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6	Can oral infection be a risk factor for Alzheimer's disease?	
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20 ABSTRACT

Alzheimer's disease (AD) is a scourge of longevity that will drain enormous resources from 21 22 public health budgets in the future. Currently, there is no diagnostic biomarker and/or treatment for this most common form of dementia in humans. AD can be of early familial-23 24 onset or sporadic with a late-onset. Apart from the two main hallmarks, amyloid-beta and the 25 neurofibrillary tangles, inflammation is a characteristic feature of AD neuropathology. Inflammation may be caused by a local central nervous system insult and/or by peripheral 26 infections. Numerous microorganisms are suspected in AD brains ranging from bacteria 27 28 (mainly oral and non-oral Treponema species), viruses (Herpes simplex type I) and yeasts 29 (Candida species). A causal relationship between periodontal pathogens/non-oral Treponema species of bacteria has been proposed via the amyloid-beta and inflammatory links. 30 31 Periodontitis constitutes a peripheral oral infection that can provide the brain with intact 32 bacteria and virulence factors and inflammatory mediators due to daily, transient 33 bacteraemias. If and when genetic risk factors meet environmental risk factors in the brain, disease is expressed, in which neurocognition may be exacerbated impacted, leading to the 34 35 of dementia. To achieve the goal of finding a diagnostic biomarker and possible prophylactic treatment for AD, there is an initial need to solve the etiological puzzle contributing to its 36 37 pathogenesis. This review therefore addresses oral infection as the plausible aetiology of late onset AD (LOAD). the plausible aetiology of the late-onset AD being an oral infection. 38 39

- 40 Keywords: Alzheimer's disease; pathogenesis; microorganisms; oral bacteria; direct cause
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42	Alzheimer's disease (AD) is a neurodegenerative disease and the most common example of a
43	group of diseases that manifest as dementia. It is associated with atrophy and specific
44	neuronal death particularly in the hippocampal region of the brain (1). Research into AD
45	pathogenesis, has flagged two main categories of the disease. A: the familial onset onset
46	that-accounts for around 2% of all AD cases and the sporadic form of late-onset AD also
47	to as LOAD that constitutes approximately 98% of the cases. LOAD displays genetic
48	susceptibility traits of which the well-known risk factor is inheritance of the apolipoprotein
49	$(APOE \in 4)$ gene allele (2) and, appears to require an environmental factor for disease
50	expression. For example a pathogen-host interaction, can exacerbate neurocognition in some
51	elderly individuals who if in their 80+ years likely become diagnosed with LOAD (3, 4). The
52	rationale for this review therefore is to try to explain the aetiology in the vast proportion of
53	LOAD cases that relies upon common risk factors, and to date, several scientists
54	these to be peripheral infections (5-11), and the accompanying systemic and local
55	inflammatory mediators (11-13). Of these, the plausible risk from oral infection is the main
56	focus of this review.

58 PREVALENCE OF AD

AD is a secourge-burden of longevity resulting from the superior quality of health care 59 60 This factor is likely to contribute to quadrupling of AD subjects living in our society during the next 40 years (14). It is estimated that by 2050 about 13-14 million people are likely to 61 62 suffer from AD in the USA with a rise in the total costs estimated to be more than \$1 trillion. The odds of having a diagnosis of AD when over 85 years of age exceed 1:3 (15). One in six 63 people over 80 years in the UK have has dementia (16). Estimates for the prevalence of AD in 64 USA indicate that more than 5 million individuals who are 65 years or older currently suffer 65 66 from AD (1, 15). About 200,000 subjects have been diagnosed with the early-onset familial

AD form and health care costs for this disease are about \$200 billion per year (1). It is clear
that AD is fast becoming a major health challenge in the USA and around the globe that will
financially drain public health budgets and care giver services.

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71 NEUROPATHOLOGICAL CHARACTERISTICS OF THE AD BRAIN

72 The AD brain is characterized by several neuropathological features of which two seminal 73 hallmarks (Fig. 1) arise from proteostasis of the ongoing neurodegenerative processes and are essential for a definitive diagnosis of the disease at post mortem (17). One of the hallmark 74 75 proteins is made up of fibrils in the form of extracellular, insoluble plaques and consists primarily of amyloid-beta (A β) (18). The <u>se</u> peptide deposits in variable sizes depend upon the 76 77 secretase enzymes (α -, β -- and Υ -secretases) that cleave it from the longer amyloid precursor 78 protein (APP). Initial reports suggested fibrillar A β to be neurotoxic (19) as it has been shown 79 to kill all types of cells by apoptosis induction (20). However, there are two known insoluble fibrillar A\beta amyloid peptides comprised of A β_{40} and A β_{42} amino-acid residues as well as their 80 81 different which exhibit distinct physiological states within the human brain. There is a general 82 consensus among scientists that the larger (A β_{42}) peptide is the neurotoxic form as the ageing brain of cognitive intact individuals also displays A β plaques. However, in the cognitively 83 intact brain they are fewer in number and usually of the diffuse A β_{40} type that appears not to 84 bear any, as yet known, pathological significance in the elderly who age successfully. In 85 86 monomeric, dimeric and the multimeric forms of A β (21). The relative neurotoxicity of these isoforms remains unclear It is not clear as to which one of these is more neurotoxic (22). 87 88 More recently, the fibrillary forms of the A $\beta_{(40/42)}$ peptides released in the AD brain are were

also recognized as "defensin" or innate immune defense molecules that act to protect the hostagainst infection (23). For example, both of the aforementioned amyloidogenic peptides can

bind to bacterial membranes and in that way lyse bacterial cells. Although A β is acting as an 91 92 antimicrobial peptide (AMP), it may be a part of the brain's ancient/modern innate immune defense mechanism. AMPs are potent, broad-spectrum, pore-forming agents against-targeting 93 Gram-negative and Gram-positive bacteria, enveloped viruses and protozoans (23), thereby 94 supporting the hypothesis that AD has an infectious origin. 95 96 Furthermore, the senile plaques (A β_{42}) are recognized as triggers that stimulate activation of 97 98 microglial cells and initiate local immune responses (24). Activated microglia are the most important contributors of inflammation in the central nervous system (CNS) (25). They 99 100 secrete a number of proinflammatory cytokines (24-26) and recognize pattern associated 101 molecular patterns (PAMPs) on bacteria and their cellular debris (27-30) to deal with in 102 response to CNS infection. 103 The other pathological characteristic of AD is an accumulation of intracellular 104 hyperphosphorylated tau and heat shock proteins constituting the neurofibrillary tangles (NFTs). Hyperphosphorylated tau protein alters the polymerization and stability of 105 106 microtubules compromising their function (31). NFTs in AD reflect the severity of disease; however, the significance of pathogen-host interaction to the occurrence of NFTs in the AD 107 108 brain is poorly understood. Current genetic evidence is pointing to aberrant innate immune 109 responses (32, 33) and cholesterol lipid genes (see-34) having greater significance in AD 110 pathogenesis. A dysfunctional immune system and predisposition to hyperlipidaemia also 111 support the role of reduced blood flow due to the vascular lesions and inflammation, $A\beta$ 112 deposition and microorganisms in AD.

