Reduced volume of the arcuate fasciculus in adults with high functioning autism spectrum conditions

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Atypical language is a fundamental feature of autism spectrum conditions (ASC), but few studies have examined the structural integrity of the arcuate fasciculus, the major white matter tract connecting frontal and temporal language regions, which is usually implicated as the main transfer route used in processing linguistic information by the brain. Abnormalities in the arcuate have been reported in young children with ASC, mostly in low-functioning or non-verbal individuals, but little is known regarding the structural properties of the arcuate in adults with ASC or, in particular, in individuals with ASC who have intact language, such as those with high-functioning autism or Asperger syndrome. We used probabilistic tractography of diffusion-weighted images (DWI) to isolate and scrutinise the arcuate in a mixed-gender sample of 18 high-functioning adults with ASC (17 Asperger syndrome) and 14 age- and IQ-matched typically-developing controls. Arcuate volume was significantly reduced bilaterally with clearest differences in the right hemisphere. This finding remained significant in an analysis of all male participants alone. Volumetric reduction in the arcuate was significantly correlated with the severity of autistic symptoms as measured by the Autism-Spectrum Quotient. These data reveal that structural differences are present even in high-functioning adults with ASC, who presented with no clinically manifest language deficits and had no reported developmental language delay. Arcuate structural integrity may be useful as an index of ASC severity and thus as a predictor and biomarker for ASC. Implications for future research are discussed.

57 Keywords: autism, Asperger syndrome, diffusion-weighted imaging (DWI), arcuate58 fasciculus, language

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75 **1. Introduction**

Communication impairments are archetypal of autism spectrum conditions (ASC), 76 77 with delayed or absent language development the primary cause of concern and referral in many cases (De Giacomo and Fombonne, 1998; Siegel et al., 1988). A significant proportion 78 of individuals with ASC will remain minimally verbal into adulthood (Howlin et al., 2014; 79 80 Pickles et al., 2014), sometimes presenting with limited to non-speech sounds, stereotyped use of a few words or phrases, and echolalia. Even high-functioning individuals with ASC 81 exhibit a broad range of abnormalities across several major linguistic domains, including 82 prosody, syntax, semantics, and pragmatics (Eigsti et al., 2011; Moseley et al., 2013, 2014, 83 2015). Although the diagnosis of Asperger syndrome (DSM IV-TR: (American Psychiatric 84 Association, 2000)), one of the major variants of ASC, was previously given on the basis of 85 the absence of any delay in language development, these individuals may also show receptive 86 and expressive language skills at "well below chronological age level" (Howlin, 2003). They 87 are particularly noted for their use of idiosyncratic, pedantic language, which Hans Asperger 88 described in his "little professor" patients (Asperger, 1944). This particular feature may be 89 90 the linguistic expression of difficulties with 'theory of mind' (inaccurately assessing the knowledge of their listeners), and 'weak central coherence' (providing irrelevant and 91 uninformative detail rather than summarizing the 'gist' of the matter). These two cognitive 92 93 accounts are not easily disentangled in the domain of communication, as including too much detail and failing to summarise the wider picture may arise because of a failure to monitor 94 and recognise the listener's informational needs (Baron-Cohen, 1988). Nevertheless, the 95 neuronal basis of language difficulties in ASC, which seem to affect all linguistic levels 96 (phonological, lexical, syntactic, semantic and pragmatic), requires further study. 97

A major white matter tract traditionally implicated in language impairments is the 98 arcuate fasciculus (Ardila, 2010; Catani and Ffytche, 2005; Geschwind, 1965). The properties 99 of this frontotemporal fibre bundle distinguish language-using humans from other non-100 101 linguistic primate species (Catani and Ffytche, 2005; Glasser and Rilling, 2008; Rilling et al., 2008; Saur et al., 2008). It consists of a longer, direct segment connecting Wernicke's area to 102 Broca's area, and two indirect segments: an anterior part linking Broca's area with the 103 inferior parietal lobule and a posterior part linking inferior parietal lobule with the superior-104 temporal gyrus and sulcus (Wernicke's area) (Bernal and Altman, 2010; Bernal and Ardila, 105 2009; Catani and Mesulam, 2008). 106

Like language function itself, the arcuate is believed to be left-lateralised in the 107 majority of adults (Catani et al., 2007) and children (Lebel and Beaulieu, 2009). The 108 relationship between structural lateralisation of the arcuate and functional lateralisation of 109 language is not always transparent (Propper et al., 2010; Vernooij et al., 2007), but its 110 structural properties correlate with behavioural measures of language function, such as word 111 learning (López-Barroso et al., 2013), verbal recall (Catani et al., 2007) and the development 112 of phonological awareness and reading (Yeatman et al., 2011). Although most brain language 113 models assume that the arcuate plays a role in translating acoustic into articulatory linguistic 114 representations (Geschwind, 1965; Hickok and Poeppel, 2004, 2007; Wernicke, 1874), 115 current action-perception theories of language additionally purport that the arcuate is crucial 116 for building linguistic representations at all levels (phonological, lexical, syntactic, semantic 117 and pragmatic (Pulvermüller and Fadiga, 2010)). This position suggests AF degradation as a 118 likely cause of multi-level language and communication deficits such as those manifest in 119 ASC. 120

Despite the linguistic relevance of this tract and the prominence of language impairments in ASC diagnosis, few studies have examined the arcuate fasciculus structurally in autism. White matter integrity can be studied non-invasively in vivo using diffusionweighted imaging (DWI), which illuminates the microstructure of white-matter tracts by detecting the diffusion of water through brain tissue (Alexander et al., 2007).

Only four previous DWI studies investigated arcuate structure in autistic children in 126 mixed gender groups. Two reported a lack of typical left-hemispheric asymmetry as 127 compared to typically-developing controls (Joseph et al., 2014; Wan et al., 2012). Another 128 two reported reduced fractional anisotropy (FA) in the left arcuate fasciculus when children 129 with ASC are compared to typically developing controls (Kumar et al., 2010; Lai et al., 130 2012a). As Kumar and colleagues also included a comparison group of non-autistic children 131 with intellectual disability, they showed that longer fibre length of the right arcuate fasciculus 132 set the ASC group apart from both comparison groups. Ingalhalikar et al. (2011) studied an 133 ASC group consisting of children with mixed language abilities, including language-impaired 134 participants and those in the normal range. They reported reduced fractional anisotropy not in 135 the arcuate but, instead, in the adjacent parts of the superior longitudinal fasciculus, a 136 137 linguistically important connection between inferior-frontal and temporo-parietal cortical areas. This finding must be interpreted with caution as it pertains to a more inclusive pathway 138 of which the arcuate is a single part: the superior longitudinal fasciculus contains connections 139 140 between the frontal, parietal, occipital, and temporal lobes (Schmahmann and Pandya, 2006), the arcuate being sometimes defined as the 'long segment' connecting Broca's and 141 Wernicke's areas (Liégeois et al., 2013). A fuller description of these studies can be seen in 142 143 Supplementary Materials.

