

1 **CARDIOPULMONARY RESUSCITATION PATTERN EVALUATION BASED**
2 **ON ENSEMBLE EMPIRICAL MODE DECOMPOSITION FILTER VIA NON-LINEAR**
3 **APPROACHES.**

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5 Muammar Sadrawi¹, Wei-Zen Sun², Matthew Huei-Ming Ma³, Chun-Yi Dai⁴, Maysam F. Abbod⁵,
6 Jiann-Shing Shieh^{1, *}

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8 ¹ Department of Mechanical Engineering and Innovation Center for Big Data and Digital
9 Convergence, Yuan Ze University, Taoyuan, Chung-Li 32003, Taiwan; E-Mail:
10 muammasadrawi@yahoo.com; jsshieh@saturn.yzu.edu.tw

11 ² Department of Anesthesiology, College of Medicine, National Taiwan University, Taipei, 100,

12 ³ Department of Emergency Medicine, College of Medicine, National Taiwan University, Taipei,
13 Taiwan; E-Mail: wzsun@ntu.edu.tw ; matthew@ntu.edu.tw

14 ⁴ Graduate Institute of Networking and Multimedia, National Taiwan University, Taipei, Taiwan;
15 E-Mail: chunyi.dai@gmail.com

16 ⁵ Department of Electronic and Computer Engineering, Brunel University London, Uxbridge,
17 UB8 3PH, UK; E-Mail: maysam.abbod@brunel.ac.uk

18 * Author to whom correspondence should be addressed; E-Mail: jsshieh@saturn.yzu.edu.tw

19 Tel.: +886-3-4638800 (ext. 2470); Fax: +886-3-4558013.

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21 **ABSTRACT**

22 Out-of-hospital cardiac arrest (OHCA) is a critical cardiac disorder. The OHCA survival rate is
23 still relatively low. Cardiopulmonary resuscitation (CPR) is very essential with the cardiac arrest.

24 This study evaluates a non-linear approximation of the CPR given to patients, especially asystole
25 patients. In order to clean the electrocardiography (ECG) signal which is collected by the

26 automated external defibrillator (AED), the raw signal is filtered using ensemble empirical mode
27 decomposition (EEMD), and the CPR-related IMFs are chosen to be evaluated. Sample entropy
28 (SE), complexity index (CI), detrended fluctuation algorithm (DFA) and statistical analysis using
29 Anova are utilized. The CPR evaluation compares the patient survival rates after two hours of the
30 cardiac arrest. The CPR pattern of the 951 asystole patients are analyzed. In the CPR-related
31 IMFs peak-to-peak interval analysis, for both classes, patient groups who are younger than or
32 older than 60 years, does not have any significance. Furthermore, the amplitude difference
33 evaluation, both classes do not have any significant difference for SE ($p = 0.28$) and DFA ($p =$
34 0.92) except for the CI ($p = 0.028$). The results show that patients group aged younger than 60
35 years have higher survival rate with high complexity of the CPR-IMFs amplitude differences.

36

37 Keywords: Out-of-hospital cardiac arrest, cardiopulmonary resuscitation, ensemble empirical
38 mode decomposition, sample entropy, complexity index, and detrended fluctuation analysis.

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40

41 **1. Introduction**

42 Yearly, abundance of people over the world suffer out-of-hospital cardiac arrest (OHCA) [1,
43 2]. OHCA can be categorized as a typical situation associated with tremendous mortality rate [3,
44 4]. Its cause is noticed to be due to the acute coronary syndrome [5]. The main cause of OHCA,
45 based on some studies, is due to severe coronary disorder, including the acute coronary occlusion
46 [6-8]. According to Eisenberg et. al., the accomplishment of the patient resuscitation for OHCA
47 is based on certain factors, such as the general condition of the patients, the type and vitality of
48 the events, the distance to cardiac arrest to begin the bystander cardiopulmonary resuscitation

49 (CPR), and following with the advanced cardiac life support (ACLS) [9].

50 CPR is one of the fundamental and chain survival parts for the treatment of the OHCA
51 patients. When the connections between each other is well performed, the survival rate will
52 increase significantly [10]. On the other hand, the unexpected cardiac rhythm can be escalated
53 when one of these connections is postponed [11, 12]. An essential chest compression itself is an
54 effective pressure, at sternum fabricating the stream of blood and oxygen to the myocardium and
55 brain [13]. The chest compression condition is a dominant index of the CPR accomplishment
56 [14-16]. CPR is crucial for the re-forming the spontaneous circulation [17, 18]. It also increases
57 the percentage of the survival rate compare to the no-CPR cardiac arrest cases [19].

58 In order to evaluate the CPR data, the noise is an essential concern. The filtering method
59 should be performed in advanced in order to extract the correct information from the continuous
60 signal. Empirical mode decomposition (EMD) filtering algorithm, proposed by Huang, et. al.,
61 [20, 21], has been used for studies related to signal filtering problem. EMD based-filter also has
62 been used broadly for the narrow-band signal such as ECG [22] and blood pressure [23].

63 In advanced, the filtered signal is extracted to achieve the information contained its
64 characteristics. The entropy algorithm, one of those methods, was used in information theory [24]
65 to face the nonlinearity problems. An entropy algorithm was also applied to the ECG signal
66 studies [25, 26]. A study by Costa, et. al, applying the extended sample entropy, was applied to
67 evaluate the feature extraction of ECG using the multi-scale entropy [27]. Another non-linear
68 method, detrended fluctuation analysis (DFA), was originally utilized for the DNA sequence [28].

