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5 **ANTIMICROBIAL USE AND MICROBIOLOGICAL TESTING IN**
6 **DISTRICT GENERAL HOSPITAL ICUs OF THE VENETO REGION OF**
7 **NORTH-EAST ITALY**

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25 **ABSTRACT**

26 **Purpose.** International - predominantly American - studies undertaken in the ICUs of teaching
27 centres show that inadequate antibiotic therapy increases mortality and stay. We sought to ascertain
28 whether this pertains also for smaller ICUs in the Veneto region of North-east Italy. To the best of
29 our knowledge, this is the first such survey in the Veneto or in Italy as a whole.

30 **Methods.** A retrospective, observational study was performed across five general-hospital ICUs to
31 examine appropriateness of microbiological sampling, empirical antibiotic adequacy and outcomes.

32 **Results.** Among 911 patients (mean age, 65.8 years \pm 16.2 SD; median ICU stay, 17.0 days [IQR,
33 8.0-29.0]), 757 (83.1%) were given empirical antibiotics. Treatment adequacy could be fully
34 assessed in only 212 patients (28.0%) who received empirical treatment and who had a relevant
35 clinical sample collected at the initiation of this antibiotic (T0). Many other patients only had
36 delayed microbiological investigation of their infections between Day 1 to Day 10 of therapy.
37 Mortality was significantly higher among the 34.9% of patients receiving inadequate treatment
38 (48.6% vs. 18.80%; $p < 0.001$). Only 32.5% of combination regimens comprised a broad-spectrum
39 Gram-negative β -lactam plus an anti-MRSA agent, and many combinations were irrational.

40 **Conclusions.** Inadequate treatment was frequent and was strongly associated with mortality;
41 moreover, there was delayed microbiological investigation of many infections, precluding
42 appropriate treatment modification and de-escalation. Improvements in these aspects and in
43 antibiotic stewardship are being sought.

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47 **KEYWORDS:** ICU, microbiological sample, antibiotic, inadequate treatment.

48

49 **INTRODUCTION**

50 Patients admitted to intensive care units (ICUs) present challenging and complex clinical problems.
51 The estimated risk for serious infection is 5 to 10 times greater than for patients on general medical
52 wards owing to three major factors: (1) severe underlying disease, including multiple illnesses,
53 malnutrition, extremes of age and immunosuppression; (2) invasive medical devices, such as
54 endotracheal tubes for mechanical ventilation and intravascular and urinary catheters, which
55 provide entry portals for pathogens; and (3) crowding, especially in neonatal ICUs, with consequent
56 proximity to other colonized or infected patients, increasing the risk of cross-infection [1-3].

57 Antimicrobial resistance is a critical variable of ICU outcomes, co-determining patient
58 morbidity, mortality and cost, at least in the major teaching centres where this topic has been largely
59 investigated [4-12]. Kollef, in the U.S.A., found an infection-related mortality rate of 17.7% among
60 486 patients receiving appropriate empirical antimicrobial therapy versus 42% among 169 receiving
61 inappropriate antimicrobial treatment ($p < 0.001$) [13]. The major single reason for antibiotic
62 therapy being classed “inappropriate” was the presence of bacteria that had inherent or acquired
63 resistance to the regimen. Others have found similar associations, particularly in bloodstream
64 infections and sepsis [14-20], with mortality shown to increase progressively for each hour’s delay
65 in initiating adequate therapy after the onset of hypotension [21]. In the few countervailing studies,
66 where an association between antibiotic resistance and mortality was *not* confirmed, few patients
67 received microbiologically inappropriate therapy, due to early recognition of resistance and/or
68 timely adjustment of the regimen(s) [22, 23]. Beyond its impact on mortality, initial inappropriate
69 antibiotic therapy is also associated with extended length of stay for ICU patients [24-26].

70 It is less clear whether these relationships, demonstrated in teaching centres with a complex
71 patient mix, hold true for the smaller ICUs of district general hospitals or in the context of different
72 countries’ cultures of prescribing and microbiological testing. We therefore present here the results
73 of a multicentre, retrospective, observational study covering five ICUs in the Veneto region of
74 North-east Italy, four of them in small hospitals and the fifth in a regional centre. The study had
75 three main goals: first, to test whether, as elsewhere, there was a relationship between treatment

76 inadequacy and clinical outcomes; secondly, to examine the adequacy of first-line antimicrobial
77 therapy prescribed and the principal reasons for any inadequacy; and, thirdly, to verify the
78 appropriateness of microbiological testing performed in the participating ICUs.

79

80 **PATIENTS AND METHODS**

81 *Study location and patients*

82 The study was conducted from 2002 to 2010 at five general hospitals in the Veneto region of North-
83 east Italy. Four hospitals were in small towns within 50 km of Vicenza and one in Vicenza itself,
84 located between Venice and Verona. When this study was performed, the Vicenza hospital
85 (Hospital 5) ICU had 14 beds, admitted approximately 700 patients per year, and was in a 1050-bed
86 regional hospital; a further 5-bed high-dependency provision for post-surgical care was excluded.
87 Hospital 1 (165 beds) admitted c. 350 patients per year to its 6-bed medical-surgical ICU; Hospital
88 2 (400 beds) had a 10-bed general ICU admitting c. 450 patients per year; Hospital 3 (350 beds) had
89 a 6-bed general ICU admitting c. 240 patients per year; Hospital 4 (220 beds) had a 7-bedded ICU
90 admitting c. 340 patients per year. The total number of ICU beds represented was 43, accounting for
91 10.8% of ICU provision in the Veneto and for 1.5% of 3739 Italy's total intensive care bed
92 provision as of 2005 [27].