In advanced AD pathology, synaptic dysfunction is another structural defect associated with a
decline in memory (35-37). Although a circular argument, malnutrition plays a role in the
gradual loss of synapses and fewer teeth during life is a known risk factor for AD (38).

Neurons are capable of responding to injury by expressing multiple neurotransmitters. In AD,
selective loss of cholinergic neurons in the basal forebrain (39) also correlates with the loss of
cognitive function (18, 35).

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120 THE AMYLOID CASCADE HYPOTHESIS

121 Several hypotheses have been launched for advanced regarding the development of AD. The amyloid cascade hypothesis serves as a model particularly for the familial form of AD (40) 122 123 which is a disease caused by mutations involving the amyloid- β protein precursor, located on chromosome 21 and presenilin 1 and 2 on chromosomes 14 and 1 respectively that enhance 124 the APP gene processing towards A β deposition (41, 42). The model, which was first 125 proposed by Glenner and Wong (43), maintains that the neurodegenerative disease is due to 126 an imbalance between the generation and clearance of Aß. Genome wide association studies 127 128 (GWAS) highlighted the complement receptor 1 (CR1) gene playing a role in AD 129 pathogenesis (44). One recognized role of CR1, a membrane bound regulatory protein, is its 130 ability to bind C3b opsonins (Fig. 2). It is abundantly expressed especially on erythrocyte membranes and as such participates in immune complex clearance by transporting waste to 131 the liver and the spleen. As the CR1 gene is a risk factor for LOAD, this suggests loss of 132 function as a possibility for the defective clearance of $A\beta$ in the brain. Other tentative 133 134 explanations suggest variation in CR1 protein isoforms (longer and shorter forms) (45), 135 whereby the longer form is somehow negatively less involved in the disease process via its 136 ability to bind more C3b and facilitate more effective clearance of A_β in the brain (46). This 137 is a process that inevitably fails favouring disease expression with more AB proteostasis buildup and complement pathway activation. The amyloid hypothesis has been modified 138 several times, particularly due to the finding that soluble oligomers of AB may contribute to 139

early preclinical stages of the disease that initiate the cascade leading to synaptic dysfunction,atrophy and neuronal loss (47).

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143 THE INFLAMMATORY HYPOTHESIS

144 The intrinsic model

145 Currently there are two models of the inflammatory hypothesis of AD, an intrinsic and an

- 146 extrinsic. The intrinsic inflammation model accounts for the intact "blood-brain barrier"
- 147 (BBB) restricting entry of neurotoxic immune molecules and systemic lymphocytes to the
- 148 brain. As a consequence, the brain glial cells are able to generate a local and complete innate
- immune system when challenged by foreign agents (26, 48-50). Historically,
- 150 neuroinflammation has largely been viewed as being a downstream consequence of the
- amyloid hypothesis, whereby the presence of amyloidogenic peptides result in the activation
- 152 of microglia initiating pro-inflammatory cascades and the release of potentially neurotoxic
- substances resulting in degenerative changes in neurons. GWAS now implicates innate
- immune genes (44, 51) as being a risk factor and supports a primary role for the inflammatory
- elements of AD pathology via inappropriate activation of the complement system (52-54) in
- 156 association with A β plaques and NFTs (55).

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158 The extrinsic model

The extrinsic model accounts for communication of the glial cells with the immune challenges presented via the blood vascular system using the circumventricular organs and the choroid plexus that are devoid of the BBB (56). The cells from this region of the brain are fully equipped with the CD14 receptor and the toll-like receptor 4 (TLR 4) to <u>recognise_recognize</u> the peripheral blood circulation (27, 28). Hence, elements of systemic infections such as those

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originating from Gram-negative, highly virulent oral pathogens, bronchopneumonia and 164 165 urinary tract infections (3, 4, 7, 57, 58) reach all organs including the CNS. The consequences 166 products entering the bloodstream trigger the are that the innate immune responses of hosts' pattern recognition receptors (PPR) and TLRs via pattern recognition receptors (PRR) and 167 168 infectious threat by secreting to the threat of infection by secreting-immune mediators 169 agents. Increased risk of dementia in the elderly following multiple infectious episodes has been reported It is reported that multiple episodes of infections in the elderly likely end up 170 171 being diagnosed with dementia (4). In addition, systemic infections appear to contribute 172 towards delirium in some clinically diagnosed AD patients and such episodes can exacerbate 173 a premorbid cognitive status (3). Holmes et al. proposed that since cytokines are primary mediators released by the host to defend against infection, such secondary stimuli (IL-1ß and 174 175 TNF- α) may mediate their effect on the brain and indirectly contribute to cognitive decline (3, 176 57). 177

178 NON-ORAL BACTERIA RELATED TO AD

179	Honjo et al. (59) using Bradford Hill's criteria for assessing the relationship between bacteria
180	and disease found Chlamydophila pneumoniae to be a likely infectious agent related to the
181	pathogenesis of AD. Maheshwari and Eslick (60) reported a strong correlation between C.
182	pneumoniae and AD, and according to Shima et al. (61) C. pneumoniae is currently the most
183	plausible of all infectious agents proposed to be involved in AD. Lim et al. (62) suggested that
184	the pro- and chronic inflammatory states in AD pathogenesis may in part be due to C .
185	pneumoniae infection of monocytes. C. pneumoniae antibodies from typical intracellular and
186	atypical C. pneumoniae antigens have been identified both from typical intracellular and
187	of the brains from AD patients (63). Amyloid deposit and NFTs were detected in the same

regions in apposition to one another suggesting that *C. pneumoniae* infection is involved inthe development of AD pathology.