It is difficult to interpret the findings above as, with the exception of Ingalhalikar et. 144 al (2011), IQ in typically developing and ASC groups was unmatched or even unreported, 145 despite the effects of this variable on white matter microstructure (Penke et al., 2012). 146 Furthermore, some of these findings were obtained from non-verbal children, such that their 147 specificity to language or to ASC in general remains unclear. To elucidate this specificity 148 further, it would be important to study people with ASC who have intact language. To our 149 150 knowledge, only two tractography studies to date have examined the arcuate in adolescents with high-functioning autism or Asperger syndrome. Whilst one study (Fletcher et al., 2010) 151 revealed a lack of the typical structural lateralisation that corroborates the previous work by 152 the Wan and Joseph groups, the other found no differences at all (McGrath et al., 2013) (see 153 Supplementary materials for further details). These authors of the latter study note that they 154 may have only analysed a partial segment of the arcuate. This leaves open the question as to 155 whether this or the high verbal ability of their participants resulted in the lack of 156 differentiation between groups. 157

Given the small number of studies in this area and the limitations of previous work, 158 the nature of putative structural changes to the arcuate fasciculus in autism is still largely 159 unknown. Existing findings are divergent and sometimes contradictory, and this 160 heterogeneity might have several sources. Previous studies have employed rather 161 heterogeneous groups, differing in sex, age and symptom severity. For example, the age 162 163 range (and hence cognitive and general developmental stage) differs substantially from 5 (Joseph et al., 2014) to 14 years (Fletcher et al., 2010), making it difficult to compare data 164 between studies. Moreover, childhood and adolescence are developmental periods involving 165 substantial changes in structural and functional connectivity of the brain (Asato et al., 2010; 166 Barnea-Goraly et al., 2005; Fair et al., 2009; Mukherjee et al., 2002; Nagy et al., 2004), 167 which might be another reason for lack of arcuate difference in the McGrath study (McGrath 168

169 et al., 2013). Even children of the *same* chronological age can show large differences in cognitive and social development (Fischer and Silvern, 1985), let alone those from such 170 different age groups. Structural brain anatomy (including asymmetry) is modulated by 171 biological sex in both typically-developing individuals (Bao and Swaab, 2011) and those with 172 ASC (Lai et al., 2012b, 2013), which also has to be taken into account in any 173 neuroanatomical study. Furthermore, many of the above studies (Ingalhalikar et al., 2011; Lai 174 175 et al., 2012a; Wan et al., 2012) tested children who were very low functioning with severely impaired language and very low verbal IQ. We therefore cannot ascertain whether these 176 reported arcuate differences in low-functioning autism would be seen in children with autism 177 178 who are verbal, or only related to being non-verbal. In fact, Fletcher et al., 2010) failed to replicate these results in their sample of teenagers with ASC who had average 179 full-scale and verbal IQ. Finally, the arcuate in an adult population of people with ASC have 180 181 not been examined.

To fill these gaps, we aimed to investigate arcuate connectivity in a homogenous 182 group of high-functioning adults with ASC who did not show any intellectual disability or 183 obvious language impairments. This group has been understudied in terms of structural 184 185 differences in language-related fibre tracts. It is of interest to examine whether this population shows atypical features similar to those seen in individuals with clear language 186 delays and deficits, which could then be attributed to core features of ASC rather than to the 187 188 obvious language impairments manifest in the latter group. As individuals with Asperger syndrome show subtle linguistic abnormalities (Boucher, 2003; Eigsti et al., 2011), 189 differences in the structural architecture of language can predicted in this population. Based 190 191 on previous findings, we were interested in measures of cortical asymmetry of the arcuate and in any differences in fractional anisotropy, mean diffusivity and volume between highly 192 verbal adults with and without ASC. Expecting that microstructural differences of the arcuate 193 194 might appear even in this high-functioning population, we also examined correlations between DWI measures and the Autism-Spectrum Quotient (AQ: Baron-Cohen et al., 2001), 195 a measure of autistic traits, to see whether a dimensional relationship exists between autistic 196 traits and arcuate structure. 197

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199 **2. Methods**

200 2.1 Participants

Participants included 18 adults (mean age: 30.39 [standard deviation (SD): 9.99]; 10 201 202 males) with high-functioning autism or Asperger syndrome and 14 neurotypical adults (mean age: 27.64 [SD: 11.28]). All participants were right-handed, native monolingual English 203 speakers, medication-free, and none had a history of neurological disorder. Handedness was 204 assessed using the Edinburgh Handedness Inventory (Oldfield, 1971), and IQ using the 205 Cattell Culture Fair test . Demographics for all measures are shown in Table 1 (see Results). 206 All subjects were verbally fluent without any obvious clinical manifestations of language 207 abnormalities, although were previously shown to exhibit subtle differences in semantic 208 209 processing under experimental conditions (Moseley et al., 2013, 2014, 2015). In the ASC group, participants demonstrated a high degree of functional adaptation, as indicated by their 210 employment status. Ten participants were employed, 5 were studying at University and only 211 3 participants were unemployed. All participants had completed full time education. 212 213

The ASC sample was recruited from the volunteer database at the Autism Research Centre at Cambridge University (<u>www.autismresearchcentre.com</u>). They had all been previously clinically diagnosed using DSM-IV criteria: 17 met criteria for Asperger
Syndrome, and one for PDD-NOS (pervasive developmental disorder not otherwise
specified). All completed the AQ. To account for the heterogeneity in our sample introduced
by biological sex, a secondary analysis included only the 10 males in each group.

All participants gave written informed consent prior to participating in this study, indicating that they understood its purpose and were willing for their data to be included (in anonymous form) in scientific reports. They were remunerated for their time. Ethical approval was provided by NHS Research Ethics Committee of Cambridgeshire.