69 **Studies related to the purifying the signal and extracting information for the cardiac arrest**
70 **cases have been done for several years. For the filtering area, a study utilizing multi-channel**
71 **Wiener filter and a matching pursuit-like way was done to remove CPR artifact from ECG [29].**

72 The least mean-square (LSM) filtering has also been utilized to remove the CPR problem [30]. A
73 new method combining the noise-assisted multivariable EMD (N-A MEMD) and least square
74 mean (LSM) filtering was implemented by Lo et. al., [31]. The application of the sample entropy
75 for the shock outcome predictor [32]. The extended of the sample entropy, multiscale entropy,
76 was also applied for the cardiac arrest problem [33]. Another non-linear method, detrended
77 fluctuation analysis was utilized by Lin et. al., for the study of ventricular fibrillation in OHCA
78 cases [34]. Therefore, the purpose of this study is to evaluate the CPR pattern by utilizing the
79 EEMD to purify the CPR signal and the ECG data by applying the non-linear algorithms to see
80 the survival rate.

81

82 **2. Data Acquisition and Algorithm**

83 **2.1 Data acquisition**

84 The dataset is retrospectively collected from the New Taipei City fire-based of emergency
85 medical service (EMS). All the staff have been trained for the basic life support, early
86 defibrillation and advanced life support. All the ambulance units are equipped with a ForeRunner
87 AED (Philips, Seattle, WA, USA). The ECG signal is logged into the AED card data, sampled for
88 200 Hz. The logging lead was placed on the patient chest.

89 This study utilizes data from the whole year of 2010. Originally, the total of 1207 patient
90 ECGs, sampled for 200 Hz, is divided into two groups, trauma and non-trauma cardiac arrest.
91 Focusing on the non-trauma patients only, the data is parted into another two groups, either
92 patients have AED shock or non-shock-able signal. In order to evaluate the pure CPR without
93 any help of the AED, all the 1001 non-shock-able patients, which eventually becomes 951 sets
94 after filtering for the quality of the data, is divided according to their age with the threshold of 60

95 years, as shown in Fig. 1. After having the two different group signals, the outcome of the patient
96 is evaluated after 2 hours based on their conditions. The evaluation is analyzed in MATLAB
97 language (Mathwork Inc).

98 2.2 Empirical Mode Decomposition-Based Filter

99 2.2.1. Empirical Mode Decomposition (EMD)

100 EMD is initially proposed by Huang et al. in 1998 [14]. EMD is a convincing algorithm to
101 decompose the specific frequency range of the data into a finite number of intrinsic mode
102 decompositions (IMFs). These decomposed IMFs illustrate certain characteristics. However, for
103 the real-world signals, the mode-mixing disturbs the regularity of the IMFs. Due to this reason,
104 the ensemble empirical mode decomposition (EEMD) was proposed to deal the mode-mixing
105 difficulties.

106

107 2.2.2. Ensemble Empirical Mode Decomposition

108 The intermittence corrupts the consistence of the IMFs. The subsequent mode function will
109 be affected, hence the physical meaning of those IMFs that cannot be parted based on their
110 characteristics. Wu and Huang [35] proposed EEMD using noise-assisted method to overcome
111 this phenomenon. In EEMD, the white noise is added to the original signal to form a mixed
112 combination of noise and signal in order to remove the intermittence and generate consistent
113 IMFs. EEMD study was also conducted to an ECG noise filtering problem [36].

114

115 2.3 Feature Extraction Algorithms

116 2.3.1. Sample Entropy and Complexity Index

117 The entropy is initially recognized in the thermodynamics property to evaluate the regularity.

118 The higher entropy means the less regular the pattern or the sequence to be recognized. For more
119 detail can be referred to the previous study by Costa et. al., [37]. For the multiscale entropy, the
120 coarse grained time series is based on the scale factor. The coarse grained time series will be
121 evaluated by entropy algorithm. The result of the entropy corresponds to the each scale is called
122 multiscale entropy. The complexity index (CI) is defined as measurement of the signal
123 complexity. It is calculated by the evaluation of the area under curve of the multiscale entropy.
124 The calculation from the recreated time series based on the coarse grained information will affect
125 the area under the area of the curve.

126 127 **2.3.2. Detrended fluctuation analysis**

128 Fractal analysis is one of the most prosperous access to get those features. Detrended
129 fluctuation analysis (DFA) is a non-stationary algorithm for statistical analysis. A considerably
130 physiology-related problem is a non-stationary time series one. This method originally proposed
131 by Peng et. al., [38].

132 **3. Results and Discussion**

133 In this study, the original ECG logged from the AED machine, sampling frequency of 200 Hz,
134 is filtered by the EEMD algorithm, shown in Fig. 2 to Fig. 4. From those figures, it can be seen
135 that IMF 2 to IMF 4 are relatively similar to the CPR pattern having the dominant frequency as
136 described as previous study conducted by Lo et. al., [22]. Figs. 5 and 6 also show the time
137 frequency evaluation shows the differences between the raw ECG and the reconstructed-CPR, by
138 combining the CPR-related IMFs, signal after the EEMD filter. Figs. 5a and 6a give the
139 information about the time-frequency information. For Fig. 5a, the dominant signal occurs
140 mostly in below the CPR frequency ranges, lower than 0.5 Hz, indicated by the red area.

