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Enhancing Preschoolers' Executive Functions through Embedding Cognitive Activities in Shared
Book Reading

Abstract

1
2 Given evidence that early executive functioning sets the stage for a broad range of subsequent
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4 outcomes, researchers have sought to identify ways to foster these cognitive capacities. An
5
6 increasingly common approach involves computerized ‘brain training’ programs, yet there are
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8 questions about whether these are well suited for fostering the early development of executive
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10 functions (EFs). The current series of studies sought to design, develop, and provide evidence for the
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12 efficacy of embedding cognitive activities in a commonplace activity – shared reading of a children’s
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14 book. The book, *Quincey Quokka’s Quest*, required children to control their thinking and behaviour to
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16 help the story’s main character through a series of obstacles. The first study investigated effects of
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18 reading with embedded cognitive activities in individual and group contexts on young children’s
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20 executive functions (EFs). The second study compared reading with embedded cognitive activities
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22 against a more-active control condition (dialogic reading) that similarly engaged children in the
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24 reading process yet lacked clear engagement of EFs. The third study sought to investigate whether the
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26 effect of reading the story with embedded EF activities changed across differing doses of the
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28 intervention and whether effects persisted 2 months post-intervention. Findings provide converging
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30 evidence of intervention effects on working memory and shifting in as little as 3 weeks (compared to
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32 more traditional reading) and maintenance of these gains 2 months later. This suggests the efficacy of
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34 embedding cognitive activities in the context of everyday activities, thereby extending the range of
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36 users and contexts in which this approach can be used.
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Enhancing Preschoolers' Executive Functions through Embedding Cognitive Activities in Shared Book Reading

A child's ability to exert control over their thinking is central to their capacity to meet the mental, social, and emotional demands of life. These cognitive control processes, typically bundled as executive functions (EFs), enable us to activate, manipulate, and sustain information in mind (i.e., working memory), control urges, impulses, and resist distraction (i.e., inhibition), and flexibly shift our attention between information, processes, or tasks (i.e., shifting). Research suggests that early EFs set the stage for a broad range of developments in later life including, but not limited to, school readiness (Blair & Razza, 2007), academic achievement (Müller, Liebermann, Frye, & Zelazo, 2008), early literacy and numeracy skills (Bull, Espy, & Wiebe, 2008), social and emotional competence (Riggs, Jahromi, Razza, Dillworth-Bart, & Müller, 2006), and physical health (Liang, Matheson, Kaye, & Boutelle, 2014; Reinert, Poe'e, & Barkin, 2013). Deficiencies in executive functioning have also been implicated in a number of developmental disorders (e.g. ADHD; Diamond, 2005; Fairchild et al., 2009; Lui & Tannock, 2007). Even beyond childhood, the ability to exert self-control in the early years, for which EFs are essential (Hofmann, Schmeichel, & Baddeley, 2012), predicts achievement, health, wealth, and quality of life in adulthood (Moffitt et al., 2011). EFs thus are an interesting target for early intervention, with the potential to influence lifespan developmental trajectories across a range of academic, behavioural, social, emotional, and health outcomes.

Although some EF intervention efforts have sought to examine effects of existing activities on EFs (e.g., whether, and under what conditions, physical activity supports EF development), an increasingly common approach has involved computerized 'brain training' programs (a now more than \$1 billion industry; Hayden, 2012). These programs (e.g., Cogmed working memory training; Klingberg, Forssberg, & Westerberg, 2002) administer computerized training tasks that progressively increase EF demands. Although results with these programs have been mixed (Diamond & Lee, 2011), a common result is improvement in trained EF abilities and more-limited transfer to untrained tasks and abilities (e.g., Bergman Nutley et al., 2011; Rueda, Rothbart, McCandliss, Saccomanno, & Posner 2005). Some studies have reported some transfer of computerized EF training effects to non-trained cognitive and EF tasks (e.g., attention, inhibition) and cross-domain tasks (e.g., visual-spatial

1 WM to verbal WM), in both typically and atypically developing children of varying ages (Holmes,
2 Gathercole, & Dunning, 2009; Kirk, Gray, Riby, & Cornish, 2015; Klingberg et al., 2005; Klingberg
3 et al., 2002; Titz & Karbach, 2014). Studies thus suggest the potential to modify EFs in childhood,
4 although the various means for achieving this (e.g., the type, quantity, quality, and duration of
5 intervention) remain debated.
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11 There is also evidence that earlier EF interventions may yield more pronounced, stable, and
12 lasting change (Wass, Scerif, & Johnson, 2012). Yet existing computerized EF training programs are
13 often not designed for young children or are downward extensions of adult programs, with unclear
14 consequences for their efficacy in the early years. For instance, in one of the few available preschool
15 Cogmed studies, Thorell, Lindqvist, Bergman Nutley, Bohlin, and Klingberg (2009) trained 4-5 year
16 olds in either Cogmed or an analogous computerized inhibition-training program for 5 weeks. Results
17 indicated that children who received the Cogmed training showed significant improvement on non-
18 trained attention, visual-spatial, and verbal working memory tasks (but not on inhibition, problem
19 solving, or processing speed tasks). However, these effects could not be replicated in a later study
20 (Bergman Nutley et al., 2011). Given the comparatively limited cognitive abilities of young children
21 (e.g., duration, capacity and control of attention, limited ability to understand instructions and
22 communicate a response; Howard & Okely, 2015), it has been suggested that computerized methods
23 of training may be unsuitable to generate EF improvements in young children (Fernandez-Molina,
24 Trella, & Barros, 2015; Lakes & Hoyt, 2004; Plowman & Stephen, 2003). To meaningfully engage in
25 these programs participants require metacognitive awareness, technological expertise, and the ability
26 to concentrate for prolonged periods (e.g., many training periods extend for upwards of 30-45
27 minutes) – abilities that are comparatively weaker among younger children.
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49 Thus the question remains as to whether current computerized approaches are well suited to
50 fostering the early development of EFs. In contrast, many existing activities and experiences of young
51 children foster these cognitive control abilities (as exemplified by classroom activity and curricular
52 approaches to EF development; Bodrova & Leong, 2007; Diamond, Barnett, Thomas, & Munro,
53 2007). If the relevant cognitive activities can be meaningfully embedded in everyday activities –
54 earlier, and in a way that constantly challenges and extends young children’s EFs – this would
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1 provide distinct advantages over computerized approaches. First, interventions could be designed for
2 the unique needs of young children (e.g., sufficiently engaging and developmentally appropriate).
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4 Second, embedding cognitive challenge within existing everyday activities would create low- and no-
5 cost means to foster EFs, making it more accessible to a greater number of children, families, and
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7 educators. At present, demands on time (e.g., current EF programs are often non-routine, with some
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9 training times in excess of 25 hours), costs (e.g., sometimes in excess of \$2000), and technological
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11 availability render computerized programs inaccessible for much of the population, especially those
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13 most in need. This is problematic given the strong negative relationship established between
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15 socioeconomic status and EFs (Lawson, Hook, Hackman, & Farrah, 2015; Noble, Norman, &
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17 Farah, 2005), and that children with poorer EFs tend to benefit most from intervention (Diamond,
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19 2013; Diamond & Lee, 2011). Lastly, efficaciously embedding EF activities within everyday
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21 practices, via the utilization of commonplace resources and requisite know-how, would greatly
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23 expand the range of settings, contexts, and activities for developing EFs.
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29 The current series of studies sought to design, develop, and provide a ‘proof of concept’ for the
30 efficacy of embedding cognitive activities in an everyday activity. Specifically, this initial series of
31 studies are the first to evaluate whether cognitive activities embedded in a children’s picture book
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33 (i.e., activities that require the child to control their thinking and behaviour to help a story’s main
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35 character through a series of obstacles) have positive effects on their EFs. The first study investigated
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37 the effects of reading with embedded EF activities in both individual and group contexts on young
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39 children’s executive functions (EFs) using a quasi-experimental design. The second study adopted a
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41 more-active control (i.e., dialogic reading) and experimental design to better evaluate the EF effects
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43 associated with integration of the EF activities. Finally, the third study sought to investigate whether
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45 the effect of reading the story with embedded EF activities changed across differing durations of the
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47 intervention, and whether effects persisted 2 months post-intervention (for a summary of the studies’
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49 characteristics, see Table 1). The overarching aim thus was to evaluate a range of contexts, durations,
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51 and intensities that would yield positive EF effects. In all cases, it was hypothesized that the children
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53 participating in the integrated EF activities would show better performance on non-trained EF tasks
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1 that shared few surface features with the trained EF activities. If successful, these results would
2 represent an important advance/alternative to the ongoing proliferation of computerized EF and brain
3 training, as well as providing initial efficacy data from which to further investigate (e.g., degree of
4 transfer, longitudinal effects) this and other methods of EF training in the context of everyday
5 activities. It is hoped that this would yield continued innovation of a more comprehensive range of
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7 low- and no-cost activities that parents and educators could integrate into their daily routines to
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9 promote young children’s EF development. EF-promoting activities would thus no longer be
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11 restricted to computerized training, or even reading of this purpose-designed book, but instead could
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13 be conducted indoors or outdoors, in preschool or at home, in active or quiet time, individually or in a
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15 group.
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21 **Study 1**

