

## Article

# Emotional self-efficacy, conduct problems, and academic attainment: Developmental cascade effects in early adolescence

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2 **Emotional self-efficacy, conduct problems, and academic attainment:**  
3 **Developmental cascade effects in early adolescence**

4

5 **Michael Wigelsworth<sup>1</sup>, Pamela Qualter<sup>2</sup>, & Neil Humphrey<sup>1</sup>**

6 **1. Manchester Institute of Education, University of Manchester,**  
7 **Manchester, UK**

8 **2. School of Psychology, University of Central Lancashire, Preston, UK**

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18

19

20 **Abstract**

21

22 The study is amongst the first of its kind to utilise developmental cascade  
23 modelling in order to examine the inter-relations between emotional self-  
24 efficacy, conduct problems, and attainment in a large, nationally  
25 representative sample of English adolescents ( $n = 2,414$ , aged 11 years).  
26 Using a 3-wave, longitudinal, cross lagged-design, we tested three cascading  
27 hypotheses: adjustment erosion, adjustment fortification, and academic  
28 incompetence. A fourth hypothesis considered the role of shared risk.  
29 Results supported small effects consistent with the cascade hypotheses, and  
30 a small but significant effect was found for shared risk. Strengths and limits of  
31 the study are considered alongside a discussion of the implications for these  
32 findings.

33

34

35

36

37 Keywords: emotional self-efficacy; conduct problems; attainment;

38 developmental cascade

39 **Introduction**

40

41         Developmental cascades are, “the cumulative consequences for  
42 development of the many interactions and transactions occurring in  
43 developing systems that result in spreading effects across different levels,  
44 among domains at the same level, and across different systems or  
45 generations” (Masten & Cicchetti, 2010, p.491). Drawing on ecological and  
46 developmental systems theories (e.g. Bronfenbrenner, 2005; Lerner &  
47 Castellino, 2002), the body of work in this area predicts that functioning in  
48 different domains, levels, or systems are developmentally related. Namely,  
49 successful accomplishment of developmental tasks in a given domain  
50 provides a scaffold for later functioning in the same and other domains;  
51 equally, failures in these tasks can trigger negative cascade effects. In this  
52 paper we use a developmental cascade model to examine longitudinal inter-  
53 relationships between emotional self-efficacy, behaviour problems, and  
54 academic attainment in early adolescence. The model is used to test three  
55 key hypotheses in the study of developmental cascades – adjustment erosion,  
56 academic competence, and shared risk (Moilanen, Shaw, & Maxwell, 2010) –  
57 and a fourth prediction drawing on the positive youth development perspective  
58 (Lewin-Bizan, Bowers, & Lerner, 2010), which we term ‘adjustment  
59 fortification’.

60

61 **Developmental cascades in adolescence**

62

63 Our focus on adolescence is driven by the fact that this period is  
64 marked by major physical, psychological, behavioural, and contextual  
65 changes, including the onset of puberty, the transition to secondary school,  
66 and substantial neurological reorganisation (Coleman, 2011). Adolescence is  
67 a captivating, critical life stage that has important repercussions for later life  
68 (Hagell, Coleman & Brooks, 2013). This is particularly true of the domains of  
69 functioning that we examine in the current study. For example, we know that  
70 up to 50% of adult mental health problems have their first onset in  
71 adolescence (Belfer, 2008). Similarly, academic competence in adolescence  
72 predicts future academic success and transition to the workplace (Ek, Sovio,  
73 Remes & Jarvelin, 2005). What is less known currently is the nature of inter-  
74 relationships between these domains during this important period. We also  
75 know relatively little about the potential role played by adolescents' emotional  
76 self-efficacy in interrupting symptom-driven pathways and promoting positive  
77 adjustment.

78

79 Extant research on developmental cascades typically tests one or more  
80 of three key hypotheses. First, the *adjustment erosion* model predicts that  
81 mental health problems lead to later academic difficulties (Moilanen et al.,  
82 2010). For example, aggressive and disruptive behaviour can undermine  
83 academic progress because of its effect on relationships with school staff and  
84 peer acceptance. This is particularly salient in early adolescence because of  
85 the increased emphasis on academic ability and reduced tolerance for  
86 disruption seen in secondary education (Humphrey & Ainscow, 2006).  
87 Research by Moilanen et al (2010) offers support for this hypothesis, with high