141 Meanwhile, for Fig. 6a, after the EEMD filter, the dominant frequency shifts to the range of 2 Hz
142 to 4 Hz, indicated by the red square. This filter also automatically reduces the baseline noise of
143 the signal that can be seen by the Figs. 5b and 6b.

144 All the maxima points are detected from the reconstructed IMFs that have the CPR frequency,
145 by evaluating the changing of the slopes from positive to negative as shown in Fig. 7.,
146 Furthermore, the maxima points are evaluated to obtain the maxima interval (**I**) and maxima
147 amplitude differences (**dA**) from the IMF-combined CPR, shown in Fig. 7. Furthermore, both
148 signals, **I** and **dA**, are estimated by utilizing SE, CI and DFA.

149 The evaluation results of the 951 patient ECGs of non-trauma and non-shock-able rhythm
150 using a threshold of 60 years of age are shown in Table 1. For the interval analysis, it initiates
151 with patients of age greater 60-year old. The total patients for this category is 579 patients who
152 died and 116 patients who survived. In this category, died patients have SE mean value of
153 1.91 ± 0.58 and the survived patients have 1.87 ± 0.56 ($p > 0.05$). For the CI evaluation, died
154 patients have 13.26 ± 4.46 and the survived have 13.48 ± 4.67 ($p > 0.05$). The DFA evaluation
155 produces 0.86 ± 0.145 for died patients and 0.833 ± 0.136 for the survival ($p > 0.05$).

156 The next interval evaluation is for the patients having age less than 60 years. The total
157 number of patients for this class is less than half as much as the greater than 60-year-old patients.
158 The SE has 1.86 ± 0.61 and 1.81 ± 0.6 respectively for died and the survived, p-value is greater
159 than 0.05. The CI has 13.12 ± 4.9 and 12.03 ± 4.26 , respectively for died and survived, and has no
160 significant differences. For the DFA, it has 0.839 ± 0.15 and 0.845 ± 0.12 respectively for died and
161 NYM patients, and also not significantly different.

162 From the amplitude difference point of view, for the patients' age is greater than 60, died
163 patients have SE mean value of 0.22 ± 0.236 and for the survive patients have 0.226 ± 0.244 ($p >$

164 0.05). For the CI evaluation, died patients have 1.23 ± 1.24 and survived have 1.195 ± 1.184 ($p >$
165 0.05). The DFA produces 0.115 ± 0.126 for died patient and 0.099 ± 0.116 for survived ($p > 0.05$).

166 For cases of the category of age of less than 60 years, the SE has 0.2 ± 0.23 and 0.24 ± 0.16 ,
167 respectively of died and alive patients, and have no significant differences. The CI has
168 0.983 ± 1.03 and 1.378 ± 1.173 , respectively for died and survived, this case is significantly
169 different ($p < 0.05$). The DFA case creates 0.105 ± 0.168 and 0.107 ± 0.098 ($p > 0.05$).

170 Several studies were conducted earlier related to the age and the CPR to the outcome of the
171 survival. A study by Longstreth et. al. evaluated the 5-year period about the relation of the age
172 and the CPR. This study stated that the CPR can benefit the elderly as well as the younger
173 patients [40]. Another study conducted by Wuerz et. al., also produced no significant different for
174 younger and elderly patient for the return of spontaneous patients and survived to the hospital
175 discharge [41].

176 However, a study conducted by Herlitz et. al, for 23461 patients, concluded that age also is a
177 serious factor in the cardiac arrest cases. The survival rate decreases by the age [42]. Another
178 study of 503 cases conducted by Murphy at. al., carried out the information that the elderly
179 having out-of-hospital cardiac without any witness or with the asystole made the CPR barely
180 effective [43]. For the long-term-care population, even though the CPR is performed by the
181 qualified and professional team, the elderly had a very small benefit [44].

182

183 **4. Conclusions and Future Work**

184 This study evaluates a total of 951 of the non-shock-able patient ECGs, using the ensemble
185 empirical mode decomposition filtering and utilizing non-linear approaches. The IMF-combined
186 CPR maxima interval and the amplitude are evaluated. Even though most of all evaluations do

187 not have any significant different, the evaluation of CI for the maxima amplitude has difference
188 significantly. According to the results, it can be concluded that the patients with age younger than
189 60 years have higher survival rate by having more complexity in CPR amplitude differences.
190 This result can be considered as the information of the automated CPR machine design with the
191 force given by the machine may be dynamics.

192 This study has several limitations. The first one is when the noise has the same frequency
193 range of those CPR IMFs, affecting to the raw ECG signal, is still in the evaluation. This
194 condition may affect the result, especially for the slope evaluation. Another limitation is the
195 survival and died patient portion data are relatively not balance.

196 For future study, the application of the advanced time-domain filter should be applied to
197 purify the unfiltered noise on the frequency domain filter.

