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From highly graphitic to amorphous carbon dots: a critical review

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Abstract

The emergence of carbogenic nanoparticles (C-dots) as a new class of photoluminescent (PL) nanoemitters is directly related to their economical preparation, non-toxic nature, versatility and tuneability. C-dots are typically prepared by pyrolytic or oxidative treatment of suitable precursors. While the surface functionalities critically affect the dispersibility and the emission intensity of C-dots in a given environment, it is the nature of the carbogenic core that actually imparts their intrinsic PL properties. Depending on the synthetic approach and the starting materials, the structure of the carbogenic core can vary from highly graphitic all the way to completely amorphous. This critical review focuses on correlating the functions of C-dots with the graphitic or amorphous nature of their carbogenic cores. The systematic classification on that basis can provide insights on the origins of their intriguing photophysical behaviour and can contribute in realising their full potential in challenging applications.

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1. Introduction

The emergence of carbogenic nanoparticles (otherwise known as C-dots) as a new class of photoluminescent (PL) nanoemitters has led to worth mentioning paradoxes about the fascinating story of molecular carbons. First, it is the realisation that those nanoparticles are abundant in the planet (in the form of tiny graphitic fragments or combustion products), but they have gone unnoticed until recently. Chronologically, the first observation of C-dots¹ falls close to the isolation of graphene by mechanical exfoliation², but those ground-breaking advances only took place few years after the development of fullerenes³ and carbon nanotubes⁴ (CNTs).

Second, it is the realistic perspective that those, oftentimes naturally or incidentally occurring nanoparticles (Figure 1), can adequately replace highly engineered semiconductor emitters (commonly referred as heavy metal based quantum dots) in demanding applications where extensive optical absorption, excitation wavelength dependent emission, multi phonon excitation and upconversion are needed. While ultra-long, defect-free graphene sheets are ideal for electronics, the exact opposite features e.g. surface defects and fragmentation seem to be a precondition for PL.

Third, systematic efforts are currently directed to the development of well-defined C-dots, at a time where a rigorous definition of C-dots is notably absent in the literature. The problem relates to their great compositional diversity in terms of elemental content and graphitization degree. That being said, it is surprising that structurally very dissimilar C-dots share common patterns in their behaviour (such as excitation dependent emission and upconversion).

By virtue of their PL properties, C-dots are extensively explored in a broad range of technological applications including multicolour printing, bioimaging, optical sensors, photocatalysis and solar cells⁵⁻¹¹. Compared to organic dyes and heavy metals based quantum

dots, they show minimal toxicity for humans and environment, low preparation cost, enhanced solubility in a variety of solvents and improved chemical and colloidal stability.

The rapidly expanding body of experimental work centred on C-dots has been summarized in extensive reviews⁵⁻¹¹. In those studies it appears that the terms C-dots, carbon quantum dots, carbon nanoparticles, carbogenic nanoparticles, quantum sized carbon dots, graphene quantum dots are used rather loosely in the literature. Within this somewhat confusing framework, this review describes the properties of C-dots with respect to the structure of their cores that vary from highly graphitic all the way to completely amorphous. It should be noted that certain synthetic approaches tend to generate a mixture of carbogenic cores with inhomogeneous graphitization degree. A systematic classification on that basis can promote our understanding on their photoactive behaviour and can contribute in further advancing their performance.

2. Discussion

2.1. Synthesis and structure

2.1.1. Highly graphitic C-dots (gC-dots)

Disks of single-layer graphene (referred hereafter as Graphene Quantum dots or GQ-dots) are considered for the purposes of this review as a subgroup of the highly graphitic C-dots (gC-dots). Their technological importance stems from their supreme electronic conductivity and structural stability, even if graphene is broken down to the level of a few aromatic groups¹². GQ-dots are produced via hydrothermal etching of CNTs¹³, high resolution electron beam lithography¹² or oxygen plasma treatment of graphene¹⁴. The cage opening of fullerenes is a rather unique process where the C₆₀-Ru attractive forces induce surface vacancies in the Ru

crystal to accommodate C₆₀ particles. At elevated temperatures the embedded molecules undergo diffusion and aggregation to form GQ-dots¹⁵ (Figure 2a).

All-organic, chemical approaches allow the synthesis of large, yet colloidal stable GQ-dots with excellent uniformity and tuneable dimensions^{16,17}. The synthetic strategy relies on the oxidation of polyethylene dendritic precursors to create graphene moieties that are further stabilised against self-aggregation by attaching bulky 2,4,6-trialkyl phenyl groups to their edges^{16,17} (Figure 2b).

Solvothermal approaches, such as the high pressure hydrothermal fragmentation of sucrose, afford the ring opening of the hydrolysed compounds, formation of dehydrated furfural compounds, followed by hydronium-catalysed polymerization and carbonization towards gC-dots¹⁸.

Alternatively, gC-dots were produced via laser ablation of graphite powders followed by HNO₃ oxidation, but the resultant nanoparticles became photoactive only after surface passivation with amine terminated oligomers or polymers¹⁹. gC-dots consisting of 1-3 layers are derived by chemical oxidation and cutting of micrometer-sized carbon fibres²⁰, exfoliation and disintegration of graphitic flakes and CMTs²¹ and hydrothermal breakdown of pre-oxidised graphite sheets²². A proposed mechanism for the chemical splitting of graphitic materials towards gC-dots is depicted in Figure 3a.

Another well-explored strategy relies on the electrochemical oxidation of CNTs²³ or graphite²⁴⁻²⁶ that serve as the working electrodes in typical electrochemical cells (Figure 3b). Suitable electrolytes include ultrapure water²⁷, phosphate buffer solutions²⁴, NaOH/ethanol^{26,27}, ionic liquid-water mixtures²⁸ and acetonitrile²³. Upon application of a scanning potential, extensive electrode exfoliation facilitates the release of gC-dots. Mechanistically, the electrooxidation has been related to the formation of hydroxyl and

oxygen radicals (produced by the electrolysis of the solvent) that attack the graphitic anode on defect and edge sites. This process allows the electrolyte to intercalate the graphitic layers, leading to electrode chipping and the formation of gC-dots²⁸.

Based on this approach green luminescent gC-dots were prepared using a graphene film as the working electrode, Pt wire as the counter electrode, Ag/AgCl as the reference electrode and a phosphate buffer solution with pH 6.7 as the electrolyte (cycling voltammetry window $\pm 3V$)²⁹. Transmission Electron Microscopy (TEM) images suggest a narrow size distribution of gC-dots with diameters in the range 3-5 nm (Figure 4 a,b,c), while their Atomic Force Microscopy (AFM) topographic heights are lower than 2 nm consistent with the stacking of 1-3 graphene layers (Figure 4 d,e). The X-ray Diffraction (XRD) pattern of gC-dots (Figure 4 f) displays a broad peak at 3.4 Å compared to 3.7 Å for the parental graphene electrode. The Raman spectrum of gC-dots (Figure 4 g) is dominated by two peaks centred at 1365 and 1596 cm^{-1} that correspond to the D and G bands of the graphitic and amorphous carbon, respectively. The G peak is associated with the E_{2g} vibration mode of the sp^2 bonded carbon and the D peak is assigned to the A_{1g} (zone-edge) breathing vibration phonon that becomes active only in close proximity to a sp^3 defect³⁰. In a first approximation, the intensity ratio of the D over G band is an index of the carbon disorder; the value $I_D/I_G=0.5$ found here implies a high graphitization degree for the gC-dots. X-ray Photoelectron Spectroscopy (XPS) patterns of gC-dots are compared with the initial graphene electrode in Figure 4h. Both spectra show the C1s peak at 284.8 eV and the O1s peak at 532 eV, however the oxygen content is higher in gC-dots as a direct consequence of the electrooxidation. The deconvolution of C1s peak of gC-dots (Figure 4i) reveals the presence of C = C (284.8 eV), C–O (286.8 eV), C = O (287.8 eV) and COOH (289 eV) bonds, due to hydroxyl, carbonyl and carboxylic acid groups, respectively. Those surface functionalities account for the remarkable colloidal stability of gC-dots.

In another approach²⁵, alkali assisted electrochemical synthesis of gC-dots was achieved using graphite rods as both anode and cathode and a mixture NaOH/ethanol as the electrolyte. TEM images suggest that the diameters of the resultant nanoparticles fall within the range 1.2-3.8 nm (Figure 5a). Because the sample is a mixture of nanoparticles emitting at different wavelengths, it shows various emission colours under a fluorescent microscope (Figure 5b). High Resolution TEM (HRTEM) images of gC-dots with different diameters (Figure 5 c-h) show that they all have lattice spacing close to 0.32 nm, consistent with the (002) facet of graphite. The Raman spectrum (Figure 5i) indicates an exceptionally low value for the ratio I_D/I_G , further confirming their highly graphitic structure.

2.1.2. Amorphous C-dots (aC-dots)

Amorphous C-dots (aC-dots) can be derived by cracking of a non-graphitic carbon source following, for example, the ultrasonic treatment of a peroxidised suspension of active carbon³¹, but the most popular approaches rely on the pyrolytic treatment of carbon-rich molecular precursors. Those strategies are usually described as “bottom-up”, however there is growing evidence to suggest that they tend to generate large carbon clusters that are filtered out or broken down to the nanoscale via ultrasonication, oxidation, etc.

Within this general synthetic scheme, carbohydrates^{32,33}, grass³⁴, gelatine³⁵, orange juice³⁶, soy milk³⁷, strawberry juice³⁸, bovine albumin³⁹, polyacrylamide⁴⁰ are hydrothermally converted to aC-dots. Similarly, hollow⁴¹ and silica supported⁴² aC-dots are prepared by hydrothermal carbonization protocols, while aC-dots are derived by direct pyrolysis of coffee grounds⁴³ or grass⁴⁴, plasma induced pyrolysis of eggs⁴⁵, barbeque char⁴⁶ and the plant soot⁴⁷.

