for Environment, Food and Rural Affairs (DEFRA, UK), Nobel House, 17 Smith Square, London,
32 SW1P 3JR, UK

33 ¹⁴RAW, Randall and Walsh Associated Limited, 339 Yorktown road, Sandhurst GU47 0PX, UK

34 ¹⁵Environment Agency (England), Horizon House, Deanery Road, Bristol, BS1 5AH, UK

35 ¹⁶Atkins, Water Ground and Environment, Epsom, KT18 5BW, UK and Nottingham University, Ningbo, 199
36 Taikang E Rd, Yinzhou, Ningbo, Zhejiang, 315100 China

37 ¹⁷British Geological Survey, Keyworth, Nottingham, NG12 5GG, UK

38 ¹⁸Chinese Academy for Environmental Planning, 8 Dayangfang BeiYuan Road., Chaoyang District, Beijing
39 100012, China

40 ¹⁹School of Environment, Tsinghua University, Haidian, Beijing, 100084, China

41 ²⁰AECOM, New York St, Manchester, Lancashire M1, United Kingdom, UK

42 ²¹KTN, Innovation Suite, The Heath, Runcorn, Cheshire WA7 4QX

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44 **Abstract**

45 There are a number of specific opportunities for UK and China to work together on
46 contaminated land management issues as China lacks comprehensive and systematic planning
47 for sustainable risk based land management, encompassing both contaminated soil and
48 groundwater and recycling and reuse of soil. It also lacks comprehensive risk assessment
49 systems, structures to support risk management decision making, processes for verification of
50 remediation outcome, systems for record keeping and preservation and integration of
51 contamination issues into land use planning, along with procedures for ensuring effective
52 health and safety considerations during remediation projects, and effective evaluation of costs
53 versus benefits and overall sustainability. A consequence of the absence of these overarching
54 frameworks has been that remediation takes place on an ad hoc basis. At a specific site
55 management level, China lacks capabilities in site investigation and consequent risk
56 assessment systems, in particular related to conceptual modelling and risk evaluation. There
57 is also a lack of shared experience of practical deployment of remediation technologies in
58 China, analogous to the situation before the establishment of the independent, non-profit
59 organisation CL:AIRE (Contaminated Land: Applications In Real Environments) in 1999 in
60 the UK. Many local technology developments are at lab-scale or pilot-scale stage without
61 being widely put into use. Therefore, a shared endeavour is needed to promote the
62 development of technically and scientifically sound land management as well as soil and
63 human health protection to improve the sustainability of the rapid urbanisation in China.

64

65 **Keywords:** Contaminated land management, rapid urbanisation, risk assessment, China, UK

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67 **1. China's rapid urbanisation and the contaminated land debate**

68 China's fast urbanisation, along with huge expansion of its manufacturing industry over the
69 last three decades, have brought great wealth and transformed the lives of Chinese people. At
70 China's current urbanisation rate, it is estimated that 350 million people, almost 6 times the
71 current population of the United Kingdom, will be added to its total urban population by 2025
72 (Woetzel et al., 2009). As cities continue to expand, many older industrial facilities along the
73 edge of, or within, the city boundaries are being relocated or closed, leaving behind derelict,
74 underused and abandoned land contaminated by the former industrial activities. These sites
75 can be valuable land for re-development, but require special intervention to bring them back
76 into beneficial use. At the same time, the continuous outward shift of urban boundaries and

77 the expansion of territorial jurisdictions of cities, primarily through the expropriation of
78 surrounding rural land and its integration into urban areas, means that land use patterns have
79 changed significantly over the last few decades (World Bank Organisation, 2014). These
80 prevailing land use changes are reflected in three key environmental issues (Figure 1) that
81 need to be addressed:

- 82 1. the rehabilitation of contaminated post-industrial urban sites that may be re-used for
83 housing or amenity;
- 84 2. the clearing up of legacy mining and industrial sites outside cities, to prevent further
85 contamination and/or to return to ecological or agricultural function;
- 86 3. the decontamination of farmland that is affected by legacy contamination, from the
87 uncontrolled spreading of industrial waste, use of contaminated water for irrigation,
88 atmospheric deposition or dumping of contaminated soils from urban or industrial
89 areas.

