# Conceptual modelling to assess how the interplay of hydrological connectivity, catchment storage and tracer dynamics controls non-stationary water age estimates

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Conceptual modelling to assess how the interplay of hydrological connectivity, catchment
 storage and tracer dynamics controls non-stationary water age estimates

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8 Abstract

9 Although catchment storage is an intrinsic control on the rainfall-runoff response of streams, direct measurement remains a major challenge. Coupled models that integrate 10 long-term hydrometric and isotope tracer data are useful tools that can provide insights into 11 12 the dynamics of catchment storage and the volumes of water involved. In this study, we use a tracer-aided hydrological model to characterize catchment storage as a dynamic control 13 on system function related to streamflow generation, which also allows direct estimation of 14 15 the non-stationarity of water ages. We show that in a wet Scottish upland catchment 16 dominated by runoff generation from riparian peats (histosols) with high water storage, 17 non-stationarity in water age distributions are only clearly detectable during more extreme 18 wet and dry periods. This is explained by the frequency and longevity of hydrological 19 connectivity and the associated relative importance of flow paths contributing younger or older waters to the stream. Generally, these saturated riparian soils represent large mixing 20 21 zones that buffer the time variance of water age and integrate catchment-scale partial 22 mixing processes. Although storage simulations depend on model performance, which is

influenced by input variability and the degree of isotopic damping in the stream, a longerterm storage analysis of this model indicates a system which is only sensitive to more
extreme hydroclimatic variability.

 Keywords: Catchment storage, water age, rainfall-runoff model, tracers, stable isotopes,
connectivity.

### 30 I Introduction

The relationship between catchment water storage and discharge is fundamental to understanding the hydrological response of streams (Kirchner, 2009). Recent years have seen many studies attempting to characterize catchment storage dynamics. These include for example direct hydrometric analysis (McNamara et al., 2011; Brauer et al., 2013), use of conservative tracers (Soulsby et al., 2009), application of hydrological models (Birkel et al., 2011a), new field instrumentation (e.g. COSMOS – Zreda et al., 2012) and remote sensing (e.g. GRACE - Rodell et al., 2009). These alternative approaches have yielded different insights often relevant at contrasting spatial scales. However, given the difficulties in fully characterizing subsurface hydrology (e.g. soil moisture and groundwater variability) at the catchment scale, in most cases, only a partial view of storage dynamics are given by the different methods. For example, hydrometric analysis provides insight into the near-surface storage dynamics that reflect water balance processes (Kirchner, 2009). However, models that concurrently track conservative tracers between rainfall and runoff usually infer much larger volumes of storage, needed to damp and lag tracer input variability in outputs (Birkel 

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et al, 2011a). These large storage volumes were first proposed in the form of additional parameters in conceptual models reflecting storage volumes that participate with solute mixing but do not affect streamflow dynamics (Barnes and Bonell, 1996). Even detailed soil moisture and groundwater monitoring at the hillslope scale can miss important dynamics in the weathering zone (Salve et al., 2012). Only in extensively studied small experimental watersheds - usually with a well-characterized, shallow subsurface - can constrained, quantitative estimates of total catchment storage be achieved (e.g. Bishop et al., 2011; Peters et al., 2013). 

Investigating both short-term (e.g. hourly and daily) and longer-term (e.g. intra- and inter-annual) storage change is crucial to understanding non-stationarity in catchment hydrological function and stream flow behaviour (e.g. Brooks et al., 2009; Rinaldo et al., 2011). Conservative tracers can play an important role in this regard and integrating tracers in rainfall-runoff models shows promise as learning tools in hypothesis testing (Soulsby et al., 2008). Such integration has helped to elucidate the inter-relationships between the short-term storage dynamics that reflect the catchment water balance and stream flow response with the mixing processes that regulate solute transport and control water transit times (e.g. Fenicia et al., 2010; Heidbüchel et al., 2012). However, the potential of such models to explore non-stationarity in mixing processes and resulting time-variant water age distributions has only recently started to be explored (McMillan et al., 2012; Hrachowitz et al., 2013). Theoretical work by Rinaldo et al. (2011) and Botter et al. (2011) emphasized the differences between the age of water in transit and in storage, which under non-steady conditions can not be assumed identical. In this context, integrated flow-tracer models have

> particular potential to better understand catchment functioning (McDonnell and Beven, 2014) in catchments where long-term hydrometric data is complemented by time series of tracer data from the major runoff source areas where mixing occurs (e.g. soil water, groundwater etc.). This allows direct testing of hypotheses about mixing and water age in different storage components (Birkel *et al.*, 2014).

In this study, we build on a previous model of the Girnock catchment (Birkel et al., 2010) by examining long term hydrometric data (> 40 years), tracer data (> 10 years), and ancillary process-based data on soil hydrology and groundwater dynamics (Tetzlaff et al., 2014). The site is also more broadly representative of other northern upland catchments facilitating transferability. Tracer-aided models have been developed here which allow catchment storage in different landscape units to be estimated (Birkel et al., 2011b). However, the literature is marked by inconsistency in the terminology used to describe different elements of catchment storage; with terms like active, dynamic, hydraulic, passive, residual, immobile and dead storage, used amongst other. For clarity in our model, we define catchment storage as the sum of dynamic storage and additional storage available for mixing that can be detected using hydrometric and conservative tracer data. This acknowledges that the total catchment storage is difficult – usually impossible - to determine. In this paper we define storage that actively or hydraulically contributes to discharge as dynamic storage. The storage that does not necessarily contribute to discharge but is available for mixing tracer inputs is subsequently termed the additional storage mixing volume.

We use a unique six year data set of weekly and event-targeted daily oxygen-18 isotopes of
precipitation and streamflow from the Girnock catchment. These years from 2003 to 2009

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incorporate periods of marked hydrological contrasts including the latter part of the Europewide drought in 2003 and a very wet year in 2006/07. The tracer data was integrated into a low-parameter conceptual model to simulate stream flow on the basis of dynamic storage changes, as well as exploring how hydroclimatic variability results in differences in the proportion of additional storage volumes mobilized for mixing. The variability of mobilized additional storage volumes is hypothesized as the main driver of non-stationary water ages. Our specific objectives to assess the latter are firstly to explore long-term internal storage dynamics using a multi-objective, moving window calibration of a parsimonious, rainfall-runoff model coupled with an isotope transport model to simulate daily discharge and oxygen-18 dynamics. Secondly, we investigate how the different calibration windows of dynamic storage and additional storage mixing volumes relate to hydroclimatic variability, catchment connectivity and flow paths, model performance, the information content of tracer data and resulting stream water age estimates.