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23 To initially investigate the effects of reading a storybook with embedded cognitive activities on
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25 young children’s EFs, a pilot study was conducted to compare the effects of embedded EF activities
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27 with traditional reading of the same story. Specifically, preschool-aged children were read a picture-
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29 based story twice per week for 5 weeks, in one of the following three conditions: (1) reading the story
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31 one-on-one, with embedded cognitive activities; (2) reading the story in a group, with embedded
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33 cognitive activities; or (3) reading the story in a group, without children performing the cognitive
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35 activities. This permitted initial evaluation of whether these sorts of cognitive activities, when
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37 explicitly and meaningfully integrated into everyday routines, would have a positive effect on
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39 children’s subsequent EF performance.
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44 **Methods**

45 **Participants**

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47 Three participating preschools were randomly assigned to one of three conditions after the
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49 baseline data was collected: (i) reading the story one-on-one with embedded cognitive activities (one-
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51 on-one intervention; $n = 24$); (ii) reading the story in a group with embedded cognitive activities
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53 (group intervention; $n = 29$); or (iii) reading the story in a group without children performing the
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55 cognitive activities (control; $n = 22$). Analysis of ‘Socio-Economic Index for Areas (SEIFA) Relative
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57 Advantage and Disadvantage’ data – a composite index of socioeconomic status (e.g., typical income,
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1 education, employment, housing) for geographic areas adopted by the Australian Bureau of Statistics
2 – indicated all preschools were in low-SES areas (SEIFA Deciles 1 to 3). Ten participants withdrew
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4 from the study, were absent from post-testing, or missed more than two readings. The final sample
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6 thus consisted of 65 children ($M_{age} = 4.40$, $SD = 0.66$; 58.5% female) from preschools randomly
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8 assigned to the one-on-one intervention ($n = 22$; 12 female), group intervention ($n = 25$; 16 female),
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10 or control condition ($n = 18$; 10 female). All participants spoke English as a first language and were
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12 without significant hearing or vision impairment, or known developmental delay.
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15 **Intervention**

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17 For the purposes of this research, the primary investigator teamed with a children’s book writer,
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19 illustrator, and publisher to create a children’s picture book with embedded EF activities (*Quincey*
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21 *Quokka’s Quest*; Howard & Chadwick, 2015). The book incorporated nine EF activities (i.e., three
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23 each of working memory, inhibition, and shifting) that were integrated within the story as ‘obstacles’
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25 the child must help the main character through. The EF intervention involved a single adult
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27 fieldworker with early childhood experience reading the purposefully designed book, either
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29 individually or in a group, and then instructing the child/group on how to complete the EF activity on
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31 each page using the in-book guidelines. The reader was only briefly trained in order to parallel the
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33 process a novice reader might undertake if they trialed the book independently. Specifically, training
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35 consisted of providing the reader with a copy of the book to read and review independently (the book
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37 contains user-friendly instructions for each activity, which the book suggests all readers should
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39 familiarize themselves with prior to reading with a child) and two fidelity checks to ensure activities
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41 would be administered in the manner intended (one prior to reading with the children and one whilst
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43 reading to children). In all cases, no modifications to the reading were necessary.
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49 Each reading with EF activities involved the first or second half of the nine activities (i.e., the
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51 first story page, the first four or last five of the activities, and then the final story page) to constrain
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53 the total amount of reading time per sitting to ~15 minutes. This was also facilitated by the fact that
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55 the book was designed so that there was no noticeable loss of logic or sequence if particular activities
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57 were skipped. Activities ran for around 2-4 mins each, depending on the nature of the activity and
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59 how fast the child was able to complete it. Some examples include remembering a sequence of steps
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1 and then recalling them in backwards order (WM), saying “hiss” when the reader points to a frog and
2 “ribbit” when they point to a snake (inhibition; Figure 1), and switching between following a path by
3 color and then by shape. For all groups, the same book was read twice per week for 5 weeks. The
4 incorporation of cognitive activities added approximately 8-10 minutes of additional ‘reading’ time to
5 the intervention group compared to the control group (control: ~5-7 minutes; intervention: ~15
6 minutes), although this added time involved performance of the EF activities rather than additional
7 reading.

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Over time the embedded EF activities were systematically increased in difficulty (either in speed or number of items to be remembered) in order to challenge and extend children’s EF abilities. During children’s first encounter with each activity, their initial maximum threshold was established by increasing the difficulty level to the point at which the activity became too difficult for the child to complete, and noting the difficulty level just prior to that point. For activities where difficulty increases equated to increases in speed, general (not precise) speed was noted (e.g., slow, medium, fast, very fast). For each subsequent reading, difficulty levels were made to slightly exceed the child’s last-established thresholds, with the child’s new thresholds then noted. Records indicated that all intervention condition participants increased in performance on the book’s EF activities across the intervention period.

Measures

To assess changes in EF, three measures from the iPad-based Early Years Toolbox (Howard & Melhuish, 2015) were selected. Specifically, a measure of visual-spatial WM, inhibition, and shifting were adopted. These tasks were designed to assess young children’s EFs in an age-appropriate and engaging way, and have been validated in a large Australian sample ($N = 1764$) showing as-good or often better validity and reliability evidence than other comparable and widespread measures (e.g., NIH Toolbox) (Howard & Melhuish, 2015). This subset of tasks, described below, was selected to ensure that total administration time did not exceed 20 min per child, with each task taking around 5 min to administer. For all measures, higher scores were indicative of better EF performance.

Mr. Ant. This working memory (WM) task, following the protocols of Howard and Melhuish (2015), requires participants to remember the spatial locations of ‘stickers’ placed on a cartoon ant,

1 and identify these locations after a brief retention interval. Test trials increase in difficulty as the task
2 progresses, with three trials at each level of complexity (progressing from one to eight stickers). All
3 trials progress as follows: (1) Mr. Ant presented with n colored stickers for 5 s (where n equals the
4 current level of WM demand); (2) presentation of a blank screen for 4 s; then (3) an image of Mr. Ant
5 without stickers, along with an auditory prompt to recall where the stickers were, repeated until the
6 participant's response is complete. Participants responded by tapping the spatial locations on Mr. Ant
7 that they deemed had previously held stickers. The task continued until the earlier of completion (at
8 level 8, eight spatial locations to remember) or failure on all three trials at the same level of difficulty.
9 Instruction and three practice trials serve to familiarize participants with task requirements. WM
10 capacity was indexed by a point score (Howard & Melhuish, 2015; Morra, 1994), which was
11 calculated as follows: beginning from level 1, one point for each consecutive level in which at least
12 two of the three trials were performed accurately, plus 1/3 of a point for all correct trials thereafter.

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26 **Go/No-Go.** This inhibition task, following established protocols (Howard & Melhuish, 2015;
27 Howard & Okely, 2015), requires participants to respond to 'go' trials ('catch fish') and withhold
28 responding on 'no-go' trials ('avoid sharks'). Because the majority of stimuli are 'go' trials (80%
29 fish), this generates a pre-potent tendency to respond, thus requiring participants to inhibit this
30 response on 'no-go' trials (20% sharks). Prior to commencing, participants are given instruction and
31 practice as follows: go instructions; five practice 'go' trials; no-go instructions; five practice 'no-go'
32 trials; combined go/no-go instructions; then a mixed block of 10 practice trials (80% go trials); and a
33 recap of instructions. Feedback in the form of auditory tones and a point score was provided for all
34 practice trials. The 75 test stimuli were divided evenly into three test blocks (each separated by a short
35 break and a reiteration of instructions). Each trial involved presentation of an animated stimulus (i.e.,
36 fish or shark) for 1500 ms, each separated by a 1000 ms inter-stimulus interval. Inhibition was
37 indexed by an impulse control score, which is the product of proportional 'go' (to account for the
38 strength of the pre-potent response generated) and 'no-go' accuracy (to index a participant's ability to
39 overcome this pre-potent response).