93 *Data Collection*

94 Patients admitted into the participating ICUs from 15 May 2002 to 10 June 2010 were assessed.
95 Data input was performed manually in Microsoft Office Excel, with the following information
96 recorded: hospital record number; gender; date of birth; date of hospital admission; date of ICU
97 admission (if different); age at ICU admission, and main diagnosis at admission. Any other
98 diagnoses indicated in the clinical records and constituting: (1) a co-morbidity, (2) a chronic disease
99 directly related to ICU admission, or (3) a secondary pathological event that occurred during the

100 ICU stay was also recorded. For statistical analysis, diagnoses were classified into main categories,
101 all as recognised in the WHO International Statistical Classification of Diseases and Related Health
102 Problems [28]. The date of the primary outcome (death, or transfer to another unit) was recorded.
103 Additionally, for patients transferred from the ICU to other units in the same hospital, the dates of
104 transfer were recorded until the final outcome (death or discharge to home). The duration of ICU
105 stay and entire hospital stay were calculated separately. For each antibiotic course, the regimen and
106 dates of initiation and cessation were recorded. An antibiotic treatment was defined as empirical
107 when it was initiated on the basis of a clinical suspicion of infection and when the causative
108 microorganism and its antibiotic susceptibility were not yet known. Fungal infections were
109 excluded. A sample was considered clinically relevant when it had been taken from a body site
110 related to the reported infection.

111 Inadequate antimicrobial treatment was defined (based upon, e.g., [29,30]) as the
112 microbiological documentation of infection that was not being adequately treated at the time when
113 the causative micro-organism and its antibiotic susceptibility became known, and included: (1) the
114 absence of any agent directed against the family or genus of micro-organism present; (2) the
115 administration of an antimicrobial agent to which the particular isolate was resistant; (3) the
116 complete lack of antimicrobial treatment, and (4) the lack of adherence to minimum requirements in
117 antibiotic administration (i.e., proper dosing, monitoring of drug levels when appropriate, and
118 avoidance of unwanted drug interactions). A regimen was defined as adequate if it adequately
119 covered all pathogens present in a sample taken at the time of clinical diagnosis (T0). Adequacy
120 was considered not to be assessable if there was no T0 sample, if no pathogen was grown from a T0
121 sample, or if there was no concordance between the type of specimen sent to the laboratory and the
122 patient's clinical presentation (e.g., clinically-diagnosed septic shock in post-surgical patients, but
123 where the first isolates were grown from surgical wound swabs taken many days after initiation of
124 empirical antibiotic treatment; or cases of sepsis where the only microbiological examinations
125 performed were on bronchial aspirates). Cases where only questionable pathogens (principally

126 coagulase-negative staphylococci) were isolated were reviewed individually and discounted unless
127 therapy was escalated on the basis of the microbiological result, implying that the organism was
128 thought to be clinically significant.

129 *Statistical analyses*

130 Normally- or near-normally-distributed variables were reported as means with standard deviations
131 and were compared by Student's t-test or by analysis of variance with the Bonferroni correction for
132 multiple comparisons. Non-normally-distributed continuous data were reported as medians with
133 interquartile ranges (IQRs) and were compared using the Mann-Whitney U-test or the Kruskal-
134 Wallis test. The Spearman's *rho* correlation coefficient was calculated to measure the association at
135 the ordinal level between mortality rates and their associated rates of inadequacy of first-line
136 antimicrobial therapy. Kaplan-Meier methods were used to estimate survival rates during follow-up,
137 whilst the log-rank test was used to test equality of survivor functions. Exploratory univariate
138 analysis for several variables was performed to identify possible predictors of hospital mortality.
139 Multivariable logistic regression analysis was conducted to investigate independent predictors for
140 hospital mortality. Results of logistic regression analysis are reported as adjusted odds ratios
141 (AORs) with 95% confidence intervals (CI). All statistical analyses were performed using STATA
142 10.1 (StataCorp LP, College Station, TX) and a two-sided $p < 0.05$ was routinely considered to be
143 significant.

144 *Ethics*

145 The study was performed in accordance with the recommendations guiding physicians in
146 biomedical research involving human subjects adopted by the 18th World Medical Association,
147 Helsinki, Finland, 1964 and later revisions [31]. Since it was performed retrospectively on
148 specimens that were collected as part of the routine sampling required for the microbiological
149 assessment of patients admitted into ICUs, there was no possible risk to any of the patients
150 reviewed, nor any possible modification of their treatment. Consequently, individual consent was

151 not needed. The Institutional Sanitary Board of each hospital approved the protocol and confirmed
152 that submission to their ethics committee was not required, provided that the principal investigator
153 (PB) was personally responsible for the security of patient-identifiable data.

154

155 **RESULTS**

156 *Patients*

157 The study reviewed 911 patients admitted into the five ICUs (Table 1): 570 (62.6%) were men and
158 341 (37.4%) women. Eighty-eight (9.6%) had diabetes mellitus, 45 (5.0%) chronic renal failure,
159 and 35 (3.9%) cirrhosis of the liver. Five hundred and fifty-two (60.6%) were admitted to an ICU
160 with a medical diagnosis, 206 (22.6%) with a surgical diagnosis and 153 (16.8%) following major
161 trauma. Their mean age upon ICU admission was 65.8 ± 16.2 years (range, 14 - 93); those admitted
162 to the Hospital 5 ICU were significantly younger ($p < 0.001$) than those admitted elsewhere, partly
163 reflecting a larger proportion of trauma patients. The median duration of ICU stay was 17.0 days
164 (IQR, 8.0-29.0), with inter-hospitals difference approaching significance ($p = 0.079$), while the
165 median total length of hospital stay was 25.0 days (IQR, 14.0-44), with significant inter-centre
166 variation ($p < 0.001$).