### 105 II Study catchment

The Girnock Burn is located in the Cairngorm Mountains of Scotland and drains about 30 km<sup>2</sup> (57° 00' 59" North and 3° 07' 60" West, Figure 1). Its catchment characteristics are detailed elsewhere (Soulsby et al., 2007; Tetzlaff et al., 2007). Briefly, average annual precipitation over the study period from 2000 to 2009 is around 950 mm, with annual runoff of 530 mm and potential evapotranspiration of 408 mm. These figures are close to the longterm averages since 1970. The altitudinal range is 631 m with a maximum at 861 m. Granitic rocks can mostly be found at higher-elevation areas while schists predominate at lower elevations (Soulsby et al., 2007). These rocks have generally poor aquifer characteristics,

and due to the glacial legacy much of the catchment is covered by low-permeability drift deposits (in parts > 30 m deep). In the valley bottoms histosols (peats and peaty gleys) fringe much of the stream channel network. These riparian soils remain close to saturation all year round and generate saturation overland flow as storm runoff (Ali et al., 2014). They receive input from upslope freely draining podzolic soils (which also facilitate deeper groundwater recharge) in the form of quasi-continuous groundwater seepage and threshold-like non-linear near-surface connections from lateral flow during larger storm events (Tetzlaff et al., 2014). These connectivity dynamics cause the saturation zone to expand and contract (its observed spatial extent varies between 2 – 40% of the catchment area) and drive the catchment hydrological response (Birkel et al., 2010). Snow usually melts quickly (within a matter of days over most of the catchment) and generally comprises of < 5% of the annual water balance (Capell *et al.*, 2013).

### 128 III Data and Methods

### 129 3.1 Hydrometric and isotopic data

Daily discharge (*Q*) data was derived from recorded water levels at Littlemill (Scottish Environment Protection Agency, SEPA). Precipitation (*P*) was interpolated using a squared elevation inverse distance weighted algorithm similar to Birkel *et al.* (2011a) based on 12 SEPA rain gauges located around the Girnock catchment. Potential evapotranspiration (*PET*) was estimated using Penman-Monteith from an automatic weather station within the Girnock (Marine Scotland Science) for the dominant heather moorland vegetation and then

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adjusted prior to modelling using an annual scaling coefficient kET to match the water balance. This resulted in modifications between 0.94 < kET < 1.09.

Water samples (accumulated rain and instantaneous stream spot samples) were analyzed for oxygen-18 isotopes in ‰. Samples were collected at approximately weekly intervals but where possible, attempts were also made to capture the peaks of storm events between October 2003 and September 2009. Isotope analysis was conducted using gas source isotope mass spectroscopy (precision: ±0.1 ‰) at the Scottish Universities Environmental Research Centre and the James Hutton Institute.

### 146 3.2 Model approach

Previous work has reported a suite of rainfall-runoff models developed for the Girnock using tracers to help constrain the model structure. These models simulated stream flow based on the dominant geographic sources of runoff (Tetzlaff et al., 2008; Birkel et al., 2010) and their temporal dynamics (Birkel et al., 2011a, b; Birkel et al., 2014). The non-linearities of the runoff response were successfully incorporated in a tracer-conditioned dynamic saturation area model (full details given in Birkel et al., 2010). This latter model is based on a dynamic conceptualization of hydrological connectivity associated with quick near-surface runoff generation mechanisms in saturation areas, together with a linked groundwater store (to see Figure 2 for the model structure, connections between stores, and basic equations). The connectivity of the saturation area to the stream was estimated as a function of antecedent wetness and soil moisture capacity. A 7-day antecedent wetness algorithm was calibrated 

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158	against seven actual saturation area maps (derived from field observation) to be	est represent
159	the dynamic expansion and contraction of the saturation area (details in Birkel	et al., 2010;
160	also see Ali et al., 2014). This allowed a daily time series of % catchment sat	uration area
161	(dSAT) to be used directly as model input to volumetrically differentiate	the hillslope
162	reservoir from the saturation area store. Subsequently, catchment precipit	ation P and
163	potential evapotranspiration ET are distributed into hillslope ( $P_{up}$ , ET <sub>up</sub> ) and sat	turation area
164	$(P_{sat}, ET_{sat})$ according to the extent of $dSAT$ (only the equations for precipitation	are given for
165	illustration):	
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167	$P_{up} = PdSAT$	(1)
168	$P_{sat} = 1 - P_{up}$	(2)

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Here, the original model of Birkel et al. (2010) was modified to conceptualize unsaturated 170 171 storage units (Figure 2); in other words reservoirs do not have a lower limit and levels below 172 a pre-defined baseline prevents generation of lateral and vertical fluxes except evapotranspiration. The unsaturated storage was conceptualized to reflect soil moisture-173 174 related threshold processes of runoff generation (Tetzlaff et al., 2014). The storages S are 175 model state variables and we describe the following fluxes and calibrated parameters. The unsaturated hillslope reservoir  $S_{up}$  is drained (flux  $Q_1$  in mm d<sup>-1</sup>) by the linear rate 176 parameter a (d<sup>-1</sup>) and directly contributes to the saturation area store  $S_{sat}$ ; the recharge rate 177 *R* (mm d<sup>-1</sup>) to the groundwater reservoir  $S_{low}$  is linearly calculated using the parameter *Re* (d<sup>-1</sup>) 178 <sup>1</sup>); the  $S_{low}$  generates runoff  $Q_{low}$  (mm d<sup>-1</sup>) contributing to total streamflow Q (mm d<sup>-1</sup>) using 179

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the linear rate parameter b (d<sup>-1</sup>). The runoff component  $Q_{sat}$  (in mm d<sup>-1</sup>) generated nonlinearly from  $S_{sat}$  conceptualizes saturation overland flow using the rate parameter k (d<sup>-</sup> <sup>1</sup>) and the nonlinearity parameter  $\alpha$  (-) in a power function-type equation (Figure 2); and Q is simply the sum of  $Q_{sat}$  and  $Q_{low}$ . Each of the three reservoirs ( $S_{up}$ ,  $S_{sat}$  and  $S_{low}$  in mm) incorporates an additional calibrated storage parameter (upS<sub>p</sub>, satS<sub>p</sub> and lowS<sub>p</sub>, respectively in mm) for isotope transport simulations. This was achieved by creating an additional volume available for isotope storage, mixing and transport that does not affect the dynamic water storage and fluxes: 

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$$\frac{d(c \ S)}{dt} = \sum_{j} c_{I,j} I_{j} - \sum_{k} c_{O,k} O_{k}$$
  
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(3)

With c being the  $\delta^{18}$ O signature of storage components (‰) in j storage inflows  $I_i$  (e.g. P,  $Q_{up}$ , R) and k outflow  $O_k$  components (e.g. ET,  $Q_{low}$ ,  $Q_{sat}$ ), which characterizes the catchment storage S dynamics (sum of dynamic and additional storage available for mixing) and associated isotope signature c. Dynamic storage  $(S_d)$  was calculated as the sum of the three internal model storage units ( $S_{up}$ ,  $S_{sat}$  and  $S_{low}$ ). However, the additional storage parameters were subsequently converted into time-variable mixing volumes (MV). This was done with the premise of using the non-linear rainfall-runoff model as a means of relaxing the assumption of complete mixing at the catchment scale without introducing new parameters. Thus, as the rainfall-runoff model dynamically varies the extent of the  $S_{sat}$  and  $S_{up}$ , the complete mixing in individual reservoirs is integrated at the catchment scale in a non-linear manner. The MVs therefore represent a partial mixing mechanism and were

