KPC carbapenemases in the United Kingdom: an analysis of the first 160 cases outside the NW region

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#### 1 Abstract

**Objectives**: *Klebsiella pneumoniae* carbapenemases (KPCs) have been increasingly reported in the UK since 2003. We analysed patient and isolate data for KPC-positive bacteria confirmed by the national reference laboratory from UK laboratories, with the exception of the North-West England region, where the epidemiology has previously been studied, from August 2003 to August 2014.

Methods: MICs were determined by BSAC agar dilution methodology. Carbapenemresistant isolates lacking imipenem/EDTA synergy were tested by PCR for *bla*<sub>KPC</sub>. Multilocus sequence typing and *bla*<sub>KPC</sub> sequencing was performed on a subset of isolates.
Plasmid analysis was performed by transformation, PCR-based replicon typing and, in some cases, whole-plasmid sequencing. Patient data provided by the sending laboratories were reviewed.

Two hundred and ten KPC-producing isolates were submitted from 71 UK 13 Results: laboratories outside North-West England, representing 160 patients. All were 14 Enterobacteriaceae, predominantly K. pneumoniae (82%; 172/210), and most (91%; 15 191/210) were obtained from hospitalised patients. Analysis of 123 isolates identified blakPC-2 16 (64%; 79/123), *bla*<sub>KPC-3</sub> (27%; 33/123) and *bla*<sub>KPC-4</sub> (9%; 11/123). Within K. pneumoniae, 17 clonal group (CG) sequence type (ST) 258 was dominant (64%; 54/84), however 21 18 unrelated STs were also identified. Plasmid analysis identified a diverse range of plasmids of 19 at least 11 different replicon types, found in multiple STs and species. 20

Conclusions: KPC enzymes are increasingly detected in Enterobacteriaceae in the UK
outside North-West England, despite a lack of reported outbreaks. *K. pneumoniae* CG258
are the dominant hosts although plasmid spread also plays a significant role in dissemination
of KPCs between other *K. pneumoniae* STs and enterobacterial species.

#### 26 Introduction

27 Klebsiella pneumoniae carbapenemases (KPCs) were first identified in 1996 in a K. pneumoniae isolate obtained from a patient hospitalised in North Carolina, USA.<sup>1</sup> Since then 28 they have disseminated globally, predominantly among Enterobacteriaceae, although there 29 are also reports of production by Acinetobacter spp. and Pseudomonas aeruginosa isolates 30 in the Americas.<sup>2-4</sup> They have been reported in all inhabited continents, with numerous 31 32 outbreaks described, particularly in Greece, Israel, Italy, the USA and China.<sup>4</sup> Many of these outbreaks are associated with an internationally-disseminated lineage of K. pneumoniae, 33 sequence type (ST) 258, and other members of its clonal group (CG) CG258, which 34 comprises ST258, its single locus variants (SLVs), such as ST512, and their SLVs.<sup>5</sup> KPCs 35 are typically found encoded within the Tn3-based transposon, Tn4401, of which there are 36 five isoforms (a, b, c, d and e) as defined by insertions or deletions within a polymorphic 37 region immediately upstream of the *bla*<sub>KPC</sub> gene.<sup>6</sup> The first fully-sequenced KPC-encoding 38 plasmid was an IncFIB/IncFII<sub>K</sub> replicon type designated pKpQIL, from an ST258 isolate in 39 40 Israel, and highly similar plasmids have since been found in several other countries.<sup>7-9</sup> KPC has also been reported to be carried by plasmids of other replicon types including Incl2, 41 IncN, IncL/M and IncX, though these seem to be less frequent hosts of its gene.<sup>10-12</sup> 42

43 Most bacteria with KPC enzymes are multi-resistant, harbouring genes whose products non-*B*-lactam antibiotics (e.g. aac(6')-lb, 44 compromise encoding resistance to aminoglycosides except gentamicin),<sup>13,14</sup> resulting in a paucity of treatment options. The K. 45 pneumoniae ST258 lineage usually remains susceptible only to colistin, gentamicin and 46 tigecycline; however, there have been documented outbreaks of colistin-resistant K. 47 pneumoniae ST258, thereby further reducing therapeutic options.<sup>15,16</sup> 48

The first KPC enzyme in the UK was identified in 2003 and was found in an *Enterobacter cloacae* complex blood culture isolate from Scotland.<sup>17</sup> The first *K. pneumoniae* ST258 isolate was found in a urine specimen in 2007,<sup>18</sup> also in Scotland and since then, numbers of

KPC-producing isolates referred to Public Health England's (PHE) Antimicrobial Resistance 52 and Healthcare Associated Infections (AMRHAI) Reference Unit have risen sharply.<sup>4</sup> Most 53 54 (>95%) originate from hospitals in North-West England (defined as the counties of Cheshire, Cumbria, Greater Manchester, Lancashire and Merseyside) where an outbreak, centred in 55 Manchester, has been ongoing since 2010, despite control efforts.<sup>4,19</sup> In contrast with most 56 international experience, this outbreak is polyclonal in nature and attributable to the 57 horizontal spread of a pKpQIL-like plasmid amongst multiple strains of multiple species of 58 Enterobacteriaceae.<sup>4,19</sup> Similar polyclonal situations have been described recently in other 59 countries, including Spain and Canada.<sup>20,21</sup> 60

Here we describe the first 160 bacteria producing KPC enzymes referred to AMRHAI from
infected or colonised UK patients outside the North-West of England.