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58 **Card Sorting.** This shifting task, following the protocols of Howard and Melhuish (2015),
59 requires children to sort cards (i.e., red rabbits, blue boats) first by one sorting dimension (i.e., color
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1 or shape), and then switch to the alternate sorting dimension. The task begins with a demonstration
2 trial and two practice trials, after which children begin sorting by one dimension for six trials. In the
3 subsequent post-switch phase, children are asked to sort cards by the other sorting dimension. For all
4 test items, each trial begins by reiterating the relevant sorting rule and then presenting a stimulus for
5 sorting. If the participant correctly sorts at least five of the six pre- and post-switch stimuli, they then
6 proceed to a border phase of the task. In this phase, children are required to sort by color if the card
7 had a black border or sort by shape if the card had no black border. After a demonstration trial and
8 two practice trials, this sorting rule was reiterated prior to presenting the six sorting trials (consisting
9 of three bordered stimuli and three non-bordered stimuli). For all phases, cards were ordered such that
10 a particular stimulus was never presented more than twice in a row. Scores represent the number of
11 correct sorts after the pre-switch phase.
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24 **Procedure**

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26 EF pre-testing was completed in a single session in the week prior to commencement of the
27 intervention. This occurred in a quiet space in the child’s preschool. Tasks were administered in the
28 following same random order to all participants: Mr Ant, Go/NoGo, and Card Sorting. For the EF
29 intervention, participating children were read the story individually in a quiet space in the preschool
30 (for the individual reading condition) or in a group setting in the preschool’s group reading area (for
31 the group reading conditions). EF post-testing occurred in the week following training completion in
32 the same manner as pre-testing. Because a single adult fieldworker conducted both data collection and
33 reading, preschools were randomly assigned to a condition using a computer number generator after
34 pre-testing was complete, thus eliminating potential for researcher bias at pre-test. Further, adoption
35 of self-contained EF assessments meant that fieldworkers had little opportunity to influence a child’s
36 post-test task performance (i.e., standardized task instructions and performance-related feedback were
37 delivered automatically via the iPad, responses were collected and scored by the iPad apps). Further,
38 different fieldworkers with early childhood experience were used for each study to ensure consistency
39 of findings across numerous fieldworkers.
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58 **Results**

59 **Preliminary Data Screening**

1 Data were first screened to ensure the assumptions of planned statistical analyses were met. To
2 ensure that all responses included in analyses were valid, Go/NoGo data were removed in cases of:
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4 overly fast responses (trials with response times < 300 ms, given that these were unlikely to be in
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6 response to the stimulus); indiscriminate responding (i.e., blocks with go trial accuracy > 80% and no-
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8 go trial accuracy < 20%); and non-responsiveness (i.e., blocks with go trial accuracy < 20% and no-go
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10 trial accuracy > 80%). This initial screening did not result in complete loss of any participants' data.
11
12 Rather, in a limited number of cases (<5% in each study) it resulted in the removal of one of the three
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14 blocks of Go/No-Go data. In such cases the remaining two blocks were used to calculate an index of
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16 inhibitory control for that participant. Exploration of the data also identified two extreme data points,
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18 as indicated by boxplots. To evaluate the effects of these extreme data points, scores were winsorized
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20 (substituted with the next highest/lowest non-extreme value) and patterns of significance were then
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22 compared between the winsorized and original data. While some distributions were identified as
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24 skewed by significant Shapiro-Wilk statistics, none of the distributions showed extreme skewness
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26 ($z_{skewness} < 3$) before or after winsorization. Because subsequent analyses indicated identical patterns of
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28 significance for the winsorized and original datasets, results using the original data are reported. Eta
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30 squared (η^2) was calculated as a measure of effect size, with .01, .06, and .14 representing small,
31
32 medium, and large effects, respectively (Cohen, 1969).
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38 **Evaluation of Intervention Effects**

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40 Descriptive statistics for all measures are provided in Table 2. To evaluate the efficacy of the
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42 intervention, EF data were analysed using a 2 (Time) x 3 (Condition) ANOVA with a within-subjects
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44 factor of Time (pre-test, post-test) and a between-subject factor of Condition (control group, group
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46 intervention, one-on-one intervention). Age was additionally included as a covariate given existing
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48 differences in pre-test scores across age groups (3, 4, or 5 years of age). For working memory, there
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50 was a significant main effect of Time, $F(1, 59) = 7.53, p = .008, \eta^2 = .10$, and Condition, $F(2, 59) =$
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52 $6.34, p = .003, \eta^2 = .11$. Contrary to expectations, the Time x Condition interaction was non-
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54 significant, $F(2, 59) = 0.72, p = .489, \eta^2 = .02$. Post hoc analyses indicated that working memory
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56 scores were significantly higher at post-test ($M = 2.02, SD = 0.60$) compared to pre-test ($M = 1.69, SD$
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1 = 0.81) and that the group condition had higher working memory scores compared to the individual or
2 control conditions.

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4 For shifting, results indicated the main effect of Time was non-significant, $F(1, 60) = 2.01, p =$
5 $.161, \eta^2 = .03$. The main effect of Condition was also non-significant, $F(2, 60) = 1.38, p = .260, \eta^2 =$
6 $.04$. As expected, these main effects were conditioned by a significant Time x Condition interaction,
7 $F(2, 60) = 3.54, p = .035, \eta^2 = .10$. Post hoc analyses indicated that the group and individual
8 conditions showed improved scores at post-test relative to pre-test, yet the control condition did not
9 show a similar change over this period.

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11 For inhibition, results indicated the main effect of Time was non-significant, $F(1, 59) = 1.40, p =$
12 $.241, \eta^2 = .02$. The main effect of Condition was again non-significant, $F(2, 59) = 0.12, p = .888, \eta^2 =$
13 $.00$. Contrary to expectations, however, the Time x Condition interaction was non-significant, $F(2,$
14 $59) = 0.11, p = .895, \eta^2 = .00$, suggesting there were no unique effects of the intervention on inhibition
15 scores.

26 27 28 **Study 1 Preliminary Conclusions**

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30 This study aimed to evaluate the effects of embedding cognitive activities in a children's picture
31 book, read individually and in a group, on developing EF performance. Results indicated significant
32 improvements in shifting in the intervention groups, in the context of individual and group reading. In
33 contrast, the effects on inhibition were non-significant. While the effects of the intervention on
34 working memory also appeared non-significant, this must be interpreted in the context of what was
35 being measured – working memory capacity – and what level of gains could realistically be expected.
36 That is, mental-attentional capacity (a causal component underlying developmental growth of
37 working memory capacity) has been found to increase approximately one unit approximately every
38 other year, from one unit at 3 years of age to seven units around 15 years of age (Morra, Gobbo,
39 Marini, & Sheese, 2008). As such, the descriptive increase in the individual intervention group's
40 working memory capacity of nearly half a unit (corresponding to a year of normal development) is, in
41 practical terms, substantial. This is especially so given the short duration of the intervention.
42 Moreover, the small sample size further limits the ability to detect potentially genuine change. To
43 illustrate this point, it is notable that paired-samples t-tests for each group indicated a significant
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1 improvement in working memory capacity for only the one-on-one intervention group ($\eta^2 = .32$).
2 Current results thus suggest integrating cognitive challenge into everyday activities may be a viable
3 means for enhancing shifting and working memory in young children, although further research is
4 required to replicate these results. The efficacy of this approach for improving inhibition is much less
5 clear, such that the current study suggested no improvements beyond normal developmental change or
6 practice effects. In fact, improvement of inhibition via EF training has been notoriously difficult in
7 previous studies, and has been met with mixed success (e.g., Enge et al., 2014; Thorell et al., 2009).
8 Further research with larger samples is needed to replicate and extend these findings. This research
9 needs a closer-comparison control group (e.g., a one-on-one control condition) and a research design
10 that permits stronger causal inferences to be drawn (e.g., given the current ability to control for factors
11 such as clustering in preschools). This was the focus of Study 2.