167 *Antibiotic treatment*

168 A total of 3549 antimicrobial treatments were prescribed in the 5 ICUs over the study period. Of
169 these, 3470 (97.8%) were parenteral and 79 (2.2%) oral. Seven hundred and fifty-seven patients
170 (83.1%) received empiric antibiotic courses (1223 courses in total, 34.5% of all antimicrobial
171 treatments) (Table 2). Monotherapy was used in 30.2% of empirical courses, with combination
172 therapy used in 69.8%. The commonest empirical combinations were piperacillin/tazobactam plus a
173 glycopeptide or linezolid (52 patients, 13.4%), a carbapenem plus a glycopeptide or linezolid (47
174 patients, 12.1%), a cephalosporin plus a glycopeptide or linezolid (27 patients, 7.0%),

175 piperacillin/tazobactam plus a fluoroquinolone (25 patients, 6.4%), a cephalosporin plus
176 metronidazole (23 patients, 6.0%), and piperacillin/tazobactam plus metronidazole (21 patients,
177 5.4%).

178 Combination therapies included two antibiotics in 329 cases (85.0%), three in 55 cases
179 (14.2%), and four in three cases (0.8%). Cephalosporins (148 courses) accounted for 40.1% of all
180 empirical monotherapies, with cefazolin (first-generation) in 66 (44.6%), cefotetan (second-
181 generation) in four (2.7%), cefotaxime, ceftazidime, and ceftriaxone (third-generation) in 69
182 (46.6%), and cefepime (fourth-generation) in nine (6.1%). Other frequently-prescribed
183 monotherapies were piperacillin/tazobactam (74 courses, 20% of all monotherapies) and other
184 penicillin/ β -lactamase inhibitor combinations (68 courses, 18.4%).

185 Only 126 of the 388 combination regimens (32.5%) comprised a broad-spectrum Gram-
186 negative β -lactam plus an anti-MRSA agent (a glycopeptide, usually teicoplanin, or linezolid); 91
187 (12.2%) of the first-line empirical regimens were irrational or redundant poly-pharmacy, commonly
188 comprising a combination of a β -lactam with anti-anaerobe activity (i.e. a β -lactamase inhibitor
189 combination or a carbapenem) with metronidazole.

190 The median duration of the first-line empirical therapy was 11.0 days (IQR, 6.7 – 19.0) for
191 patients with bacteraemia, 9.0 days (5.0 – 14.0) for medical patients and 10.0 days (7.0 – 17.0) for
192 surgical patients. Although there is a growing trend to shorten treatment durations, particularly in
193 Northern Europe, these longer courses are typical of Italy in the study period and are not out of line
194 with many international guidelines [32].

195 *Laboratory data*

196 There was often a poor match between the site of infection indicated in the patient record and the
197 specimens from which organisms, if any, were grown by the laboratory. Moreover, there were

198 frequent long delays between the clinical diagnosis and any result becoming available to the treating
199 clinician(s).

200 At the four smaller sites (Hospitals 1-4), respiratory samples accounted for >50% of all
201 specimens with a pathogen grown, and for fully 74% and 82% at Hospitals 2 and 3, respectively
202 (Figure 1). Blood and (especially) urine were rarely sampled, even when an infection was believed
203 to involve these sites. Thus, at Hospitals 2 and 3, urines accounted for only 5.6% and 8.7% of total
204 microbiological investigations, respectively. These patterns seem to reflect a practice of performing
205 surveillance cultures of respiratory secretions and basing therapy upon these, rather than of
206 undertaking microbiological investigations of actual infections.

207 Clinical specimens yielding an organism were collected at the initiation of empirical
208 antibiotic (T0) only from 251 of the 911 patients (27.6%). Sixteen of these 251 did not receive
209 antibiotics, as they were considered to be colonised rather than infected (n=13) or died early (n=3),
210 leaving 235 patients who had a T0 specimen and an assessable empirical antibiotic treatment. This
211 total reduced to 212 after exclusion of 23 patients whose T0 sample was from a body site different
212 to the infection recorded in the patient's notes. Samples yielding reported organisms were taken
213 within 10 days of therapy initiation from a further 361 patients (39.6%) whilst, in the remaining 299
214 cases (32.8%), the interval between initiation of antibiotic therapy and the first sample with a
215 reported organism was >10 days, or there was no relationship between the type of specimen from
216 which any organism was grown and the patient's clinical setting (Table 3). The median interval
217 between the initiation of empirical antibiotic therapy and the availability of a first (post-infection)
218 antibiotic sensitivity result was 7 days (IQR, 3.0-14.0), with significant variation amongst the five
219 sites ($p < 0.001$). The lag between arrival of a growth-yielding sample at the laboratory and the
220 availability of the result varied between sites from 3 to 4.5 days, meaning that around half of this
221 overall 7-day delay was between the clinical diagnosis of infection and the specimen being sent to
222 the laboratory for microbiology. It follows that many of the patients were already receiving

223 antibiotics at the time the first culture was taken, potentially compromising pathogen recovery and
224 meaning that many were nearing the end of their antibiotic course when any microbiological results
225 became available.

226 The lack of a T0 organism may be because no specimen was sent to the laboratory, or
227 because no organism was grown by the laboratory. Discriminating these scenarios in the hospital
228 record systems proved difficult but, for a random sample of 23 patients lacking culture results, we
229 could identify six who had a relevant-site T0 sample from which the laboratory failed to grow a
230 pathogen, eight who had only a T1 to T10 specimen failing to yield growth, and nine who had no
231 evidence of any specimen being sent to the microbiology laboratory within 10 days of clinical
232 diagnosis.