1 2		
2 3 4	202	calculated according to the catchment wetness (dSAT) state assuming that greater wetness
5 6 7	203	results in greater saturation area extent but also greater potential for mixing ( $MV_{sat}$ ). In
8	204	contrast, it is assumed that the hillslope mixing volume $(MV_{up})$ decreased as the saturation
9 10	205	area expands. This is consistent with the hydrological model and the key goal of a minimal
11 12 13	206	number of model parameters:
14 15		
16 17	207	
18 19	208	$MV_{sat} = satS_p  dSAT \tag{4}$ $MV_{up} = upS_p (1 - dSAT) \tag{5}$
20 21 22	209	M(t) = upS(t) dSAT
22 23 24	209	$MV_{up} = upS_p (1 - dSAT) $ <sup>(5)</sup>
25 26	210	
27 28	211	Since $S_d$ actively contributes to discharge (Figure 2), we excluded situations when storages
29 30	212	below the baseline did not generate outflow. Catchment storage (S) estimates (identifiable
31 32 33	213	from hydrometric and isotopic data) use the three mixing volumes ( $S_{MV}$ ) in addition to the
34 35		
36 37	214	$S_d$ . The simple S to Q ratio (total streamflow) is used as the mean annual transit time
38 39	215	estimate (TT) in an attempt to assess inter-annual dynamics and possible non-stationary
40 41	216	behaviour (Zuber, 1986; Soulsby et al., 2009):
42 43 44	217	
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47 48	218	$TT = \frac{S_d + S_{MV}}{Q} \tag{6}$
49 50		
51 52	219	
53 54 55	220	Recognizing that exponential models resulting from a linear, well-mixed reservoir
56 57	221	(Maloszewski and Zuber, 1982) are usually inadequate in fully describing tracer dynamics
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(Kirchner et al., 2000), this model effectively integrates three reservoirs. One reservoir is non-linear ( $S_{sat}$ ), two reservoirs incorporate partial mixing mechanisms ( $S_{sat}$  and  $S_{up}$ ) and transport to the stream is calculated by two parallel reservoirs ( $S_{sat}$  and  $S_{low}$ ). Two linear reservoirs have been shown to give comparable results to the more parsimonious gamma distribution model (Shaw et al., 2008) and two parallel reservoirs are conceptually closer to an advective-dispersion mechanism (Kirchner, 2000). Here we describe a more complex model that might result in a non-smooth transit time distribution as previously reported by Dunn et al. (2010) and Van der Velde et al. (2011). We therefore track the age of waters in flux using a time stamp tagging each daily incoming and outflowing flux; in addition to the mean annual TT this also allows us to assess the intra-annual variability similar to Hrachowitz et al. (2013): 

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$$p_{F,Q}(t_j - t_i, t_j) = \sum_{n=1}^{N} p_{F,Q_n}(t_j - t_i, t_j) \frac{Q_n(t_j)}{Q(t_j)}$$
  
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(7)

Where  $p_{F,Q}$  is the distribution of water age of all contributing fluxes  $Q_n$  to total discharge Qwith  $t_i$  being the time of exit at the catchment outlet and  $t_i$  the time of entry with P.

The model was calibrated (parameters a, b, Re, k,  $\alpha upS_p$ , satS<sub>p</sub> and lowS<sub>p</sub>) using a multi-objective (flow and tracer) non-dominated sorting genetic algorithm (NSGA2) for optimization applied to each water year starting 01/10/2003 until 30/09/2009 (Deb et al., 2002). Water years were chosen for calibration in an attempt to generate time-variable

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243	parameter sets as basis to assess non-stationary catchment behaviour (Seibert and
244	McDonnell, 2010; Westra et al., 2014). Preliminary tests varying the length of time series for
245	calibration showed that periods >1 year lost important information on extreme values whilst
246	<1 year were insufficient to pick up seasonality effects. The calibration procedure was based
247	on recommendations by Deb et al. (2002) and tested to minimize computation time
248	guaranteeing an exhaustive search across the parameter space. The optimization included
249	500 parameter sets (for each of the parameters shown in Figure 2) defined as an initial
250	population. This population was tested and repeatedly constrained over 100 iterations each
251	defined as a generation. This resulted in a total of 50000 tested different parameter
252	combinations. Only the final and best parameter population (500 parameter sets) was
253	retained and used for further analysis which included calculation of simulation bounds
254	representing posterior parameter variability. The retained 500 parameter sets were applied
255	to simulate model output and it was assumed that the results provide a range of accepted
256	models equivalent to a formal uncertainty analysis as shown by Andrews <i>et al.</i> (2012).
257	Calibration objectives were formulated using the modified Kling-Gupta efficiency (KGE)
258	criterion (Kling et al., 2012) simultaneously applied to discharge (KGE_Q) and oxygen-18
259	( <i>KGE</i> $_{\delta}^{18}O$ ) time series. The <i>KGE</i> is a three-dimensional representation (Euclidean distance)
260	of the widely-used Nash-Sutcliffe criterion overcoming some weaknesses of the latter
261	(Schaefli and Gupta, 2007) balancing dynamics (correlation coefficient r), bias (bias ratio $\beta$ )
262	and variability (variability ratio $\gamma$ ). The following equations mainly use the mean ( $\mu$ ) and
263	standard deviation ( $\sigma$ ) of observed (subscript <i>obs</i> ) against simulated (subscript <i>sim</i> ) values:

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 $KGE = 1 - \sqrt{(1 - r)^2 + (1 - \gamma)^2 + (1 - \beta)^2}$ (8)

 $\gamma = \frac{\left(\frac{\sigma_{sim}}{\mu_{sim}}\right)}{\left(\frac{\sigma_{obs}}{\mu_{obs}}\right)}$ (9) 267  $\beta = \frac{\mu_{sim}}{\mu_{obs}}$ (10) 

The KGE ranges from - Infinity to a perfect fit of 1. Modelling was started for each iteration 01/01/2000, but calibration was initiated with available isotope data from 01/10/2003 and then continued separately for the following water years. The preceding 2.5 years plus subsequent water years were used as a warming-up period to fill storages and initiate isotope signatures in storages. Observed soil and ground water isotope values were used to initiate the isotope signatures in the storage units (Tetzlaff et al., 2014). The 2.5 years warm-up period was deemed sufficient after tests running the model showed a negligible impact on model calibration.

278 IV Results

279 4.1 Long-term hydroclimatic and isotopic variability

The study period covers the driest period 03/04 in the 42 year available record for the Girnock. The summer drought 2003 followed remarkably wet periods in 00/01 and 01/02which were amongst the wettest years on record (Figure 3). Below average *Q* persisted for over two years following the 2003 drought, a period which was also characterized by below average *P*; low annual runoff coefficients (RC) reflect this. The year 06/07 was again extremely wet, with the following two years only slightly below average. Estimated ETP appears guite stable oscillating between 380 and 430 mm yr<sup>-1</sup> which reflects the energy-limited environment. Uncertainty in the annual water balance is however most likely affected by underestimated P inputs and measurement errors in the montane environment despite the interpolation method used. In terms of the tracer measurements which began in October 2003, the greatest isotopic range in Q was observed for 03/04 followed by the wet year 06/07 and the lowest range in the year 04/05 (Table 1). This did not, however, always correspond to the input variability. The mean annual isotopic P and Q values are generally similar, but the mean oxygen-18 in Q tended to be more depleted compared to the mean in Ρ.