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225 2.2 Imaging and statistical analysis

Participants were scanned in a 3T Tim-Trio scanner, using a 12-channel head-coil. 226 Whole brain DWI data was acquired (Repetition Time (TR) = 7800 ms, Echo Time 227 (TE) = 90 ms, field of view: 19.2 cm, slice thickness: 2 mm, 63 slices, acquisition matrix 228 size: 96 \times 96, voxel size: 2 \times 2 \times 2 mm³, GRAPPA acceleration factor of 2) using a twice 229 refocused spin echo sequence to reduce eddy currents (Reese et al., 2003). Diffusion 230 sensitising gradients were applied along 64 gradient directions with a b-value of $1000 \text{ mm}^2/\text{s}$. 231 A high resolution T1-weighted MPRAGE scan was also acquired (TR = 2250 ms,232 233 TE = 2.99 ms, field of view: 256x240 mm, slice thickness: 1 mm, 192 slices, GRAPPA acceleration factor of 2). 234

For the purpose of estimating global white matter and intracranial volume (ICV) in participant MPRAGE (T1-weighted) files, preprocessing and segmentation of white and grey matter was performed using Freesurfer (Fischl, 2012), a well-documented analysis tool freely available online (http://surfer.nmr.mgh.harvard.edu). ICV was calculated by the automated 'eTIV' process within the mri_segstats function, which derives ICV through brain atlas normalisation procedures that calculate head size (Buckner et al., 2004).

Motion parameters were extracted for each DWI volume for all participants using FSL's motion and eddy current correction function eddy_correct (<u>www.fmrib.ox.ac.uk/fsl</u>), and any participants who moved more than 2mm in any direction were excluded. The diffusion weighted volumes were also visually inspected for typical motion artefacts (e.g. striping), but no further participants needed to be removed for this reason.

In order to check whether there was a different in the amount of motion between the 247 two groups (patients vs. controls), a summary measure of motion was determined using the 248 root mean square (RMS) volume of the 6 parameters describing the rigid body movement (3 249 translations and 3 rotations). This summary measure was calculated both in absolute terms 250 (i.e., using the firstly acquired volume as a reference), giving a global measure of head 251 motion, and also relative to the preceding volume, giving a measure of the head motion 252 between volumes. The average relative head displacement between volumes was 0.55 mm for 253 the controls, and 0.58 mm for the ASC participants, while the average absolute displacement 254 was 1.47 mm for the controls and 1.54 mm for ASC patients. There was no significant 255 difference between groups (p=0.47 for absolute displacement and p=0.55 for relative 256 displacement). The maximum relative and absolute displacement for each subject were also 257 compared across groups and again no difference was found (p=0.96 for absolute 258 259 displacement and p=0.41 for relative displacement).

260 Preprocessing and analysis of the diffusion-weighted images (DWI) was conducted 261 using MRtrix (J-D Tournier, Brain Research Institute, Melbourne, Australia, http://www.brain.org.au/software/), and the full analysis was performed in subject-space. Initially, images were converted from DICOM to MRtrix (.mif) format. A brain-mask with the same dimensions as the diffusion dataset was generated for each participant for use in further analysis, and these were checked against the original DWI images in order to determine whether any manual edits of the mask were required. The diffusion tensor model was then fitted to the DWI data, and a map of fractional anisotropy (FA) was generated for each subject.

The arcuate was reconstructed using probabilistic fibre-tracking based on constrained 269 spherical deconvolution (CSD) (Jeurissen et al., 2011). The majority of previous diffusion 270 MRI studies in ASC have used diffusion tensor imaging (DTI) to reconstruct white matter 271 bundles of interest. However, a well known limitation of this approach is its inability to 272 account for crossing fibres in the brain, and the CSD approach was therefore chosen in order 273 to overcome this limitation. CSD is a very powerful tractography technique which is able to 274 trace white matter bundles across regions of crossing fibres, while keeping the total 275 acquisition time manageable for the patients (~10 min). Other crossing-fibre reconstruction 276 techniques, such as diffusion spectrum imaging (DSI), require significantly greater imaging 277 278 times (>30min), which makes them unsuitable for patient studies due to the increased discomfort this would impose. 279

The fibre orientation distribution function was estimated for each voxel, and a 280 probabilistic fibre-tracking algorithm was used (Jeurissen et al., 2011). Probabilistic 281 algorithms are regarded as less sensitive to noise or artefacts, and better able to account for 282 uncertainty and to reconstruct areas of crossing fibres (Behrens et al., 2007; Klein et al., 283 2010). The masking and editing tool included in FSLview (Jenkinson et al., 2012) was used 284 to draw seed and target regions of interest (ROIs) in the right and left hemisphere of each 285 participant in native space (see Figure 1). The ROI drawing procedures implemented 286 followed protocol for dissecting the arcuate fasciculus which were published by Liégeois et 287 al. (2013), although for both ROIs we used two slices instead of three. Initially, a seed ROI 288 was placed on two coronal slices at the so-called arcuate "bottleneck": an anterior-posterior 289 orientated fibre tract lateral to the corona radiata and medial to the cortex (see Figure 1, A). 290 291 All fibres must pass through this point to reach their destination, and so fibres were reconstructed between this seed and a second "inclusion" ROI, which was placed on two 292 slices in the axial plane, corresponding to superior temporal gyrus (see Figure 1, B). Only 293 294 tracks which passed through this ROI were included. From these tracks, high-resolution track-density images (TDI) were generated and examined for spurious fibres. These were 295 removed by manually creating exclusion ROIs and repeating the tracking protocol. The 296 following exclusion ROIs were used when necessary: (1) an axial ROI to exclude descending 297 cortico-spinal tracts; (2) an axial ROI above the AF to exclude ascending cortical tracts; (3) a 298 coronal or sagittal ROI to exclude tracts belonging to the inferior longitudinal fasciculus; and, 299 300 (4) a sagittal ROI to exclude tracts crossing between the hemispheres. All ROIs were drawn by RM, and subsequently checked and adjusted if necessary by MMC. 301

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With spurious or curling fibres removed, we thresholded the track-density images with an absolute intensity of 0.001 (see Figure 1, C). This thresholded output was then used as a mask to run the 'mrstats' function, which calculated the volume of (number of voxels in) the binary arcuate fasciculus mask. The AF masks were also used to calculate average FA and MD along this tract for every participant. The former is a common indicator of microstructural integrity which reflects the degree of anisotropy in brain tissue: whilst low FA values indicate that diffusion of water molecules is restricted or unrestricted in all directions, higher values reflect diffusion that is highly directed along one axis. Mean diffusivity (known as apparent diffusion coefficient in some publications (Kumar et al., 2010), which contributes to the calculation of FA, reflects the trace of the tensor, and the magnitude of diffusion (Alexander et al., 2007).