Luminescent aC-dots with average particle size 3.5 -5.5 nm were realized by microwave mediated caramelization of polyethylene glycol (PEG) in the presence of water⁴⁸. HRTEM

imaging of the aC-dots do not reveal any discernible lattice fringes and the XRD pattern shows a broad peak at 4.1 Å, consistent with highly disordered carbon.

In another report, hydrophilic and organophilic aC-dots were synthesized by thermal treatment of diethylene glycolammonium citrate and octadecylammonium citrate, respectively⁴⁹. The TEM images of the organophilic aC-dots (Figure 6a) display geometrically uniform nanoparticles with average diameter 7 nm (Figure 6b). The XRD pattern shows two reflection peaks; one centered at 4.3 Å attributed to highly disorder carbon and a sharp one at 4.14 Å consistent with the inter-chain distance of densely packed alkyl chains (Figure 6c).

2.1.3. In situ formation of functional groups

Oftentimes, the Achilles's heel of the as prepared C-dots is their relatively weak PL emission, but this behaviour is generally improved by surface passivation. Common surface treatments include reflux with HNO₃/H₂SO₄ to generate polar groups⁵⁰, reduction via sodium borohydrate⁵¹, functionalization with PEG based amines¹⁹ or small molecules⁵², and coating with ZnO and ZnS⁵³.

Apart from those post-synthesis surface treatments, controlled carbonization approaches allow the formation of surface functionalities in situ with the synthesis of C-dots. To that end, the presence of an external corona seen in the inset in Figure 6a points to the self-passivation of aC-dots pyrolytically derived by octadecylammonium citrate⁴⁹. The IR spectrum of the aC-dots (Figure 6d) reveals characteristic absorption peaks due to the octadecyl chains tethered to the surface along with a strong peak at 1700 cm⁻¹ suggestive of amide linkages⁴⁹.

Similarly, water dispersible C-dots were prepared by controlled pyrolysis of dopamine⁵⁴, lauryl gallate⁵⁵, polyethylenimine⁵⁶ or a mixture of ethanolamine and citric acid^{57,58}. In a remarkably time-efficient modification of the method, microwave assisted thermal treatment of an aqueous solution containing PEG⁴⁸ or a mixture of PEG and a saccharide⁵⁹ leads rapidly to self-passivated colloidal aC-dots. Ultrasmall aC-dots with quantum yield up to 47% have been derived by 1 min pyrolysis of anhydrous citric acid in N-(β -aminoethyl)- γ -aminopropyl methyltrimethoxy silane⁶⁰. At the same time, sulphuric acid dehydration of the single molecule precursor γ -butyrolactone gives rise to gC-dots⁶¹, while laser irradiation of suspended graphite powder in PEG, or amines also leads self-passivated luminescent C-dots with diamond-like structure⁶².

Chemical groups generated in situ during the synthesis of C-dots can impart additional functionalities. For example, the gC-dots derived by hydrothermal treatment of dopamine⁵⁴ bear distinctive catechol groups on their surface, offering a sensing platform for the detection of Fe (III) ions and dopamine. In another approach, the thermal treatment of a mixture of citric acid and ethanolamine results in the evolution of a series of photoactive materials⁵⁷. At the initial steps of pyrolysis a crosslinked, highly viscous polymer network is formed due to intermolecular condensation and the subsequent formation of a precursor material that exhibits strong excitation independent PL due to the presence of amide containing fluorophores (blue groups in Figure 7). Annealing at higher temperatures gives rise to aC-dots that shows dual PL emission; an excitation independent mode stemming from the amide fluorophores and an excitation dependent mode directly related to the evolution of carbogenic core (black spheres in Figure 7). Because the carbogenic core is formed at the expense of the organic fluorophores, the amide driven mode diminishes as the pyrolysis proceeds (Figure 7). The PL emission of the aC-dots is quenched in the presence of 3d metal ions Cr(III) and Co(II) due to a selective metal– fluorophore complexation.

2.1.4. Heteroatoms and functional groups

Besides carbon and oxygen, several heteroatoms can be introduced to C-dots, altering the charge distribution and the electron donating properties of the carbon atoms. To that end, N-rich C-dots are prepared by post-synthesis doping via NH_3 , hydrazine or N_2 treatments. Alternatively, the heteroatoms are incorporated to the nanoparticles directly from the starting materials; N-doped aC-dots are derived from soy milk³⁷ and plant soot⁴⁷. The N-doped gC-dots derived electrolytically from graphite using tetrabutylammonium perchlorate in acetonitrile as electrolyte⁶³ exhibit significant electrocatalytic activity against the oxygen reduction reaction (ORR). The PL signal of gC-dots with 7% N content derived hydrothermally by strawberry juice³⁸ is quenched in the presence of Hg (II).

Nitrogen and sulphur co-doped C-dots (N,S-C-dots) (with total heteroatom content up to 10 %) are realised via sulphuric acid carbonization of hair fibres⁶⁴ and hydrothermal treatment of a mixture of citric acid and L-cysteine produce⁶⁵ (C-dots exhibit an intriguing excitation independent emission), or citric acid and thiourea⁶⁶. Controlled carbonization of a mixture of citric acid, ethylenediamine and $\text{Mg}(\text{OH})_2$ leads to Mg, N-co-doped C-dots with improved PL intensity suitable for in vivo cell imaging⁶⁷. Iron oxide doped aC-dots⁶⁸ and gadolinium doped aC-dots⁶⁹ (via pyrolysis of tris(hydroxymethyl)aminomethane, betaine hydrochloride and gadopentetic acid) show great potential for multimodal Magnetic Resonance Imaging (MRI) parallel to fluorescent monitoring. Boron/nitrogen co-doped C-dots (via hydrothermal treatment of N-(4-hydroxyphenyl)glycine and boric acid) exhibit a reversible "switching on and off" PL mechanism in response to the presence of protoporphyrin and carcinogenic dyes⁷⁰.

2.2. Properties

2.2.1. Photoluminescence behaviour

An ideally π - conjugated monolayer of pristine graphene has zero electronic bandgap and is not photoactive. However, finite sized GQ-dots and nanosized graphitic fragments exhibit characteristic PL properties. It is exactly this intriguing behaviour that actually allowed the first detection of C-dots as the fast moving fluorescent front during electrophoretic purification of arc-discharged soot containing single walled carbon nanotubes¹. In principle, the PL behaviour of C-dots has been attributed to quantum confinement effects and surface defects.

In semiconductors quantum confinement occurs when the crystal size approaches the exciton Bohr radius and implies an inverse relationship between the bandgap and the crystal size. Theoretical studies in GQ-dots suggest that the energy gap between the highest occupied molecular orbital (HUMO) and the lowest unoccupied molecular orbital (LUMO) decreases with the number of fused aromatic rings from 7 eV for a single benzene ring to 2eV for 20 π -conjugated aromatic rings⁷¹.

A series of different sized gC-dots were synthesized by alkali assisted electrooxidation of graphite²⁵ and the optical images in water (under white and UV light) are shown in Figure 8a. Their corresponding PL spectra, plotted in Figure 8 b, critically depend upon the size of the gC-dots (Figure 8c). Theoretical calculations on the size dependence of the HUMO-LUMO energy gap (Figure 8d), indicate that nanoparticles with diameter 1.4-2.2 nm have gap energy in the visible region, in agreement with the experimental observations. Hydrogen plasma treatment (to eliminate the surface oxygen) leaves their PL spectra intact, thus confirming the prominent role of the quantum confinement to the photophysical properties in highly graphitic nanoparticles.

At the same time, several aspects of the PL behaviour in C-dots cannot be explained in terms of quantum confinement alone. Specifically, the PL spectra of a graphite oxide (GO) thin film can be deconvoluted into two Gaussian bands (I_{p1} and I_{p2} in Figure 9 a,b,c), indicating the parallel action of two distinct photophysical contributions⁷². Gradual chemical reduction of GO sheets preferentially favours the removal of oxygen atoms positioned far from a π -conjugated domain. This results in the formation of small and isolated sp^2 islands, rather than the expansion of the pre-existing sp^2 clusters (Figure 9 d). During the stepwise chemical reduction towards a graphene film, the relative intensity of I_{p2} systematically increases with time and is, therefore, attributed to the intrinsic PL of graphene fragments. Moreover, I_{p2} shifts to lower wavelengths, consistent with quantum confinement effects originating from the non-percolated small sp^2 domains. At the same time, I_{p1} monotonously decreases with reduction time due to the gradual elimination of the surface defects.

It has been proposed that photogenerated electron and holes pairs are induced within surface traps in C-dots and are stabilized by the passivation agents⁷³. This approach is in tandem with the observation that PL intensity in C-dots is oftentimes responsive to external stimuli^{55,56}. It has been supported that defects in graphene sheets involving sp^3 carbons are structurally no different from carbon atoms placed on the surface of carbogenic nanoparticles and this similarity accounts for the fact that very different types of C-dots share common patterns to their PL behaviour⁷³.

In principle, both aC-dots and gC-dots (as well as their heteroatoms doped counterparts) exhibit excitation wavelength dependent emission (Figure 10); as the excitation wavelength increases, the emission peak is displaced to longer wavelengths and a weaker signal is recorded. Upconversion occurs when the emitted radiation have higher energy compared to the incident photons and has also been observed for both gC-dots²⁵ and aC-dots⁷⁴ (Figure 11).

The effect is explained in terms of sequential absorption of two or more photons and the formation of metastable, long lived intermediate states⁷⁵. Upconversion is a non-linear optical phenomenon that holds great promise for diagnostics, photodynamic therapy and energy harvest.