#### 64 Materials and methods

#### 65 Bacterial isolates, identification and susceptibility testing

Isolates had been submitted to PHE's AMRHAI Reference Unit from laboratories across the
UK (excluding the North West) between August 2003 and 12<sup>th</sup> August 2014 for investigation
of 'unusual' resistance, including to carbapenems. They were identified using chromogenic
agars [CHROMagar™ Orientation (CHROMagar, Paris, France) and Brilliance UTI (Oxoid,
Basingstoke, UK)], API-20E tests (bioMeriéux SA, Marcy-l'Etoile, France) or, since August
2012, by MALDI-ToF Mass Spectrometry (Bruker Microflex LT, Bruker Daltonik GmbH,
Bremen, Germany).

Antibiotic susceptibilities (MICs) were determined by the British Society for Antimicrobial Chemotherapy (BSAC) agar dilution<sup>22</sup> using AMRHAI's standard Gram-negative antibiotic panel, which includes ertapenem, meropenem and imipenem (with/without 320 mg/L EDTA to detect metallo-carbapenemase producers). MICs were interpreted using BSAC or EUCAST breakpoints.<sup>23,24</sup>

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## 79 Screening for KPC genes

Isolates exhibiting raised cefotaxime and ceftazidime MICs with no significant clavulanic acid synergy, and resistance, based on EUCAST/BSAC criteria to one or more of imipenem, meropenem ertapenem, but lacking imipenem/EDTA synergy (≥8 fold potentiation of imipenem by 320 mg/L EDTA) were screened by in-house PCR for KPC genes,<sup>1</sup> and/or with a commercial microarray (Check-Points CT102, Check-Points, Wageningen, The Netherlands).<sup>25</sup>

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## 87 Whole Genome Sequencing (WGS)

88 Genomes were sequenced using the Nextera sample preparation method and the standard 2 x 251-base sequencing protocols on a MiSeq instrument (Illumina, San Diego, CA, USA). 89 assembled 90 Reads were into contigs using VelvetOptimiser (http://bioinformatics.net.au/software.velvetoptimiser.shtml), with k-mer values from 55 to 75. 91 92 Sequence types and plasmid replicon types were extracted in silico by BLASTn using (http://mlst.warwick.ac.uk/mlst/dbs/Ecoli) 93 reference sequences from and 94 http://pubmlst.org/plasmid/ databases.

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## 96 Multi-locus sequence typing (MLST) and sequencing of KPC alleles

97 A subset of 123 isolates (100 *K. pneumoniae*, 16 *E. cloacae* complex, four *Escherichia coli*, 98 two *K. oxytoca* and one *Citrobacter freundii*), geographically representative of submissions 99 and selected from throughout the study period, were chosen for further analysis. Sequence 100 types were determined for *K. pneumoniae*, *E. cloacae* complex and *E. coli* isolates by 101 traditional multi-locus sequence typing (MLST)<sup>26-28</sup> or inferred from WGS data. The *bla*<sub>KPC</sub> 102 alleles were defined either by sequencing PCR amplicons as previously described<sup>1</sup> or from 103 analysis of WGS data.

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## 105 Plasmid transformation, replicon typing and plasmid sequencing

Transformation of plasmids encoding KPC enzymes was attempted by electroporation using the subset of 123 isolates and *E. coli* Alpha-Select recipient cells (Bioline, London, UK). Transformants were selected on LB agar containing 100 mg/L ampicillin, and colonies were screened for *bla*<sub>KPC</sub> by PCR. A subgroup of 59 transformants was selected and subjected to WGS as above; selection was based on their geographical and temporal distribution, KPC alleles, species of origin and STs. 112 Replicon typing of  $bla_{KPC}$  plasmids was performed as described previously<sup>29,30</sup> or was 113 inferred from WGS data.

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## 115 **Patient demographic information**

Patient data were obtained from the accompanying request forms sent with submissions from referring laboratories. A patient was categorized as 'new' if they were found to have KPC-positive isolates detected by AMRHAI for the first time and 'known' if KPC-positive isolates, irrespective of species, had previously been identified from the patient by AMRHAI.

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# 121 Data analysis

122 Data were analysed using Microsoft Excel and Bionumerics software v6.1 (Applied Maths,

123 Sint-Martens-Latem, Belgium).

## 125 Results

#### 126 **Demographics of patients affected and distribution**

127 During the study period, AMRHAI confirmed 210 KPC-positive isolates from outside of 128 North-West England. These were submitted by 71 UK laboratories and obtained from 160 patients. Figure 1 illustrates the distribution of these isolates among 'new' and 'known' 129 patients, and among submitting laboratories. The first three KPC-producing organisms, as 130 previously reported,<sup>17</sup> were *E. cloacae* isolates found in blood specimens from a single 131 patient across consecutive years (one in 2003 and two in 2004) from Scotland. All were 132 found to produce the KPC-4 variant. The first KPC-producing K. pneumoniae isolate was 133 identified in 2007 in a blood specimen, also from Scotland.<sup>18</sup> The numbers of 'new' patients 134 increased significantly from 2008 onwards (Figure 1). 135

KPC-producing isolates were submitted from laboratories across all 11 UK regions studied. The national distribution of affected patients, as ascertained by AMRHAI referrals, was as follows: England (n=124), Scotland (n=26), Northern Ireland (n=9) and Wales (n=1). The greatest number of affected patients was from the Yorkshire and the Humber region (n=39), followed by London (n=27) and the West Midlands (n=20).

Most source patients were hospitalized (86%; 138/160), but a few were outpatients (4%; 6/160) or in primary care (6%; 10/160), or were from patients in an unknown setting (4%; 6/160). The mean patient age was 60.4 years and most were male (58%, 92/160).