88 levels of externalising difficulties in middle childhood predicting low academic  
89 competence in early adolescence. Second, the *academic incompetence*  
90 model suggests that difficulties relating to academic competence can trigger  
91 or exacerbate mental health problems (Moilanen et al., 2010). Youth who are  
92 less academically able may experience considerable frustration and  
93 disaffection that eventually manifests as aggressive and disruptive behaviour.  
94 As above, this is a particularly critical consideration in adolescence given the  
95 increasingly high stakes nature of academic assessments in secondary  
96 education. By way of illustration, Vaillancourt, Brittain, McDougall, and Duku's  
97 (2013) recent study evidenced clear cascade effects of low academic grade  
98 point average on later externalising behaviour problems in the late childhood-  
99 early adolescence period. Finally, the *shared risk* model stipulates that  
100 cascade effects such as those outlined above are a function of other variables  
101 that affect multiple domains of development more generally. For instance,  
102 economic disadvantage (often categorised in education research as eligibility  
103 for free school meals (Gorard, 2012)) is a well-known risk factor for lower  
104 academic attainment (Department for Education, 2015) and higher social-  
105 emotional difficulties (Bradley & Corwyn, 2002). This has been attributed to a  
106 number of factors including a lack of ease or unwillingness to access  
107 resources and associated parental beliefs and behaviours around education  
108 (Davis-Kean, 2005). Also, research consistently demonstrates that being  
109 identified as having special educational needs (an expansive term in education,  
110 denoting particular needs or disabilities beyond a school's resource  
111 capabilities) puts a child at risk of experiencing significantly worse academic  
112 and psychosocial outcomes through the course of schooling (e.g. Department

113 for Education, 2013, Van Cleave & Davis, 2006). This is attributable to a  
114 number of causes, including an over-representation as victims of bullying  
115 (Monchy et al., 2004; Van Cleave & Davis, 2006) and poor social relationships  
116 (Frostad & Pijl, 2007, Pijl et al., 2008; Valäs, 1999). These outcomes are, of  
117 course, deeply inter-related (Frederickson & Furnham, 2004; Kaukiainen et al.,  
118 2002). However, these factors have not been fully explored within a  
119 developmental cascades framework. Deighton et al.'s (under review) recent  
120 study provides some confirmatory evidence, demonstrating that pathways  
121 from academic attainment to later internalising and externalising problems  
122 were rendered insignificant once socio-economic and disability status was  
123 taken into account in their late childhood sample, warranting further  
124 investigation.

125

126 The aim of the current study was to extend understanding of  
127 developmental cascade processes by addressing several gaps, limitations,  
128 and inconsistencies in the existing evidence base. First, sample sizes are  
129 often modest (e.g.  $n = 85$  in Bornstein, Hahn, & Suwalsky, 2013b) and drawn  
130 from highly specified populations (e.g. McCarty et al.'s (2008) study of  
131 adolescent girls from low-income households). This reduces test sensitivity  
132 (Masten et al., 2005) and limits generalizability and comparability of findings  
133 (Deighton et al., under review). Hence, we drew upon a large, representative  
134 sample of adolescents. Second, most studies in this area have been carried  
135 out in North America. Transferability of findings cannot be assumed because  
136 the cultural context is a primary component of the developmental eco-system.  
137 For example, socialisation practices relating to emergent behaviour problems

138 can vary across countries and cultures (Chen, Huang, Chang, Wang, & Li,  
139 2010). The current study is among the first of its kind in England. Third,  
140 following Deighton et al (under review), we took the opportunity to extend  
141 assessment of shared risk to include disability status, which has been  
142 neglected in previous research despite its associations with both academic  
143 achievement (Department for Education, 2013) and conduct problems (Green,  
144 McGinnity, Meltzer, Ford, & Goodman, 2005). Fourth, developmental  
145 cascade research has traditionally focused on symptom-driven pathways, with  
146 less attention paid to the potential fortifying effects of positive adjustment in  
147 key developmental domains (Lewin-Bizan et al., 2010). Thus, we sought to  
148 integrate a positive youth development perspective by assessing the cascade  
149 pathways emanating from and to adolescent emotional self-efficacy.  
150 Emotional self-efficacy as a positive adjustment marker carries considerable  
151 intuitive appeal; emotion regulation and social problem-solving skills are likely  
152 to influence both behaviour and learning in the school context (Qualter,  
153 Gardner, Pope, Hutchinson, & Whiteley, 2012; Qualter, Dacre-Pool, Gardner,  
154 Ashley-Kot, Wise, & Wols, 2015). Accordingly, emotional self-efficacy forms a  
155 key aspect of many non-cognitive school based interventions (Durlak,  
156 Weissberg, Dymicki, Taylor, & Schellinger, 2011; Sklad, Diekstra, Ritter, &  
157 Ben, 2012; Wigelsworth et al., in press). Both its hypothetical presence and  
158 empirical measurement have typically assumed a linear development (as  
159 proposed above), but our understanding of this relationship is incomplete with  
160 further consideration of the potential inter-relations within a cascade model.  
161 For instance, to what extent do conduct problems mediate the relationship  
162 between emotional self-efficacy and academic attainment across time?