2.2.2. Non-toxic character

By virtue of their PL nature, the cell uptake and biodistribution of C-dots can be easily monitored. C-dots exhibit excellent photochemical stability and multi photon excitation, enabling deeper in vivo imaging with minimal tissue damage^{76,77}. In particular, gC-dots undergo two-photon absorption with the pulsed laser in the near infrared to cause emission in the visible and the absorption cross-section are comparable to the best performing nanoemitters reported in the literature⁷⁶.

Experimental evidence suggests that gC-dots and aC-dots are preferentially localised in the cytoplasm rather than the cell nucleus⁷⁶⁻⁷⁹ (Figure 12). Intravenously injected gC-dots (derived from laser ablation and passivated with ZnS and PEG diamine) in mice for whole body circulation follow the urine excretion pathway, after being temporarily accumulated to kidneys. Following interdermal injection into the front extremity, the gC- dots were seen to migrate along the arm to the axillary lymph node, albeit in a somewhat slower speed compared to heavy metals quantum dots⁷⁷.

Moreover, C-dots are general non-toxic, possessing an overwhelming advantage over semiconductor quantum dots. For example, when 4, 7, 10-trioxa-1,13-tridecanediamine (TTDDA) passivated gC-dots were incubated⁷⁹ at concentration levels below 500 mg mL⁻¹ with HeLa cells for 24 h, the cell viability exceeds 90%. Similarly, addition of gC-dots to the culture medium containing human kidney cells did not induce significant cytotoxicity⁸⁰,

while no obvious organ damage was observed for mice treated with carboxylated gC-dots⁸¹. PEG-passivated gC-dots at high concentrations are relatively toxic to cancer cells, but this effect stems from the passivation agent itself rather than the carbogenic core⁸² (Figure 13a). Moreover, a series of studies indicate that incubation with aC-dots has a minimal impact to the viability of HeLa cells (incubation period 24h and 74 h)^{56,78} (Figure 13b) and to HT 29 cells (incubation period 24 h)⁴⁸.

2.3. Energy applications

2.3.1. Catalytic activity

Amorphous carbon/silica nanocomposites with a high density of sulfonic groups function as environmentally benign, acid solid state catalysts. In particular, sulfonated aC-dots/SiO₂ catalyse the dimerization of *p*-methylstyrene⁸³, esterification of acetic acid with *n*-butanol⁸⁴ and degradation of cellulose into glucose⁸⁵.

Photogenerated electrons in gold or platinum coated C-dots are trapped in the metallic interface and can catalyse challenging photoreductions. Specifically, gold doped aC-dots and gC-dots are effective catalysts for the conversion of carbon dioxide into formic acid⁴⁶ and the photocatalytic splitting of water to hydrogen⁸⁶.

Highly PL C-dots anchored to TiO₂ extend the range of visible spectrum harnessed by the nanoparticles. Upon illumination of gC-dot/TiO₂ or gC-dot/SiO₂ hybrid catalysts, the upconverted radiation excites TiO₂ or SiO₂ to generate electron/hole pairs. The electron/hole pairs react with absorbed oxidants (O₂ or OH⁻) to produce active oxygen radicals which expedite the decomposition of dyes²⁵. The electrons are shuttled freely within the carbon network and are injected to TiO₂ or SiO₂ (the relative position of the band edge permits such an electron injection), inducing and stabilizing charge separation. Similarly, porous gC-

dot/SiO₂ nanocomposites show catalytic capability for photoenhanced hydrocarbon selective oxidation⁸⁷.

In analogy, gC-dots/Fe₂O₃ and gC-dots/ZnO composites^{88,89} exhibit enhanced photocatalytic activity for the degradation of toxic gas under visible light. The overall effect is attributed to three factors: upconversion, the excellent electron donating capability of gC-dots and the strong π - π interactions between the aromatic rings of the pollutants and the sp² domains of the nanoparticles.

Catalysts for fuel cells

Inexpensive N-doped carbogenic nanomaterials catalyze the, otherwise slow, ORR that remains a major challenge for the advancement of fuel cells. The cyclic voltammograms (CV) for ORR at N-doped aC-dots electrodes³⁷ (in O₂ saturated KOH solution) revealed a cathodic reduction peak at around 0.35 V, although the effect was rather limited compared to standard Pt/C catalysts. At the same time, the CV at N-doped gC-dots electrodes⁶³ show a ORR onset potential at -0.16 V with a reduction peak at -0.27 (Figure 14a), and the catalytic effect is comparable to that achieved by commercial Pt/C catalysts (Figure 14b). Significantly, N-doped gC-dots fully retained their catalytic activity in the presence of methanol, while metal based catalysts fail to do so. In other words, N-doped gC-dots exhibit unprecedented tolerance against methanol crossover and can contribute towards the advancement and commercialization of fuel cells. It is conceivable that incorporation of N atoms to carbogenic materials creates charged sites that enhance the adsorption of O₂. The introduction of nitrogen not only increases the charge mobility of the graphitic lattice, but also lowers the energy band gap. Theoretical calculations suggest that the maximum charge mobility is encountered in carbon atoms with pyrrole nitrogen-containing groups at the edges

of graphene planes and those with pyrrole nitrogen atoms in combination with ‘valley’ nitrogen atoms⁹⁰.

2.3.2. Solar cells

C-dots as the sensitizer

Owing to their unique PL properties C-dots act as sensitizers in solar cells, effectively replacing standard ruthenium complexes based dyes. In a proof of concept demonstration, colloidal stable GQ-dots containing 168 π -conjugated carbon atoms (derived via all-organic chemistry) were shown to exhibit molar extinction coefficient $\epsilon_m = 1.0 \times 10^5 \text{ M}^{-1} \text{ cm}^{-1}$, nearly an order of magnitude larger than the metal complexes commonly used in similar applications. Moreover, the absorption spectra includes the 900 nm limit, the optimal energy threshold that enables the thermodynamic limit of energy conversion efficiency in photovoltaic cells¹⁷. The GQ-dots were used to stain a nanocrystalline TiO₂ film for a solar cell assembly where they induced a significant sensitizing effect¹⁷.

In another study⁶¹, the gC-dots (derived by dehydration g-butyrolactone) sensitised solar cell show a short-circuit current density (J_{sc}) of 0.53 mA cm⁻² and an open-circuit voltage (V_{oc}) of 0.38 V with a fill factor (FF) of 0.64, for a power conversion efficiency of 0.13%. Indium tin oxide photoelectrodes spin-coated with aC-dots generated reasonable photocurrents, but their pegylated analogues resulted in twice higher intensity⁹¹.

gC-dots as electron acceptors in organic photovoltaic cells

In organic photovoltaic cells, GQ-dots improve the electron acceptor capability and, thereby, the power efficiency. To that end, a solar cell assembly of ITO/PEDOT:PSS/P3HT:GQ-dots/Al, (ITO, PEDOT, PSS and P3HT stand for indium tin oxide, poly(3,4-ethylenedioxythiophene), poly(styrenesulfonate) and poly(3-hexylthiophene), respectively)

outperforms (more than 2 orders of magnitude improvement) its GQ-dot free analogue in terms of power conversion efficiency and short-circuit current. Incorporation of GQ-dots not only affords extensive p-n interfaces for charge separation, but also facilitates the transport of charge carriers within their highly conductive infrastructure²⁹ (Figure 15).

In another demonstration⁹², P3HT/ANI-gC-dots (ANI-gC-dot stands for aniline functionalised gC-dot) based organic solar cell show improved efficiency compared to P3HT/ANI-GSs (ANI-GS stands for aniline functionalised graphene sheets). The effect points out to the improved morphology of the gC-dots based film that results in enhanced exciton migration to the donor/acceptor interface and lower internal resistance. In particular, gC-dots based films shows nanoscale phase separation and fine structural elements, as opposed to GSs based film that displays large domains (about 100-200 nm diameter) indicating phase separation at a scale much larger than the diffusion length of excitons (10 nm) (Figure 16).

A poly(3-hexylthiophene):[6,6]-phenyl-C61-butyric acid methyl ester based bulk heterojunction solar cell, achieved improved power conversion efficiency by 12% due to the integration of a luminescence down-shifting layer containing gC-dots (derived hydrothermally and protected against self-aggregation)⁹³.

An ultrasensitive, all carbon photo-detector has been constructed by selective deposition of a layer of GQ-dots to graphene sheets⁹⁴. The remarkable photocurrent responsivity and detectivity observed for the all carbon detector has been attributed to the synergy of three parameters: the large optical absorptivity of GQ-dots, the efficient separation of photogenerated electron-hole pairs due to the band alignment across the GQ-dots /graphene sheet interface and the highly conductive channels of graphene.

3. Conclusions

C-dots demonstrate significant potential as low-cost photoluminescence nanoemitters with tuneable structure and functionalities. Both graphitic and amorphous of C-dots share common characteristics such as non-toxic nature, excitation wavelength dependent emission, upconversion, while their PL intensity is selectively quenched in the presence of certain compounds providing a platform for their detection. Moreover, aC-dots/SiO₂ densely covered with sulfonic groups are green, solid acid catalysts, while gC-dots/SiO₂ (and their TiO₂, SiO₂, Fe₂O₃, ZnO based counterparts) exhibit enhanced photocatalytic activity for the degradation of toxic compounds under visible light. Au or Pt coated aC-dots and gC-dots function as electron reservoirs, catalysing challenging photoreductions. In fuel cells, N-doped gC-dots are promising methanol tolerant electrocatalysts for the ORR. In solar cells, gC-dots induce significant sensitizing effects and they also function as electron acceptors, improving the power conversion efficiency.

Figures

Figures 1. Cartoon demonstrating the generation of graphitic and amorphous C-dots from everyday activities.