Foreign travel history was available for 51/160 (32%) patients. Of these, 19 patients had documented travel within the previous six months to: Greece (11/19), Italy (2/19), Bulgaria, Curaçao, India, Israel, Macedonia, and Saudi Arabia (one patient each). Four of these 19, with histories of travel to Curaçao, Greece, Israel and Italy, were known to have been hospitalised whilst abroad. Information on patient transfers between UK regions was very limited, however 5/160 (3%) patients were known to have had KPC-producing isolates submitted from hospitals across two UK regions. Four KPC-positive patients had previously been hospitalised in the North-West (Manchester) prior to KPC isolations in Wales, Northern
Ireland and Yorkshire and the Humber (two patients), and one KPC-positive patient had
previously been hospitalised in Yorkshire and the Humber prior to the East Midlands.

Single KPC-producing isolates were referred from 125/160 (78%) patients and multiple isolates were referred from the remaining 35/160 (22%). Amongst the 35 patients with multiple KPC-producing isolates, six (17%) yielded KPC-producing isolates of different species or genera and 14 (40%) had KPC-producing isolates obtained from different anatomical sites; the KPC-positive isolates were referred over a period of <14 days in 19/35 (54%) instances and over a period >6 months from just one patient.

The date when the sample was taken was available for 89% (187/210) of isolates and the median duration between this date and the isolate being received at AMRHAI was 8 days (Range = 1-49 days).

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#### 164 Microbiology

All KPC-positive isolates were Enterobacteriaceae. The majority were *K. pneumoniae* (82%;
173/210) followed by *E. cloacae* complex (11%; 24/210), *E. coli* (4%; 9/210), *K. oxytoca* (1%;
3/210) and *C. freundii* (<1%; 1/210).</li>

If samples, rather than patients were considered as the denominator, most were taken in hospitals (91%; 191/210), but some were from general practice urines (5%; 11/210) and a few samples were from an unknown setting (4%; 8/210). The most frequently reported specimen types were urines (33%; 70/210), followed by screening swabs (24%; 50/210). Ten percent (21/210) of isolates were obtained from blood cultures or line tips, 13% (29/210) from tissue and fluid samples and 10% (21/210) from faeces (Table 1).

#### 175 KPC alleles and typing of the isolates

The KPC variants were defined for 59% (123/210) of isolates, distributed throughout the entire collection period (2003-2014). Of these, 64% (79/123) were  $bla_{KPC-2}$ , 27% (33/123) were  $bla_{KPC-3}$ , and 9% (11/123) were  $bla_{KPC-4}$ ; no other variants were detected. Isolates harbouring  $bla_{KPC-2}$  were geographically scattered and included *K. pneumoniae*, *E. cloacae* complex, and *E. coli.*  $bla_{KPC-3}$ -positive isolates were also geographically scattered, but were all *K. pneumoniae*.  $bla_{KPC-4}$  was found only in *E. cloacae* complex isolates from Scotland.

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### 183 Klebsiella pneumoniae

One hundred of the 173 K. pneumoniae isolates were typed by MLST. After the exclusion of 184 isolates exhibiting the same ST from single patients, 84 results remained for analysis. 185 Almost two-thirds (64%; 54/84) belonged to the CG258, comprising isolates belonging to 186 ST258 (n=41), ST512 (n=9), ST11 (n=3) and ST833 (n=1). Between 2007 and 2014, CG258 187 isolates were submitted from 31 laboratories across all UK regions studied (10/11) except 188 Wales; 54% (22/41) produced KPC-2 and the remaining 46% (19/41) produced KPC-3 189 enzymes. One of the earliest ST258 isolates was obtained in 2008 and was from the urine of 190 a patient previously hospitalised in Israel;<sup>18</sup> another ST258 isolate was from a wound swab 191 of a patient hospitalised in Greece in 2011. However, the first ST258 isolate, from 2007, 192 193 came from a patient that had no foreign travel history. ST512 isolates were referred from six laboratories between 2008 and 2014 from three UK regions, and all produced KPC-3. One 194 patient who had K. pneumoniae ST512 isolated from a sputum sample in 2012 had been 195 196 hospitalised in Italy within the previous six months. ST11 isolates were submitted between 197 2009 and 2011 from two laboratories in two UK regions. One patient, with an ST11 isolate from urine, had a history of travel to Curaçao where he had been hospitalised for two weeks 198 199 and had undergone urinary catheterisation. The last member of the CG258, a single isolate 200 of ST833, produced KPC-2 and the patient had no known history of travel. There were four

outbreaks in hospitals across three regions caused by members of CG258; ST11 producing
KPC-2 in 2 patients, ST512 producing KPC-3 in 3 patients, ST258 producing KPC-3 in 2
patients, and ST258 producing KPC-2 in 3 patients. These outbreaks were over periods
ranging from 1 week to 2 months.

Thirty (36%) characterised isolates did not belong to CG258. Of these, five isolates belonged to ST661, were submitted from two laboratories, located in different regions between July 2013 and May 2014 and produced KPC-2 enzyme. The remaining 25, from 16 laboratories, represented 20 different STs and produced either KPC-2 (24/25) or KPC-3 (1/25) (Figure 2).

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## 210 Enterobacter cloacae complex

Sixteen of 24 *E. cloacae* complex isolates were typed by MLST. After the exclusion of isolates exhibiting the same sequence type from a single patient, 12 results remained for analysis. Eight isolates that were submitted from five laboratories in Scotland between 2003 and 2013, belonged to ST171 and produced KPC-4 enzyme. The remaining four isolates were ST133 (two isolates from the same hospital), ST190 and ST56 (one isolate each), and all produced KPC-2.

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Four of the nine *E* .*coli* isolates were typed by MLST and represented four unrelated sequence types: ST12, ST127, ST131 and ST744. All four produced the KPC-2 enzyme.