163

164           Finally and critically, research findings pertaining to the adjustment  
165 erosion, academic incompetence and shared risk hypotheses have been  
166 inconsistent. For example, in contrast to the findings of Moilanen et al. (2010)  
167 outlined above, Romano, Babchishin, Pagani, and Kohen (2010) found no  
168 significant links between behaviour problems and later attainment. Thus, the  
169 current study confers an opportunity to provide further clarification of the  
170 nature and magnitude of developmental cascade effects.

171

## 172 **Aims and objectives**

173

174           The primary aim of the current study was to examine the longitudinal inter-  
175 relationships between emotional self-efficacy, behaviour problems and  
176 academic attainment in early adolescence. To achieve this aim, we sought to  
177 test four hypotheses, as follows:

178

- 179           1. **Adjustment erosion** – early behaviour problems will lead to later  
180           academic difficulties (H1a) and lower emotional self-efficacy (H1b).
- 181           2. **Adjustment fortification** – early emotional self-efficacy will lead to  
182           enhanced academic attainment (H2a) and reduced behaviour problems  
183           (H2b).
- 184           3. **Academic incompetence** – early academic difficulties will lead to later  
185           behaviour problems (H3a) and lower emotional self-efficacy (H3b).

186 4. **Shared risk** – cascading effects in H1-3 above are attributable to  
187 common cause risk markers, specifically socio-economic and disability  
188 status.

189

190 In each of the above hypotheses, we examine cross-time cascading  
191 effects, whilst controlling for cross-time, within-domain stability, and within-  
192 time, cross-domain co-variance (see ‘analytical strategy’).

193

#### 194 **Method**

195

196 The study utilizes secondary analysis of data from a government-  
197 funded evaluation of a universal social-emotional learning intervention in  
198 English secondary schools (Humphrey, Lendrum, & Wigelsworth, 2010).  
199 Here we make use of a longitudinal, cross-lagged panel design with 3 annual  
200 waves of measurement – T1, T2 and T3 (T1 and T3 only for academic  
201 attainment – see below).

202

#### 203 ***Participants***

204

205 The final sample was made up single cohort of 2,414 children. All  
206 children were in their first year of secondary education (year 7, aged 11 years)  
207 at T1, drawn from 41 geographically diverse secondary schools in England.  
208 One sample *t*-tests confirmed that the study sample mirrored national norms  
209 in terms of attainment, attendance, proportion of children eligible for free  
210 school meals (FSM) (as a proxy for socio-economic status) and proportion of

211 children with special educational needs (SEN) (as a proxy for disability status)  
212 at the school level, and sex, ethnicity, FSM eligibility and SEN status at the  
213 child level. Study schools were shown to be slightly larger than is seen  
214 nationally.

215

216 Approximately 53.5% ( $N=1291$ ) of the study sample were female,  
217 82.6% ( $N=1994$ ) were classified as 'White British', 9.9% ( $N=239$ ) were  
218 identified as eligible for FSM, and 12.9% ( $N=312$ ) were identified with SEN.

219

## 220 **Measures**

221

### 222 *Conduct problems*

223

224 Conduct problems (CP) were assessed using the relevant subscale in  
225 the self-report version of the Strengths and Difficulties Questionnaire (SDQ)  
226 (Goodman, 1997). This 25-item (5 items in the CP subscale) behavioural  
227 screening measure requires respondents to endorse a series of descriptive  
228 statements (e.g. 'I get very angry and lose my temper') on a three-point scale  
229 (0 = not true, 1 = somewhat true, and 2 = certainly true). The SDQ has robust  
230 psychometric characteristics (Goodman, 2001) and is amongst the most  
231 widely used measures of its kind (Johnston & Gowers, 2005). In the current  
232 sample,  $\alpha$  ranged between .611 - .633 across each year the subscale was  
233 used. This is approximately consistent to a reported alpha value of .60 for the  
234 conduct problems subscale in a community sample of 5-15 year olds  
235 (Goodman, 2001).