24 Study 2

26 Despite these positive results, several limitations hinder interpretation and generalisability of the
27 pilot study's findings. First, given that the control condition was standard *group* reading, the effects of
28 one-on-one reading may have simply been because this form of reading was inherently more
29 beneficial for development (e.g., it involves greater engagement of children's EFs). Thus, a control
30 condition that more closely approximates the intervention was needed to further evaluate the efficacy
31 of this EF training method. This is especially important given previous studies that have found unique
32 benefits of their active control condition (Thorell et al., 2009). While no EF benefits were expected of
33 the active control condition in the current study, we nevertheless wanted to ensure the fairest possible
34 non-EF control condition (same book, active reading) to ensure that effects could be better attributed
35 to the EF activities. Further, the intervention duration was extended to 7 weeks in case the previous
36 lack of working memory and inhibition effects were due to insufficient training opportunities.

37 The present study thus compared one-on-one reading of the children's book with embedded EF
38 activities relative to an active control that similarly engaged children in the book-reading process, yet
39 lacked clear engagement of EFs. Specifically, the current study adopted an experimental design in
40 which participants were individually read the same book, once per week for 7 weeks, in one of two
41 conditions: (1) reading the story one-on-one, with embedded cognitive activities (intervention

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condition); or (2) reading the book without embedded cognitive activities, instead using interactive dialogic reading (active control condition). Dialogic reading was selected as a comparison control condition because, like the intervention condition, it more actively involves children in storytelling than typical shared reading, yet it would not be expected to improve children’s EF abilities. Originally purposed for improving children’s language development, dialogic reading involves collaborative storytelling, in which the reader identifies and poses problems to the child, and then scaffolds their answers (Whitehurst et al., 1988).

Methods

Participants

Participants were 46 children from a single preschool centre, randomly assigned to the intervention ($n = 23$) or active control condition ($n = 23$). The SEIFA index for the centre indicated it was in a high-SES area (SEIFA Decile 9). Two participants withdrew from the study after random assignment and four participants were excluded due to absence from post-testing or missing more than two reading sessions. The final sample therefore consisted of 40 children ($M_{age} = 4.41$, $SD = 0.53$; 52.5% female) in either the intervention ($n = 19$; 11 female) or active control condition ($n = 21$; 10 female). All participants spoke English as a first language and were without significant hearing or vision impairment, or known developmental delay.

Intervention

The EF intervention in this study was identical to that for the one-on-one intervention condition in the first study, except that the book was only read once per week. The primary change from the first study was the use of an active control condition that involved one-on-one reading (compared to the group control condition in the first study) and the use of dialogic reading principles in the control condition to actively engage the children in the book reading (albeit without EF activities). Given the highly similar nature of the EF intervention, only the control condition will be described here.

Active Control Condition (Dialogic Reading). Dialogic reading involves readers adopting the “PEER” sequence, requiring the reader to prompt (P) a child with questions about a book’s story and pictures, evaluate (E; praise correct responses, offer alternatives for incorrect responses) and expand (E) upon a child’s response with more information, and then, where appropriate, encourage the child

1 to repeat (R) their expansion (Whitehurst et al., 1994; Whitehurst et al., 1988). This sequence was
2 used to design prompts that were focused on potential problems in the book. Examples of such
3 prompts include “How should the animals escape from the spider’s web?”, and “How can he
4 [Quincey] get across the river?”. Thus, whilst reading the story, instead of engaging the child in the
5 embedded EF activities, the adult reader would identify a potential obstacle preventing goal
6 attainment in the story and ask the child how they think this problem could be overcome. Then they
7 would discuss and expand the child’s answer, offering other potential resolutions, and in doing so,
8 model more sophisticated approaches. Further, prompts were designed to gradually increase in
9 difficulty over time (i.e., problems became harder to “solve”) with progressive readings. Five sets of
10 prompts were developed (one for each week of training), each containing at least 12 prompts.

11 Children were never presented with the same set of prompts more than once over the course of the
12 training period. Prompts were adhered to unless the child showed interest in a certain feature of the
13 book. In these cases, the feature of interest was briefly discussed before the child’s attention was
14 redirected to the next prompt. Use of dialogic reading served to roughly equate the amount of reading
15 time (~15 minutes) between the two conditions, albeit with different activities undertaken in that time.

16 **Measures and Procedure**

17 All EF measures and procedures were identical to Study 1, except that all reading occurred in an
18 individual reading session, rather than in a group reading format for some participants. A single adult
19 fieldworker with early years experience again conducted all reading and data collection, with identical
20 validity controls as per Study 1 (e.g., random assignment after pre-test, standardization of tasks), but
21 was not the same fieldworker as in Study 1 (to ensure consistency of findings across fieldworkers).

22 **Results**

23 **Preliminary Data Screening**

24 Data were screened using the same procedures as Study 1 to ensure they met assumptions of
25 planned statistical analyses (i.e., removing invalid cases in Go/No-Go data, which again did not result
26 in removal of complete data for any participant). Exploration of the data identified five extreme data
27 points, as indicated by boxplots. To evaluate the effects of the extreme data points, these scores were
28 winsorized (substituted with the next highest/lowest non-extreme value) and patterns of significance

1 were compared for these and the original data. While some distributions were identified as skewed by
2 significant Shapiro-Wilk statistics, none of the distributions were extremely skewed ($z_{skewness} < 3$)
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4 before or after winsorization. Because subsequent analyses indicated identical patterns of significance
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6 for the winsorized and original datasets, results using the original data are reported.
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8 **Evaluation of Intervention Effects**

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11 Descriptive statistics for all measures are provided in Table 3. To evaluate the efficacy of the
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13 intervention, EF data were analysed using a 2 (Condition) x 2 (Time) ANOVA with a within-subjects
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15 factor of Time (pre-test, post-test) and a between-subject factor of Condition (control, intervention). A
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17 covariate of age was again included due to pre-existing age differences. For working memory scores,
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19 there was a significant main effect of Time, $F(1, 37) = 9.34, p = .004, \eta^2 = .16$, such that scores were
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21 significantly higher at post-test ($M = 2.17, SD = 0.67$) than pre-test ($M = 1.83, SD = 0.70$). However,
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23 the main effect of Group was non-significant, $F(1, 37) = 1.67, p = .205, \eta^2 = .04$. In line with
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25 expectations, main effects were conditioned by a significant Time x Condition interaction, $F(1, 37) =$
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27 4.39, $p = .043, \eta^2 = .08$. Post hoc analyses to examine this interaction effect indicated that intervention
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29 condition scores improved from pre- to post-test, $t(18) = -3.51, p = .003, \eta^2 = .41$, whereas the control
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31 condition showed no significant change over this period, $t(20) = -0.57, p = .573, \eta^2 = .02$.
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35 For shifting, results indicated the main effect of Time was non-significant, $F(1, 37) = 0.05, p =$
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37 .828, $\eta^2 = .00$. The main effect of Group was again non-significant, $F(1, 37) = 0.00, p = .998, \eta^2 = .00$.
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39 As expected, however, these main effects were conditioned by a significant Time x Condition
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41 interaction, $F(1, 37) = 13.73, p = .001, \eta^2 = .27$. Post hoc analyses suggested that the intervention
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43 condition similarly improved from pre-test to post-test, $t(18) = -5.69, p < .001, \eta^2 = .64$, whereas the
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45 control condition did not significantly change from pre- to post-test, $t(20) = -0.59, p = .561, \eta^2 = .02$.
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49 For inhibition, results indicated the main effect of Time was non-significant, $F(1, 37) = 1.70, p =$
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51 .201, $\eta^2 = .04$. Once again, the main effect of Condition was non-significant, $F(1, 37) = 0.69, p = .412,$
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53 $\eta^2 = .02$. Contrary to expectations, however, the Time x Condition interaction was non-significant,
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55 $F(1, 37) = 1.29, p = .264, \eta^2 = .03$, suggesting that there were no unique effects of the intervention on
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57 inhibition scores.
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59 **Study 2 Preliminary Conclusions**

1 This study aimed to replicate and extend the results of Study 1, but with a more active and
2 closely comparable control condition. Results largely paralleled those of Study 1, such that there were
3 effects of embedded cognitive activities over and above dialogic reading on shifting and working
4 memory, but no unique effects on inhibition. More than a replication of the findings of Study 1,
5 particularly notable is the consistency of these results when compared with individual shared reading
6 as an active control condition, despite the relative brevity of the intervention in Study 2 (i.e., 70-105
7 minutes of intervention engagement, compared to 100-200 minutes in Study 1). In contrast, many
8 other successful EF interventions have involved substantially higher time commitments (i.e., 6 to 25
9 hours; Kirk et al., 2015; Röthlisberger, Neuenschwander, Cimeli, Michel, & Roebbers, 2011; Traverso,
10 Viterbori, & Usai, 2015). The current results thus provide converging evidence for EF activities
11 embedded in everyday routines having a positive effect on working memory and shifting. Still
12 unclear, however, are the trajectories of change associated with the intervention (e.g., whether
13 improvements are continuous and linear, or whether brief intervention generates similar benefits) and
14 the extent to which these acute EF improvements are maintained after a period of time without the
15 reading intervention.