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## 222 Antibiotic susceptibility

The MIC distributions of KPC-positive isolates are shown in Table 2. All isolates were resistant to ertapenem and most were resistant or non-susceptible to imipenem (98%;

<sup>218</sup> Escherichia coli

202/207) and meropenem (97%; 201/208). All meropenem MICs were above the EUCAST 225 screening concentration (MICs >0.125 mg/L). All members of the K. pneumoniae CG258 226 were resistant to tobramycin and most were also non-susceptible or resistant to amikacin 227 (89%; 57/64), however two-thirds were susceptible to gentamicin. By comparison, members 228 229 of non-CG258 K. pneumoniae STs (n=36) were more often susceptible to all three aminoglycosides (61%, 86% and 64% were susceptible to tobramycin, amikacin and 230 gentamicin respectively). Most non-K. pneumoniae isolates also were susceptible to all three 231 aminoglycosides. All members of the CG258 were resistant or non-susceptible to 232 ciprofloxacin, compared with 47% (17/36) of other K. pneumoniae STs and 62% (23/37) of 233 all other species. Colistin resistance was observed in 26 K. pneumoniae isolates, of which 234 belonged to CG258, three to three unrelated STs; the STs of the remaining 10 colistin-235 236 resistant isolates were undetermined. Colistin MICs for resistant isolates ranged from 4-32 237 mg/L (Table 2) and resistant isolates originated from laboratories/hospitals across six UK regions. Susceptibility to tigecycline was observed in 61% (125/205) of all isolates but only in 238 48% (31/64) of CG258 isolates. 239

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### 241 Plasmid analysis

Transformants expressing KPC enzymes were obtained for 90/123 (73%) of the subset of 242 isolates chosen for further analysis. PCR-based replicon typing and whole genome 243 sequencing were performed on 90/90 and 59/90 transformants, respectively. The data 244 245 revealed the following replicon types;  $IncFIB/IncFII_{\kappa}$  (n=49), IncN (n=17),  $IncFII_{\kappa}$  (n=8), IncFIB (n=3), IncR (n=3), ColE-like (n=2), Incl2 (n=2), IncFIA (n=1), IncP-6 (n=1), IncX3 246 (n=1), and three plasmids were of untypable replicon types. Most (80%; 39/49) plasmids with 247 the IncFIB/IncFIIk replicon type were obtained from members of the CG258. Of the 59 that 248 were sequenced, 30/35 IncFIB/IncFIIK plasmids exhibited >99% sequence identity to 249 pKpQIL (GenBank Accession No. NC\_014016). 250

The sizes of the sequenced KPC plasmids ranged from ~13kb to ~224kb. In all sequenced plasmids the KPC genes were located within Tn*4401* isoforms *a*, *b*, *c* or *d* (Table 3). All plasmids encoded variants  $bla_{KPC-2}$  or  $bla_{KPC-3}$  with the exception of the two ColE-like plasmids found in *E. cloacae* complex isolates from Scotland, which encoded  $bla_{KPC-4}$ . Most of the plasmid replicon types were recovered from multiple UK regions (Table 3). Some KPC plasmids were also shown to carry a number of additional antibiotic resistance genes (Table 3).

#### 259 Discussion

This report reviews the first 160 recorded patients infected or colonised by KPC-positive bacteria in the UK, excluding the North West of England (which accounts for most cases), as ascertained from referrals to PHE's AMRHAI Reference Unit. Isolates were submitted over an eleven-year period from August 2003 to August 2014, from laboratories across the UK.

264 KPC-producing isolates were submitted from all UK regions over this study period. The majority of isolates were obtained from clinical specimens (133/210), and these were 265 predominantly urine samples (70/133). All isolates were multi-resistant to antibiotics and 266 exhibited non-susceptibility to at least one of the three carbapenems tested. The only 267 268 antibiotics that retained relatively good levels of activity in vitro were colistin (87%), gentamicin (65%) and tigecycline (61%). The use of colistin as monotherapy against KPC-269 producers has limitations due to its nephrotoxicity and neurotoxicity and also the danger of 270 selecting for colistin-resistant mutants.<sup>31</sup> The potential for expansion of colistin-resistant 271 272 variants is evidenced by reports of outbreaks caused by colistin-resistant members of the ST258 clone.<sup>15,16</sup> In this study we found 26 K. pneumoniae isolates that were resistant to 273 colistin, most of these were members of CG258, and they were found in 10/11 UK regions. 274 The use of tigecycline is limited by its inability to achieve high concentrations in the urine and 275 276 blood, and is licensed for the treatment of complicated skin and skin structure infections, and complicated intra-abdominal infections.<sup>32</sup> Several antibiotic combinations have been used for 277 the treatment of infections caused by KPC-producing bacteria including: colistin with 278 aminoglycosides/carbapenems/fluoroquinolones, tigecycline with aminoglycosides, and 279 fluoroquinolone/aminoglycoside combinations.<sup>31,33</sup> 280 several beta-lactam and Such combination therapies have been shown to be more effective than monotherapy and are 281 believed to reduce the likelihood of the development of resistant mutants.<sup>31,33</sup> 282

Although travel history was available for just one-third of the patients, 11/51 had travelled to Greece in the previous six months, two had travelled to Italy and one had travelled to Israel, 285 all of which have reported nationwide KPC outbreaks within their hospitals.<sup>4</sup> One patient with a wound infection caused by K. pneumoniae ST258 had previously been hospitalised in 286 Greece, where ST258 lineages have caused multiple outbreaks since 2007.<sup>4</sup> Another patient 287 had been hospitalised in Curacao for a period of two weeks prior to isolation of KPC-positive 288 K. pneumoniae in the UK.<sup>34</sup> The KPC-2-producing K. pneumoniae ST11 isolated from his 289 urine was most likely acquired in Curacao, where he was catheterised.<sup>34,35</sup> One of the 290 patients who had travelled to and been hospitalised in Italy was found to have a KPC-3-291 producing K. pneumoniae ST512 (CG258), which is reported to be a problematic clone in 292 Italy, causing outbreaks in several hospitals.<sup>4,36</sup> Whilst it is not possible to know conclusively 293 294 where acquisition of the KPC-producing bacteria took place, it is clear that international 295 travel continues to play a significant role in the importation of KPC-producing clones, and 296 this has been illustrated in the worldwide spread of members of CG258.4

The finding that four patients had KPC isolations in hospitals across two UK regions demonstrates that domestic travel and patient transfers may play a vital role in the dissemination of KPC-producing bacteria within the UK. This has the potential to be particularly problematic when one UK region has an ongoing outbreak (the North-West) and could conceivably result in the expansion of this outbreak.