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203 Conflict of Interest

204 The authors declare no conflict of interest.

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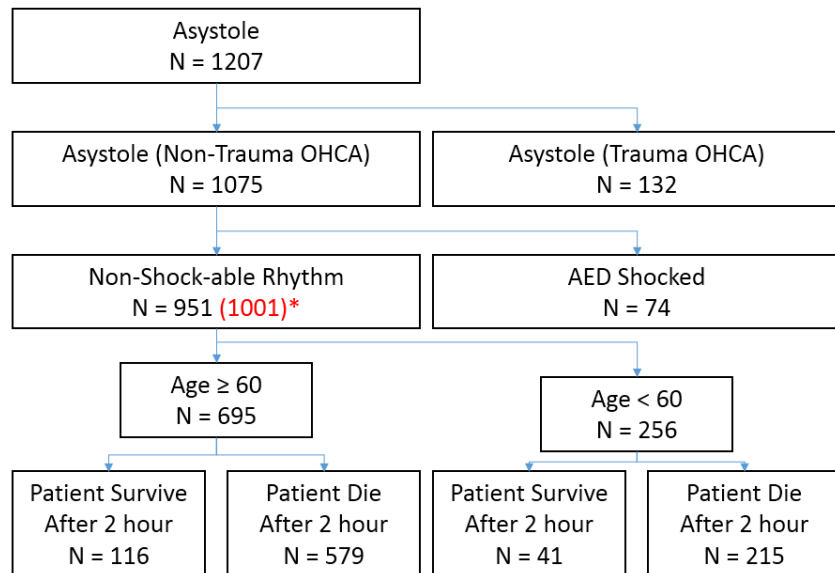
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321 **Figures and Tables**



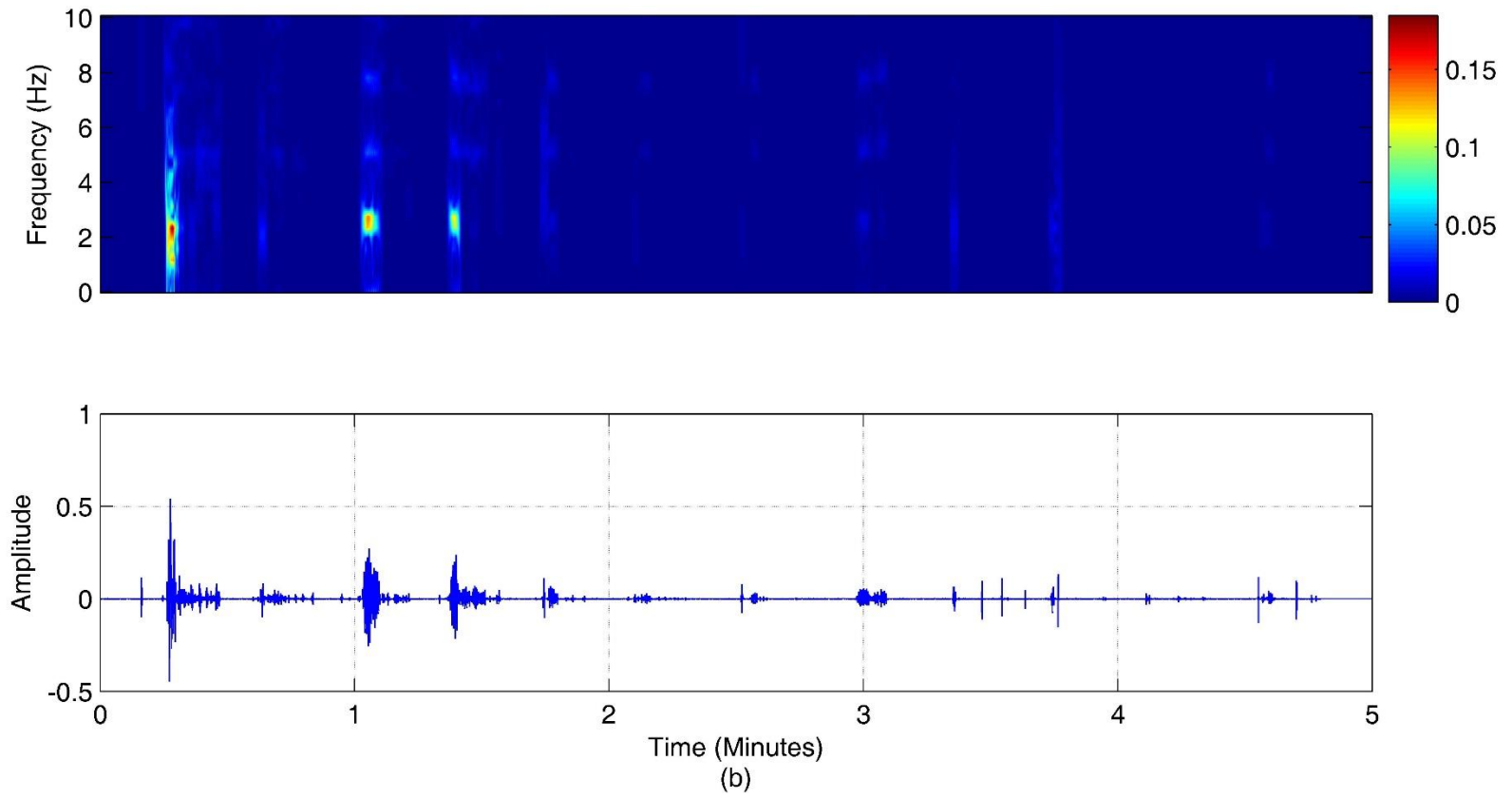
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Figure 1: The flowchart of the CPR evaluation.