233 In total, 313 isolates from clinical samples (regardless of site and apparent relevance) were
234 collected at T0 from the 235 patients starting empirical treatment. In 147 cases (62.6%) the
235 organism(s) proved susceptible to the antibiotic regimen initiated whereas 88 (37.4%) patients had
236 bacteria resistant to the regimen initiated. The lowest proportion of resistance was at Hospital 1
237 (25.0%) and the highest at Hospital 2 (42.4%). Resistance to the empirical therapy was more
238 prevalent (192/347, 53%, $p < 0.001$) amongst cases who had initial isolates collected in the T1-10
239 period, again with the lowest proportion (49.1%) at Hospital 4 and the highest (61.5%) at Hospital
240 2. The differential in resistance, between patients with a T0 *vs.* T1-10 initial sample was moderately
241 significant even in the bacteraemia subset, where 35/54 (64.8%) isolates from patients with a T1-10
242 sample were resistant to the empirical therapy given *vs.* 14/34 (41.2%) ($p = 0.098$) isolates from T0
243 samples, whilst the difference in resistance between the whole series bacteraemic *vs.* non bacteraemic
244 patients was not significant ($p=0.1891$) (Table 4). Among the 212 patients who had clinically relevant
245 T0 samples, resistance to the empirical therapy given was observed in 74 (34.9%), with the lowest
246 proportion (22.6%) at Hospital 1 and the highest (40.6%) at Hospital 2 ($p = 0.2277$). A greater
247 proportion of resistance to empirical therapy (142/266, 53.4%, was seen in cases with an initial T1-

248 10 sample, with the lowest rate (43.4%) at Hospital 4 and the highest (70.0%), again, at Hospital 2
249 ($p=0.01$). Amongst bacteraemic cases, 6/24 (25.0%) of T0 isolates were resistant compared with
250 13/25 (52%) among those collected from T1-10. There was little obvious demographic difference
251 between the groups with a first relevant-site sample at T0, T1-10 and T>10 (or no relevant sample
252 at all), with average ages of 66.3, 65.8, and 66.5 years and medical:surgical:trauma ratios of
253 73.5:15.5:11.0; 59.0:19.9:21.1 and 71.2:14.8:14.0, respectively.

254 The frequent lateness of microbiological data may explain the small proportion of cases (282
255 out of 757, 37.2%) in whom empirical regimens were adjusted based upon susceptibility results.
256 The vast majority of these changes (252/282, 89.4%) were escalations, meaning the addition of
257 further agents or switches to broader-spectrum agents; first-line empirical antibiotic was stepped-
258 down in only 30 cases (10.6%).

259 *Outcomes*

260 Two hundred and twenty-seven patients (24.9%) died during their ICU stay and 316 (34.7%) during
261 their entire hospitalization. One hundred and forty-three of the ICU deaths (63% of all ICU deaths)
262 could reasonably be related to infection.

263 Patient primary outcome data in relation to treatment adequacy for the 212 cases with a
264 relevant-site T0 clinical specimen is displayed in Table 5. Among the 74 (34.9%) whose empirical
265 antibiotic(s) failed to cover the organisms subsequently identified there were 36 ICU deaths
266 (48.6%) vs. 26 deaths (18.8%) among the 138 receiving therapy that covered all pathogens present
267 ($p < 0.001$). This pattern was maintained among patients whose first relevant specimen was taken in
268 the T1-10 interval, where there was 43% mortality among those receiving inadequate therapy vs.
269 23% among those receiving adequate antimicrobial therapy (OR = 1.84; 95% CI, 1.3 to 2.5). In
270 this case, however, it is impossible to distinguish whether inadequacy was against the initial
271 pathogen, its resistant progeny, or against a secondary invader. Overall mortality rates among

272 patient with a first relevant-site sample at T0, T1-10 and T>10 (or no relevant sample at all were
273 29.2%, 19.9 % and 27.6%, respectively.

274 The adequacy of the initial regimen did not significantly affect the duration of ICU stay ($p =$
275 0.93) (Figure 2) partly because survivors who were hospitalized for extended periods were balanced
276 by cases who died early.

277 Development of septic shock was a significant predictor of mortality as was the patient's age
278 ($p < 0.001$). Non-survivors also were more likely to have had acute renal failure upon admission (p
279 < 0.001). By contrast, those admitted because of traumatic shock were more likely to survive ($p <$
280 0.001), perhaps owing to a higher probability of receiving adequate antibiotic treatment, given to
281 36.6% of trauma patients vs. 30.9% of other patient categories.

282 The commonest pathogens isolated from bloodstream samples and their associated rates of
283 inadequate antimicrobial treatment were *P. aeruginosa* ($n = 23$; 80% inadequacy), MRSA ($n = 19$;
284 80% inadequacy), and *E. coli* ($n = 14$; 77% inadequacy). The large number of MRSA is
285 unsurprising: EARS-net data (<http://www.ecdc.europa.eu>) show that the MRSA rate among
286 bloodstream *S. aureus* fluctuated between 33.2 and 39.4% through the study period.

287 Despite extensive cephalosporin use (above) only two cases of *Clostridium difficile*
288 diarrhoea were recorded, though it should be cautioned that diarrhoeal patients were not routinely
289 screened for this pathogen in the study period, leading to likely under-recording.

290

291 DISCUSSION

292 Studies of antibiotic inadequacy and its consequences in severely-ill patients have largely been
293 undertaken in teaching centres [13-21, 33-35], particularly in the U.S.A. [13,15,17,33]. We
294 investigated whether their general conclusion - that inadequate empirical therapy is associated with
295 increased mortality – applied also for smaller centres in the Veneto.