302 At the time of this study there were 22 known KPC variants (KPC-2 - KPC-23) identified (www.lahey.org/studies/) and only three variants were found here: KPC-2, KPC-3 and KPC-303 4. KPC-2 and KPC-3 are the most common variants worldwide, and their genes are often 304 harboured on pKpQIL and pKpQIL-like plasmids.<sup>9,37</sup> We first identified KPC-4 in 2003, and 305 this variant has recently also been found in the USA on IncL/M plasmids in both E. cloacae 306 and S. marcescens, and enocded by an IncN plasmid in K. pneumoniae.<sup>10,11</sup> In this study all 307 of the KPC-4-producing isolates were E. cloacae complex ST171 and had been isolated in 308 five laboratories in Scotland over a 10-year period (from 2003 to 2013). Sequencing 309 310 identified ~13 kb ColE-like plasmids encoding *bla*<sub>KPC-4</sub> in two of these isolates. Despite the 311 long-term persistence of this KPC-4-producing clone, it has not caused recognised
312 outbreaks and its KPC-encoding plasmid has not spread to other hosts.

313 Although the worldwide dissemination of KPC-producing bacteria is substantially associated with a single clonal group (K. pneumoniae CG258), KPC enzymes have been found in 314 numerous other K. pneumoniae sequence types and in other bacterial species.<sup>4,10</sup> bla<sub>KPC</sub> 315 have been recorded as carried by several plasmids of different incompatibility groups, 316 including IncF, Incl2, IncN, IncL/M and IncX.<sup>10-12,37</sup> Here we found KPC genes in four 317 bacterial species and in 34 different sequence types, carried by at least 11 plasmid replicon 318 types, suggesting that both plasmid spread and the mobility between plasmids plays an 319 important role in the dissemination of KPC in the UK. Within CG258 alone we found at least 320 8 different KPC plasmid replicon types, indicative of the success of this clonal group as a 321 host of KPC plasmids. The observation that most plasmids were of the IncFIB/IncFII<sub>K</sub> and 322 highly homologous to pKpQIL show that pKpQIL-like plasmids are be dominant in the UK. 323

324 There are numerous reports of outbreaks of KPC-producers from other countries,<sup>4,8,12,15</sup> 325 associated particularly with members of CG258. We have shown here that K. pneumoniae ST258 is the dominant host of KPC enzymes in the UK outside of North-West England and 326 that multiple UK hospitals have been challenged by the introduction of this successful clone 327 328 and its close relatives since 2007. Nevertheless, to date there have been very few clusters of infections or colonisations caused by K. pneumoniae ST258 in the UK. Whether the lack of 329 CG258 dissemination can be attributed to better screening and/or compliance with infection 330 control practices in the UK is unknown, but this does underline the need for continued 331 surveillance and for implementation of rigorous infection prevention and control measures.<sup>38</sup> 332

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# 338 Transparency declaration

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- 351 Merck, Perkin Elmer, Pfizer amounting to <10% of portfolio value.

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|                           |        |                    | Hosp                            | ital Setting |                  |        |              |           |       |
|---------------------------|--------|--------------------|---------------------------------|--------------|------------------|--------|--------------|-----------|-------|
| Species                   | Urines | Screening<br>swabs | Blood cultures and line tips    | Respiratory  | Tissue and fluid | Faeces | Not<br>known | GP urines | Total |
| C. freundii               | 0      | 1                  | 0                               | 0            | 0                | 0      | 0            | 0         | 1     |
| <i>E. cloacae</i> complex | 7      | 0                  | 4                               | 2            | 4                | 2      | 0            | 3         | 22    |
| E. coli                   | 4      | 1                  | 0                               | 0            | 0                | 2      | 0            | 1         | 8     |
| <i>Klebsiella</i> spp.    | 44     | 47                 | 17                              | 12           | 24               | 16     | 4            | 7         | 171   |
| Total                     | 55     | 49                 | 21                              | 14           | 28               | 20     | 4            | 11        | 202   |
|                           |        |                    | Unkn                            | own Setting  |                  |        |              | _         |       |
| Species                   | Urines | Screening<br>swabs | Blood cultures<br>and line tips | Respiratory  | Tissue and fluid | Faeces | Not<br>known |           | Total |
| E. cloacae complex        | 1      | 1                  | 0                               | 0            | 0                | 0      | 0            |           | 2     |
| E. coli                   | 1      | 0                  | 0                               | 0            | 0                | 0      | 0            |           | 1     |
| <i>Klebsiella</i> spp.    | 2      | 1                  | 0                               | 0            | 1                | 0      | 1            |           | 5     |
| Total                     | 4      | 2                  | 0                               | 0            | 1                | 0      | 1            |           | 8     |

 Table 1. Source and species for the KPC-positive isolates from different settings.