Figure 2. a) GQ-dots derived via cage opening of fullerenes: i. Adsorption of C_{60} molecules to the terraces of Ru crystals; ii. Temperature dependent growth of GQ-dots with triangular and hexagonal equilibrium shape; iii. and iv. Scanning Tunneling Microscope images of the triangular and hexagonal GQ-dots, respectively. Reprinted with permission from Nature Nanotechnology (Reference 15). Copyright (2011) Macmillan Publishers Ltd.

b) GQ-dots produced by all-organic synthesis: i. structure of QC-dots; ii. bulky moieties chemically attached to the edges of the dot to enhance colloidal stability; iii. an energy-minimized configuration of QC-dot. Reprinted with permission from Nano Lett. (Reference 17). Copyright (2010) American Chemical Society.

Figure 3. a) A proposed mechanism for the production of gC-dots via oxidative splitting of a graphitic plane. Reprinted with permission from Nano Lett. (Reference 20). Copyright (2012) American Chemical Society.

b) A typical electrochemical cell used for the production of gC-dots. Reprinted with permission from J. Am. Chem. Soc. (Reference 24). Copyright (2009) American Chemical Society.

Figure 4. gC-dots derived by electrooxidation of a graphene film: a and b) TEM images, c) size distribution, d) AFM image on a Si substrate, e) The height profile along the line in d, f) XRD pattern compared to the initial graphene film, g) Raman spectrum, h) XPS spectra compared to the initial graphene film, i) C 1s peak compared to paternal film; the inset refers to the C 1s of g C-dot. Reprinted with permission from Adv. Mater. (Reference 29). Copyright (2011) John Wiley & Sons, Inc.

Figure 5. gC-dots derived by alkali-assisted electrooxidation of graphite rod: a) TEM image, b) fluorescent microscopy images with an excitation wavelength of 360 nm (scale bar: 50 nm), c–h) HRTEM images of typical nanoparticles with different diameters (scale bar: 2 nm), i) Raman spectrum. Reprinted with permission from *Angew. Chem. Int. Ed.* (Reference 25). Copyright (2010) John Wiley & Sons, Inc.

Figure 6. aC-dots derived by thermal treatment of octadecylammonium citrate salt: a) TEM images, b) size distribution, c) XRD pattern d) I.R. spectrum. Reprinted with permission from *Small* (Reference 49). Copyright (2008) John Wiley & Sons, Inc.

Figure 7. Progressive evolution of a series of photoactive spices based on controlled pyrolysis of a mixture of CA and ethanolamine. Reprinted with permission from *J. Am. Chem. Soc.* (Reference 57). Copyright (2012) American Chemical Society.

Figure 8. a) Typical sized gC-dots (derived from alkali assisted electrooxidation) optical images illuminated under white (left; daylight lamp) and UV light (right; 365 nm), b) PL spectra of typical sized gC-dots: the red, black, green, and blue lines are the PL spectra for blue-, green-, yellow-, and red-emission gC-dots, respectively, c) relationship between the gC-dot size and the PL properties, d) HOMO–LUMO gap dependence on the size of the graphitene fragment. Reprinted with permission from *Angew. Chem. Int. Ed.* (Reference 25). Copyright (2010) John Wiley & Sons, Inc.

Figure 9. Deconvolution of PL spectra of GO at various reduction times t_{red} : a) $t_{\text{red}}=0$ min b) $t_{\text{red}}=75$ min, c) $t_{\text{red}}=180$ min). d) schematic depiction of the evolution of sp^2 islands via chemical reduction of GO. Reprinted with permission from *Angew. Chem. Int. Ed.* (Reference 72). Copyright (2012) John Wiley & Sons, Inc.

Figure 10. The excitation wavelength dependent PL spectra of aqueous dispersions of: a) gC-dots (via electrooxidation of a graphene film) Reprinted with permission from *Adv. Mater.* (Reference 29). Copyright (2011) John Wiley & Sons, Inc. b) aC-dots (via pyrolytic

treatment of crude biomass). The excitation wavelength was varied from 350 to 600 nm with a fixed increment of 25 nm. Reprinted with permission from Green Chem. (Reference 44). Copyright (2012) Royal Society of Chemistry

Figure 11. Upconversion PL spectra of aqueous dispersions of: a) gC-dots (via alkali assisted electrooxidation). Reprinted with permission from Angew. Chem. Int. Ed. (Reference 25). Copyright (2010) John Wiley & Sons, Inc. b) aC-dots (via ultrasonic treatment of glucose). Reprinted with permission from Carbon (Reference 74). Copyright (2011) Elsevier.

Figure 12. Two-photon luminescence image of human breast cancer MCF-7 cells with internalized C-dots. Reprinted with permission from J. Am. Chem. Soc (Reference 76). Copyright (2007) American Chemical Society.

Figure 13. Viability of: a) Human cancer cells incubated with PEG₁₅₀₀- passivated gC-dots (black columns) compared to the passivation agent PEG₁₅₀₀ (white columns). Reprinted with permission from J. Phys. Chem. C (Reference 82). Copyright (2009) American Chemical Society. b) HeLa cells incubated for 24 h with polyethylenimine derived aC-dots. Reprinted with permission from Carbon (Reference 56), Copyright (2013) Elsevier.

Figure 14. Cyclic voltammograms (reference electrode Ag/AgCl) for Oxygen Reduction Reaction of: a) N-doped gC-dots and b) commercial Pt/C electrodes in N₂ and O₂ saturated 0.1M KOH and O₂ saturated 3M in CH₃OH. Reprinted with permission from J. Am. Chem. Soc. (Reference 63). Copyright (2012) American Chemical Society.

Figure 15. Schematic a) and energy band b) diagrams of the ITO/PEDOT:PSS/P3HT:GQDs/Al device. c) J – V characteristic curves for the ITO/PEDOT:PSS/P3HT/Al, ITO/PEDOT:PSS/P3HT:GQDs/Al and ITO/PEDOT:PSS/P3HT:GQDs/Al devices after annealing at 140 ° C for 10 min, single log scale. Reprinted with permission from Adv. Mater. (Reference 29) Copyright (2011) John Wiley & Sons, Inc.

Figure 16. AFM images of: a) P3HT/ANI-gC-dots; b) P3HT/ANI- gC-dots; c) MEH-PPV/MB- gC-dots; d) J-V curves of the photovoltaic devices based on ANI-gC-dots with different nanoparticle content compared to the best performing ANI-GS based counterpart annealed at 160 °C for 10 min, in AM 1.5G 100 mW illumination. Reprinted with permission from J. Am. Chem. Soc. (Reference 92). Copyright (2011) American Chemical Society.