296 Assessing treatment adequacy proved challenging, owing to the many patients in whom
297 microbiology was carried out improperly or belatedly. Clinical specimens were collected at
298 initiation of empirical antibiotics (T0) for only 31.0% (235/757) of patients starting an initial
299 empirical antibiotic course, and only 28% (212) had a sample taken from the reported infection site.
300 In rather more cases (347, 45.8%), initial samples were taken between T1-10 whilst, for 299 cases
301 (39.5%), the interval to the first sample was >10 days, or there was no concordance between any
302 laboratory specimen and the patient's clinical setting. Resistance to the initial antibiotic therapy
303 was significantly more prevalent amongst T1-10 isolates than among those collected at T0,
304 regardless of whether comparison was irrespective of body site (55.3 vs. 37.4%) or solely from
305 isolates from the relevant site (53.4 vs. 34.9%). Similar patterns – with greater resistance in T1-10 vs. T0
306 samples - were seen for the subset of bacteraemia patients (64.1% vs. 41.1% for isolates from any body
307 site and 52.0 vs. 25.0% amongst bloodstream isolates). Greater resistance rates among 'late' isolates
308 may reflect selection of resistance in the original pathogen, or super-infection by more resistant
309 organisms during therapy.

310 When only the 212 empirically treated patients with a relevant T0 sample were analysed
311 (Table 5), 138 (65.1%) treatments were assessed as adequate, with 18.8% deaths in the ICU, versus
312 74 (34.9%) judged inadequate, with 48.6% deaths) ($p < 0.001$). Total mortality among these 212
313 patients with timely microbiological investigation was 29.2% compared with 27.6% among the
314 patients who had very belated microbiological investigation (>10 days) or no investigation at all.
315 These two groups were well matched in terms of average age and proportions of medical vs.
316 surgical vs. trauma cases; the overall similarity in outcome may well reflect the fact that, even
317 where microbiological investigation was performed, therapy was rarely changed. Mortality was
318 lower (19.9%) among the patients who had a first relevant sample in the T0-10 period, but this
319 group contained a higher proportion of trauma patients, who anyway tended to have better
320 outcomes.

321 This study, covering five small ICUs in the Veneto, thus confirms a significant association
322 between inadequate empirical antimicrobials and ICU mortality. *S. aureus* and resistant gram-
323 negative bacteria were the pathogens most frequently associated with poor outcomes, also as seen
324 elsewhere [14,15,17,21,33-35]. Furthermore, and in keeping with a recent meta-analysis [36], ICU
325 infections following trauma had lower mortality, perhaps because most trauma patients are younger
326 and have fewer co-morbidities. In contrast to several published studies [8,14,18,20,24-26], we
327 found no relationship between treatment adequacy and duration of ICU stay, though this calculation
328 may be confounded by how duration is counted for patients who die early.

329 The frequent lack of prompt microbiological investigation is the core finding of this study.
330 In many cases clinicians' undertook seemed to depend upon surveillance sampling for bacterial
331 colonization of the lower airways rather than direct microbiological investigation of the clinically-
332 diagnosed infections, often with an excess of antibiotics. Such overtreatment seems widespread in
333 Italy [37,38] and elsewhere [38,40].

334 Even when relevant specimens were collected, they often were collected late, meaning that
335 the organisms grown may have been secondary colonists, and that susceptibility results only
336 became available around the time when primary empirical treatment was ending, or even
337 afterwards. This may explain the infrequency of treatment de-escalation based on laboratory results.
338 Even Hospital 5 - where laboratory results were available earlier - was little exception and, in all but
339 two cases, changes to initial empirical antibiotic treatment were escalations, not de-escalations.

340 In summary, despite its limitations (e.g., being retrospective, exclusion of fungal infections,
341 and the difficulty of evaluating empirical therapy among patients whose microbiological
342 investigation was inadequate), this study provided a clear picture of sub-optimal microbiological
343 testing and antibiotic use in the five ICUs. There was frequent antibiotic misuse, inappropriate
344 empirical treatment, and high variability in (generally overlong) treatment duration and a
345 considerable need for the ICUs to improve specimen-taking and use of the microbiology laboratory.

346 Notably, the ICUs lacked local antibiotic practice guidelines, which represent one tool for clinicians
347 to manage patient and stewardship needs. Clinicians should be aware that any transient clinical
348 benefit achieved by overtreatment is counterbalanced by collateral damage and detriment to the
349 community as a whole via increased selection pressure for resistance. These issues are becoming
350 even more serious and urgent with the recent and extensive dissemination in Italy of *Klebsiella*
351 *pneumoniae* with KPC carbapenemases [20,41,42]. Poorly directed antibiotic use may have helped
352 to drive this dissemination, which saw the proportion of carbapenem-resistant bloodstream *K.*
353 *pneumoniae* in Italy rise from 1-2% from 2006-9 to over 30% in 2013-14
354 (<http://www.ecdc.europa.eu>). Most of these isolates are, however, clonal [43] and it therefore seems
355 likely that infection control failures are a greater issue, a view supported by the observation that
356 near-identical strains of carbapenemase-producing *K. pneumoniae* were reduced in prevalence in
357 Israel by improved infection control rather than stewardship changes. Carbapenemase-producing
358 *K. pneumoniae* remain rare at the ICUs included here (5-8 isolates, hospital-wide, per annum from
359 2010-12 in Hospital 5, rising to 14 in 2013, 18 in 2014 and 34 in the first half of 2015) but are
360 hugely more prevalent in a major teaching centre just 60 km away (data not shown).