90 Re-zoning to relocate industrial facilities away from residential areas, to segregate
91 manufacturing from where people live, and the reuse of redundant sites for residential, retail
92 and commercial land uses mean that China is potentially a strong market for solutions and
93 services in contaminated land characterisation, assessment and remediation. There are several
94 reasons for this: (1) avoiding the use of scarce Greenfield land resources; (2) mitigating the
95 legacy impacts of contamination for both the sites and their locality; and (3) creating new
96 opportunities for land use for business, housing and renewables such as energy, but also for
97 green infrastructure, amenity and leisure; and (4) equally, if legacy of contaminated land
98 remained untouched due to legal concerns or lack of financial resources, or not properly
99 remediated, they can present a serious threat to public health and the environment and
100 become a barrier to local and national economic development. For example, creation of new
101 urban parkland may have substantial benefits on the liveability of cities, the value of its land
102 and the health of its residents.

103 Although the scale of China's urbanisation and the number of growing large metropolitan
104 regions where this urbanisation is concentrated are globally unprecedented, the issues of
105 urban transformation and associated issues of contaminated land are not novel and unique
106 (OECD, 2010). For example, the UK has already gone through this urbanisation and
107 industrial restructuring process and over the past 40 years has developed pragmatic and
108 effective policy and practices to manage this land contamination legacy. These practices have
109 evolved over time, due to different drivers and needs (Figure 2). They continue to help return

110 many thousands of hectares of land to beneficial use. Such experience can help inform
111 Chinese decision makers.

112 **2. China's developing prioritisation and policies for soil and water and the scale of the** 113 **challenge**

114 China is starting to release details of its 13th five-year plan, where a number of environmental
115 challenges are addressed, including contaminated land which has again been highlighted as
116 an immediate priority (Figure 1). Under China's current 12th Five-Year Plan, the Ministry of
117 Environmental Protection (MEP) has earmarked 30 billion RMB from central finances
118 (equivalent to £3bn) to support national land remediation projects. Indeed, in 2013 the
119 Chinese State Council acknowledged the environmental industry as a pillar for China's future
120 development (Bloomberg BNA, 2015). The environmental industry is expected to grow by
121 15% annually, generating a turnover of 4.5 trillion RMB (equivalent to £458bn) in 2015.

122 The 13th Five-Year Plan also places a greater responsibility on companies to manage their
123 environmental impacts and creates a much greater awareness within industry of its
124 responsibilities. According to the MEP, the groundwater tested in 100 cities across China was
125 not suitable for drinking water supply. The Ministry of Water Resources reported that 40% of
126 China's rivers were classified as seriously polluted in 2011, of which 20% were so polluted
127 that their water quality was rated too toxic for human contact (Hu et al., 2014). The MEP is
128 currently drafting a Clean Water Action Plan to address pollution of surface water resources
129 and to ensure safe drinking water. Industrial wastewater treatment will be one of the
130 priorities. The National Groundwater Contamination Prevention and Remediation Plan calls
131 for a 34.7 billion RMB (equivalent to £3.6bn) investment through 2020 (export.gov, 2014).
132 Through 2015 the State Council is accelerating drafting the "Soil Environmental Protection
133 Law" and the Ministry for Environmental Protection (MEP) is required to produce a Soil
134 Pollution Prevention & Remediation Action Plan. These should help to address some of the
135 barriers to remediation taking place, establish standards and assign supporting government
136 funding.

137 China's first nationwide soil quality survey released by the MEP and the Ministry of Land
138 Resources in April 2014 highlighted the significant challenges China is facing to maintain
139 and restore soil function and quality (MEP & MLR, 2014). For the 6.3 million square
140 kilometres (km²) of surveyed land, it was estimated that 16% of the country's soil was
141 polluted, including 19% of farmland (Figure 3). Among the sites where soil was
142 contaminated, 83% were impacted by inorganics (see Table 1 for the main pollutants in soils

143 and groundwater). Extrapolation of the soil sampling survey work suggests the total area of
144 arable land contaminated with heavy metals is 20 million hectares, accounting for 1/6th of the
145 total arable land in China. While there may be some question marks over the reliability of this
146 extrapolation, it does seem clear that there are substantial areas potentially affected.

147 Regarding geographical distribution, soil contamination in southern China is more important
148 than northern China and the primary concern is metal contamination (Hu et al., 2014; MEP
149 and MRL, 2014). The Yangtze River Delta, Pearl River Delta, and old industrial areas in
150 north eastern China have significant soil contamination issues, while the south western and
151 southern middle regions of China have been largely impacted by metal contamination (Hu et
152 al., 2014; Circle of Blue, 2014).