32 Study 3

33 Although the initial two studies provide converging evidence for the efficacy of embedded EF
34 activities in the context of reading, there remains limited research regarding the optimal dose and
35 frequency of EF training tasks (Diamond, 2013). Wass et al.'s (2012) critical review of EF training
36 programs highlights this point, demonstrating the variability in training frequency (e.g., ranging from
37 one session/week to five sessions/week; Kloo & Perner, 2003; Rueda et al., 2005), training intensity
38 (e.g., training times ranging from 30 minutes to 25 hours over the intervention period; Kloo & Perner,
39 2003; Holmes et al., 2009) and program duration (e.g., ranging from 2 weeks to 8 weeks; Kloo &
40 Perner, 2003; St Clair Thompson, 2007). Further, most EF interventions have administered an
41 intensive phase of training with only pre- and post-test assessments of EF (Wass et al., 2012). As a
42 consequence, there remains no clear guidance for the optimal dosage, frequency, or intensity of EF
43 interventions. The current study thus sought to investigate whether the effect of reading the story with
44 embedded EF activities changed across differing doses of the intervention. Given the previous lack of

1 difference in effects between a passive and active reading control condition, and resource constraints
2 (e.g., time per week made available by preschools, financial), a passive reading control condition was
3 again adopted. Specifically, participants were read the story one-on-one, once per week for 9 weeks,
4 either with (intervention group) or without (control group) performing the cognitive activities. This
5 longer intervention period permitted an ability to establish whether inhibition effects could be found
6 with a longer intervention duration, as well as conduct multiple mid-intervention evaluations. That is,
7 in order to investigate potential differences in intervention efficacy with continued administration,
8 participants were assessed on EF measures after every 3 weeks of reading to provide initial insight
9 into the dose-response effect of the embedded EF activities. These measures were again administered
10 2 months post-intervention to investigate the extent to which acute EF improvements were maintained
11 after a period of time without the reading intervention.
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24 **Methods**

25 **Participants**

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27 Participants were 43 children from two preschool centres, which were randomly assigned to one
28 of two conditions after baseline data collection: reading a story one-on-one, with embedded cognitive
29 activities (intervention; $n = 21$); or reading the story one-on-one, without embedded cognitive
30 activities (control; $n = 21$). The SEIFA index for these preschools indicated they were in a moderate-
31 SES area (SEIFA Decile 6). EF data was not collected for nine participants due to their dropout or
32 absence from at least one EF data collection session. The final sample thus included 34 children (M_{age}
33 = 4.29, $SD = 0.53$; 61.8% female) who had been randomly assigned to either the intervention ($n = 19$;
34 12 female) or control group ($n = 15$; 9 female). Two-month follow-up EF assessments were conducted
35 ($M = 59.26$ days; range = 55 – 67) for all but one intervention group child and two control group
36 children who were absent during follow-up data collection. All participants spoke English as a first
37 language and were without significant hearing or vision impairment, or known developmental delay.
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53 **Intervention**

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55 The EF intervention in this third study was identical in delivery to that in the second study,
56 except that the book was read once per week for a total of 9 weeks. Moreover, given that there were
57 no effects of dialogic reading in Study 2, the control condition in this study were simply read the book
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(without cognitive activities) with the same frequency as the intervention condition. All other circumstances of reading paralleled those of the second study.

Measures and Procedure

All measures were identical, and administered in an identical manner, as in Studies 1 and 2. The protocols for reading in this study were also identical to Study 2, except reading occurred over a longer intervention period (9 weeks) and was separated by EF assessments after each 3-week reading block. This allowed evaluation of the trajectory of change across the intervention period. A single adult fieldworker with early years experience again conducted all reading and data collection, with identical validity controls as per Studies 1 and 2, and was again a different fieldworker from these previous studies (to ensure consistency of findings across fieldworkers).

Results

Preliminary Data Screening

Data were screened using the same procedures as Studies 1 and 2 to ensure they adhered to the assumptions of the planned statistical analyses (i.e., removing invalid cases in Go/No-Go data, which again resulted in no complete data being removed for any participant). Exploration of the data identified four extreme data points, as indicated by boxplots. To evaluate the effects of the extreme data points, these scores were winsorized (substituted with the next highest/lowest non-extreme value) and patterns of significance were compared for these and original data. While one distribution (i.e., Inhibition Time 4) was extremely skewed as indicated by $z_{skewness} > 3$, after winsorizing no distributions were extremely skewed. Subsequent analyses indicated identical patterns of significance for the winsorized and original datasets. As such results using the original data are reported. Because Mauchly's test of sphericity indicated that the assumption of sphericity remained violated for shifting measures, an adjusted degrees of freedom analysis of variance (i.e., Greenhouse-Geisser) was conducted for this analysis.

Evaluation of Intervention Effects

Descriptive statistics for all measures are provided in Table 4. To evaluate the efficacy of the intervention, EF data were analysed using a 2 (Condition) x 4 (Time) ANOVA with a within-subjects factor of Time (i.e., baseline, 3 weeks, 6 weeks, 9 weeks/post-test) and a between-subject factor of

Condition (i.e., control, intervention). Age was again included as a covariate due to pre-existing EF differences by age. For working memory, there were no significant main effects of Time, $F(3, 93) = 0.45, p = .720, \eta^2 = .01$, or Condition, $F(1, 31) = 2.92, p = .097, \eta^2 = .07$. There was, however, a significant interaction, $F(3, 93) = 4.34, p = .007, \eta^2 = .12$. One-way repeated measures ANOVAs indicated a significant effect of Time in the intervention condition, $F(3, 54) = 6.35, p = .001, \eta^2 = .26$, but not the control condition, $F(3, 42) = 0.36, p = .786, \eta^2 = .02$. Post hoc analyses indicated that working memory scores improved across all time points for the intervention condition, except between 3 to 6 weeks.

For shifting, an adjusted degrees of freedom Greenhouse-Geisser ANOVA indicated no main effects of either Time, $G-G F(2.46, 76.17) = 0.92, p = .420, \eta^2 = .02$, or Condition, $F(1, 31) = 2.72, p = .109, \eta^2 = .07$. However, these non-significant main effects were conditioned by a significant interaction, $G-G F(2.46, 76.17) = 5.37, p = .004, \eta^2 = .14$. One-way repeated measures ANOVAs indicated a significant effect of Time in the intervention condition, $F(3, 54) = 4.06, p = .011, \eta^2 = .18$, but not the control condition, $F(3, 42) = 2.78, p = .053, \eta^2 = .17$. Post hoc analyses indicated shifting scores were significantly higher at 6 weeks than at baseline, with no significant improvements after only 3 weeks or from 6 weeks to 9 weeks.

For inhibition, there was a significant main effect of Time, $F(3, 93) = 6.61, p < .001, \eta^2 = .02$. Post hoc analyses indicated that inhibition scores improved across all time points ($M_B = 0.61, SD = 0.24$; $M_3 = 0.70, SD = 0.20$; $M_6 = 0.75, SD = 0.19$), except from 6 to 9 weeks ($M_9 = 0.77, SD = 0.19$). There was no main effect of Condition, $F(1, 31) = 0.02, p = .969, \eta^2 = .00$. Contrary to expectations, there was no significant interaction conditioning these main effects, $F(3, 93) = 0.52, p = .647, \eta^2 = .01$. As such, and consistent with Study 2, there were no effects of the intervention on inhibition scores at any measurement time point.