236

237 *Emotional self-efficacy*

238

239 Emotional self-efficacy (ESE) was measured using the Emotional  
240 Literacy Assessment and Intervention (ELAI) instrument (Southampton  
241 Psychology Service, 2003). This is a 25-item self-report survey that assesses  
242 emotion-related dispositions and self-perceptions, producing a single,  
243 broadband indicator. Traditionally this has been branded 'trait emotional  
244 intelligence' but ESE is an increasingly used synonym (Petrides, Furnham, &  
245 Mavroveli, 2007). Respondents endorse descriptive statements (e.g., 'I am  
246 aware of my own strengths and weaknesses') using a 25-point scale. The  
247 ELAI has acceptable psychometric properties (Southampton Psychology  
248 Service, 2003).  $\alpha$  ranged between .752-.762 across each year the scale was  
249 used.

250

251 *Academic attainment*

252

253 Measures of academic attainment were extracted from a governmental  
254 database (the National Pupil Database – NPD) and represented compulsory  
255 academic testing at the end of Key Stages of education<sup>1</sup>. Key Stage  
256 assessments record children's attainment in the core curriculum subjects of  
257 English, Math, and Science. These were aggregated in the current study. We  
258 utilised children's Key Stage 2 (KS2) and Key Stage 3 (KS3) attainment  
259 scores, which aligned with T1 and T3 (but use different scoring scales). No

---

1

260 compulsory testing occurred at T2 – hence, this is absent from the panel  
261 design.

262

263 *Shared risk*

264

265 Additional data extracted from the NPD provided indices of socio-economic  
266 and disability status. For the former, we used the Income Deprivation  
267 Affecting Children Index (IDACI). This gives the deprivation ranking of the  
268 neighbourhood in which a child lives; the score represents the proportion of  
269 children under 16 in that area who live in a low-income household. Scoring is  
270 from 0 to 1, with higher scores representing increased deprivation. For the  
271 latter, we drew on information recorded about the nature of any special  
272 educational provision made for a given child (known as Special Educational  
273 Needs – SEN), and this was used to operationalise a categorical variable as  
274 follows: (i) no additional provision (coded 0); (ii) School Action – reasonable  
275 adjustments to normal teaching practice (coded 1); (iii) School Action Plus –  
276 additional support provided by an external professional (e.g., speech and  
277 language therapist) (coded 2); and (iv) Statement of special educational need  
278 (coded 3)– a multi-professional assessment provides the foundation of a legal  
279 document outlining support needs and securing financial support for  
280 appropriate provision.

281

282 ***Procedure***

283

284 For each wave of data collection participating schools administered paper  
285 surveys using a standardised instruction sheet. Survey completion was  
286 conducted on a whole-year or whole-class basis. School staff supported any  
287 students with literacy difficulties to enable them to access the measures.  
288 Completed measures were collected, delivered, scored and input by  
289 independent companies. The first author conducted checks on the integrity of  
290 the data to ensure accuracy of scoring. Responses were tracked through  
291 each wave of data collection and matched to NPD data through the use of a  
292 unique reference number. This information was used solely for accurate data  
293 matching and was destroyed shortly thereafter.

294

### 295 ***Analytical strategy***

296

297 Tabulated pattern analysis showed that less than 1% of missing cases across  
298 all three time points were attributable to any of the socio-demographic factors  
299 included in the analysis, indicating no discernible pattern to missing data.  
300 Therefore, incomplete cases were removed on a list wise basis and analyses  
301 were conducted for all complete cases.

302

303 Cascade and shared risk effects were tested using manifest structural  
304 equation models in MPLus version 7 (Muthen & Muthen, 2012). Consistent  
305 with previous work in this area (e.g. Moilanen et al, 2010), we first tested a  
306 simple cascade model that assessed cascade pathways across domains over  
307 time while accounting for temporal stability and within-time co-variance. A  
308 second model was then constructed in which the shared risk variables were

309 added as predictors of each domain at each time point. These steps are  
310 diagrammed in Figure 1. In both instances, model fit was assessed using  $\chi^2$   
311 goodness of fit, Comparative Fit Indices (CFI), the Tucker-Lewis Index (TLI)  
312 and Root Mean Square Error of Approximation (RMSEA) (including 90%  
313 Confidence intervals). Model fit was considered to be acceptable if CFI and  
314 TLI were above .95, RMSEA was below .05 (Bollen & Curran, 2006) and  
315 SRMR was below .08 (Hu & Bentler, 1999).