153 Government legislation has just begun to lay the foundation for market growth, which will
154 bring a wide range of opportunities for business, although soil protection and remediation are
155 still in the early stages of development (Financial Times, 2015). Currently the Chinese
156 government has planned to close around 500 industrial sites involved in soil pollution and
157 would spend 3% of the total economic output of Shanghai that ranges around 2220 billion
158 RMB (equivalent to £230bn) which suggests there is a strong potential for growth in the rate
159 of remediation and establishing strong technical capabilities and delivery (Ken Research,
160 2009).

161 The National Soil Pollution Prevention and Treatment Action Plan of China was approved by
162 the MEP in early 2014 and is awaiting further approval from the central government before
163 being released to the public. Soil and groundwater protection are inseparable cycles. The
164 MEP has issued the National Groundwater Contamination Pollution Prevention and
165 Remediation Plan (2011-2020) which allocated 37 billion RMB (equivalent to £3.8bn) to
166 support the implementation of new measures. With regulatory developments, it is expected
167 that the soil and groundwater remediation markets will grow significantly in the coming
168 years, especially under two sub-sectors: arable land and brownfield sites in urban areas (Dora
169 Chiang and Gu, 2015). The People's Daily newspaper has suggested that as many as 300,000
170 brownfield sites are in need of treatment before redevelopment. Dora Chiang and Gu (2015)
171 further reported that the soil remediation market size is estimated to reach £77bn by 2018 and
172 up to £142bn by 2020.

173 **3. Setting the soil regulatory framework, key to defining management of contaminated** 174 **land**

175 In 2014 the MEP published new national technical guidelines regarding environmental

176 investigation, risk assessment, monitoring and remediation (Dora Chiang and Gu, 2015),
177 while the 1995 national soil standards are currently under revision and are expected to cover
178 industrial and agricultural sites (Dora Chiang and Gu, 2015). However, Chinese agencies
179 recognise there is still a need for support to develop and enforce a comprehensive legislative
180 framework and funding systems, as well as establishing a mature characterisation, assessment
181 and remediation application and technology market (Figure 1). In common with other
182 emerging contaminated land markets, China stands to benefit from technical collaboration
183 and knowledge exchange.

184 Recently the sustainable development policy agenda, notably the newly adopted Sustainable
185 Development Goals (SDGs, 2015), is resulting in new ways of thinking in China about risk,
186 technology and decision-making. Increasingly, approaches to site remediation are being
187 scrutinized by reference to their full life-cycle costs, with environmental, social, economic
188 and technical factors being considered in developing risk management strategies. The
189 environmental industry, professional specialists and environmental regulators need to
190 reconsider how these broader aspects can be incorporated into decision making for
191 contaminated land management.

192 Technical collaboration in the development of risk based approaches to contaminated land
193 characterisation, assessment and remediation will lead to substantial benefits for China and
194 the UK (Figure 1). At the urban planning stage, China needs support to develop
195 comprehensive and systematic planning in soil protection and risk management. This needs
196 to be further supported by a comprehensive risk assessment system, including post-
197 restoration monitoring and safety and human health assessment and a system of recording site
198 ownership and land quality. With out-dated site investigation technology and inappropriate
199 remediation technology choices at many site restoration projects have resulted either in
200 secondary pollution or otherwise incomplete outcomes. This has been attributed in large to
201 the absence of an integrated supporting framework of guidance and experience to support
202 remediation decision making in China.

203 Inexperienced site owners, developers and regulators sometimes have unrealistic expectations
204 of the objective, cost and timeframe of remediation, which makes it difficult for a
205 remediation project to be properly designed and implemented, particularly for large, complex
206 sites. In addition, risk management and remediation implementation are seldom integrated
207 into the planning and redevelopment of contaminated sites across China. A clearer framework
208 for the assignment of liabilities and responsibilities for remediation work, and a risk-based

209 approach to assessing the required standards - as well as understanding the costs and impacts
210 of reaching these - is required, together with systematic monitoring and investigation of sites
211 for the specification of works. Together these gaps mean that China has the opportunity to
212 incentivise sound commercial rationales to drive the investment needed to bring its
213 brownfield land back into use, manage its land contamination problems and harness the
214 opportunities these measures would generate. It will further help to establish confidence in
215 brownfield land management and investment.