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The values for each participant were then entered into a statistical programme (SPSS v.21) for analysis. One-level ANOVAs were initially performed to look for differences in participant demographics like age, IQ or handedness that might influence arcuate structure. Volume and FA of the arcuate were analysed in two two-level ANOVAs with the factors Group (ASC versus Controls) and Hemisphere (left versus right hemisphere). Finally, we performed Pearson correlations to examine the relationship between FA, volume, and autistic traits (AQ scores).

- 324
- 325 **3. Results**
- 326 *3.1 Pre-experiment group differences*

Participant demographics and statistically significant group differences are reported inTable 1.

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The two groups did not differ significantly in age, handedness or IQ, such that differences in arcuate structure could not be related to any of these variables. Though the ASC group were less strongly right-handed than controls, this was non-significant and a common feature of this population (Tsai, 1984).

As expected, a highly significant difference appeared in their AQ scores, which
strongly predict diagnostic status (Baron-Cohen et al., 2001; Hoekstra et al., 2008;
Woodbury-Smith et al., 2005).

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340 *3.2 Structural imaging analysis: fractional anisotropy (FA) and volume*

Analysis of FA revealed a significant main effect of Hemisphere ($F_{[1, 30]} = 130.112$, p (<.001), reflecting that both groups showed typical lateralisation patterns with greater FA in the left than the right hemisphere (see Figure 2, A). Analysis of MD, too, showed a main effect of hemisphere reflecting rightwards lateralization (F [1, 30] = 78.400, p < .001) but no effect of group and no interaction (Figure 2, B).

There was a significant interaction of Group and Hemisphere for arcuate volume ($F_{[1, 30]}$ 347 $_{30]} = 6.194$, p = .019) and, in addition, a highly significant main effect of Group ($F_{[1, 30]}$ = 348 23.963, p < .001). Post-hoc t-tests revealed a significant relative reduction in the volume of 349 the left ($t_{[30]} = 2.985$, p = .006) and the right ($t_{[30]} = 4.557$, p < .001) arcuate in the ASC group (see Figure 2, C). A lack of any significant differences in global white matter volume (p = .453) showed that this was a specific rather a global effect. Within-group tests showed that although ASC participants showed no significant volumetric differences between the left and the right hemisphere, control participants actually showed greater volume in the right arcuate ($t_{[13]} = 2.654$, p = .020), though both groups were left-lateralised for FA.

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358 *3.3 Correlation of arcuate structure and clinical measures*

Using Pearson correlation, we found that the AQ scores of all participants pooled negatively correlated with volume of the right (r = -.413, p = .019) arcuate, with a similar marginal trend in the left hemisphere as well (r = -.342, p = .056). In both cases, a greater number of autistic traits was associated with reduced volume in the arcuate fasciculus (see Figure 3). This correlation fell beneath significance when examined in each group independently. Neither FA or MD in either hemisphere correlated with autistic traits.

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- 368 3.4 Male-only analysis

Sex is a major confound in mixed-gender samples, given that males typically have 369 370 larger heads than females and thus have greater general intracranial volume (ICV). This was true in the current sample of males and females ($t_{1301} = 3.134$, p = .004), and by virtue of the 371 fact that we recruited more females with ASC than previous studies in this field, the ASC 372 group had significantly lower ICV ($t_{[30]} = -2.147$, p = .04) than controls. Multiple regression 373 analyses revealed that whilst ICV contributed to predict left arcuate volume (B = 180.864, t =374 2.495, p = .019), it did not significantly predict right arcuate volume (B = 34.926, p = .839, p 375 = .408). Indeed, adding ICV as a covariate in our statistical tests showed that the Hemisphere 376 by Group interaction remained significant (F $_{[1, 29]} = 6.060$, p = .020), as did the main effect 377 of Group (F $_{[1, 29]} = 16.411$, p < .001). As a additional step to confirm this, we normalised 378 arcuate volume for ICV (i.e. dividing arcuate volume in each subject by ICV): the 379 Hemisphere by Group interaction (F $_{[1, 30]} = 5.774$, p = .023) and Group effect (F $_{[1, 30]} =$ 380 5.350, p = .028) remained significant, as did the group difference in the right hemisphere ($t_{[30]}$ 381 = 2.732, p = .01), but the group difference in the left hemisphere became robustly non-382 significant (p = .512). 383

We repeated our analysis with a reduced, sex-matched sample, a recommended strategy on the basis of neuroanatomical differences between the sexes (Lai et al., 2013). This time, the groups (10 males in each) were matched not only in global white matter volume ($t_{[18]} = .909$, p = .375) but also in ICV ($t_{[18]} = .536$, p = .536). They also remained matched in all their demographic data, as can be seen below (Table 2).

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³⁹⁰ INSERT TABLE 2

392 Previous trends in FA and volume remained consistent in this smaller subset. Though FA and MD did not differ between groups (Figure 4, A and B), a main effect of hemisphere 393 reflected that both had higher FA in the left than the right arcuate ($F_{[1, 18]} = 77.978$, p < .001) 394 and higher MD in the right than the left arcuate (F $_{[1, 18]} = 46.404$, p < .001). The two-factor 395 ANOVA of volume revealed a significant Hemisphere by Group interaction ($F_{[1, 18]} = 7.820$, 396 p = .012) and a main effect of Group (F [1, 18] = 16.287, p = .001). Just as before, the ASC 397 group showed significant reduction in the volume of the right arcuate as compared with 398 controls ($t_{[18]} = 16.669$, p < .001), though their reduction in the volume of the left arcuate 399 became marginally non-significant ($t_{[18]} = 2.041$, p = .056) (Figure 4, C). Within groups, the 400 401 ASC participants showed no significant volumetric differences between the left and the right arcuate, but the typically-developing participants showed greater volume in the right than the 402 left arcuate ($t_{[9]} = 2.736$, p = .023). Although the male groups were matched in ICV, we 403 404 added this as a covariate in our tests to ensure that results did not change substantially. 405 Indeed, there was little effect on the Group by Hemisphere interaction (F $_{[1, 17]} = 7.114$, p = .016) or the Group effect (F $_{[1, 17]} = 15.576$, p = .001). 406

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Similarly to the main analysis, correlation tests were performed on these male participants pooled. Once again, with all participants pooled, higher AQ scores correlated with lowest volume in the right arcuate fasciculus (r = -.478, p = .033). Correlations with AQ were not significant for either of the male groups alone.