316

317

<< FIGURE 1 >>

318

## 319 **Results**

320

### 321 ***Descriptive statistics***

322

323 Table 1 presents descriptives and correlations for all of the study variables.  
324 Skew and Kurtosis were seen to be within acceptable range  $\pm 1.5$  (Tabachnick  
325 & Fidell, 2013), omitting a very minor violation for IDACI. Almost all variables  
326 were at least moderately correlated, with the highest correlations being  
327 consistent with a priori expectations (i.e., correlations of the same measure  
328 between time points).

329

\*\*\* TABLE 1 \*\*\*\*

330

### 331 ***Cascades Analyses***

332

333 All pathways in the model were tested, but in the interests of clarity, only  
334 significant pathways are included in Figures 2 and 3. Given the limitations  
335 associated with significance testing (Hubbard & Lindsay, 2008), we highlight  
336 pathways with a co-efficient of at least .10 in bold; this corresponds to the oft-  
337 cited conventions for the smallest effect of interest, deemed to be, “not so  
338 small as to be trivial” (Cohen, 1992, p.156). RMSEA and TLI fell below the  
339 established thresholds for both models described in the analytical strategy. A  
340 partial explanation is offered by the combination of relatively high size of  
341 correlations in a longitudinal model over such a short time period and the  
342 large sample size. This is supported by a marginal improvement in model fit  
343 for the second model (see Figures 2 & 3), supporting the inclusion of IDACI  
344 and SEN. However, overall fit is sub-optimal, possibly suggesting the  
345 presence of exogenous variables not accounted for in the current model.

346 **\*\*\*FIGURE 2\*\*\***

347 Figure 2 shows the developmental cascade modelled to address hypotheses  
348 1 & 2, specifically including the cross lagged pathways across all time points  
349 for emotional self-efficacy, conduct problems, and academic attainment (after  
350 accounting for temporal stability and concurrent correlations). Aside from the  
351 pathways controlling for stability across time, the largest effects were seen  
352 between T1 conduct problems predicting T2 emotional self-efficacy, and  
353 subsequent T2 emotional self-efficacy predicting T3 conduct problems. This  
354 is suggestive of a cascading relationship between these constructs.  
355 Regarding hypotheses 1 and 2, there is evidence to support the presence of  
356 both adjustment erosion and academic incompetence. Adjustment erosion is  
357 indicated by the significant pathway between T1 conduct problems and T3



358 academic attainment, which although significant and in the direction  
359 hypothesised (a rise in conduct problems is associated with a reduction in  
360 academic attainment), the resulting effect is comparatively small (-.030) in  
361 relation to other pathways in the model. There is arguably a slightly stronger  
362 case for the presence of academic incompetence, because the relevant  
363 pathway (T1 academic attainment to T3 conduct problems) is significant, in  
364 the direction hypothesised (lower academic attainment is associated with a  
365 rise in conduct problems), and is of relatively large effect (-.107) in relation to  
366 the other pathways in the model. A similar pattern is shown between T1  
367 academic attainment and T2 conduct problems, but to a lesser magnitude (-  
368 .073).

369

**\*\*\*FIGURE 3\*\*\***

370 In order to test for the effect of shared risk variables on the cascade effects  
371 identified in the model above, SEN and IDACI were regressed onto each  
372 factor, shown in Figure 3. Results showed a significant contribution of shared  
373 risk factors for the majority regressed pathways, across all time points.  
374 However, given the established associations of SEN and IDACI with both  
375 mental health (Green et al, 2005) and academic achievement (Department for  
376 Education, 2011), further significant pathways may have been expected. For  
377 instance, there is no significant pathway between SEN and T3 academic  
378 attainment. Similarly, associated effect sizes are relatively small, with only T1  
379 shared risk pathways surpassing Cohen's effect of .1.

380 Regarding the effects of the previously identified pathways in Figure 2, there  
381 is an almost identical pattern of findings, with only minor changes to the  
382 academic pathways (T1 academic attainment to T2 conduct problems

383 reduced in strength, T1 academic attainment to emotional self-efficacy  
384 increased in strength). This provides partial support for hypothesis 3.