- 190 Using various techniques Balin et al. (9) found C. pneumoniae in 80-90% of LOAD brain 191 tissue specimens. C. pneumoniae infection was correlated with the APOEe4 allele expression. 192 The same researchers subsequently demonstrated that astroglia, microglia, neurons, 193 endothelial cells and monocytes in the LOAD brain are permissive to this bacterium. The mechanisms of pathogenesis differ between actively- and persistently-infecting chlamydiae 194 195 and it is in the persistent state that these organisms cause chronic disease (64, 65). C. pneumoniae was cultured from two AD brain samples after one or two passages in HEp-2 196 cells (66). Interestingly, the study indicated that brain isolates were more related to respiratory 197 198 than to vascular/atheroma strains of C. pneumoniae. This suggested that C. pneumoniae infection of the brain was secondary to bronchopneumonia and at the end stages of LOAD. 199 200 It has been suggested that the phages phiCPAR39 and phiCPG1, associated with C. pneumoniae, may enter mitochondria of the bacterial host and work as slow viruses initiating 201 AD (67). These authors hypothesized that mitochondrial recruitment by C. pneumoniae 202 203 phages may be the primary initiating event in the pathogenesis of neurodegenerative 204 disorders. 205 In a meta-analysis based on 25 relevant, primarily case-control studies Maheshwari and Eslick 206 (60) found a statistically significant association between AD and detectable evidence of infection caused by C. pneumoniae or spirochetes. They reported over a ten-fold increased 207 208 occurrence of AD when there was evidence of spirochetal infection (OR: 10.61; 95% CI: 3.38-33.29) and over a four-fold increased occurrence of AD with a conservative risk estimate 209 210 (OR: 4.45; 95% CI: 2.33-8.52). There was a five-fold increase in occurrence of AD with C.
- 211 pneumoniae infection (OR: 5.66; 95% CI: 1.83-17.51). Accordingly, a strongly positive

association between bacterial infection and AD was shown for both types of bacteria, but itwas strongest for spirochetes.

- It is generally accepted that the syphilis spirochete Treponema pallidum can cause chronic 214 215 neuropsychiatric disorders including dementia as well as other neurodegenerative disorders (11). T. pallidum causes brain atrophy and Aβ deposition in the atrophic form of general 216 217 paresis (68, 69) and is a strong indication for involvement of spirochetes in AD pathogenesis. Chronic diseases such as syphilis are frequently associated with deposition of amyloid (68, 218 219 69). Actually, amyloid is considered as <u>Amyloid is an integral part component of spirochetes</u> 220 which may contribute to amyloid deposition in AD (70). Syphilis accumulation of spirochetes 221 Spirochete accumulation in the cerebral cortex in the context of syphilis will also lead to 222 formation of senile plaques, NFTs and granulovacuolar degeneration (71). Miklossy (68, 69) analyzed data on the ability of spirochetes to induce pathological and 223 224 biological hallmarks of AD in vitro following Koch's and Hill's postulates and demonstrated 225 a plausible causal relationship between neurospirochetosis and AD. The data revealed a statistically significant association between spirochetes and AD ($P = 1.5 \times 1017$, OR = 20, 226 227 95% CI = 8-60, N = 247). When mammalian cells were exposed to spirochetes, the 228 pathological and biological hallmarks of AD were reproduced in vitro (68, 69). Miklossy (72) 229 also found that historical Historical observations supported the conclusion that-that 230 observations paved the way for drawing conclusions such as chronic spirochetal infections 231 can cause dementia and reproduce the neuropathological hallmarks of AD (72). According to 232 Miklossy (72), these observations represent further evidence in support of a causal 233 relationship between various spirochetal infections and AD. 234 Another spirochete also implicated in AD is, Borrelia burgdorferi, has also been implicated in
- AD. This is the causative agent of Lyme disease <u>which is which is transfected to humans via</u>

236	tick vectors through infected tick bites. There are great similarities in the clinical and
237	syphilis and Lyme disease (72, 73). The occurrence of <i>B. burgdorferi</i> in the brains of AD
238	patients was first reported by MacDonald and Miranda (74) and was confirmed later by
239	MacDonald (75, 76), Riviere et al. (5) and Miklossy et al. (77). Interestingly, Bu et al. (78)
240	found that the infectious burden consisting of B. burgdorferi, C. pneumoniae, Helicobacter
241	pylori, cytomegalovirus cytomegalo virus and Herpes simplex-1 (HSV-1) is associated with
242	Gutacker et al. (79) and Pappolla et al. (80) found no evidence for an association between <i>B</i> .
243	burgdorferi and AD.

244 Among other bacterial species, *H. pylori* alone (monoinfection) has been found to be related to AD (59). These authors suggested that AD pathology can be initiated and exacerbated by 245 246 some microorganisms with inflammatory and oxidative responses which may affect the brain continuously and gradually over time. However, the H. pylori status did not depend on was 247 not associated with AD in a study from Japan, probably due to the high prevalence of the 248 249 organism in controls (81). This was refuted by Kountouras et al. (82) who had previously 250 found that successful eradication of H. pylori infection was associated with significantly lower mortality risk in AD patients [HR (95% Cl)=0.287 (0.114-0.725), p=0.008] (83). 251

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253 ORAL BACTERIA RELATED TO AD

254	The oral cavity harbours an impressive range of bacterial phylotypes (84). Molecular	 Commented [PB3]: Should be harbors if American English is used. Be consistent throughout MS
255	identification methods have detected close to 900 different predominant bacterial species of	
256	which 35% cannot yet be cultured (85). The oral microbiome profiles appear to be	
257	individualized (86), meaning that bacterial microbiomes can vary both qualitatively and	
258	quantitatively between individuals, although there are also significant overlaps. Each	 Commented [PB4]: There are differences however there is also significant overlap.
259	individual can harbour harbor up to 200 different bacterial taxa in their mouth and there is a	