### 296 4.2 Model simulations

The models calibrated to individual water years showed varying, but mostly reasonable performance (Figure 4). The Pareto fronts showed sharp gradients indicating performance close to optimal values (Figure 5). The water year 04/05 gave the best discharge performance with KGE Q = 0.85, but among the lowest isotope performance with KGE  $\delta^{18}$ O = 0.7. The discharge and isotopic dynamics were mostly captured by the simulation ranges (Figure 4) although the highest peak discharges tended to be under-predicted and dry period flows are over-estimated (most notably during summer 2004 and 2005). This most likely resulted from underestimated P input, carry-over effects of the summer 2003 drought resulting in unsaturated storages and a model calibration that compensated both high and low flow periods. Additionally, in some years (2004, 2005 and 2008), under-prediction of summer stream isotope signatures was evident, which would be consistent with

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fractionation in the riparian wetlands; a process not considered by the model used here (cf. Birkel et al., 2014). The information content of tracers was variable, being greatest when input variation was most marked and limited if low (Table 1). The information content is probably reflected in the best isotope performance (KGE  $\delta^{18}$ O = 0.87) achieved for the water year 07/08 where inputs were most variable. 4.3 Dynamic and additional storage dynamics The daily mean Q over the study period showed clear seasonality which mainly reflected the influence of daily mean PET as P was fairly evenly distributed throughout the year (Figure 6). This seasonality was also reflected in the mean daily dynamic storage  $S_d$  which was derived from the model, though this was slightly lagged with lower (negative storage reflects unsaturated conditions) storage values during summer through to autumn (roughly between May and October). The storage deficits were largely restricted to Sup as both Slow and S<sub>sat</sub> on average remained positive due to limited groundwater drawdown and maintenance of saturation in the riparian zone. The  $S_d$  changes for individual years exhibited marked differences. For example, the daily  $S_d$  for the 2003 drought showed storage deficits from the end of April, and unsaturated conditions persisted well into 2004. In contrast, the wettest years such as 2007 exhibited persistent positive storage in S<sub>sat</sub> and S<sub>low</sub>. Periods of short, transient storage deficits which appeared limited to Sup occur in May and June, with the wet summer maintaining saturated conditions (and positive storage) throughout the rest of the year. This characteristic of the catchment was reflected in runoff responses to even small rainfall events and the perennial nature of the stream (Tetzlaff et al., 2014).

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331 In the upper hillslopes, the daily  $S_{up}$  estimates showed a highly non-linear relationship with *MV<sub>up</sub>* while this relationship was more linear in the saturation area (Figure 7). Furthermore, 332 333 the storage range and variability was much greater in the hillslopes (Figure 7a) compared to 334 the saturation area (Figure 7b) and groundwater storages (not shown). The greatest storage 335 range could be observed for 03/04 in the hillslopes in contrast to the lowest range in the 336 saturation area which resulted in lower  $S_d$ . Wetter years (06/07 and 07/08) could also clearly 337 be distinguished by the largest  $S_{sat}$  and  $MV_{sat}$  storage ranges in the saturation area. There 338 was less clear distinction for the calibrated MVs in the hillslopes showing significant overlap 339 for most years. High mixing volumes were only evident in response to decreased 340 unsaturated conditions during wetter years of 06/07 and 07/08.

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342 4.4 Long-term storage tracking and water age

343 The inter-annual  $S_d$  variability was clearly related to water balance considerations driven by 344 the magnitude and distribution of P and resulting Q (Figure 8a and b, Table 3) while the 345 much larger (order of magnitude) calibrated mixing volumes MVs were more complex. We 346 hypothesized that the MVs would vary with hydroclimatic conditions and tested this using 347 the calibrated parameter values from the model. Obviously, this introduced sources of 348 uncertainty; for example the model performance played an important role in the calibration of the catchment storage volumes and derived TT (Figure 8a and c). Linked to this was that 349 350 tracer simulations were generally better when variability of inputs was most marked in a 351 particular water year, which introduced a stochastic element into each year's calibration.

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Despite these limitations, the model results were generally reasonable for both flow and tracers in each year (Figure 4). Consequently, cautious interpretation suggested that the calibrated *MVs* do produce plausible mean annual *TT* estimates (Figure 8a and d). However, errors expressed as standard deviations over all simulations were large, which effectively restricts analysis to distinguishing between extreme values. However, this analysis seeks to differentiate relative dynamics rather than absolute values. The TT estimates were lowest for the wettest year (1.4 yr for 06/07). This seemed to be positively related to the variability of the saturation area dynamics  $(CV_dSAT)$  (Figure 8d), which reflects the distribution and frequency of catchment P and resulting near-surface flow pathways. The limited CV dSAT (0.69) in the driest year 03/04 was also consistent with a higher TT (2.1 yr).

In contrast to the inter-annual assessment using mean annual TT, analysis of intra-annual variability used flux water age and the associated controlling factors are conceptualized in Figure 9. The two most contrasting water years (dry 04/05 and wet 06/07) were used to show the interplay of tracer damping (Figure 9a), the frequency of near-surface flow pathway contributions to Q (Figure 9b), the frequency of hydrological connectivity in the form of expanded saturation areas adjacent to the stream (Figure 9c) and resulting  $S_d$ variability (Figure 9d) on clearly non-stationary water ages (Figure 9e). The non-stationarity of flux water ages is further emphasized if expressed as cumulative distribution functions *CDF*. Shorter water age could be associated with runoff events and mostly younger waters (in the order of weeks to a few months) originating from the connected saturation areas contributing to Q via near-surface flow pathways (saturation overland flow). In contrast, contributions of older groundwaters (>4 years in age) during drier conditions and with

reduced connectivity resulted in increased water age. In the case of 04/05, the less frequent expansion of the saturated areas resulted in a lower overall contribution of near surface flow paths to the annual runoff, and a greater proportion of deeper groundwater contributions. The resulting greater mixing increased water age and reduced the influence of the younger waters. As a result, the model derived estimate of the daily catchment water age can vary over the course of a year between a couple of months to >4 years. When the daily flux water ages were used to derive mean annual values these resulted in 1.1 years for the wet year 06/07 and in 1.6 years for the drier year 04/05 (taken from Figure 9e). 

#### V Discussion

# nics 5.1 Internal model storage dynamics

In this study we integrated isotope oxygen-18 transport into a conceptual rainfall-runoff model to track internal dynamic storage changes and the additional mixing volumes needed to match observed tracer damping in streamwater. This built on earlier work by Birkel et al., 2011b and is conceptually similar to the approach of Hrachowitz et al. (2013) which used Chloride as a conservative tracer. However, in this intensively monitored catchment, the model was developed using the dominant process concept reflecting the landscape controls on runoff generation including dynamic saturation areas, freely draining hillslopes and significant groundwater storage. Within this framework, a key goal was minimizing the number of calibrated parameters (Sivakumar, 2004). Central to this landscape-based approach was the conceptualization of dynamic hydrological connectivity that was based on empirical data (Ali et al., 2014). This dynamic connectivity incorporates – when necessary - a

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high-degree of non-linearity specifically in terms of storage dynamics and resulting near-surface runoff generation mechanisms which are broadly consistent with the process-based insights from the catchment (Tetzlaff et al., 2014). In addition, the constrained initial parameter ranges (Table 2) used for calibration match the hydrochemically determined groundwater contributions from previous observations (Birkel et al., 2010). In other words the model performs an internal hydrograph separation consistent with geochemical source tracers (alkalinity) to help ensure simple conceptualisation of process realism as advocated by Kirchner (2006). 