385

## 386 **Discussion**

387 The current study sought to examine the development of children's conduct  
388 problems, and its association with academic attainment, in order to better  
389 understand the cumulative effects over time and interactions across  
390 developing systems. We integrated emotional self-efficacy into the  
391 developmental cascades model to examine emotional self-efficacy as a  
392 process that might explain the relationship between children's conduct  
393 problems and academic attainment. Disability and socio-economic status  
394 were also examined as shared risk factors. We also used a large, nationally  
395 representative dataset in the current study, enhancing the confidence by  
396 which results can be generalised to the wider school-based population. Three  
397 hypotheses were examined, consistent with developmental cascade theory,  
398 especially examination of evidence for adjustment erosion, academic  
399 incompetence, and shared risk. We examined adjustment fortification and  
400 hypothesized that early high levels of emotional self-efficacy will lead to  
401 enhanced academic attainment and reduced behaviour problems.

402

403 Consistent with *adjustment erosion* and *academic incompetence* models,  
404 there was some evidence to suggest that developmental cascade effects  
405 were in effect. Path models suggested that higher levels of conduct problems  
406 at age 11 (Time 1) were associated with poorer academic competence at age

407 13 (Time 3). In turn, poor academic competence at age 11 was related to  
408 higher levels of conduct problems at ages 12 and 13. Higher levels of conduct  
409 problems at age 11 were also linked to lower levels of emotional self-efficacy  
410 at age 12, which then predicted increased conduct problems at age 13. High  
411 levels of conduct problems around the time of entry to High school (age 11)  
412 were predictive of lower levels of emotional self-efficacy at age 12 and lower  
413 levels of academic attainment at age 13. Finally, the *shared risk* analyses  
414 suggested that socio-economic adversity and special educational need status  
415 played a small role in the link between early externalizing problems and  
416 academic competence in middle childhood. The current pattern of results  
417 support previous research, which showed path links from conduct (i.e.  
418 externalised) problems to academic incompetence in early to middle  
419 childhood (e.g. Campbell et al., 2006; Capaldi, 1992; Chen et al., 1997;  
420 Moilanen, Shaw, & Maxwell, 2010; Morgan et al., 2008). The inclusion of  
421 shared risk variables did little to alter the magnitude or statistical significance  
422 of any of the established pathways, suggesting that findings pertain to  
423 different groups of academic attainment, including those across a socio-  
424 economic spectrum and for those pupils identified with SEND. However,  
425 practical limitations prevent a more detailed investigation of these groups  
426 (SEND in particular), as the data were restricted to school-based provision.  
427 Therefore, in future work, there is an opportunity to examine whether the  
428 same patterns of effects can be found for those at the extremely high end of  
429 youth externalizing problems, and those with other clinical problems. It is  
430 noteworthy that all significant paths emerged after accounting for  
431 autoregressive effects of each domain, which were moderately high in

432 magnitude in all three domains of child adjustment. This is indicative of the  
433 comparatively short time scale of the measurements, in comparison to  
434 broader developmental cascade literature, which can span up to 20 years (e.g.  
435 Masten et al., 2005).

436 There was evidence to support the adjustment fortification model, with higher  
437 levels of emotional self-efficacy at T1 and T2 predicting lower levels of  
438 conduct problems at T2 and T3 respectively, and higher academic attainment  
439 at T3. These findings support previous work that shows poor emotional  
440 competence in adolescence predicts school difficulties associated with  
441 subsequent academic underachievement (e.g. school drop-out and persistent  
442 antisocial behaviour) (Gagnon, Craig, Tremblay, Zhou, & Vitaro, 1995;  
443 Haapasalo & Tremblay, 1994; Kochenderfer & Ladd, 1996; Petrides et al.,  
444 2004; Qualter et al., 2012; Vidal Rodeiro, Bell, & Emery, 2009). Our work  
445 extends those findings and is the first to highlight the importance of emotional  
446 self-efficacy in developmental cascades of conduct problems and academic  
447 achievement.