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415 **4. Discussion**

Probabilistic tractography revealed a significant volumetric reduction of the arcuate fasciculus, an effect strongest in the right hemisphere, in high-functioning individuals with ASC as compared with typical controls. Although this result could in part be attributed to group differences in intracranial volume (ICV), multiple regression of ICV did not appear to contribute significantly to right arcuate volume and, crucially, analysis of male participants only confirmed these volumetric differences in groups matched for ICV.

Furthermore, significant correlations revealed a negative relationship between right 422 arcuate volume and the presence of autistic traits as revealed by the AQ. This shows that 423 decreased volume of the right arcuate is associated with a higher number of autistic traits 424 related to social interaction, lack of imagination, empathy, restricted interests and obsessions, 425 and repetitive behaviour. However, when correlations between arcuate volume and autistic 426 427 traits were performed separately for the mixed and male ASC groups and the control group, the correlation was not significant for any group. This may be due to the rather small size of 428 each group, making the statistical power insufficient for separate analyses. It could, however, 429 430 reflect that the correlation in all subjects pooled was driven by the group difference seen between individuals with and without ASC. Replication of results in a larger sample would 431 certainly be required in order to confirm a relationship between dimensional autistic traits in 432 433 the distribution of the normal population and the volume of the arcuate fasciculus.

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435 *4.1 The arcuate in autism: placing our findings in context*

Our findings contribute to a small literature on the subject of structural changes in the arcuate fasciculus in autism. Our present findings converge with all previous studies in showing that the structure of this major language pathway is altered in high- and lowfunctioning ASC (although see McGrath et al., 2013 for a divergent view). However, we should also highlight some divergence, if not incompatibility, between the present findings and those of earlier work.

Investigations of fractional anisotropy (FA) report inconsistent results across the 442 literature: previous studies have reported generally lower FA in ASC as compared to 443 typically-developing controls (Kumar et al., 2010; Lai et al., 2012a), just relatively reduced 444 laterality of FA in ASC (Fletcher et al., 2010), or even no differences in FA between groups 445 at all (Joseph et al., 2014). Our findings correspond with the latter finding: both groups 446 showed the typical left-hemispheric lateralisation of FA and did not differ significantly from 447 each other in this measure. Of these previous reports of altered FA, however, only one 448 reports any slight difference in a highly verbal group (Fletcher et al., 2010). We did not see a 449 difference in the lateralisation of FA, and so further research is needed to reconcile these two 450 reports, which could potentially relate to the different ages of ours and the Fletcher group's 451 452 samples (see below).

Autistic and control groups did not differ in mean diffusivity (MD) but instead 453 exhibited a rightwards laterality which some groups have suggested may be common in 454 typically-developing individuals (Fletcher et al., 2010). Two previous studies also failed to 455 find differences between children with ASC and typically-developing peers in mean 456 diffusivity (Ingalhalikar et al., 2011; Joseph et al., 2014). Another reported an increase in 457 right-hemispheric MD in children with ASC, but in this variable the group did not differ from 458 children with non-specific developmental impairments (Kumar et al., 2010). In high-459 functioning participants, Fletcher et al. (2010) found reduced hemispheric asymmetry in MD, 460 but did not compare MD directly between groups. Differences in MD are certainly not a 461 strong feature of the landscape in studies investigating the arcuate in autism. 462

Like FA, findings related to volume have been similarly inconsistent. It should be said 463 that, just as fMRI is an indirect measure of neuronal activity, this measure implies reduced 464 connectivity but cannot directly indicate that the existing tissue is compromised. Group 465 differences are absent in some studies (Fletcher et al., 2010). Other studies with low-466 functioning children report reduced left-lateralisation in autism (Joseph et al., 2014; Wan et 467 al., 2012). Our ASC sample showed slightly greater volume in the left than the right arcuate, 468 but like these studies, we did not see significant left-lateralisation of the arcuate which has 469 been reported in previous research with typically-developing participants. 470

This is, at first glance, an unusual finding. Individual variability in structural (Catani 471 and Mesulam, 2008) and functional (Lidzba et al., 2011) lateralisation does occur, but it may 472 be important at this point to consider differences in the delineation of the arcuate which may 473 contribute to differences in lateralisation of arcuate volume and structure. Although it is 474 widely accepted that the 'arcuate' is left-lateralised, there may be conceptual confusion in the 475 field regarding exactly which white matter tracts are delineated as 'arcuate fasciculus'. Some 476 researchers (Catani and Thiebaut de Schotten, 2008; Catani et al., 2005, 2007) have 477 subdivided the arcuate into three segments: a direct segment connecting Wernicke's and 478 Broca's territories (posterior inferior frontal cortex and posterior temporal cortex 479 respectively), an anterior indirect segment connecting Broca's territory to inferior parietal 480 cortex, and an posterior indirect segment connecting Wernicke's territory to inferior parietal 481 cortex. These authors do not differentiate the arcuate from the superior longitudinal 482 fasciculus (SLF), though the protocol which we follow defines it as part of a "dorsal 483

pathway[...] the long segment of the superior longitudinal fasciculus that connects Broca's 484 and Wernicke's areas" (Liégeois et al., 2013). The established differentiation between SLF 485 and the arcuate is highlighted by Makris et al. (2005), who also splitting the SLF into four 486 tracts (SLF I, II, III and the arcuate). These authors suggest that what Catani and colleagues 487 conceptualise as the anterior indirect (frontoparietal) arm of the *arcuate* is in fact a separate 488 branch of the inferior SLF (segment III). The arcuate in their narrower sense, that is the 489 490 "direct" frontotemporal segment of this pathway, runs closely alongside the "indirect" frontoparietal section ("SLF III"), such that differentiation between the two (and equally 491 between the arcuate and parieto-temporal short segment), if desired, is challenging. If we 492 adopt the Catani definition of the arcuate (including 'direct' and 'indirect' segments), closer 493 examination reveals that as a whole, the volume of the arcuate fasciculus is not strongly left-494 lateralised. Although the direct long frontotemporal SLF segment has indeed been reported to 495 496 be left-lateralised in FA and volume, the arcuate as a whole is slightly right-lateralised in volume and left-lateralised in FA (Thiebaut de Schotten et al., 2011), a pattern consistent 497 with what we observed in our typically-developed controls. 498