Evaluation of the Maintenance of Intervention Effects

To examine the maintenance of gains at 2-month follow-up, shifting and working memory scores were analysed using a 2 (Condition) x 3 (Time) ANOVA with a within-subjects factor of Time (i.e., baseline, post-test/9 weeks, 2-month follow-up) and a between-subjects factor of Condition (i.e., control, intervention), with planned contrasts on Time comparing baseline to post-test, and post-test to

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2-month follow-up. For working memory scores, a planned contrast revealed that the Time effect differed between Conditions from baseline to post-test, $F(1,29) = 6.54, p = .016, \eta^2 = .18$, such that the intervention condition showed a higher score at post-test ($M_B = 1.61, SD = 0.85; M_9 = 2.41, SD = 0.70$), whereas the control condition showed a lower score at post-test ($M_B = 1.95, SD = 0.65; M_9 = 1.82, SD = 0.99$). An additional planned contrast revealed that the Time effect did not differ between conditions from post-test to the 2-month follow-up, $F(1,29) = 0.53, p = .475, \eta^2 = .02$ (Intervention: $M_B = 2.41, SD = 0.70; M_{FU} = 2.46, SD = 0.64$; control $M_B = 1.82, SD = 0.99; M_{FU} = 2.05, SD = 1.10$). This suggests that the working memory gains were maintained by the intervention group 2 months post-intervention.

For shifting, a planned contrast revealed that the Time effect differed between Conditions from baseline to post-test, $F(1, 29) = 4.24, p = .049, \eta^2 = .13$, with the intervention condition showing a higher score at post-test ($M_B = 5.11, SD = 4.68; M_9 = 7.94, SD = 4.01$) and the control condition showing a lower score at post-test ($M_B = 6.23, SD = 4.46; M_9 = 5.92, SD = 4.46$). Also, a planned contrast revealed that the Time effect did not differ between conditions from post-test to the 2-month follow-up, $F(1,29) = 0.56, p = .460, \eta^2 = .02$ (Intervention: $M_B = 7.94, SD = 4.01; M_{FU} = 7.89, SD = 3.82$; control $M_B = 5.92, SD = 4.46; M_{FU} = 6.53, SD = 4.98$). As with working memory, the shifting gains were maintained by the intervention group even 2 months post-intervention.

Study 3 Preliminary Conclusions

This study sought to extend the results of Studies 1 and 2 by replicating the EF effects of those previous studies and evaluating whether trajectories of EF improvement were consistent over the course of the intervention and whether intervention effects persisted after a period of time without the intervention. Results again paralleled Studies 1 and 2, such that there were significant effects of embedded cognitive activities on working memory and shifting, but not on inhibition. Further, results indicated that these benefits did not constantly and uniformly increase over a longer intervention period. Rather, the benefits in shifting appeared to be most pronounced in the initial three week reading period, after which these benefits were maintained (i.e., remained above control levels, but did not show further significant improvements). Working memory similarly showed its greatest gains in the first three weeks of the intervention period, although there were further improvements in

1 working memory scores after the final three weeks of the intervention. It is also notable that working
2 memory and shifting gains of the intervention group persisted even 2 months post-intervention. While
3 further research is needed to explore different manipulations to intervention frequency and duration,
4 these results suggest that brief EF intervention may be sufficient to yield change. The extent to which
5 the subsequent intervention period is necessary for longer-term maintenance of gains, and the
6 conditions under which this subsequent training period may yield further improvements, require
7 further study.
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15 **Overall Discussion**

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17 The current study provides converging evidence for the efficacy of embedding EF activities, in
18 the context of shared book reading, on preschoolers' working memory and shifting abilities.
19 Specifically, the results of the first study identified that shifting benefits occurred in the context of
20 individual and group reading, yet working memory benefits occurred only in the context of individual
21 reading. The second study replicated these findings in the context of a more rigorous experimental
22 design (with random assignment at the individual level) and a more-comparable, dialogic reading
23 control condition. The third study suggested that the benefits demonstrated in these initial two studies
24 were unlikely to occur continuously over the course of the intervention. Instead, EF improvements
25 were more pronounced initially, after which these gains were largely maintained (although working
26 memory also showed a smaller subsequent increase). Further, rather than acute EF effects, these
27 intervention group gains continued to persist after 2 months post-intervention. Together, these results
28 provide a 'proof of concept' for the efficacy of embedding cognitive activities in the context of
29 everyday routines, thereby extending the range of users (e.g., to parents, caregivers, educators) and
30 contexts in which this approach can be used (e.g., active and quiet play, indoors and outdoors, at
31 home and in early childhood education and care settings).
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51 The significant effects of this EF intervention corroborates extensive research supporting the
52 ability to support, foster, and enhance children's EFs more broadly (e.g., Diamond & Lee, 2011), and
53 in particular shifting (e.g., Kray, Karbach, Haenig, & Freitag, 2012; Röthlisberger et al., 2011;
54 Traverso et al., 2015) and working memory (Klingberg et al., 2005; Röthlisberger et al., 2011; Thorell
55 et al., 2009; Traverso et al., 2015). However, current approaches to EF training are constrained by
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1 their targeted age groups (typically older children, adolescents, and adults), accessibility (e.g.,
2 technological requirements), and their resource-intensive nature (e.g., time, money). The current
3 approach, in contrast, represents a low-cost non-computerized EF training method, the principles of
4 which can be extended to a no-cost ‘menu’ of EF training options that can be embedded into everyday
5 practice. Moreover, another advance of the current intervention is its relative brevity (with benefits
6 seen after only 42-63 minutes of intervention engagement, compared to upwards of 25 hours with
7 other EF training approaches; Kirk et al., 2015; Rothlisberger et al., 2011; Traverso et al., 2015). That
8 is, although previous EF training studies are often conducted over a similar number of weeks as the
9 present studies, training in previous studies tends to be more intense, featuring longer and more
10 weekly training sessions. In contrast, EF training using *Quincey Quokka’s Quest* appeared to generate
11 a sufficient level of challenge to yield similar (and in some cases stronger) EF benefits relative to
12 computerized EF training approaches. Further, that the three studies yielded highly consistent results
13 with young children sits in stark contrast to the often-inconsistent EF improvements that other
14 approaches have tended to generate with this age group (e.g., Bergman Nutley et al., 2011).

15 The magnitude of these effects is also striking. In terms of working memory gains, for instance,
16 improvements by the intervention group ranged anywhere from a quarter-unit increase in functional
17 working memory capacity (for the group intervention condition of Study 1) to an approximately half-
18 unit increase (for the one-to-one intervention groups in Studies 1 and 2) or even a 0.81-unit increase
19 after a 9-week intervention. Given that mental-attentional capacity (a causal component underlying
20 developmental growth of working memory) has been found to increase around one unit approximately
21 every other year (Morra et al., 2008), this increase is, in practical terms, rather substantial. Whereas a
22 single-unit increase in mental-attentional (or working memory) capacity would be expected to occur
23 over the course of a full year of normal development (for further support from developmental norms
24 for the current tasks, see Howard & Melhuish, 2015), the current studies found the equivalent of 3- to
25 9-months of normal development in as little as one month. Although these gains are likely functional
26 rather than structural in nature, the ability to coordinate additional information in working memory as
27 a result of EF training could nevertheless have important impacts for children’s learning and learning-
28 related abilities (e.g., literacy, numeracy, school readiness; Blair & Razza, 2007; Bull et al., 2008). In

1 fact, these gains in EF performance approach levels found to separate preschoolers performing at the
2 25th and 50th percentiles, and between those at the 50th and 75th percentiles, for their age (Howard &
3 Melhuish, 2015). This is also the case for shifting, in which the gains found in the current studies –
4 ranging from 1.48 units to 5.84 units (the latter equated to successful performance at a full level
5 higher in complexity) – is in line with the difference between young children lower and average, or
6 average and higher, in performance on this shifting task.