260	variation in the microbiota in different oral sites (84, 87). Furthermore, the composition of the	
261	oral microbiota irrespective of being indigenous or pathogenic in the oral cavity keeps	
262	changing in view of major oral diseases (caries, gingivitis, aggressive and chronic	
263	periodontitis, periodontal-endodontic lesions, peri-implantitis and mucositis) (88-94).	
264	Particularly plaque-induced oral diseases such as periodontitis are associated with a change in	
265	the oral microbiota. There is a predominance of anaerobic bacteria in the oral cavity. Many of	
266	the major periodontal microorganisms are anaerobic, e.g., Porphyromonas gingivalis,	
267	Treponema denticola and Tannerella forsythia. The abundance of anaerobes tend to increase	
268	with the development of plaque-induced oral diseases.	
269		
270	Deviadantal hastavial nother and valated to AD	
270	Periodontal bacterial pathogens are related to AD	
271	Major pathogens of chronic periodontitis such as P. gingivalis, T. forsythia, and T. denticola	
272	are implicated in the development of several inflammatory diseases at remote organ sites.	
273	Except for <i>T. forsythia</i> , all the above three of the above-named organisms of which <i>T</i> .	
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	Except for <i>T. forsythia</i> , all the above three $\underline{of the above-named}$ organisms of which <i>T</i> .	
274	Except for <i>T. forsythia</i> , all the above three <u>of the above-named</u> organisms of which <i>T. denticola</i> represents <u>a</u> spirochetes, have been found in the AD brain (5, 8). Spirochetes are	
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Commented [PB5]: Agree with reviewer that a photograph of spirochetes in brain tissue is advantageous.

AD including the oral varieties (*T. socranskii*, *T. pectinovorum*, *T. denticola*, *T. medium*, *T. amylovorum* and *T. maltophilum*) as demonstrated by Riviere et al. (5). Spirochetes
reproduced the biological and pathological hallmarks of AD after exposure of mammalian
neuronal and glial cells in organotypic cultures (68, 69).

288 It has been was demonstrated that LPS from periodontal bacteria can access the AD brain 289 during life as-while detection in corresponding controls, with equivalent or longer postmortem interval was absent (8). This study supports the literature on elevated antibodies to periodontal 290 291 disease-associated bacteria such as P. gingivalis, being found in AD patients (7). Furthermore, 292 in 2,355 people 60 years and over, the third NHANES study found associations between 293 periodontitis and cognitive impairment and between measures of immunoglobulin to P. 294 gingivalis and cognitive test performance (96, 97)-used cohort methodology analyzing serum 295 levels of antibodies to periodontal disease. All-In this study all participants were cognitively 296 intact at baseline. Those who went on to develop AD had higher levels of serum antibodies to 297 periodontal pathogens at baseline. This The study suggested suggested a temporal

298 periodontal disease came before AD.

299 Other important periodontal pathogens related to AD are Fusobacterium nucleatum and 300 Prevotella intermedia. In the NHANES study antibody Antibody-levels to these organisms 301 were significantly increased ($\alpha = 0.05$) at baseline serum in patients with AD compared to 302 controls (97). The results were significant after controlling for baseline age, Mini-Mental State Examination score, and allele APOEE4 status. Noble et al. (98) found that a high anti-303 Actinomyces naeslundii titer (> 640 ng/ml, present in 10% of the subjects) was associated 304 with increased risk of AD (HR=2.0, 95% CI: 1.1-3.8). This association was stronger after 305 306 adjusting for other significant titers (HR=3.1, 95%CI: 1.5-6.4) and confirmed that periodontal 307 pathogens can-may be associated with AD.

309	Possible consequences to the brain-of carrying oral bacterial pathogens
310	The fact that inflammation is sustained in the AD brain suggests that local immunogenic
311	hallmark proteins and/or peripheral infections are key perpetrators. This is supported by
312	reports highlighting microorganisms and their toxic products as well as DNA in brain tissue
313	of AD patients and experimental animals (see later below). Bacteria activate pathways that
314	include the integrin receptor CR3 (CD11b/CD18) and TLR signalling (99) and the
315	complement cascade (100). The NF-KB signalling pathway for cyto/chemokine release (TNF-
316	α , IL-8) (101) produces free radicals, nitric oxide <u>triggers</u> and apoptosis (102). The oral
317	cavity, lungs and gastrointestinal and urinary tracts are plausible sources of brain
318	microorganisms. The likely passage of the microorganisms of interest from their original sites
319	to the brain is described below.
320	Infections with spirochetes can cause cerebral hypoperfusion (103), cerebrovascular lesions
321	and a severely disturbed capillary network (68, 69). Chronic spirochetal infections can also
322	induce slowly progressive dementia, cortical atrophy, chronic inflammation and $A\beta$
323	deposition, which cannot be distinguished indistinguishable from that occurring in AD brains
324	(for reviews see 68, 69, 72). Furthermore, cultured neuronal cells exposed to spirochetes
325	produce A β (104). Spirochetes are also able to form plaque-, tangle- and curly fiber-like
326	lesions (72, 105). They induce a latent and slowly progressive infection by evading host
	defenses. This promotes their survivial and proliferation in the brain by blocking the
327	
328	complement cascade. Spirochetes may even survive and proliferate in hosts that are immune-
329	competent. By evading host's defenses, spirochetes induce a latent and slowly progressive
330	infection to promote their survival and proliferation in the brain and by blocking the
331	complement cascade spirochetes may survive and proliferate even in hosts that are immuno-
332	competent, Interestingly, the remarkable ability of <i>T. pallidum</i> to evade clearance from the

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Commented [PB6]: Awkward sentence Formatted: Font color: Red 333 immune system has earned it the designation "stealth pathogen" (106). Also an The activated 334 complement cascade can be seen following spirochete infections (11) which may be used as a non-specific marker of CNS inflammation. Spirochete-host interactions initiate and sustain 335 336 chronic inflammation triggering various immune responses that activate and end up with 337 various immune responses activating the innate and adaptive immune system, free radicals production, apoptosis and amyloid deposition typically seen in AD brains (107). 338 339 P. gingivalis has been designated as one of the "keystone" periodontal pathogens because it is 340 able to establish and maintain the periodontal disease-associated "inflammophillic" 341 microbiota (108). It is able to perform this task as it possesses an awesome variety of 342 virulence factors, recently reviewed by Singhrao et al. (109), to evade the host immune 343 defenses, thus serving two major functions: initially for survival of P. gingivalis itself via a 344 sustainable inflammatory milieu and then to satisfy its sustainment of nutritional sources by 345 eliminating microbial competitors needs and to stamp out competition (108). The P. gingivalis endotoxin LPS demonstrates differences in the number of phosphate groups 346 together with both the amount of lipid A fatty acids and their specific position. The presence 347 348 of multiple lipid A structures makes it more difficult for the innate host responses to recognise 349 recognize the molecule thereby aiding the virulence of P. gingivalis (110). The consequences 350 of finding P. gingivalis LPS in the host's body, e.g. the brain (8), are include priming of 351 cells for differential activation of the TLR-mediated NF-kB signalling pathway (111) leading to cytokine liberation, complement activation and maintenance of intracerebral inflammation. 352 353 P. gingivalis evades circulating phagocytes by adhering to erythrocytes (112). An active invasion of *P. gingivalis* and infection-induced complement activation with bystander neural 354 355 injury was detected in the brains of ApoE^{-/-} mice (113). This supported previous notions that bacterial infections can contribute to the development of AD pathology via mechanisms 356