With no a priori hypothesis predicting differences in particular segments of the 499 arcuate, we employed the approach of greatest familiarity to our group (that employed by 500 (Liégeois et al., 2013)), and so our procedures for fibre definition, which focussed on 501 temporal and parietal ROIs (see Methods), may have led to inclusion of both the long fronto-502 503 temporal segment as well as part of the short parieto-temporal segment of the arcuate. Variation in tracking protocols for arcuate delineation may contribute to heterogeneity in 504 505 results between ASC studies. Whilst some studies employed the Catani protocols (Wan et al., 506 2012) or placed seed ROIs in the same approximate locations (Fletcher et al., 2010; McGrath et al., 2013) as in the current study, others, for example, approximated the arcuate from 507 dorsal projections from primary auditory cortex (Lai et al., 2012a). 508

There are several other reasons for inconsistencies across studies, all of which make 509 comparison difficult. Some of these include 1) discrepant language ability of participants, 510 particularly given that presence or absence of childhood language delay (irrespective of 511 current language) modulates brain structure (Lai et al., 2014), and 2) the age of participants 512 (since many previous arcuate studies investigated children or adolescents vs. the adult group 513 here). The most comparable study is that of McGrath and colleagues (McGrath et al., 2013), 514 who studied highly-verbal adolescent boys and used a similar placing of ROIs to delineate 515 the arcuate. These authors did not find differences in the arcuate, but still examined 516 significantly younger individuals (mean age: 17.37 in ASC) than the present study did (mean 517 age: 30.39 in ASC). Joseph and colleagues (Joseph et al., 2014) found no relationship 518 between age and their structural arcuate measures (volume, FA, mean, radial or axial 519 diffusivity), but with the extremely small age range of the sample, data on the relationship 520 between age and arcuate structure in this study is not sufficient to allow clear-cut conclusions 521 to be drawn on this issue. In a large sample including a total of 241 children, Su et al. (2008) 522 report differences in myelination speed of language-related brain structures across the 523 lifespan with slowest maturation of AF fibre tracts. These data indicate that any differences 524 between previous studies in ASC children and our study can be strongly influenced by the 525 myelination of the AF. Interestingly, recent large-scale investigations in infants with ASC 526 suggest that the developmental trajectory of the arcuate may be substantially different from as 527 early as 12 months of age (Solso et al., 2014). Researchers have called for a developmental 528 perspective in studies of *functional* connectivity in autism (Uddin et al., 2013). Likewise, 529 longitudinal research with large samples may be needed to validate the relationship between 530 neuroanatomical correlates of the arcuate and age in children and adults with ASC, and might 531 532 benefit from DWI sequences with higher angular resolution.

533 Apart from age and methodological issues, sex is a factor that seems to play a certain role in brain structure and function. Unfortunately, in our sample, we did not have enough 534 female participants in each group to investigate FA, MD and volume of the arcuate fasciculus 535 536 in well-matched female groups. As women with autism appear to exhibit markedly different neuroanatomical profiles compared to males (Lai et al., 2012a, 2013), further research is 537 needed to ascertain whether they also show volumetric arcuate reductions in comparison with 538 539 typical females. Moreover, factors such as functional laterality and language ability should be assessed in larger group samples as these factors systematically differ between males and 540 females (Caplan and Dapretto, 2001; Eckert and McConnell-Ginet, 2003; Good et al., 2001). 541

Our findings may constitute a profile for an under-studied group, verbal high-542 functioning male *adults* with ASC, and should be considered in this context. The crucial 543 finding, in our view, is that despite their high-functioning diagnostic status, these individuals 544 still exhibit a quantitative difference in arcuate volume compared to typical controls. As they 545 are matched to typical controls in IQ, autistic traits are not here confounded by lower mental 546 ability as they have been in previous studies (Ingalhalikar et al., 2011; Joseph et al., 2014; 547 Kumar et al., 2010; Lai et al., 2012a; Wan et al., 2012), and so alterations in arcuate structure 548 549 can be more confidently ascribed to the ASC phenotype. Nevertheless, further research on the arcuate is needed to validate these volumetric differences and the lack of differentiation in 550 fractional anisotropy in this small, highly verbal segment of the autism spectrum. 551

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553 *4.2 Language functions of the right hemisphere*

Perhaps surprisingly, the reduction in arcuate volume that we observed in ASC was 554 more striking in the right hemisphere: this was reflected in the interaction of Hemisphere and 555 Group that we observed in both the mixed sex and males only analyses. A strongly 556 significant group difference in left arcuate volume seemed to be driven by differences in ICV 557 and became marginally significant (p = .056) in the male group alone. In contrast, the 558 significance of the difference on the right even survived after exclusion of females. Whilst 559 the marginal effect in the left hemisphere still suggests a trend towards general reduction of 560 this language pathway, it leads us to speculate on the particular role that the right hemisphere 561 plays in language processing and the language differences in autism, especially given the 562 association between AQ and right arcuate volume that we observed. 563

Despite the well-reported left-lateralisation of language (Gazzaniga, 2000), optimal 564 linguistic function requires the cooperation of both cerebral hemispheres (Mohr et al., 1994). 565 Right-hemispheric involvement in language processing includes semantics (Pulvermüller and 566 Mohr, 1996; Pulvermüller, 1999), and morphology (Marslen-Wilson and Tyler, 2007), but 567 most notable is its role in social and pragmatic aspects of language (Coslett and Monsul, 568 1994; Lindell, 2006; Mitchell and Crow, 2005; Zaidel, 1998). The right hemisphere is crucial 569 for production and comprehension of emotional prosody (Baum and Pell, 1999; Buchanan et 570 al., 2000; George et al., 1996; Ross et al., 1997; Wildgruber et al., 2009), non-literal language 571 such as metaphors (Bottini et al., 1994; Brownell et al., 1990; Tompkins, 1990), jokes 572 573 (Shammi and Stuss, 1999), and indirect requests (Foldi, 1987). These abilities intersect closely with theory of mind, the ability to infer a speaker's or listener's intentions and current 574 knowledge. The right hemisphere is also crucially involved in resolving lexical ambiguity 575 (Burgess and Simpson, 1988), drawing figurative inferences from language (Nichelli et al., 576 1995), processing its broader context (Caplan and Dapretto, 2001), and performing and 577 comprehending socio-communicative 'speech acts' (Egorova et al., 2014) - all functions 578 which make the right hemisphere absolutely essential for comprehending and smoothly 579

contributing to discourse (Bryan, 1988; Myers and Brookshire, 1996; Robertson et al., 2000;
Schneiderman et al., 1992; Zaidel et al., 2002). These pragmatic abilities, again, involve
central coherence and sound understanding of the listener's knowledge and mental state.