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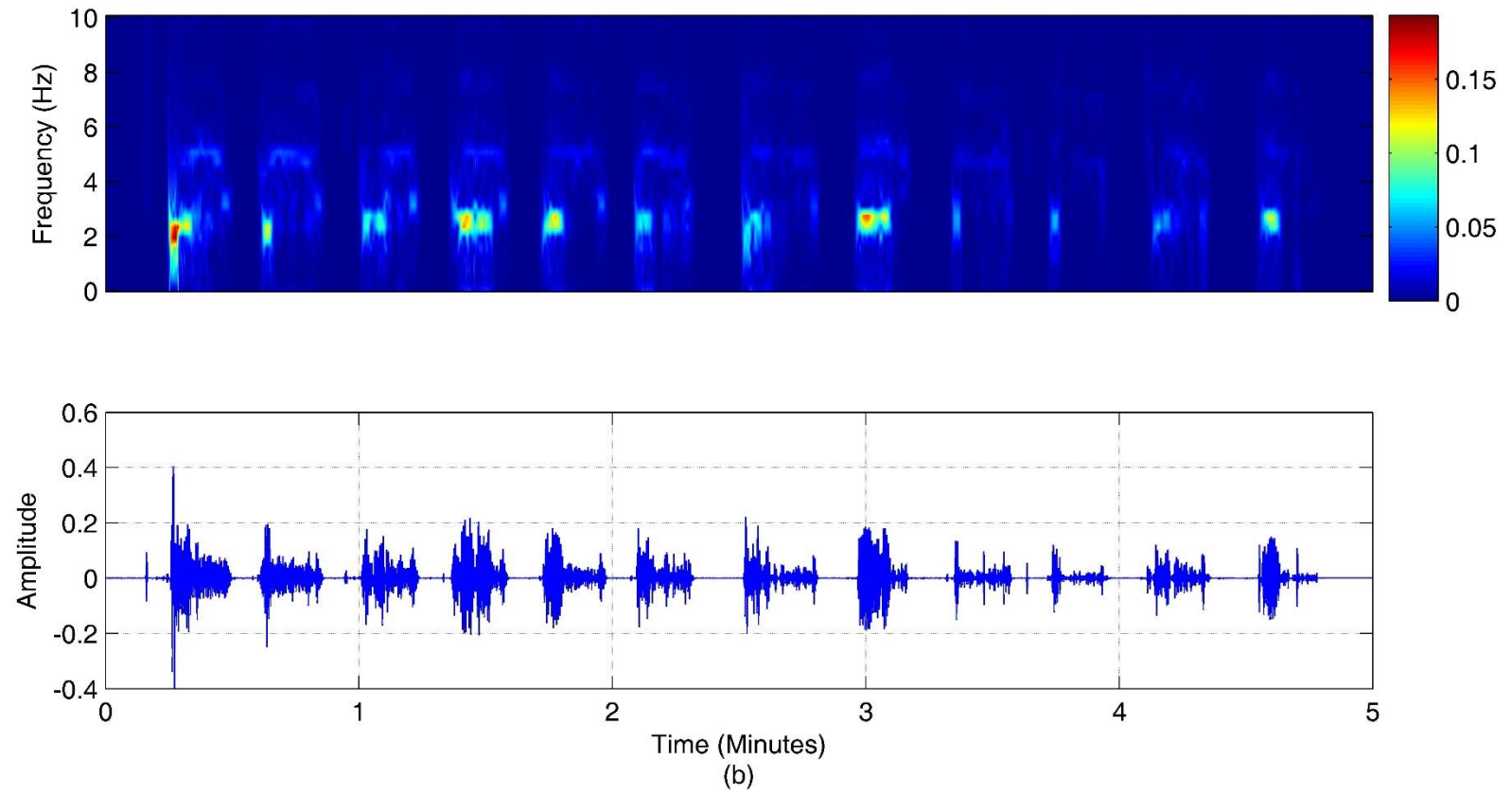
***Note:** The original 1001 ECG signal have to be reduced due to the quality of the data.