involving acute phase proteins such as cytokines and the complement cascade where neuronswould be attacked.

359

360 ORAL VIRUS RELATED TO AD

361 Herpes simplex virus (HSV) is present in more than 70% of the population after 50 years age

362 (114-116). It persists latently in the peripheral nervous system and is periodically reactivated.

363 Characteristically, HSV-1 has been designated as the enemy within (10). Herpes viruses,

364 including Epstein-Barr virus and cytomegalo-virus, are found in high copy counts in

aggressive periodontitis, and may interact synergistically with periodontopathic bacteria in the

366 pathogenesis of this disease (117). <u>Periodontal infections activated by Herpes virus</u> Herpes

367 virus active periodontal infections may impair local host defenses and thus increase the

aggressiveness of resident periodontopathic bacteria. The bacteria, in turn, may augment thevirulence of the herpes viruses.

370 High proportions of viral-associated proteins in amyloid-containing plaques and/or NFTs

371 corroborate with the involvement of HSV-1 in AD pathology (118). This supports a study by

372 <u>Notably</u>, De Chiara et al. (119) who found reported an association between A β accumulation

in the brain and HSV infection. Itzhaki et al. (120) suggested that not only does HSV-1

374 produce the main components of amyloid plaques and NFTs (i.e. Aβ and

375 hyperphosphorylated tau), but it also interferes with the autophagic events that prevent

degradation of these proteins and eventually leading to their accumulation in the AD brain.

377 <u>Further, in vitro and in vivo investigations using mouse in murine models following HSV-1</u>

- 378 <u>demonstrated</u> $A\beta$ accumulation (121).
- 379 A number of scientists have suggested that there is imbalance between production and

clearance of β-amyloid in the brain, a thought premise first proposed by Wisniewski et al.

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381	on the discovery of soluble species of this protein and later confirmed by Zlokovic et al. (123)
382	(123)-to be the case. Thus it It is now widely accepted that defective clearance of this protein
383	brains that leads leading to its accumulation in the form of insoluble A $\beta_{40/42}$ plaques.
384	and cytomegalovirus have been detected in the brains of older adults with and without AD
385	(124-126), HSV-1 viral DNA is present in a higher proportion of AD patients (127). It is
386	particularly seen in the temporal and frontal cortices which are the brain regions that are most
387	damaged in AD (128, 129). The relevance of this association is still under investigation;
388	however a plausible role for the HSV-1 viral DNA could be in associated with the plaque
389	maturation process. Jamieson et al. (127) found that the virus was absent from the brains of
390	most young people, probably because it enters the brain during old age either when the
391	senescence (130) or the virus itself is initially responsible for weakening the host's immune
392	defenses first. This latter explanation is likely and is supported by us and others (131).
393	HSV-1 is a strong risk factor for AD in the brains of those with the APOEe4 allele (125, 132).
394	This virus is not only a dormant passenger but can persist in the latent form in neurons or
395	replicate at a very low level in neuroglia (133). During persistence it may release toxic
396	products continuously and induce pro-inflammatory cytokines at low levels which become an
397	additional burden to the a host who is already challenged by age, poor diet, failing restricted
398	exercise as well as any genetic susceptibilities. Itzaki and Wozniak (10) suggested that stress
399	or peripheral infection can reactivate the virus periodically from latency in the brain. This
400	may cause an acute but presumably localized infection, and subsequent damage modulated by
401	the <i>APO</i> ε gene can lead to formation of A β plaques and NFTs.
402	The presence of anti-HSV IgM, a sign of reactivated infection, almost doubled the risk for AD

while anti-HSV IgG did not influence the risk (134). Kobayashi et al. (135) suggested that the
anti-HSV-1 Ig antibody avidity index could be a useful biomarker for early diagnosis of

anamnestic mild cognitive impairment, which is prodromal to AD, as well as for ADsufferers.

Reactivation of HSV seropositivity is highly correlated with incident-AD (136). Letenneur et 407 408 al. (136) speculated that AD pathology starts many years before frank dementia and recurrent reactivation of HSV can act as a potent stimulus to brain microglia, increasing cytokine 409 410 levels, and triggering a positive feedback cycle leading to increasing accumulation of neurohistopathological changes. In other words, infection, followed by local CNS 411 412 inflammatory reaction is the likely primary occurrence-stimulus wheras proteostasis is a 413 consequence of the primary event leading to the development of AD. 414 Hill et al. (137) suggested a role for HSV-1-induced miRNA-146a in the evasion of HSV-1 415 from the complement system- which This which is a major first-line host defense mechanism, and the activation of key elements in the arachidonic acid cascade known to contribute to AD-416 type neuropathological changes. 417

418

419 ORAL YEASTS RELATED TO AD

420 Oral yeast infection is represents a secondary opportunistic infection disease of the diseased 421 where particularly involving Candida albicans, but increasingly also-non-albicans species, 422 e.g. Candida glabrata-are involved. With a growing population of elderly, severe systemic 423 fungal infections have increased dramatically in this age group during the last 30 years (138, 139). Oral yeasts can be found in periodontal pockets, in root canals, on the mucosae and 424 underneath dentures (denture stomatitis) (140-142). Denture stomatitis is prevalent in elderly 425 426 wearing dentures that are heavily contaminated with yeasts which can be a source of systemic 427 mycosis-(Fig. 3). Disseminated mycoses have recently been reported in AD patients (143,