216

217 **4. Learning from and adapting the UK's experiences**

218 The UK has established a comprehensive frameworks built around preventing current
219 activities from causing pollution and risk-based management of legacy pollution. After
220 various lessons learnt, the UK now enjoys mature solutions to matters such as qualification,
221 approval of land transfer and definition of the responsible party for remediating polluted land.
222 The UK has a track record of sustainable, integrated remediation strategies and many
223 successful examples of remediation of polluted land. Additionally, the UK has established a
224 way of accrediting the competence and independence of laboratories that are able to provide
225 unbiased and accurate analyses of soil, water and other media. This combination of policy
226 frameworks and experienced expertise delivers a cost efficient, effective and ultimately
227 transferable way of managing land contamination legacies.

228 The risk-based approach of the UK's contaminated land legislative regimes (non-prescriptive
229 and pragmatic) has further allowed more innovative, cost effective and sustainable
230 approaches to be applied than elsewhere in the world. Thus, both the legal frameworks and
231 the solutions that have been developed are of interest to China as it seeks to address its legacy
232 of contaminated land and to reuse its urban spaces (Figure 1).

233 The UK also has experience with designing and validating cost-effective risk management
234 solutions as well as implementing good risk communication to ensure wider acceptance of
235 the process and its results. The risk based contaminated land management paradigm has
236 become a central point of reference for much of the supporting science and the basis of public
237 policy and environmental regulation on contaminated land in the UK. Sharing this would
238 benefit China in developing and then implementing its own contaminated land management
239 framework.

240 Both the UK and China have strong track records of academic research on land remediation.

241 However, in terms of policy framework and experience of contaminated land risk assessment

242 and remediation, China is still in the early stages. Hence, this is the time at which discussion
243 and joint actions will provide effective solutions to the environmental challenge China is
244 seeking to address. Specifically, emphasis on the developments in risk assessment,
245 remediation, impacts on human health and the policy and regulatory frameworks is needed.

246 This can be facilitated by:

- 247 • Establishing channels between China and the UK that will facilitate mutual learning
248 and understanding on contaminated land management issues
- 249 • Creating a constructive broad-based partnership that involves civil society, regulators,
250 the scientific community and business interests
- 251 • Promoting the development of a framework that connects research, field applications,
252 and industrial investment, to maximise and sustain contaminated land management
253 and redevelopment
- 254 • Establishing common framework to protect human health and the environment from
255 chemical hazards
- 256 • Building upon existing work to create a progressive alliance and improve alignment
257 on contaminated land management and sustainable development related issues in
258 international fora with a view to attain policy and practice convergence and joint
259 action
- 260 • Promoting business opportunities between China and UK along with technical
261 cooperation