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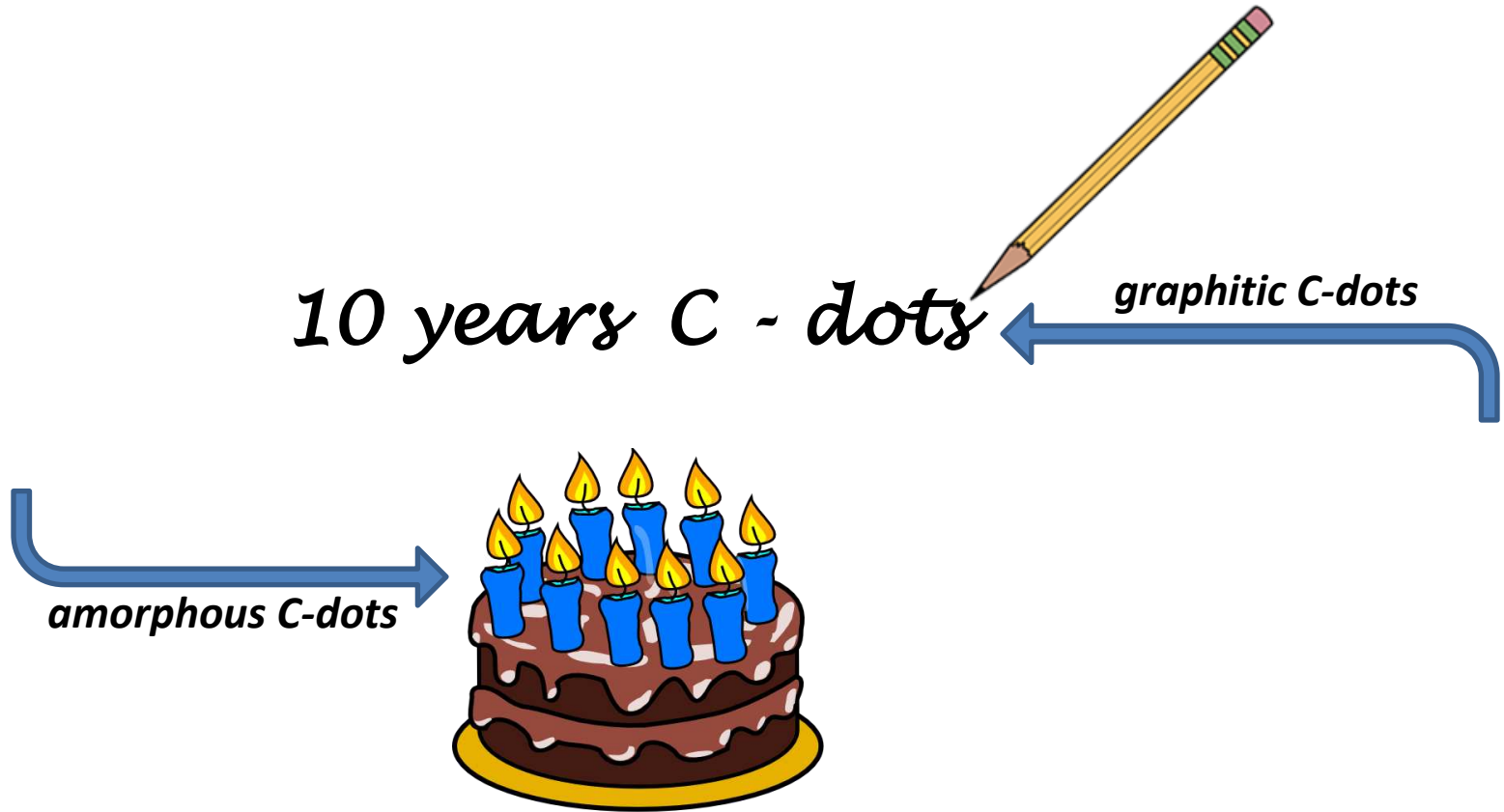
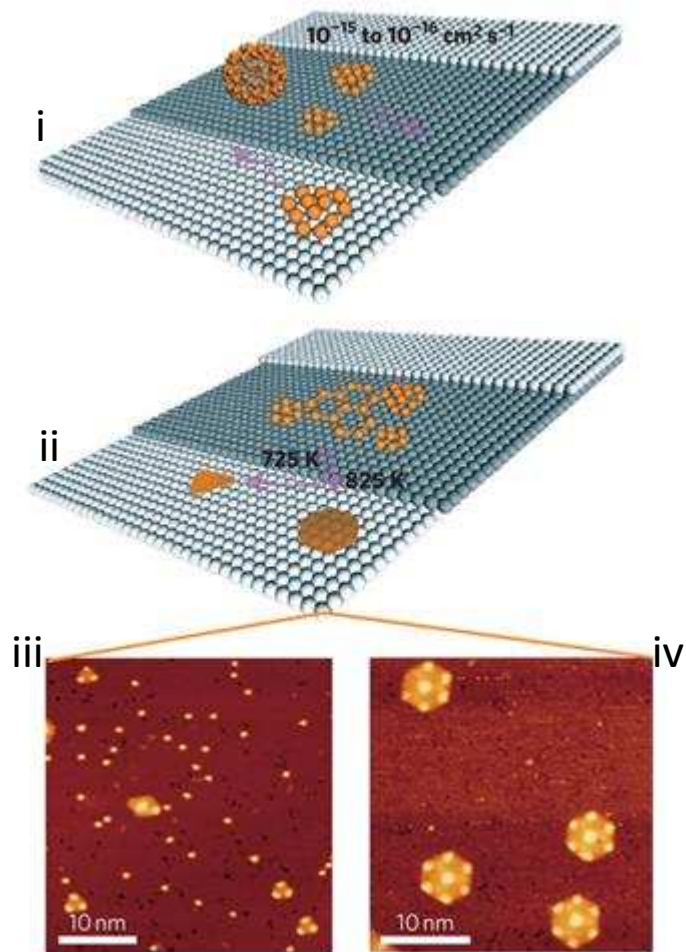
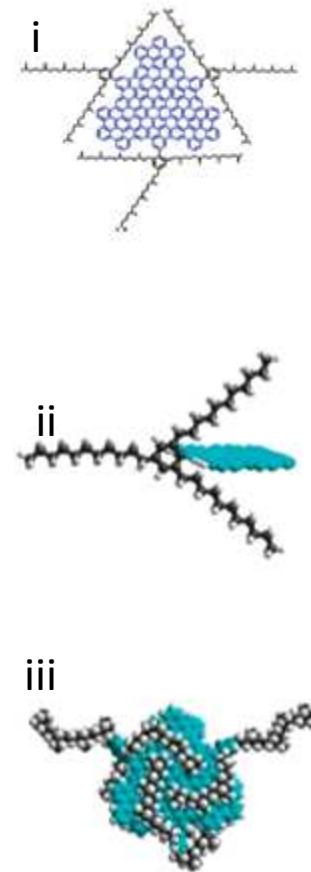