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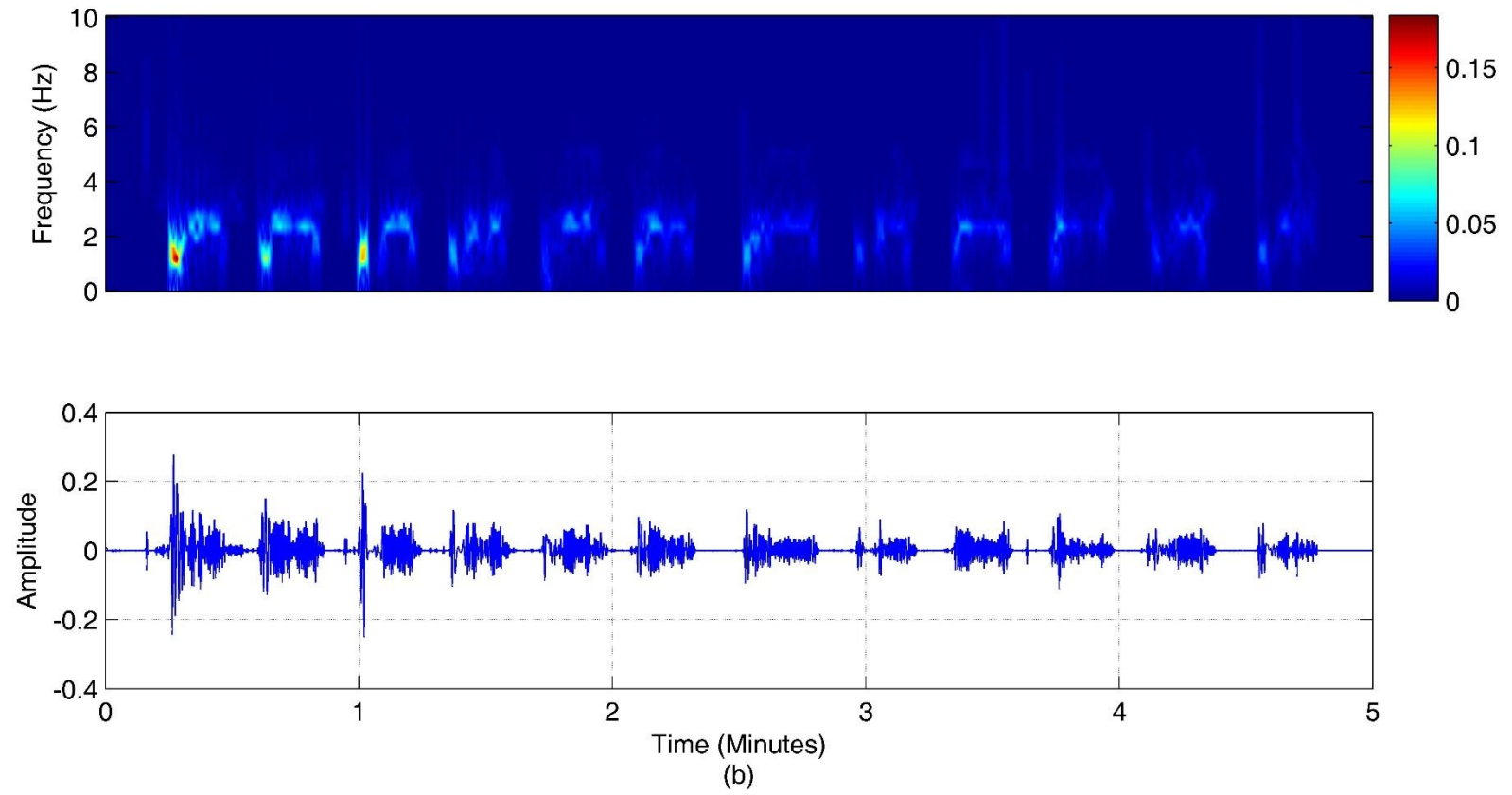
Figure 2: EEMD-extracted CPR and the time-frequency information of IMF 2.



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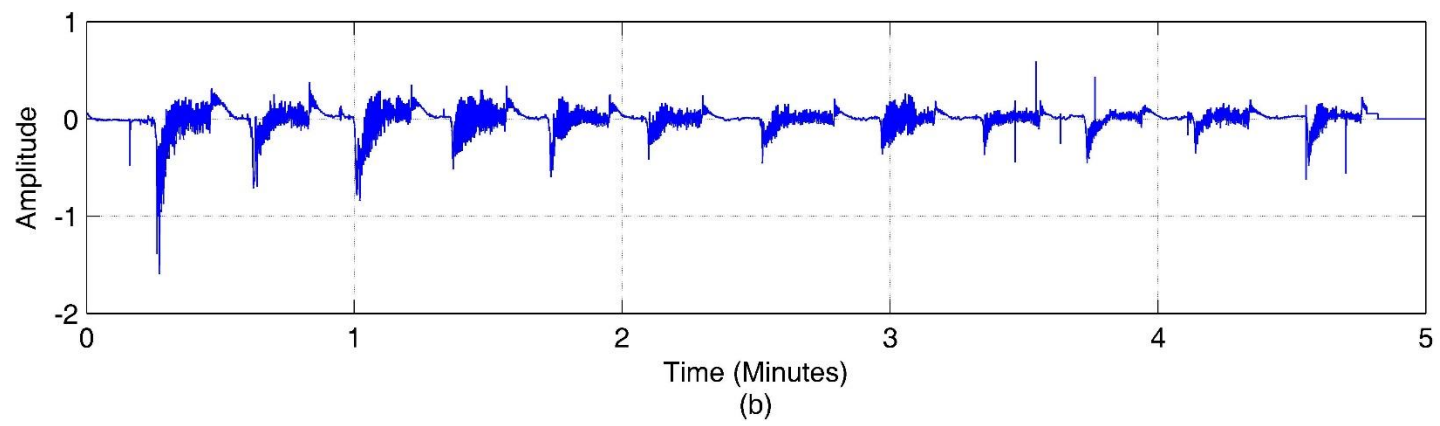
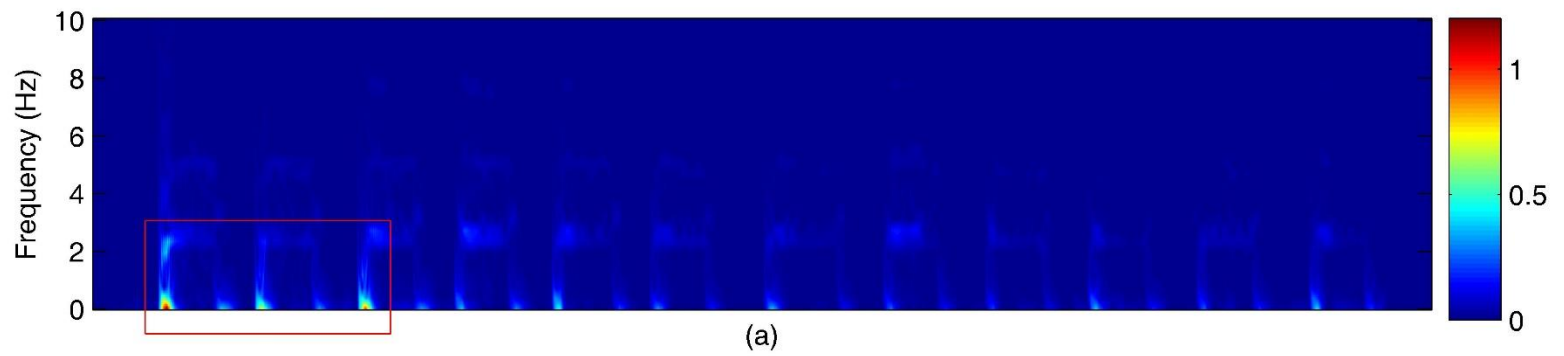
Figure 3: EEMD-extracted CPR and the time-frequency information of IMF 3.