361

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373

374 **CONFLICTS OF INTEREST**

375 DML: Advisory Boards or ad-hoc consultancy for Accelerate, Achaogen, Adenium, Allecra,
376 AstraZeneca, Auspherix, Basilea, BioVersys, Centauri, Cubist, Cycle, Discuva, Meiji, Nordic,
377 Pfizer, Roche, Shionogi, Tetrphase, VenatoRx, Wockhardt; paid lectures – AOP Orphan,
378 AstraZeneca, Merck, Nordic, Pfizer; relevant shareholdings in Dechra, GSK, Merck, Perkin Elmer,
379 Pfizer amounting to <10% of portfolio value; contract research for Achaogen, Allecra
380 Antiinfectives, AstraZeneca, Cubist Pharmaceuticals, GlaxoSmithKline, Merck, Meiji Melinta, and
381 Wockhardt Ltd. All other authors have none to declare.

382

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Table 1 Characteristics of the study patients

Site	Hospital 1	Hospital 2	Hospital 3	Hospital 4	Hospital 5	Total	p-value §
N° of pts.	184	172	136	171	248	911	
N° (%) of male patients	119 (64.7)	107 (62.2)	89 (65.4)	102 (59.7)	153 (61.7)	570 (62.6)	0.819
N° (%) of female patients	65 (35.3)	65 (37.8)	47 (34.6)	69 (40.3)	95 (38.3)	341 (37.4)	0.819
Mean age (years) ± SD	69.4 ± 14.5	69.3 ± 14.7	65.3 ± 15.9	69.0 ± 14.6	58.7 ± 17.4	65.8 ± 16.2	< 0.001 ***
Age range (years)	18 - 92	20 - 93	17 - 91	16 - 91	14 - 89	14 - 93	
Median length of ICU stay (days) (IQR)	15.0 (7.7-25.0)	19.0 (9.0-33.5)	18.0 (10.0-30.0)	20.0 (7.0-33.0)	16.0 (9.0-24.0)	17.0 (8.0-29.0)	0.079 *
Median length of hospital stay (IQR)	19.0 (11.5–32.5)	35.0 (18.5–56.0)	25.0 (14.0–44.0)	31.5 (16.0-50.0)	22.0 (12.0–37.5)	25.0 (14.0–44.0)	< 0.001 ***
N° (%) of ICU deaths	40 (21.7)	50 (29.0)	33 (24.3)	37 (21.7)	67 (27.0)	227 (24.9)	0.383
N° (%) of hospital deaths	54 (29.3)	70 (40.7)	43 (31.6)	55 (32.2)	94 (37.9)	316 (34.7)	0.1239
Diagnosis on admission:							
Medical (%)	129 (70.1)	103 (59.9)	79 (58.1)	104 (60.9)	151 (60.9)	552 (60.6)	< 0.001 ***
Surgical (%)	41 (22.3)	35 (20.3)	31 (22.8)	49 (28.6)	36 (14.5)	206 (22.6)	< 0.001 ***
Trauma (%)	14 (7.6)	34 (19.8)	26 (19.1)	18 (10.5)	61 (24.6)	153 (16.8)	< 0.001 ***
Patients admitted from:							
The community (%)	82 (44.6)	71 (41.3)	70 (51.5)	68 (39.8)	100 (40.3)	391 (42.9)	< 0.001 ***
Another hospital (%)	32 (17.4)	17 (9.9)	18 (13.2)	19 (11.1)	44 (17.7)	130 (14.3)	< 0.001 ***
Other wards (%)	70 (38.0)	84 (48.8)	48 (35.3)	84 (49.1)	104 (42.0)	390 (42.8)	< 0.001 ***
Patients discharged home (%)	5 (2.7)	3 (1.7)	2 (1.5)	5 (2.9)	1 (0.4)	16 (1.8)	0.284
Patients transferred to other wards (%)	78 (42.4)	105 (61.0)	62 (45.6)	99 (57.9)	100 (40.3)	444 (48.7)	< 0.001 ***
Patients transferred to other hospital (%)	61 (33.2)	14 (8.1)	39 (28.7)	30 (17.5)	80 (32.3)	224 (24.6)	< 0.001 ***
Diabetes (%)	16 (8.7)	22 (12.8)	13 (9.6)	23 (13.5)	14 (5.7)	88 (9.6)	0.04923 **
Chronic renal failure (%)	9 (4.9)	12 (7.0)	6 (4.4)	9 (5.3)	9 (3.6)	45 (5.0)	0.636
Cirrhosis (%)	5 (2.7)	12 (7.0)	7 (5.2)	7 (4.1)	4 (1.6)	35 (3.9)	0.056 *
Sepsis/septic shock (%)	22 (12.0)	34 (19.8)	22 (16.2)	37 (21.7)	42 (17.0)	157 (17.3)	0.146
§ *** p<0.01; ** p<0.05; * p<0.1							