Consistent with our findings, the right arcuate fasciculus has been implicated 583 previously in autism. As noted above, Kumar et al. (2010) found increased fibre length in the 584 right arcuate fasciculus to set children with autism apart from typically-developing and 585 developmentally impaired children without autism. Increased fibre length does not appear to 586 correspond with our finding of *reduced* right arcuate volume, but here we might consider the 587 possible effects of age. There is an emerging view of ASC that hyperconnectivity in early life 588 is reversed in adolescence, with hypoconnectivity more commonly reported in adulthood 589 (Nomi and Uddin, 2015; Uddin et al., 2013). We speculate that this could be reflected here at 590 a local level. 591

This study relied on previous diagnostic assessments that had established intact 592 593 language development (i.e. no delay) in our participants. We can, however, still consider the type of language features that are typical of high-functioning individuals such as our sample. 594 All the ASC participants were currently or had previously worked or studied. All but one 595 596 (PDD-NOS) were clinically diagnosed with Asperger syndrome, which is differentiated from high-functioning autism on the basis of intact (no delay) development of language. This 597 diagnostic distinction, however, is problematic (Bennett et al., 2008; Frith, 2004) and thus is 598 no longer included in the DSM-V (American Psychiatric Association, 2013). Linguistic 599 anomalies in high-functioning autism and Asperger syndrome are subtle but have been 600 observed (Boucher, 2003; Eigsti et al., 2011). In addition, some language functions seen as 601 right-hemispheric, such as comprehension and production of emotional prosody (Fine et al., 602 1991; Korpilahti et al., 2007), are atypical in these populations. Pragmatic impairments, such 603 as in understanding jokes and discourse, are the most universal linguistic impairment in ASC 604 (Colle et al., 2008; Eigsti et al., 2011; Groen et al., 2008; Landa, 2000). Semantic 605 impairments are also present across the spectrum (Boucher, 2003; Eigsti et al., 2011; Groen 606 et al., 2008), ranging from moderate to mild even in high-functioning autism and Asperger 607 syndrome (Moseley et al., 2013, 2014, 2015), and the right arcuate has been particularly 608 609 implicated in the semantic domain as well as that of prosody (Catani and Mesulam, 2008; Catani et al., 2007), although it certainly also carries phonological/lexical function (Berthier 610 et al., 2012). We hypothesise that the rightwards lateralisation of volumetric differences in 611 612 our study reflect the typically right-hemispheric language impairments that high-functioning individuals may exhibit. 613

Given the good language capacities of our participants, it is therefore unsurprising 614 that we did not replicate the findings from previous studies of low-functioning children (Lai 615 et al., 2012a; Wan et al., 2012). Quite aside from the fact that both studies tested young 616 children who obviously are not comparable to adults, participants in the Wan study in 617 particular were non-verbal. They reported an atypical pattern of asymmetry in their children, 618 who showed greater volume of the right than the left arcuate. The analysis was based on 619 calculation of 'laterality index' (numeric difference between left and right arcuate volume, 620 divided by their sum), i.e. a relative measure, rather than direct volume comparison. Visual 621 622 inspection of the figures suggests that there might be a difference in only the volume of the left arcuate fasciculus, which is larger in typically developing children than children with 623 autism. The left-hemispheric difference may therefore reflect the linguistic disability of that 624 sample. As the study did not include a comparison of verbal children with autism and 625 typically developing controls, or a comparison with another nonverbal group, it is impossible 626 to ascertain whether this difference is autism-specific or reflects the difference in language 627

ability between *any* verbal and non-verbal children. Lai and colleagues (Lai et al., 2014) recently demonstrated that even in high-functioning autism samples, the presence or absence of language delay is associated with substantial changes in grey and, to a lesser extent, white matter. An important direction for future research in this area would be to categorise autistic individuals on the basis of language delay or impairment, rather than diagnostic label, to compare the effect of high and low verbal ability on the structural properties of the arcuate fasciculus.

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636 *4.3 The specificity of arcuate abnormality*

While we focus here on the structural hypoconnectivity of the arcuate, we stress that 637 caution should be exercised regarding the specificity of ASC hypoconnectivity to this tract. 638 No difference was seen in global white matter volume between our groups, which suggests 639 specificity of the arcuate finding. This is not, however, a sufficiently rigorous test of 640 structural integrity in other brain tracts, which might be differentially affected in autism. It is 641 additionally important to reiterate again that volume is an indirect indicator of 642 hypoconnectivity; that is, although the arcuate is smaller in ASC, we cannot conclude here 643 that connectivity (at a functional or structural level) is compromised, although this 644 645 interpretation would be consistent with a body of work reporting hypoconnectivity in ASC (see below). 646

It is difficult to comment on the specificity of the arcuate difference in the earlier 647 research considered above. Wan et al. (2012) only defined the arcuate fasciculus in their 648 participants and made no statements about specificity. Other researchers (Fletcher et al., 649 2010, Joseph et al., 2014) suggest specificity of arcuate hypoconnectivity: like us, both 650 studies included a measure of global white matter volume which did not differ between 651 groups. This, however, may not constitute a sufficiently adequate analysis of other tracts. Lai 652 et al. (2012a) identified dorsal and ventral tracts which originated from primary auditory 653 cortex (A1, Heschl's gyrus): the dorsal pathway was identified as the arcuate fasciculus, and 654 the ventral pathway connected frontotemporal cortices via the extreme capsule, inferior 655 fronto-occipital fasciculus and uncinated fasciculus. They found decreased fractional 656 anisotropy in the left arcuate, but no microstructural differences in the ventral tract: 657 658 somewhat limited evidence of specificity.