448

449 Those findings are in line with Bandura's theoretical model (1986, 1999, 2001)  
450 that argues that emotional self-efficacy is likely to influence whether  
451 adolescents think in an enabling way when considering social engagement  
452 and academic performance. It also affects how much effort adolescents will  
453 invest in a particular revision or social strategy, how they persevere in the  
454 face of social and academic difficulties, and how resilient they are to social  
455 and academic stressors. Our findings provide support for the idea that lower  
456 perceived emotional self-efficacy predicts higher levels of externalizing

457 problems and poor academic attainment. The finding that emotional self-  
458 efficacy influences the developmental sequence between conduct problems  
459 and academic attainment further supports the thesis that self-efficacies are an  
460 indicator of successful development during adolescents and intervention  
461 might be well suitable to the targeting of that domain.

462

### 463 **Strengths, Limitations, and Future Study**

464 A particular strength of the current study is the use of a large community  
465 based sample that is representative of the general population. Also,  
466 contrasting previous studies, we used national standardized test scores as  
467 our measure of academic attainment at Time 1 and Time 3. These are  
468 relatively free from reporter bias when compared to concurrent adolescent  
469 self-reported attainment scores (Pataley, Deighton, Fonagy, & Wolpert, 2015).  
470 Additionally, the use of standardized test scores permits the results in a  
471 national context and supports future attempts at replication of our results.

472

473 Regarding limitations: First, the use of self-reported conduct problems by  
474 young people could not be avoided in the current study. While the 'gold  
475 standard' is to source multiple reporters (De Los Reyes, 2013), it was not  
476 practical in this large community based longitudinal studies. Second, the  
477 multi-level structure of the data (i.e. children clustered into schools) was not  
478 accounted for in the analysis because the group level sample size (41) was  
479 below minimal recommendations for analyses of this type (Hox & Maas, 2001).  
480 However, mental health outcomes typically feature very small inter-cluster  
481 correlations (typically around .02% (Hale et al., 2014)). Third, resultant model

482 fit was seen to be sub optimal. Although some explanation is likely to be  
483 attributable to sample size and the strength of the within-domain correlations,  
484 further consideration is required. Failure to capture idiosyncratic or even  
485 systemic exogenous variables may be accountable, though this is difficult to  
486 substantiate in the current design. We welcome further exploration in this  
487 field (both theoretical and empirical) to establish a more accurate  
488 representation of the apparent cascade effects.

489 Fourth, given the established paucity of the application of developmental  
490 cascade theory in the current context (i.e. psychosocial and academic  
491 measures within relatively short time period), there is a difficulty in  
492 establishing 'benchmark' figures for effect sizes. Available literature indicates  
493 effects are likely to be small. E.g. Deighton et al. (in press) report comparable  
494 effects, however as this study was also conducted over a two-year period, this  
495 may also be an artefact of the stability of the within domain correlations. A  
496 future direction therefore, is to consider capturing a longer time period,  
497 encapsulating more of a child's educational career.

498 Despite these limitations, the current paper is an early step in demonstrating  
499 the usefulness and contribution of developmental cascade theory for  
500 understanding the prospective associations between conduct problems,  
501 emotional self-efficacy, and academic attainment, and in establishing  
502 expectations for relative effects in the field.

503 This study represents a rigorous test of cascade effects of conduct problems,  
504 emotional self-efficacy, and academic attainment in early adolescence. In  
505 future cascade studies, it will be important to consider the developmental

506 timing of these effects. It is possible that there will be differences in the  
507 pattern of effects based on the developmental timing of these events. For  
508 example, in our sample, emotional self-efficacy contributed to how the  
509 adolescents transitioned into High School, but it may become more important  
510 during later stages of adolescents when adolescents will have to deal more  
511 with peer pressure and also manage the conflicting desire to engage with  
512 peers compared to revision. Thus, as peer relations become a more salient  
513 domain of social adjustment, the association between academic attainment  
514 and emotional self-efficacy is likely to become stronger. Further study is  
515 needed to examine changes in the patterns over time and how those can be  
516 accounted for by the changing social and academic environments.

517

## 518 **Conclusion**

519

520 The current study offers a valuable contribution to the emergent literature on  
521 developmental cascades examining self-efficacy, conduct problems, and  
522 academic attainment in an educational setting. Of particular note is the use of  
523 a large, representative sample of English adolescents, demonstrating an  
524 inclusiveness and rigour currently lacking in the field. Accordingly, new (but  
525 tentative) benchmarks are provided for future research.

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704 Footnote

705 <sup>1</sup> The Key Stages in the English education system are ages 5-7 (Key Stage 1), 7-11 (Key  
706 Stage 2), 11-14 (Key Stage 3), and 14-16 (Key Stage 4).