|  | Number of Isolates with MIC (mg/L)    |                                |        |      |  |                     |               |                       |                         |  | -  |                                   |                   |        |                                 |
|--|---------------------------------------|--------------------------------|--------|------|--|---------------------|---------------|-----------------------|-------------------------|--|--|-----------------------------------|-------------------|--------|---------------------------------|
| Species/ST   | Antibiotic<br>(range<br>tested, mg/L) | EUCAST<br>breakpoints<br>≤S/>R | ≤0.125 | 0.25 | 0.5  | 1                   | 2             | 4                     | 8                       | 16   | 32   | 64                                | ≥128              | NA     | %S                              |
| <i>K. pneumoniae</i> /CG258 (n=64)<br><i>K. pneumoniae</i> /other STs (n=36)<br><i>K. pneumoniae</i> /NT (n=73)<br><i>K. oxytoca</i> /NT (n=3)<br><i>E. cloacae</i> complex (n=24)<br><i>E. coli</i> (n=9)<br><i>C. freundii</i> (n=1) | Ertapenem<br>(0.125-16)               | ≤0.5/>1                        |        |      |  |                     | 1             | 4                     | 2<br>3<br>2             | 64 <sup>a</sup><br>36 <sup>a</sup><br>69 <sup>a</sup><br>3 <sup>a</sup><br>16 <sup>a</sup><br>6 <sup>a</sup><br>1 <sup>a</sup> |  |                                   |                   | 2      | 0<br>0<br>0<br>0<br>0<br>0<br>0 |
| Total  |                                       |                                |        |      |  |                     | 1             | 5                     | 7                       | 195 <sup>a</sup>   |  |                                   |                   | 2      | 0                               |
| K. pneumoniae/CG258 (n=64)<br>K. pneumoniae/other STs (n=36)<br>K. pneumoniae/NT (n=73)<br>K. oxytoca/NT (n=3)<br>E. cloacae complex (n=24)<br>E. coli (n=9)<br>C. freundii (n=1)  | Imipenem<br>(0.06-128)                | ≤2/>8                          |        |      | 1  | 1                   | 1<br>2        | 1                     | 6<br>1<br>11<br>1<br>5  | 13<br>20<br>23<br>3<br>8<br>4  | 15<br>5<br>18<br>3<br>1  | 19<br>1<br>11<br>1                | 11<br>7<br>7<br>2 | 1<br>2 | 0<br>3<br>0<br>13<br>0<br>0     |
| Total  |                                       |                                |        |      | 1  | 1                   | 3             | 6                     | 24                      | 71   | 42   | 32                                | 27                | 3      | 2                               |
| K. pneumoniae/CG258 (n=64)<br>K. pneumoniae/other STs (n=36)<br>K. pneumoniae/NT (n=73)<br>K. oxytoca/NT (n=3)<br>E. cloacae complex (n=24)<br>E. coli (n=9)<br>C. freundii (n=1)  | Meropenem<br>(0.06-32)                | ≤2/>8                          |        | 1    | 1  | 4                   | 1             | 1<br>2<br>1<br>3      | 5<br>5<br>10<br>10<br>4 | 5<br>12<br>21<br>3<br>2<br>1   | 53 <sup>a</sup><br>19 <sup>a</sup><br>38 <sup>a</sup><br>4 <sup>a</sup><br>1 |                                   |                   | 2      | 0<br>0<br>0<br>29<br>0<br>0     |
| Total  |                                       |                                |        | 1    | 1  | 4                   | 1             | 7                     | 34                      | 44   | 116 <sup>a</sup>   |                                   |                   | 2      | 3                               |
| <i>K. pneumoniae</i> /CG258 (n=64)<br><i>K. pneumoniae</i> /other STs (n=36)<br><i>K. pneumoniae</i> /NT (n=73)<br><i>K. oxytoca</i> /NT (n=3)<br><i>E. cloacae</i> complex (n=24)   | Amikacin<br>(0.5-64)                  | ≤8/>16                         | _      |      | 1 <sup>b</sup><br>1 <sup>b</sup><br>1 <sup>b</sup><br>2 <sup>b</sup> | 1<br>12<br>11<br>10 | 11<br>10<br>8 | 2<br>3<br>9<br>3<br>3 | 3<br>4<br>9<br>1        | 11<br>4<br>9   | 36<br>1<br>16  | 10 <sup>a</sup><br>6 <sup>a</sup> |                   | 2      | 11<br>86<br>55<br>100<br>100    |