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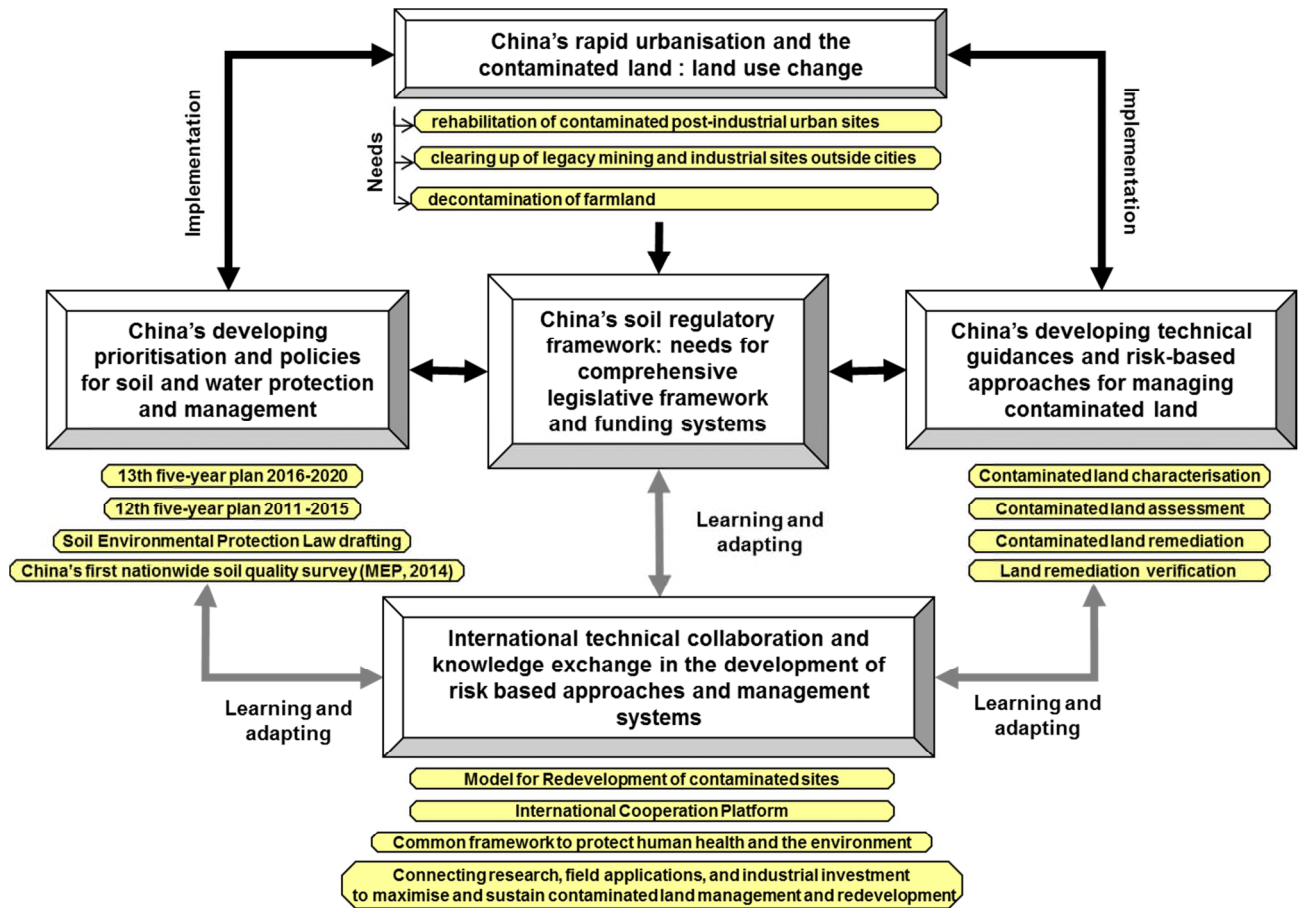
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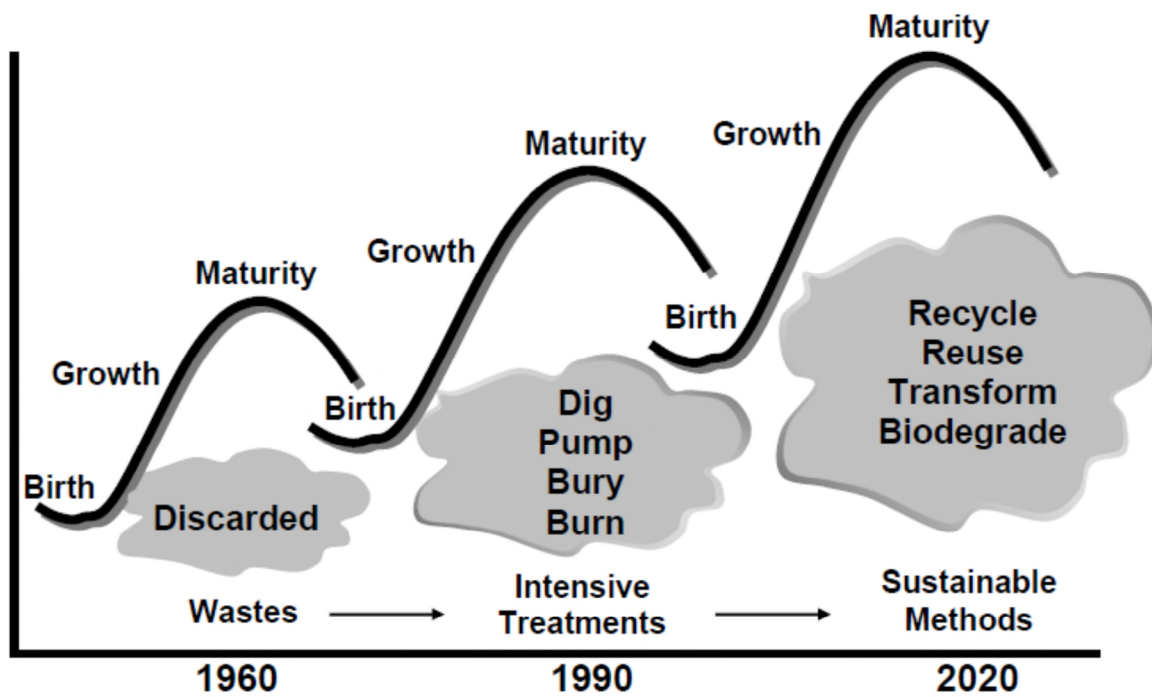