Ingalhalikar and colleagues (2011) attempted to classify subjects based on DWI 659 anisotropy and diffusivity values. The brain regions contributing to diagnostic prediction 660 included the left superior longitudinal fasciculus (which includes the arcuate) but also the 661 right internal and external capsule, the fornix, and white matter of the occipital gyri and 662 inferior temporal cortex. McGrath et al. (2013), who failed to find arcuate differences in 663 ASC, found differences in the inferior fronto-occipital fasciculus, though they did not 664 examine any other tracts. Kumar et al. (2010) reported abnormalities of the corpus callosum, 665 uncinate fasciculus and the arcuate which were specific to children with autism. 666

Specificity of hypoconnectivity to the arcuate fasciculus may be unlikely given the 667 large body of work documenting atypical connectivity in autism in general (Di Martino et al., 668 2014; Kana et al., 2011; Müller et al., 2011; Uddin et al., 2011; Vissers et al., 2012). ASC 669 have been described as "developmental disconnection syndromes" (Geschwind and Levitt, 670 2007), but in reality present a more complex and, as mentioned, sometimes heterogeneous 671 neuroanatomical profile. Analyses of structural connectivity have reported differences in the 672 corpus callosum (Booth et al., 2011; Frazier and Hardan, 2009) and white matter reductions 673 674 in frontal, temporal and limbic cortices (Barnea-Goraly et al., 2004; Ecker et al., 2010; Sundaram et al., 2008). Contrary to these data, some studies report white matter excess, particularly in frontal cortex and locally, in the microcolumns of the brain (Casanova and Trippe, 2009; Courchesne and Pierce, 2005; Ecker et al., 2010; Herbert et al., 2004; Mostofsky et al., 2007; Weinstein et al., 2011). However, with a strict interpretation of 'longrange' connectivity as tracts connecting brain regions further than one centimetre apart, our findings corroborate the common view that atypical connectivity in ASC leans towards hypo-, rather than hyper-, connectivity in adulthood (Vissers et al., 2012).

Further research must investigate directly the contribution of arcuate abnormalities toautistic symptomatology, particularly those symptoms related to language.

684

685 **5.** Conclusions

686 This study demonstrates structural, volumetric abnormalities in the arcuate fasciculus in high-functioning (verbal) individuals with ASC who have no apparent language difficulties 687 and, in the case of those individuals with Asperger syndrome (94% of this sample), no delay 688 689 in language development. Volumetric reductions of the arcuate tended to be present bilaterally but most strongly expressed and significant in the non-dominant right hemisphere, 690 where they seemed to predict the severity of autistic symptoms. We suggest that the right-691 692 lateralised structural changes in the arcuate may constitute the neuroanatomical substrate of more subtle pragmatic and semantic language impairments seen in high-functioning 693 individuals. 694

695

696 Abbreviations

AQ; Autism-Spectrum Quotient; ASC: Autism spectrum conditions; CSD: Constrained
spherical deconvolution; DSM-IV-TR: Diagnostic and Statistical Manual of Mental
Disorders IV, Text-Revised; DWI: diffusion-weighted images; FA: fractional anisotropy;
ICV: intracranial volume; IQ: Intelligence Quotient; PDD-NOS: Pervasive Developmental
Disorder Not Otherwise Specified; ROI: Region of interest; TDI: Track-density images.

702

703 **Conflict of interests**

- The authors declare that they have no competing interests.
- 705

706 Authors' contributions

FP, BM and RM were involved in initial experiment design. Recruitment of participants, 707 collection of data, tractography and drawing of ROIs, statistical analysis and manuscript 708 production were carried out by RM. MC guided RM in DWI analysis, checked, adjusted and 709 validated ROIs drawn by RM, and contributed to the manuscript. BM provided theoretical 710 input, assisted with participant recruitment, and contributed to the manuscript. SBC assisted 711 712 with participant recruitment, provided analysis advice and contributed to the manuscript. Both YS and FP supervised and advised RM during analysis and contributed to the 713 manuscript, and BM and FP led the original conception of the study. All authors read and 714 715 approved the final manuscript.

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722

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Table 1: Participant demographics and statistical comparison of group averages for the mixed-gender sample. Values represent group averages with standard deviations in brackets () and range in square brackets [].

	ASC group (N=18)	Control Group (N=14)	Statistical testing (t)
Age	30.39 (9.99) [39]	27.64 (11.28) [44]	.729, p = .472
Handedness	76.1 (26.2) [60]	90 (14.1) [40]	1.790, p = .085
IQ	112.72 (22.56) [66]	108.86 (12.67) [42]	.573, p = .571
Autism-Spectrum Quotient (AQ)	34.9 (11.3) [35]	12.71 (5.6) [19]	6.722, p < .001

Table 2. Participant demographics and statistical comparison of group averages for the reduced, all-male sample. Values represent group averages with standard deviations in brackets () and range in square brackets [].

	ASC group (N=10)	Control Group (N=10)	Statistical testing (t)
Age	32.8 (11.11) [34]	29.1 (12.9) [44]	.515, p = .613
Handedness	76 (30.6) [60]	90 (12.5) [60]	1.339, p = .197
IQ	112.3 (26.7) [60]	107.5 (12.5) [42]	.684, p = .502
Autism-Spectrum Quotient (AQ)	32.5 (9.1) [29]	13.8 (6) [16]	5.438, p < .001

Figure captions

Figure 1. Example seed (A) and inclusion (B) ROIs for a representative participant, defined in accordance with Liégeois *et al.*, (2013). Panel C shows the track-density image for the left AF of the same participant (left), and also the thresholded AF mask used for the statistical analysis (right).

Figure 2: Average fractional anisotropy (FA) and volume of (number of voxels in) the arcuate fasciculus for each group. Error bars reflect standard error. Asterisks (*) reflect significant group differences.

Figure 3: Correlations between autistic traits, as measured by the Autism-Spectrum Quotient, and volume of the arcuate fasciculus. These are displayed for the left and right hemispheres respectively, with control participants represented by grey circles, ASC participants by grey triangles.

Figure 4: average fractional anisotropy and volume of (number of voxels in) the arcuate fasciculus in the smaller, male only subgroups. As before, asterisks (*) reflect significant group differences, and error bars reflect standard error.