| <i>E. coli</i> (n=9)                   |               |         |                 |    |                 | 1  | 3  | 2  | 1                | 2  |                  |                 |   | 78  |
|--|---------------|---------|-----------------|----|-----------------|----|----|----|------------------|----|------------------|-----------------|---|-----|
| C. freundii (n=1)                      |               |         |                 |    |                 |    |    |    | 1                |    |                  |                 |   | 100 |
| Total                                  |               |         |                 |    | 5 <sup>b</sup>  | 35 | 32 | 22 | 19               | 26 | 53               | 16 <sup>a</sup> | 2 | 54  |
| <i>K. pneumoniae</i> /CG258 (n=64)     |               |         |                 |    | 4               | 16 | 23 | 6  | 7                | 3  | 5 <sup>a</sup>   |                 |   | 67  |
| <i>K. pneumoniae</i> /other STs (n=36) |               |         |                 | 3  | 16              | 4  |    |    | 2                | 1  | 10 <sup>a</sup>  |                 |   | 64  |
| <i>K. pneumoniae</i> /NT (n=73)        | Gentamicin    |         |                 | 2  | 15              | 18 | 6  |    | 4                | 1  | 25 <sup>a</sup>  |                 | 2 | 58  |
| <i>K. oxytoca</i> /NT (n=3)            | (0.125-32)    | ≤2/>4   |                 |    |                 | 1  | 2  |    |                  |    |                  | _               |   | 100 |
| <i>E. cloacae</i> complex (n=24)       | (0.125-52)    |         |                 | 5  | 11              | 3  | 1  |    | _                |    | 4 <sup>a</sup>   |                 |   | 83  |
| <i>E. coli</i> (n=9)                   |               |         |                 |    | 2               | 2  | 2  | 1  |                  |    | 2 <sup>a</sup>   |                 |   | 67  |
| C. freundii (n=1)                      |               |         |                 |    |                 |    |    |    |                  |    | 1 <sup>a</sup>   |                 |   | 0   |
| Total                                  |               |         |                 | 10 | 48              | 44 | 34 | 7  | 13               | 5  | 47 <sup>a</sup>  |                 | 2 | 65  |
| <i>K. pneumoniae</i> /CG258 (n=64)     |               |         |                 |    |                 |    |    |    | _                | 9  | 55 <sup>a</sup>  |                 |   | 0   |
| <i>K. pneumoniae</i> /other STs (n=36) |               |         |                 | 3  | 15              | 3  | 1  | 1  |                  | 5  | 8 <sup>a</sup>   |                 |   | 61  |
| <i>K. pneumoniae</i> /NT (n=73)        | Tobramycin    |         |                 | 3  | 15              | 5  | 1  |    |                  | 7  | 40 <sup>a</sup>  |                 | 2 | 34  |
| <i>K. oxytoca</i> /NT (n=3)            | (0.125-32)    | ≤2/>4   |                 |    |                 | 2  |    | 1  |                  |    |                  |                 |   | 67  |
| <i>E. cloacae</i> complex (n=24)       | (0.120 02)    |         |                 | 3  | 12              | 4  | 1  |    |                  | 1  | 3 <sup>a</sup>   |                 |   | 83  |
| <i>E. coli</i> (n=9)                   |               |         |                 |    | 2               | 1  | 4  |    |                  |    | 2 <sup>a</sup>   |                 |   | 78  |
| <i>C. freundii</i> (n=1)               |               |         |                 |    |                 |    |    |    |                  |    | 1 <sup>a</sup>   |                 |   | 0   |
| Total                                  |               |         |                 | 9  | 44              | 15 | 7  | 2  |                  | 22 | 108 <sup>a</sup> |                 | 2 | 36  |
| <i>K. pneumoniae</i> /CG258 (n=64)     |               |         |                 |    |                 |    |    |    | 64 <sup>a</sup>  |    |                  |                 |   | 0   |
| <i>K. pneumoniae</i> /other STs (n=36) |               |         | 15 <sup>b</sup> | 1  | 3               | 3  |    |    | 14 <sup>a</sup>  |    |                  |                 |   | 53  |
| <i>K. pneumoniae</i> /NT (n=73)        | Ciprofloxacin |         | 15 <sup>⊳</sup> | 1  | 1               | 3  | 2  | 2  | 47 <sup>a</sup>  |    |                  |                 | 2 | 24  |
| <i>K. oxytoca</i> /NT (n=3)            | (0 125-8)     | ≤0.5/>1 | 3 <sup>⊳</sup>  |    |                 |    |    |    |                  |    |                  |                 |   | 100 |
| <i>E. cloacae</i> complex (n=24)       | (01120 0)     |         | 1 <sup>0</sup>  | 1  | 4               | 2  | 1  | 2  | 13 <sup>a</sup>  |    |                  |                 |   | 25  |
| E. coli (n=9)                          |               |         | 2⁰              | 2  | 1               |    |    |    | 4 <sup>a</sup>   |    |                  |                 |   | 56  |
| C. freundii (n=1)                      |               |         |                 |    |                 | _  | _  |    | 1                | _  |                  |                 |   | 0   |
| Total                                  |               |         | 36 <sup>⊳</sup> | 5  | 9               | 8  | 3  | 4  | 143 <sup>a</sup> |    |                  |                 | 2 | 7   |
| <i>K. pneumoniae</i> /CG258 (n=64)     |               |         |                 |    | 38 <sup>b</sup> | 11 | 1  |    | 3                |    | 10 <sup>a</sup>  |                 | 1 | 79  |
| <i>K. pneumoniae</i> /other STs (n=36) |               |         |                 |    | 21 <sup>b</sup> | 12 |    |    | 2                | 1  |                  |                 |   | 92  |
| <i>K. pneumoniae</i> /NT (n=73)        | Colistin      |         |                 |    | 50°             | 10 | 1  | 2  | 2                |    | 6 <sup>a</sup>   |                 | 2 | 86  |
| <i>K. oxytoca</i> /NT (n=3)            | (0.5-32)      | ≤2/>2   |                 |    | 2°              | 1  |    |    |                  |    |                  |                 |   | 100 |
| <i>E. cloacae</i> complex (n=24)       | (0.0 02)      |         |                 |    | 18 <sup>b</sup> | 6  |    |    |                  |    |                  |                 |   | 100 |
| E. coli (n=9)                          |               |         |                 |    | 3 <sup>⊳</sup>  | 6  |    |    |                  |    |                  |                 |   | 100 |
| <i>C. treundii</i> (n=1)               |               |         |                 |    |                 | 1  |    |    |                  |    |                  |                 |   | 100 |