Figure 1



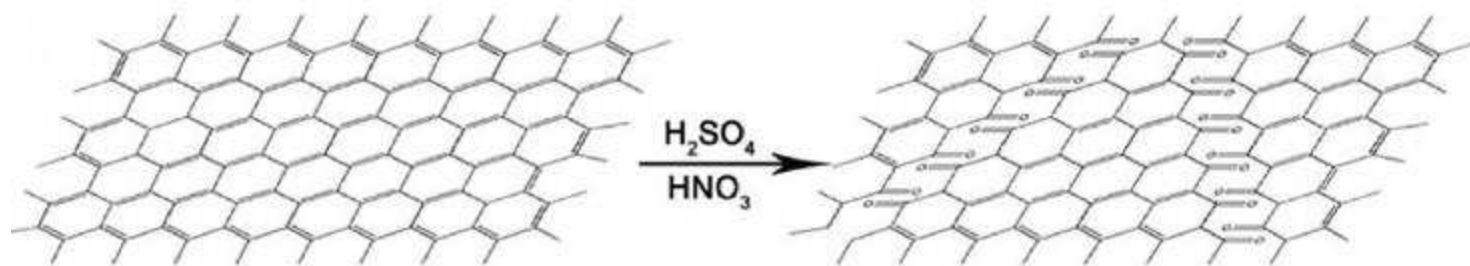
a



b

Figure 2

a



b

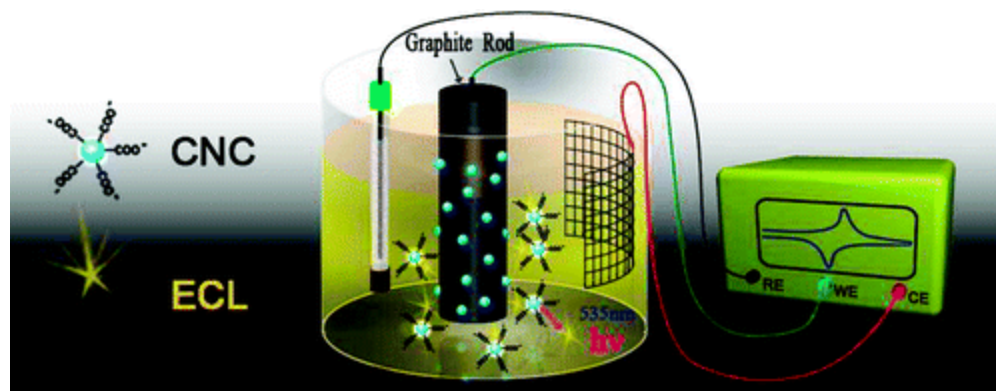


Figure 3

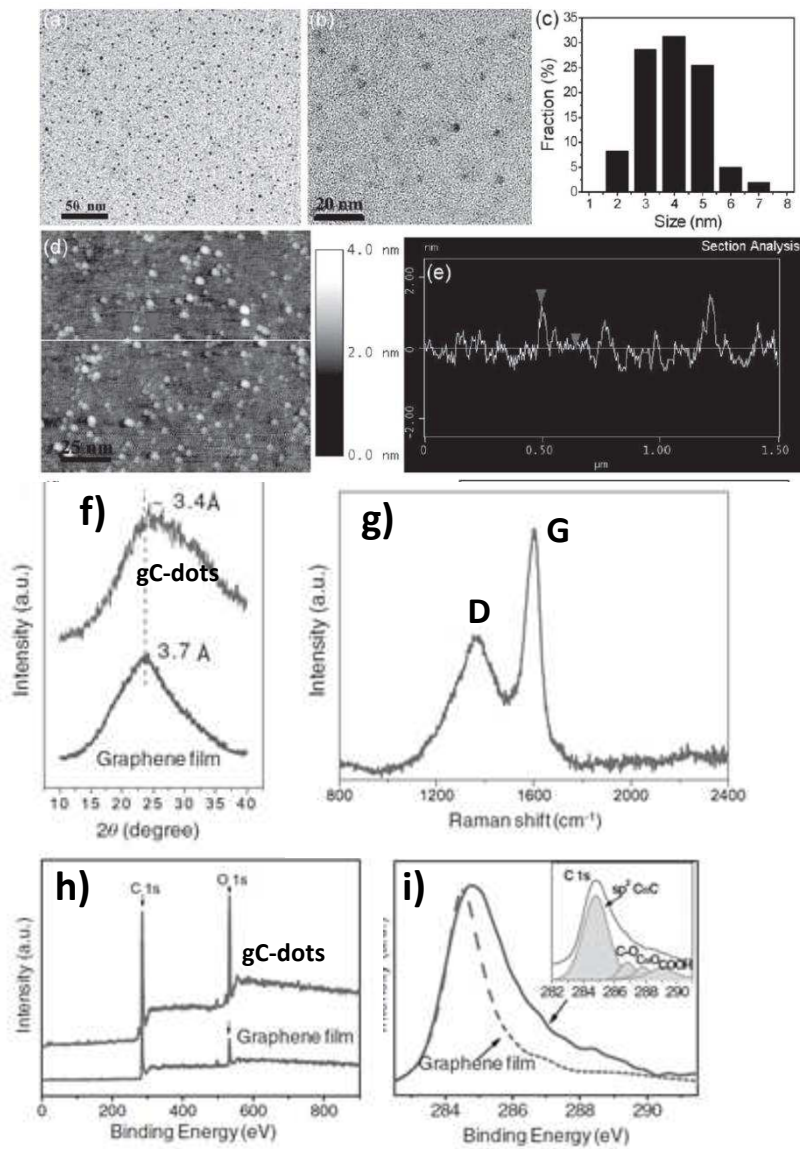


Figure 4

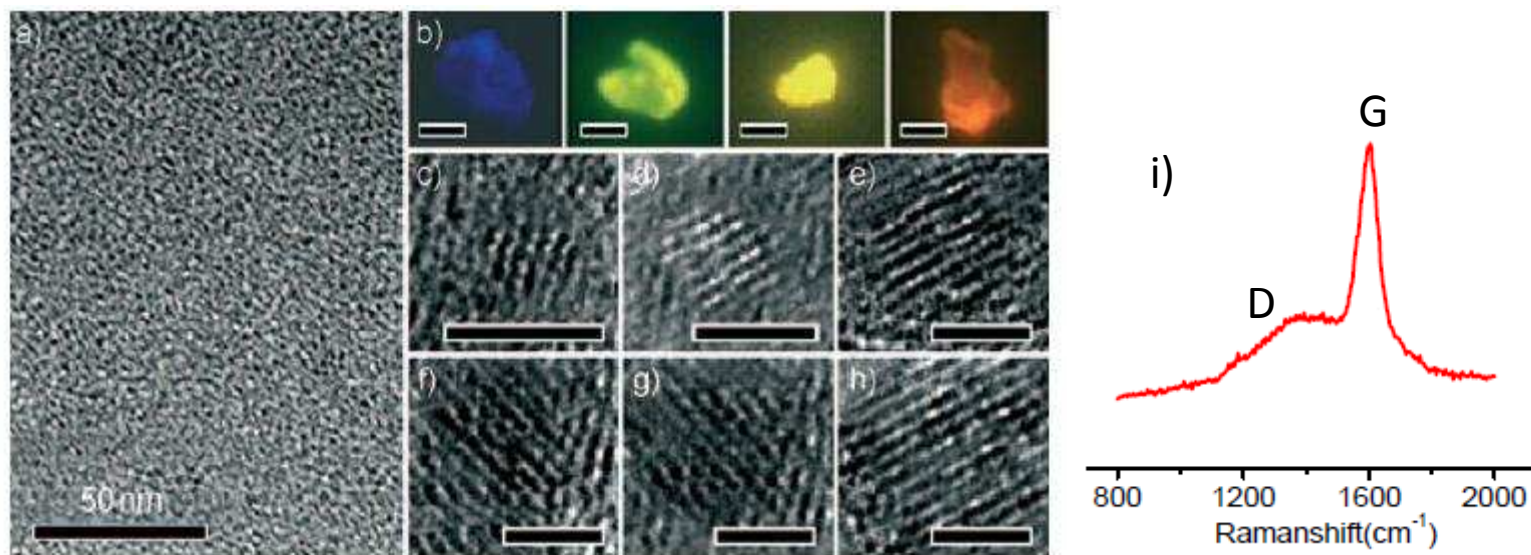


Figure 5

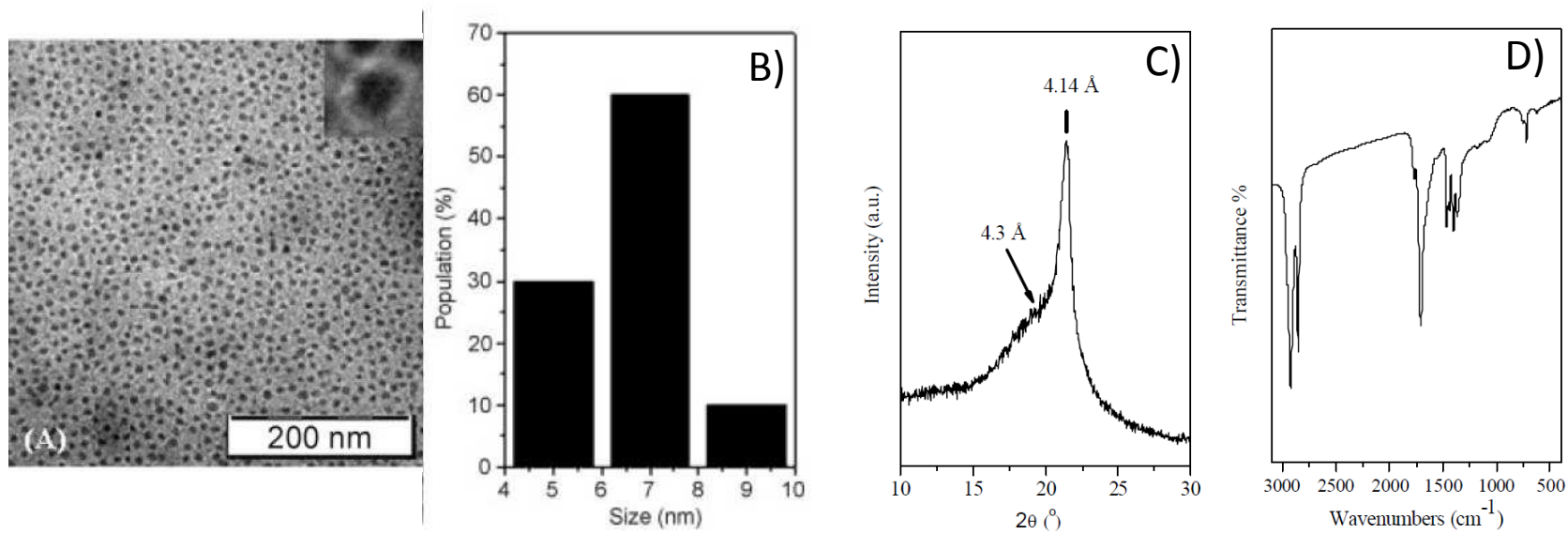


Figure 6

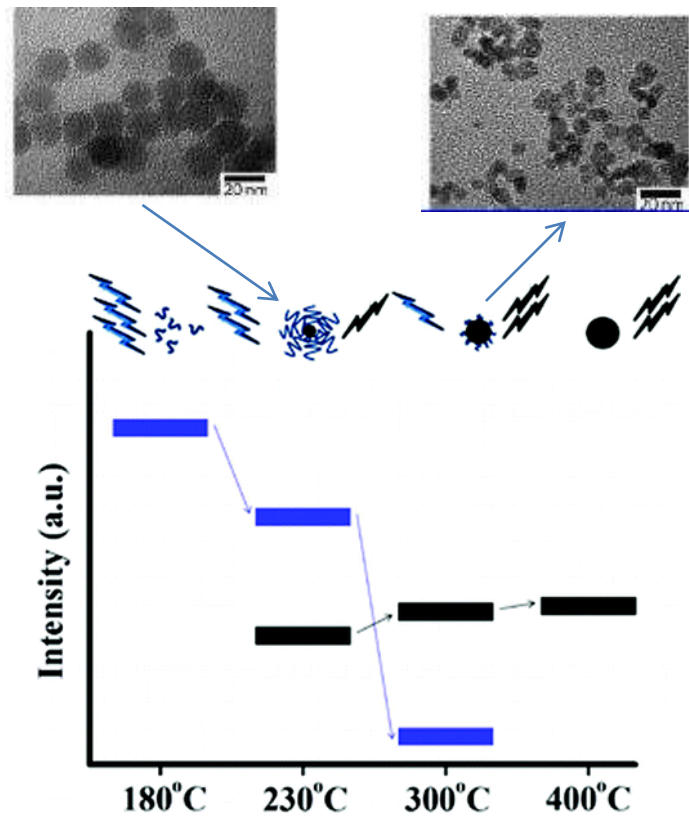


Figure 7

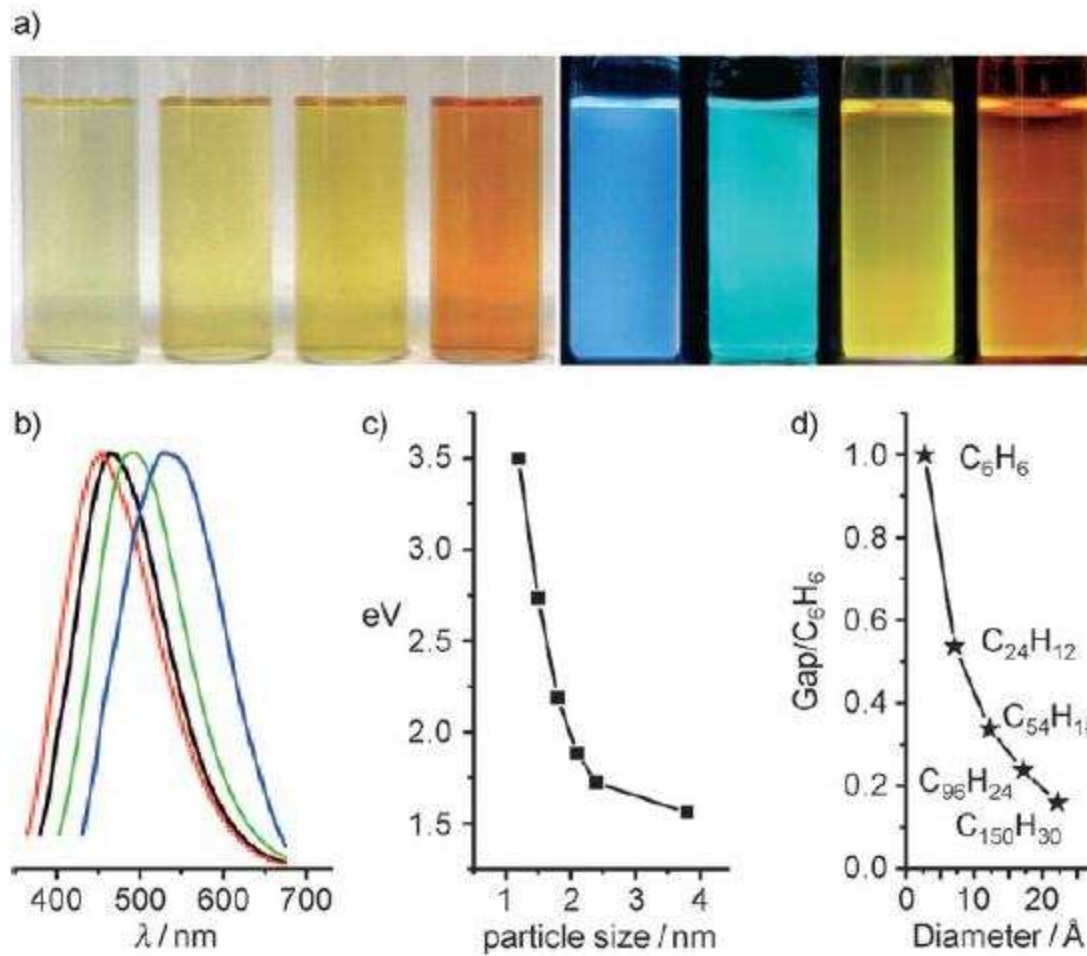
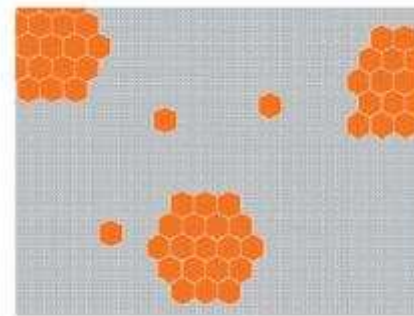
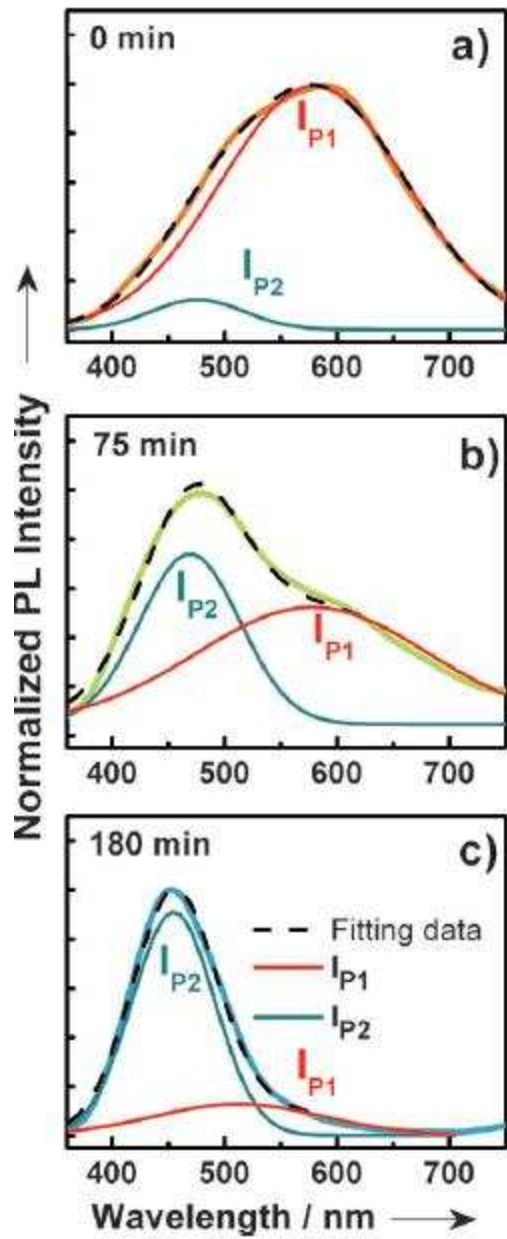