The model was calibrated using a state-of-the-art multi-objective optimization algorithm (NSGA2 by Deb et al., 2002) minimizing the modified Kling-Gupta efficiency criterion (Kling et al., 2012). This allowed simultaneous calibration against tracer and discharge data, overcoming reported limitations of single performance measures such as the widely used Nash-Sutcliffe efficiency (Schaefli and Gupta, 2007). Recognizing that no optimal solution can be found with an eight-parameter model (e.g. Beven, 2012) the sharp gradients of the Pareto fronts indicate that solutions fall at least close to optimum (achievable with this model) for the calibration targets and are assumed to be behavioural representations of the system (Figure 4). Nevertheless, we acknowledge the inherent uncertainties and limitations of the data sources, our simple conceptual model approach and the variability introduced using sub-periods for calibration. Nevertheless, these sub-periods allow the generation of time-variable parameter sets and subsequently an insight into non-stationary catchment behaviour similar to Wresta et al. (2014).

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Model storage dynamics and resulting water age - as expected - depend on model performance and parameter sensitivity (e.g. Dunn et al., 2010). Furthermore, concerns have been expressed as to what degree simple conceptual models are able to reproduce complex non-linear behaviour in the form of e.g. hysteresis (Beven, 2012). Nevertheless, model simulations can be useful learning tools for understanding system dynamics and it is in this sense that we argue our approach, although in some ways qualitative, provides useful insights. Results showed, for example, that there is a clear seasonal correspondence between the simulated dynamic storage and observed hydrometric data (Figure 6). This is despite the fact that the Girnock does not exhibit a simple non-linear storage-discharge relationship (Kirchner, 2009) at daily or sub-daily time scales (Birkel et al., 2011a). It also becomes apparent from the storage simulations that the drought period 2003 showed a marked persistence well into the second half of 2004 in terms of unsaturated (negative) storage conditions (Figure 6). Other modelling (not shown here) has suggested that the only other occasion when this occurred was for the second most severe drought on record in the 1970s. This is not visible from discharge simulations alone and is an insight from the storage tracking undertaken in this study. Furthermore, simulated dynamic storage was consistent with observed soil moisture and groundwater dynamics recently reported in Birkel et al. (2014) and Tetzlaff et al. (2014). This included marked non-linear dynamics on the hillslopes and increasingly linear behaviour in the well-buffered saturation areas.

In contrast to the dynamic storage (which is a model state), the additional storage needed
for mixing is based on calibrated parameters (e.g. Barnes and Bonell, 1996). Since tracer
transport was directly coupled to water transport (Equation 3) the corresponding mixing

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assumption is that of "instantaneous" and "well-mixed" reservoirs to produce the observed tracer damping in stream. This has been shown to be an overly simplistic concept (e.g. Fenicia et al., 2010; Botter et al., 2012) with advective-dispersion processes most likely controlling mixing in real world situations (Kirchner and Neal, 2013). That said, Tetzlaff et al. (2014) showed that virtually all precipitation damping in the catchment occurs in the upper 0.3 m of the catchments organic soils. Nevertheless, in this study we relaxed this assumption using the model's dynamic, non-linear connectivity to convert additional storage parameters into different mixing volumes according to the wetness state of the catchment. This resulted in a type of partial mixing mechanism whilst maintaining our key goal of keeping model parameters to a minimum. However, the effect of such a partial mixing mechanism could really only be discerned for climatically extreme periods (Figure 7) similar to the results of Hrachowitz et al. (2013). The incorporation of additional parameters could improve this, but this is statistically difficult to justify. Work from Benettin et al. (2013) might help in this regard to pre-define mixing assumptions based on advection-dispersion models. Other types of integrated flow-tracer models such as the multiple interactive pathways approach by Davies et al. (2011) also allows the direct incorporation of partial mixing with minimal parameterization. However, in wet environments like the Scottish Highlands, the relatively even distribution of P throughout the year, in combination with high water storage in saturated organic soils (e.g. histosols) fringing the riparian zone seems to integrate partial mixing in other geographic source areas resulting in a more complete mixing at the catchment scale. Therefore, Tetzlaff et al. (2014) used the term "isostat" to describe this characteristic mixing behaviour of the saturation areas.

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466 5.2 Long-term storage and water age's response to hydroclimatic variability

Storage simulations showed that much larger mixing volumes (i.e. an order of magnitude) determine TTs rather than dynamic storage changes as shown by e.g. Birkel et al. (2011a). Building on previous work, this longer-term data analysis clearly demonstrated the non-stationary character of mean annual TTs (Figure 8) oscillating between 1.4 and 2.4 years (Table 3). It is clear that the interpretation of TTs is relative due to large uncertainties as expressed in the error bars in Figure 8d and must therefore be cautious. However, despite not being exactly the same (e.g. Botter et al., 2011), the latter TT values were consistent with previously determined mean transit time estimates in the catchment applying lumped convolution integral methods and spectral analysis (Hrachowitz et al. 2010, Kirchner et al., 2010). Furthermore, the flux water ages derived from daily tracking of model states and fluxes (Hrachowitz et al., 2013) to assess intra-annual variability if used to derive mean annual water age were shorter than the TT (e.g. 1.1 against 1.4 yr for 06/07). They do, however, also fall well within the estimated errors of TTs. Both water age and TT corresponded to climate extremes (specifically wetter periods decrease TT and flux water age) as previously reported by Hrachowitz et al. (2011).

The non-stationary character of mean annual *TT*s could be related to the variability of saturation area dynamics (Figure 8) or – in other words – hydrological connectivity, which is based on antecedent wetness rather than topographic controls (Ali *et al.*, 2014). This finding also holds for intra-annual water ages and is consistent with work by Roa-Garcia and Weiler (2010) in that high antecedent wetness increases flow path connectivity which results in shorter water transit times and reduced recharge and deeper mixing. In the Girnock, this is

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reflected in the dominance of near-surface runoff generation processes mostly in form of
saturation overland flow which contribute increasingly younger waters to streamflow under
high-frequency and large saturation area connectivity (Figure 9e – flux water age estimates
and derived CDF).

Despite these tentative conclusions, we also recognize the limitations of stable water isotopes in determining such mixing volumes (Stewart et al., 2010) due to dependence on input variability and the degree of damping in output (Kirchner et al., 2010). This was reflected in better simulations where input variability was greater and damping was lower (Figure 8, Table 1). It might be that the lowest flows are dominated by storage levels with a older water age than can be detected by simple isotope input-output variability (which in this model is represented by calibrated additional storage parameters to be able to reproduce the degree of observed damping). Recently, Peters et al. (2013) showed how long-term Tritium data could be used to estimate total catchment storage and fluctuations at smaller scales and relatively impermeable bedrock substrate. 