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Figure 4: EEMD-extracted CPR and the time-frequency information of IMF 4.

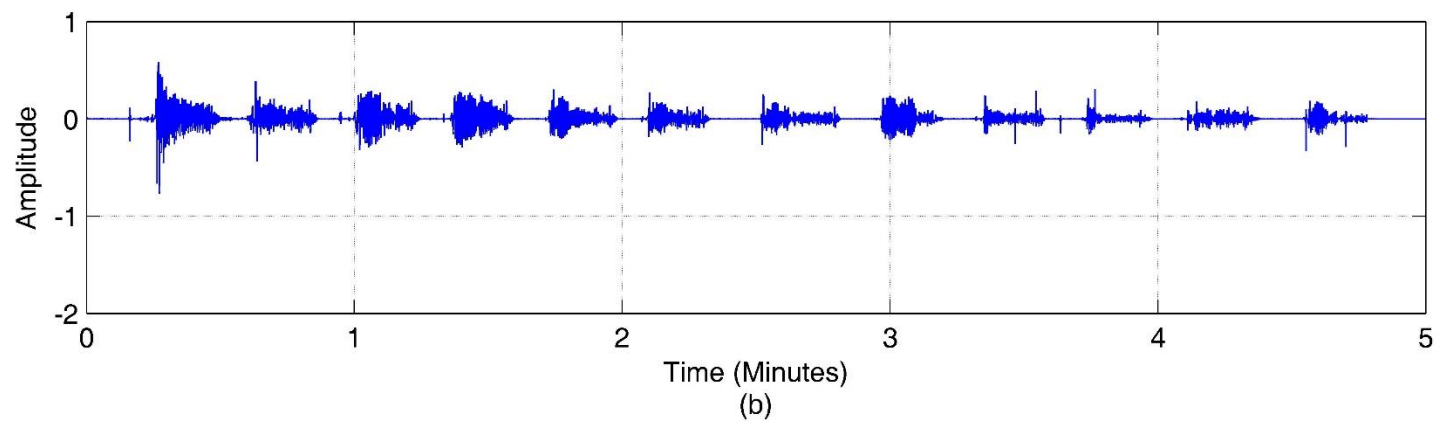
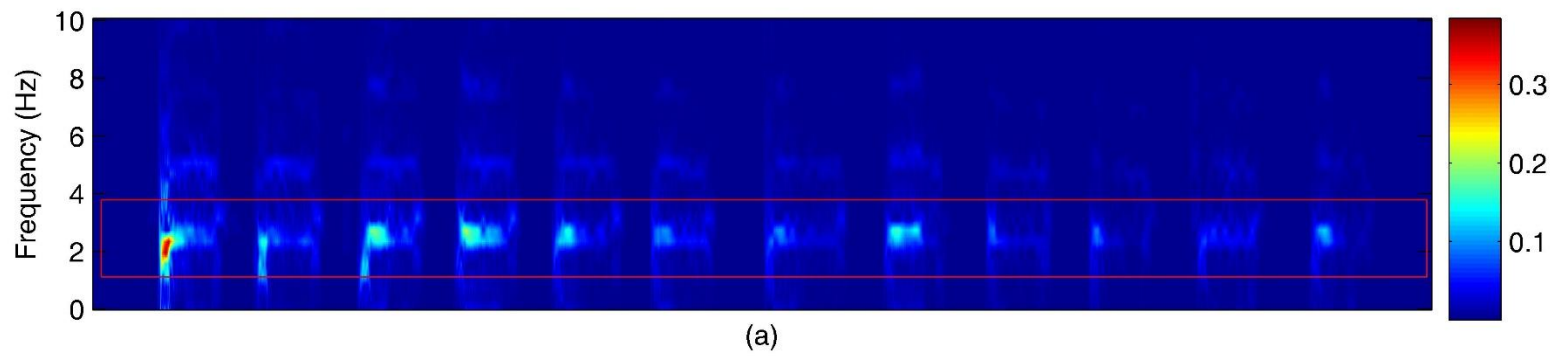


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Figure 5: Raw signal from AED machine. a) Time-frequency result; b) The raw signal.



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Figure 6: EEMD-reconstructed CPR signal. a) Time-frequency result; b) The **reconstructed** signal.