Figure 8



d)



reduction

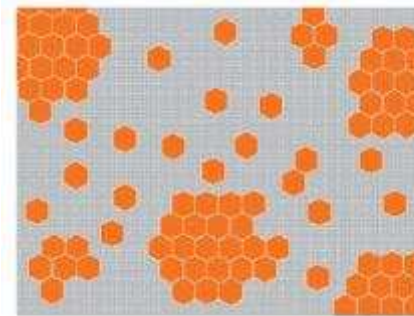


Figure 9

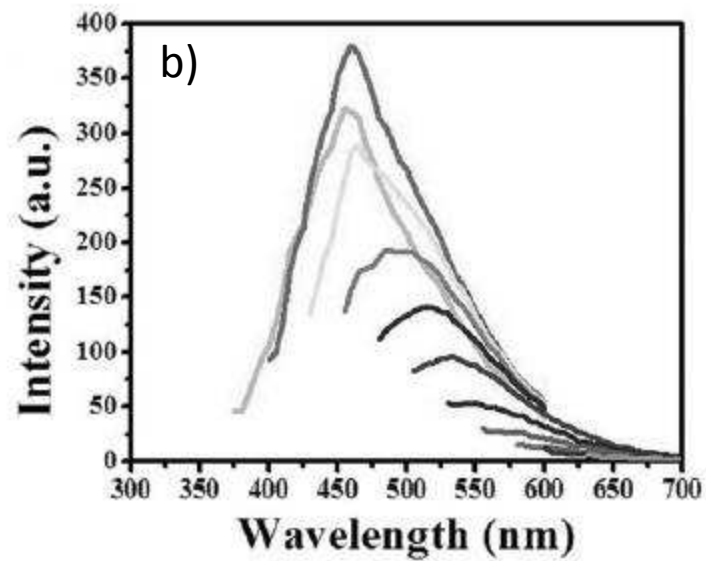
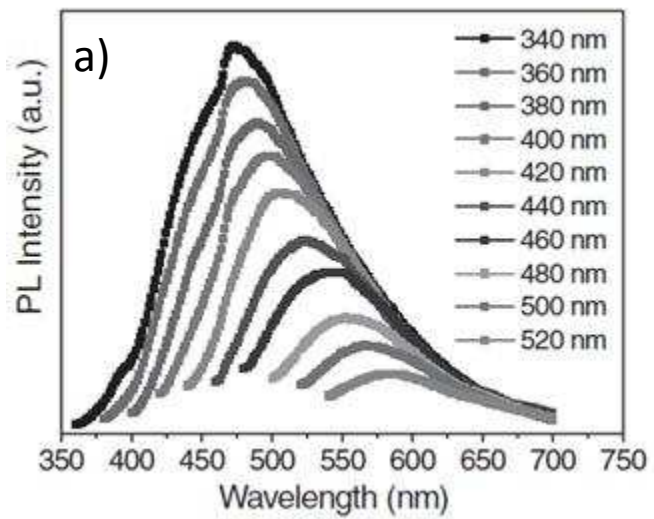


Figure 10

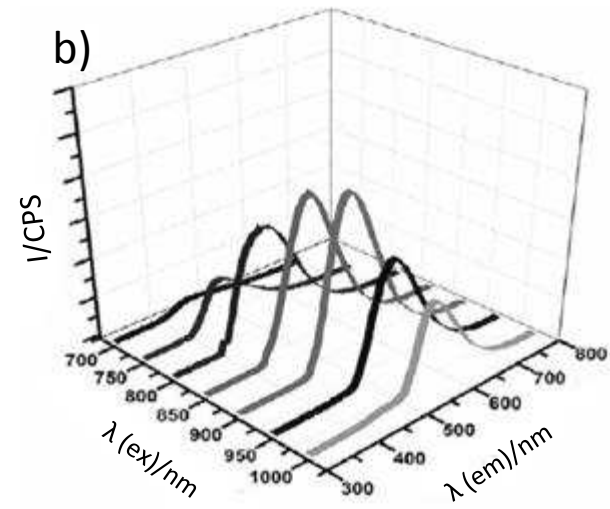
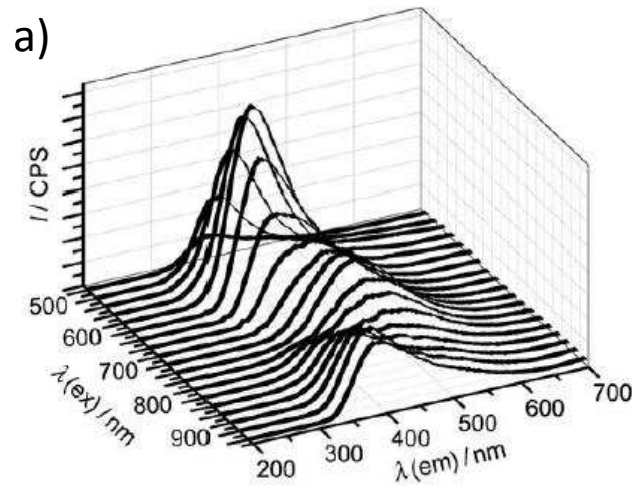