Table 2 Initial empiric antibiotic therapy received by patients surveyed

Antibiotics	N° pts. receiving antibiotic, N° (%) *	Proportion of total antibiotic use, % ‡	Proportion of mono-therapy, % §	Proportion of combination therapy, % ^
Cephalosporins	253 (33.4)	20.7	40.1	12.3
Cefazolin	85 (11.2)	7.0	17.8	2.3
Ceftriaxone	71 (9.4)	5.8	10.1	4.0
Cefotaxime	37 (4.9)	3.0	6.5	1.5
Ceftazidime	26 (3.4)	2.1	2.2	2.1
Cefepime	24 (3.1)	2.0	2.4	1.8
Cefotetan	8 (1.1)	0.7	1.1	0.4
Ceftizoxime	2 (0.3)	0.1	0.0	0.2
Piperacillin/tazobactam	217 (28.6)	17.7	20.5	16.8
Glycopeptides	147 (19.4)	12.0	3.2	15.3
Teicoplanin	107 (14.1)	8.7	2.4	11.7
Vancomycin	40 (5.3)	3.3	0.8	3.6
Fluoroquinolones	133 (17.5)	10.9	8.9	11.8
Levofloxacin	79 (10.4)	6.5	4.3	7.5
Ciprofloxacin	53 (7.0)	4.3	4.6	4.3
Moxifloxacin	1 (0.1)	0.1	0.0	0.0
Carbapenems	120 (15.8)	9.8	6.2	11.6
Meropenem	77 (10.2)	6.3	2.7	8.0
Imipenem	43 (5.7)	3.5	3.5	3.6
Penicillins	102 (13.5)	8.3	19.4	3.5
Amoxicillin/clavulanate	52 (6.9)	4.3	10.5	1.5
Ampicillin/sulbactam	40 (5.3)	3.3	7.8	1.3
Oxacillin	5 (0.7)	0.4	0.5	0.4
Penicillin	2 (0.3)	0.2	0.3	0.1
Piperacillin	2 (0.3)	0.2	0.3	0.1
Ampicillin	1 (0.1)	0.1	0.0	0.1
Metronidazole	99 (13.1)	8.1	0.0	11.8
Aminoglycosides	53 (7.0)	4.3	0.0	6.3
Amikacin	21 (2.8)	1.7	0.0	2.5
Gentamicin	17 (2.2)	1.4	0.0	2.0
Netilmicin	8 (1.1)	0.7	0.0	1.0
Tobramycin	7 (0.9)	0.6	0.0	0.8
Clindamycin	48 (6.3)	3.9	0.8	5.4
Others	47 (6.2)	3.8	1.0	5.2
TOTALS		100.0	100.0	100.0

* Many patients received more than one antibiotic, meaning that this column does not total 100%. Antibiotic classes received by > 5% of patients are shown; other antibiotics used in a few cases included macrolides, linezolid, chloramphenicol, and tigecycline.

‡ Proportion of total 1223 antibiotic courses administered as initial empiric therapy

§ Proportion of monotherapy was calculated as the N° of patients receiving the antibiotic alone, as a proportion of all 369 patients receiving monotherapy

^ Proportion of combination therapy was calculated as the N° of patients receiving the individual antibiotic as a component of their combination therapy, as a proportion of the 388 patients receiving combination therapy

Table 3 Timing of initial clinical specimens*

TIME OF SAMPLING	Hospital 1	Hospital 2	Hospital 3	Hospital 4	Hospital 5	Total (%)
T0 (same day as clinical diagnosis)	34	33	43	52	89	251 (27.6)
Cases with bacteraemia	2	4	2	12	22	42
Non-bacteraemic cases	32	29	41	40	67	209
Cases with a relevant-site specimen	31	32	36	43	70	212
T1 (within 10 days of clinical diagnosis)	86	65	30	69	111	361 (39.6)
Cases with bacteraemia	9	8	0	10	42	69
Non-bacteraemic cases	77	57	30	59	69	292
Cases with a relevant-site specimen	67	50	28	53	68	266
Day > 10 (≥ 10 days after clinical diagnosis, or no sample)	64	74	63	50	48	299 (32.8)
Cases with bacteraemia	3	9	4	6	15	37
Non-bacteraemic cases	61	65	59	44	33	262
TOTAL	184	172	136	171	248	911 (100.0)

* including patients not given any antimicrobial therapy

Table 4 Bacterial resistance (R) or susceptibility (S) to empirical therapy administered *

	Hospital 1		Hospital 2		Hospital 3		Hospital 4		Hospital 5		Total (%)	
	R	S	R	S	R	S	R	S	R	S	R	S
CASES WITH ANY MICROBIOLOGICAL SAMPLE												
Empirically-treated patients with any T0 sample (n=235)	8 (25.0)	24 (75.0)	14 (42.4)	19 (57.6)	11 (29.7)	26 (70.3)	19 (37.2)	32 (62.8)	36 (43.9)	46 (56.1)	88 (37.4)	147 (62.6)
Cases with bacteraemia (n=34)	0	1	2	2	1	1	4	8	7	8	14	20
Non-bacteraemic cases (n=201)	8	23	12	17	10	25	15	24	29	38	74	127
Total treatments	32		33		37		51		82		235	
Total isolates from T0 samples	35		40		54		63		121		313	
Empirically-treated patients with any day T1-10 sample (n=347)	46 (55.4)	37 (44.6)	40 (61.5)	25 (38.5)	15 (53.6)	13 (46.4)	30 (49.1)	31 (50.9)	61 (55.5)	49 (44.5)	192 (55.3)	155 (44.7)
Cases with bacteraemia (n=54)	6	3	5	3	0	0	6	4	18	9	35	19
Non-bacteraemic cases (n=293)	40	34	35	22	15	13	24	27	43	40	157	136
Total treatments	83		65		28		61		110		347	
Total isolates from T1-10 samples	112		86		45		85		221		549	
CASES WITH A RELEVANT-SITE ^ CLINICAL SPECIMEN												
Empirically-treated patients with a relevant-site T0 sample (n=212)	7 (22.6)	24 (77.4)	13 (40.6)	19 (59.4)	10 (27.8)	26 (72.2)	16 (37.2)	27 (62.8)	28 (40.0)	42 (60.0)	74 (34.9)	138 (65.1)
Cases with bacteraemia (n=24) §	0	1	1	2	1	1	2	6	2	8	6	18
Non-bacteraemic cases (n=188)	7	23	12	17	9	25	14	21	26	34	68	120
Total treatments	31		32		36		43		70		212	
Empirically-treated patients with a relevant-site T1-10 sample (n=266)	35 (52.2)	32 (47.8)	35 (70.0)	15 (30.0)	15 (53.6)	13 (46.4)	23 (43.4)	30 (56.6)	34 (50.0)	34 (50.0)	142 (53.4)	124 (46.6)
Cases with bacteraemia (n=25)	2	2	5	1	0	0	2	4	4	5	13	12
Non-bacteraemic cases (n=241)	33	30	30	14	15	13	21	26	30	29	129	112
Total treatments	67		50		28		53		68		266	
Total patients	184		172		136		171		248		911	