428	144). Fungal molecules including proteins and polysaccharides $[(1,3)-\beta-glucan]$ were detected
429	in peripheral blood serum, and fungal proteins and DNA were demonstrated by PCR in brain
430	tissue of AD patients. Also chitinChitin-like fungal structures have also been found in the AD
431	brain (145) and chitinase activity has been proposed as a powerful biomarker of AD (146).
432	Immunohistochemical analyses revealed, albeit in a few cells, in In AD brains, containing
433	cytoplasmic material in a small number of cell cells were was targeted by antibodies with
434	immunoreactivity to that immunoreacted with antibodies raised against some-yeast cells
435	(147). These findings were consistent with the idea that neurons can be infected by fungi.
436	Interestingly, antifungal treatment reversed the clinical symptoms of some AD patients (148,
437	149).
438	
439	HOW DO ORAL MICROORGANISMS REACH THE BRAIN?
440	Blood stream dissemination
440 441	Blood stream dissemination The most likely <u>path</u> way <u>of for</u> dissemination <u>for of</u> oral microorganisms to the brain is
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441 442	The most likely <u>path</u> way <u>of for</u> dissemination <u>for of</u> oral microorganisms to the brain is through the blood stream (150). Dental <u>treatment</u> procedures as well as brushing, flossing,
441 442 443	The most likely <u>path</u> way <u>of for</u> dissemination <u>for of</u> oral microorganisms to the brain is through the blood stream (150). Dental <u>treatment procedures</u> as well as brushing, flossing, chewing and use of tooth picks in a patient with periodontitis will release a bacteraemia (151).
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441 442 443 444 445 446	The most likely <u>path</u> way of for dissemination for of oral microorganisms to the brain is through the blood stream (150). Dental <u>treatment procedures</u> as well as brushing, flossing, chewing and use of tooth picks in a patient with periodontitis will release a bacteraemia (151). This can occur several times during the day and has been estimated to last for up to 3 hours for oral bacteria (152). The bacteraemia is usually taken care of contained by immune cells of the body. However, in people with reduced immune defense, e.g. older individuals, bacteria
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 441 442 443 444 445 446 447 448 	The most likely <u>path</u> way of for dissemination for <u>of</u> oral microorganisms to the brain is through the blood stream (150). Dental <u>treatment</u> procedures as well as brushing, flossing, chewing and use of tooth picks in a patient with periodontitis will release a bacteraemia (151). This can occur several times during the day and has been estimated to last for up to 3 hours for oral bacteria (152). The bacteraemia is usually taken care of <u>contained</u> by immune cells of the body. However, in people with reduced immune defense, e.g. older individuals, bacteria may settle down within localize to crevices of the oral cavity and vascular channels (150). The blood- brain barrier

Commented [PB9]: chewing while eating? Formatted: Font color: Red, Strikethrough Commented [PB10]: also in the general population. Although not on a regular basis, tooth extraction provides a huge bolus of bacteria.

452	responses that weakened the BBB (16). Actually Notably, magnetic resonance imaging (MRI)
453	confirmed loss of BBB integrity in a mouse model of disseminated candidosis (153). Loss of
454	integrity allows microorganisms to spread through the blood stream and quietly contribute in
455	the pathogenesis of AD. During immunosenescence, the innate immune system gradually
456	takes over for the acquired immune system. This contributes to a rise in circulating
457	proinflammatory cytokines such as TNF- α (16). Indeed, proinflammatory mediators can
458	cross the BBB (3, 7, 154). APOEε4, TNF-α and perhaps Ephrin Type-A Receptor 1 (EphA1)
459	may influence BBB integrity and thus be important for penetration of bacteria, LPS and other
460	toxic bacterial products as well as yeasts into the brains of AD patients (16)APOEe4 affects
461	the integrity of the BBB by activating the cyclophilin A matrix metalloproteinase MM-9
462	pathway (155).
463	It is also plausible to suggest that the permeability of the BBB increases with age and thus
464	promotes AD pathogenesis making the brain accessible to microorganisms. Mice with a
465	mutation in the amyloid precursor protein gene which is related to early-onset AD in man,
466	showed increased permeability of the BBB and increased formation of senile plaque as
467	compared to control mice (156). The changes increased with age.
468	
469	Circumventricular organs and perivascular spaces
470	Circumventricular organs (permit polypeptide hypothalamic hormones to leave the brain
471	without disrupting the BBB) are not dependent on the BBB (56) and may act as another entry
472	portal to the brain for bacteria (157). Poole et al. (8) postulated that bacteria and their
473	products may also directly access the brain via the systemic circulation through the
474	perivascular spaces.

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476 The olfactory hypothesis

The "olfactory hypothesis" suggests the olfactory tract as a potential route for pathogenic
bacteria to enter the brain and thereby trigger the production of Aβ and NFTs (158). The
olfactory and trigeminal nerves are known to be used by periodontal pathogens to bypass the
BBB for direct passage to the CNS (5, 150, 159, 160). Identification of oral treponemes in the
trigeminal ganglia supports such a route of dissemination (5). Further, sSpirochetes may also
spread along the fila olfactoria and tractus olfactorius (68, 69).

Olfactory unsheathing cells (OECs) engulf bacteria and migrate towards TNF- α released by activated astrocytes (161). Therefore, OECs could be a vehicle for transporting live bacteria to the brain (i.e., Trojan horse). The olfactory bulb was the first area where NFTs and A β deposition were detected in the neuropathological trajectory of AD in humans (162) and in mouse models of AD (163).