The quantification of total catchment storage, however, remains a challenge (hence we preferred to use the term catchment storage rather than total catchment storage to emphasize this), but we hope to have presented a step in the right direction using coupled tracer and hydrology models. Certainly recent geophysical surveys in the Girnock (J. Bradford, Boise State University, Pers. Comm.) have indicated up to 40m of saturated drift in valley bottom areas. However, this drift has a low porosity (~10-20%) and low hydraulic

511 conductivity (~10mm d<sup>-1</sup>) so catchment scale storage is limited to about 1000-2000mm. 512 These figures are of the same order of magnitude as those derived in the current study, and 513 other tracer-based studies using more conventional convolution integral models (Tetzlaff *et* 514 al., 2014).

### 516 VI Conclusions

In this study we showed how the interplay of tracer dynamics, hydrological connectivity and catchment storage affects non-stationary water ages using longer-term hydrometric and isotopic data sets. We also have shown how hydroclimatic variability relates to catchment storage dynamics and resulting time-variable water age. A coupled tracer and runoff model showed that relatively modest dynamic storage fluctuations (<100mm) account for seasonal flow variability in most years including the driest year 2003 (on a 42 year data record). However, storage volumes an order of magnitude greater (>1000mm) need to be invoked to account for the mixing needed to simulate isotope dynamics. This clearly demonstrated the non-stationarity of catchment water age at daily and annual time scales, but in the Scottish environment these only vary by a factor of two in the more extreme wet and dry periods. Such extreme conditions have a major influence on hydrological connectivity and contributing flow pathways which in turn affect the proportion of younger near-surface waters (~ 10 to 50 days old) or older groundwaters (~ 1 to 10 years old) reaching the stream. However, in most years, the riparian wetlands represent a large water storage available for mixing which acts as an "isostat" moderating isotope variability and limiting the time variance of water age. Even though, the "isostat" effect is most likely more prominent in northern upland catchments with histosols fringing the stream, the methods and model

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concepts could easily be transferred and tested at other sites. Despite the limitations associated with using isotope tracers and inevitable model errors, we present a step towards characterizing catchment storage and its associated dynamics. We conclude that much can be learnt from long-term analysis of hydrometric and isotopic data and their integration in hydrological models to understand the potential impact of extreme climatic events on hydrological systems. Specifically, the approach helps assess the degree to which such extremes are mediated by internal dynamic storage changes and additional storage available for mixing.

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## 688 TABLES

**Table 1:** Isotopic signatures for input ( $P_{\delta}^{18}O$ ) and output ( $Q_{\delta}^{18}O$ ) annual mean, minimum, maximum (in brackets), isotopic ranges (in per mil) and the coefficient of variation (CV) over the study period. The annual water balance and the CV of the % catchment saturation area extent (dSAT) is also given.

Table 2: Mean parameter values and ranges expressed as minimum and maximum values (in
parenthesis) for each annual time window derived from the best parameter (500 parameter
sets) population after multi-objective calibration. This indicates the accepted parameter
variability and mean performance measures (KGE) derived from the Pareto fronts in Figure
4. The initial parameter ranges were constrained based on previous tracer-based studies
(Birkel *et al.*, 2010).

**Table 3**: Storage totals (S) are given for the annual means of the individual calibration

periods in the context of mean annual transit times (TT). Note that  $S_{up}$  is only given for

values exceeding the baseline when lateral and vertical fluxes were generated from thisreservoir.

1		
2 3	704	FIGURES
4	704	FIGURES
5 6	705	Figure 1: Location and topography of the Girnock experimental catchment.
7	706	Figure 2: The model concept used to simulate discharge and oxygen-18. Three reservoirs
8	707	(upper, lower and saturation area) conceptualize water and tracer fluxes with associated
9	708	dynamic storage ( $S_{up}$ , $S_{low}$ and $S_{sat}$ ) and additional storage available for mixing ( $upS_p$ , $lowS_p$
10 11	709	and $satS_p$ ). The latter calibrated additional storage parameters are converted into dynamic
12	705	mixing volumes MV according to wetness state ( $dSAT$ ) assuming that during expansion of
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14	711	the saturation areas (> wetness) more additional storage is available for mixing contrary to
15	712	the hillslopes. Note that calibrated parameters are shown in red.
16	713	Figure 3: Mean annual water balance (P, Q and PET) and runoff coefficients (RC) emphasize
17	713	the climate variability in form of the drought period 2003.
18	/14	the chinate variability in form of the drought period 2005.
19 20	715	Figure 4: Discharge and isotope simulations are plotted using the range of the individual
20 21	716	calibration periods. The simulation ranges were derived from the best parameter population
22	717	(500 sets) of each calibration window (shown as Pareto fronts in Figure 5 with parameter
23	718	ranges given in Table 2). Simulation bounds indicate parameter variability as a proxy for
24	719	uncertainty. Note that the same colour code is used throughout and that discharge is
25		plotted on a logarithmic scale.
26	720	plotted on a logarithmic scale.
27	721	Figure 5: Pareto fronts of annual time windows (water years) derived from multi-objective
28	722	calibration (NSGA2) using discharge and $\delta^{18}$ O time series. Performance was assessed using
29 20	723	the Kling-Gupta efficiency (KGE).
30 31	725	
32	724	Figure 6: a) Daily mean rain (blue), discharge (black) and PET. b) Model derived mean daily
33	725	dynamic storage ( $S_d$ - blue line), daily mean individual storages (dashed lines) and daily
34	726	dynamic storage for selected years (2003, 2004 and 2007). Note that the scale is Julian days
35	727	emphasizing the carry-over effect of the drought period 2003.
36	727	emphasizing the carry over enect of the alought period 2005.
37	728	Figure 7: a) Active hillslope and b) saturation area storages are individually plotted against
38	729	dynamic mixing volumes for the six complete water years spanning the study period 2003 to
39 40	730	2009.
40 41	,50	
42	731	Figure 8: Annual dynamic and additional storage available for mixing (sum of three
43	732	reservoirs, respectively) are shown in relation to a) mean annual discharge Q and resulting
44	733	mean transit time (TT) estimates. b) shows the dynamic storage against additional mixing
45	734	volumes and c) show the relationship of precipitation isotope input variability (CV P_ $\delta^{18}$ O)
46	735	to model performance (KGE) simulating stream $\delta^{18}$ O and d) the variability of catchment
47	736	wetness (CV dSAT) to TT. Error bars reflect standard deviations across all simulations
48 40	737	reflecting interannual variability.
49 50	151	
50 51		
50		

Figure 9: The conceptual diagram shows the main controls in terms of a) tracer damping (measured oxygen-18 input-output time series), b) near-surface flow pathways (daily histogram), c) connectivity (daily histogram) and d) dynamic storage (simulated time series) on e) streamwater age exemplified using two contrasting (dry 2004/05 in red and wet 2006/07 in orange) water years. The degree of tracer damping is highly variable on an interannual basis, but reflects the additional storage needed for mixing and resulting streamwater age time series and CDF (see also Figure 8 and Table 2 for mean annual average values). Note that the time series are coloured according to the previously used scheme.