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13 While the lack of significant effects on inhibition was not expected, it is at least somewhat
14 consistent with previous research. Inhibition training effects have been at best mixed, such that some
15 studies have found improvements (Röthlisberger et al., 2011; Traverso et al., 2015) while others have
16 been largely unsuccessful (Enge et al., 2014; Thorell et al., 2009). To explain this, Thorell et al.
17 (2009) suggested that the comparatively lower success rate of inhibition training programs relative to
18 working memory training (which has a comparatively stronger record of success) may reflect the
19 difficulty in making inhibition training programs sufficiently adaptive. As evidenced by studies such
20 as Klingberg et al.'s (2002), adapting program difficulty to ensure tasks provide an adequate level of
21 challenge is an essential characteristic of efficacious training programs. For working memory
22 activities, difficulty may be continuously adjusted by increasing the number of items to be
23 remembered, manipulating the order in which items are recalled (i.e., random, consecutive, reverse
24 order), or increasing the time that information must be retained prior to recall (Kirk et al., 2015). In
25 contrast, increasing the difficulty of inhibition tasks often involves manipulating the speed with which
26 a pre-potent response must be overcome, or the salience and number of distracting stimuli. In the
27 context of *Quincey Quokka's Quest*, however, the imprecise increase in speed introduced by the
28 reader may have been insufficient to set the level of inhibitory challenge just beyond the child's
29 current level of ability.

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33 An interesting question is whether the same effects would be expected if this EF approach was
34 adopted by parents or educators, given evidence from a recent meta-analysis suggesting that many
35 researcher-generated effects were not replicable when implemented by non-researchers (Mol, Bus, &
36 De Jong, 2009). Unique to the current series of studies is our adoption of a different fieldworker for
37 each study, all of whom had experience in early childhood education and care contexts (with two of

1 the three having experience as preschool educators). While this does not ensure that results can be
2 generalized to reading by parents and educators, it suggests greater promise than if these same results
3 had been found using highly trained researchers. Nevertheless, the extent to which these findings
4 generalize to use by parents and educators is an important question for future research. Future
5 research would also benefit from consideration of additional participant characteristics (e.g., prior
6 experience with books, enjoyment of reading), inclusion of measures to examine the breadth of
7 benefits (e.g., if the positive effects of shared book reading are maintained, whether benefits transfer
8 to abilities such as problem solving, planning, and self-regulation), and the extent to which these EF
9 effects impact longitudinal outcomes (e.g., school readiness, academic performance). Prior research,
10 indicates there is likely an important relationship (mediator, moderator, bi-directional) between
11 language development and EF growth (e.g., Hughes, 1998; Marton, 2008), which may be especially
12 important for reading-based EF training. Future research would also benefit from examining why
13 trajectories of change from EF training are not steady and consistent across all EFs (e.g., a result of
14 insufficient challenge, biological ceiling, etc.)

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These results must also be interpreted within the context of the limitations of the current studies. For one, there were unexpected yet consistent differences in baseline EF scores for the control group across all three studies. It is noted that random assignment of centres (in Study 1) or participants (in Studies 2 and 3) did not occur until after pre-testing, and was conducted by a computerized random number generator. Further, it is noted that few of these differences represented statistically significant pre-existing differences between groups (this was only significant for working memory in Studies 1 and 2). It is also notable that in most cases that the gains of the intervention group not only closed the performance gap with the control group, but also in most cases surpassed the control group's pre- and post-test performance (that is, there were significant condition effects at post-test for working memory and shifting for all but shifting in Study 3). As such, the effects of the intervention did not simply remove pre-existing differences in performance. Nevertheless, future research would benefit from adopting stratified random sampling (especially in the context of smaller sample sizes, for which random assignment is less robust) to ensure initial group equivalence.

1 There was also a degree of instability in EF outcome scores, such that across the three studies the
2 extent of change (e.g., shifting gains ranged from 1.48 to 5.84 correct card sorts), pre-test scores (e.g.,
3 working memory capacity scores ranging from 1.55 to 2.00), and post-test scores were not perfectly
4 consistent. One potential explanation is the use of only a single measure of each EF, which is not a
5 pure measure of the latent ability of interest (the notorious ‘task impurity problem’). That is, given
6 that no task can be a pure measure of the EF of interest, it is plausible that the results of a single task
7 are spurious (e.g., due to situational or motivational factors), transitory (e.g., due to temporary
8 practice effects), or the product of enhancements to non-EF processes. The first two appear unlikely
9 as a result of converging evidence across these studies, as well as the strong validity and reliability
10 evidence for the adopted EF tasks (Howard & Melhuish, 2015). Nevertheless, the current data is
11 unable to conclusively determine that improvements in EF performance necessarily resulted from
12 enhancements to the targeted EFs. For instance, motivation could be a common factor underlying
13 performance increments in the intervention group. However, it is notable that improvements were not
14 found for inhibition, thus making this explanation unlikely.

15 Another possible explanation is the inability to blind data collectors to participants’ experimental
16 condition introducing tester bias. While possible, it is noted that extensive validity controls were put
17 in place to minimize this possibility (e.g., randomization after pre-testing, adoption of standardized
18 and self-contained EF assessments with little opportunity to influence a child’s task performance).
19 Attribution of the results to tester bias also becomes increasingly implausible when considering the
20 consistency in findings across the different data collector for each study. A perhaps more plausible
21 alternative is the difference in SES across the samples, which could at least begin to account for the
22 between-study differences and instability in EF scores (e.g., baseline inhibition scores ranged from
23 .54-.58 in the lowest SES group, from .59-.63 in the moderate-SES group, and from .60-.67 in the
24 high-SES group). As such, consistent with research establishing a negative relationship between SES
25 and EFs and potential for increased gains among low-EF groups (e.g., Diamond, 2013; Diamond &
26 Lee, 2011; Lawson et al., 2015; Noble et al., 2005), differences in baseline scores and degree of
27 change in the current study may be at least partly a product of between-study differences in children’s

SES. Still, future research would benefit from examining these possibilities through investigation of EF effects by socio-economic sub-group and adopting multiple measures for the outcomes of interest.

While not a limitation, further research is required to examine the longer-term maintenance and real-world impacts of these improvements (e.g., on young children’s subsequent school readiness, academic success, ADHD symptomology). EFs remain a promising target for intervention given their potential broad and apparent lifelong impact but few studies have established that altering these developmental trajectories yield a similar degree of change (or change at all) in these longitudinal outcomes. As such, this is an area that is desperately under-researched. Finally, while the restricted sample size and demographics of these studies limit the strength of their respective conclusions, that the results were highly consistent across the three studies strengthens the case for the authenticity of these EF effects.

These studies show promising support for the trainability of shifting and working memory in a way that can be readily administered by parents and educators, at low to no cost. That is, embedding cognitive challenge within everyday activities requires only the capacity to engage children’s EFs and sufficiently open-ended resources to permit flexibility in their use. While *Quincey Quokka’s Quest* (Howard & Chadwick, 2015) has been shown to be an effective example of this, these benefits are by no means expected to be restricted to this book. Rather, this approach allows for EF training to be seamlessly integrated into children’s existing home and preschool routines, unlike the majority of existing interventions that represent an “additional more” that must be accommodated and incorporated into a child’s (and parent’s) day. Whereas high-cost computerized interventions have more recently dominated the EF training space, the accessibility of this approach opens up opportunities for EF training that can be more widely accessed, especially by less-advantaged populations that tend to show relatively poorer EF abilities (Diamond, 2013; Diamond & Lee, 2011). It also creates an opportunity for a broader range of users. That is, rather than necessitating administration by a professional or researcher, the current EF approach can be implemented by parents and educators alike. Such strategies for integrating cognitive challenge offers researchers, parents, and educators multiple accessible low- and no-cost methods to engage and improve children’s EFs.