488

GENETIC, NUTRITIONAL AND ENVIRONMENTAL FACTORS PROMOTING AD 489 While early-onset AD is genetically determined, LOAD is thought to result from interaction 490 between genetic and environmental factors (12). Several mutated genes are associated with 491 492 the familial AD, such as the amyloid beta (A β) precursor protein (A β PP) gene and the presenelin-1 (PSEN-1) and PSEN-2 gene (164-166). A major risk factor for LOAD is 493 494 polymorphism in the APOE4 allele (2). Also cytokine-related genes seem to be involved in the 495 susceptibility to inflammation in both LOAD (167, 168) and periodontitis (169-171). Thus, 496 polymorphisms that increase TNF- α also increase the risk of both AD and periodontitis (172, 497 173). Lambert et al. (174) found that 20 different loci can increase host susceptibility to AD including polymorphisms in genes associated with interleukin-1 (IL-1) (71, 175-178) and 498 TNFa (71, 172, 179-181). The APOE4 gene which is one of these 20 loci is highly correlated 499

500	with AD (182) but it is also a risk factor for infection and increases the expression of
501	inflammatory mediators (11). Recently, genetic overlap between AD, C-reactive protein
502	(CRP) and plasma lipids was demonstrated by using summary statistics from GWAS of over
503	200,000 individuals (183). There may also be interplay between genetic risk and
504	environmental risk factors such as toxins and or bacterial, viral and fungal pathogens in
505	LOAD reflecting its complex and multifactorial etiology (1).
506	Diet with its content of essential B-vitamins, phospholipids and other micronutrients are
507	important for forming new nerve synapses (184). Nutritional deficiencies are common both in
508	elderly and in dementia subjects as briefly discussed by Singhrao et al. (150).
509	
510	ASSOCIATION BETWEEN CHRONIC PERIODONTAL DISEASE AND AD
511	There is increasing evidence for an association between chronic periodontitis and LOAD
512	(185). Cross-sectional and longitudinal studies have demonstrated that gingival bleeding, loss
513	of periodontal attachment, periodontal probing depth, alveolar bone loss and antibodies to
514	periodontal pathogens are significantly associated with lower cognitive function and decline
515	after adjustment for co-variates (for a review see (12)). Acute phase proteins, including
516	cytokines are possible indirect links between periodontal pathogens and/or their virulence
517	factors (12, 13). Elderly often show neglect of oral hygiene (Figs. 3-5)-which can stimulate
518	recurrent chronic oral infection (150). This again promotes inflammation which can lead to
519	confusion and dementia (3, 4, 154). In 152 subjects 50-70 years of age who were followed for
520	20 years, greater levels of periodontal inflammation correlated with lower cognitive levels
521	(186). Furthermore, gingival bleeding and loss of periodontal attachment apparatus-were
522	associated with cognitive impairment in a cohort of 5,138 people aged 20-59 years (187). In
523	144 nuns, those with encoding APOEE4 and who had fewer teeth had experienced more rapid

decline than those with neither or either of these risk factors (188). Clinical and 524 525 epidemiological studies showed that loss of teeth is associated with poor memory (6, 96, 187, 526 189). In another study with of 597 community dwelling men followed for 32 years, tooth loss, increasing periodontal pockets depths and progression of alveolar bone loss were associated 527 528 with impaired cognition particularly in those over 45 years of age (190). Recently, de Souza Rolim et al. (191) found that periodontal infections were more frequent in patients with mild 529 530 AD than in healthy subjects. Another interesting feature related to the pathogenesis of AD is the low level of infection by "commensals on the loose" (16). These "immuno-tolerated" 531 532 bacteria may silently multiply in sites outside of their primary niche and an ongoing illness 533 at their secondary location may have significant deleterious effects upon the health of the elderly or demented host with an existing immunocompromised status. 534

535

536 PUTATIVE TREATMENT AND PROPHYLAXIS OF AD

537 There is no effective treatment or prophylaxis yet for AD, but several approaches have been

538 proposed. Efforts in this respect are important. If we could delay onset of dementia by only 2

539 years we might lower the prevalence of AD by more than 22 million cases over the next 40

540 years (14). Indeed, delaying the disease process is a better option as the Notabley Notably, the

541 of the APOEɛ4 allele in the very old (90+) age group, appears to confer protection (192),

542 having bypassed a period of being at risk around 85+ years of age.

543 If periodontal disease is implicated in AD, periodontitis prophylaxis should be feasible could

544 <u>be of help</u>. It would be interesting to see if this has any effect on the initiation and aggravation

- of AD but an observation period of decennia is probably needed.
- 546 In a study of subjects with mild to moderate AD, a A-3-month course of doxycycline and
- 547 rifampicin reduced cognitive deterioration in-<u>during</u> a 6 months' follow-up follow-up interval

Commented [PB12]: This is a bit confusing. Please clarify Formatted: English (United States)

23

Commented [PB13]: Are there other antibiotic studies. It would make sense that other individuals who had long term antibiotic use (for other reasons) would perhaps be at lower risk for AD.

548	study in subjects with mild to moderate AD-(193). It was concluded that use of antibacterial
549	the treatment of <i>C. pneumoniae</i> but had a beneficial effect on cognitive decline in AD (193).
550	This might be related to prevention or attenuation of a number of peripheral infections or
551	dampening down the proinflammatory cytokine response. Minicycline Minocycline was found
552	early, pre-plaque neuroinflammation and inhibit the APP cleaving enzyme 1 (BACE-1) in a
553	transgenic model of Alzheimer's disease-like amyloid pathology (194). It was suggested that
554	interfering with inflammation could be a useful therapeutic approach in early, pre-plaque
555	stages of AD-like amyloid pathology.
556	Anti-inflammatory drugs given for at least 2 years before the onset of dementia delayed the
557	disease process (194195-196197). It may also be beneficial to combine anti-inflammatory
558	antibacterials (193). Examination of several available Non-steroidal Anti-Inflammatory Drugs
559	(NSAIDs) showed that only a few of them had any useful A β -modifying or other activity of
560	therapeutic use in LOAD (for a review see (1)).
560 561	therapeutic use in LOAD (for a review see (1)). Itzhaki and Wozniak (10, 197 <u>198</u>) suggested that antiviral therapy and perhaps vaccination
	-
561	Itzhaki and Wozniak (10, 197198) suggested that antiviral therapy and perhaps vaccination
561 562	Itzhaki and Wozniak (10, 197 <u>198</u>) suggested that antiviral therapy and perhaps vaccination against HSV-1 in early life could be useful. If HSV-1 is implicated in AD, vaccination could
561 562 563	Itzhaki and Wozniak (10, 197198) suggested that antiviral therapy and perhaps vaccination against HSV-1 in early life could be useful. If HSV-1 is implicated in AD, vaccination could prevent the excessive accumulation of A β in the brain. Vaccination with mixed HSV
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572	Angiotensin-converting enzyme (ACE) from <i>Stigmatella aurantiaca</i> may cleave the $A\beta$
573	peptide similar to human ACE and may be used as a novel form of treatment against AD
574	(201202). Furthermore, Chiarini et al. (202203) maintained that calcilytics could halt AD
575	progression and preserve the patients' cortical neurons, cognitive abilities, and eventually life
576	if given at minimal cognitive impairment or at earlier stages. Studies from using mice
577	suggested the use of tau aggregation inhibitors as potential drugs for the treatment of AD and
578	other tauopathies (203204).