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# 1 TABLES

**Table 1:** Isotopic signatures for input ( $P_{\delta}^{18}O$ ) and output ( $Q_{\delta}^{18}O$ ) annual mean, minimum,

3 maximum (in brackets), isotopic ranges (in per mil) and the coefficient of variation (CV) over

4 the study period. The annual water balance and the CV of the % catchment saturation area

5 extent (dSAT) is also given.

Water year	P_δ <sup>18</sup> Ο	$P_{\delta^{18}O}$ range	CV Ρ_δ <sup>18</sup> Ο	Q_δ <sup>18</sup> 0	$Q_{\delta^{18}O}$ range	CV Q_δ <sup>18</sup> O	P (mm yr <sup>-1</sup> )	Q (mm yr <sup>-1</sup> )	CV dSAT
	0.02[10]1		F_0 0		-		yı j	yı )	
03/04	-9.03 [-16.1, -	10.9		-8.88 [-11.7, -	4.1				0.69
	5.2]		0.34	7.6]		0.09	845	435	
04/05	-7.74 [-11.5, -	8.3		-8.21 [-9.1, -	1.9				0.68
	3.2]		0.26	7.3]		0.04	766	461	
05/06	-8.28 [-16.0, -	12.4		-8.50 [-10.5, -	3.5				0.71
	3.7]		0.32	7.0]		0.07	821	466	
06/07	-8.33 [-15.9, -	10.3		-8.54 [-10.9, -	3.5				0.81
	5.6]		0.25	7.4]		0.06	1038	740	
07/08	-8.49 [-17.5, -	14.6		-8.67 [-9.9 <i>,</i> -	3.0				0.73
	2.9]		0.38	6.9]		0.08	835	593	
08/09	-8.49 [-18.5, -	14.9		-8.47 [-10.6, -	3.4				0.63
	3.4]		0.34	7.2]		0.09	843	485	

23 Table 2: Mean parameter values and ranges expressed as minimum and maximum values (in

24 parenthesis) for each annual time window derived from the best parameter (500 parameter

25 sets) population after multi-objective calibration. This indicates the accepted parameter

26 variability and mean performance measures (KGE) derived from the Pareto fronts in Figure

27 4. The initial parameter ranges were constrained based on previous tracer-based studies

28 (Birkel *et al.*, 2010).

### Hydrological Processes

Table 3: Storage totals (S) are given for the annual means of the individual calibration

periods in the context of mean annual transit times (TT). Note that  $S_{up}$  is only given for 

values exceeding the baseline when lateral and vertical fluxes were generated from this 

reservoir.

Calibration									
period	Sup	S <sub>sat</sub>	Slow	MV <sub>up</sub>	<b>MV</b> <sub>sat</sub>	lowS <sub>p</sub>	<b>S</b>	<b>Q</b>	TT
Units	mm	mm	mm	mm	mm	mm	(mm yr <sup>-1</sup> )	(mm yr <sup>-1</sup> )	yr
03/04	3.2	5.3	5.7	33.1	7.2	878	933	435	2.14
04/05	4.9	20.3	23.4	104.0	28.8	931	1112	461	2.42
05/06	5.7	14.2	72.4	93.7	46.2	742	974	466	2.09
06/07	10.3	26.9	133.0	24.5	33.5	768	996	740	1.35
07/08 08/09	4.8 8.6	18.7 5.8	64.6 37.6	447.1 92.8	32.2 16.5	620 976	1187 1137	593 485	2.00

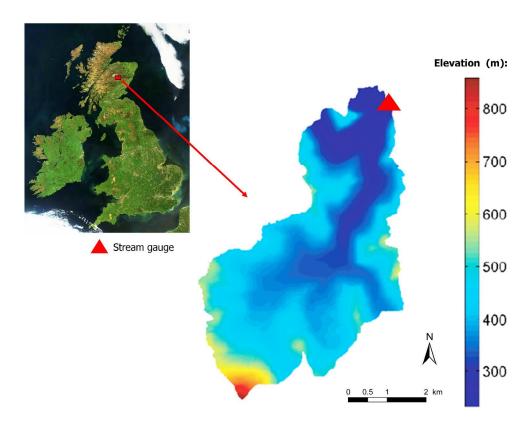
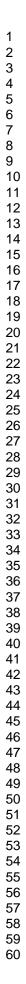


Figure 1: Location and topography of the Girnock experimental catchment. 287x226mm (300  $\times$  300 DPI)



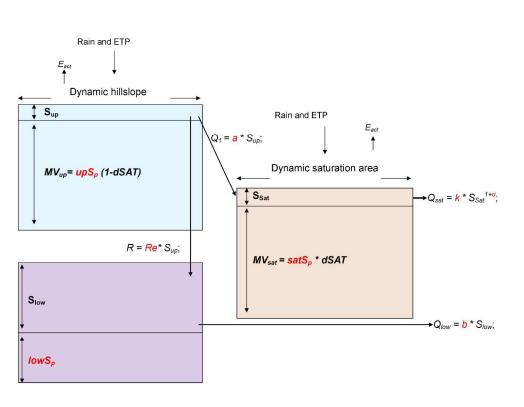


Figure 2: The model concept used to simulate discharge and oxygen-18. Three reservoirs (upper, lower and saturation area) conceptualize water and tracer fluxes with associated dynamic storage (Sup, Slow and Ssat) and additional storage available for mixing (upSp, lowSp and satSp). The latter calibrated additional storage parameters are converted into dynamic mixing volumes MV according to wetness state (dSAT) assuming that during expansion of the saturation areas (> wetness) more additional storage is available for mixing contrary to the hillslopes. Note that calibrated parameters are shown in red. 249x177mm (300 x 300 DPI)

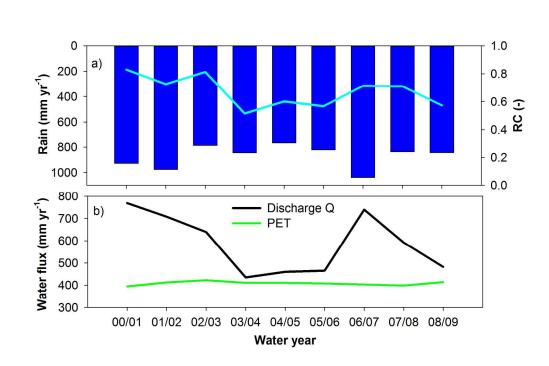


Figure 3: Mean annual water balance (P, Q and PET) and runoff coefficients (RC) emphasize the climate variability in form of the drought period 2003. 201x128mm (300 x 300 DPI)

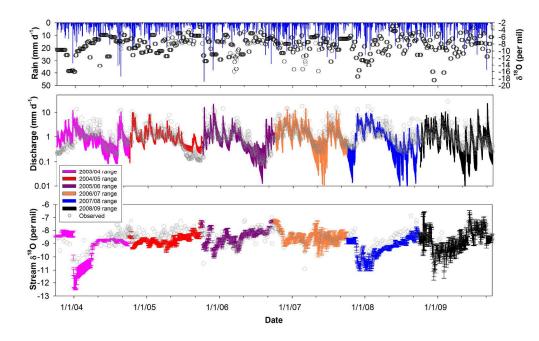


Figure 4: Discharge and isotope simulations are plotted using the range of the individual calibration periods. The simulation ranges were derived from the best parameter population (500 sets) of each calibration window (shown as Pareto fronts in Figure 5 with parameter ranges given in Table 2). Simulation bounds indicate parameter variability as a proxy for uncertainty. Note that the same colour code is used throughout and that discharge is plotted on a logarithmic scale.