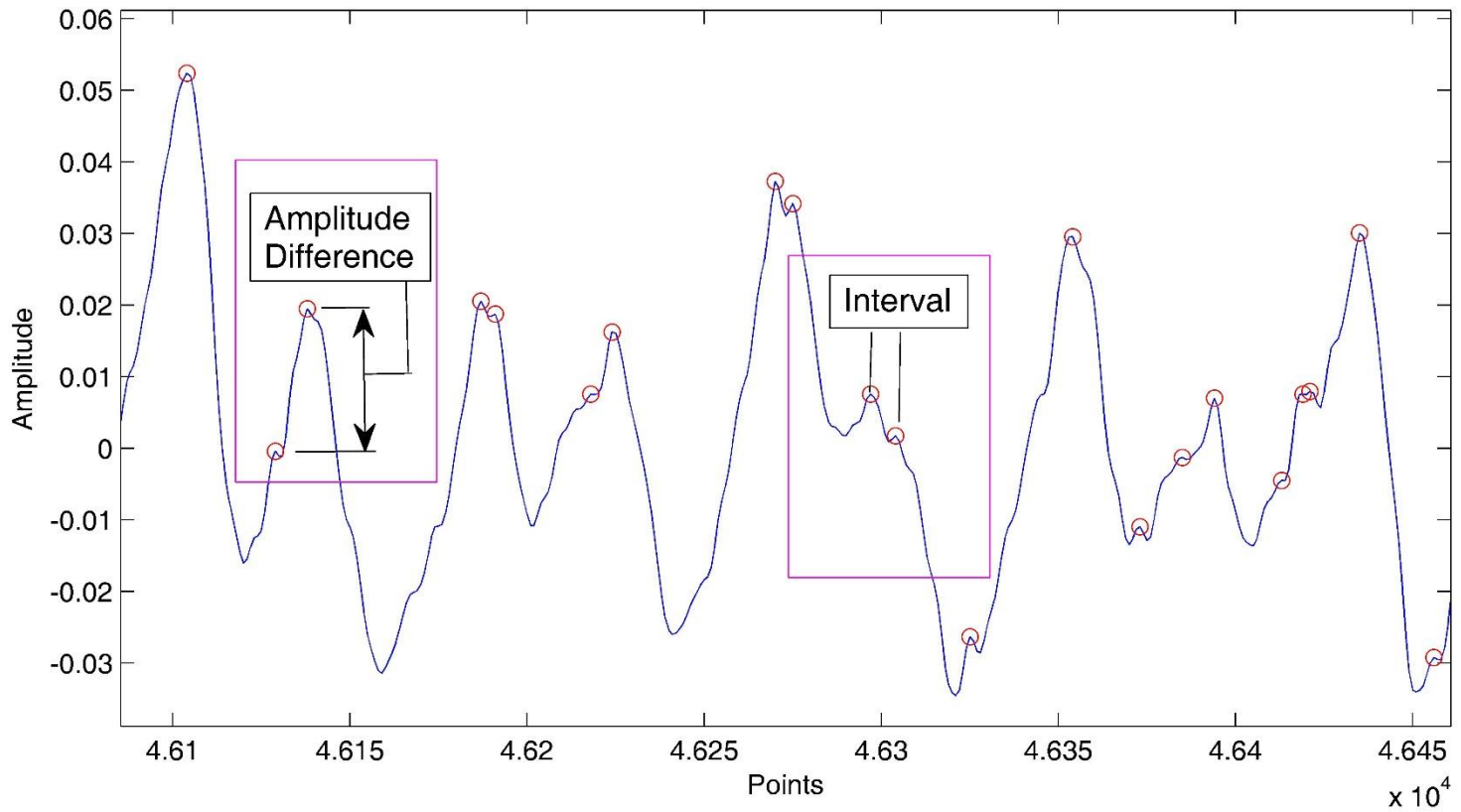


Figure 7: CPR IMFs maxima information evaluation

Table 1: The statistical evaluation of the CPR IMFs result.

Evaluation	Age	Feature	Status	Mean	Standard Deviation	<i>p-value</i> (<i>p</i> <0.05)
INTERVAL	> 60 (579,116)	SE	Died	1.91	0.58	0.556
			Survival	1.87	0.56	
		CI	Died	13.26	4.46	0.62
			Survival	13.48	4.67	
		DFA	Died	0.86	0.145	0.06
			Survival	0.833	0.136	
	< 60 (215,41)	SE	Died	1.86	0.61	0.575
			Survival	1.81	0.6	
		CI	Died	13.12	4.9	0.234
			Survival	12.03	4.26	
		DFA	Died	0.839	0.15	0.825
			Survival	0.845	0.12	
AMPLITUDE	> 60 (579,116)	SE	Died	0.22	0.236	0.825
			Survival	0.226	0.244	
		CI	Died	1.23	1.24	0.781
			Survival	1.195	1.184	
		DFA	Died	0.115	0.126	0.215
			Survival	0.099	0.1165	
	< 60 (215,41)	SE	Died	0.2	0.23	0.28
			Survival	0.24	0.16	
		CI	Died	0.983	1.03	*0.028
			Survival	1.378	1.173	
		DFA	Died	0.105	0.168	0.912
			Survival	0.1077	0.0983	

341 *NOTE: SE means sample entropy, CI complexity index, DFA detrended fluctuation analysis, “*”

342 significant different parameter.