| Total                           |             |       | -                     | 132 <sup>b</sup> | 47 | 2  | 2  | 7 | 1              | 16 <sup>a</sup> | 3 | 87  |
|---------------------------------|-------------|-------|-----------------------|------------------|----|----|----|---|----------------|-----------------|---|-----|
| K. pneumoniae/CG258 (n=64)      |             |       |                       | 4                | 27 | 27 | 6  |   |                |                 |   | 48  |
| K. pneumoniae/other STs (n=36)  |             |       | <b>3</b> <sup>b</sup> | 12               | 12 | 8  | 1  |   |                |                 |   | 75  |
| <i>K. pneumoniae</i> /NT (n=73) | Tigoovolino |       |                       | 11               | 29 | 20 | 4  | 4 | 3 <sup>a</sup> |                 | 2 | 56  |
| K. oxytoca/NT (n=3)             |             | ≤1/>2 | 1 <sup>b</sup>        | 2                |    |    |    |   |                |                 |   | 100 |
| E. cloacae complex (n=24)       | (0.25-16)   |       |                       | 7                | 9  | 2  | 1  | 2 |                |                 | 3 | 76  |
| <i>E. coli</i> (n=9)            |             |       | <b>6</b> <sup>b</sup> | 1                | 1  | 1  |    |   |                |                 |   | 89  |
| C. freundii (n=1)               |             |       |                       |                  |    | 1  |    |   |                |                 |   | 0   |
| Total                           |             |       | 10 <sup>b</sup>       | 37               | 78 | 59 | 12 | 6 | 3 <sup>a</sup> |                 | 5 | 61  |

S, susceptible; R, resistant; NA, not available; NT, not typed; CG, clonal group; ST, sequence type.

Cells highlighted in dark grey are resistant; those in light grey are intermediate; and white are susceptible.

<sup>a</sup>MIC greater than or equal to indicated value.

<sup>b</sup>MIC less than or equal to the indicated value.

 Table 2. MIC distributions for KPC-producing isolates (n=210).



Figure 1. Numbers of new and known affected patients and laboratories sending KPC-

positive isolates per quarter during the study period.



**Figure 2**. Minimum spanning tree of the MLST profiles of 84 KPC-positive *K. pneumoniae* isolates, received between September 2007 and July 2014 from 65 submitting laboratories. The shaded areas represent members of the ST258 clonal group. Members of the ST258 clonal group are labelled, as are other STs with >4 submissions. The diameter of the circle represents the number of isolates of that particular ST. Thick solid lines represent single-locus variants; thin solid lines represent double-locus variants, and the absence of connecting lines indicates multi-locus variants

| Replicon<br>Types   | No. of<br>Plasmids | Approx.<br>Size (kb) | Species                         | KPC<br>Variants | Other resistance genes  | STs <sup>*</sup> carrying<br>plasmids                            | No. of<br>Regions | Tn4401<br>Isoform(s) |
|---------------------|--------------------|----------------------|---------------------------------|-----------------|---|--|-------------------|----------------------|
| CoIE-like           | 2                  | 13                   | <i>E. cloacae</i> complex       | KPC-4           |   | 171  | 1                 | а                    |
| IncFIA              | 1                  | 52                   | K.<br>pneumoniae                | KPC-2           | <i>Ыа</i> тем-1, <i>Ыа</i> оха-9  | 15   | 1                 | а                    |
| IncFIB              | 1                  | 76                   | K.<br>pneumoniae                | KPC-3           | <i>Ыа</i> тем-1, <i>Ыа</i> оха-9  | 258  | 1                 | а                    |
| IncFII <sub>K</sub> | 3                  | 97 - 213             | K.<br>pneumoniae                | KPC-2           | bla <sub>TEM-1</sub> , bla <sub>OXA-9</sub> ,<br>aadA2, aadA5, dfrA12,<br>dfrA17, catA1, sul,<br>mph(A), qnrB1    | 258/321/1162   | 3                 | а                    |
| IncFIB/IncFIIĸ      | 35                 | 106 -<br>224         | K.<br>pneumoniae                | KPC-2/3         | bla <sub>TEM-1</sub> , bla <sub>OXA-9</sub> ,<br>aadA2, aadA5, dfrA12,<br>dfrA17, catA1, sul,<br>mph(A), qnrB1    | 15/147/252/258/307/3<br>21/512/<br>678/709/732/896/116<br>2/1163 | 7                 | а                    |
| Incl2               | 2                  | 77                   | K.<br>pneumoniae                | KPC-3           | bla <sub>OXA-9</sub> , bla <sub>TEM-1</sub> ,<br>aac(6')-lb, aadA1  | 258  | 1                 | b                    |
| IncN                | 8                  | 59 - 76              | K.<br>pneumoniae/<br>K. oxytoca | KPC-2           | bla <sub>TEM-1</sub> , bla <sub>TEM-135</sub> ,<br>aph(6)-ld, sul, dfrA,<br>aprB2                                 | 258/336/1026   | 4                 | b/c                  |
| IncR                | 3                  | 48 - 69              | K.<br>pneumoniae                | KPC-2/3         | aac(6')-Ib, aadA2,<br>catA1, cmIA1, mef(B)  | 258  | 2                 | a/b                  |
| IncX3               | 1                  | 53                   | K.<br>pneumoniae                | KPC-3           | bla <sub>TEM-1</sub> , qnrB2, aph(6)-<br>Id, sul, dfrA  | 258  | 1                 | а                    |
| IncP-6              | 1                  | 38                   | K.<br>pneumoniae                | KPC-2           | <i>Ыа</i> <sub>ТЕМ-33</sub>   | 11   | 1                 | а                    |
| Untypable           | 2                  | 62 - 89              | K.<br>pneumoniae                | KPC-2/3         | bla <sub>TEM-1</sub> , bla <sub>OXA-9</sub> ,<br>aph(6)-Id, aac(6')-Ib,<br>aadA1, qnrB2, aph(6)-<br>Ib, sul, dfrA | 258/833  | 2                 | b/d                  |

 Table 3. The features of 59 KPC plasmids sequenced. STs were determined for K. pneumoniae and E. cloacae complex isolates.