290x180mm (300 x 300 DPI)

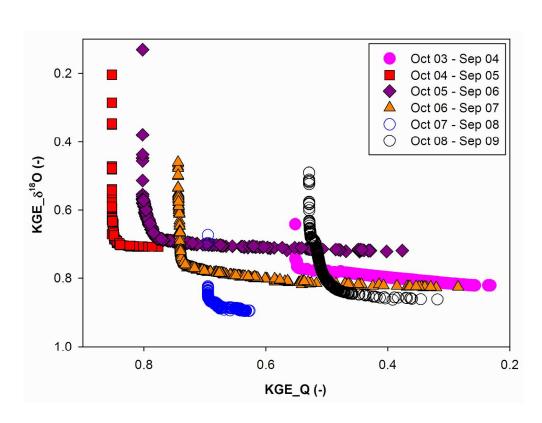


Figure 5: Pareto fronts of annual time windows (water years) derived from multi-objective calibration (NSGA2) using discharge and  $\delta^{18}$ O time series. Performance was assessed using the Kling-Gupta efficiency (KGE). 188x140mm (300 x 300 DPI)

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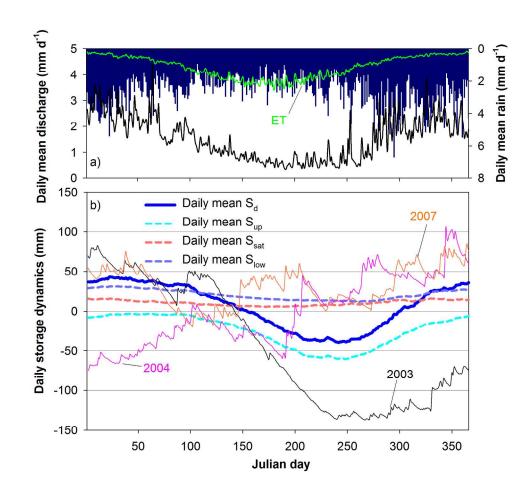


Figure 6: a) Daily mean rain (blue), discharge (black) and PET. b) Model derived mean daily dynamic storage (S<sup>d</sup> - blue line), daily mean individual storages (dashed lines) and daily dynamic storage for selected years (2003, 2004 and 2007). Note that the scale is Julian days emphasizing the carry-over effect of the drought period 2003. 203x185mm (300 x 300 DPI)

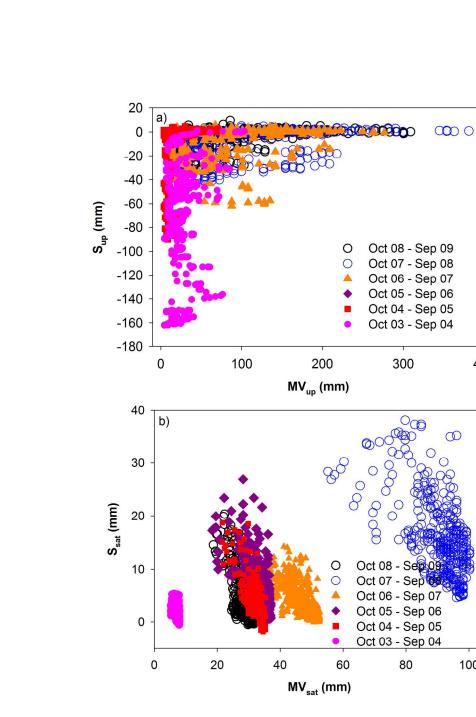
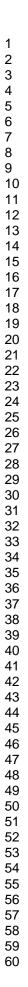


Figure 7: a) Active hillslope and b) saturation area storages are individually plotted against dynamic mixing volumes for the six complete water years spanning the study period 2003 to 2009. 164x240mm (300 x 300 DPI)



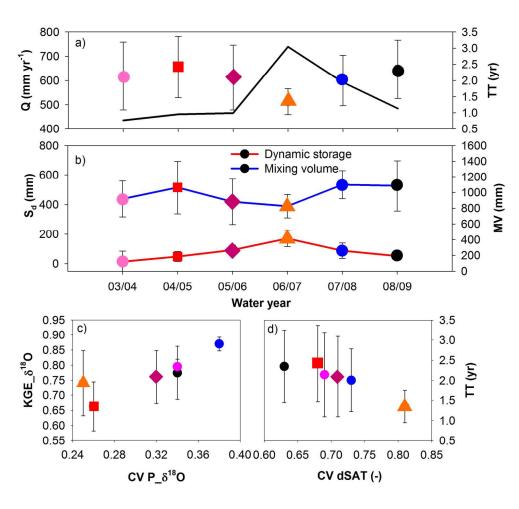


Figure 8: Annual dynamic and additional storage available for mixing (sum of three reservoirs, respectively) are shown in relation to a) mean annual discharge Q and resulting mean transit time (TT) estimates. b) shows the dynamic storage against additional mixing volumes and c) show the relationship of precipitation isotope input variability (CV P\_ $\delta^{18}$ O) to model performance (KGE) simulating stream  $\delta^{18}$ O and d) the variability of catchment wetness (CV dSAT) to TT. Error bars reflect standard deviations across all simulations reflecting interannual variability.

209x195mm (300 x 300 DPI)

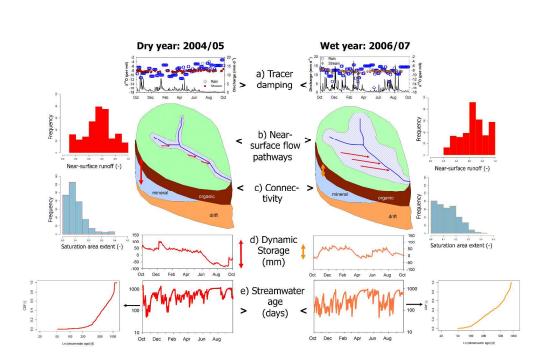


Figure 9: The conceptual diagram shows the main controls in terms of a) tracer damping (measured oxygen-18 input-output time series), b) near-surface flow pathways (daily histogram), c) connectivity (daily histogram) and d) dynamic storage (simulated time series) on e) streamwater age exemplified using two contrasting (dry 2004/05 in red and wet 2006/07 in orange) water years. The degree of tracer damping is highly variable on an inter-annual basis, but reflects the additional storage needed for mixing and resulting streamwater age time series and CDF (see also Figure 8 and Table 2 for mean annual average values). Note that the time series are coloured according to the previously used scheme.

451x281mm (300 x 300 DPI)