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1 Table 1

2
3 Summary of study characteristics

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| | Study 1 | Study 2 | Study 3 |
|----------------------|---|--|--|
| Demographics | | | |
| Participants' Age | | | |
| Mean (<i>SD</i>) | 4.40 (0.66) | 4.41 (0.53) | 4.29 (0.53) |
| Range | 3.00 – 5.76 years | 2.99 – 5.16 years | 3.18 – 5.23 years |
| Percent girls | 58.5% | 52.5% | 61.8% |
| SES (SEIFA Decile) | Deciles 1-3 | Decile 9 | Decile 6 |
| Intervention | | | |
| EF Training Dose | 2 times/wk | 1 time/wk | 1 time/wk |
| EF Training Duration | 5 weeks | 7 weeks | 9 weeks |
| Conditions | Control Group: Passive Group Reading | Control Group: Active (Dialogic) 1:1 Reading | Control Group: Passive 1:1 Reading |
| | Intervention Groups: 1:1 EF book activities Group EF book activities | Intervention Group: 1:1 EF book activities | Intervention Group: 1:1 EF book activities |

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Table 2

Descriptive statistics by condition (Study 1)

| | Control | | Group | | One-on-One | |
|----------------|----------------|-------------|--------------|-------------|-------------------|-------------|
| | Pre-test | Post-test | Pre-test | Post-test | Pre-test | Post-test |
| | M (SD) | M (SD) | M (SD) | M (SD) | M (SD) | M (SD) |
| Working memory | 1.55 (0.80) | 1.83 (0.51) | 2.00 (0.75) | 2.25 (0.55) | 1.44 (0.82) | 1.89 (0.65) |
| Shifting | 3.56 (3.82) | 3.56 (3.60) | 2.76 (3.76) | 6.04 (3.69) | 2.09 (3.21) | 3.57 (4.03) |
| Inhibition | 0.54 (0.20) | 0.64 (0.17) | 0.55 (0.22) | 0.69 (0.26) | 0.58 (0.22) | 0.69 (0.18) |

Table 3

Descriptive statistics by condition (Study 2)

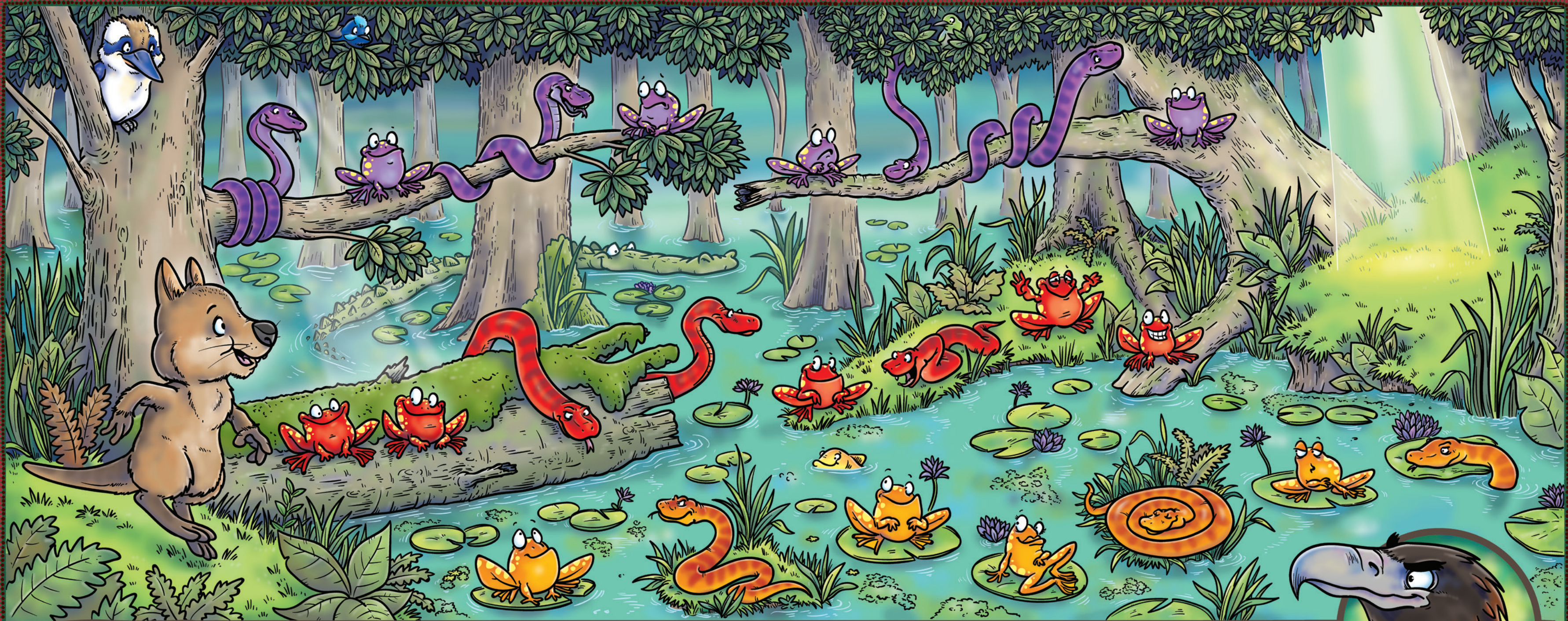
| | Control | | Intervention | |
|----------------|--------------------|---------------------|---------------------|---------------------|
| | Pre-test M (SD) | Post-test M (SD) | Pre-test M (SD) | Post-test M (SD) |
| Working memory | 1.86 (0.87) | 1.95 (0.68) | 1.81 (0.45) | 2.40 (0.58) |
| Shifting | 5.67 (4.39) | 6.24 (4.41) | 2.84 (4.21) | 8.68 (3.43) |
| Inhibition | 0.67 (0.14) | 0.79 (0.15) | 0.60 (0.21) | 0.78 (0.12) |

Table 4

Descriptive statistics by condition (Study 3)

| | Baseline | | 3 Weeks | | 6 Weeks | | 9 Weeks | | 2 Mo Follow-Up | |
|---------------------------|-----------------|-----------|----------------|-----------|----------------|-----------|----------------|-----------|-----------------------|-----------|
| | <i>M</i> | <i>SD</i> | <i>M</i> | <i>SD</i> | <i>M</i> | <i>SD</i> | <i>M</i> | <i>SD</i> | <i>M</i> | <i>SD</i> |
| <i>Control Group</i> | | | | | | | | | | |
| WM | 1.82 | 0.79 | 1.71 | 0.70 | 1.64 | 0.93 | 1.67 | 1.01 | 2.05 | 1.10 |
| Shifting | 6.47 | 4.19 | 4.33 | 3.68 | 4.60 | 4.52 | 5.73 | 4.37 | 6.54 | 4.98 |
| Inhibition | 0.63 | 0.21 | 0.69 | 0.23 | 0.74 | 0.21 | 0.76 | 0.18 | 0.77 | 0.19 |
| <i>Intervention Group</i> | | | | | | | | | | |
| WM | 1.58 | 0.84 | 2.14 | 0.71 | 2.04 | 0.52 | 2.39 | 0.69 | 2.46 | 0.64 |
| Shifting | 4.84 | 4.69 | 7.26 | 4.00 | 7.74 | 3.56 | 8.05 | 3.92 | 7.89 | 3.82 |
| Inhibition | 0.59 | 0.27 | 0.70 | 0.19 | 0.76 | 0.18 | 0.77 | 0.21 | 0.78 | 0.15 |

1 Figure 1. *Sample activity from Quincey Quokka's Quest. In this activity, the reader points along a*
2 *row of snakes and frogs at a speed that challenges the child's impulse control. The child is asked to*
3 *say 'hiss' when the reader points to a frog or 'ribbit' when the reader points to a snake, thus having*
4 *to overcome the pre-potent response of saying the sound the target animal makes. The activity's*
5 *difficulty is increase by increasing the speed with which the reader points to the frogs and snakes*
6 *along a row.*



Through the swamp, now halfway,
 When Quincey heard someone say,
 "To keep on going on this track,
 Play my game or be my snack."

If it's snake, say it's frog,
 As you cross this murky bog.
 And if it's frog, you say snake;
 Do it backwards for your sake!"

Wesley Wedge-Tailed Eagle's "Say The Opposite"

Instructions: For this activity, select a path across the swamp and tell the child they will need to follow this path playing the 'opposite game'. You can also choose whether you want the child to name each animal (i.e., frog, snake) or make their sound (i.e., ribbit, hiss). To play, point to each frog and snake along the path, one by one, having the child say either the opposite name (if you are playing the name game; e.g., for a snake say 'frog') or the opposite sound (if you are playing the sound game; e.g., for a frog say 'hiss'). To increase the difficulty, have the child name the animals faster and faster.