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312 Figure 1: Overview of China's soil and groundwater management challenges and
 313 opportunities for technical collaboration and knowledge exchange

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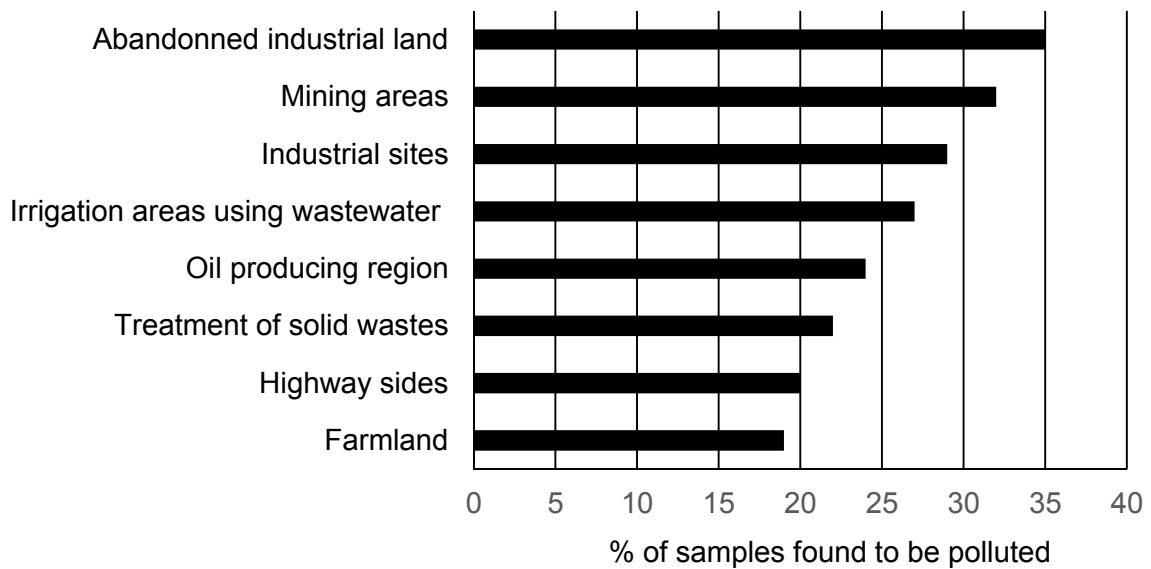
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317 Figure 2: Evolution of contaminated land management (reproduced from Ellis and Hadley
318 2009)

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321 Figure 3: Percentage of soil samples found to be polluted according to land use (adapted from
322 MEP, 2014)

323

324 Table 1: Main pollutants in soil and groundwater identified from the China national soil
 325 pollution survey (adapted from MEP and MLR, 2014)

Pollutant type	Background level (mg/kg)	Exceedance of surveyed samples (%)	Breakdown of exceeding surveyed samples by extent of exceedance (%)			
			Minor (1x - ≤2x)	Mild (2x - ≤3x)	Moderate (3x - ≤5x)	Severe (> 5x)
Inorganic						
Cadmium	0.2	7	5.2	0.8	0.5	0.5
Nickel	40	4.8	3.9	0.5	0.3	0.1
Arsenic	15	2.7	2	0.4	0.2	0.1
Copper	35	2.1	1.6	0.3	0.15	0.05
Mercury	0.15	1.6	1.2	0.2	0.1	0.1
Lead	35	1.5	1.1	0.2	0.1	0.1
Chromium	90	1.1	0.9	0.15	0.04	0.01
Zinc	100	0.9	0.75	0.08	0.05	0.02
Organic						
HCH ¹	0.05	0.5	0.3	0.1	0.06	0.04
DDT ²	0.05	1.9	1.1	0.3	0.25	0.25
PAHs ³ *	-	1.4	0.8	0.2	0.2	0.2

326 ¹Hexachlorocyclohexane (HCH), ²dichlorodiphenyltrichloroethane (DDT) and ³Polycyclic
 327 aromatic hydrocarbons (PAHs) are among the most frequently detected organic contaminants
 328 that exceeded the soil standards. (“number” x = order of times exceedance occurred)