* Defined as in Methods, p.5-6

^ i.e., taken from a body site corresponding to an infection recorded in the clinical records

§ Excluding coagulase-negative staphylococci which, based upon the clinical records, were not considered to be clinically significant

Table 5 Patient primary outcome* in relation to treatment adequacy among patients with a baseline relevant specimen

	TOTAL TREATED (WITH RELEVANT SPECIMEN)	TOTAL ADEQUATE (% of total treated)	DEATH (% of adequately treated)	SURVIVAL (% of adequately treated)	TOTAL INADEQUATE (% of total treated)	DEATH (% of inadequately treated)	SURVIVAL (% of inadequately treated)
Hospital 1	31	24 (77.4)	5 (20.8)	19 (79.2)	7 (22.6)	5 (71.4)	2 (28.6)
Hospital 2	32	19 (59.4)	4 (21.0)	15 (79.0)	13 (40.6)	8 (61.5)	5 (38.5)
Hospital 3	36	26 (72.2)	5 (19.2)	21 (80.8)	10 (27.8)	2 (20.0)	8 (80.0)
Hospital 4	43	27 (62.8)	3 (11.1)	24 (88.9)	16 (37.2)	6 (37.5)	10 (62.5)
Hospital 5	70	42 (60.0)	9 (21.4)	33 (78.6)	28 (40.0)	15 (53.6)	13 (46.4)
TOTAL	212 (100.0)	138 (65.1)	26 (18.8)	112 (81.2)	74 (34.9)	36 (48.6)	38 (51.4)

* Defined as in Methods, p. 5-6

Figure captions

Figure. 1 Sites of clinically-diagnosed infections (grey) compared to number of specimens sampled (black), by site. Numbers indicate hospitals.

Figure 2 Duration of ICU stay in relation to adequacy of empirical treatment

Fig 1.pdf

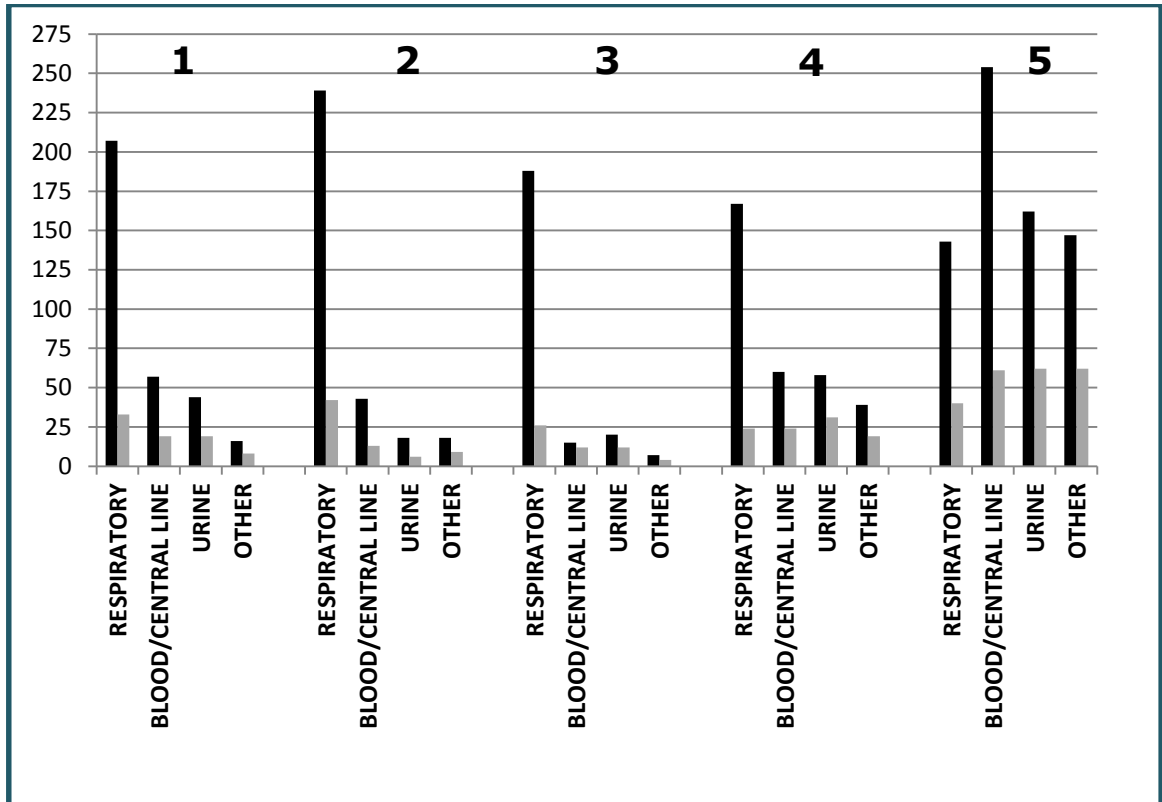


Fig 2.pdf