Resveratrol is a polyphenol present in red wine. Its capability of directly interfering with the 579 580 toxic β -amyloid protein aggregation in AD has recently been shown (204205). Resveratrol 581 was found to reduce Aβ-induced toxicity in a Caenorhabditis elegans model of AD by targeting specific proteins involved in proteostasis and thereby reducing the amount of 582 583 aggregated A β (205206). This is in concert with our previous finding that the effect of a 584 drinking pattern of 2-7 times per week reduced the risk of myocardial infarction among men 585 who had a history of tooth extractions due to periodontal/dental infection (206207). 586 Potent inhibitors of AB oligomer formation or AB-induced cell toxicity have proven to be 587 attractive means for therapeutic intervention of AD. Song et al. (207208) found that the anti-588 Alzheimer effects of centipedegrass, which contains several C-glycosyl flavone constituents, 589 occurred through inhibition of neuronal cell death by intervening with oligomeric AB 590 formation and reducing beta-site amyloid precursor protein cleaving enzyme 1 (BACE1) activity. The authors suggested that Maysin, a major flavonoid of corn silk, in centipedegrass 591 could be an excellent therapeutic candidate for the prevention of AD. 592 Active immunization against important domains of Alzheimer tau eliminated tau aggregation 593

- and neurofibrillary pathology (208209). The AD type of tau hyperphosphorylation was
- abolished in transgenic mice by vaccination across a wide range of AD phospho-epitopes.

Kontsekova et al. (208209) demonstrated that active immunization of rats with a tau peptide
encompassing the epitope revealed by monoclonal antibody DC8E8 led to elimination of all
major hallmarks of neurofibrillary pathology involving a 95% reduction in the AD-type
hyperphosphorylation of tau.

600

601 CONCLUSIONS

602 LOAD which is the predominant form of AD, does not seem to have a single cause. On the

603 contrary, a multitude of factors may be involved and they may act in concert. Of these Among

- 604 <u>others</u>, both genetic and environmental factors may be involved. Even among
- 605 co<u>operation</u>, may occur since the brain can hardly differentiate between different
- 606 microbial insults which <u>collectively contribute capacity for enhancing all end up in</u>
- 607 Irrespective of the cause, systemic inflammation may predict the onset of dementia.

608 Organisms such as spirochetes, P. gingivalis, C. pneumoniae, H. pylori, <u>H</u>herpes simplex type

- 609 virus and *Candida* are among the prime candidate pathogens the most suspected pathogens in
- 610 events causing AD, oral microorganisms may play a role, particularly anaerobic bacteria such
- as treponemes, *P. gingivalis, Prevotella* spp., *Fusobacterium* and *Actinomyces*, but also
- 612 facultative anaerobic *Candida* species. It is important to recognize that infection can occur
- 613 decades before the manifestation of dementia. The most convincing evidence for a causal
- 614 relationship between oral bacteria and AD is that <u>noted</u> for spirochetes which are both
- 615 neurotropic and motile. They also fulfill Koch's and Hill's postulates for a causal relationship.
- 616 It is likely that oral infection can be a risk factor for Alzheimer's disease but it is not the only
- 617 one. Experiments in humans *in vive* may require long exposure times to disclose key events
- and mechanisms of AD. There is, as yet, no cure for AD despite concerted efforts and
- 619 <u>investment by industry</u> and this is not without concerted efforts from investment by industry
- 620 but because drug discovery in dementia is hugely challenging. Prevention of AD through

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621	long-term use of antibiotics may be impractical and could select for resistant bacteria. This is	
622	worrisome as the prevalence of AD and the public expenses related to its management are	
623	expected to increase greatly in the next decade.	
624	in AD, then-dental hygiene and treatment will provide the AD prophylaxis from an early age	
625	this oral disease periodontitis is modifiable. However, improving oral hygiene and treating	
626	in the AD patient can be challenging since patients are often uncooperative There is also	
627	for training care-givers to assist with oral care in such patients.	
628	Vaccination against key organisms and important domains of AD has had some beneficial	
629	effect. Also several agents interfering directly with the pathogenesis of AD have been tested.	
630	In order to find a cure, there is a need for clinical diagnostic information and knowledge of	
631	the causal agents for AD AD causative agents so that specific treatment options targeting	
632	these organisms, against these organisms, can be developed. As for diagnostic biomarkers,	
633	increased antibody levels to specific oral pathogens in particular to P. gingivalis may be used	
634	as a preventive-monitoring tool years before clinical manifestation of AD. This is important	
635	because treatment will probably have to start early.	
636		
637	ACKNOWLEDGEMENT <mark>S</mark>	Formatted: Font color: Red, Strikethrough
638	I.O. wants to acknowledge funding through the European Commission (FP7-HEALTH-	
639	306029 'TRIGGER'). and Steinar Stølen for help with scanning electron microscopy.	
640		
641	CONFLICT OF INTEREST AND FUNDING	
642	There is no conflict of interest in the present study for any of the authors. Funding was as	
643	given under Acknowledgement.	

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Fig. 1. The pathological hallmarks of AD, numerous extracellular amyloid-Aβ plaques and intra-neuronal neurofibrillary tangles (NFTs). Although there are several NFTs, only one is picked out in boxes at x 10 and x 40 objective lens magnification. Fig. 2. Immunofluorescence labelling (green dots) of hippocampal CA neurons opsonised by iC3b following monoinfection with P. gingivalis at 24 weeks of APO ε gene knockout (ApoE-/-) mice. This is indirect evidence of an oral infection having affected the host's brain. Fig. 3. Photo of a Sabouraud agar model made from the upper denture of an old patient with denture stomatitis and heavy accumulations of denture plaque on the fitting surface. Candida species are growing profusely.

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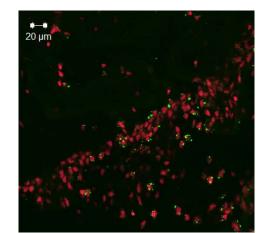
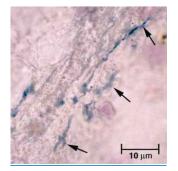






Fig. 2.







<u>Fig. 3.</u>