Figure 11

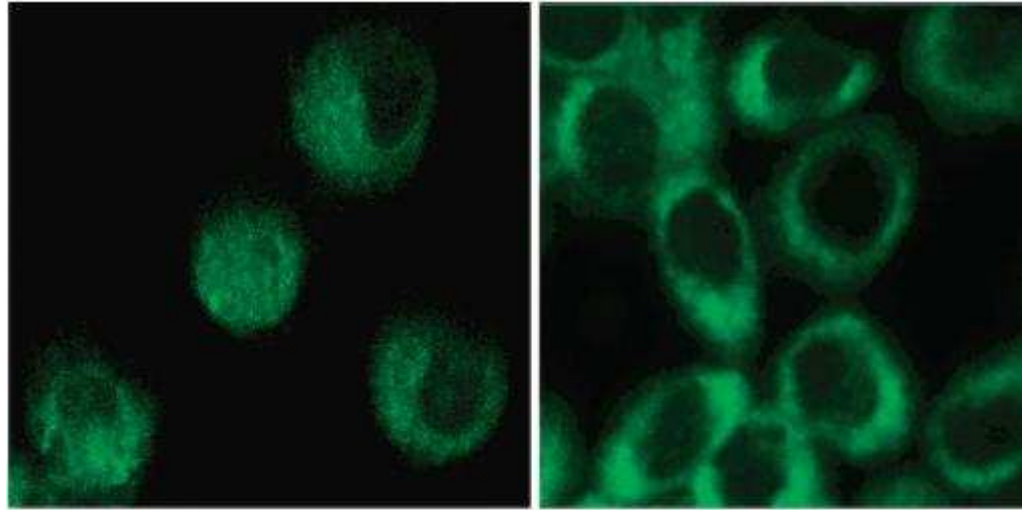


Figure 12

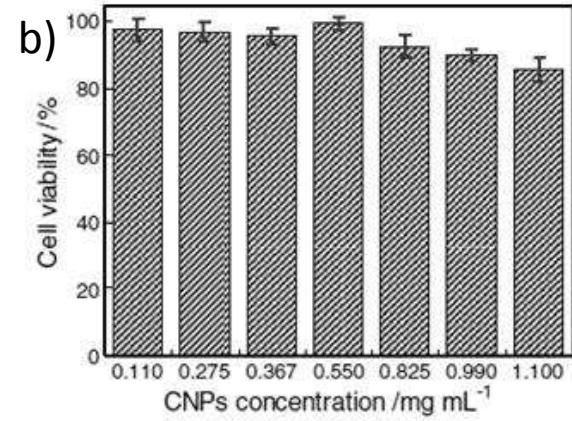
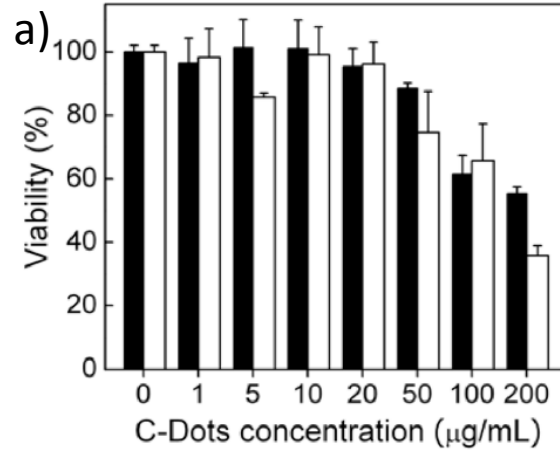


Figure 13

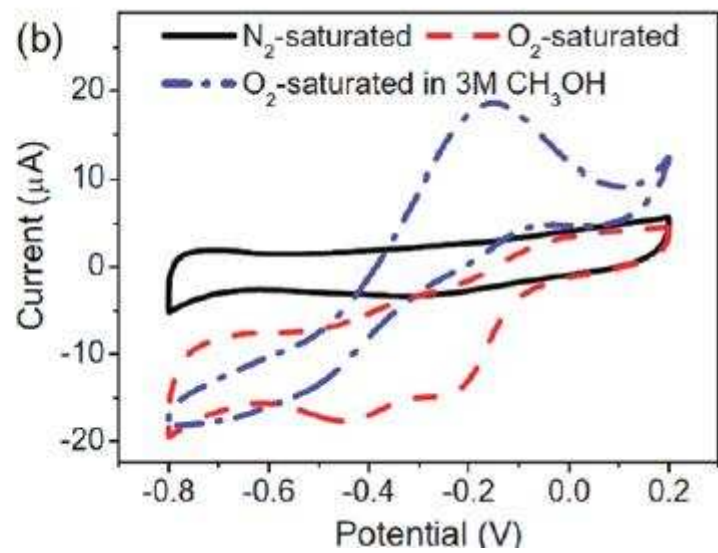
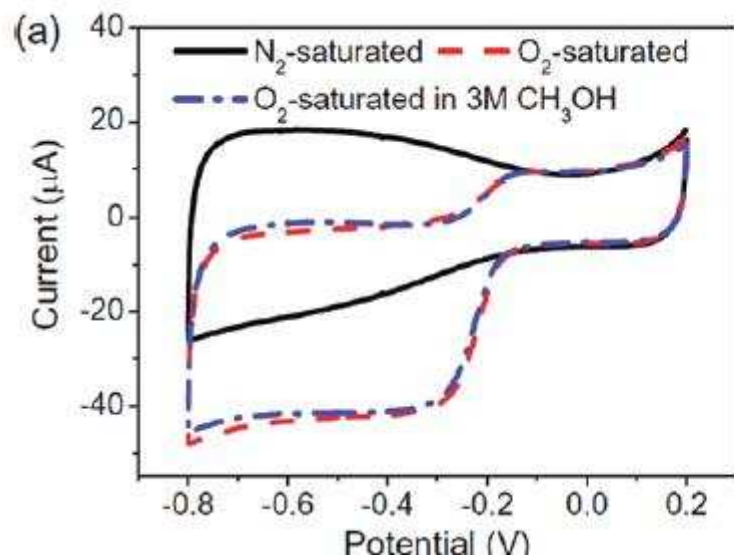


Figure 14

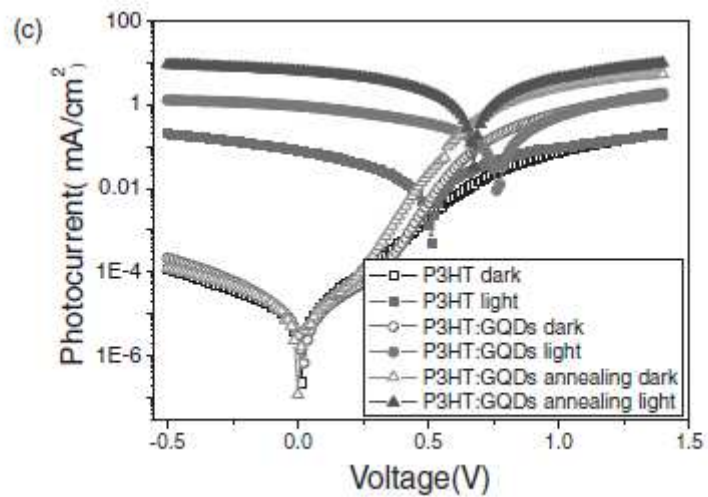
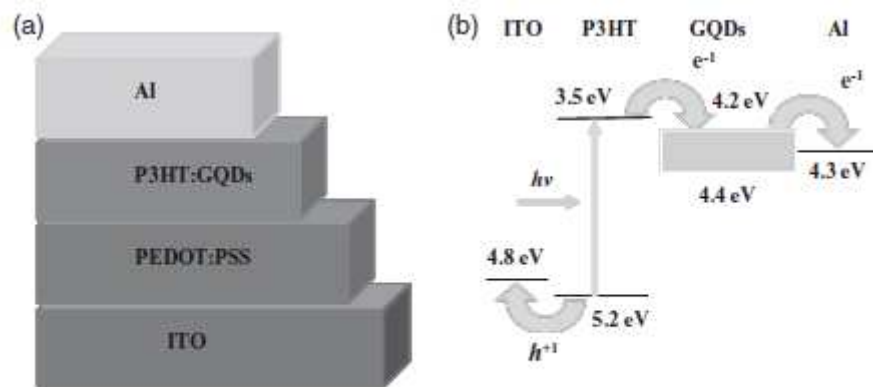


Figure 15

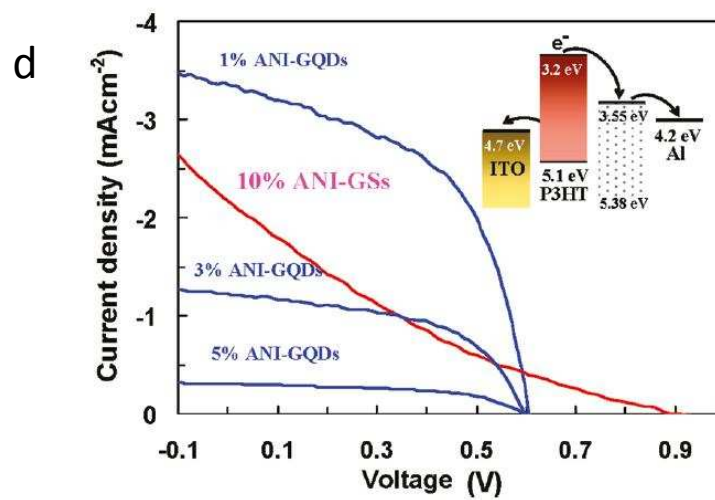
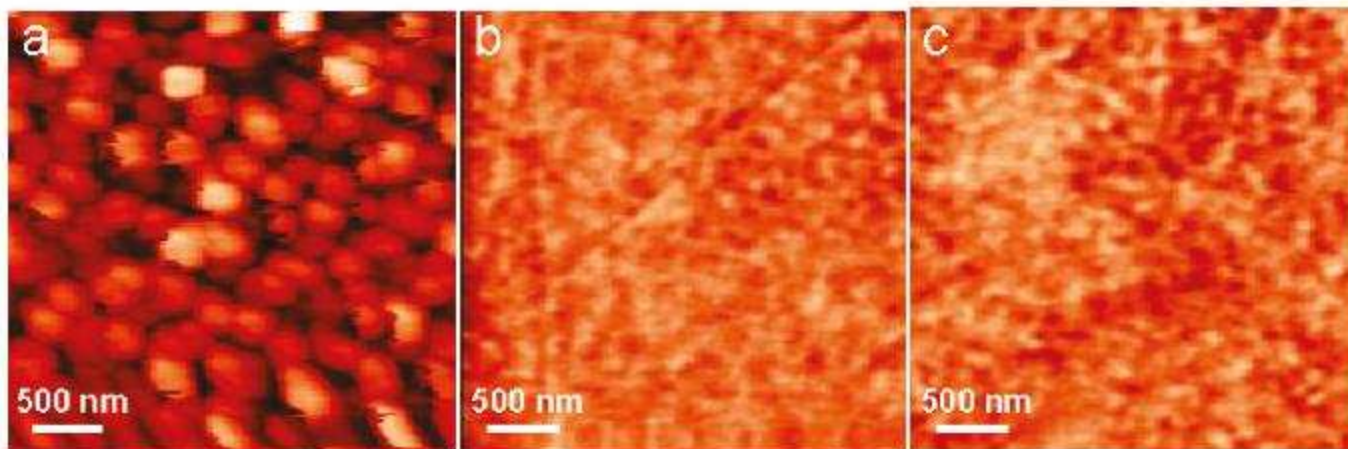


Figure 16